

**Capacity Building in Earth Observation: from Outcomes to Impact and Sustainability**

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(NASA SERVIR & ITC)

**Session: Friday, 14 December 2018; 16:00 - 18:00**

**PA54A: Enhancing Environmental Management Decisions, Actions, and Policy by Building the Capacity to Use Earth Observations I, the Marriott Marquis; Marquis 3-4**

Photograph: NASA/REID  
WISEMAN/EPA

# What and Who is SERVIR?



*“Connecting space to village”*

A joint initiative of USAID and NASA that partners with regional technical institutions around the world to get Earth observation information into the hands of decision-makers to improve development outcomes.



- Societal benefit from space
- 20+ satellites, data free and open
- Major research portfolio
- Limited internationally



**USAID**  
FROM THE AMERICAN PEOPLE

- Poverty reduction and resilience
- Working on data-dependent issues in data-scarce places
- International field presence

## Regional hubs



ICIMOD



## Hub partners

Spatial Informatics Group



Deltares  
Enabling Delta Life



Private sector partners:

## Research collaborators:

19 universities and research centers located in 14 states (in the U.S.)

# ITC FACULTY OF GEO-INFORMATION SCIENCE AND EARTH OBSERVATION

**Established:** 1950 - Appeal by UN in framework of official development assistance – ODA (joint UT in 2010)

**Aim:** Build capacity for economic development in developing world

**Main field of science:** earth observation, geoinformation science applied to problem-solving in earth sciences, natural and water resources and urban studies (disasters, climate adaptation, water/food security, urbanisation)

**Achievements:** 23 000 alumni (predominantly) mid-career professionals from 170+ countries

**Key numbers:** 245 staff, 143 PhD, 200 MSc (150 in house)

**Awards:** No.9 of the world in 'remote sensing' in Shanghai Subject Ranking

Top rated master program MSc GEO 2015 – 2018

Participating Organisation in GEO for Capacity Development

Definition of Capacity Development/Building:

**UNCED:** to enhance the abilities of stakeholders to evaluate and address crucial questions related to policy choices and different options for development.

**UNDP:** it is about transformations that empower individuals, leaders, organisations and societies.

***A holistic approach to CB:***

Capacity strengthening on **3 levels** individual, organizational, and institutional level

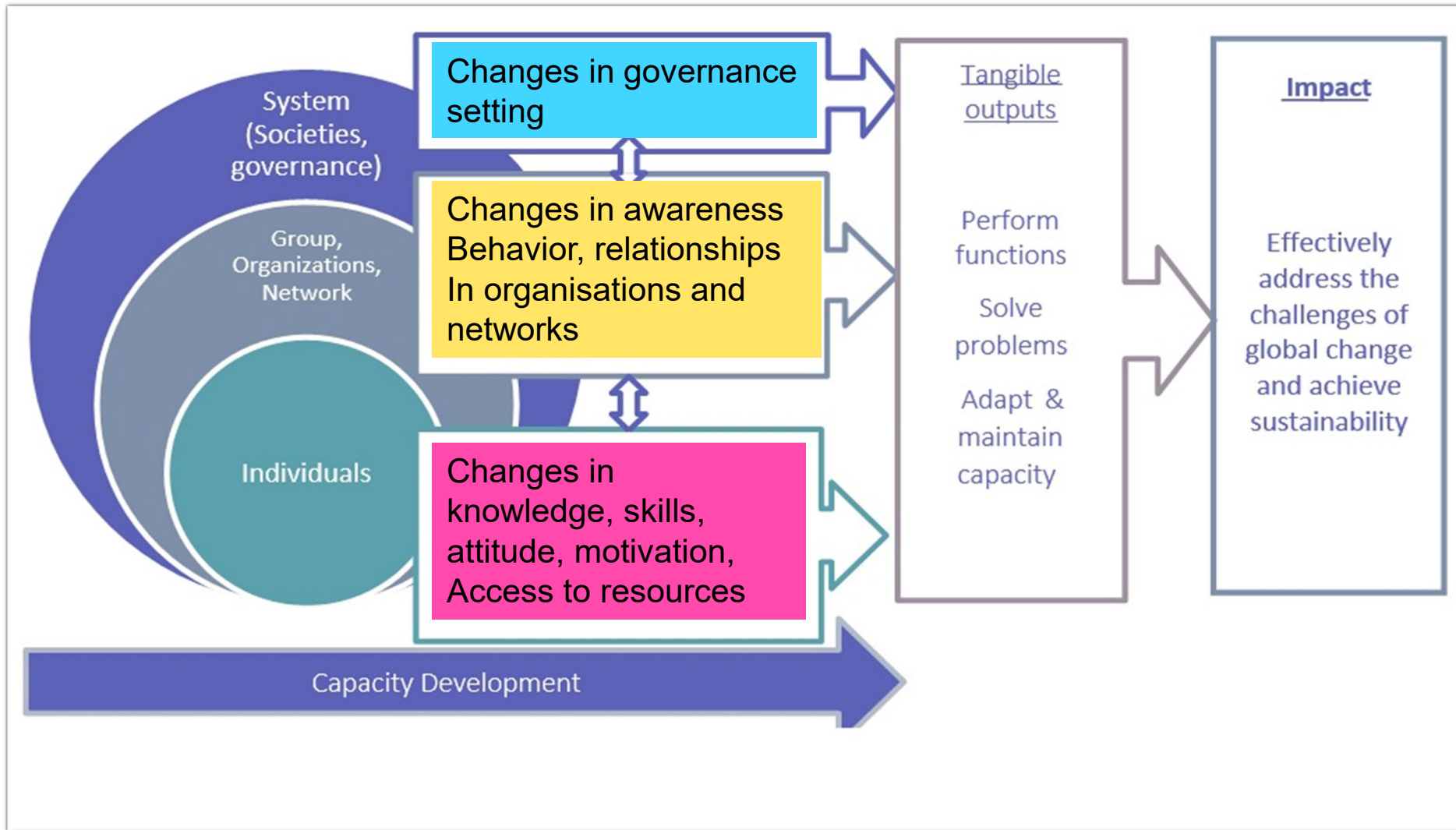
***A needs-oriented approach to CB:***

Equal partnership and shared responsibilities by **Co-Creation**

***A result-oriented approach to CB:***

**Impact-orientation** by promoting a Theory of Change model



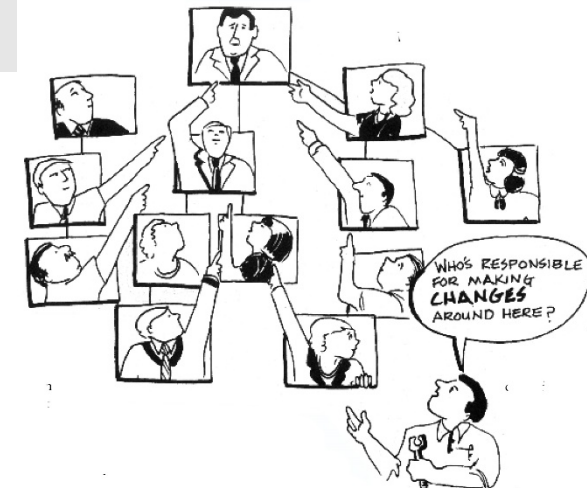


**Organizational learning** is a **fundamental pillar** of a holistic approach to capacity development.

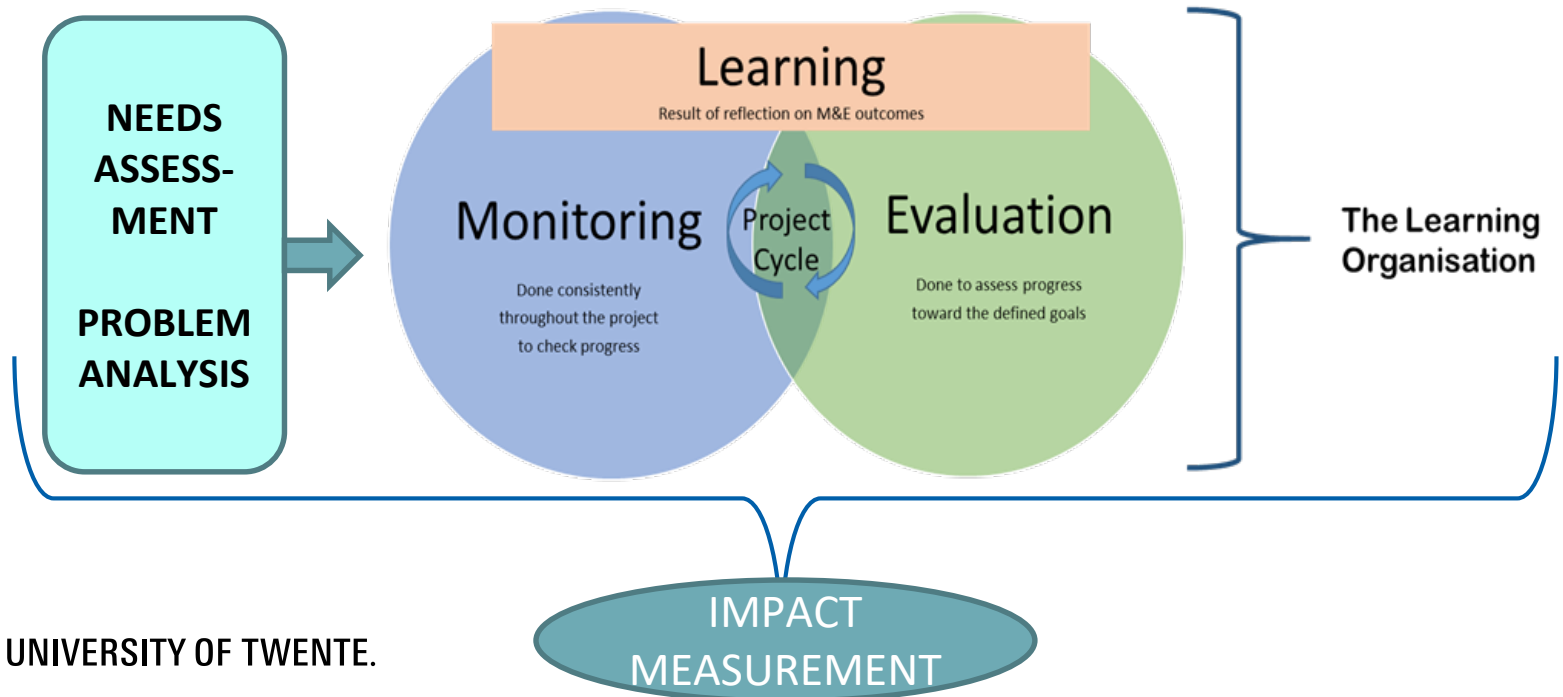
The **CAPABILITY** to adapt and self-renew requires that **people and institutions learn from experiences**, share information and improve themselves.

A learning organization is “an organization where people continuously **expand their CAPACITY** to create the results they truly desire, where new and expansive patterns of thinking are nurtured, where collective aspiration is set free and where people are continually learning to see the whole together”.

A learning organization improves and grows based on its **own experiences**. It can be applied to any people-oriented entity: institution, network, cooperative, association, etc.



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- TO MEASURE RESULTS OR IMPACT OF CB ACTIVITIES, THESE SHOULD:**
- BE REFERENCED BY A NEEDS ASSESSMENT AND PROBLEM ANALYSIS TO DEFINE THE DESIRED CHANGE**
  - BE MONITORED AND EVALUATED IN A STRUCTURAL WAY**
  - CONTRIBUTE TO THE CONCEPT OF “THE LEARNING ORGANIZATION”**



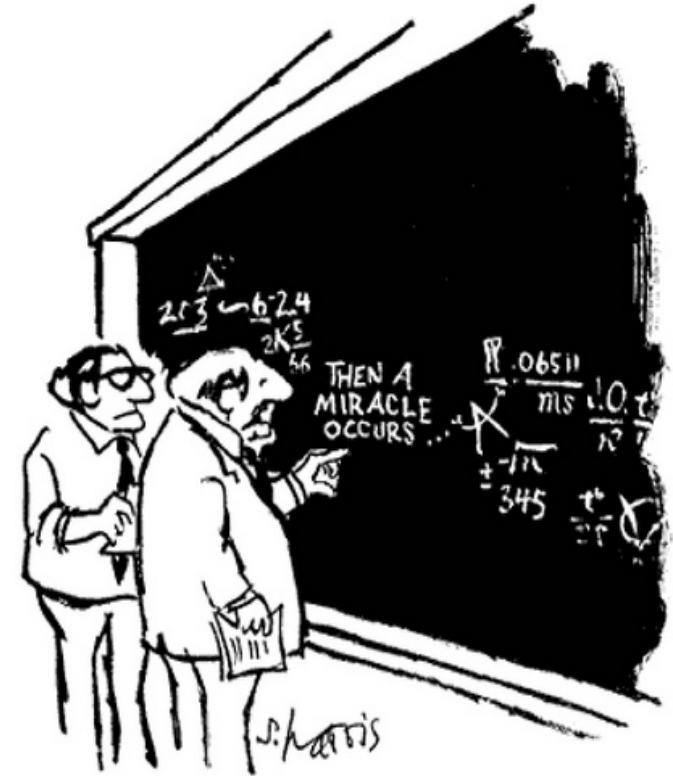
# “THEORY OF CHANGE” ADOPTED BY SERVIR & ITC

**Theory of Change is a description of how and why a desired change is expected to happen in a particular context.**

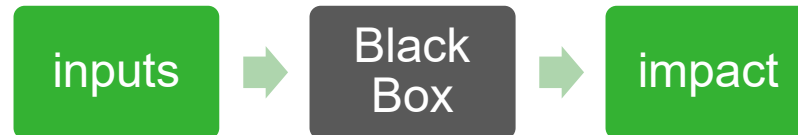
Focus on mapping out or “filling in” what has been described as the “missing middle” between what a program or change initiative does (its activities or interventions) and how these lead to desired goals being achieved.

Reflects a complex and systemic understanding of development.

Promotes reflection on our assumptions on cause-effect relations.



“I think you should be more explicit here in step two.”







# BASIC PRINCIPLES OF ToC COMPARED TO LOGFRAME

THEORY OF CHANGE	LOGFRAME
Critical thinking, room for complexity and deep questioning	Linear representation of change, simplifies reality
Explanatory: A ToC articulates and explains the what, how, and why of the intended change process, and the contribution of the initiative	Descriptive: A Logframe states only what is thought will happen / 'will' be achieved
Pathways of Change, 'unlimited' and parallel result chains or webs, feedback mechanisms	Three result levels (output, outcome, impact)
Ample attention for the plausibility of assumed causal relations	Suggests causal relations between results levels without analysing and explaining these
Articulates assumptions underlying the strategic thinking of the design of a policy, programme or project	Focuses on assumptions about external conditions

[http://www.theoryofchange.nl/sites/default/files/resource/hivos\\_toc\\_guidelines\\_final\\_nov\\_2015.pdf](http://www.theoryofchange.nl/sites/default/files/resource/hivos_toc_guidelines_final_nov_2015.pdf)



# FROM IMPACT TO SUSTAINABILITY – FROM SPACE TO VILLAGE

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## EO developments

- **Availability** → many more satellites: e.g. EC Copernicus, small sat constellations
- **Accessibility** → ICT, the Cloud
- **Adaptability** → standards, adaptable usage (GIS/mobile)
- **Affordability** → free/low cost data, lower cost value adding
- **Acceptability** → fit-to-purpose, increased quality level

## Challenges in EO capacity building

- **Last mile**: delivery to users, communications with the user
- **Usability**: creating direct impact for user
- **Sustainability**: business model, license to operate

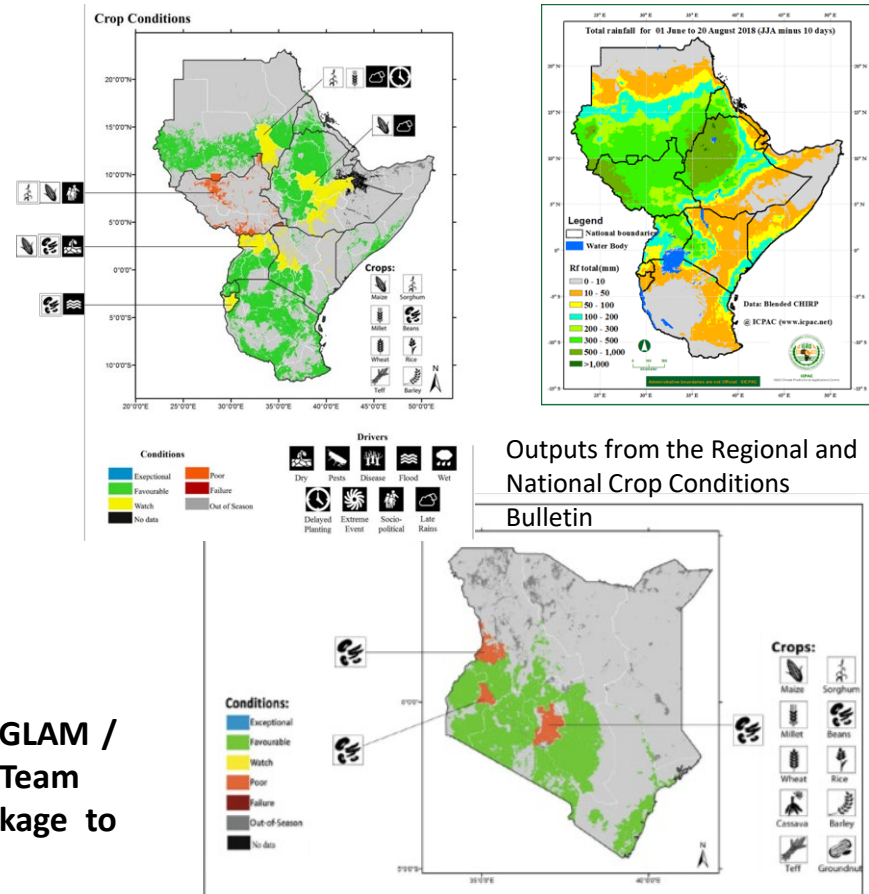
# Enhancing Crop Monitors for Early Warning

**Objective:** Development of national and regional crop monitors by SERVIR-Eastern & Southern Africa that combines Earth observations-based productivity indicators with FEWSNET food security assessments and national in-person reports to generate crop conditions and their drivers (pests, drought, conflict).

- **National** - Provide information for national reporting mechanisms through crop condition monitoring
  - Development of bulletins and reports for high level decision-making that uses SERVIR-generated crop type maps for major crops.
- **Regional** - Link crop conditions to pre-season quarterly climate outlook at the regional climate outlook forums.

## Outcomes:

- Implementation of the national crop monitor with GEOGLAM / University of Maryland through SERVIR Applied Sciences Team
- Implementation of the regional crop monitor and linkage to regional climate outlook forums



# USERS: PASTORALISTS IN ETHIOPIA

short rains 2016/2017

long rains 2017



Legend  
 KLIP  
 Percent R:  
 0-20  
 21-30  
 31-40  
 41-50  
 51-60  
 61-66  
 Payout

## BUSINESS

Livestock > Satellite generated data helps in identifying areas that are badly affected

### Drought-hit herders to receive Sh214m under insurance deal

Bett says 12,000 households from arid and semi arid zones to benefit from the plan by insurers and State

BY GERALD ANDAE  
 ganda@kenyanradio.com

The Kenya Livestock Insurance Programme will pay pastoralists in six counties affected by drought a total of Sh214 million to cover loss of their herds. Announcing the payout plan yesterday, Agriculture Cabinet Secretary Bett said 12,000 pastoral households, mainly from arid and semi-arid areas, will benefit from the plan which was initiated by the government in 2015 in partnership with selected insurance firms, it aimed at averting losses that might occur in fields. "We will today embark on payment of Sh214 million to farmers whose livestock are affected by drought," he said.



Cabinet Secretary of Agriculture, Livestock and Fisheries Willy Bett (centre), State Department for Livestock Principal Secretary Andrew Tumur (left) and Mr Ashok Shah, the APA Insurance group CEO during a press conference at Kilimo House, Nairobi, yesterday. (Olivier Onyango)

Tuesday February 21, 2017 | DAILY NATION

**HONEY TRANSFER SERVICE**  
 Bankers have an uphill task in fighting for market share with dominant M-Pesa PAGE 43

#### BRIEFLY

**DAIRY**  
 Milk trading licence application goes online (nt rank)

Milk traders will have to apply for licences online as the Kenya Dairy Board (KDB) digitises its operations. According to board official Charles Mburu, the move is aimed at increasing efficiency and convenience in making payments. "We have introduced a pay bill number where traders will pay for application of licences and renewals, which will enhance monitoring," he said. Mr Mburu said the board wants retailing of raw milk directly to customers outlawed to ensure quality and safety. "In a bid to allow traders to embrace pasteurising before selling," he said. The board has also increased security features in licence and movement permits to eliminate fakes.

— Irene Mugo

**BANKING**  
 Equity Zanzibar plans to employ agency model

Equity Bank Tanzania, a subsidiary of Kenyan lender Equity Group plans to replicate its agency bank model in Tanzania as it seeks to gain a foothold in the neighbouring country. The lender started operations in its new branch in the coastal town of Zanzibar last Monday. Equity Bank Tanzania Managing Director Ishaq Dha said it would embrace the agency banking model adopted by the parent bank as it seeks to firm its presence there. "We are committed to opening financial inclusion and credit through branches, agency banks, ATMs and mobile banking," he said.

>3m USD to 14000 beneficiaries

Anton Vrieling, [a.vrieling@utwente.nl](mailto:a.vrieling@utwente.nl)

Drought occurrence forecasting based insurance product using forage scarcity index as a measure of area-average seasonal forage availability

# Users: academia



**adpc**

No ITC involvement in trainings



## TAKE HOME MESSAGES

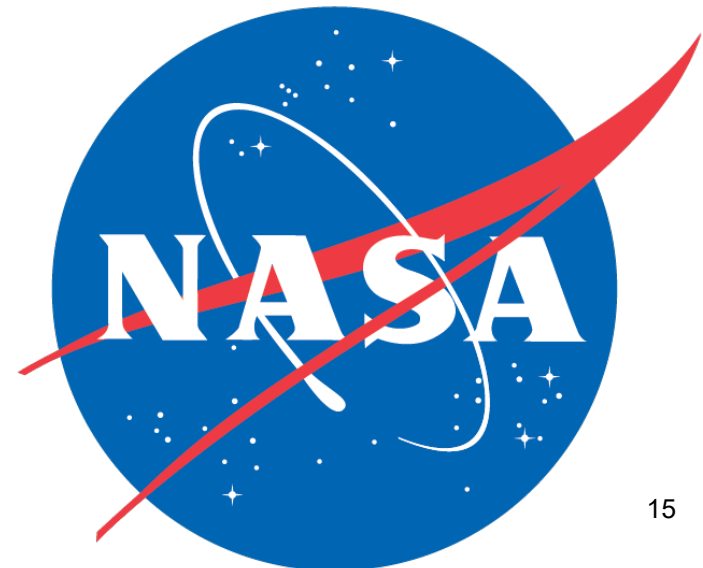
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- A user needs assessment is important to identify the **changes** envisaged and to ensure an **impactful** CB program based on **co-creation** and **equal partnership**; the theory of change helps to achieve this.
- Bringing EO to the end users (**the last mile, from space to village**) calls for creating **direct impact for users** and a **business model** that ensures long term sustainability.

# Memorandum of Understanding between NASA SERVIR and ITC

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- COOPERATION ON CAPACITY BUILDING AND USING EARTH OBSERVATION DATA AND GEOSPATIAL TECHNOLOGY FOR CLIMATE RISK MANAGEMENT AND LAND USE
- ....aims joint development of training, strengthening institutional and regional capacity building, and conducting research in SERVIR Hub regions in four (4) Thematic Service Areas: Agriculture and Food Security; Water Resources and Hydroclimatic Disasters; Land Cover and Land Use Change and Ecosystems; and Weather and Climate.



# ABOUT THE AUTHORS

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The world of ITC is the world



Thank you for your attention

# SERVIR: Diverse Team from Across the Globe

