## 021

Intermolecular interactions between carbon dioxide and the carbonyl groups of polylactides and poly(ε-caprolactone)

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# Summary

Fourier transform infrared (FT-IR) spectroscopy was used to reveal weak intermolecular interactions between carbon dioxide (CO<sub>2</sub>) and the carbonyl groups of several polymers. This interaction affects the solubility of CO<sub>2</sub>. The polymers used in this study were poly(L-lactide) (PLLA), poly(D,L-lactide) (PDLLA) and poly ( $\varepsilon$ -caprolactone) (PCL). In the presence of CO<sub>2</sub>, the wavenumber of the carbonyl groups shifted to a higher value and in the bending mode region of CO<sub>2</sub> a new absorption band appeared. For PCL, the effect of CO<sub>2</sub> dissolution on the melting point depression could clearly be observed, even at low pressures.

# Introduction

Detailed attention has been given to the synthesis and processing of biodegradable polymers. Especially aliphatic polyesters are frequently used due to their favourable mechanical properties, biocompatibility and degradability. Examples of applications are sutures, drug delivery systems, and scaffolds in tissue engineering. However, these polymers are susceptible to thermal degradation at elevated temperatures, which makes processing difficult. In drug delivery systems encapsulation of a thermally sensitive drug in these polymers at high temperatures is also an important concern.

In the synthesis and processing of various polymers,  $CO_2$  has been established as a solvent [1]. Attractive advantages of  $CO_2$ are its inert nature and its easy removal by simple depressurization. At or above supercritical conditions (31 °C and 7.38 MPa), gas-like diffusivity and liquid-like density allows  $CO_2$  to be used as a conventional solvent. Dissolution of  $CO_2$  in a polymer

Table 1 The glass transition temperature  $(T_g)$  and melting point  $(T_m)$  of the polymers used

Polymers	$T_{g}$ (°C)	$T_{\rm m}$ (°C)
PDLLA	47	
PLLA	59	181
PCL3	-60	57
PCL50	-61	60
PCL3 PCL50	-60 -61	57 60



Fig. 1. The high pressure FT-IR (golden gate) unit.

depresses its melting point and hence reduces its viscosity. This melting point depression is caused by an increase of the polymer free volume in the presence of  $CO_2$ . This then allows the polymer to be processed at a lower temperature. The amount of  $CO_2$  that can be dissolved in a polymer is generally interpreted thermodynamically in terms of temperature and pressure.

The intermolecular interaction between  $CO_2$  and the polymer carbonyl groups is a Lewis acid–base interaction. Polymers containing ester bonds in general show good solubility of  $CO_2$ [2–4]. Fourier transmission infrared spectroscopy (FT-IR) is a good tool to study these interactions. In this study, a high pressure FT-IR unit was used to reveal the interaction between  $CO_2$  and the carbonyl groups of PLLA, PDLLA and PCL.

#### Materials and methods

PCL of a weight average molecular weight  $(M_w)$  of 3000 g/mol (PCL3) and 50,000 g/mol (PCL50) were supplied by Solvay, UK. Poly(D,L-lactide) (PDLLA) and Poly(L-lactide) (PLLA) were supplied by Purac, The Netherlands. The viscosity average molecular weight (Mv) of PDLLA and PLLA were respectively 135,000 and 148,000 g/mol as determined from the intrinsic viscosity measurements (chloroform, 25 °C). Table 1 shows the glass transition temperatures  $(T_g)$  and the melting points  $(T_m)$  of the polymers. Analytical



Fig. 2. The absorption spectra of PDLLA carbonyl groups at different CO2 pressures.



Fig. 3. The absorption spectra of the bending mode ( $\nu$ 2) of CO2 and dissolved at different pressures in PDLLA.

grade chloroform (CHCl<sub>3</sub>) was purchased from Aldrich, The Netherlands. High purity  $CO_2$ , 99.99%, was used in the FT-IR experiments.

A schematic of the high pressure FT-IR unit is shown in Fig. 1. This unit consists of an FT-IR apparatus (golden gate type, Spectrum 2000, Perkin Elmer, UK), a  $CO_2$  cylinder, a high pressure syringe pump (Isco, USA), and a high pressure cell and valves. The high pressure cell was home-built and can withstand pressures up to 9 MPa. The cell, inlet lines, exit lines and valves were heated using a heating element. The scanning range available in our FT-IR set up is 500–4000 cm<sup>-1</sup>. Polylactide films were cast onto the (diamond) crystal from chloroform solutions, and the PCL polymer films were prepared by directly melting the polymer on the crystal.

The FT-IR experiments were carried out at 40 °C. Spectra were recorded in the absence of  $CO_2$  and at different  $CO_2$  pressures (3–8 MPa). The spectra were scanned at the resolution of 2 cm<sup>-1</sup>, and an average of 60 scans was acquired.

## **Results and discussion**

In the presence of dissolved  $CO_2$ , the wavenumber corresponding to the carbonyl group (C==O) of PDLLA, at approximately 1745 cm<sup>-1</sup>, shifted to higher values. With increasing pressure, the amount of dissolved  $CO_2$  in a polymer increases. The effect of pressure on the stretching vibrations of the carbonyl group can be seen in Fig. 2. This shift is in agreement with what has been reported for poly(methyl methacrylate) [2–3].

Evidence of the interaction between CO<sub>2</sub> and the carbonyl groups was also observed in the bending mode ( $v_2$ ) region of CO<sub>2</sub>. Fig. 3 shows the absorption spectra of the bending mode of CO<sub>2</sub> and of the CO<sub>2</sub> dissolved at the different pressures. A new band at 655 cm<sup>-1</sup> can be observed upon dissolution of CO<sub>2</sub>. For CO<sub>2</sub> only this band is observed at 667 cm<sup>-1</sup>.

Similar results were obtained for PLLA and PCL. In the future, we will quantitatively analyze the spectra to determine the strength of these interactions.

Fig. 4 shows the spectrum of PCL3 and PCL50 at different  $CO_2$  pressures. For the PCLs, the effect of  $CO_2$  solubility on the depression of  $T_m$  could be observed in the crystalline and amorphous regions of the IR spectra. The shape of the spectra of PCL3 and PCL50 change at a  $CO_2$  pressure of 3 and 6 MPa, respectively. The lower pressure required in the case of PCL3 is related to its lower molecular weight. Such changes were not observed for PDLLA and PLLA. PDLLA is an amorphous polymer and PLLA has a much higher melting temperature than PCL.

### Conclusions

Using FT-IR, a shift in the wavenumber corresponding to the absorption of the carbonyl groups of polylactides and PCL was found upon dissolution of  $CO_2$ . A new absorption band in the bending mode region of  $CO_2$  was observed as well. This is indicative of an interaction between the carbonyl groups of the polymers and  $CO_2$ . For PCL, a depression in the melting temperature, which depends on polymer molecular weight, could be observed. These results suggest that  $CO_2$  has great potential as a solvent in the processing of polylactides and PCL.

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Fig. 4. The absorption spectra of PCL3 and PCL50 in the absence and in the presence of CO2 at different pressures.

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022

# Self-assembly of PEG-oligolactates with monodisperse hydrophobic blocks

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## Summary

Methoxypoly(ethylene glycol)-b-oligo-L-lactates (mPEG-b-OLA) with monodisperse OLA blocks were obtained by preparative HPLC of the polydisperse oligomers. These block oligomers formed nanoparticles with a hydrodynamic radius of 130–300 nm at concentrations above the critical aggregation concentration of 0.4–1 mg/ml. Detailed analysis of the particles demonstrated that they consisted of a hydrated core of mPEG-b-OLA block oligomers and a thin outer PEG layer. This novel type of particles is expected to be suitable for pharmaceutical applications.

### Introduction

The nature of the two building blocks of PEG-b-PLA, the hydrophilic and non-immunogenic PEG, and the hydrophobic and biodegradable PLA confer this amphiphilic polymer attractive properties for pharmaceutical applications. The polymer self-assembles in water into nanoparticles, which have been extensively studied, for example by Gref et al. [1] and Yamamoto et al. [2]. These studies mainly focused on high molecular weight PEG-b-PLA. In this study we present the preparation of low molecular weight block oligomers with monodisperse hydrophobic blocks [3]. Their critical aggregation concentration, particle formation and temperature sensitivity were studied and the particles



Scheme 1. Synthesis of mPEG-b-OLA.



Fig. 1. Fluorescence intensity ratio I338/I333 of pyrene as a function of the concentration of mPEG550-b-oligo-l-lactate with different OLA block lengths.

were investigated by static light scattering and  ${}^{1}H$  NMR in  $D_{2}O$ .

## **Experimental methods**

### Synthesis and characterization of mPEG-b-OLA

Polydisperse block oligomers were synthesized by ring opening polymerization of L-lactide initiated by mPEG ( $M_w$  of 350, 550 or 750) as shown in Scheme 1. The polydisperse oligomers were fractionated by preparative reversed phase (RP) HPLC, using a water–acetonitrile gradient. The identity of the collected fractions was established by electrospray mass spectrometry (ESI-MS) and <sup>1</sup>H NMR in CDCl<sub>3</sub> and the purity by analytical RP-HPLC. The glass transition temperature ( $T_g$ ) and the melting temperature ( $T_m$ ) of the oligomers were determined by differential scanning calorimetry (DSC).

## Behaviour in water

The critical aggregation concentration of the fractionated oligomers was determined using pyrene as a fluorescent probe [4]. Samples were prepared in 10 mM ammonium acetate buffer pH 5. Particles were formed by the hydration of an block oligomer film at a concentration of 10 mg/ml in the same buffer.

# Characterization of the mPEG-b-OLA nanoparticles:

The size and polydispersity of the mPEG-b-OLA particles were measured by dynamic light scattering (DLS). The samples were analyzed at 25 °C directly after preparation and after incubation at room temperature for several days. Some of the samples were investigated in more detail with multiangle static light scattering (SLS) and by <sup>1</sup>H NMR in D<sub>2</sub>O.

## **Results and discussion**

Fractionation of the polydisperse mPEG-b-OLAs yielded block oligomers with monodisperse OLA blocks, with a degree of polymerization (DP) of 4, 6, 8 and 10, as assessed by ESI-MS and  ${}^{1}$ H NMR. The purity of the samples was 80–90%, determined from the relative peak areas in analytical HPLC.