

# Micromechanics of the elastic behaviour of granular materials

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## Overview

- Micromechanics: stress, strain and work
- Discrete Element Simulations
- Variational principles
- Upper and lower bounds; uniform strain and stress
- Statistical theory
- Statistics from DEM simulations
- Conclusions

## Micromechanics

Objective: relation macroscopic and microscopic characteristics

### Macroscopic level

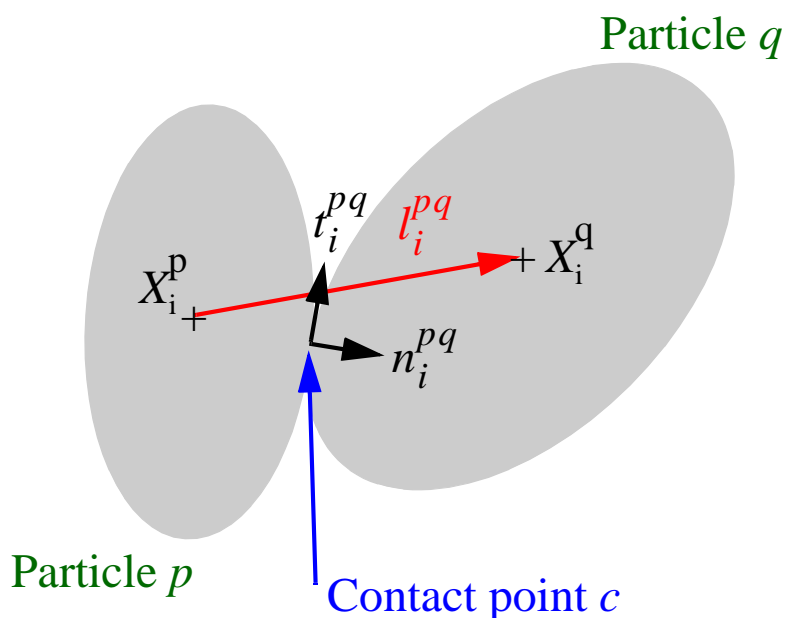
- stress
- strain

### Microscopic level

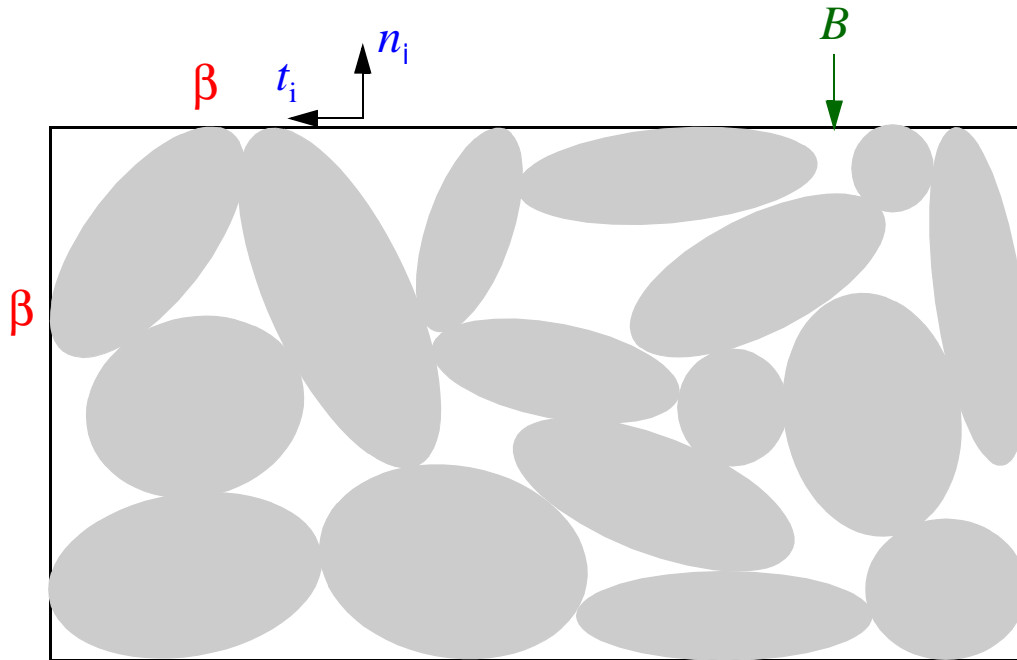
- contact force
- contact relative displacement

$$\Delta_i^{pq} = u_i^q - u_i^p$$

### Contact geometry



# Stress



*In boundary terms*

$$\begin{aligned}\bar{\sigma}_{ij} &= \frac{1}{S} \int_S \sigma_{ij} dS = \frac{1}{S} \int_S \frac{\partial}{\partial x_k} (x_i \sigma_{kj}) dS \\ &= \frac{1}{S} \int_B n_k x_i \sigma_{kj} dS = \frac{1}{S} \sum_{\beta \in B} X_i^{\beta} f_j^{\beta}\end{aligned}$$



## *In contact terms*

Equilibrium conditions 
$$\sum_q f_j^{pq} + f_j^\beta = 0$$

$$\sum_p \sum_q X_i^p f_j^{pq} + \sum_{\beta \in B} X_i^\beta f_j^\beta = 0$$

$$\sum_{\beta \in B} f_j^\beta x_i^\beta = \sum_{c \in S} f_j^c l_i^c$$

## *Micromechanical stress*

$$\bar{\sigma}_{ij} = \frac{1}{S} \sum_{c \in S} f_j^c l_i^c$$

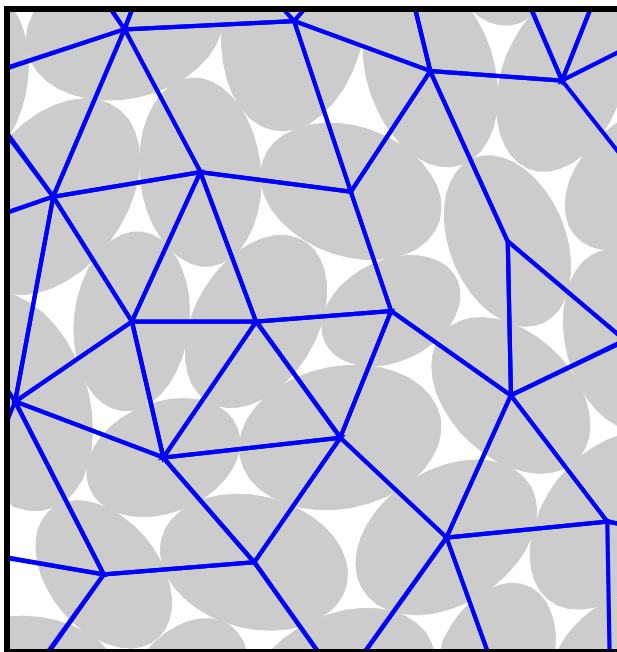
## Strain

*In boundary terms*

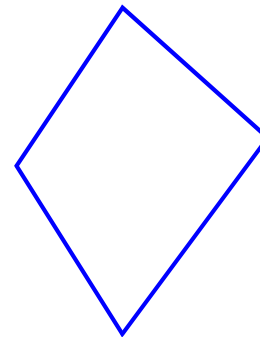
$$\begin{aligned}\bar{\alpha}_{ij} &= \frac{1}{S} \int_S \frac{\partial u_i}{\partial x_j} dS = \frac{1}{S} \int_B u_i n_j ds = e_{jk} \frac{1}{S} \int_B u_i t_k ds \\ &= e_{jk} \frac{1}{S} \int_B u_i \frac{dx_k}{ds} ds = -e_{jk} \frac{1}{S} \int_B \frac{du_i}{ds} x_k ds = -e_{jk} \frac{1}{S} \sum_{\alpha \in B} \Delta_i^\alpha X_k^\alpha\end{aligned}$$

*In contact terms*

Compatibility conditions  $\sum_s \Delta_i^{rs} + \Delta_i^{r\alpha} = 0$



Network connecting  
particle centres



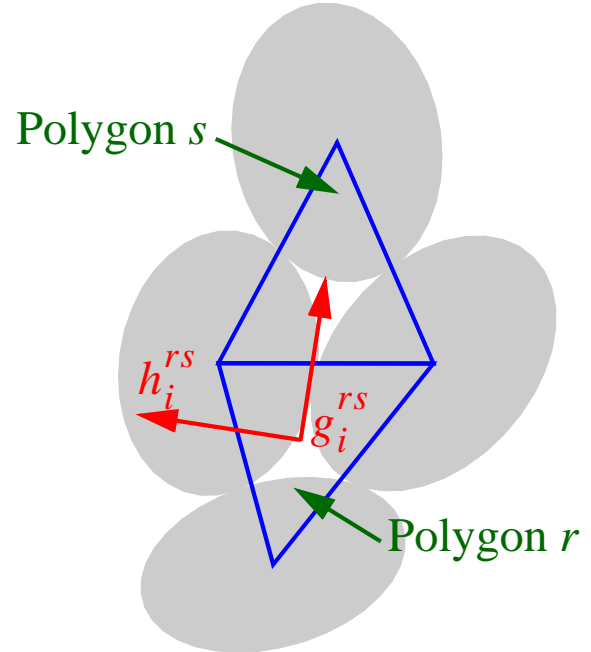


$$\sum_r \sum_s \Delta_i^{rs} X_j^r + \sum_r \Delta_i^{r\alpha} X_j^r = 0$$

$$\sum_{\alpha \in B} \Delta_i^\alpha X_j^\alpha = \sum_{c \in S} \Delta_i^c g_j^c$$

$$\bar{\alpha}_{ij} = \frac{1}{S} \sum_{c \in S} \Delta_i^c h_j^c$$

$$h_j^c = -e_{jk} g_k^c$$



## Work

$$\frac{1}{S} \sum_{c \in S} f_i^c \Delta_i^c = \sigma_{ij} \epsilon_{ij}$$

## Geometry

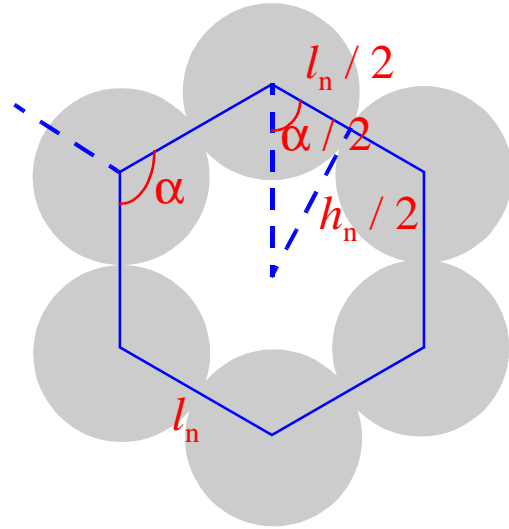
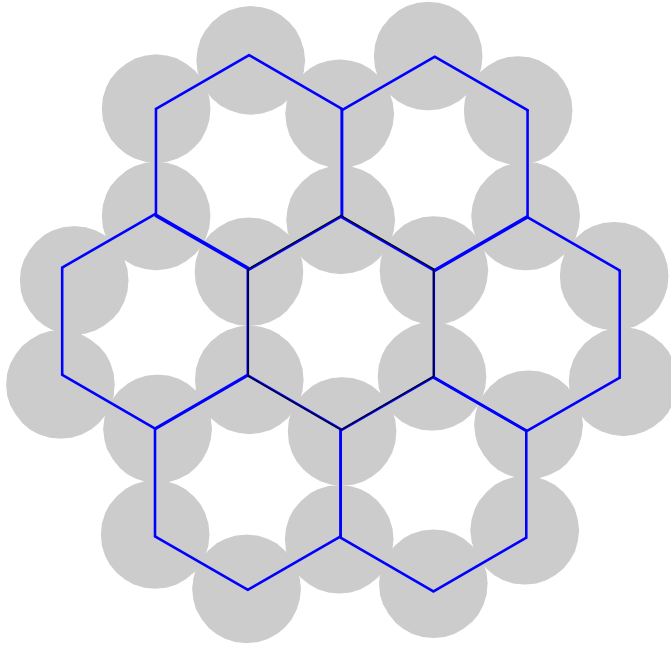
$$\delta_{ij} = \frac{1}{S} \sum_{c \in S} l_i^c h_j^c$$

## Uniform strain and stress

$$\Delta_i^c = \epsilon_{ij} l_j^c \quad f_i^c = \sigma_{ij} h_j^c$$



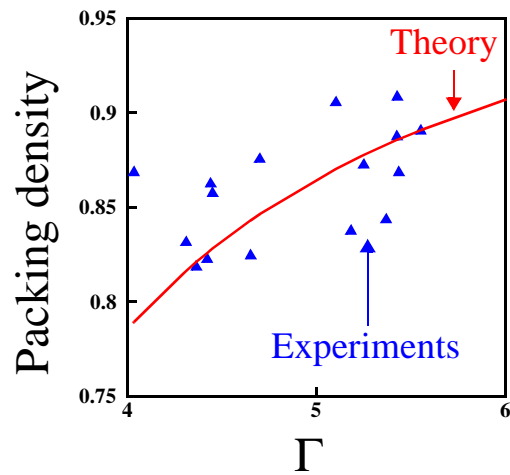
*Geometrical considerations*



Coordination number  $\Gamma = 3$

$$\alpha = \frac{2\pi}{\Gamma} \quad \tan \frac{\alpha}{2} = \frac{h_n}{l_n}$$

$$\text{Packing density} \cong \frac{\pi}{\Gamma \tan \frac{\pi}{\Gamma}}$$





## Summary of micromechanics

Particle	Connecting relation	Polygon
$\sum_q h_i^{pq} = 0$	$\delta_{ij} = \frac{1}{S} \sum_{c \in S} h_i^c l_j^c$	$\sum_s l_i^{rs} = 0$
$\sum_q f_i^{pq} = 0$	$f_i^c = S_{ij}^c \Delta_j^c$	$\sum_s \Delta_i^{rs} = 0$
$\sigma_{ij} = \frac{1}{S} \sum_{c \in S} f_i^c l_j^c$	$\sigma_{ij} \varepsilon_{ij} = \frac{1}{S} \sum_{c \in S} f_i^c \Delta_i^c$	$\varepsilon_{ij} = \frac{1}{S} \sum_{c \in S} \Delta_i^c h_j^c$



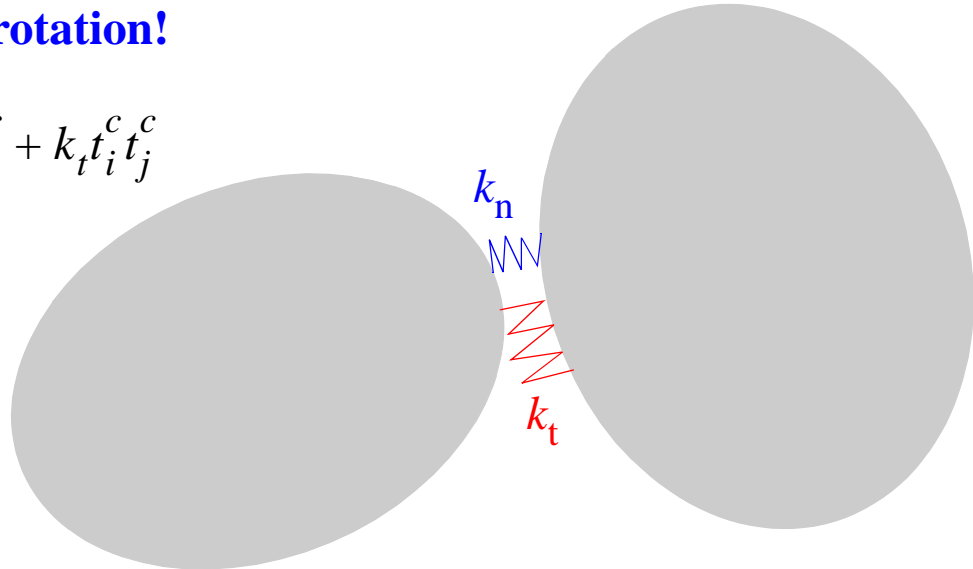
## Elastic contact constitutive relation

**No particle rotation!**

$$S_{ij}^c = k_n n_i^c n_j^c + k_t t_i^c t_j^c$$

$$f_n^c = k_n \Delta_n^c$$

$$f_t^c = k_t \Delta_t^c$$



## Discrete Element Method simulations

- isotropic assemblies with 50,000 disks
- wide lognormal PSD
- coordination numbers  $4 \leq \Gamma \leq 6$
- stiffness ratios  $0 \leq k_t/k_n \leq 1$
- periodic boundaries
- compressive and shearing loading



## Extremum principles

### *Minimum potential energy principle*

$f_i^{*c}, \Delta_i^{*c}$  compatible, no equilibrium

$f_i^c, \Delta_i^c$  compatible, equilibrium

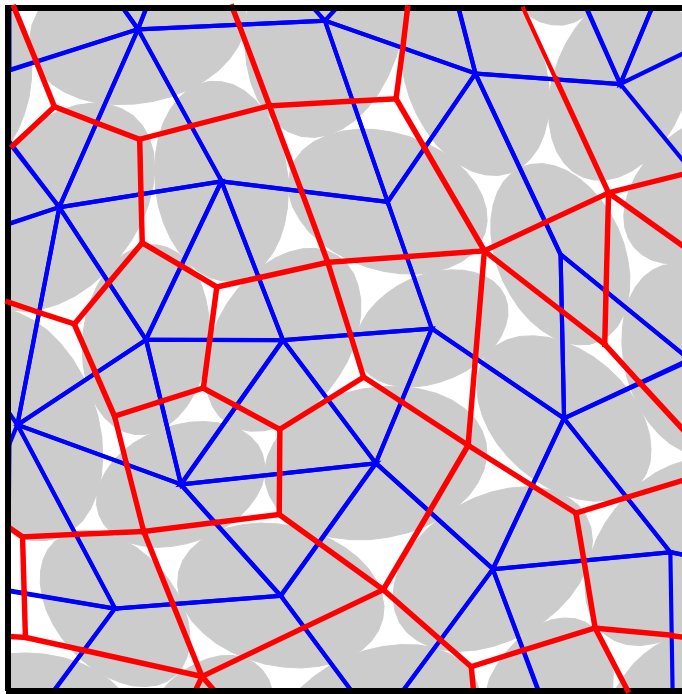
$$\frac{1}{2} \sum_{c \in S} f_i^c \Delta_i^c \leq \frac{1}{2} \sum_{c \in S} f_i^{*c} \Delta_i^{*c}$$

### *Minimum complementary energy principle*

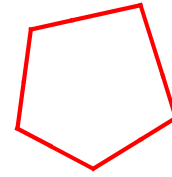
$f_i^{*c}, \Delta_i^{*c}$  equilibrium, not compatible

$f_i^c, \Delta_i^c$  equilibrium, compatible

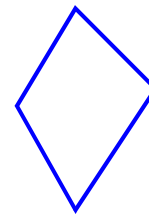
Then 
$$\frac{1}{2} \sum_{c \in S} f_i^{*c} \Delta_i^{*c} \leq \frac{1}{2} \sum_{c \in S} f_i^c \Delta_i^c$$



Network connecting polygon centres



Network connecting particle centres



Take  $\Delta_i^* = \epsilon_{ij} l_j^c$ : uniform strain

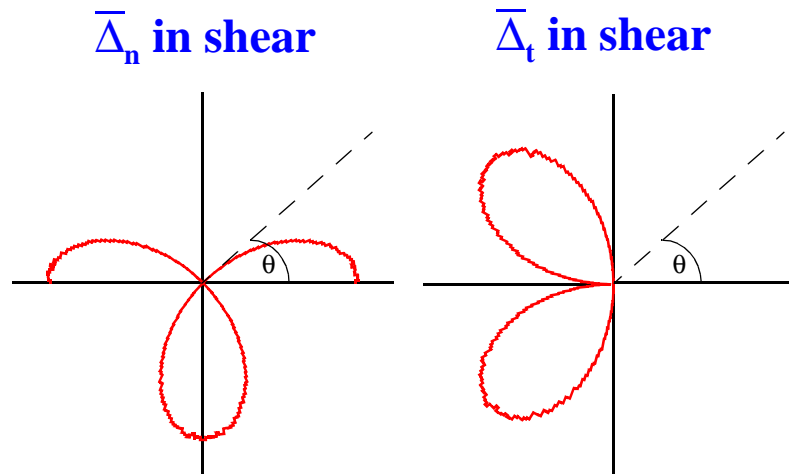
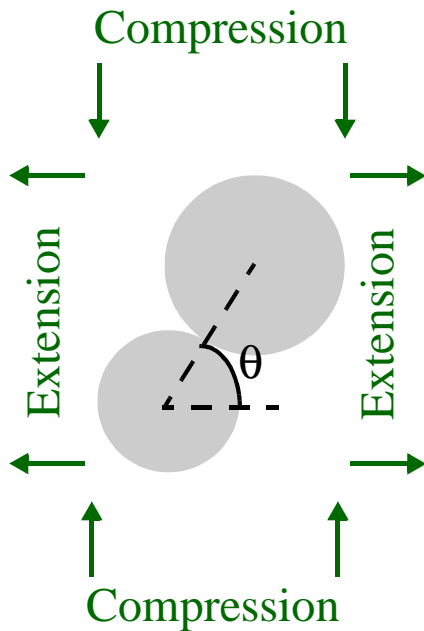
$$\text{Upper bound } \epsilon_{ij} L_{ijkl} \epsilon_{kl} \leq \epsilon_{ij} L_{ijkl}^\epsilon \epsilon_{kl} \equiv \epsilon_{ij} \left( \frac{1}{S} \sum_{c \in S} S_{ik}^c l_j^c l_l^c \right) \epsilon_{kl}$$

Take  $f_i^* = \sigma_{ij} h_j^c$ : uniform stress

$$\text{Lower bound } \sigma_{ij} M_{ijkl} \sigma_{kl} \leq \sigma_{ij} M_{ijkl}^\sigma \sigma_{kl} \equiv \sigma_{ij} \left( \frac{1}{S} \sum_{c \in S} S_{ik}^{-1c} h_j^c h_l^c \right) \sigma_{kl}$$

# Orientational averaging

Uniform strain  $\Delta_i = \varepsilon_{ij}l_j$



$$\sigma_{ij} = \frac{1}{S} \sum_{c \in S} f_j^c l_i^c = \frac{M}{S} \sum_{\theta_g} E(\theta_g) \Delta \theta f_j \overline{l_i}(\theta_g) = m_S \int_0^{2\pi} E(\theta) f_j \overline{l_i}(\theta) d\theta$$

Contact distribution
↓  
Contact density
↑

$$\text{Overall average } \langle w(\theta) \rangle = m_S \int_0^{2\pi} E(\theta) \overline{w(\theta)} d\theta$$



## Statistical potential energy principle

Minimize potential energy  $\langle \frac{1}{2} \Delta_i S_{ij} \Delta_j \rangle$

Subject to constraints  $\varepsilon_{ij} = \langle \Delta_i h_j \rangle$        $\langle f_i \Delta_i \rangle = \sigma_{ij} \varepsilon_{ij}$

Gaussian distributions  $\overline{\Delta_n^2(\theta)} = \overline{\Delta_n(\theta)^2} + \sigma_n^2(\theta)$   
 $\overline{\Delta_t^2(\theta)} = \overline{\Delta_t(\theta)^2} + \sigma_t^2(\theta)$

Disorder  $\chi \equiv \langle k_n \sigma_n^2 + k_t \sigma_t^2 \rangle = \alpha(1 - \alpha) \varepsilon_{ij} (L_{ijkl}^\varepsilon - L_{ijkl}^\sigma) \varepsilon_{kl}$

Choose  $\alpha$  for *maximum* disorder, then

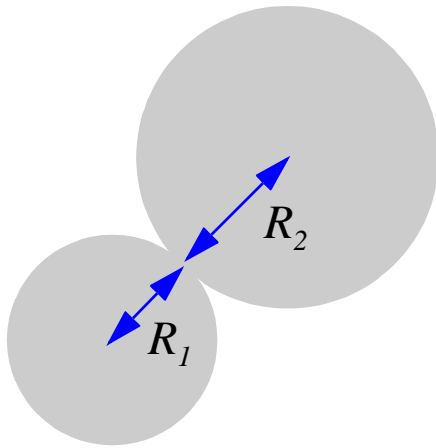
$$L_{ijkl} = \frac{L_{ijkl}^\varepsilon + L_{ijkl}^\sigma}{2}$$



# Observations from DEM simulations

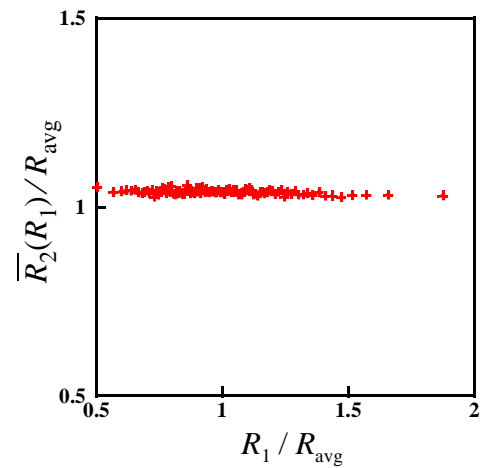
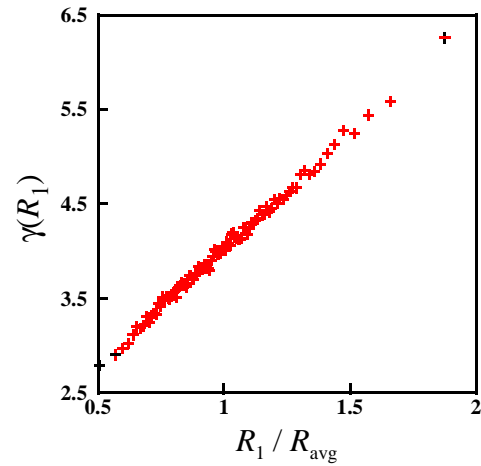
## Geometry

**Loose system**  $\bar{l}_n > 2R_{avg}$



Large particles have more contacts

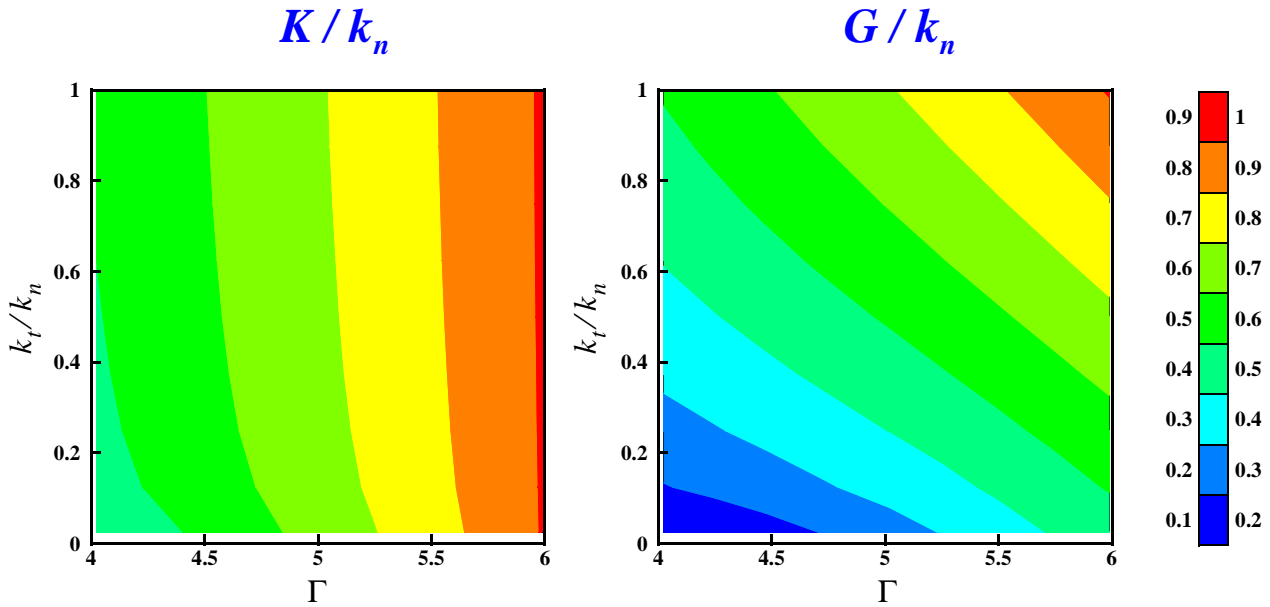
No size preference



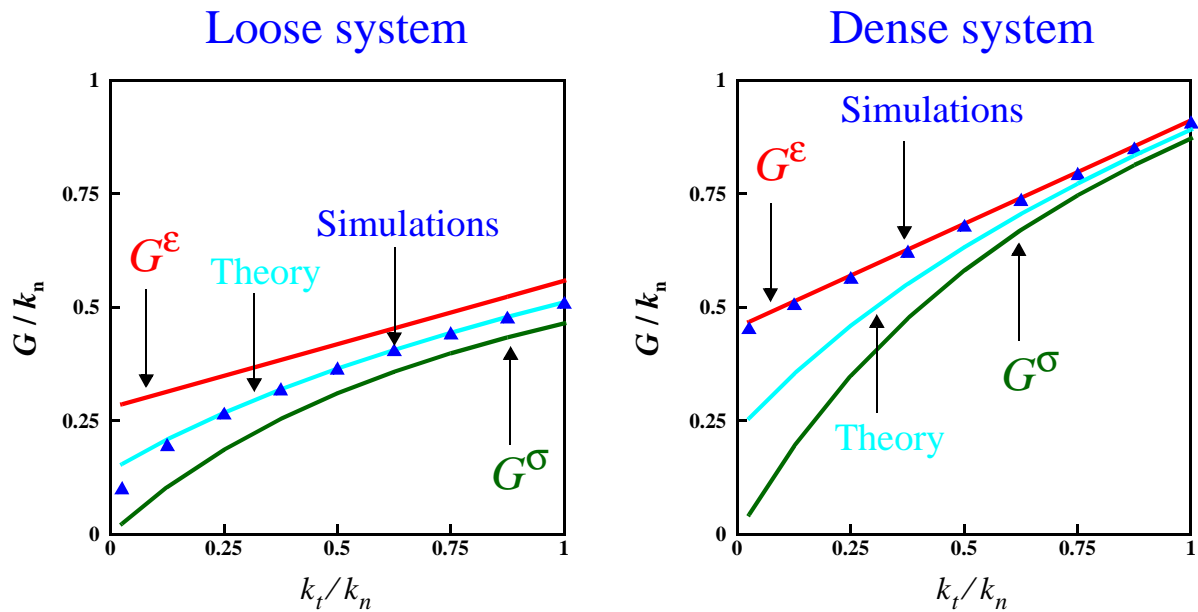


Moduli

Simulations



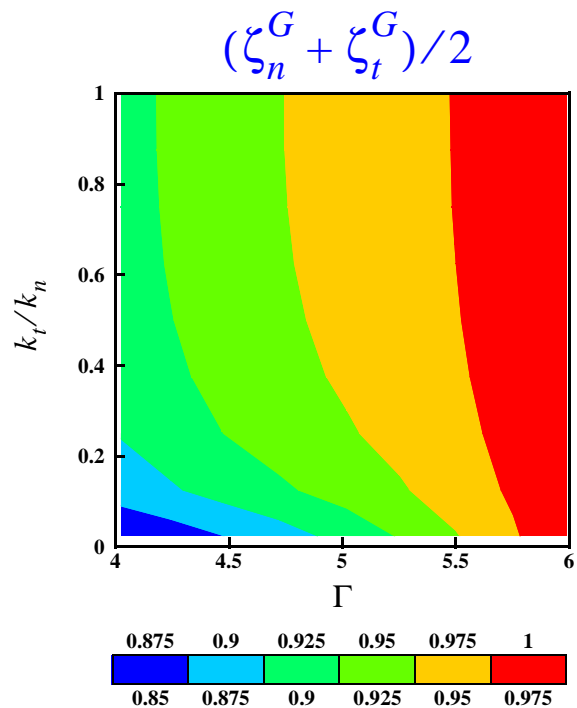
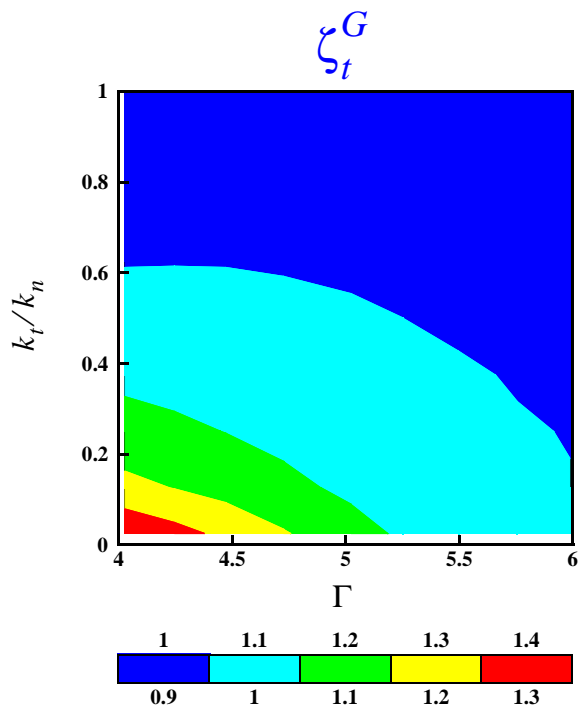
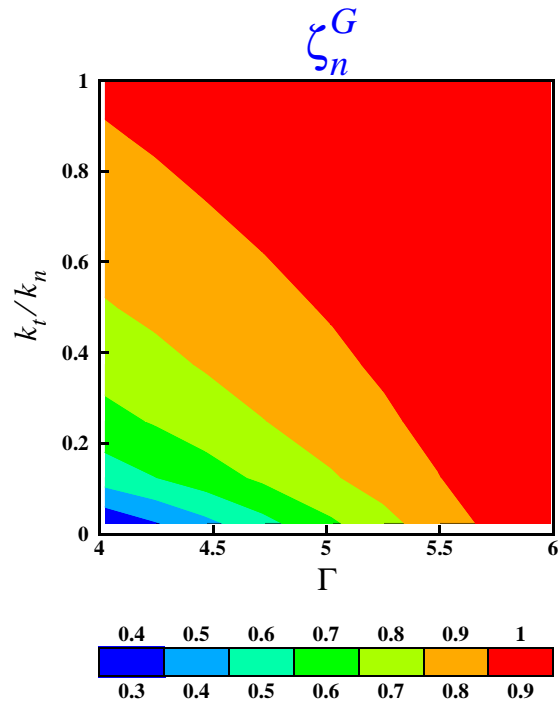
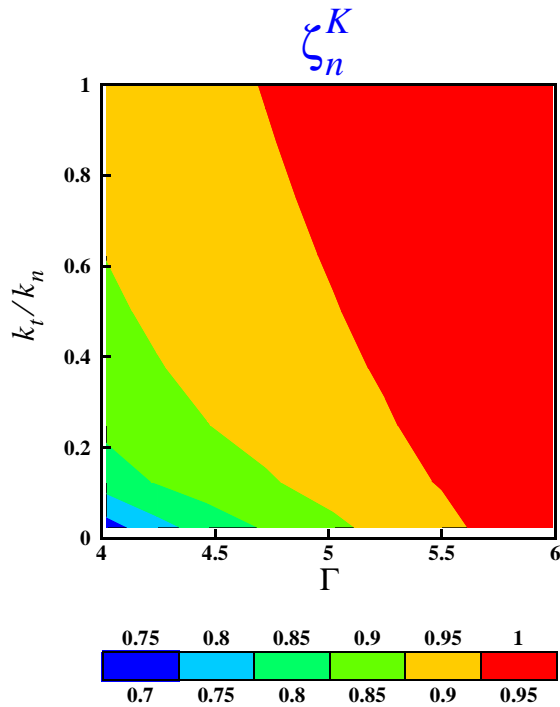
Comparison of theory and simulations





Displacements

$$\bar{\Delta}_i = \zeta \varepsilon_{ij} \bar{l}_j$$

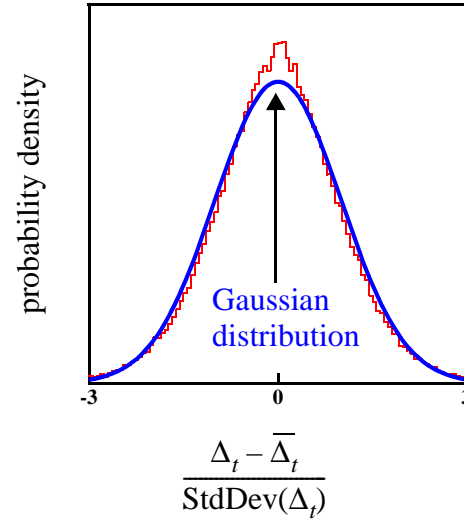
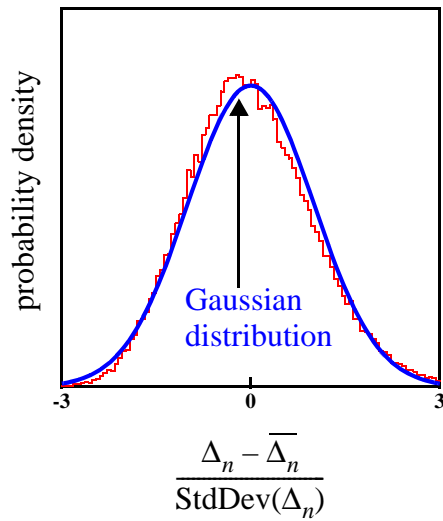




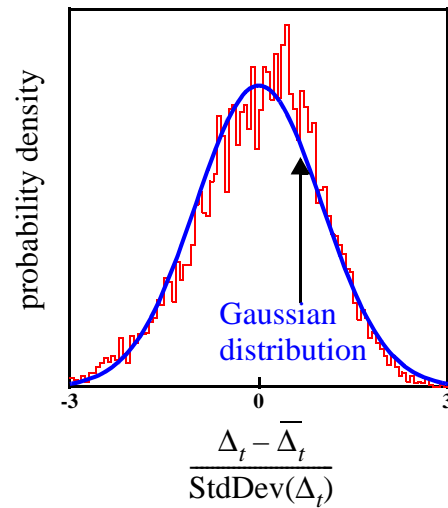
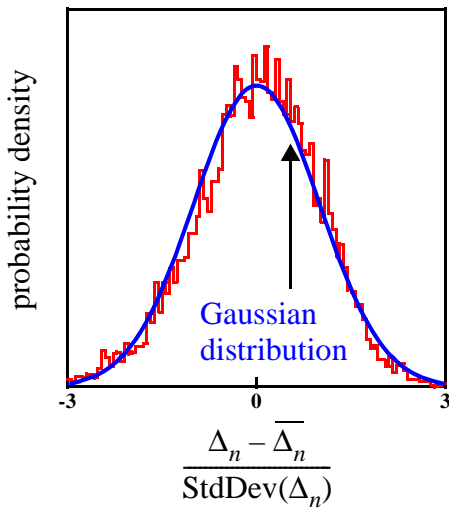


*Probability density functions*

**Compression**



**Shear**



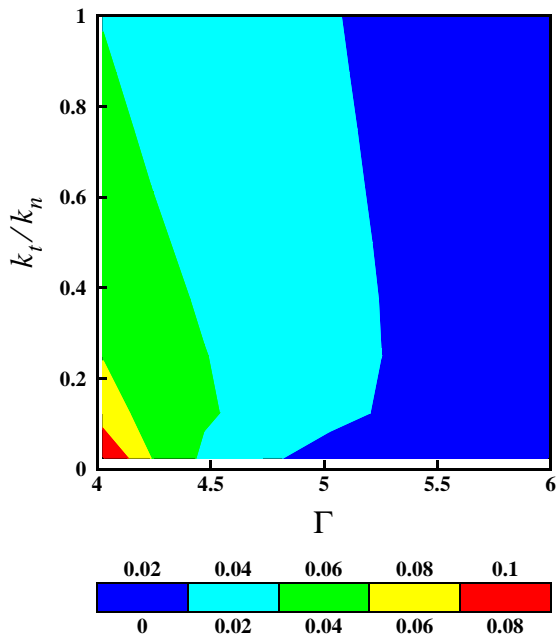


Energy ratios

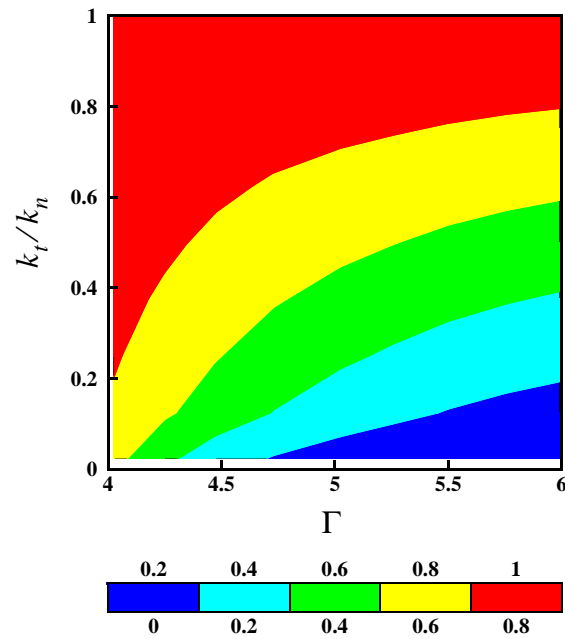
$$E_n = \frac{1}{2} \langle k_n \overline{\Delta_n^2} \rangle$$

$$E_t = \frac{1}{2} \langle k_t \overline{\Delta_t^2} \rangle$$

$E_t / E_n$  in compression



$E_t / E_n$  in shear





## Conclusions

- two regimes: uniform strain and uniform stress
- upper and lower bound for moduli
- uniform strain assumption is only correct for dense systems
- statistical theory gives average of uniform strain and stress; appropriate for loose systems
- Gaussian probability functions for normal and tangential components of relative displacements
- no equipartition of energy



## Uniform field moduli

$$\frac{K^\varepsilon}{k_n} = \frac{m_S \bar{l}_n^2}{4} \quad \frac{G^\varepsilon}{k_n} = \frac{m_S}{8} \left(1 + \frac{k_t}{k_n}\right) \bar{l}_n^2$$

$$\frac{K^\sigma}{k_n} = \frac{k_t/k_n}{m_S(\lambda \bar{h}_n^2 + \bar{h}_t^2)} \quad \frac{G^\sigma}{k_n} = \frac{2k_t/k_n}{m_S(1 + k_t/k_n)(\bar{h}_n^2 + \bar{h}_t^2)}$$

## Theoretical generalised strain

$$\zeta_n^K = \frac{1}{2} + \frac{K^\sigma \bar{h}_n}{k_n \bar{l}_n} \quad \text{Compression}$$

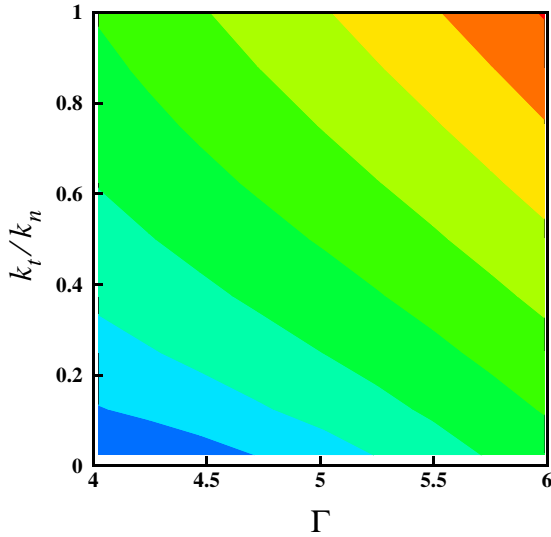
$$\zeta_n^G = \frac{1}{2} + \frac{G^\sigma \bar{h}_n}{k_n \bar{l}_n} \quad \text{Shear}$$

$$\zeta_t^G = \frac{1}{2} + \frac{G^\sigma \bar{h}_n}{k_t \bar{l}_n} \quad \text{Shear}$$

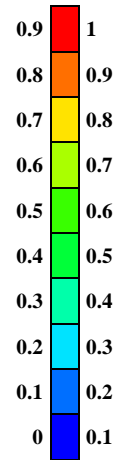
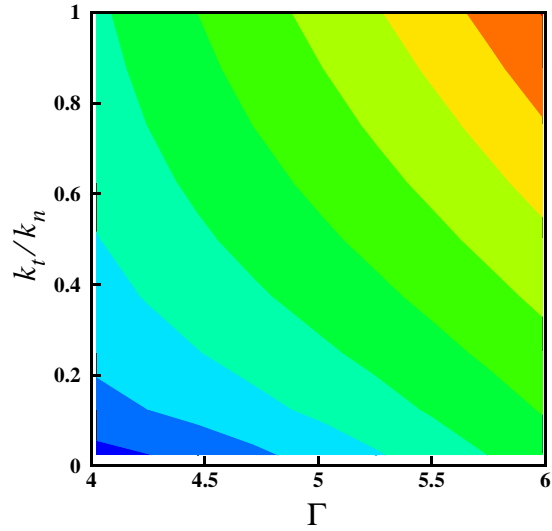


# Influence of rotation; shear modulus

**Without rotation**



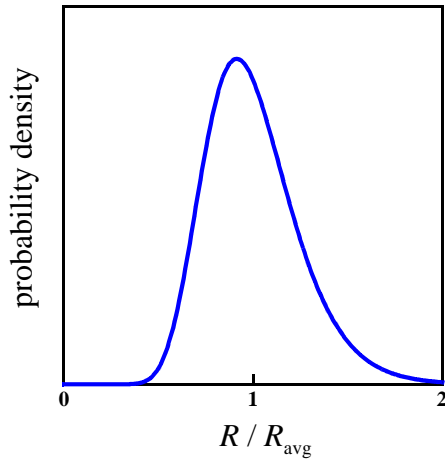
**With rotation**





# Particle size distribution

Probability density function



Cumulative probability function

