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Control and Stabilization of an Underactuated Surface Vessel

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Abstract— This paper studies the problem of controlling the planar position and orientation of an autonomous surface vessel using two independent thrusters. It is first shown that although the system is not asymptotically stabilizable to a given equilibrium configuration using a time-invariant continuous feedback, it is strongly accessible and small-time locally controllable at any equilibrium. Time-invariant discontinuous feedback control laws are then constructed to asymptotically stabilize the system to the desired configuration with exponential convergence rates. A simulation example is included to demonstrate the results.

1. Introduction

In the past few years, there has been a considerable amount of interest in the control of underactuated mechanical systems, i.e. systems with fewer inputs than degrees of freedom. The possibility of controlling a system with fewer than the typical number of actuators is indeed appealing, for it allows to reduce cost, weight as well as the occurrence of component failures. However, in general, underactuated systems present challenges which are not found in systems with full control. Controllability, for instance, which is usually implied in systems with full control, is not easy to determine in an underactuated system. Control synthesis for an underactuated system is also more complex than it is for a system with full control. While many interesting techniques and results have been presented for underactuated systems ([3], [6], [8], [11], [13], [14], [16]), the control of these systems still remains an open problem.

This paper considers the problem of controlling the planar position and orientation of an autonomous surface vessel using two independent thrusters. The dynamics of this underactuated system is complex enough to yield a rich source of control problems, yet simple enough to permit a complete mathematical analysis. It has been shown in [19] that underactuated vehicles do not satisfy Brockett's necessary condition [5] if the unactuated dynamics contain no gravitational field component and, hence, in this case, these vehicles are not asymptotically stabilizable to a given equilibrium configuration via time-invariant continuous feedback laws.

In this paper, it is first shown that although the system is not asymptotically stabilizable to a desired equilibrium configuration using a time-invariant continuous feedback, it is strongly accessible and small-time locally controllable at any equilibrium and, hence, the system is asymptotically stabilizable to a desired equilibrium using time-invariant discontinuous feedback laws. Discontinuous feedback laws are then constructed to achieve asymptotic stabilization. The methodology followed in the construction of the discontinuous feedback laws is based on first transforming the system into a discontinuous one in which the design of feedback laws is easily carried out. Then, transforming back into the original coordinates yields discontinuous feedback laws which asymptotically stabilize the original system with exponential convergence rates. This construction procedure is related to the approaches proposed in [2], [12] for the stabilization of nonholonomic systems.

The organization of this paper is as follows. In Section 2, the mathematical model describing the dynamics of a surface vessel with two independent thrusters is introduced. Controllability and stabilizability results are presented in Section 3. Discontinuous feedback laws are derived in Section 4. In Section 5, a simulation example is included. Finally, Section 6 consists of a summary of the main results.

2. Mathematical Model

Consider the problem of controlling the Cartesian position and orientation of a surface vessel with two independent propellers as shown in Figure 1. The kinematic model which describes the geometrical relationship between the earth-fixed (I-frame) and the vehiclefixed (B-frame) motion is given as

$$\dot{x} = v_x \cos \psi - v_y \sin \psi , \qquad (1)$$

$$\dot{y} = v_x \sin \psi + v_y \cos \psi , \qquad (2)$$

$$\dot{\psi} = \omega_z , \qquad (3)$$

where (x, y) denotes the I-frame position of the center of mass of the vehicle, ψ denotes the orientation angle; (v_x, v_y) and ω_z are the linear and angular velocities of the vehicle in the B-frame. For simplicity, assume that the origin of the B-frame is located at the center of mass

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of the vehicle. Also assume that the vehicle is neutrally buoyant. Then the dynamic equations of motion of the vehicle can be expressed in the B-frame as:

$$M\dot{\nu} + C(\nu)\nu + D(\nu)\nu = \tau , \qquad (4)$$

where $\nu = (v_x, v_y, \omega_z)^T$ denotes the velocity vector, $\tau = (F_x, 0, T_z)^T$ denotes the vector of external force and torque generated by the two propellers. $M \in \mathbb{R}^{3 \times 3}$ is the inertia matrix, including added mass; and $C(\nu) \in$ $\mathbb{R}^{3 \times 3}$ and $D(\nu) \in \mathbb{R}^{3 \times 3}$ denote the Coriolis/centrifugal and the damping matrices, respectively. The reader is referred to [7] for the general formulation. Assuming that M is constant and diagonal, and neglecting the hydrodynamic damping terms of order higher than one, the dynamic equations of motion can be rewritten in component form as

$$m_{11}\dot{v}_x - m_{22}v_y\omega_z + d_{11}v_x = F_x , \qquad (5)$$

$$m_{22}\dot{v}_y + m_{11}v_x\omega_z + d_{22}v_y = 0 , \qquad (6)$$

$$m_{33}\dot{\omega}_z + (m_{22} - m_{11})v_x v_y + d_{33}\omega_z = T_z , \qquad (7)$$

where m_{ii} , d_{ii} , i = 1, 2, 3, are positive constants. In earth-fixed coordinates equation (6) takes the form of a second-order nonholonomic constraint, i.e. a nonintegrable relation involving not only the generalized coordinates and velocities but also the generalized accelerations. In contrast to first-order nonholonomic case (see e.g. [4]), a second-order nonholonomic constraint does not reduce the dimension of the state space. A set of three independent configuration variables and three kinematic variables is required to completely specify the state of the system.

Define the state variables

$$x_1=\psi,$$

 $x_2 = x\cos\psi + y\sin\psi ,$

 $x_3 = -x\sin\psi + y\cos\psi ,$

 $x_4 = v_y ,$

 $x_5 = \omega_z$,

$$x_6 = v_x ,$$

so that the state equations are given by

 $\dot{x}_1 = x_5 , \qquad (8)$

 $\dot{x}_2 = x_6 + x_3 x_5 , \qquad (9)$

 $\dot{x}_3 = x_4 - x_2 x_5 \; ,$

 $\dot{x}_4 = -\alpha x_4 - \beta x_5 x_6 , \qquad (11)$

 $\dot{x}_5 = u_1 ,$ (12)

 $\dot{x}_6 = u_2 , \qquad (13)$

where $\alpha = d_{22}/m_{22}$, $\beta = m_{11}/m_{22}$ and

$$u_1 = (T_z - d_{33}\omega_z + (m_{11} - m_{22})v_x v_y)/m_{33} , \qquad (14)$$

$$u_2 = (F_x + m_{22}v_y\omega_z - d_{11}v_x)/m_{11} . (15)$$

In the next section, we will consider the system (8)-(13) and study its controllability and stabilizability properties.

3. Controllability and Stabilizability

Equations (8)-(13) define a nonlinear control system of the form

$$\dot{x} = f(x) + \sum_{i=1}^{2} g_i(x) u_i ,$$
 (16)

where $x \in \mathbf{M} = S \times \mathbb{R}^5$ is the state; and f and g_i , i = 1, 2, are the drift and control vector fields. Note that the set of equilibrium solutions corresponding to u = 0 is given by the equilibrium manifold

$$\mathbf{M}^e = \{x \in \mathbf{M} \mid x_4 = x_5 = x_6 = 0\}$$
.

It is easily verified that the linearization of the equations (8)-(13) about an equilibrium x^e has an uncontrollable eigenvalue at the origin. This implies that a nonlinear analysis is necessary in order to characterize the controllability and stabilizability properties of the system. Note that since the linearization of the equations (8)-(13) is not stabilizable, the system cannot be exponentially stabilized at an equilibrium using smooth feedback [20]. Moreover, it is easy to see that the system does not satisfy Brockett's necessary condition [5] and hence it is not asymptotically stabilizable to a desired equilibrium solution using time-invariant continuous feedback.

We now consider the nonlinear control system (8)-(13) and employ certain results of nonlinear control theory. We refer the reader to [10] and [17] for the relevant controllability definitions and results used in the subsequent development.

The following results characterize the controllability and stabilizability properties of the underactuated vehicle dynamics described by equations (8)-(13).

Proposition 1: The underactuated vehicle dynamics described by equations (8)-(13) is strongly accessible on M.

Proof: Consider the system (8)-(13). Since the vector fields

 $g_1, g_2, [f, g_1], [f, g_2], [g_2, [f, g_1]], [[f, g_2], [f, g_1]]$

span a six dimensional space at any point $x \in \mathbf{M}$, the strong accessibility Lie algebra rank condition is satisfied at any point. Hence the system is strongly accessible on \mathbf{M} .

Proposition 2: The underactuated vehicle dynamics described by equations (8)-(13) is small time locally controllable at any equilibrium $x^e \in \mathbf{M}^e$.

(10)

Proof: Consider the system (8)-(13). Now, following Sussmann [17], let Br(X) denote the smallest Lie algebra of vector fields containing f, g_1 , and g_2 and let B denote any bracket in Br(X). Let $\delta^0(B)$, $\delta^1(B)$ and $\delta^2(B)$ denote the number of times f, g_1 and g_2 , respectively, occur in the bracket B. The degree of B is equal to the value of $\sum_{i=0}^{2} \delta^{i}(B)$. The Sussmann condition for small time local controllability is essentially that the so-called bad brackets, the brackets with $\delta^0(B)$ odd and $\delta^1(B)$, $\delta^2(B)$ even, must be a linear combination of good (i.e. not of the bad type) brackets of lower degree at the equilibrium. The degree of a bad bracket must necessarily be odd. The only bad bracket of degree one is f which vanishes at any equilibrium. The bad brackets of degree three are brackets with $\delta^0 = 1$ and $\delta^i = 2$, i = 1 or 2, and all are identically zero vector fields. It follows that the Sussman condition is satisfied at x^e . Hence the system is small time locally controllable at x^e .

Since the system is real analytic, the above controllability results imply the existence of piecewise analytic feedback laws [18] which asymptotically stabilize the closed loop system to a given x^e . In the next section, guided by these results, we will focus on designing asymptotically stabilizing discontinuous feedback laws for the system.

4. Discontinuous Feedback Laws

In this section, we will consider the problem of designing feedback control laws of the form u = u(x)for the system (8)-(13). As discussed in the previous section, the system cannot be asymptotically stabilized to an equilibrium using any time-invariant continuous feedback. Therefore, we restrict our consideration to designing time-invariant discontinuous feedback laws.

Note that the problem of stabilizing the system to a given equilibrium $x^e \in \mathbf{M}^e$ can be reduced to the problem of stabilizing the system to the origin via an appropriate state transformation. Hence, without loss of generality, we focus only on the problem of feedback stabilization to the origin, i.e. $x^e = 0$.

We will first study the problem of stabilizing the following reduced order system, which is obtained by considering the subsystem (8)-(11) and letting (x_5, x_6) be the control variables (v_1, v_2) :

$$\dot{x}_1 = v_1 , \qquad (17)$$

 $\dot{x}_2 = v_2 + x_3 v_1 , \qquad (18)$

$$\dot{x}_3 = x_4 - x_2 v_1 , \qquad (19)$$

$$\dot{x}_4 = -\alpha x_4 - \beta v_1 v_2 \ . \tag{20}$$

The idea that will be employed is based on first transforming the reduced system (17)-(20) into a discontinuous one by applying a discontinuous coordinate transformation, e.g. by applying a σ -process (see e.g. [1]). From the analytical point of view, the σ -process, also termed as the process of resolution of singularities, consists of a rational coordinate transformation.

Consider the reduced system (17)-(20). Restricting consideration to $x_1 \neq 0$, apply the σ -process

$$y = x_1, \ z_1 = x_2, \ z_2 = \frac{x_3}{x_1}, \ z_3 = \frac{x_4}{x_1}$$

to obtain

$$\dot{y} = v_1 , \qquad (21)$$

$$\dot{z}_1 = v_2 + y z_2 v_1 , \qquad (22)$$

$$\dot{z}_2 = z_3 - \frac{z_1 + z_2}{y} v_1 , \qquad (23)$$

$$\dot{z}_3 = -\alpha z_3 - \frac{z_3 + \beta v_2}{y} v_1 \ . \tag{24}$$

Clearly, the feedback control law

$$v_1 = -ky , \qquad (25)$$

$$v_2 = -lz , \qquad (26)$$

where k > 0 and $l = (l_1 \ l_2 \ l_3)$ are the gains, yields the reduced closed-loop system

$$\dot{y} = -ky , \qquad (27)$$

$$\dot{z}_1 = -l_1 z_1 - l_2 z_2 - l_3 z_3 - k y^2 z_2 , \qquad (28)$$

$$\dot{z}_2 = k z_1 + k z_2 + z_3 , \qquad (29)$$

$$\dot{z}_3 = -k\beta l_1 z_1 - k\beta l_2 z_2 + (k - \alpha - k\beta l_3) z_3 .$$
(30)

The z-dynamics can be rewritten as

$$\dot{z} = (A_1 + A_2(t))z$$
, (31)

where

$$A_{1} = \begin{pmatrix} -l_{1} & -l_{2} & -l_{3} \\ k & k & 1 \\ -k\beta l_{1} & -k\beta l_{2} & k - \alpha - k\beta l_{3} \end{pmatrix} , \qquad (32)$$

$$A_2(t) = \begin{pmatrix} 0 & -ky_0^2 e^{-2kt} & 0\\ 0 & 0 & 0\\ 0 & 0 & 0 \end{pmatrix} .$$
(33)

It can be easily seen that if $k \neq \alpha$, the spectrum of the matrix A_1 can be assigned arbitrarily through the gain matrix l. Clearly, the y-dynamics is globally exponentially stable at y = 0. Moreover, since the matrix $A_2(t)$ given by (33) goes to zero as $t \to \infty$ and

$$\int_0^\infty ||A_2(t)|| dt < \infty \; ,$$

the z-dynamics can also be rendered globally exponentially stable at the origin z = 0 by selecting $l = (l_1 \ l_2 \ l_3)$ such that the matrix A_1 given by (32) is a Hurwitz matrix (see [15], Section 4.2.2).

Note that in the original coordinates the controls (25)-(26) take the form

$$v_1(x_1, x_2, x_3, x_4) = -kx_1 , \qquad (34)$$

$$v_2(x_1, x_2, x_3, x_4) = -l_1 x_2 - l_2 \frac{x_3}{x_1} - l_3 \frac{x_4}{x_1} , \qquad (35)$$

and the reduced closed-loop system is given by

$$\dot{x}_1 = -kx_1 , \qquad (36)$$

$$\dot{x}_2 = -l_1 x_2 - l_2 \frac{x_3}{x_1} - l_3 \frac{x_4}{x_1} - k x_1 x_3 , \qquad (37)$$

$$\dot{x}_3 = x_4 + k x_1 x_2 , \qquad (38)$$

$$\dot{x}_4 = -k\beta l_2 x_3 - (k\beta l_3 + \alpha) x_4 - k\beta l_1 x_1 x_2 .$$
(39)

We now present the following result.

Proposition 3: Consider the reduced closed-loop system (36)-(39) with k > 0, $k \neq \alpha$, and $l = (l_1 \ l_2 \ l_3)$ selected such that the matrix A_1 given by equation (32) is a Hurwitz matrix. Let $(x_{10}, x_{20}, x_{30}, x_{40})$ denote an initial condition with $x_{10} \neq 0$. Then the following hold.

(i) The trajectory $(x_1(t), x_2(t), x_3(t), x_4(t))$ is bounded for all $t \ge 0$ and converges exponentially to zero.

(ii) The control $(v_1(t), v_2(t))$ is bounded for all $t \ge 0$ and converges exponentially to zero.

Proof: Consider the reduced closed-loop system (36)-(39) and denote by $(x_{10}, x_{20}, x_{30}, x_{40})$ an initial condition with $x_{10} \neq 0$.

(i) Clearly, we have $x_1(t) = x_{10}e^{-kt}$. Since $x_{10} \neq 0$, $x_1(t) \neq 0$, $\forall t \in [0, \infty)$. Under the stated assumptions, the reduced closed-loop system in the transformed coordinates is globally exponentially stable. Thus, the variables $x_1(t), x_2(t), x_3(t)/x_1(t), x_4(t)/x_1(t)$ converge exponentially to zero. It follows that the trajectory $(x_1(t), x_2(t), x_3(t), x_4(t))$ is bounded for all $t \geq 0$ and converges exponentially to zero.

(ii) From the above discussion, each term in the control v given by (34)-(35) consists of bounded terms which converge exponentially to zero. Hence, the result follows.

Remark 1: The above result demonstrates that for initial conditions satisfying $x_{10} \neq 0$, the feedback control law (34)-(35) is well-defined for all $t \geq 0$. Moreover, it drives the system (36)-(39) to the origin, while avoiding the set

$$N = \{(x_1, x_2, x_3, x_4) \mid x_1 = 0, (x_1, x_2, x_3, x_4) \neq 0\}.$$

Clearly, one can use a finite time feedback law [9] to move the system away from N.

We now return to the problem of asymptotic stabilization of the system (8)-(13) with u_1 and u_2 , instead of x_5 and x_6 , as control inputs. Note that since $u_1 = \dot{x}_5$ and $u_2 = \dot{x}_6$, the problem corresponds to the classical situation where integrators are added at the input level.

Again restrict consideration to $x_1 \neq 0$ and consider the following controller

$$u_1(x) = -K(x_5 - v_1(x_1, x_2, x_3, x_4)) + s_1(x) , \qquad (40)$$

$$u_2(x) = -L(x_6 - v_2(x_1, x_2, x_3, x_4)) + s_2(x) , \qquad (41)$$

where $v_1(x_1, x_2, x_3, x_4)$ and $v_2(x_1, x_2, x_3, x_4)$ denote the feedback controls (34)-(35) for the reduced system; and $s_1(x)$ and $s_2(x)$ correspond to their time derivatives along the trajectories of the system (8)-(13):

$$\begin{split} s_1(x) &= -kx_5 \ , \\ s_2(x) &= -l_1(x_6 + x_3 x_5) - l_2 \frac{x_4 - x_2 x_5}{x_1} + l_3 \frac{\alpha x_4 + \beta x_5 x_6}{x_1} \\ &+ l_2 \frac{x_3 x_5}{x_1^2} + l_3 \frac{x_4 x_5}{x_1^2} \ . \end{split}$$

Now assume that the control parameters are selected such that k, l_1 , l_2 and l_3 satisfy the conditions of Proposition 3 and K > k, L > 0. Consider the coordinate transformation

$$y = x_1, \ z_1 = x_2, \ z_2 = \frac{x_3}{x_1}, \ z_3 = \frac{x_4}{x_1},$$

 $w_1 = x_5 + kx_1, \ w_2 = x_6 + l_1x_2 + l_2\frac{x_3}{x_1} + l_3\frac{x_4}{x_1}.$

It can be shown that in the above coordinates the closedloop system can be written as

$$\dot{y} = -ky + w_1 , \qquad (42)$$

$$\dot{z} = (A_1 + \tilde{A}_2(t))z + h(t) ,$$
 (43)

$$\dot{w}_1 = -Kw_1 , \qquad (44)$$

$$\dot{w}_2 = -Lw_2 , \qquad (45)$$

where A_1 is the matrix given by (32) and

$$\begin{split} \tilde{A}_2(t) &= \begin{pmatrix} 0 & r_1(t) & 0 \\ -r_2(t) & r_2(t) & 0 \\ \beta l_1 r_2(t) & \beta l_2 r_2(t) & (\beta l_3 - 1) r_2(t) \end{pmatrix} ,\\ r_1(t) &= (e^{-kt} y_0 + \frac{e^{-Kt} - e^{-kt}}{k - K} w_{10}) \\ & (-ke^{-kt} y_0 + (e^{-Kt} - e^{-kt}) w_{10}) ,\\ r_2(t) &= (e^{-kt} y_0 + \frac{e^{-Kt} - e^{-kt}}{k - K} w_{10})^{-1} e^{-Kt} w_{10} ,\\ h(t) &= \begin{pmatrix} 1 & 0 & \beta(k - r_2(t)) \end{pmatrix}' e^{-Lt} w_{20} . \end{split}$$

Clearly, (y, w_1, w_2) -dynamics is globally exponentially stable at $(y, w_1, w_2) = (0, 0, 0)$. Moreover, it can be shown that if $w_{10} = ky_0$ (or, equivalently, $x_{50} = 0$), then $\tilde{A}_2(t)$ and h(t) go to zero as $t \to \infty$; and

$$\int_0^\infty ||A_2(t)|| dt < \infty , \quad \int_0^\infty ||h(t)|| dt < \infty$$

It follows that, for any initial condition $(y_0, z_0, w_{10}, w_{20})$ satisfying $y_0 \neq 0$ and $w_{10} = ky_0$, both the trajectory $(y(t), z(t), w_1(t), w_2(t))$ and the control $(u_1(t), u_2(t))$ are bounded for all $t \geq 0$ and converge exponentially to zero.

We now present the following result.

Proposition 4: Consider the system (8)-(13) with the feedback controls (40)-(41), where the control parameters are selected such that k, l_1 , l_2 and l_3 satisfy the conditions of Proposition 3 and K > k, L > 0. Let $x_0 = (x_{10}, x_{20}, x_{30}, x_{40}, x_{50}, x_{60})$ denote an initial condition satisfying $x_{10} \neq 0$ and $x_{50} = 0$. Then the following hold.

(i) The trajectory $(x_1(t), x_2(t), x_3(t), x_4(t), x_5(t), x_6(t))$ is bounded for all $t \ge 0$ and converges exponentially to zero.

(ii) The control $(u_1(t), u_2(t))$ is bounded for all $t \ge 0$ and converges exponentially to zero.

Remark 2: Note that the above choice of the feedback control guarantees that the x_1 -dynamics satisfies the second-order linear dynamics

$$\ddot{x}_1 + (K+k)\dot{x}_1 + Kkx_1 = 0$$
.

It can be easily seen that if $x_{10} \neq 0$ and $x_{50} = 0$, then $x_1(t) \neq 0$, $\forall t \in [0, \infty)$. Thus, for all initial conditions satisfying $x_{10} \neq 0$ and $x_{50} = 0$, the feedback control law (40)-(41) is well-defined for all $t \geq 0$. Moreover, it drives the system (8)-(13) to the origin, while avoiding the set

$$N' = \{ x \in \mathbf{R}^6 \mid x_1 = 0 , x \neq 0 \} .$$

Clearly, one can use a finite time feedback control law to move the system to a state satisfying the conditions of Proposition 4. For example,

$$egin{aligned} u_1 &= -|x_1-\epsilon|^a sign(x_1-\epsilon) - |x_5|^b sign(x_5) \;, \ u_2 &= 0 \;, \end{aligned}$$

where $b \in (0, 1)$, a > b/(2-b) and $\epsilon \neq 0$ are constants, can be used to transfer the system to a state statisfying the conditions of Proposition 4 in finite time [9].

5. Example

We illustrate the results of the paper with a simulation example of a surface vessel with two independent propellers as shown in Figure 1. The system parameters are given by

$$\begin{split} m_{11} = & 200 \ kg, \ m_{22} = & 250 \ kg, \ m_{33} = & 80 \ kg.m^2 \ , \\ d_{11} = & 70 \ kg.s^{-1}, \ d_{22} = & 100 \ kg.s^{-1}, \ d_{33} = & 50 \ kg.m^2.s^{-1}. \end{split}$$

A computer implementation of the discontinuous feedback control law specified in Proposition 4 was used to asymptotically stabilize the origin. The gains were chosen as

$$k = 1, l = (3.0208, 2.8125, 0.0990), K = 2, L = 2$$
.



Figure 1: Model of a Surface Vessel.

Note that the above choice of the gain matrix l locates the eigenvalues of the matrix A_1 given by equation (32) at (-0.5, -0.5, -0.5). The results of the simulation for a sample initial condition given by $(x_1, x_2, x_3, x_4, x_5, x_6)$ $= (0.5\pi, 1, -1, 0, 0, 0)$ (or equivalently $(x, y, \psi, v_x, v_y, \omega_z)$ $= (1, 1, 0.5\pi, 0, 0, 0)$) are shown in Figures 2-4. Figure 2 shows the time responses of the configuration variables x, y and ψ . The time responses for the velocities v_x, v_y and ω_z and the controls F_x and T_z are shown in Figures 3 and 4, respectively. Exponential convergence of the closed-loop state and control trajectories can be observed from the results of the simulation.

6. Conclusions

Following a controllability and stabilizability analysis, time-invariant discontinuous feedback control laws have been derived for the asymptotic stabilization of a surface vessel with two independent thrusters. Boundedness and convergence of the closed-loop state and control trajectories have been demonstrated. The effectiveness of the proposed feedback control laws has been illustrated through a simulation example.

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Figure 2: Configuration Variables x, y and ψ .



Figure 3: Velocity Variables v_x , v_y and ω_z .



Figure 4: Controls F_x and T_z .