## Energy Migration Governs Upconversion Losses in Er<sup>3+</sup>-doped Integrated Amplifiers

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Energy-transfer upconversion (ETU) is a detrimental effect in many rare-earth-ion-doped infrared amplifiers and lasers [1], among them  $\text{Er}^{3+}$ -doped waveguide amplifiers [2].  $\text{Er}^{3+}$  concentrations in the order of  $10^{20}$  cm<sup>-3</sup> are usually necessary to attain high gain values on the centimeter length scale of an integrated optical device. At such high  $\text{Er}^{3+}$  doping, electric dipole-dipole interactions between neighboring ions such as energy migration and ETU take place, thereby reducing the population inversion and negatively affecting the gain performance of the amplifier. We investigated these effects by lifetime and gain measurements, see Figs. 1 (a) and (c), respectively, in Al<sub>2</sub>O<sub>3</sub>:Er<sup>3+</sup> waveguides and analyzed the results in the frame of the microscopic model developed by Zubenko *et al.* [3]. The luminescent decay from the <sup>4</sup>I<sub>13/2</sub> first excited level of Er<sup>3+</sup> can be described by the equation

$$n(t) = \frac{n(0)\exp(-t/\tau_D)}{1 + n(0)\left(\frac{\pi^2}{3}\right)\sqrt{\frac{C_{DA}}{\tau_0}}\tau_D\left\{\sqrt{1 + \frac{\tau_0}{\tau_D}}\operatorname{erf}\left(\sqrt{t\left(\frac{1}{\tau_0} + \frac{1}{\tau_D}\right)}\right) - \exp(-t/\tau_D)\operatorname{erf}\left(\sqrt{\frac{t}{\tau_0}}\right)\right\}}, \text{ where } \operatorname{erf}(x) = \frac{2}{\sqrt{\pi}}\int_0^x \exp(-t^2)dt$$

is the error function, n(t = 0) = n(0) is the initial excitation density of the <sup>4</sup>I<sub>13/2</sub> level,  $\tau_D$  is its intrinsic lifetime,  $C_{DA}$  is the microparameter of ETU from the <sup>4</sup>I<sub>13/2</sub> level, and  $\tau_0$  is the mean time of a migration hop. By fitting the experimental decay curves measured in samples with 7 different  $Er^{3+}$  concentrations, out of which only 4 are shown in Fig. 1 (a) for simplicity, we find  $\tau_D = 7.6$  ms and  $C_{DA} = (6.1\pm0.6) \times 10^{-41}$  cm<sup>6</sup>/s, while  $\tau_0$  decreases from 65 ms down to 1 ms with increasing  $Er^{3+}$  concentration, see Fig. 1(b). This behavior is due to decreasing distance among  $Er^{3+}$  ions with increasing concentration, which enhances the probability of the energy-migration process.





These results allow for a direct understanding of the physical mechanism that influences the Al<sub>2</sub>O<sub>3</sub>: $Er^{3+}$  amplifier performance. The internal net gain per unit length, see Fig. 1 (c), initially increases with  $Er^{3+}$  concentration and then saturates at about the same concentration, indicated by red arrows in Figs. 1 (b) and (c), at which the migration mean time  $\tau_0$  becomes faster than the intrinsic luminescence lifetime  $\tau_D$ , i.e., where the transition from static to migration-accelerated upconversion occurs. At higher  $Er^{3+}$  concentrations energy can migrate efficiently between neighboring  $Er^{3+}$  ions at time scales shorter than the intrinsic lifetime  $\tau_D$ , thus enhancing ETU, which consequently reduces the population inversion and leads to a decrease in the optical gain.

We believe that this quantitative correlation between competition of migration mean time  $\tau_0$  with intrinsic decay time  $\tau_D$  and the impact of ETU on amplifier performance is a pattern of general nature, which can be found in many rare-earth-ion-doped gain materials. Investigations in other doped materials are in progress.

## References

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