# Upconversion spectroscopy of Al<sub>2</sub>O<sub>3</sub>:Er<sup>3+</sup>

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The spectroscopic properties of  $Al_2O_3$ :  $Er^{3+}$  thin films have been investigated by lifetime measurements. The luminescence decay curves show an initial non-exponential component, followed by an exponential tail, whose decay time decreases with increasing  $Er^{3+}$  concentration. This behavior can be described with good accuracy by a microscopic treatment that takes into account both energy migration and energytransfer upconversion among  $Er^{3+}$  ions. Parameters such as the migration mean time  $\tau_0$ and the donor-acceptor transfer probability  $C_{DA}$  are derived. We show that, in the concentration range of interest for waveguide amplifiers at 1.5 µm, upconversion occurs mostly in the static regime.

## Introduction

Erbium-doped amorphous aluminum oxide thin films are of great interest for applications such as integrated amplifiers and lasers. Due to the amorphous host structure, the  $\mathrm{Er}^{3+} {}^{4}\mathrm{I}_{13/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$  transition typically results in a broad emission peak centered at 1535 nm (within the standard telecommunications wavelength window), allowing amplification over a wide wavelength range.

To achieve gain in such short-length (cm scale) integrated devices, it is necessary to reach  $\text{Er}^{3+}$  concentrations of  $10^{20}$ - $10^{21}$  cm<sup>-3</sup> [1]. However, when the  $\text{Er}^{3+}$  concentration increases, energy transfer processes such as energy migration and energy-transfer upconversion (ETU) can decrease the performance of Er-doped devices, thus limiting the useful level of Er doping. Hence it is important to obtain a good understanding of these effects.

## Theory

In a macroscopic treatment, the effect of ETU in the rate equations for population dynamics is expressed by a term  $Wn^2$ , where W is the time-independent but  $Er^{3+}$ -concentration-dependent upconversion parameter and n is the concentration of excited ions. However, this approach is valid only in the "kinetic limit" of the migration-accelerated regime of ETU. In order to describe our experimental results correctly, we need to include the static regime, in which energy migration is low. Hence a microscopic treatment is required. A comprehensive approach that takes into account both energy migration and ETU individually has been developed by Zubenko *et al.* [2]. We adapted this model to describe properly the population dynamics in Al<sub>2</sub>O<sub>3</sub>: $Er^{3+}$ . The equation for luminescent decay from the <sup>4</sup>I<sub>13/2</sub> first excited level of  $Er^{3+}$  reads:

$$n(t) = \frac{n(0)\exp(-t/\tau_D)}{1+n(0)(\pi^2/3)\sqrt{\frac{C_{DA}}{\tau_0}}\tau_D\left\{\sqrt{1+\frac{\tau_0}{\tau_D}}erf\left(\sqrt{t\left(\frac{1}{\tau_0}+\frac{1}{\tau_D}\right)}\right) - \exp(-t/\tau_D)erf\left(\sqrt{\frac{t}{\tau_0}}\right)\right\}}, (1)$$

where n(t = 0) = n(0) is the initial excitation density of the <sup>4</sup>I<sub>13/2</sub> level,  $\tau_D$  is its intrinsic lifetime,  $C_{DA}$  is the microparameter for the ETU process from <sup>4</sup>I<sub>13/2</sub>, and  $\tau_0$  is the mean time of a migration hop of excitation energy in the <sup>4</sup>I<sub>13/2</sub> level.

#### **Experiment and Results**

1-µm-thick  $Er^{3+}$ -doped Al<sub>2</sub>O<sub>3</sub> slab waveguides were reactively co-sputtered on thermally oxidized Si <100> substrates [3]. Approximately 4-cm-long channel waveguides were then etched to a depth  $\leq$  50 nm with a width of 4 µm [4]. Luminescence decay measurements were performed on eight different  $Er^{3+}$ -doped samples, with  $Er^{3+}$  concentrations ranging from 0.27 to 4.22 × 10<sup>20</sup> cm<sup>-3</sup>. The samples were excited with 976-nm pump light from a diode laser modulated by an external square-pulse generator. The pulse had a duration of 40 ms, allowing the populations of the  $Er^{3+}$  system to reach a steady state before the pump was switched off (at t = 0). The modulated pump light was coupled into the waveguide with an optical fiber and the luminescence was collected using a high N.A. liquid fiber mounted normal to the sample surface. The luminescence was then diffracted by a monochromator and detected by an InGaAs photodiode. The resulting signal was acquired with a digital oscilloscope.

The decay curves for four different  $\text{Er}^{3+}$  concentrations are shown in Fig. 1(a) along with the fit from Eq. 1. They show an increasingly fast non-exponential initial component induced by ETU, while at long delay times we observe an exponential tail which exhibits an asymptotic decay time. Its value is reported in the inset of Fig. 1(a). The intrinsic lifetime  $\tau_D$  of the  ${}^{4}I_{13/2}$  level was measured in the sample with the lowest dopant concentration and had a value of 7.5 ms. n(0) was calculated by a rate-equation simulation of the  $\text{Er}^{3+}$  energy-level system, hence  $C_{\text{DA}}$  and  $\tau_0$  were the only free parameters of the fit.

The result for  $C_{DA}$  is  $(6.25 \pm 0.15) \times 10^{-41}$  cm<sup>6</sup>/s, while the results for  $\tau_0$  are shown in Fig. 1(b). As expected,  $\tau_0$  decreases from 65 ms down to 1 ms when the Er<sup>3+</sup> concentration increases: the ions become closer and closer, the energy migration increases, hence the migration time becomes shorter.

For the four samples with the lowest  $\text{Er}^{3+}$  concentration,  $\tau_0 > \tau_D$ , i.e. according to Ref. [2] we are in the static regime of ETU, while for the samples with the highest  $\text{Er}^{3+}$  concentration,  $\tau_0 < \tau_D$ , hence we are approaching the migration-accelerated regime.



Fig. 1. (a) Luminescence decay curves (black dots) along with the fits (red lines) for different  $\text{Er}^{3+}$  concentrations and (b) the results for the migration time  $\tau_0$  (dots) as a function of  $\text{Er}^{3+}$  concentration. The intrinsic lifetime  $\tau_D$  is also shown for comparison (red line).

## Conclusions

Luminescence decay measurements have been performed in  $Al_2O_3$ :  $Er^{3+}$  channel waveguides for different  $Er^{3+}$  concentrations. The upconversion microparameter  $C_{DA}$  and the migration mean time  $\tau_0$  have been evaluated and will be used in on-going amplifier simulations.

#### References

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