

University of Groningen

## SUV<sub>max</sub> for predicting regional control in oropharyngeal cancer

Lekanne dit Deprez, Lisa W.; Morand, Grégoire B.; Thüring, Christian; Pazahr, Shila; Hüllner, Martin W.; Broglie, Martina A.

*Published in:*  
European Archives of Oto-Rhino-Laryngology

*DOI:*  
[10.1007/s00405-021-07169-7](https://doi.org/10.1007/s00405-021-07169-7)

**IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.**

*Document Version*  
Publisher's PDF, also known as Version of record

*Publication date:*  
2022

[Link to publication in University of Groningen/UMCG research database](#)

*Citation for published version (APA):*

Lekanne dit Deprez, L. W., Morand, G. B., Thüring, C., Pazahr, S., Hüllner, M. W., & Broglie, M. A. (2022). SUV<sub>max</sub> for predicting regional control in oropharyngeal cancer. *European Archives of Oto-Rhino-Laryngology*, 279(6), 3167-3177. <https://doi.org/10.1007/s00405-021-07169-7>

### Copyright

Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: <https://www.rug.nl/library/open-access/self-archiving-pure/taverne-amendment>.

### Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

*Downloaded from the University of Groningen/UMCG research database (Pure): <http://www.rug.nl/research/portal>. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.*



# SUV<sub>max</sub> for predicting regional control in oropharyngeal cancer

Lisa W. Lekanne dit Deprez<sup>1,2</sup> · Grégoire B. Morand<sup>1,3,4</sup> · Christian Thüring<sup>1,3,4</sup> · Shila Pazahr<sup>3,4,5</sup> · Martin W. Hüllner<sup>3,4,5</sup> · Martina A. Broglie<sup>1,3,4</sup>

Received: 13 May 2021 / Accepted: 2 November 2021 / Published online: 15 November 2021  
© The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2021

## Abstract

**Purpose** To investigate the predictive value of pretherapeutic metabolic tumor imaging using 18-fluorodeoxyglucose positron emission tomography (FDG-PET) for regional response in oropharyngeal cancer patients undergoing primary (chemo) radiation.

**Methods** Retrospective analysis of oropharyngeal cancer patients treated with primary (chemo)radiation at the University Hospital Zurich from 2010 to 2019 with available FDG-PET. The SUV<sub>max</sub> of the largest lymph node metastases was recorded. Regional response was assessed using posttherapeutic FDG-PET at 12 weeks and regional recurrence-free survival.

**Results** 95 patients with a mean age of 68.5 years (SD 10.3) were included. The median pretherapeutic nodal SUV<sub>max</sub> was 8.3 (interquartile range 4.4–13.3). A pretherapeutic nodal SUV<sub>max</sub> above 6 significantly predicted poorer regional recurrence-free survival (log-rank test,  $P=0.009$ ) in univariate analysis. However, in multivariate analysis SUV<sub>max</sub> above 6 was not significant in predicting regional recurrence-free survival (Cox regression  $P=0.189$ ). Clinical N category showed a trend in which a more severe stage had a poorer regional survival (Cox regression  $P=0.073$ ).

**Conclusion** The SUV<sub>max</sub> of the largest lymph node metastasis seems to play a role in predicting regional response in oropharyngeal cancer patients, after stratifying for N category. More research is needed to investigate whether highly metabolically active disease is less likely to respond to chemoradiation.

**Keywords** Fluorodeoxyglucose F18 · Papillomavirus infections · Squamous cell carcinoma of head and neck · Positron emission tomography computed tomography · Lymphatic metastasis

## Introduction

Oropharyngeal squamous cell carcinoma (OPSCC) is an aggressive malignancy of the head and neck, classically caused by exposure to extrinsic carcinogens such as tobacco smoke and alcohol [1]. In the past decades, human papillomavirus (HPV)-associated OPSCC has gained importance

leading to a lower incidence of smoking and alcohol-associated OPSCC despite an increasing overall incidence of OPSCC, especially in individuals younger than 60 years [2]. HPV-positive OPSCC classically presents with a small primary tumor and advanced nodal disease with cystic degeneration. This tumor type usually has a more favourable prognosis compared to their HPV-negative counterparts [3, 4] when treated with intensified treatment [5].

OPSCC can be treated by surgery, radiotherapy with or without concomitant chemotherapy, or with a combination of modalities. There is considerable controversy about the optimal primary treatment as both methods (surgery followed by (chemo)radiation vs. chemoradiation followed by surgery) have specific advantages and drawbacks [6]. Surgical resection prior to radiotherapy has the advantage of potentially reducing the radiation volume and obviating the need for concomitant chemotherapy [7, 8]. However, in case of multiple lymph node metastases and/or extranodal extension, adjuvant radiotherapy with or without concomitant chemotherapy is indicated [7, 8].

✉ Grégoire B. Morand  
Gregoire.morand@mail.mcgill.ca

<sup>1</sup> Department of Otorhinolaryngology-Head and Neck Surgery, University Hospital Zurich, Frauenklinikstrasse 24, 8091 Zurich, Switzerland

<sup>2</sup> University of Groningen, Groningen, The Netherlands

<sup>3</sup> University of Zurich, Zurich, Switzerland

<sup>4</sup> Department of Neuroradiology, University Hospital Zurich, Zurich, Switzerland

<sup>5</sup> Department of Nuclear Medicine, University Hospital Zurich, Zurich, Switzerland

For very aggressive disease, an upfront tri-modality approach might be necessary.

Primary chemoradiation on the other hand has the advantage to potentially prevent tri-modality treatment and shorten the overall treatment time [7, 9]. However, in case of persistence, salvage treatment is necessary, which is associated with poorer oncological and functional outcome for both the primary tumor region and the neck [10]. Hence, a pretherapeutic prediction of response to chemoradiation in OPSCC would be desirable.

18-fluorodeoxyglucose positron emission tomography (FDG-PET) has been evaluated as a prognostic tool in the pretherapeutic setting. FDG-PET can not only be used for staging in head and neck cancers [11–14], it may also be used to predict tumor aggressiveness and radio-oncological and surgical outcomes [15–17]. Metabolic tumor parameters derived from FDG-PET, such as the maximum standardized uptake value ( $SUV_{max}$ ), are commonly used for this purpose. Increased metabolic activity and glucose uptake are known to inversely correlate with tumor hypoxia and hence tumor aggressiveness through the Warburg effect [18–20].

The role of FDG-PET in the posttherapeutic setting for both local and regional response is established [21]. In the pretherapeutic setting, its value is also well established in predicting local response. However, the literature for regional response shows conflicting results [22, 23]. One possible explanation might be a so far unassessed bias due to cystic degeneration of lymph nodes seen commonly in HPV-positive disease [4]. Studies on the effect of cystic degeneration on metabolic tumor imaging are scarce. A study by Haerle et al. shows that cystic degeneration of lymph nodes may lead to low  $SUV_{max}$  values and can be hard to detect on non-enhanced FDG-PET/CT or non-enhanced CT alone [24]. The rate of HPV-positivity in that patient cohort had not been outlined.

Owing to better soft tissue contrast, if readily available, MR is commonly preferred over CT when evaluating soft tissue in the head and neck area [25]. Therefore, FDG-PET/MR may provide better diagnostic results than FDG-PET/CT in detecting cystic degeneration, particularly with small lymph nodes, thus solving the aforementioned bias.

Therefore, we reviewed OPSCC patients treated with primary chemoradiation in order to assess the role of metabolic tumor imaging in the prediction of tumor response, taking into consideration cystic degeneration of nodal metastases in relation to tumoral p16 positivity. We also evaluated and compared the diagnostic performance of PET/CT and PET/MR.

## Materials and methods

### Study population

The experimental protocol of the study was approved by the local ethics review board (*Kantonale Ethikkommission Zürich*, protocol number 2016-01,799, including amendment of December 14th, 2018). All research was conducted according to the relevant regulations and guidelines. Informed consent of all participating patients has been obtained for the examination. We included patients treated at the Department of Otorhinolaryngology-Head and Neck Surgery of the University Hospital Zurich, Zurich, Switzerland, between 2010 and 2019. Inclusion criteria were available pre- and posttherapeutic FDG-PET, either PET/CT or PET/MR; pretherapeutic FDG-PET performed within 6 weeks of treatment start; posttherapeutic FDG-PET performed at  $12 \pm 1$  weeks after completion of chemoradiation. Included were patients with lymph nodes that were considered malignant according to the FDG-PET/CT or FDG-PET/MR report, owing to nodal characteristics such as enlargement, irregular margins and signs of extracapsular spread, pathologic uptake of FDG and other signs of malignancy [26]. Patients presenting with multiple synchronous head and neck squamous cell carcinomas, patients with distant metastatic disease at initial presentation, patients not treated with curative intent, patients with primary surgical treatment, patients treated with neo-adjuvant chemotherapy and patients who have not completed radiotherapy treatment of at least 60 Gray (Gy) were excluded.

We set up a database with the required data from patients included in the study with detailed data on age, gender, smoking, drinking habits, clinical and pathological stage, p16 status, presence of extranodal extension as proven by histopathology, number of lymph nodes with increased FDG uptake on pretherapeutic PET, follow-up time, recurrence, disease-specific survival and overall survival. We determined the clinical N classification using ultrasound-guided fine-needle aspiration biopsy (US-FNAB) of suspicious lymph nodes. Smoking was defined as a current daily consumption of cigars or cigarettes. Alcohol consumption was defined as a daily intake of more than 3 units of alcohol. 1 unit of alcohol was defined as 8 g of pure alcohol. Recurrence was divided into local and regional recurrence, according to tumor recurrence at the primary site or in regional lymph nodes, respectively. Distant failure was defined as disease occurring in non-regional lymph nodes and/or distant sites. Follow-up time was calculated from the day of chemoradiation completion to the last follow-up visit. In case of recurrence, the time to recurrence was calculated from the day of chemoradiation

completion to the day of cytological or histological proof of recurrence. A diffuse, “block-type” p16INK4A overexpression in tumor tissue by immunohistochemistry served as a surrogate marker for HPV-driven carcinogenesis of oropharyngeal squamous cell carcinoma [27]. Patients were staged using the TNM staging system, 7th edition.

The primary outcome of the study was the prediction of therapy response of nodal disease according to pretherapeutic metabolic tumor imaging data. Secondary outcomes were the effect of cystic degeneration in metastatic lymph nodes on pretherapeutic metabolic tumor imaging data and the detection of cystic degeneration in metastatic lymph nodes by FDG-PET/MR compared to FDG-PET/CT.

### PET/CT and PET/MR imaging

PET scans were obtained according to standard procedures. All patients fasted for at least 4 h prior to the scan. Patients were injected with a standardized dose of 3.5 MBq of FDG per kg body weight (PET/CT) or 3.0 MBq FDG per kg body weight (PET/MR) or with a BMI-adapted body-weight-dependent dosage protocol (used on digital PET scanners from 2018 on) [28]. All patients had a blood glucose level below 10 mmol/l before imaging. During the uptake time of 1 h, patients rested in a silent, warm and dimmed environment. Scans were acquired using integrated PET/CT scanners (Discovery VCT, Discovery 690, Discovery MI, GE Healthcare, Waukesha, WI) or an integrated PET/MR scanner (Signa PET/MR, GE Healthcare). Scans included either a diagnostic CT scan of the neck after administration of iodinated contrast medium, or a diagnostic regionalized PET/MR scan of the neck using gadolinium-based contrast medium [29]. Detailed technical acquisition protocols have been published previously [29, 30].

### Tumoral FDG uptake

Tumoral FDG uptake was obtained under supervision of a board-certified nuclear physician and radiologist (MWH). The  $SUV_{max}$  of the largest lymph node was recorded.  $SUV_{max}$  was calculated automatically using a standard formula [maximum activity in region of interest ÷ (injected dose × body weight)]. Further, the most active lymph node was compared with the activity of the liver and the blood within the internal jugular vein and scored from 1 to 5 according to the Hopkins criteria [31]. Correct analysis of FDG uptake was ensured through side-by-side reading of the corresponding CT or MR images of the tumor in the axial, coronal, and sagittal plane. Borders of regions of interest (ROI) were set by manual adjustment to exclude adjacent physiologic FDG-avid structures. A written report by a doubly board-certified nuclear medicine physician/radiologist was available for all FDG-PET/CT and FDG-PET/MR

exams. Complete metabolic response was defined as a score of 2 or less according to the Hopkins criteria [31].

### Cystic degeneration of lymph nodes

A board-certified neuroradiologist (SP) reviewed the largest metastatic lymph node for cystic degeneration on CT and MR imaging alone. Cystic lymph nodes typically have a comparably thin wall and are characterized by components being replaced by fluid density or intensity. On contrast-enhanced CT, this was assessed by measuring the hypodense areas and recording their Hounsfield units (HU). Lymph nodes with < 25 HU were defined as cystic. Cystic appearance was assessed on MR imaging using T1-weighted and T2-weighted images. Cystic degeneration and necrotic change were grouped together as cystic. Figure 1 demonstrates 2 patients with and 2 patients without cystic degeneration imaged on a FDG-PET/CT and on a FDG-PET/MR scan, respectively.

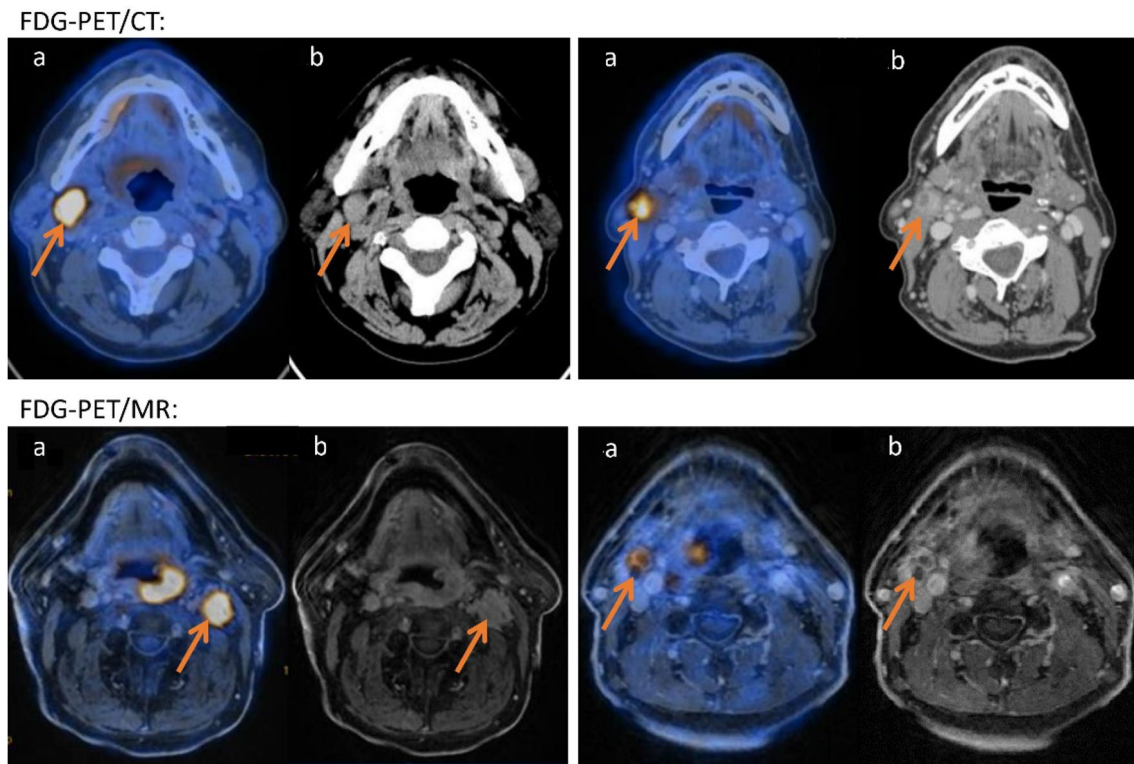
### Statistical analysis

For continuous variables, distribution was evaluated for normality according to Gauss' theorem. For normally distributed variables (age, node size), mean and standard deviations are given, and comparison among study groups was done using the t-test. For non-normally distributed variables (smoking,  $SUV_{max}$  of lymph node, follow-up time), median and interquartile range (IQR) are given. To compare distribution among samples, the nonparametric Mann–Whitney *U* test was used for two samples. Categorical variables were presented as counts (proportions [%]). Binary variables were associated in contingency tables using the two-tailed Pearson chi-squared test. The Mantel–Haenszel statistics was used to calculate the hazard ratio and the 95% confidence interval (95% CI). We used a receiver operating characteristic (ROC) curve to select the best cut-off value for pretherapeutic  $SUV_{max}$  to predict regional recurrence. Survival curves were built according to Kaplan–Meier, and the log-rank test was used to compare factors. Cox's Regression Model was used to predict survival in a multivariate analysis. A *P* value lower than 0.05 was considered to indicate statistical significance. Statistical analyses were performed using SPSS® 26.0.0.1 software (IBM®, Armonk, NY, USA).

## Results

### Patient and tumor characteristics

We included a total of 95 patients with OPSCC and metastatic lymph nodes on FDG-PET/CT or -/MR imaging treated with chemoradiation. The baseline characteristics



**Fig. 1** **1a, b** Axial FDG-PET/CT and CT image, respectively, demonstrating a level II lymph node without cystic degeneration. **2a, b** Axial FDG-PET/CT and CT image, respectively, demonstrating a level II lymph node with cystic degeneration. **3a, b** Axial FDG-PET/

MR and MR image, respectively, demonstrating a level II lymph node without cystic degeneration. **4a, b** Axial FDG-PET/MR and MR image, respectively, demonstrating a level II lymph node with cystic degeneration

according to the study group are shown in Table 1. All 95 patients received local radiotherapy treatment with a median of 70 Gy (IQR 70–70). Furthermore, 67 patients (70.5%) received concomitant chemotherapy, and 19 patients (20.0%) cetuximab. Thirty-one patients (32.3%) presented with recurrence during follow-up. Five of these patients suffered from local recurrence (16.1%), fifteen from regional recurrence (48.4%), four from local and regional recurrence (12.9%) and seven from distant recurrence (22.6%). The median follow-up time for the cohort was 16 months (IQR 7.8–27 months).

In 56 patients (58.9%), the largest lymph node was cystic and in 39 patients it was non-cystic (41.1%). The median pretherapeutic nodal  $SUV_{max}$  was 8.3 (IQR 4.4–13.3). The median posttherapeutic nodal  $SUV_{max}$  was  $< 1$  (IQR  $< 1$ –2.5).

### Imaging characteristics of lymph node metastases

Cystic lymph nodes were significantly larger than non-cystic lymph nodes (independent-samples Mann–Whitney  $U$  Test  $P = 0.013$ , Fig. 2).

Cystic lymph nodes had significantly higher pretherapeutic  $SUV_{max}$  in comparison to non-cystic lymph nodes

(independent-samples Mann–Whitney  $U$  Test,  $P < 0.001$ , Fig. 3). The posttherapeutic nodal  $SUV_{max}$  did not differ between both groups (independent-samples Mann–Whitney  $U$  Test  $P = 0.226$ ).

We found no statistically significant correlation between tumoral p16 status and the presence of cystic degeneration of lymph nodes (Mantel–Haenszel HR 1.6 (95% CI 0.65–3.71,  $P = 0.319$ ).

### Detection of cystic degeneration with FDG-PET/CT and FDG-PET/MR

There were 72 (75.8%) pretherapeutic FDG-PET/CT scans available and 23 (24.2%) pretherapeutic FDG-PET/MR scans. Cystic degeneration was detected on FDG-PET/CT images in 43 cases, while cystic degeneration was present on FDG-PET/MR images in 13 cases.

The detection of cystic degeneration of metastatic lymph nodes by FDG-PET/CT was equal to FDG-PET/MR (Pearson Chi-Square test,  $\chi^2 = 0.074$   $P = 0.786$ , Fig. 4).

**Table 1** Baseline characteristics of study cohort

Variable	No. of patients = 95
Age (years)	
Mean (SD)	68.5 (10.4)
Gender	
Male	70 (73.7%)
Female	25 (26.3%)
Smoker	
Yes	39 (43.3%)
No	51 (56.7%)
Pack years in lifetime	
< 10 py	30 (32.3%)
≥ 10 py	63 (67.7%)
Alcohol consumption per day	
< 3 units	61 (65.6%)
> 3 units	22 (23.7%)
Former abuse	5 (5.4%)
None	5 (5.4%)
p16 status	
Positive	55 (57.9%)
Negative	34 (35.7%)
N/A	6 (6.4%)
Tumor subsite	
Tonsil, tonsillar pillars and glossotonsillar sulcus	46 (48.4%)
Base of tongue	34 (35.8%)
Vallecula	7 (7.4%)
Soft palate/Uvula	5 (5.3%)
Not specified oropharynx	2 (2.1%)
Posterior wall	1 (1.1%)
Clinical T category	
T1 + T2	23 (24.2%)
T3 + T4	72 (75.8%)
Clinical N category by US/FNAB	
N0	18 (19.4%)
N1	44 (47.3%)
N2	27 (29.0%)
N3	4 (4.3%)
Positive nodes that show uptake on PET scan	
0	5 (5.3%)
1	18 (18.9%)
2	24 (25.3%)
≥ 3	48 (50.5%)
Size of largest node (mm)	
Median (IQR)	21.5 (12–30)
Regional response	
Regional failure	19 (19.8%)
Regional control	76 (80.2%)

IQR interquartile range, SD standard deviation. Percentage consideration cases with complete information

### Pretherapeutic nodal SUV<sub>max</sub> > 6, clinical N category and nodal size predict poorer regional response

There was a total of 19 (19.8%) patients showing nodal tumor persistence of regional lymph nodes metastasis at a median time of 2 months after the end of treatment (IQR 2–4).

Using a receiver operating characteristic (ROC) curve, the best potential cut-off value for pretherapeutic SUV<sub>max</sub> was 6 (Fig. 5, sensitivity 84.2%, specificity 43.4%,  $P=0.089$ ).

In a univariable analysis, pretherapeutic nodal SUV<sub>max</sub> above 6 significantly predicted poorer regional recurrence-free survival (log-rank test,  $P=0.009$ ) (Table 2; Fig. 6).

Furthermore, more severe clinical N category by US/FNAB was a significant predictor of poorer regional recurrence-free survival (log-rank test  $P=0.010$ ) (Table 2).

Using a receiver operating characteristic (ROC) curve, the best potential cut-off value for node size was 19.5 mm (sensitivity 89.5%, specificity 49.3%,  $P=0.001$ ). The biggest lymph node being larger than 19.5 mm significantly predicted a poorer regional recurrence-free survival (log-rank test  $P<0.001$ ) (Table 2).

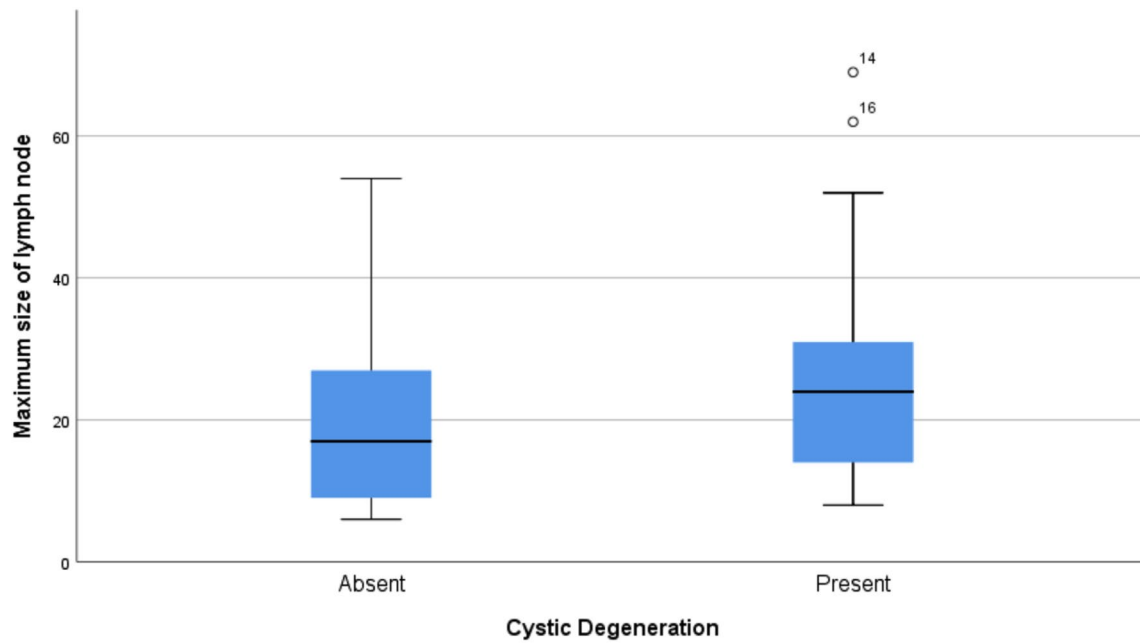
In univariable analysis, p16 status and cystic degeneration alone did not predict a poorer regional survival (log-rank test,  $P=0.968$  and  $P=0.647$ , respectively). Furthermore, smoking, alcohol, clinical T category, Hopkins criteria and extranodal extension found on imaging did not predict a poorer regional survival (log-rank test  $P=0.769$ ,  $P=0.934$ ,  $P=0.877$ ,  $P=0.613$  and  $P=0.899$ , respectively) (Table 2).

### In multivariate analysis, there were no significant predictors of poor regional recurrence-free survival

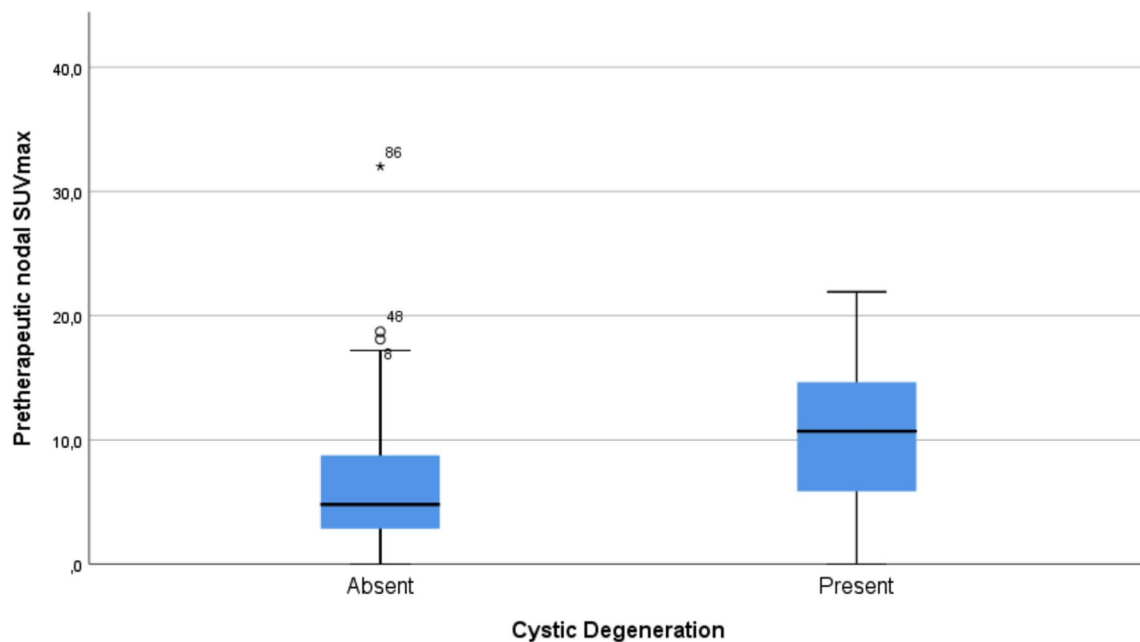
Pretherapeutic SUV<sub>max</sub> above 6 was not significant in predicting poorer regional survival in multivariate analysis (Cox regression  $P=0.189$ , 95% CI=0.581–15.735). Clinical N category showed a trend in which a more severe stage had a poorer regional survival (Cox regression  $P=0.073$ , 95% CI=0.937–4.242) (Table 3).

## Discussion

In this study, we evaluated the predictability of regional response after chemoradiation by metabolic tumor imaging in OPSCC. We showed that a high pretherapeutic nodal SUV<sub>max</sub> was associated with poor regional response. Also, more severe clinical N category and larger nodal size were predictors of poor regional response. However, in a multivariate analysis these variables were not significant. Clinical N category showed a trend in which a more severe category was associated with poorer regional survival. Cystic lymph nodes had a higher pretherapeutic SUV<sub>max</sub> and were larger



**Fig. 2** A box-plot showing the difference of the maximum size of the lymph node between cystic and non-cystic lymph nodes and its spread. Nodes were significantly larger when cystic degeneration was present (independent-samples Mann–Whitney  $U$  Test  $P=0.013$ )

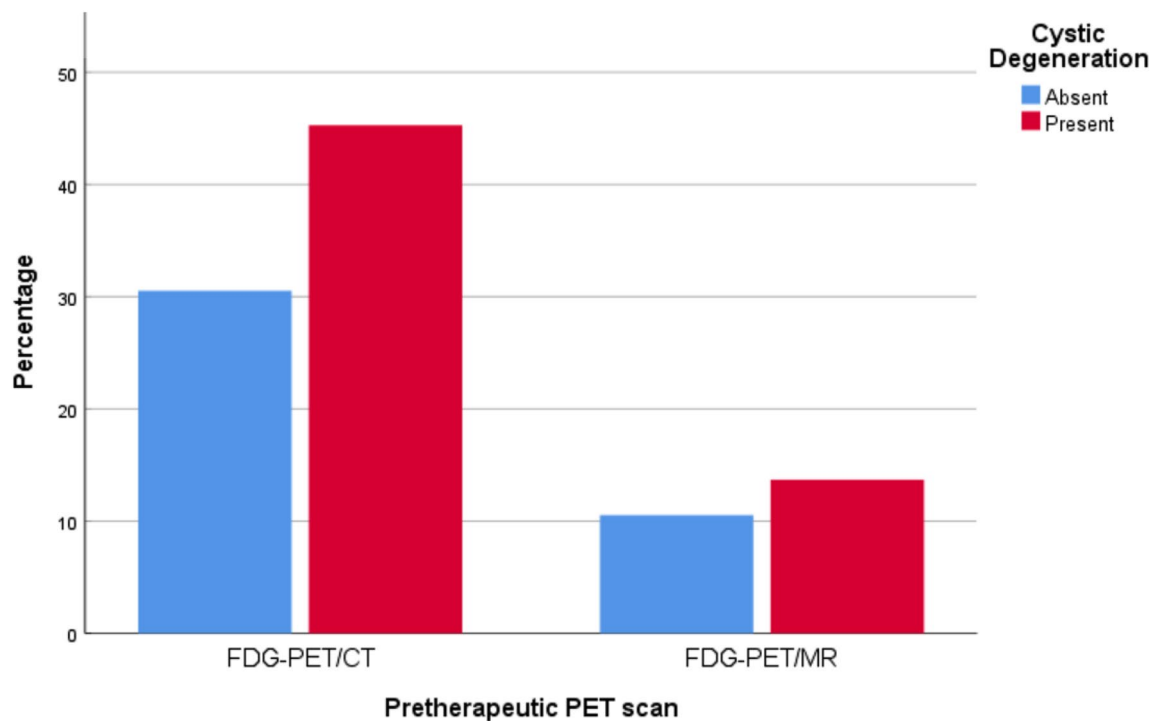


**Fig. 3** A box-plot showing the correlation between pretherapeutic  $SUV_{max}$  across cystic degeneration. Cystic lymph nodes exhibited significantly higher  $SUV_{max}$  (independent-samples Mann–Whitney  $U$  Test,  $P=0.000$ )

compared to their non-cystic counterparts. The rate of detection of cystic metastases was similar for FDG-PET/MR and FDG-PET/CT.

While the evidence is quite definite with regard to the predictability of local response using the pretherapeutic  $SUV_{max}$

of the primary tumor [32, 33], study results on pretherapeutic nodal  $SUV_{max}$  and therapy outcome are somewhat controversial [22, 23, 34]. Several studies examined prediction of survival and recurrence using metabolic tumor imaging prior to therapy. However, they did not investigate regional



**Fig. 4** A bar chart showing the detection of cystic degeneration of lymph nodes with FDG-PET/CT and FDG-PET/MR. No association was found between cystic lymph nodes and the type of scan (Pearson Chi-Square test,  $\chi^2=0.074$   $P=0.786$ )

recurrence specifically. Schwartz et al. found that the pretherapeutic nodal  $SUV_{max}$  does not predict local recurrence and disease-free survival [22]. However, their study cohort of 36 patients was rather heterogeneous, with several types of HNSCC, partly treated with primary chemoradiation and partly with primary surgery. Liao et al. evaluated the prognostic value of pretherapeutic nodal  $SUV_{max}$  in 108 relapsed oral cavity squamous cell carcinoma patients and found that a pretherapeutic nodal  $SUV_{max}$  of  $\geq 4.2$  was predictive of poor prognosis [35]. In a retrospective analysis of 212 head and neck cancer patients, the pretherapeutic  $SUV_{max}$  of a lymph node was predictive for distant recurrence, but not for overall failure or local failure [34].

Studies regarding regional response using the pretherapeutic nodal  $SUV_{max}$  are scarce. Differently from results in our study, Schwartz et al. found in an analysis of N2–3 stage head and neck cancer patients that a pretherapeutic nodal  $SUV_{max}$  above the median value of their study cohort was not associated with regional relapse [36]. However, they included not only oropharyngeal cancer but also laryngeal cancer and hypopharyngeal cancer, with more advanced N stages compared to our study. The median nodal  $SUV_{max}$  in their cohort was 10.6, which is considerably higher compared to our cohort. In contrast, Liao et al. showed that in 120 patients with oral cavity squamous cell carcinoma the pretherapeutic nodal  $SUV_{max}$  was a predictor for the 5-year regional control and survival [23]. However, in their study,

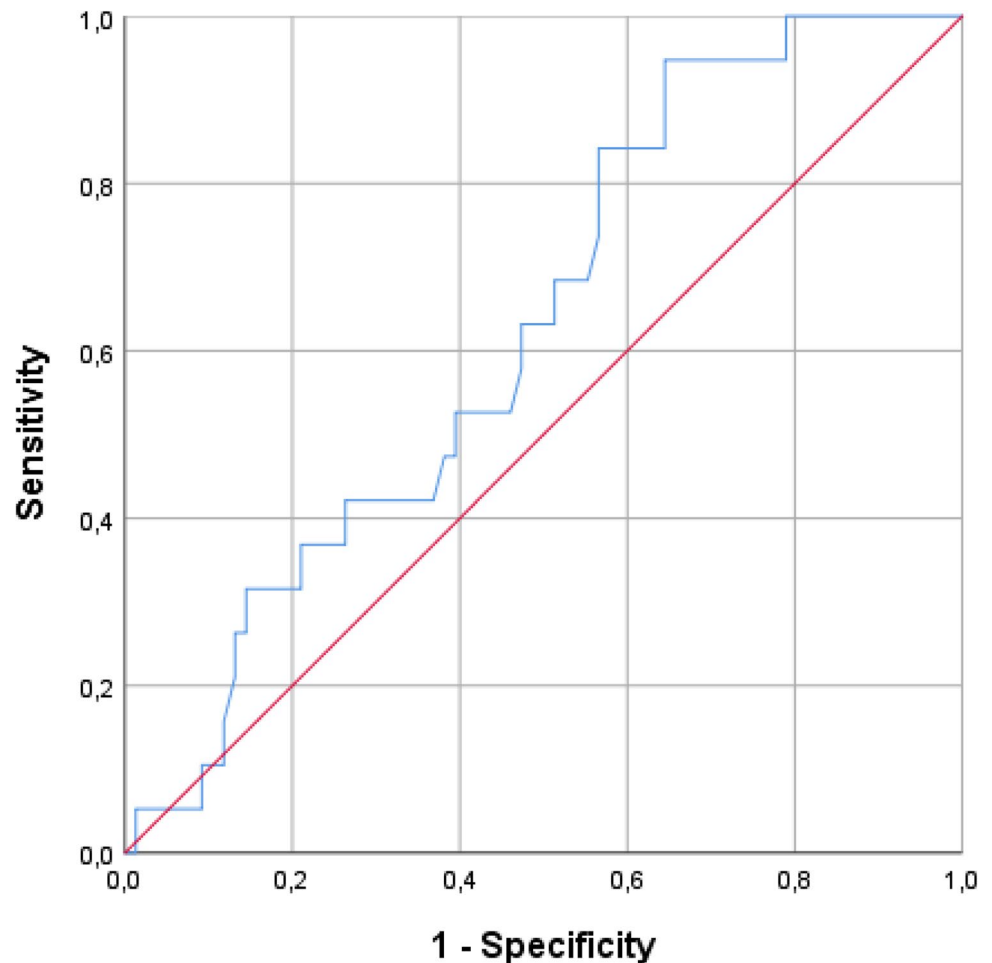
patients were treated with primary surgery and not with chemoradiation. Similar to our study, Inokuchi et al. found that the pretherapeutic nodal  $SUV_{max}$  above 6 predicted worse outcome, including nodal progression-free survival. [37]. Their cohort included a variety of HNSCC subtypes and also patients with incomplete radiation treatment (< 60 Gy). Unlike the aforementioned studies, our study consists of a comparably homogeneous cohort of OPSCC patients.

Radiologically found extranodal extension was not a predictor of regional recurrence. Shaw et al. showed in a cohort of 400 patients with oral squamous cell carcinoma that the incidence of regional recurrence was higher in metastatic nodes with extranodal extension [38]. However, their patients were treated with surgery instead of chemoradiation and extranodal extension was histologically proven. Furthermore, they did not perform a survival analysis to examine if extranodal extension is a predictor of regional recurrence. Benchetritt et al. found in a meta-analysis with 3603 patients that neither radiologically, nor pathologically diagnosed extranodal extension was associated with locoregional recurrence in HPV-positive oropharyngeal cancer [39].

The preferred assessment for extranodal extension would be pathologic evaluation after neck dissection. Maxime et al. concluded that diagnostic performance of imaging modalities shows variations between studies [40]. According to the authors, this may be due to significant



**Fig. 5** ROC curve analysis for pretherapeutic SUV<sub>max</sub> on predicting regional recurrence. The area under the ROC curve was 0.627 (95% CI 0.501–0.752,  $P=0.089$ ) and 6 was determined as best potential cut-off value in predicting regional recurrence sensitivity 84.2%, specificity 43.4%



**Table 2** Univariable survival analysis

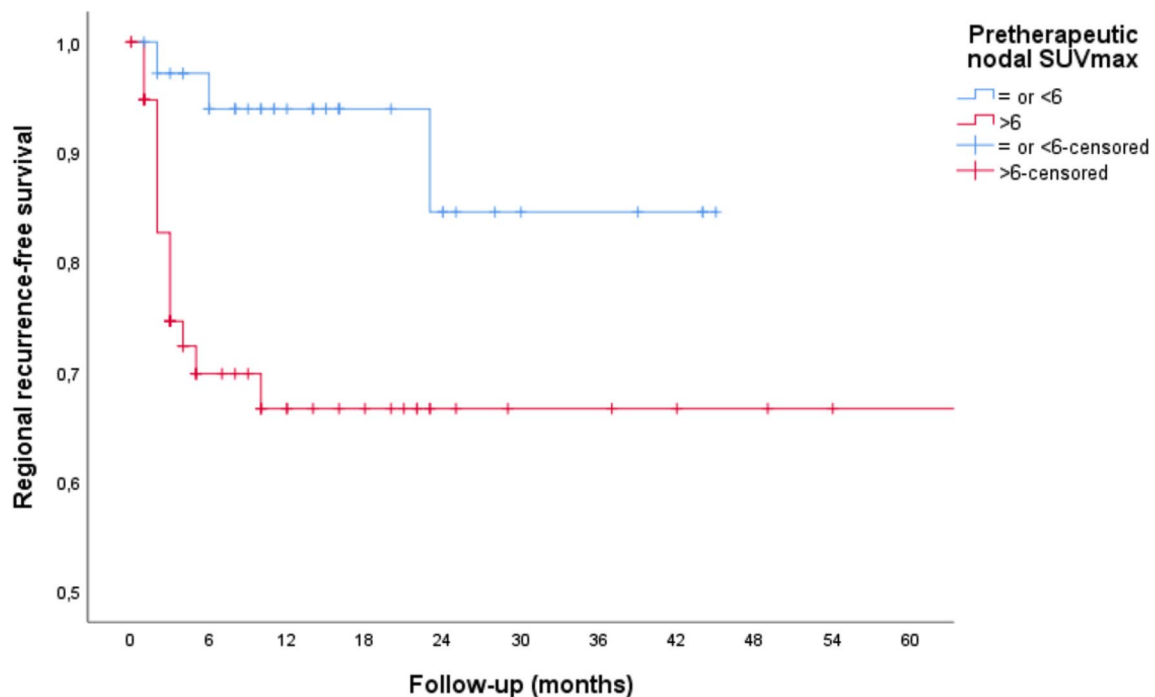
Variable	Number of cases	Number of events	Mean survival time ± SE	$P$ value (Log-rank)
Pretherapeutic SUV <sub>max</sub>	95	19	58.4 ± 4.0	0.009
Clinical N category	93	17	58.7 ± 4.0	0.010
Size of biggest lymph node	88	19	57.3 ± 4.1	<0.001
P16 status	89	18	57.8 ± 4.3	0.968
Cystic degeneration	95	19	58.4 ± 4.0	0.647
Smoking	90	18	58.2 ± 4.0	0.769
Alcohol	93	18	58.7 ± 4.0	0.934
Clinical T category	95	19	58.4 ± 4.0	0.877
Hopkins criteria	88	19	57.3 ± 4.1	0.613
Extranodal extension	22	10	12.9 ± 2.6	0.899

SE standard error. Extranodal extension as found on PET scan

variations in the histological and radiological diagnostic criteria of extranodal extension. Other variables that were not predictive of regional recurrence-free survival were p16 status, cystic degeneration, smoking, alcohol, clinical T category and Hopkins criteria. While it is known that P16 status and clinical T category are predictors for overall

survival in HNSCC [3, 4], they might not be predictive of regional survival.

In our study, cystic degeneration was equally well detected by FDG-PET/MR and FDG-PET/CT. The median size of cystic lymph nodes was 21.5 mm (IQR 12–30) in our study, which might render their detection easier. One



**Fig. 6** Kaplan–Meier plot showing regional recurrence-free survival for pretherapeutic nodal  $SUV_{max} \leq 6$  and  $SUV_{max} > 6$ . Pretherapeutic nodal  $SUV_{max}$  above 6 significantly predicted poorer regional recurrence-free survival (log-rank test,  $P=0.009$ )

**Table 3** Multivariable survival analysis

Variable	Hazard ratio	95% CI	<i>P</i> value
Pretherapeutic $SUV_{max}$	3.0	0.581–15.735	0.189
Clinical N category	2.0	0.937–4.242	0.073

CI confidence interval

caveat is the fact that, since we examined a cohort of OPSCC with primary chemoradiation, there was no histopathologic standard of reference available to define cystic degeneration. Hence, both imaging modalities could only be compared with each other. Of note, all PET/CT scan were acquired with iodinated contrast medium, which increases the soft tissue discrimination compared to non-enhanced PET/CT, potentially making it more “comparable” to PET/MR.

Our study shows that cystic lymph nodes are linked to a higher pretherapeutic  $SUV_{max}$ . Very few studies have investigated the relationship between  $SUV_{max}$  and cystic lymph nodes, and contradicting results have been published. Haerle et al. found a significant inverse correlation between  $SUV_{max}$  and the grade of necrosis in 29 patients [24]. On the other hand, cystic degeneration of lymph nodes has been associated with HPV-positive disease [13, 26, 30], which in turn was shown to yield higher nodal  $SUV_{max}$  by Clark et al. [41]. It is open to question whether HPV-negative disease with cystic lymph nodes also shows increased nodal  $SUV_{max}$  compared to non-cystic lymph nodes.

An explanation for these conflicting results may be that cystic lymph nodes are virtually not differentiable from necrotic lymph nodes using CT imaging. Central necrosis is caused by neoplastic infiltration in the medulla obstructing lymphatic flow, which results in poor blood supply [42, 43] and poor oxygenation. Through the Warburg effect, this induces upregulation of glycolysis and glucose transporters. Deron et al. showed that  $SUV_{max}$  is correlated with an upregulation of glucose transporters and the number of apoptotic cells in a tumor [44]. An aggressive tumor, requiring a lot of glucose, will grow fast, causing hypoxia and consequently central necrosis, the former being associated with chemoradiation resistance [18–20]. Such a tumor will show high  $SUV_{max}$  owing to high glucose uptake in tumor cells, at least in the non-necrotic part of the tumor. This might explain why cystic degeneration might in fact be associated with a higher  $SUV_{max}$ , as observed in our study.

Overall, the results of our study may have several implications, since we show that highly metabolically active disease is less likely to respond to chemoradiation in a univariate analysis. In a multivariate analysis  $SUV_{max}$  was not a predictor of regional survival. Therefore, the clinical relevance of  $SUV_{max}$  in predicting response to chemoradiation is limited. We found that clinical N category is a more important predictor for regional recurrence-free survival. In case of recurrence, salvage surgery is necessary which is associated with poor oncological and functional outcome for both the primary tumor and the neck [10]. Whether surgeons might

consider upfront surgery in highly metabolically active disease should be further investigated, preferably in a prospective setting and on a larger scale.

Some studies showed benefits when using upfront surgical treatment in more advanced oropharyngeal cancer. A retrospective analysis of the National Cancer Database (NCD) on base of tongue SCC reported that survival in stage III–IV disease is improved in patients treated with upfront surgery combined with radiation treatment compared to (chemo)radiation and surgical treatment alone [45]. Similarly, Kamran et al. in an analysis of the NCD database for oropharyngeal cancer showed that survival is improved when using primary surgery with adjuvant (chemo)radiation in locally advanced oropharyngeal cancer compared to primary radiation-based treatment [46]. In a cohort of 360 patients with oropharyngeal cancer, Wuerdemann et al. also indicated that upfront surgery in advanced stages had survival benefits compared to (chemo)radiation [47].

Our study has some limitations: first, the design was retrospective. Second, patients were staged using the TNM staging system 7th edition, as the 8th edition was not adopted during the initial diagnosis of the majority of the patients. Third, we had a relatively low number of patients, particularly in the FDG-PET/MR group, which makes the statistical analysis harder and gives rise to significance fallacy as well as beta error. Fourth, follow-up time of our patients was rather short. Also, for most patients, only p16 IHC testing was performed, which was considered a surrogate for the HPV status in our study. However, also the 8th edition TNM staging system considers only the p16 status as indicator of HPV-mediated carcinogenesis. For an improved determination of the HPV status of the tumor, p16 IHC should be combined with HPV DNA qPCR or even HPV RNA qPCR alone should be used [48].

## Conclusion

In conclusion, metabolic tumor imaging and clinical N stage showed a trend in predicting regional response in oropharyngeal cancer patients. A pretherapeutic nodal SUV<sub>max</sub> above 6 predicted a poorer regional recurrence-free survival in univariable analysis. Hence, highly metabolically active disease may be less likely to respond to chemoradiation. However, a more severe clinical N category seems to play a bigger role in predicting regional response. More research is needed, preferably in a prospective setting and on a larger scale, to find out whether metabolically active disease will benefit from upfront surgery. In addition, cystic lymph nodes were indicative of a higher pretherapeutic nodal SUV<sub>max</sub> irrespective of tumoral p16 positivity. This could be explained by the association of necrosis with poor oxygenation which in turn is correlated with high SUV<sub>max</sub> through the Warburg effect.

## References

- Dhull AK, Atri R, Dhankhar R, Chauhan AK, Kaushal V (2018) Major risk factors in head and neck Cancer: a retrospective analysis of 12-year experiences. *World J Oncol* 9(3):80
- Chaturvedi AK, Engels EA, Pfeiffer RM, Hernandez BY, Xiao W, Kim E et al (2011) Human papillomavirus and rising oropharyngeal cancer incidence in the United States. *J Clin Oncol* 29(32):4294
- Brogli MA, Jochum W, Michel A, Waterboer T, Foerbs D, Schoenegg R et al (2017) Evaluation of type-specific antibodies to high risk-human papillomavirus (HPV) proteins in patients with oropharyngeal cancer. *Oral Oncol* 70:43–50
- Goldenberg D, Begum S, Westra WH, Khan Z, Sciubba J, Pai SI et al (2008) Cystic lymph node metastasis in patients with head and neck cancer: an HPV-associated phenomenon. *Head Neck J Sci Spec Head Neck* 30(7):898–903
- Gillison ML, Trotti AM, Harris J, Eisbruch A, Harari PM, Adelstein DJ et al (2019) Radiotherapy plus cetuximab or cisplatin in human papillomavirus-positive oropharyngeal cancer (NRG Oncology RTOG 1016): a randomised, multicentre, non-inferiority trial. *Lancet* 393(10166):40–50
- Brogli MA, Stoeckli SJ, Sauter R, Pasche P, Reinhard A, de Leval L et al (2017) Impact of human papillomavirus on outcome in patients with oropharyngeal cancer treated with primary surgery. *Head Neck* 39(10):2004–2015
- Bernier J (2011) *Head and neck cancer: multimodality management*. Springer Science & Business Media
- Grégoire V, Lefebvre J-L, Licitra L, Felip E (2010) Squamous cell carcinoma of the head and neck: EHNS–ESMO–ESTRO clinical practice guidelines for diagnosis, treatment and follow-up. *Ann Oncol* 21(suppl5):184–186
- Tupchong L, Phil D, Scott CB, Blitzer PH, Marcial VA, Lowry LD et al (1991) Randomized study of preoperative versus postoperative radiation therapy in advanced head and neck carcinoma: long-term follow-up of RTOG study 73–03. *Int J Radiat Oncol Biol Phys* 20(1):21–28
- Rüegg P, Morand GB, Kudura K, Rupp NJ, Hüllner MW, Brogli MA (2020) Tumor cell viability in salvage neck dissections: Poor prognosis predicted by high postradiation nodal SUVmax, p16-negativity, and low nodal shrinkage. *Head Neck* 42(4):660–669
- Kyzas PA, Evangelou E, Denaxa-Kyza D, Ioannidis JP (2008) 18F-fluorodeoxyglucose positron emission tomography to evaluate cervical node metastases in patients with head and neck squamous cell carcinoma: a meta-analysis. *J Natl Cancer Inst* 100(10):712–720
- Lim RS, Ramdave S, Beech P, Billah B, Karim MN, Smith JA et al (2016) Utility of SUV max on 18 F-FDG PET in detecting cervical nodal metastases. *Cancer Imaging* 16(1):39
- Fleming AJ Jr, Smith SP Jr, Paul CM, Hall NC, Daly BT, Agrawal A et al (2007) Impact of [18F]-2-fluorodeoxyglucose-positron emission tomography/computed tomography on previously untreated head and neck cancer patients. *Laryngoscope* 117(7):1173–1179
- Cacicedo J, Navarro A, Del Hoyo O, Gomez-Iturriaga A, Alongi F, Medina JA et al (2016) Role of fluorine-18 fluorodeoxyglucose PET/CT in head and neck oncology: the point of view of the radiation oncologist. *Br J Radiol* 89(1067):20160217
- Stalder SA, Schumann P, Lanzer M, Hüllner MW, Rupp NJ, Brogli MA et al (2020) Value of SUVmax for the prediction of bone invasion in oral squamous cell carcinoma. *Biology* 9(2):23
- Werner J, Hüllner MW, Rupp NJ, Huber AM, Brogli MA, Huber GF et al (2019) Predictive value of pretherapeutic maximum standardized uptake value (Suv max) in laryngeal and hypopharyngeal cancer. *Sci Rep* 9(1):1–10

17. Morand GB, Vital DG, Kudura K, Werner J, Stoeckli SJ, Huber GF et al (2018) Maximum standardized uptake value (SUV max) of primary tumor predicts occult neck metastasis in oral cancer. *Sci Rep* 8(1):1–7
18. Bredell MG, Ernst J, El-Kochairi I, Dahlem Y, Ikenberg K, Schumann DM (2016) Current relevance of hypoxia in head and neck cancer. *Oncotarget* 7(31):50781
19. Wilson WR, Hay MP (2011) Targeting hypoxia in cancer therapy. *Nat Rev Cancer* 11(6):393–410
20. Brizel DM, Sibley GS, Prosnitz LR, Scher RL, Dewhirst MW (1997) Tumor hypoxia adversely affects the prognosis of carcinoma of the head and neck. *Int J Radiat Oncol Biol Phys* 38(2):285–289
21. Mehanna H, Wong W-L, McConkey CC, Rahman JK, Robinson M, Hartley AG et al (2016) PET-CT surveillance versus neck dissection in advanced head and neck cancer. *N Engl J Med* 374(15):1444–1454
22. Schwartz DL, Rajendran J, Yueh B, Coltrera MD, LeBlanc M, Eary J et al (2004) FDG-PET prediction of head and neck squamous cell cancer outcomes. *Arch Otolaryngol Head Neck Surg* 130(12):1361–1367
23. Liao CT, Chang JTC, Wang HM, Ng SH, Hsueh C, Lee LY et al (2009) Preoperative [18F] fluorodeoxyglucose positron emission tomography standardized uptake value of neck lymph nodes predicts neck cancer control and survival rates in patients with oral cavity squamous cell carcinoma and pathologically positive lymph nodes. *Int J Radiat Oncol Biol Phys* 74(4):1054–1061
24. Haerle SK, Strobel K, Ahmad N, Soltermann A, Schmid DT, Stoeckli SJ (2011) Contrast-enhanced 18F-FDG-PET/CT for the assessment of necrotic lymph node metastases. *Head Neck* 33(3):324–329
25. Guenzel T, Franzen A, Wiegand S, Kraetschmer S, Jahn JL, Mironczuk R et al (2013) The value of PET compared to MRI in malignant head and neck tumors. *Anticancer Res* 33(3):1141–1146
26. Hoang JK, Vanka J, Ludwig BJ, Glastonbury CM (2013) Evaluation of cervical lymph nodes in head and neck cancer with CT and MRI: tips, traps, and a systematic approach. *Am J Roentgenol* 200(1):W17–W25
27. Smeets SJ, Hesselink AT, Speel EJM, Haesevoets A, Snijders PJ, Pawlita M et al (2007) A novel algorithm for reliable detection of human papillomavirus in paraffin embedded head and neck cancer specimen. *Int J Cancer* 121(11):2465–2472
28. Sekine T, Delso G, Zaimpekis KG, de Galiza BF, Ter Voert EE, Huellner M et al (2018) Reduction of 18F-FDG dose in clinical PET/MR imaging by using silicon photomultiplier detectors. *Radiology* 286(1):249–259
29. Sekine T, de Galiza BF, Kuhn FP, Burger IA, Stolzmann P, Huber GF et al (2017) PET+ MR versus PET/CT in the initial staging of head and neck cancer, using a trimodality PET/CT+MR system. *Clin Imaging* 42:232–239
30. Queiroz MA, Huellner MW (2015) PET/MR in cancers of the head and neck. *Seminars in nuclear medicine*. Elsevier, Amsterdam
31. Marcus C, Ciarallo A, Tahari AK, Mena E, Koch W, Wahl RL et al (2014) Head and neck PET/CT: therapy response interpretation criteria (Hopkins criteria)—interreader reliability, accuracy, and survival outcomes. *J Nucl Med* 55(9):1411–1416
32. Castelli J, De Bari B, Depeursinge A, Simon A, Devillers A, Jimenez GR et al (2016) Overview of the predictive value of quantitative 18 FDG PET in head and neck cancer treated with chemoradiotherapy. *Crit Rev Oncol Hematol* 108:40–51
33. Schrepfer T, Haerle SK, Strobel K, Schaefer N, Hälgl RA, Huber GF (2010) The value of 18F-fluorodeoxyglucose positron emission tomography/computed tomography for staging of primary extranodal head and neck lymphomas. *Laryngoscope* 120(5):937–944
34. Kubicek GJ, Champ C, Fogh S, Wang F, Reddy E, Intenzo C et al (2010) FDG-PET staging and importance of lymph node SUV in head and neck cancer. *Head Neck Oncol* 2(1):19
35. Liao CT, Chang JTC, Wang HM, Ng SH, Huang SF, Chen IH et al (2009) Preoperative [18 F]-fluorodeoxyglucose positron emission tomography standardized uptake value of neck lymph nodes may aid in selecting patients with oral cavity squamous cell carcinoma for salvage therapy after relapse. *Eur J Nucl Med Mol Imaging* 36(11):1783
36. Schwartz DL, Harris J, Yao M, Rosenthal DI, Opanowski A, Levering A et al (2015) Metabolic tumor volume as a prognostic imaging-based biomarker for head-and-neck cancer: pilot results from Radiation Therapy Oncology Group protocol 0522. *Int J Radiat Oncol Biol Phys* 91(4):721–729
37. Inokuchi H, Kodaira T, Tachibana H, Nakamura T, Tomita N, Nakahara R et al (2011) Clinical usefulness of [(18) F] fluoro-2-deoxy-D-glucose uptake in 178 head-and-neck cancer patients with nodal metastasis treated with definitive chemoradiotherapy: consideration of its prognostic value and ability to provide guidance for optimal selection of patients for planned neck dissection. *Int J Radiat Oncol Biol Phys* 79(3):747–755
38. Shaw RJ, Lowe D, Woolgar JA, Brown JS, Vaughan ED, Evans C et al (2010) Extracapsular spread in oral squamous cell carcinoma. *Head Neck J Sci Spec Head Neck* 32(6):714–722
39. Benchetrit L, Torabi SJ, Givi B, Haughey B, Judson BL (2021) Prognostic significance of extranodal extension in HPV-mediated oropharyngeal carcinoma: a systematic review and meta-analysis. *Otolaryngol Head Neck Surg* 164(4):720–732
40. Mermod M, Tolstonog G, Simon C, Monnier Y (2016) Extracapsular spread in head and neck squamous cell carcinoma: a systematic review and meta-analysis. *Oral Oncol* 62:60–71
41. Clark J, Jeffery CC, Zhang H, Cooper T, O’Connell DA, Harris J et al (2015) Correlation of PET-CT nodal SUVmax with p16 positivity in oropharyngeal squamous cell carcinoma. *J Otolaryngol Head Neck Surg* 44(1):37
42. Yousem DM, Som PM, Hackney DB, Schwaibold F, Hendrix RA (1992) Central nodal necrosis and extracapsular neoplastic spread in cervical lymph nodes: MR imaging versus CT. *Radiology* 182(3):753–759
43. Som PM (1987) Lymph nodes of the neck. *Radiology* 165(3):593–600
44. Deron P, Vangestel C, Goethals I, De Potter A, Peeters M, Vermeersch H et al (2011) FDG uptake in primary squamous cell carcinoma of the head and neck. *Nuklearmedizin* 50(01):15–21
45. Zhen W, Karnell LH, Hoffman HT, Funk GF, Buatti JM, Menck HR (2004) The National Cancer Data Base report on squamous cell carcinoma of the base of tongue. *Head Neck J Sci Spec Head Neck* 26(8):660–674
46. Kamran SC, Qureshi MM, Jalisi S, Salama A, Grillone G, Truong MT (2018) Primary surgery versus primary radiation-based treatment for locally advanced oropharyngeal cancer. *Laryngoscope* 128(6):1353–1364
47. Wuerdemann N, Wittekindt C, Sharma SJ, Prigge E-S, Reuschenbach M, Gattenlöhner S et al (2017) Risk factors for overall survival outcome in surgically treated human papillomavirus-negative and positive patients with oropharyngeal cancer. *Oncol Res Treat* 40(6):320–327
48. Schache AG, Liloglou T, Risk JM, Filia A, Jones TM, Sheard J et al (2011) Evaluation of human papilloma virus diagnostic testing in oropharyngeal squamous cell carcinoma: sensitivity, specificity, and prognostic discrimination. *Clin Cancer Res* 17(19):6262–6271