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## A deeper understanding of noise effects on cetaceans

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## 2 A deeper understanding of noise effects on cetaceans

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### AQ1 Summary

7 Recent research with cetaceans under human care is illuminating just how dolphins are affected by human-made noise both  
8 in terms of their ability to cooperate as well as their ability to habituate to such noise. This research is providing granular  
9 detail to regulators assessing the problems associated with anthropogenic effects and is highlighting a role for behavior/  
10 cognition research in conservation.

11 A great deal of work has been done to assess wild cetacean  
12 responses to both simulated and real human-made ocean  
13 sounds. Usually, this work is done by assessing how wild  
14 animals withdraw from or approach sound stimuli presented  
15 in their environment. However, recently there has been a  
16 call to consider data collected from animals under managed  
17 care to get more fine scale assessments of how noise affects  
18 cetaceans' behavior as well as consider cognition in response  
19 mitigation (Southall et al., 2021; Stevens et al., 2021). As  
20 such, we are starting to see researchers incorporate experi-  
21 ments with animals in managed care to give more detailed  
22 granular assessments of cetaceans under the effects of noise.  
23 One example of particular interest comes out of work done  
24 at the Dolphin Research Center investigating how noise  
25 impairs cooperation in dolphins trained on a tandem task  
26 (Sørensen et al., 2023).

27 An elegant study, what Sørensen et al. (2023) does is  
28 model cooperative scenarios that get to the very nature  
29 of adaptive sociality in bottlenose dolphins. Social asso-  
30 ciation and coordination are key to survival in cetaceans  
31 because cooperative hunting, group defense against sharks,  
32 and mating are vital to maintaining fitness in these highly  
33 social mammals. Sørensen et al. (2023) captures coopera-  
34 tion using a clever methodology that involves two dolphins,  
35 their respective trainers, and two buttons located 22 meters  
36 away on the opposite sides of the lagoon. The dolphins are  
37 sent simultaneously by each of their trainers to each press  
38 their buttons within 1 s of each other (see Jaakkola et al.,

2018, for original methodology). When the dolphin dyad  
successfully performed tandem button presses, they were  
rewarded with a success sound (and a fish), whereas when  
the dolphin dyad delayed the second press by more than a  
second after the first press, they were greeted with a failure  
sound (and no fish). Making this study more challenging  
than the preceding one (Jaakkola et al., 2018) is the presence  
of an underwater speaker or power washer—emitting vari-  
ous levels of sound—in the middle of the lagoon positioned  
directly in the midpoint between the buttons.

As one might predict, success on this cooperation task for  
the dolphin dyad was inversely proportional to the amount of  
noise generated by the speaker. Under very high noise levels  
( $150 \pm 4$  dB re 1 mPa, rms), success on the tandem button-  
press task dropped by 22.5% versus ambient levels (mean  $\pm$   
 $SD = 115 \pm 6$  dB re 1 mPa, rms). Whistle communication  
from one member of the dyad also dropped from 41 whistles  
in the quietest category (ambient noise) to only 15 whistles  
in the presence of very high noise. The other member of the  
dyad produced the most whistles in the low-noise category  
(33) but still relatively few in the very high-noise category  
(16). The nature of the sounds produced under very high  
noise is also of note, as both dolphins increased their whistle  
duration to accommodate the noise (although only one of the  
two did so significantly). The authors also report a positive  
relationship between the increase in dolphin acoustic output  
and the amplitude of sound presented to the animals. I will  
point out that while the sound levels of the playback stimuli  
(at the dolphins) varied from ambient to very high by as  
much as 20 dB 1  $\mu$ Pa, rms, dolphin vocalizations only var-  
ied between 2 and 4 dB 1  $\mu$ Pa, rms, which shows the limits  
of the high amplitude responses to loud noises in dolphin  
vocal systems.

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72 In context, this paper is one of the first to highlight the  
 73 direct effects of noise on potential survival critical cooper-  
 74 ative behaviors in a social mammal. While pressing buttons  
 75 is not something typically thought of as life or death, eat-  
 76 ing or defense of young is. One can easily see this artificial  
 77 button-pressing cooperation task as a model for behaviors  
 78 like cooperative hunting where, in dolphins, coordination is  
 79 required to corral fish into a bait ball or coordinating posi-  
 80 tioning of adults around young to protect them from shark  
 81 predation. As such, Sørensen et al. (2023) highlights a look  
 82 into a behavioral response to noise likely very difficult to  
 83 observe under naturalistic conditions and allows us to even  
 84 quantify a direct relationship between noise and task failure.

85 We are left with at least two potential reasons for task fail-  
 86 ures in the wild in the presence of noise. As an explanation  
 87 for their results, Sørensen et al. (2023) lean heavily on noise  
 88 and its role in masking dolphin communication. No doubt  
 89 that acoustic masking plays a predominant role in disrupting  
 90 communication and therefore behavioral cooperation which  
 91 likely impeded success in this task. Previous research has  
 92 clearly demonstrated the role communication plays in the  
 93 tandem button-press task (Jaakkola et al., 2018). However,  
 94 for those of us interested in cognitive mechanisms noise as  
 95 a distractor is an attractive thought in the broader question  
 96 about how noise affects animal success in the wild. Perhaps  
 97 noise not only inhibits communication between partners but  
 98 also disrupts an animals' focus, hijacking attention, percep-  
 99 tion and behavior, especially in the presence of noises to  
 100 which the animals are not habituated. One could evaluate  
 101 this idea by looking at success over the course of a study  
 102 like this one to see if the dolphins' performance improves  
 103 posthabituation and then falls off when novel sounds are  
 104 presented. Or, more simply, future researchers could remove  
 105 the tandem aspect of the behavior and see if and how noise  
 106 affects generalized performance on other associative/operant  
 107 tasks. That could help get at the question of how cognitive  
 108 load affects operant performance without the communication  
 109 component, removing the possibility that masking explains  
 110 the poorer performance.

111 Recent research has demonstrated that dolphin habit-  
 112 uation and sensitization occur to different types of

113 anthropogenic sound stimuli based on the nature of the  
 114 sound itself. Furthermore, dolphins can readily lose their  
 115 habituation to sound sources following periods of quiet, as  
 116 was shown when dolphins resumed responding to cruise ship  
 117 playbacks during the COVID-19 anthropause after they had  
 118 shown habituated responses pre-pandemic (Stevens et al.,  
 119 2023). Stevens et al. (2023) highlights the ability of sounds  
 120 to distract dolphins differentially based on type and expo-  
 121 sure history. What will be interesting for cognitive ecologists  
 122 moving forward will be separating, where possible, the role  
 123 of cognitive processes related to attention from perceptual  
 124 processes resulting from acoustic masking effects. What is  
 125 clear, however, is that animals under managed care have  
 126 a role to play in helping elucidate more clearly just what  
 127 effects human noise is having on cetaceans as they try to  
 128 survive in an increasingly noisy ocean.  
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