See discussions, stats, and author profiles for this publication at: https://www.researchgate.net/publication/351625499

Human Error-Induced Risk in Reinforced Concrete Bridge Engineering

Article *in* Journal of Performance of Constructed Facilities · August 2021 DOI: 10.1061/(ASCE)cF.1943-5509.0001595

CITATION	5	READS				
5		397				
3 autho	rs:					
	Neryvaldo Galvão		Jose Campos Matos			
	Infrastructure Management Consultants		University of Minho			
	20 PUBLICATIONS 89 CITATIONS		267 PUBLICATIONS 1,178 CITATIONS			
	SEE PROFILE		SEE PROFILE			
	Daniel V. Oliveira					
E	University of Minho					
	370 PUBLICATIONS 6,494 CITATIONS					
	SEE PROFILE					
Some o	Some of the authors of this publication are also working on these related projects:					
Project	Project HeritageCARE - Monitoring and preventive conservation of the historic and cultural heritage View project					

Vernacular buildings from the Entre-Douro-e-Minho. View project

Human Errors induced risk in reinforced concrete bridge engineering

- 3 Authors:
- 4 Neryvaldo Galvão^{1*}
- 5 José C. Matos²
- 6 Daniel V. Oliveira³
- 7

8 Abstract:

9 Throughout the last century and in recent years, several bridge failures have taken place worldwide. Recent studies 10 uncovered that the primary cause of these collapses were human errors in design, construction and operation 11 phases. Regardless of this finding, there is still a considerable gap between this information and the known errors 12 and the risk they represent for structural safety. Aiming for a better understanding of human errors, an identification 13 procedure and a qualitative assessment of such errors considering risk-based indicators (probability of occurrence 14 and consequence) was performed. Several brainstorming meetings with design and construction experts led to the 15 identification of 49 relevant human errors, which were listed for further evaluation on a survey. Much more 16 important than identifying and assessing these errors is identifying those that pose a greater threat to safety. Using 17 a decision-making tool (Analytical Hierarchy Process) to process all the information collected in the survey, the 18 errors were ranked according to risk indicators. Furthermore, a qualitative risk assessment is performed, allowing 19 the identification of the errors denoting higher risk for structural safety, according to experts' opinions.

20

22 (AHP)

23

24

²¹ Keywords: Human error, Bridge Failure, Reinforced Concrete Bridges, Risk Analysis, Analytic Hierarchy Process

 ¹ Ph. D candidate, University of Minho, Civil Engineering Department, Institute for Sustainability and Innovation
 in Structural Engineering, Guimarães, 4800-058, Portugal. Email: neryvado.galvao17@live.com *(corresponding
 author)

 ² Assistant Professor, University of Minho, Civil Engineering Department, Institute for Sustainability and
 Innovation in Structural Engineering, Guimarães, 4800-058, Portugal. Email: jmatos@civil.uminho.pt

 ³ Associated Professor, University of Minho, Civil Engineering Department, Institute for Sustainability and
 Innovation in Structural Engineering, Guimarães, 4800-058, Portugal. Email: danvco@civil.uminho.pt

32 Introduction

33 As one of the key elements for economic growth and citizens' well-being, the transportation sector has always 34 been a valuable asset for societies. Nevertheless, the transportation system depends very often on connections 35 provided by roadway, railway, and footway bridges. Thus, these infrastructures play a crucial role in the 36 transportation network, being responsible for tremendous consequences when wrongly managed. Several 37 examples can be found in the literature (Cavaco, 2013; Imhof, 2004; Scheer, 2010). According to design standards 38 (CEN, 2005; ISO, 2015; JCSS, 2001), a structure shall be designed and executed in such a way, with an appropriate 39 degree of reliability and robustness, that it will not be damaged to an extent disproportionate to triggering events 40 such as explosion, impact and consequence of human errors. Aiming at the reduction of occurrence rate and 41 consequence of bridge collapse, the reliability and robustness of these structures should be increased (Starossek 42 and Haberland 2010), as well as the identification of the sources of uncertainties and triggering events that may 43 lead to their failure.

Relying on the work developed by (Syrkov, 2017) and further developed within task group 1.5 of the International Association for Bridge and Structural Engineering (IABSE), statistics in bridges failure will be briefly discussed. Such a database is probably the most relevant bridge failure database available with more than 700 bridge failure incidents worldwide from 1966 to 2018 and covering the leading causes of failure. Examining the information provided by the database, it is concluded that the main source of uncertainties triggering bridges collapse is human errors (see Fig. 1). The design and construction errors are responsible for 31.8% of the collapses, while the operation errors are responsible for 32.2%.

51 Several definitions have been given to human errors in the literature. Nevertheless, due to its broad scope, a 52 formal definition is required, leaning toward its boundaries definition to prevent misunderstandings with other 53 works definitions. Within the scope of this paper, human errors are any Procurement, Design, Construction and 54 Operation errors (deviations out of the acceptable margins) that do not exceed the currently available engineering 55 knowledge and have taken place due to poor work condition, lack of knowledge, negligence, miss instruction and 56 communication, greed, calculation errors, time and budget constraints, inadequate construction methods, lack of 57 surveillance, among others. Such errors or uncertainties are not covered by the partial safety factors given in 58 present-day semi-probabilistic standards. A similar understanding of what is understood by human errors is shared by (Stewart & Melchers, 1988; Tylek, Kuchta, Rawska-Skotniczny, 2017, Brehm & Hertle, 2017). Also, aiming 59 60 the explanation of human errors boundaries, its clusters are schematically presented in Fig. 2. Human errors and

human-made hazards are two major components of human factors field, being the former the interaction of humans
with a system as a technician, and the later the interaction of humans with the system as a user.

63 By performing a more exhaustive analysis over the database, aiming at a more detailed analysis of the causes of 64 failure of reinforced concrete bridges, some relevant specific failure causes are presented in Fig. 3. Each of these 65 specific failures causes are linked to the main ones as follow: (a) Natural hazards (floods and wind effects); (b) 66 Human-made hazards (ship collision, explosion, vehicle collisions, vandalism, and overloading by live load); (c) Design and construction errors (design defect, construction defect, design and construction defects and 67 68 construction negligence); (d) Operation errors (corrosion, deterioration of reinforced concrete, and overloading by the live load (during maintenance works)). A similar classification of the causes of the collapse of bridges can be 69 70 found in (Deng et al. 2016; Imhof, 2004). Some specific causes of failure such as debris in the water, creep and 71 shrinkage, temperature, normal corrosion, and freeze-thaw cycles with small percentage were gathered into the 72 group named Others. One can observe from Fig. 3 that flood is responsible for a considerable number of failures, 73 and due to climate change, its influence is likely to increase due to increasing rainfall intensity (Chen 2017). More 74 detailed research on flooding effects (namely scour) uncertainties can be found in (Johnson et al. 2015; Manfreda 75 et al. 2018).

76 **Procurement errors**

The procurement phase is defined by the explanation of the overall idea of an undertaking, definition of execution deadlines suitable for the owner, forecast of the overall cost of the project and selection of the technical team (designer and contractor). The incorrect management of the procurement phase very often leads to poor decision making from the owner, creating and stimulating several sources of the errors taking place in the design, construction and operation phase.

82 Given the highly aggressive labour market, companies from the construction industry sometimes face decisions where they are forced to assume execution time and financial costs beneath the needs for the proper fulfilment of 83 84 the durability, safety or serviceability requirements. Hence, the technical expertise and technology required for 85 successful design and execution are not always the key factor for the contractor or the designer eligibility. The restricted execution time and limited resources usually lead to simplification of complex tasks that typically require 86 87 expertise and detailed approach, leading very often to assumptions that do not correspond to the reality nor reliable execution strategies. Therefore, many of the errors that might occur in the later stages of a project are often the 88 89 consequence of the procurement phase's primary mistakes. Quality control strategies are also features of the

- 90 procurement phases that can greatly impact the identification and mitigation process of potential sources of errors.
- 91 Thus, a balance between reasonable execution time, cost, quality control strategies and the selection of a qualified
- 92 technical team (enough experience) should be set as a strategy for human error mitigation in the long term.

93 **Design errors**

94 Conceptual errors

95 The conceptual stage considers several essential aspects required for a successful design. Aspects such as the 96 contextualization of the design project in time and space, considering the available engineering technology, 97 adequate base material at disposal, maximum concrete strength manufactured with local raw materials, reachable 98 technical and non-technical support, suitable structural system and construction procedure (according to 99 geotechnical constraints) and required geotechnical characteristics. All these aspects influence the cost of the project and the complexity of execution, consequently providing a greater or lesser environment for human errors 100 101 occurrence (Fröderberg, 2015). Hence, a well-achieved conceptual design is also a mitigation strategy of human 102 errors induced risk. Such considerations should demand an even greater consideration when the project is of non-103 local or international nature since the designer and the contractor must get familiar with local needs and safety 104 requirements.

105 A well achieved conceptual design pays off in the long run, by a good structural performance during its 106 operation, minimization of the structure life cycle costs and robust performance under expected or even unexpected 107 single or multiple hazards. Projects with a daring conceptual design with large spans, uncommon column and deck 108 shapes, and other unique characteristics are more vulnerable to human errors, requiring utmost attention and 109 mitigation measures. For conventional bridges though, the conceptual design is a more standardized procedure 110 since agencies already established internal specifications dictate the material, span, structural systems, among other 111 features of the structure. Thus, a less error-prone design is to be expected. A good example of a well-achieved 112 conceptual design, leading to the reduction of a human-made hazard probability of occurrence, is the consideration 113 of an arch bridge instead of a common girder bridge with several piers to avoid vessels collisions on the piers. This 114 example is given in a context where the bridge would span over a river/narrow sea with high traffic. The definition 115 of a structural system compatible with construction procedure or technique usually employed by contractors is also a good conceptual strategy aiming to reduce execution difficulties. 116

117 Structural analysis and design errors

118 Nowadays, international demand over the construction industry requires design corporations to be involved in 119 numerous projects worldwide. Under this scenario, local standards must be used during the design very often, and 120 the philosophy behind them may be varying from one to another. The same standards can be occasionally 121 incomplete, leading to the need for the combination of different standards. Errors scenarios are drawn, mostly 122 when the quantification of design loads is completed using a given standard where safety factors are less strict in 123 the quantification of the design loads than in the resistance computation. Simultaneously, the resistance 124 computation is performed according to a different standard where the philosophy behind it is the opposite. 125 Consequently, the structural reliability due to these standards' combination will be below the target values 126 established initially by both standards. Non-coherence between several international design codes was reported by (Sykora et al. 2017), where different target reliability values are recommended for the same case study. 127

128 Another common source of error is in the definition of the structure boundary conditions or the soil-structure 129 interaction due to high uncertainties linked to soil behaviour when wrongly addressed. Foundation rotations, 130 differential settlements, support condition stiffness and geotechnical failure, are issues that require careful evaluation from experts, but sometimes neglected, even though they are responsible for tremendous consequences. 131 132 Other issues, such as mistaken allocation of the bearing devices and lack of maintenance leading to support 133 condition different from the initially designed, can lead to a severe structural system malfunctioning. A common 134 example used to demonstrate the importance of the previously mentioned matter is the development of second-135 order effects in bridges with long piers due to the development of friction forces between the deck and the 136 malfunctioning bearing devices, caused by the deck thermal expansion or shrinkage. As the bearing device allows 137 the deck to deform freely at the design stage, the second-order effects are typically not considered for strength 138 computation.

During the construction and transportation of structural components, a structural element or the structural system itself goes through different static conditions that are often different from the final ones considered in the design. Therefore, the structural system or the element resistance might be tested in certain cross-sections not designed to support unexpected stresses. Precast and prestressed elements are often damaged by the failure of decompression limit state caused by this error, leading to premature cracks. Nevertheless, more severe consequences such as element yielding or system collapse may also occur, especially when the construction technique demands static conditions changings during different assemble steps.

146 **Detailing errors**

147 All the information gathered and created by the designer is transferred to the main contractor through detailed drawings. Through the detailing phase, two stakeholders with different mindset are deeply connected; hence, the 148 149 information conveyance must be clear to avoid any misinterpretation of the high volume of information being 150 transferred. These are common characteristics of all linking and interface activities. As an interface or linking 151 activity, detailing is considered a potential source of errors since it is susceptible to a mistaken interpretation of the given information, absence of specific information, drawings mistakes, among other errors. As such, the 152 153 detailing phase should be carefully managed, especially when the information being transferred is of great 154 importance for structural safety.

During the structural analysis and design, several assumptions are made, and very often, these assumptions play a crucial role in the successful performance of a system. It is not uncommon to find detailing drawings where the detailing strategy does not agree with the standard recommendations for the previously considered design assumptions, leading to unpredicted behaviours. For instance, systems with some redistribution capabilities are usually proposed in seismic design. Thus, the connection between different structural elements is expected to have improved ductile behaviour; hence, specific detailing strategies should be used, so the structural analysis correspond to the structure performance as built.

162 **Construction errors**

163 **Falsework execution errors**

164 Falsework or scaffold execution errors are referred to as being the most commons errors and responsible for the 165 worst consequences in the execution phase. They often lead to complete demolition of concrete elements and a 166 high number of fatalities and injuries. A flawed assessment of the falsework foundation, or no assessment at all 167 going beyond the visual inspection, is a common problem. It is not unusual to find an execution plan where the 168 collected data from piers or abutments foundation location are used to check the falsework foundation resistance. This procedure may fail when the falseworks are needed in extended lengths, because the foundation's geotechnical 169 170 properties may vary along its length, especially if the given soil is heterogeneous, leading to soil properties 171 assumptions entirely dissimilar to the real one. This mistaken assumption may well end up in substantial 172 settlements, converted into large deflections, or even structural collapse. Another likely scenario of failure is the 173 non-consideration of the reduction of soil resistance due to rainfall conditions. For this auxiliary structure, the area

through which the load is transferred to the ground is usually small; thus, the soil stress limits should be carefully controlled. A common mistake here is the use of soil maximum load capacity as its resistance performance indicator, neglecting the importance of the area through which the load is transferred.

Movable falseworks require utmost attention when changing them from their current position to a new one since a constant change in its support condition is necessary. As such, no room for mistakes is allowed given severe consequences that might take place. Collapses have taken place in several constructions using this technic due to miss coordination between the different involved parts and lack of proper surveillance and effective communication.

182 Material quality control errors

183 Nowadays, material quality control errors are becoming a less concerning issue due to the industry's rigorous 184 standards adopted to avoid former misfortunes and for quality assurance purposes. Nevertheless, this was not a 185 certainty during the last century. The exceptional registered occurrences are related to concrete quality 186 specifications due to a mistaken evaluation of aggregates water content, alkali-aggregate reaction, wrongful 187 quantification of the required admixtures, and miscalculations to fulfil specific concrete requirements (e.g. 188 concrete strength, elasticity modulus, among others). It is also worth mentioning the deficient vibration of concrete 189 in areas of difficult access, due to high density of passive and active reinforcement allowing the formation of voids, 190 or excessive vibration leading to segregation of concrete constituents. Additional deformation of concrete due to 191 non-agreement between creep properties of employed concrete with those assumed in the design, is an error to 192 bear in mind. Concerning the durability, it has been reported that the usage of the right or favourable use of the 193 cement type can double the service life of the structure (Zambon et al., 2019). In construction sites where more 194 than one reinforcement class is available, it is vital to take these classes' incorrect usage as a potential risk. 195 Additionally, proper storage condition of reinforcement to avoid early corrosion and ductility reduction is an 196 important consideration to keep in mind, especially when a long-stored period is concerned. Also, a non-controlled 197 concreting of mass concrete components leading to high temperature is an error that leads to deficient concrete 198 with severe strength reduction.

199 Logistics errors

The construction phase requires massive management of human, equipment, and material resources. Thus, logistic errors are part of companies' daily work, and they must not be neglected. Some examples are here presented:

202	-	Adoption of a concrete resistance class or other specification that is not available at an affordable distance
203		from the construction site;
204	-	Air pollution, underground water or soil contamination, due to inadequate eco-friendly safety measures;
205	-	Functional capabilities limitations of movable and fixed cranes in the construction site due to errors
206		related to insufficient foundation preparation, limited action radius, allowed movable distance, maximum
207		transportation weight, among others;
208	-	Absence of special licences for transportation of big precast elements through public roads or physical
209		restrictions to transportation can be a drawback that usually turns into large delays;
210	-	Inadequacy of the launching girders to the pier's geometry is a logistic error to keep in mind;

211 Bridges collapse

212 Particular major bridges collapse were recorded due to some of the errors highlighted in the previous section.213 Given their technical relevance, they are shortly described and discussed.

214 In early 2018, one of the towers of a reinforced concrete cable-stayed bridge under construction in Colombia 215 (Chirajara) collapsed due to a design error. The same error also led to the demolition of the still-standing tower 216 since it was also about to collapse, making any attempt for its strengthening or rehabilitation very problematic. 217 Ten workers lost their lives during the incident, and another five injured required some medical support. An investigation about the incident headed by Modjeski & Masters concluded that the bridge collapse was caused by 218 219 the failure of the prestressed transversal girder and the failure of the diamond tower lower diaphragm. The influence of the tower diaphragm, in its overall resistance, was overestimated at the design stage (Bridge Design 220 Engineering, 2018). Other sources state that the prestressed transversal girder was insufficiently prestressed and 221 222 that the main reinforcements of the tower diaphragm were placed in the wrong direction (Pujol et al. 2019).

223 On March 2018, a pedestrian bridge under construction in the USA (Miami) collapsed due to a design error causing six deaths and eight injuries. It was reported by the Federal Highway Administration Office of Bridges 224 225 and Structure that a design error led to the overestimation of the stresses that could be taken by the bridge. The 226 cracks observed before the collapse were consistent with the design error. Lab tests were performed over the 227 concrete samples to check its quality, proving that the concrete met the standard's requirements (NTSB, 2018). 228 The bridge had structural design deficiencies that contributed to the collapse during one of the construction stages. 229 The consultant hired by FIGG Bridge Engineers (the engineer of record) to conduct an independent peer review 230 of its design did not check the structural integrity of the bridge for different construction stages. Consequently, the review was performed only under the final design stage, where all segments of the bridge were already in placeand completed (Ayub, 2019).

233 On August 2018, a cable-stayed bridge from the sixties, designed by Ricardo Morandi, collapsed in Italy 234 (Genoa) during a heavy traffic day causing 43 deaths. The collapse was mainly triggered due to structural 235 deterioration caused by advanced corrosion in one of the four cables. Despite this fact, the structure had an initial deficiency related to lack of structural redundancy (absence of multiple load paths) or, consequently, lack of 236 robustness because it had few crucial supports (four cables on each tower supporting the deck). The structure had 237 another initial flaw that led to the crack of the protective concrete coat surrounding the stayed steel cable that left 238 239 it unprotected, unchaining a premature corrosion process. An unneglectable piece of the puzzle is also the 240 consequence of political decisions regarding public infrastructures when maintenance and restrictions applied to 241 the structure are concerned. A good example of this last statement is the Morandi bridge since a political or owner 242 decision neglecting the information given by experts also contributed to the bridge collapse (The New York Times, 243 2018). The lack of structural robustness (disproportionate outcome due to any support failure) is here highlighted 244 as a conceptual structural error once a different cable-stayed structural system with multiple load paths would 245 avoid such a terrific ending. The high rate degradation of the southern cable is here seen as an error of operation since no maintenance action on the structure was taken, before an obvious indication of high degradation that was 246 prompted by a design error (protective concrete coat surrounding a highly tensioned element) from the early ages 247 248 of the structures (Morgese et al. 2020). Three main groups of human errors led to this catastrophic ending: the 249 conceptual error, the design error and the operation error. The occurrence of multiple errors, creating a sequence 250 of events leading to bridges collapse, is the typical scenario.

Despite today's efforts and the new standards for quality control that implicitly deals with human errors, these errors are still a major concern. It is also known that bridge quality control standards were less strict during the sixties, seventies and eighties, where a high volume of bridges was built. Therefore, in the present days, it is important to consider human errors in infrastructure management procedures, in particular, when the error is expected to increase the deterioration rate of the structure since maintenance strategies and interventions are supported by predefined degradation rates (predictive models).

257 Design and Construction Errors Investigation

The risk management process aims at the systematic use of available information, within a carefully established and clearly defined context, to identify hazards and estimate the risk they pose to human beings, property, and

260 environment. Hence, three steps are initially required (i) Hazard identification, (ii) Probability of occurrence 261 analysis, and (iii) Consequence analysis. The combination of the last two provides the risk measure. Probability 262 of occurrence and consequence analysis can be performed using a qualitative or a quantitative approach, yet the 263 later is more complex and usually employed after the first one. A hazard is defined as any condition, circumstance 264 or action that can undermine the structural system resistance features and may lead to malfunctioning or failure of 265 the structure (Canisius et al. 2011; Faber, 2008; Rausand, 2011). Within the scope of this paper, human errors are 266 the leading hazard under assessment, being design and construction errors in reinforced concrete bridges the focus 267 subject. Therefore, the novelty of this research lies in the identification of design and construction errors that are 268 carefully addressed according to expert judgement.

269 Delphi technique and survey

270 For hazard identification purposes the Delphi technique is here employed. The Delphi technique is defined in ISO 271 31010 (ISO, 2009a) as "a procedure to obtain a reliable consensus of opinion from a group of experts through a standardized procedure". Experts are expected to express their opinions independently and anonymously while 272 273 having access to the other expert's views as the procedure goes on. Accordingly, six experts (20 years of average 274 work experience) were selected and questioned about the most common and troubling design and construction 275 errors in reinforced concrete bridge engineering that they have faced during their professional career. The experts 276 were asked to keep in mind a standard roadway overpass with three spans of 68 m (18 m + 27.8 m + 18 m, which 277 is the most common type in the Portuguese road transportation network). This request aimed to narrow the 278 discussion around conventional bridges, avoiding particular structural types as suspension, cable-stayed and large 279 span arch bridges. Nevertheless, the content of the information provided by the experts exceeded, to a small extent, 280 such expectation. The expert views converged to a group of 20 design and 29 construction errors, see Table 1 and Table 2, respectively, clustered according to Fig. 4. The concerns expressed in the preceding chapters are also a 281 282 summary of the expert's thoughts.

Following the detailed discussion around design and construction errors and listing of such errors by experts (i.e. hazard Identification), the second and third steps of the risk analysis are achieved through a survey addressed to experts aiming the qualitative assessment of the probability of occurrence and consequence of such errors according to five categorical levels. The experts were also encouraged to suggest additional errors important to be considered. The survey was carried out by e-mail through the COST Action TU 1406 network and to additional Portuguese civil engineers. The answers provided by the participants were analysed using a multi-criteria decisionmaking tool named the analytic hierarchy process (AHP) and a risk matrix. Twenty-four participants, with professional experience ranging between 5 and 40 years, answered the survey call. Half were from Portugal and the other half from other European countries. Half of them were design engineers, and the other half were construction site engineers, but some of them had experience in both fields.

293 Analytic hierarchy process

294 The AHP is a multi-criteria decision-making tool (Saaty & Vargas, 2013) that considers pair-wise comparisons of 295 alternatives and criteria to prioritize such alternatives or criteria. It is supported by qualitative or quantitative inputs 296 comparing different objects/subjects. Such comparison is numerically represented by a matrix comparing the 297 alternative *i* with alternative *j*. The AHP is typically implemented in three main steps: (i) decomposition; (ii) 298 comparative judgment; (iii) synthesis of priorities (Thompson et al. 2006). The decomposition is the 299 particularization of the problem into different choices or possible solutions, which in this paper are the design and 300 construction errors listed in the survey. The comparative judgment is performed by the survey participants where the probability of occurrence (PO) and consequence (CO) of each of the errors are categorized into five levels (see 301 Fig. 5 and Fig. 6). The comparative judgement is then transformed into a comparison matrix that will allow the 302 303 synthesis of priorities through the matrix eigenvectors, leading to the ranking of the errors according to their 304 probability of occurrence and consequence, given the survey participants inputs.

The AHP is implemented through a MATLAB script developed according to Goepel's methodology (Goepel, 2013, 2018), aiming at a more automatized procedure to analyse the information collected through the survey. Such methodology is being widely used by the research community (e.g. Kifokeris et al. 2018) given its simplicity, straightforward tutorials and Excel templates available in (Goepel, 2013). The methodology is summarized here into the following consecutive steps:

310 The pair-wise comparison is summarized in a square comparison matrix, rating the probability of 1. 311 occurrence and consequence of each error using a qualitative typical 5-point Likert scale ranging from 312 one to nine or from one to the inverse of 9 (i.e. 1-3-5-7-9 and 1-1/3-1/5-1/7-1/9). One is used when errors are similarly likely to occur, or similar consequences are to be expected, and nine is used when an error 313 314 is much more likely to occur than another one, or a much greater consequence is expected from one error 315 to the other. The inverse numbers are used when the error is less likely to occur, or minor consequence should be expected when compared to another error. The comparison matrices of each participant were 316 317 all considered consistent.

2. The consolidation of each expert input is achieved by an aggregated square comparison matrix, considering the weighted geometric mean method according to equation (1), where: $a_{ij(k)}$ is the comparison performed according to Likert scale comparing error *i* to error *j* by expert *k*; and w_k is the expert weighting factor defined according to its years of experience as follow i) 1.0 for 5 to 10 years of experience; ii) 1.5 for 10 to 20 years; iii) 1.75 for 20 to 30 years; iv) 2.0 for 30 to 40 years.

$$C_{ij} = exp \frac{\sum_{k=1}^{K} w_k \ln a_{ij(k)}}{\sum_{k=1}^{K} w_k}$$
(1)

323 3. In order to quantify the agreement or homogeneity between different experts input, a consensus index is 324 computed, ranging the consensus between expert's opinions from 0% (no agreement) to 100% (perfect 325 agreement). Finding a reasonable rate of this index is crucial to support the claim of a satisfactory 326 convergence in the identification of relative priorities of the errors. The consensus or group judgement 327 dispersion is derived from the consensus index S^* , computed according to equation (2).

$$S^{*} = \frac{\left[\frac{M - \exp(H_{\alpha \min})}{\exp(H_{\gamma \max})}\right]}{\left[\frac{1 - \exp(H_{\alpha \min})}{\exp(H_{\gamma \max})}\right]} \quad where \quad M = \frac{1}{\exp(H_{\beta})}$$
(2)

Where: H_{β} Shannon entropy beta measures the variations of priorities distribution among experts, given by equation (3). Which is dependent on Shannon entropy alpha H_{α} and gamma H_{γ} . The first one measures the average individual expert priority distribution among the errors, computed for all *K* experts and the second one measures the group aggregated priorities. The Shannon entropy alpha and gamma are computed according to equation (4), where p_{ik} is the normalized priority value of the *i*th error according to the *k*th expert, given by equation (5). The absolute priority values r_i are computed according to the row geometric mean method, as shown in equation (6) where *N* is the total number of errors.

$$H_{\beta} = H_{\alpha} - H_{\gamma} \tag{3}$$

$$H_{\alpha} = \frac{1}{K} \sum_{k=1}^{K} \sum_{i=1}^{N} -p_{ik} \ln p_{ik} \quad \& \quad H_{\gamma} = \sum_{k=1}^{K} -\bar{p}_{k} \ln \bar{p}_{k} \quad where \quad \bar{p}_{k} = \frac{1}{N} \sum_{i=1}^{N} p_{ik} \tag{4}$$

$$p_i = \frac{r_i}{\sum_{i=1}^N r_i} \tag{5}$$

$$r_{i} = \exp\left[\frac{1}{N}\sum_{j=1}^{N}ln(a_{ij})\right]$$
⁽⁶⁾

Subsequently, the minimum Shannon alpha entropy $H_{\alpha min}$ and the maximum Shannon gamma entropy $H_{\gamma max}$ must be computed applying the equation (7) and equation (8), respectively. Where $c_{max} = 9$ is the maximum value of importance rating used according to 5-point Likert scale to build the pair-wise comparison matrix in step 1.

$$H_{\alpha min} = -\frac{c_{max}}{z} ln\left(\frac{c_{max}}{z}\right) - (N-1)\frac{1}{z} ln\left(\frac{1}{z}\right) \text{ with } z = N + c_{max} - 1 \tag{7}$$

$$H_{\gamma max} = (N-K)\left(-\frac{1}{z}\right)\ln\left(\frac{1}{z}\right) - \frac{u}{z}\ln\left(\frac{1}{K}\frac{u}{z}\right) \quad with \quad u = K + c_{max} - 1$$
⁽⁸⁾

4. Priority values are obtained by computing the eigenvector of the pair-wise comparison square matrix. The prioritization or ranking of the errors is displayed in Table 1 and Table 2, last two columns, for each risk indicator, that is, the probability of occurrence (PO) and consequence (CO). Relative ranking position numbers are used, where the number one is attributed to the error that is more likely to occur or the error expected to have the highest consequences, while the maximum number is assigned to the error that represents the lowest probability of occurrence or the lowest consequence.

The consensus index obtained according to the AHP was 87% among the design engineers, and 73% among the construction site engineers. Thus, expert opinions did not disperse too much. The awareness and resemblance of the design engineer's assessment, of the design errors, are higher than those provided for the construction site engineers for the construction errors. Given that the designer's daily activities go through a more standardized procedure, a higher consensus index for the design engineers is a reasonable observation.

The input of each expert was weighted according to their years of experience. For instance, the input of a structural engineer with additional professional experience should have more influence on the outcome than the contribution of a junior engineer. As it is very difficult to quantify the influence of professional experience in this matter, there is no way to validate the weighting factors adopted in equation (1) without performing a major study of the topic. However, it is known that a senior engineer is more likely to make a better decision than a junior engineer due to the accumulated expertise; therefore, the weighting factor was increased with the years of experience.

357 Qualitative risk analysis

Once the design and construction errors rankings are established according to the probability of occurrence and consequence, it is of paramount importance the characterization of the relationship between these two for qualitative estimation of the risk. Hence, the risk matrix approach is employed (Rausand, 2011). It is commonly 361 used to rank hazardous events according to their significance, to screen out insignificant events, or to evaluate the 362 need for risk reduction of some events".

363 The loss of the information, concerning the qualitative levels assigned to the errors by the experts, is one the 364 AHP handicap. In other words, the priority list or ranking is set with a great cost since the qualitative level of each 365 one of the errors becomes unknown during the AHP procedure. The loss of such information renders difficult the 366 qualitative assessment of the error with a risk matrix. To overcome this drawback, and accomplish a broader analysis of the information provided by the survey participants, the qualitative levels assigned to each risk indicator 367 368 are obtained for each error using a weighted geometric mean method to aggregate the participant's inputs. Fig. 5 and Fig. 6 show the qualitative risk matrices of the design and construction errors, respectively, and their 369 370 distribution according to their likelihood and expected consequences, using their identification number (ID) 371 provided in Table 1 and Table 2. The prioritization information obtained by the AHP is also considered inside each 372 matrix cell.

373 Making use of the information provided by the AHP and risk matrix, comprehensive risk classification of the 374 errors was achieved. For exemplification purposes, let one take the errors with ID7 (PO_{Ranking} \rightarrow 7 and $CO_{Ranking} \rightarrow 18$) and ID8 (PO_{Ranking} $\rightarrow 1$ and $CO_{Ranking} \rightarrow 16$) from the design risk matrix. They are both within the 375 376 high-risk group ($40 \ge Risk \ge 25$), but if the AHP ranking information is taken into account, the error with ID8 377 can be highlighted as the one representing greater risk, since it has a higher ranking position than the error with 378 ID7. Therefore, the wrong definition of a cross-section shear centre (design error ID7) represents a lower risk than 379 a wrong quantification of the effects of deck deformation due to creep, shrinkage and temperature variation, in 380 columns leading to unexpected second-order effects (design error ID8). Using this same procedure, a further 381 distinction between the risk of the different errors within the same cell is possible. Nonetheless, the risk of errors 382 can be easily categorised into five different risk levels.

Based on Epaarachchi (Epaarachchi & Stewart, 2004), the error magnitude is the size of the error as a percentage of the correct outcome or in other words; it is the parameter that describes the severity of the error. It is a vital characteristic of an error, here neglected. The severity of an error is always associated with its consequence but is not the ultimate factor. For instance, the consequence of error with ID8 increases with the slenderness of the column, thereby, the same error with the same magnitude (equal relative deviation from its correct value) might have entirely different consequences for different structural systems or components. Subsequently, it is important to consider the magnitude of the error in a detailed structural analysis, mainly if the

error is understood as being of paramount importance for risk management. Some research work addressing error
magnitude can be found in the literature (Epaarachchi & Stewart, 2004; Fröderberg, 2014; Galvão et al. 2019;
Nowak & Collins, 2000). Nevertheless, such analysis is beyond the scope of this paper, which addresses a general
approach for categorization of errors according to five risk levels considering their probability of occurrence and
expected consequences.

An essential characteristic of the risk matrix here used is that it enhances the influence of the consequence over the probability of occurrence, in terms of risk rating. Such a risk matrix was chosen for this research because it is directly connected with risk management of civil engineering activities. Dissimilar risk matrices can be found in the literature (Rausand, 2011). However, in civil engineering, the consequence should be enhanced over the probability of occurrence an event in risk quantification.

400 Additional errors collected within the survey

Besides the errors listed above, the inquired experts reported a series of other errors (see Table 3 and Table 4). They were not considered in the risk analysis since different experts independently reported them; thus, insufficient information for the analysis was available. Nevertheless, it is important to make them available in the literature for further research.

405 **Investigation remarks**

406 Looking at the risk matrices (see Fig. 5 and Fig. 6), three errors stand out in the critical zone (*Risk* \geq 50), one in 407 design errors risk matrix (ID 14) and two in the construction errors risk matrix (ID 19 & 21). The error with ID 408 14, described as the lack of consideration of different support conditions through which the element or the 409 structural system will be subjected during the construction procedure for validation of the design calculation, is 410 identified as the error that might represent the highest risk in the design phase, within the context described in this 411 paper. Coincidently, it is the main cause of the Miami bridge collapse described in "Bridges collapse" section that 412 took place in March 2018. The construction errors found in the critical zone are both concerning the falseworks. 413 One is related to continuous bracing required for the global stability of the falsework. The other is associated with 414 the poor assessment of the foundation soil properties supporting the falsework, neglecting water influence in such 415 properties. Such negligence is common since the falsework is just a temporary structure and further investigation 416 addressing such an issue is usually not performed.

For summary purposes, the top five design and construction errors are listed in Table 5, according to the investigation described in this paper (AHP and Qualitative risk analysis). Many errors related to falsework/scaffolding take the lead in the risk analysis, along with the soil properties and support conditions. During the brainstorming meetings, the malfunctioning of structures was primarily linked to these errors, since they bear support to whatever is going to be built up, hence of remarkable consequences. Detailing of reinforcement and lack of consistency between the design assumptions and detailing rules are two detailing errors that are among the ones representing the highest risk.

The design error identified as the most frequent error is the incorrect quantification of the effects of deck deformation due to creep, shrinkage and temperature variation in columns, causing and amplifying second-order effects (ID 8). On the other hand, the least frequent error is the mistaken dead load quantification (ID 4). Within the construction phase, reinforcement covering errors (ID 13) are the second most frequent errors followed by expansion joints deficiency (ID 9).

429 Human error mitigation

430 Mitigation measures against human errors control exhibit a vast scope due to the multidisciplinary partners playing 431 different roles in this matter. From the political decision, economic constraints, cultural and environmental 432 influences, missing technological advancements of the sector, the engineers' qualification, the type of structural 433 systems and geometric shapes used, makes very difficult any attempt to provide specific mitigation measures 434 without bringing forth a long discussion that goes beyond the scope of this work.

The increase of the awareness of design and construction errors and the discussion around the subject and their risks is a mitigation strategy itself since the mitigation of known potential hazards, and their risks are part of engineers' daily challenges.

A few common mitigation measures were pointed out by the experts consulted within the scope of this research, namely the use of different design software for outputs validation, critical interpretation of the outputs by expert engineers, self-made computation sheets for validation of the software outputs, the careful appointment of the project surveillance team and serious investigation of the geological and geotechnical properties of the foundation soil.

In civil engineering, the uniqueness of each construction and its details render challenging to approach the problem in terms of production automation. However, with artificial intelligence, there might be a greater influence

445 of technology in the construction sector for human errors mitigation. Nowadays, contractors are continuously 446 gathering data on accidents taking place in the construction site, so machine learning can be used to find underlying 447 patterns in the collected data and prevent accidents (engineering .com, 2019; Kifokeris & Xenidis, 2019; Maskin 448 entreprenoren, 2020). Nevertheless, other technological advancements are already playing an important role in this 449 matter these days, through technologies such as Building Information Modelling Technology, 3D printing, Virtual 450 Reality and Augmented reality (Qeshmy, Makdisi, Ribeiro da Silva, & Angelis, 2019). From the economic point 451 of view, investments in such innovative technologies are compensated because a problem found during the design 452 phase that cost 1\$ to fix, will cost 20\$ to fix during the construction phase and 60\$ to fix during the operation 453 phase (engineering .com, 2019).

454 **Quality management measures**

455 Basic design, execution and maintainability requirements are the foundation of design codes such as the 456 Eurocodes. Accordingly, the fulfilment of requirements such as structural safety, serviceability, traffic safety and 457 durability, must be assured by the designer and the contractor, for all relevant load cases and traffic demands for 458 an indicative design working life of 100 years, according to the current codes. Therefore, quality management 459 strategies for quality control and quality assurance should be employed to reduce or avoid design and construction 460 errors, so the newly constructed bridges are handed over to the owner fulfiling the code's requirements. Such codes 461 are (CEN, 2003, 2005 & 2009), (ISO, 2009b), among others whose the main goal is standardization for quality 462 assurance in bridge design and execution.

463 The Eurocode 0 (CEN, 2005) provides quality management measures aiming at the reduction of errors during the 464 design and execution of structures so that the structures can meet certain reliability levels. For quality management 465 purpose, three design supervision levels and three inspection levels for construction works are proposed according 466 to the reliability classes and consequence classes defined in Eurocode 0 (CEN, 2005) (see Table 6). Similar 467 measures are proposed by (Melchers & Beck, 2018). The (FIB, 2010) proposed as-built documentation that 468 describes the actually constructed structure, including results of the initial inspection and direct input parameters 469 required for maintainability purposes. The recommended structural reliability classes, measured by a target reliability index (β_{τ}), are defined according to the expected consequence (life loss, material damage, functionality 470 losses, among others) of failure of a structure. Hence, three consequence classes are correlated to three reliability 471 472 classes. The target reliability index stands for the minimum nominal probability of failure that should be assured 473 by the employed design and construction procedures. Nevertheless, Eurocode 0 (CEN, 2005) states that "the actual

frequency of failure is significantly dependent upon human errors, which are not considered in partial factor design". Thus, the target reliability index does not necessarily provide an indication of the actual frequency of structural failure since they stand for reliability classes of structures designed and built according to the codes, not necessarily as built.

478 As stated above, the design codes do not take into account human errors in the definition of the threshold value 479 provided for reliability classes since such errors are expected to be eliminated by design supervision and 480 construction inspection, even though this is not always the case. Nonetheless, several attempts in the literature 481 targeting the numerical quantification of human errors impact in structural safety can be found, namely, sensitivity 482 analysis aiming to quantify the impact of different errors in structural safety reduction (Galvão et al. 2019; Nowak 483 & Collins, 2000). Further research, seeking the probabilistic characterization of errors magnitude through 484 probability distribution functions is also available (Haan, 2012; Melchers & Beck, 2018; Qeshmy et al. 2019). Nevertheless, additional investigation is required. 485

On the organization level (Terwel & Jansen, 2015) reported that internal factors regarding interactions between project partners (e.g. agencies, contractors, consultants, designers, owners, reviewers and inspection team) were the ones with the greatest impact on structural safety. Such factors are (i) allocation of responsibility, (ii) coordination, control mechanisms, (iii) communication and collaboration, (iv) safety culture, (v) risk management, among others. External factors such as the economic and political landscape are also important factors to keep in mind. Each organization manages each of these factors according to their internal specification standards and code procedure to tackle human interaction, which is the weakest link in structural design and construction process.

493 **Risk mitigation**

494 The risk analysis is usually followed by a risk evaluation, where the risk of the assessed hazard is compared with 495 acceptance criteria, which sometimes are hard to define and can vary for different industries and societies. The 496 establishment of such acceptance criteria aims to direct proper mitigation actions, to specific hazards, seeking its 497 risk reduction as low as reasonably practicable (ALARP) (Jones-Lee & Aven, 2011). The ALARP concept is 498 directly related to the acceptance criteria; thus, it groups the risk of a hazard into three categories, the critical 499 region, the ALARP region and the acceptable region. A hazard event considered to be present in the critical region 500 cannot be accepted, so it must be reduced at all cost. The ALARP region is characterized by a risk reduction 501 principle targeting the avoidance of a gross disproportion between the risk reduction costs and the obtained risk 502 reduction. Thus, a risk reduction measure must be efficiently employed, so the costs are minimized, and the risk

reduction is maximized. (Aven, 2016). For risks within the acceptable region, mitigation is unnecessary, but it is worth mentioning that many of these hazards can lead to unexpected accidents due to their accumulation or long term effect. In this paper, according to the qualitative analysis performed, the critical, ALARP and acceptable regions are identified respectively by the "*Risk* \geq 50", "40 \geq *Risk* \geq 5" and "*Risk* \leq 4", respectively, see also Fig. 5 and Fig. 6.

As discussed above, the critical zone of the risk matrix usually encompasses risks that must be mitigated at all cost. Consequently, two mitigation actions were suggested by experts for "error due to poor evaluation of the falsework foundation soil properties, and variation of these properties with rainfall", ID 19, see also Fig. 6:

511 Mitigation Action 1: Quantification of the soil plastic properties in order to consider further resistance

512 reduction due to rainfall conditions and to better predict the soil maximum bearing capacity.

513 Mitigation Action 2: Adoption of a new construction technique (i.e. launching girder) to avoid

514 continuous loading of the soil by the falsework structure.

A theoretical curve for the risk reduction and its cost is depicted in Fig. 7. However, for more precise results, a quantitative risk analysis should be performed. With the first mitigation action, the uncertainty concerning the soil properties is decreased; therefore, a risk reduction at a reduced cost can be achieved'. A significant risk reduction can be achieved by the mitigation action two since the adoption of a new construction technique would significantly reduce the error probability of occurrence. However, this mitigation action demands a higher cost than the previous one, since the acquisition or renting cost of a launching girder is considerable.

521 Conclusion

522 The design and construction errors are responsible for about 32% of bridges collapse recorded worldwide; hence, 523 they must be carefully addressed. Given the numerous multidisciplinary activities required for the materialization 524 of any idealized engineering structure into its physical equivalent and the human uncertainties in executing such 525 activities, a screening procedure and assessment of the most important sources of errors are demanded. This work 526 provides a framework for such investigation with conclusive outcomes that allow, the design and the construction 527 engineers conceiving the structure to focus their attention on the most relevant errors, and the inspection and the 528 design supervision team to perform enhanced surveillance of the required activities. The framework for the 529 management of human errors risks implement in this research work is summarized according to the following 530 consecutive steps:

- a) An initial screening procedure aiming at the identification of most concerning errors threatening the
 structural safety of similar structures according to recorded and well-documented failure or collapse
 cases;
- b) Brainstorming meeting with experts aiming at the identification of errors concerning the on-going project
 or any other structural system under assessment;
- 536 c) Qualitative risk assessment of the initially identified errors by a carefully selected group of experts;
- b) Prioritization of the errors according to their expected probability of occurrence and consequence leading
 b) to the identification of the risk they represent, according to expert's judgement;
- b) Definition of mitigation strategies for errors denoting greater risk and benchmark of their benefits with
 their costs aiming at the implementation of the most efficient ones;

541 A qualitative categorization of design and construction errors has been performed considering a qualitative risk 542 assessment of such errors by experts through a survey. Different errors risk groups are defined, employing risk 543 matrix and AHP, allowing the prioritization or errors according to their probability of occurrence, consequence 544 and risk. Therefore, a more efficient risk mitigation strategy can be implemented for errors that denote a higher 545 risk for structural safety or construction works, besides overall supervision of the errors that denote lower risks 546 according to standards recommendations. Focusing on the most relevant errors, risk reduction techniques should 547 be effectively implemented, and the structural safety easily assured. Errors concerning geotechnical and falsework 548 malfunctioning, and the system supporting condition changes throughout different construction stages, as well as 549 reinforcement detailing, are highlighted as the errors of highest risk.

Furthermore, the impact of three design errors (ID = 4, 13, 17) was numerically assessed in (Galvão et al. 2019) considering a prestressed reinforced concrete overpass. Their impact on structural safety reduction was in accordance with the results obtained with the Analytic Hierarchy Process. Their relative consequence, as ranked in Table 1, was confirmed. Nevertheless, this was not the case for the consequence of two construction errors (ID = 4, 27) also numerically assessed in the same paper.

555 Some design and construction errors go undetected or not reported due to legal implications, but they are 556 usually uncovered after failure. Some errors are detected in existing structures given the structural system 557 underperformance, visible deterioration and deficiencies, non-destructive tests and monitoring systems, but still, 558 many of them go undetected. Thus, the assessment of existing structures should employ strategies for the identification of design and construction errors that are likely to lead to the underperformance of the structural
 system, service life reduction or even structural collapse.

561 Data availability statements

Some or all data, models, or code generated or used during the study are proprietary or confidential in nature and
may only be provided with restrictions. That is 1. Bridge failure database (IABSE's Task Group 1.5 proprietary).
Matlab script implementing the Analytic Hierarchy Process (authors proprietary).
Information collected with
the survey (authors proprietary). Requests for the first item must be directed to IABSE. The last two items are
meant to be kept confidential for further research work, publications and surveyed experts data protection.

567 Acknowledgements

568 This research was developed at the University of Minho in close cooperation with the following entities: Adão da Fonseca, COST Action TU 1406, GEG, HDP, IABSE, Portuguese Infrastructures, Mota Engil and Soares da Costa. 569 This work was partly financed by: (i) FEDER funds through the Competitivity Factors Operational Programme 570 571 (COMPETE) and by national funds through the Foundation for Science and Technology (FCT) within the scope 572 of project POCI 01 0145 FEDER 007633; (ii) national funds through FCT - Foundation for Science and Technology, under grant agreement "PD/BD/143003/2018" attributed to the 1st author; and (iii) FCT / MCTES 573 574 through national funds (PIDDAC) under the R&D Unit Institute for Sustainability and Innovation in Structural 575 Engineering (ISISE), under reference UIDB / 04029/2020.

576 References

577 Aven, T. (2016). Risk assessment and risk management: Review of recent advances on their foundation. *Eur. J.*

578 *Oper. Res.*, 253(1), 1–13. https://doi.org/10.1016/j.ejor.2015.12.023

- Ayub, M. (2019). Investigation of March 15, 2018 Pedestrian Bridge Collapse at Florida International Univesity,
 Miami, Florida. Washington, D.C.
- 581 Bridge Design Engineering. (2018). Report published on fatal Colombian bridge collapse. Retrieved September
- 58218, 2020, from https://www.bridgeweb.com/Report-published-on-fatal-Colombian-bridge-collapse/4659
- 583 Canisius, T. D. G., Barker, J. B., Diamantidis, D., Ellingwood, B. R., Faber, M., Holicky, M., ... Vrouwenvelder,
- T. (2011). Structural Robustness Design for Practising Engineers. COST Action TU0601: Robustness of
 Structures.

- 586 Cavaco, E. S. (2013). *PhD Thesis: Robustness of corroded reinforced concrete structures*. University of Lisbon.
- 587 Chen, T. T. (2017). Factors in Bridge Failure, Inspection, and Maintenance. J. Perform. Constr. Facil., 31(5),
 588 04017070. https://doi.org/10.1061/(ASCE)CF.1943-5509.0001042
- Deng, L., Wang, W., & Yu, Y. (2016). State-of-The-Art Review on the Causes and Mechanisms of Bridge
 Collapse. J. Perform. Constr. Facil., 30(2), 04015005. https://doi.org/10.1061/(ASCE)CF.1943 5509.0000731
- engineering .com. (2019). How Machine Learning is Improving Construction. Retrieved August 10, 2020, from
 https://www.engineering.com/BIM/ArticleID/19317/How-Machine-Learning-is-Improving-
- 594 Construction.aspx
- Epaarachchi, D. C., & Stewart, M. G. (2004). Human Error and Reliability of Multistory Reinforced-Concrete
 Building Construction. J. Perform. Constr. Facil., 18(1), 12–20. https://doi.org/10.1061/(ASCE)08873828(2004)18:1(12)
- European Committee for Standardization (CEN). (2005). EN 1990, Eurocode 0: Basis of structural design.
 Brussels, Belgium.
- European Committee for Standardization (CEN). (2003). EN 1991-2, Eurocode 1: Actions on structures Part 2:
 Traffic loads on birdges. Brussels, Belgium.
- 602 European Committee for Standardization (CEN). (2005). EN 1992-2: Eurocode 2: Design of concrete structures
- 603 Part 2: Concrete bridges Design and detailing rules. Brussels, Belgium.
- European Committee for Standardization (CEN). (2009). EN 13670, Execution of concrete structures. Brussels,
 Belgium.Faber, M. H. J. (2008). Risk Assessment in Engineering: Principles, System Representation & Risk
 Criteria.
- Fröderberg, M. (2014). The human factor in structural engineering: a source of uncertainty and reduced
 structural safety. Lund University.
- Fröderberg, M. (2015). Conceptual Design Strategy: Appraisal of Practitioner Approaches. *Struct. Eng. Int.*,
 25(2), 151–158. https://doi.org/10.2749/101686614x14043795570615
- 611 Galvão, N., Campos e Matos, J., Oliveira, D., & Santos, C. (2019). Assessment of roadway bridges damaged by

- 612 human errors using risk indicators and robustness index. In *IABSE Symposium, Guimaraes 2019: Towards*
- 613 *a Resilient Built Environment Risk and Asset Management Report* (pp. 236–243). Guimarães, Portugal.
- Goepel, K. D. (2013). Implementing the Analytic Hierarchy Process as a Standard Method for Multi-Criteria
 Decision Making In Corporate Enterprises A New AHP Excel Template with Multiple Inputs. In
- 616 *Proceedings of the International Symposium on the Analytic Hierarchy Process* (pp. 1–10). Kuala Lumpur,
- Malaysia. https://doi.org/10.13033/isahp.y2013.047. The template can be downloaded from
 http://bpmsg.com.
- Goepel, K. D. (2018). Implementation of an Online software tool for the Analytic Hierarchy Process (AHP-OS). *Int. J. Anal. Hierarchy Process*, *10*(3), 469–487. https://doi.org/10.13033/isahp.y2018.029
- Haan, J. (2012). Msc Thesis:Human Error in Structural Engineering: The design of a Human Reliability
 Assessment method for Structural Engineering. Deft University.
- 623 Imhof, D. (2004). PhD Thesis: Risk Assessment of Existing Bridge Structures. University of Cambridge.
- International Federation for Structural Concrete (FIB). (2010). *Model Code for Concrete Structures*. Lausanne,
 Switzerland: Ernst&Sohn.
- International Organization for Standardization (ISO). (2009a). *IEC/ISO 31010, Risk management Risk assessment techniques* (1.0). Geneva, Switzerland: International Electrotechnical Commission (IEC).
- International Organization for Standardization (ISO). (2009b). *ISO 22966:2009 Execution of concrete structures*.
 Geneva, Switzerland.
- International Organization for Standardization (ISO). (2015). *ISO 2394, General Principles on Reliability for Structures.* Switzerland.
- Johnson, P. A., Clopper, P. E., Zevenbergen, L. W., & Lagasse, P. F. (2015). Quantifying Uncertainty and
 Reliability in Bridge Scour Estimations. *J. Hydraul. Eng*, 141(7), 04015013.
 https://doi.org/10.1061/(asce)hy.1943-7900.0001017
- 635 Joint Committee on Structural Safety (JCSS). (2001). Probabilistic Model Code Part 1: Basis of design.
- 636 Jones-Lee, M., & Aven, T. (2011). ALARP What does it really mean? *Reliab. Eng. Syst. Saf.*, *96*(8), 877–882.
- 637 https://doi.org/10.1016/j.ress.2011.02.006

- 638 Kifokeris, D., & Xenidis, Y. (2019). Risk source-based constructability appraisal using supervised machine
- 639 learning. *Autom. Constr.*, 104, 341–359. https://doi.org/10.1016/j.autcon.2019.04.012
- 640 Kifokeris, D., e Matos, J. A. C., Xenidis, Y., & Bragança, L. (2018). Bridge quality appraisal methodology:
- Application in a reinforced concrete overpass roadway bridge. J. Infrastruct Syst., 24(4), 04018034.
 https://doi.org/10.1061/(ASCE)IS.1943-555X.0000455
- Manfreda, S., Link, O., & Pizarro, A. (2018). A theoretically derived probability distribution of scour. *Water*, *10*(11). https://doi.org/10.3390/w10111520
- Maskin entreprenoren. (2020). AI to prevent fatalities | Machine contractor. Retrieved September 27, 2020, from
 https://maskinentreprenoren.se/ai-ska-hindra-dodliga-olyckor/
- Melchers, R., & Beck, A. T. (2018). *Structural Reliability Analysis and Prediction*. (3rd Editio). New York.: John
 Wiley & Sons Ltd.,.
- Morgese, M., Ansari, F., Domaneschi, M., & Cimellaro, G. P. (2020). Post-collapse analysis of Morandi's
 Polcevera viaduct in Genoa Italy. J. Civ. Struct. Heal. Monit., 10(1), 69–85.
 https://doi.org/10.1007/s13349-019-00370-7
- Nowak, A. S., & Collins, K. R. (2000). *Reliability of structures*. (McGraw-Hill, Ed.) (2nd ed.). Thomas Casson.
- NTSB. (2018). Miami bridge that collapsed and killed 6 had design errors. Retrieved September 6, 2020, from
- https://eu.usatoday.com/story/news/2018/11/15/ntsb-miami-bridge-collapse-design-errors/2012020002/
- Pujol, S., Kreger, M. E., Monical, J. D., & Schultz, A. E. (2019). Investigation of the Collapse of the Chirajara. *Concr. Int.*, 41(6), 29–37.
- 657 Qeshmy, D., Makdisi, J., Ribeiro da Silva, E., & Angelis, J. (2019). Managing Human Errors: Augmented Reality 658 systems in the quality journey. Procedia Manuf., 28, 24-30. as а tool https://doi.org/10.1016/J.PROMFG.2018.12.005 659
- Rausand, M. (2011). *Risk assessment: Theory, Methods, and Applications*. New Jersey: John Wiley & Sons.
 Retrieved from NS -
- Saaty, T. L., & Vargas, L. G. (2013). *Decision Making with the Analytic Network Process* (2nd ed.). Boston, MA,
 USA: Springer. https://doi.org/10.1007/978-1-4614-7279-7

- Scheer, J. (2010). Failed Bridges Case Studies, Causes and Consequences. Hannover: Ernst&Sohn.
 https://doi.org/10.1002/9783433600634
- Starossek, U., & Haberland, M. (2010). Disproportionate Collapse: Terminology and Procedures. J. Perform.
 Constr. Facil., 24(6), 519–528. https://doi.org/10.1061/(asce)cf.1943-5509.0000138
- 668 Stewart, M. G., & Melchers, R. E. (1988). Simulation of human error in a design loading task. *Struct. Saf.*, 5(4),

669 285–297. https://doi.org/10.1016/0167-4730(88)90029-X

- Sykora, M., Diamantidis, D., Holicky, M., & Jung, K. (2017). Target reliability for existing structures considering
 economic and societal aspects. *Struct. Infrastruct. Eng.*, *13*(1), 181–194.
 https://doi.org/10.1080/15732479.2016.1198394
- Syrkov, A. (2017). Review of bridge collapses worldwide 1966 2018. In *IABSE Workshop: Ignorance, uncertainty and human errors in structural engineering*. Helsinki, Finland.
- Terwel, K. C., & Jansen, S. J. T. (2015). Critical Factors for Structural Safety in the Design and Construction
 Phase. J. Perform. Constr. Facil., 29(3), 04014068. https://doi.org/10.1061/(asce)cf.1943-5509.0000560
- The New York Times. (2018). Genoa Bridge Collapse: The Road to Tragedy. Retrieved September 6, 2020, from
 https://www.nytimes.com/interactive/2018/09/06/world/europe/genoa-italy-bridge.html
- 679 Thompson, P. D., Patidar, V., Labi, S., Sinha, K., Hyman, W. A., & Shirolé, A. (2006). Multi-objective
- 680 optimization for bridge management. In Proceedings of the 3rd International Conference on Bridge
- 681 Maintenance, Safety and Management Bridge Maintenance, Safety, Management, Life-Cycle Performance
- 682 and Cost (pp. 735–736). Porto, Portugal.
- Tylek, I., Kuchta, K., & Rawska-Skotniczny, A. (2017). Human Errors in the Design and Execution of Steel
 Structures—A Case Study. *Struct. Eng. Int.*, 27(3), 370–379.
 https://doi.org/10.2749/101686617X14881937385287
- Zambon, I., Vidovic, A., Strauss, A., Matos, J. (2019). Use of chloride ingress model for condition assessment in
 bridge management. J. Croat. Assoc. Civ. Eng., 71(5), 359–373. https://doi.org/10.14256/JCE.2411.2018

Errors	ID	List of Errors -		Rankings	
Cluster				CO	
	1	Error due to a non-conservative arrangement between design and load regulations with different backgrounds, leading to a less reliable structure	16	17	
	2	Errors in regulations interpretation	9	20	
	3	Error in live loads quantification due to lack of data	13	14	
	4	Error in dead load quantification	20	1	
ors	5	Error in the definition of the most significant load combinations	11	7	
gn Err	6	Error in defining the gravity centre for highly compressed elements, or in defining load eccentricity	18	11	
Jesi	7	Error in defining a cross-section shear centre (torsion effects)	7	18	
Structural Analysis and Design Errors	8	Error in the quantification of the effects of deck deformation due to creep, shrinkage and temperature variation, in columns (second- order effects)	1	16	
Analy	9	Error in defining the buckling length of an element		10	
ral	10	Error in defining/describing the location of prestressing tendons	15	8	
uctu	11	Error in the decompression limit state calculation	14	19	
Str	12	Error in defining the prestressing hyperstatic effects	3	15	
	13	Error in defining the soil-structure interaction (boundary conditions and differential settlements)	2	12	
	14	Error due to lack of consideration of different support conditions that a bridge or an element will be subjected through the construction process	5	2	
	15	Error in modelling the connections between structural elements (e.g. deck, beams and columns)	8	5	
OLS	16	Error due to the lack of consistency between the design assumptions and the detailing rules	4	9	
Detailing Errors	17	Error in reinforcement cross-section area	17	3	
ling	18	Error in reinforcement spacing (flexural and shear reinforcement)	10	4	
etail	19	Error in concrete and reinforcement classes indication	19	6	
Ď	20	Error in defining the quota of implantation	6	13	

Table 1 – List of design errors identified and analysed

Errors Cluster		m			Rankings	
		ID	List of Errors	РО	СО	
		1	Errors leading to alkali-aggregate reaction	19	15	
<u>1</u> 0	ete	2	Error in the quantification of cement hydration heat	18	22	
onti	Concrete	3	Error in the evaluation of aggregates humidity	13	28	
Material Quality Control Errors	Col	4	Error due to poor concrete workmanship leading to concrete with characteristics and properties different from the requested	22	13	
I Qualit Error:	nent	5	Errors leading to reinforcement corrosion	10	25	
/lateria	Reinforcement	6	Error using a wrong reinforcement class especially when different reinforcement classes are also used in construction	29	23	
4	Reir	7	Error in the production of reinforcement cross-section area	26	14	
		8	Error due to wrong positioning of supports	15	12	
		9	Error due to expansion joints deficiency and wrongly positioned	3	19	
		10	Error due to wrong interpretation of the design project	21	8	
		11	Error in topographic implantation	14	16	
	S	12	Error due to wrong concrete vibration	20	27	
	ILOI	13	Error in the reinforcement covering	2	18	
	Generic Errors	14	Error in the longitudinal shape due to shrinkage and creep effects not correctly computed in the design phase	9	26	
		15	Error due to consideration of support conditions different from those defined in the design phase	23	24	
		16	Error due to the establishment of wrong final boundary conditions	25	20	
		17	Error due to wrong evaluation of the foundation soil properties	5	4	
v _		18	Error due to geometric imperfections (inclination and cross-section imperfection)	11	29	
Error		19	Error due to poor evaluation of the falsework foundation soil properties, and variation of these properties after rainfall	1	3	
Execution Errors	Errors	20	Error due to poor preparation of the falsework foundation using gravel material and/or poor positioning of the timber elements that support the falsework	8	10	
	Falsework Execution E	21	Error due to deficiency in the continuous falsework bracing, leading to global instability	4	1	
		22	Error due to a deficient maintenance plan leading to poor falsework material quality	12	7	
	ework	23	Error in the falsework clamping elements (connectors and couplers)	6	6	
	False	24	Error in movable falsework due to non-controlled hyperstaticity reduction to perform his movement	16	2	
		25	Error in the assessment of the formwork and falsework deformability properties	7	17	
_		26	Error due to wrong positioning of formwork ties	17	21	
_	gu	27	Error due to insufficient prestressing	28	5	
	essi ors	28	Error due to over loss of prestressing	24	11	
	Prestressing Errors	29	Error due to insufficient curing of concrete subjected to prestressing forces leading to a deficient bond between the concrete and the prestressed cables	27	9	

<i>Table 2 – List of construction errors identified and analysed</i>	

Errors Cluster	List of Errors					
-	Error due to low design experience					
anc	Error due to accelerated design programmes to meet deadlines and design budgets					
Analysis and 1 Errors	Error due to incorrect application and understanding of partial prestressing					
lys roi	Error due to incorrect use of structural analysis software					
Er	Errors of data entry in structural software's (e.g. material strength, boundary and nodal constraints,					
	self-weight, elasticity modulus. etc.)					
Structural Analysi Design Errors	Error due to non-validation of automatic computation of complex numerical models with simpler models					
ŢŢ.	Error due to hydrostatic effects negligence in the structural analysis					
	Error due to the project non-verification by authorized and qualified design reviewers					
5 0 ,	Lack of experience with good detailing practices (mainly in steel structures)					
illin Ors	Error due to drawings misinterpretation due to lack of experience and awareness					
Detailing Errors	Error due to the use of general details drawings from existing projects					
ā T	Error due to lack of coherence between shear reinforcement detailing and different details					

Table 4 – List of additional construction errors collected within the survey

Errors Cluster		List of Errors
ntrol	Concrete	Error due to non-attendance of quality control expert inspectors to the construction site
Material Quality Control Errors	-	Error due to lack of protective measures in very high and low-temperature work sites
	Reinforcement	Error due to non-attendance of quality control expert inspectors to the construction site
Materi	Reinfo	Error due non-conformity of steel reinforcement bars with standards
		Clashing of reinforcement (particularly for precast elements)
	S	Error in the execution of the abutment's embankments
	Generic Errors	Error due to deficiency in the execution of the approach slabs
Ors		Errors or deficiencies caused by interrupted concreting because of equipment malfunctioning or delays of the concreting mixer trucks
Execution Errors	Gene	Error due to non-controlled concreting of mass concrete elements leading to high temperatures in the concrete core (spread foot of abutments and piers, pile cap, among others)
ecu	ł u w	Errors due to the inexistence of checklist or check procedures for execution quality control
Ex		Errors caused by changes in the assembly technique and material concerning the execution
	Falsework Execution Errors	project
	ulse xec Err	Errors due to the usage of uncertified materials
	5 S -	Errors caused by the absence of the rainwater drainage system or any other
		protective measure

Design Errors	Construction Errors		
Error due to lack of consideration of different support conditions that a bridge or an element will be subjected through the construction process; (ID 14)	Error due to deficiency in the continuous falsework bracing leading to global instability (ID 21)		
Error in reinforcement cross-section area detailing; (ID 17)	Error due to poor evaluation of the falsework foundation soil properties, and variation of these properties after rainfall (ID 19)		
Error due to lack of consistency between the design assumptions and the detailing rules; (ID 16)	Error in movable falseworks due to non-controlled hyperstaticity reduction needed to perform his movement (ID 24)		
Error in the definition of the soil-structure interaction (e.g. boundary conditions and differential settlements); (ID 13)	Error due to wrong evaluation of the foundation soil properties (ID 17)		
Error in modelling the connections between structural elements (e.g. deck, beams and columns); (ID 15)	1 0		

Table 5 – Top	five design and	construction	errors with th	e highest risk
14010 5 10p	five acsign and	construction (ingnest risk

701 *Table 6 – Design supervision and construction works inspection levels according to Eurocode 0* (CEN, 2005)

Reliability Class (RC)* Examples of buildings and civil engineering works (ISO, 2015)		Design supervision levels	Inspection Levels
$\begin{array}{c} \text{RC3} \\ (\boldsymbol{\beta}_T = 4.3) \end{array}$	Major bridges and public buildings where consequences of failure are high (e.g. fewer than 500 fatalities)	Third-party checking: Checking performed by an organizational different from that which has prepared the design	Third-party inspection
$\begin{array}{l} \text{RC 2} \\ (\boldsymbol{\beta}_T = 3.8) \end{array}$	Typical bridges, residential, office buildings and public buildings where consequences of failure are medium (e.g. fewer than 50 fatalities)	Checking by different persons than those originally responsible and in accordance with the procedure of the organization	Inspection in accordance with the procedures of the organisation
RC 1 $(\boldsymbol{\beta}_T = 3.3)$	Agricultural buildings where people do not normally enter (e.g. storage buildings), greenhouse	Self-checking: Checking performed by the person who has prepared the design	Self-inspection

702 *Target reliability levels established for ultimate limit states for 50 years reference period.

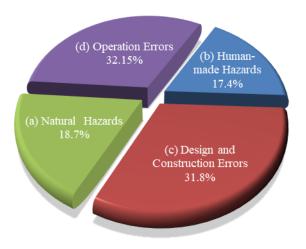




Fig. 1 – Main causes of failure of reinforced concrete bridges (Syrkov, 2017)

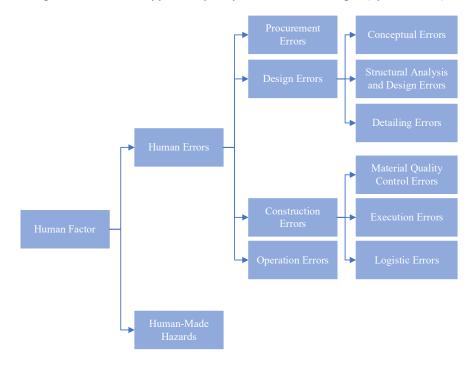


Fig. 2 – Human error clusters

706

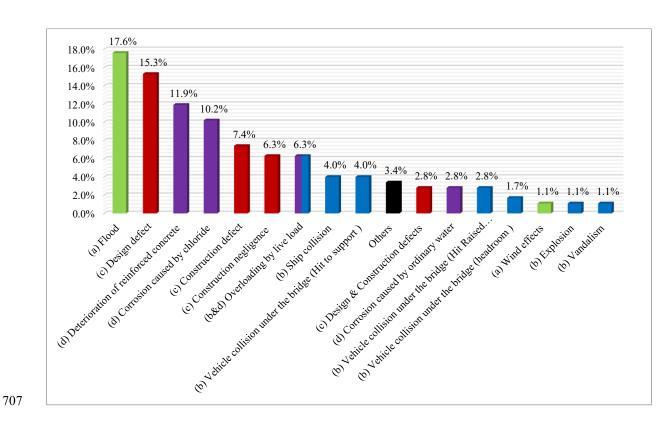
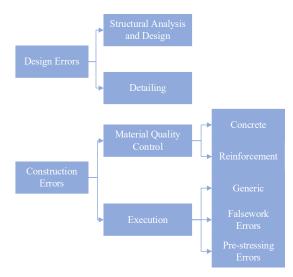




Fig. 3 – Specific causes of failure for reinforced concrete bridges





710

Fig. 4 – Design and construction error clusters



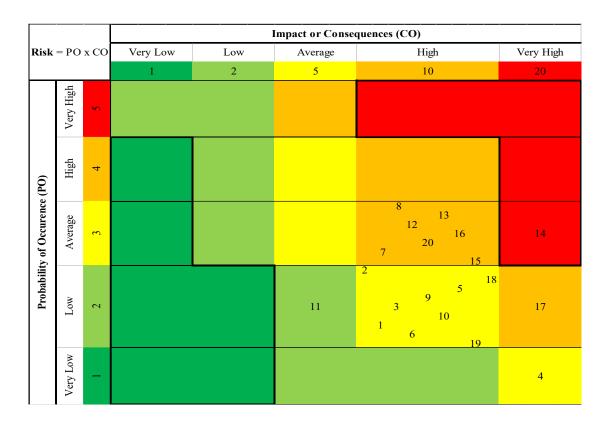


Fig. 5 - Risk matrix of design errors

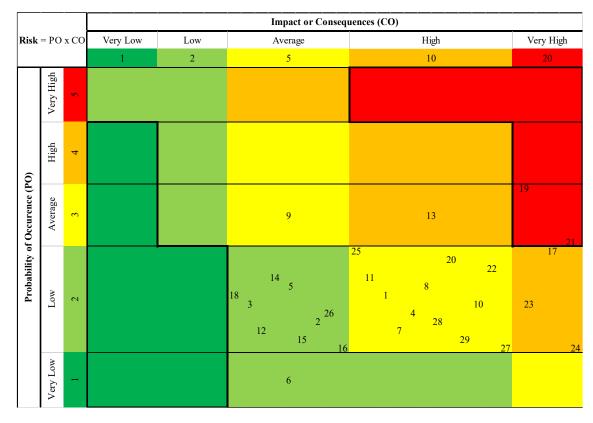


Fig. 6 - Risk matrix of construction errors

