

MESTRADO INTEGRADO EM MEDICINA

2021/2022

Ana Filipa Fonseca da Silva
Incidência de lesão miocárdica em
doentes submetidos a endarterectomia
carotídea: uma revisão sistemática
Incidence of myocardial injury in
patients submitted to carotid
endarterectomy: a systematic review

MARÇO, 2022



Ana Filipa Fonseca da Silva
Incidência de lesão miocárdica em
doentes submetidos a endarterectomia
carotídea: uma revisão sistemática
Incidence of myocardial injury in
patients submitted to carotid
endarterectomy: a systematic review

Mestrado Integrado em Medicina

Área: Angiologia e Cirurgia Vascular

Tipologia: Dissertação

Trabalho efetuado sob a Orientação de:

Doutor João Rocha Neves

E sob a Coorientação de: Dr. José Paulo Andrade

Trabalho organizado de acordo com as normas da revista: International Journal of Surgery

MARÇO, 2022

UC Dissertação/Projeto (6º Ano) - DECLARAÇÃO DE INTEGRIDADE



Eu, <u>Ana Filipa Fonseca da Silva</u>, abaixo assinado, nº mecanográfico <u>201605288</u>, estudante do 6º ano do Ciclo de Estudos Integrado em Medicina, na Faculdade de Medicina da Universidade do Porto, declaro ter atuado com absoluta integridade na elaboração deste projeto de opção.

Neste sentido, confirmo que <u>NÃO</u> incorri em plágio (ato pelo qual um indivíduo, mesmo por omissão, assume a autoria de um determinado trabalho intelectual, ou partes dele). Mais declaro que todas as frases que retirei de trabalhos anteriores pertencentes a outros autores, foram referenciadas, ou redigidas com novas palavras, tendo colocado, neste caso, a citação da fonte bibliográfica.

Faculdade de Medicina da Universidade do Porto, 21/03/2022

Assinatura conforme cartão de identificação:

Ama Eilipa Fonneca da Silva



UC Dissertação/Projeto (6º Ano) — DECLARAÇÃO DE REPRODUÇÃO

NOME			
Ana Filipa Fonseca da Silva			
NÚMERO DE ESTUDANTE	E-MAIL		
201605288	anafilipafs14@gmail.com		
DESIGNAÇÃO DA ÁREA DO PROJECTO			
Angiologia e Cirurgia Vascular			
TÍTULO DISSERTAÇÃO/MONOGRAFIA (riscar o que não interessa) Incidence of myocardial injury in patients submitted to carotid endarterectomy: a systematic review			
ORIENTADOR João Manuel Palmeira Rocha Neves			
COORIENTADOR (se aplicável)			
José Paulo Alves Vieira de Andrade			
ASSINALE APENAS UMA DAS OPÇÕES:			
É AUTORIZADA A REPRODUÇÃO INTEGRAL DESTE TRABALHO MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A			
É AUTORIZADA A REPRODUÇÃO PARCIAL DESTE TRABALHO (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.			
DE ACORDO COM A LEGISLAÇÃO EM VIGOR, (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) NÃO É PERMITIDA A REPRODUÇÃO DE QUALQUER PARTE DESTE TRABALHO.		X	

Faculdade de Medicina da Universidade do Porto, 21/03/2019

Assinatura conforme cartão de identificação: Ama Eilipa Fanseca da Silva

À minha família, que me apoiou durante todo o meu percurso.

Aos meus amigos, que tornaram esta viagem
tão mais memorável.

INCIDENCE OF MYOCARDIAL INJURY IN PATIENTS SUBMITTED TO CAROTID ENDARTERECTOMY: A SYSTEMATIC REVIEW

Running Title: Myocardial injury in carotid endarterectomy review

Pereira-Macedo, Juliana, MD, Msc,1,2; Silva, Ana Filipa 2; Duarte-Gamas, Luís, MD, Msc 3,4; Andrade, José Paulo, MD, PhD 5, 6; Sousa-Pinto, Bernardo, MD, PhD 6, 7, 8; Rocha-Neves, João, MD, Msc, Mph, FEBVS 3,4,5

- 1 Department of Surgery, Centro Hospitalar do Médio-Ave, Vila Nova de Famalicão, Portugal
- 2 Faculty of Medicine of the University of Porto, Portugal
- 3 Department of Angiology and Vascular Surgery, Centro Hospitalar Universitario de Sao Joao, Porto, Portugal.
- 4 Department of Physiology and Surgery, Faculty of Medicine of the University of Porto, Portugal.
- 5 Department of Biomedicine, Unity of Anatomy, Faculty of Medicine of the University of Porto, Portugal.
- 6 CINTESIS—Center for Health Technology and Services Research, Porto, Portugal.
- 7 MEDCIDS—Department of Community Medicine, Information and Health Decision Sciences, Faculty of Medicine, University of Porto, Porto, Portugal
- 8 Basic and Clinical Immunology Unit, Department of Pathology, Faculty of Medicine of the University of Porto, Porto, Portugal.

ABSTRACT

BACKGROUND

Myocardial injury following noncardiac surgery (MINS) is associated with higher mortality and major adverse cardiovascular event rates in the short- and long-term in patients undergoing carotid endarterectomy (CEA). However, its incidence is still unclear in this subset of patients. Therefore, this systematic review with meta-analysis aims to determine MINS incidence in patients undergoing CEA.

MATERIALS AND METHODS

Three electronic databases MEDLINE, Scopus, and Web of Science were used to search for studies assessing MINS occurrence in the postoperative setting of patients undergoing CEA. The incidence of MINS was pooled by random-effects meta-analysis, with sources of heterogeneity being explored by meta-regression. Additionally, the incidence of MINS regarding subgroups of patients, general anesthesia (GA) vs. regional anesthesia (RA), was also analyzed. Assessment of studies' quality was performed using National Heart, Lung, and Blood Institute (NHLBI) Study Quality Assessment Tool for Observational Cohorts and Cross-Sectional Studies, and Risk of Bias 2 tools.

RESULTS

Twenty studies were included, with a total of 117,933 participants. Four were randomized controlled trials (RCT), while the remaining were cohort studies. All observational cohorts had an overall high risk of bias, except for Pereira Macedo et al. As three of them had repeated populations, only data from the most recent one was considered. On the other hand, all RCT had an overall low risk of bias. In patients under regional anesthesia, the incidence of MINS in primary studies ranged between 2% and 15.3%, compared to

0-42.5% for general anesthesia. The meta-analytical incidence of MINS after CEA was 6.3% [95% CI 2.0%-10.6%], but severe heterogeneity was observed ($I^2 = 99.1\%$).

CONCLUSION

MINS appears to be relatively common among patients undergoing CEA. The observed severe heterogeneity points to the need for further larger studies adopting consistent definitions of MINS and equivalent cutoff values.

Keywords: myocardial damage, postoperative troponin elevation, carotid revascularization, postoperative cardiovascular events

HIGHLIGHTS

- Incidence of MINS within 30-days after CEA ranges from 2 to 15.3% under RA
- Studies are strongly heterogeneous regarding MINS definitions and cutoff values
- Consistent methodology towards standardized definitions is strongly recommended
- The prognostic relevance of MINS is still unclear and poorly understood
- RCTs should be carried out regarding preventing measures and management of MINS

1. INTRODUCTION

Some patients submitted to noncardiac surgery may experience troponin elevation in the first thirty postoperative days, resulting from myocardial injury (1). Myocardial injury following noncardiac surgery (MINS) resembles a type two myocardial infarction (MI). It is defined by troponin rising and/or falling patterns, without referred symptoms and/or electrocardiographic findings (2). Established perioperative non-ischemic diagnosis, such as pulmonary embolism, sepsis, and cardioversion, does not fulfill the criteria for MINS (1). Selective troponin assessment in patients with a cardiovascular risk superior to 5% evaluated by Revised Cardiac Risk Index is recommended (3, 4). The high-sensitivity cardiac troponin, troponins I and T are the current best biomarkers in accessing myocardial injury through troponin assays (2, 5, 6).

The occurrence of MINS withstands a substantial damaging impact on the prognosis of the patients. A prospective cohort study has previously described a 17-fold increased risk of non-fatal MI in vascular patients (7). Additionally, these patients have shown a 10-fold increased risk of mortality at 30-days after surgery.

Available literature reporting incidence of MINS, which includes vascular surgeries amongst heterogeneous samples of noncardiac surgical patients, ranges from 8 to 25% (7-9). One in every five patients undergoing vascular surgery develop MINS (7). However, the incidence of MINS has not been systematically assessed for each type of vascular surgery. Such is the case for carotid endarterectomy (CEA), which is considered the gold-standard treatment for carotid-stenosis. In short and long-term follow-up, adverse events such as major adverse cardiovascular events (MACE), MI, or acute heart failure also appear to be more common in patients submitted to CEA, who have experienced troponin elevation than those who had not (10-12).

Therefore, the present systematic review aims to assess MINS incidence in patients submitted to CEA with or without RA.

2. MATERIALS AND METHODS

This systematic review was conducted in accordance with the Preferred Reporting Items for a Systematic Review and Meta-analysis (PRISMA) Statement and Assessing the methodological quality of systematic reviews (AMSTAR) (13, 14). An institutional review board's ethical approval was not obtained due to the nature of this study. The review protocol has been registered at Prospero (reference: CRD42021224429).

2.1 Selection Criteria

Inclusion criteria consisted of all original cohort or experimental studies performed in humans (except for systematic reviews and case series under 20 patients), in which the incidence of MINS after CEA was assessed. Exclusion criteria comprised patients undergoing synchronous cardiac surgery, carotid stenting, or carotid reintervention. No exclusion criteria based on the publication language or date were applied.

2.2 Search strategy

A systematic search was performed in three databases – Pubmed, Scopus, and Web of Science – in September 2021. The query and keywords are shown in supplemental Table B.1. Additionally, the references of the included primary studies and relevant available systematic reviews were screened to search for any further articles of possible interest.

2.3 Study selection and data extraction

After duplicates removal, two authors (JPM and AFS) have independently participated in studies selection; any disagreement was solved by the intervention of a third author (JRN). First, studies were selected by title and abstract, and the remaining ones were eligible for full-text assessment. The same samples studied in multiple original articles

were only included once. Efforts were made to contact the authors to obtain the full texts that were not publicly available.

Data from included studies were independently extracted by two authors (AFS, JPM). Data were extracted using a purposely-built form on the year of publication, country and center of recruitment, study design, recruitment time, number of participants undergoing CEA, participants' age and gender distribution, frequency of cardiovascular comorbidities, and symptomatic carotid status. In addition, data related to each study's MINS cutoff and troponin kit used was retrieved, as well as the incidence and definition of MINS.

2.4 Assessment of study quality

Concerning qualitative assessment, the Cochrane Risk of Bias-2 tool was used for randomized clinical trials (15), and the National Heart, Lung, and Blood Institute (NHLBI) Study Quality Assessment Tool was used for observational cohort and cross-sectional studies (2013) (16). This assessment was independently performed by two authors (JPM and AFS), and when disagreements were observed, decisions were made by mutual consensus after a third-party review (JRN).

2.5 Quantitative synthesis

A random-effects meta-analysis (using the restricted maximum likelihood method) of log-transformed proportions to calculate the meta-analytical pooled incidence of MINS among participants was performed. Pooled estimates and 95% confidence intervals (95%CI) were back-transformed into their original scale to simplify their interpretation. Heterogeneity was assessed using the Q-Cochran p-value and the I^2 statistic – a p-value<0.10 and an $I^2 \ge 50\%$ were considered to represent substantial heterogeneity. Sources of heterogeneity were assessed by leave-one-out sensitivity analysis and

univariable meta-regression models. Assessed covariates included the publication year, participants' mean age, percentage of male participants, percentage of patients with arterial hypertension, dyslipidemia, diabetes, or coronary artery disease (CAD), percentage of symptomatic patients, percentage of patients using antiplatelet drugs, troponin T levels, troponin I levels, and CK-MB. Subgroup analyses were also performed with separate analyses of studies in which participants were under general anesthesia or regional anesthesia. The possibility of publication biases was assessed using funnel plots.

All statistical analyses were performed using software R (metafor package).

3. RESULTS

3.1 Search Results

After the database search and duplicate exclusion, a total of 165 studies were screened. Upon selection by title and abstract, 118 studies were excluded. Forty-seven studies were eligible for full-text assessment, and, during this process, 26 studies were excluded, and one was not retrieved (Figure A.1). Comprehensive reasons for exclusion upon full-text assessment were: patients undergoing synchronous cardiac surgery, carotid stenting, or carotid reintervention (N=9), absence of assessment of MINS (N=17), and absence of full-text even after contacting the respective author (N=1). Thus, a total of 20 published articles were included in this systematic review (10-12, 17-31) (Table B.2).

3.2 Description of Studies

Sixteen of the 20 studies included in this systematic review were observational cohorts, five of them being retrospective (20, 24, 28, 29, 32). However, two studies had repeated samples that were subsequently included in a more recent article, and therefore only data from the latter was considered (11, 33, 34). The other four studies were randomized controlled trials (RCT) (23, 30, 31, 35). The included publications were performed in 10 different countries within three continents - six from North America (17, 21-23, 27, 29), nine from European countries (10-12, 18, 20, 25, 26, 28, 30), and three studies from Asia (19, 24, 31). A total of 117,933 patients were assessed, with a minimum of 40 (19) and a maximum of 75,319 (17) patients per study. The mean participants' age was 69.2 years old. The percentage of male participants was 40.7% (n=48,029). Demographics and comorbidities of the populations included in the studies were gathered and are available in Table B.3. Data related to periprocedural setting, such as type of anesthesia and previous use of antiplatelet/anticoagulation therapy, is displayed in Table B.4.

MINS was defined according to the Fourth Universal Definition of Myocardial Infarction in six of the studies (2, 10, 18, 19, 22, 28, 31), while Grobben et al. and Garcia et al. (12, 21) followed the Third Universal Definition, which is similar to the former one. The Vascular Quality Initiative database used in one of the included studies defined MINS according to their criteria, which, likewise, are comparable to the Fourth Universal Definition (17). Tyson et al. (29) determined the diagnosis of MI as a troponin I value equal or above to 0.6 ng/mL or CK-MB is >6.3 ng/mL together with correlation with electrocardiographic changes. Galyfos et al. (11) and Kwon et al. (24) followed the British Cardiac Society international guidelines of MI definition (24, 36), while Motamed et al. (26) conformed to the definition of the Joint European Society of Cardiology/American College of Cardiology guidelines (37). Feringa et al used the lower reference limit of detection (> 0.03 ng/ml) of the kit to define troponin elevation only (20). Hye et al. (22) used troponin I, T, and CK-MB for the assessment of MINS, while Tyson et al. (29) recurred to troponin I and CK-MB markers. Troponin I and hSTnI were both used by Pereira-Macedo et al. (10). Troponin I alone was used in thirteen articles (11, 12, 17-19, 21, 23-27, 30, 31), and only one article (20) used troponin T alone. Two articles did not specify which biomarkers were used (17, 28). Additionally, Jellish et al., Leblanc et al., Walsh et al., and Shukla et al. (23, 25, 27, 30) did not state any MINS definitions. Troponin measurement kits were retrieved as displayed in Table B.5.

3.3 Main findings and meta-analysis

The incidence of reported MINS within 30-days after CEA in primary studies ranged from 0 and 42.5%. Considering only patients submitted to RA, a range of 2 to 15.3% was observed in the occurrence of MINS, while among patients submitted to GA, such range was 0% to 42.5%.

Concerning short- and long-term outcomes in patients that experienced MINS, available data was sparse but further withdrawn and displayed in Tables B.6 and B.7.

The meta-analytical incidence of MINS after CEA was 6.3% [95% CI 2.0%-10.6%]. However, severe heterogeneity was found ($I^2 = 99.1\%$; Q-Cochran p-value<0.001). In all results of leave-one-out sensitivity analysis and of univariable meta-regression models, severe heterogeneity was described ($I^2 > 90\%$) (Table C.1 and C.2). Additionally, subgroup analysis regarding the use of general anesthesia vs. regional anesthesia has shown similar high heterogeneity (above 98 and 96%, respectively). Studies related to general anesthesia have reached a prevalence of 4.5%, whereas regional anesthesia accounted for 2.2% of MINS, in the meta-analysis (Table C.1).

3.4 Studies quality

The risk of bias of the selected articles is displayed in figures A.2-A.5. The risk of bias for each observational cohort is individually displayed in figure A.2, while the risk of bias for each RCT is displayed in figure A.3. The overall judgment per evaluated item regarding observational cohorts is shown in figure A.4, whereas the one regarding RCT is shown in figure A.5.

All observational cohorts had an overall high risk of bias, except for Pereira Macedo et al. (38). On the other hand, all RCT had an overall low risk of bias (21, 23, 39, 40). The items most frequently associated with a high risk of bias amongst observational cohorts included sample size justification, power description, variance and effect estimates, exposure assessment, key potential confounding variables measurement, and statistical adjustment for their impact.

3.5 Publication bias

A funnel plot regarding publication bias is displayed in figure A.6. The funnel plot displays an asymmetric pattern – while funnel plot asymmetry is expected in cases of severe heterogeneity, publication bias cannot be excluded.

4. DISCUSSION

The present systematic review has found a meta-analytical incidence of MINS after CEA of 6.3%, with a range between 0 and 42.5%. However, severe heterogeneity was found and not accounted for by sensitivity analysis or meta-regression models.

MINS has been the subject of increasing interest to clinical researchers. In fact, despite being an asymptomatic troponin elevation finding that occurs within 30-days after noncardiac surgery, several studies have shown that its prognostic effect might be deleterious for the patients at short and long-term periods, which turns this topic into a focus of concern in clinical practice (1, 7, 10, 12). The diagnostic criteria for MINS diverge from the criteria for MI, and its occurrence may be pathophysiologically explained by oxygen supply or demand imbalance in the postoperative period (2). For vascular surgery, it is known that the surgical procedure itself is considerably associated with a higher risk of cardiovascular events (41). Additionally, a previous meta-analysis regarding vascular surgery has found that MINS was a predictor of all-cause postoperative mortality at 30 days (42). Such systematic review has identified a 15.5% incidence of patients experiencing myocardial lesion after vascular surgery (42).

However, the incidence of MINS after CEA is not widely reported. Likewise, its therapeutic approach and prognostic potential are not well established. Due to its likely impact on prognosis, management of MINS is vital, and it is a major concern of clinical research. Nonetheless, how to manage these patients is still a challenge. The recently published MANAGE trial found a protective effect of the administration of dabigatran 110 mg twice daily on significantly lowering the risk of major vascular adverse events without significantly increasing the risk of major bleeding (43).

Regarding CEA and the occurrence of postoperative MINS on the present systematic review, only three cohort studies – Cnotliwy et al. (18), Pereira-Macedo et al. (10), and Leblanc et al. (25) have performed CEA under RA while other ten studies, including all the randomized control trials, executed CEA under GA (11, 12, 19, 21, 23, 24, 26, 29-31). Two studies did not specify the anesthetic approach (20, 28). The remaining three studies performed both RA and GA but did not discriminate MINS incidence between the two procedures (17, 22, 27). The samples of the studies whose patients were submitted to RA are small, ranging from 50 to a maximum of 150 individuals, which compromises the precision of the results. On the other hand, most of the studies were performed under GA, assessing larger samples of patients.

Since RA is reasonable in clinical practice and may be associated with fewer adverse effects, it is expected to have a lower incidence of troponin elevation. Furthermore, GA stands for a more challenging hemodynamic management, which could prompt myocardial blood flow imbalances. However, the GALA trial suggests that no differences in MI occurrence are expected between CEA patients submitted to RA or those submitted to GA, as well as in the postoperative quality of life, length of hospital stay, stroke, or death (44). Nevertheless, the incidence of MINS has not been widely studied, and the impact of the anesthetic approach is still unclear.

Feng et al. (19) have found an association between MINS and symptomatic carotid stenosis (defined by authors as >70% of the luminal diameter on color duplex ultrasonography), and ST-segment changes consistent with ischemia. However, none of these associations were confirmed by multivariable logistic regression analysis. Moreover, they have detected significant changes in hemodynamic parameters such as higher cardiac output and stroke volume indexes and lower diastolic and mean arterial pressures in patients with abnormal cardiac troponin.

In the study of Grobben et al. (12), the 34 patients that experienced troponin elevation after CEA had a significantly higher prevalence of chronic kidney disease (glomerular filtration rate < 45 mL/min), symptomatic presentation, and primary/bovine patch closure than the patients with no troponin elevation. However, only 26 of those 34 patients were diagnosed with MINS, while the remaining patients were diagnosed with perioperative MI. Since the reported baseline characteristics were not discriminated between these two groups of patients with troponin elevation, extrapolation could result in an overestimating effect. Four percent of MINS patients experienced short-term MACE (1 stroke), defined as the composite of MI, stroke, and cardiovascular death, and 8% at 1.8 years follow-up (4% stroke, 4% cardiovascular death, and 12% all-cause mortality).

In Pereira-Macedo et al. (10), chronic heart failure was independently associated with the occurrence of MINS with a 4-fold increased risk in patients submitted to RA, whereas coronary artery disease (CAD) was not confirmed as a statistically significant risk factor. CAD is currently the leading cause of heart failure (45) and plays an important role in postoperative myocardial events in vascular patients (46, 47). The majority of patients enrolled for vascular surgery had a prior history of CAD. Biccard et al. which studied a large prospective cohort also did not confirm CAD as a risk factor for incidence of MINS in vascular patients (7). Therefore, it can be suggested that CAD may present significant interaction and act as a confounding factor.

Only two studies (Pereira-Macedo et al. and Grobben et al.) (10, 12) have assessed the impact of MINS at short and long-term follow-up. Both have found a substantial potential role of MINS in the occurrence of cardiovascular events. However, in Grobben et al. (12), results should be carefully scrutinized since only 26 patients of the 34 with troponin elevation had experienced the full criteria of MINS. Nevertheless, Pereira-Macedo et al.

(10) have found a significant impact regarding the occurrence of MACE and acute MI at 52 months follow-up.

Seven of the papers did not offer information regarding the equipment utilized, and considering their respective definitions of MINS it is challenging to interpret the results. Indeed, when a kit is identified, a cutoff of troponin detection is established along with the definition of MINS used by the authors. According to the Fourth Universal Definition of MI, MINS is defined by a rising of cardiac troponins with at least one value above the 99th percentile upper reference limit (2). Centers that did not mention the cutoff limits inferred MINS diagnosis according to their institution protocols, which conveys some inconsistency amid the selected studies. Therefore, the derived outcomes should be judiciously revised. When the definition of MINS varied from the current guidelines, interpretation of the results considered this issue to avoid erratic analysis.

This study faced many limitations worth noting. First, few articles were eligible for this systematic review, and the majority of those had a small sample size without sample justifications and power descriptions, which led to low precision of obtained results and consequently might affect external validity. Moreover, there was severe heterogeneity amongst studies regarding most baseline patient characteristics, study designs, and methodology, prompting a high diversity of MINS definitions and troponin kits used. In fact, differences between primary studies were so extensive that, in meta-regression, any single variable could be identified, which could possibly account for most heterogeneity. The authors were not able to perform multivariable meta-regression models on account of the insufficient number of included primary studies. Few other short- and long-term outcomes were assessed, such as stroke, MI, MACE, or death, which deprived the study of MINS association with other outcomes.

This systematic review exposes the need for large cohorts and RCTs that implement standardized methodology and apply consistent definitions of MINS, with a primary aim to assess the incidence and effect of MINS in patients undergoing CEA to validate the current findings in the literature and further uncover the existing gaps of knowledge. Furthermore, observational cohorts should avoid the frequent bias detected in this study by presenting sample size justification, power description, variance and effect estimates, exposure assessment, key potential confounding variables measurement, and statistical adjustment for their impact.

In truth, the potential impact of MINS might predispose clinicians to adapt their strategies for better management of these patients. Nonetheless, more studies regarding the prevention and management of MINS are crucial to avoid further harm to the patients at short and long periods.

5. CONCLUSION

The incidence of MINS within 30-days after CEA ranged between 0 and 42.5% in the present systematic review. However, inferring results should be cautiously interpreted due to a significant heterogeneity amongst the selected studies and the diversity of study designs and methodology. Henceforward, additional research with a consistent and internationally defined methodology is required to provide valid results and assess the true incidence and risk factors of MINS in this subset of patients, with or without RA, as well as its appropriate management.

6. FUNDING

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

7. CONFLICT OF INTEREST STATEMENT

None.

8. PROVENANCE AND PEER REVIEW

Not commissioned, externally peer-reviewed.

8. REFERENCES

- 1. Botto F, Alonso-Coello P, Chan M, Villar J, Xavier D, Srinathan S, et al. Myocardial injury after noncardiac surgery: a large, international, prospective cohort study establishing diagnostic criteria, characteristics, predictors, and 30-day outcomes. Anesthesiology. 2014;120(3):564-78.
- 2. Thygesen K, Alpert J, Jaffe A, Chaitman B, Bax J, Morrow D, et al. Fourth universal definition of myocardial infarction (2018). European Heart Journal. 2018;40(3):237-69.
- 3. Duceppe E, Parlow J, MacDonald P, Lyons K, McMullen M, Srinathan S, et al. Canadian Cardiovascular Society Guidelines on Perioperative Cardiac Risk Assessment and Management for Patients Who Undergo Noncardiac Surgery. The Canadian journal of cardiology. 2017;33(1):17-32.
- 4. Lee T, Marcantonio Er Fau Mangione C, Mangione Cm Fau Thomas E, Thomas Ej Fau Polanczyk C, Polanczyk Ca Fau Cook E, Cook Ef Fau Sugarbaker D, et al. Derivation and prospective validation of a simple index for prediction of cardiac risk of major noncardiac surgery. (1524-4539 (Electronic)).
- 5. Collinson P, Gaze D, Goodacre S. Comparison of contemporary troponin assays with the novel biomarkers, heart fatty acid binding protein and copeptin, for the early confirmation or exclusion of myocardial infarction in patients presenting to the emergency department with chest pain. Heart. 2014;100(2):140.
- 6. Babuin L, Jaffe AS. Troponin: the biomarker of choice for the detection of cardiac injury. CMAJ: Canadian Medical Association journal = journal de l'Association medicale canadienne. 2005;173(10):1191-202.

- 7. Biccard B, Scott D, Chan M, Archbold A, Wang C, Sigamani A, et al. Myocardial Injury After Noncardiac Surgery (MINS) in Vascular Surgical Patients: A Prospective Observational Cohort Study. Ann Surg. 2018;268(2):357-63.
- 8. Serrano A, Gomez-Rojo M, Ureta E, Nuñez M, Fernández Félix B, Velasco E, et al. Preoperative clinical model to predict myocardial injury after non-cardiac surgery: a retrospective analysis from the MANAGE cohort in a Spanish hospital. BMJ Open. 2021;11(8):e045052.
- 9. Pereira-Macedo J, Machado N, Pereira-Neves A, Ferreira V, Oliveira-Pinto J, Dias-Neto M, et al. Myocardial injury after aortoiliac revascularization for extensive disease: A survival analysis. Turk Gogus Kalp Damar Cerrahisi Derg. 2020;28(3):426-34.
- 10. Pereira-Macedo J, Rocha-Neves J, Dias-Neto M, Andrade J. Prognostic effect of troponin elevation in patients undergoing carotid endarterectomy with regional anesthesia
 A prospective study. International Journal of Surgery. 2019;71:66-71.
- 11. Galyfos G, Tsioufis C, Theodorou D, Katsaragakis S, Zografos G, Filis K. Cardiac troponin I after carotid endarterectomy in different cardiac risk patients. Journal of stroke and cerebrovascular diseases: the official journal of National Stroke Association. 2015;24(3):711-7.
- 12. Grobben R, Vrijenhoek J, Nathoe H, Den Ruijter H, van Waes J, Peelen L, et al. Clinical Relevance of Cardiac Troponin Assessment in Patients Undergoing Carotid Endarterectomy. Eur J Vasc Endovasc Surg. 2016;51(4):473-80.
- 13. Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: An updated guideline for reporting systematic reviews. International Journal of Surgery. 2021;88:105906.

- 14. Shea BJ, Reeves BC, Wells G, Thuku M, Hamel C, Moran J, et al. AMSTAR 2: a critical appraisal tool for systematic reviews that include randomised or non-randomised studies of healthcare interventions, or both. BMJ. 2017;358:j4008.
- 15. Sterne JAC, Savović J, Page MJ, Elbers RG, Blencowe NS, Boutron I, et al. RoB 2: a revised tool for assessing risk of bias in randomised trials. BMJ. 2019;366:14898.
- 16. National Institutes of H. Quality assessment tool for observational cohort and cross-sectional studies [Internet] 2014 [Available from: Available online at: https://www.nhlbi.nih.gov/health-pro/guidelines/in-develop/cardiovascular-risk-reduction/tools/cohort.
- 17. Aridi H, Paracha N, Nejim B, Locham S, Malas M. Anesthetic type and hospital outcomes after carotid endarterectomy from the Vascular Quality Initiative database. Journal of Vascular Surgery. 2018;67(5):1419-28.
- 18. Cnotliwy M, Kazimierczak A, Śledź M, Biernacka J, Zukowski M. The usefulness of N-terminal pro-brain natriuretic peptide and cardiac troponin measurement in the prediction of cardiac morbidity after carotid endarterectomy. Acta Angiologica. 2011;17(3):199-208.
- 19. Feng H, Wang T, Cai B. Ischemic stroke predicts myocardial injury after carotid endarterectomy for symptomatic severe carotid artery stenosis. Clin Appl Thromb Hemost. 2014;20(4):422-6.
- 20. Feringa H, Hendriks J, Karagiannis S, Schouten O, Vidakovic R, van Sambeek M, et al. Carotid artery stenting versus endarterectomy in relation to perioperative myocardial ischemia, troponin T release and major cardiac events. England 2007 2007-9. 483-7 p.
- 21. Garcia S, Rector T, Zakharova M, Herrmann R, Adabag S, Bertog S, et al. Cardiac Remote Ischemic Preconditioning Prior to Elective Vascular Surgery (CRIPES): A

- prospective, randomized, sham-controlled phase II clinical trial. Journal of the American Heart Association. 2016;5(10).
- 22. Hye R, Voeks J, Malas M, Tom M, Longson S, Blackshear J, et al. Anesthetic type and risk of myocardial infarction after carotid endarterectomy in the Carotid Revascularization Endarterectomy versus Stenting Trial (CREST). Journal of Vascular Surgery. 2016;64(1):3-+.
- 23. Jellish W, Sheikh T, Baker W, Louie E, Slogoff S. Hemodynamic stability, myocardial ischemia, and perioperative outcome after carotid surgery with remifentanil/propofol or isoflurane/fentanyl anesthesia. United States 2003 2003-7. 176-84 p.
- 24. Kwon H, Moon D, Han Y, Lee J, Kwon S, Kang D, et al. Impact of subclinical coronary artery disease on the clinical outcomes of carotid endarterectomy. J Neurosurg. 2017;126(5):1560-5.
- 25. Leblanc I, Chterev V, Rekik M, Boura B, Costanzo A, Bourel P, et al. Safety and efficiency of ultrasound-guided intermediate cervical plexus block for carotid surgery. Anaesthesia, critical care & pain medicine. 2016;35(2):109-14.
- 26. Motamed C, Motamed-Kazerounian G, Merle J, Dumerat M, Yakhou L, Vodinh J, et al. Cardiac troponin I assessment and late cardiac complications after carotid stenting or endarterectomy. Journal of Vascular Surgery. 2005;41(5):769-74.
- 27. Shukla M, Callas P, Lahiri J, Alef M, Keating F, Stanley A, et al. Surveillance and Management of Troponin Elevation after Vascular Surgery. Ann Vasc Surg. 2019;60:156-64.
- 28. Steely AM, Callas PW, Neal D, Scali ST, Goodney PP, Schanzer A, et al. Regional Variation in Postoperative Myocardial Infarction in Patients Undergoing Vascular Surgery in the United States. Annals of vascular surgery. 2017;40:63-73.

- 29. Tyson A, Parikh S, Singh K, Zia S, Deitch J, Schor J. Routine Postoperative Cardiac Testing is Unnecessary after Carotid Endarterectomy. Ann Vasc Surg. 2019;59:12-5.
- 30. Walsh S, Nouraei S, Tang T, Sadat U, Carpenter R, Gaunt M. Remote ischemic preconditioning for cerebral and cardiac protection during carotid endarterectomy: results from a pilot randomized clinical trial. Vascular and endovascular surgery. 2010;44(6):434-9.
- 31. Wang Q, Li Y, Wang T, Feng H, Cai B, Wang Q, et al. Protective Effect of Low-dose Sevoflurane Inhalation and Propofol Anesthesia on the Myocardium after Carotid Endarterectomy: A Randomized Controlled Trial. Chinese Medical Journal. 2015;128(14):1862-6.
- 32. Aridi HD, Paracha N, Nejim B, Locham S, Malas MB. Anesthetic type and hospital outcomes after carotid endarterectomy from the Vascular Quality Initiative database. Journal of Vascular Surgery. 2018;67(5):1419-28.
- 33. Galyfos G, Sigala F, Tsioufis K, Bakoyiannis C, Lagoudiannakis E, Manouras A, et al. Postoperative cardiac damage after standardized carotid endarterectomy procedures in low- and high-risk patients. Annals of vascular surgery. 2013;27(4):433-40.
- 34. Galyfos G, Tsioufis C, Theodorou D, Katsaragakis S, Zografos G, Filis K. Predictive role of stress echocardiography before carotid endarterectomy in patients with coronary artery disease. Echocardiography (Mount Kisco, NY). 2015;32(7):1087-93.
- 35. Garcia S, Rector TS, Zakharova M, Herrmann RR, Adabag S, Bertog S, et al. Cardiac Remote Ischemic Preconditioning Prior to Elective Vascular Surgery (CRIPES): A prospective, randomized, sham-controlled phase II clinical trial. Journal of the American Heart Association. 2016;5(10).

- 36. Fox K, Birkhead J, Wilcox R, Knight C, Barth J, British Cardiac Society Working G. British Cardiac Society Working Group on the definition of myocardial infarction. Heart. 2004;90(6):603-9.
- 37. Alpert J, Thygesen K, Antman E, Bassand J. Myocardial infarction redefined--a consensus document of The Joint European Society of Cardiology/American College of Cardiology Committee for the redefinition of myocardial infarction. J Am Coll Cardiol. 2000;36(3):959-69.
- 38. Pereira-Macedo J, Rocha-Neves J, Dias-Neto M, Andrade J. Prognostic effect of troponin elevation in patients undergoing carotid endarterectomy with regional anesthesia A prospective study. Int J Surg. 2019;71:66-71.
- 39. Walsh S, Nouraei S, Tang T, Sadat U, Carpenter R, Gaunt M. Remote ischemic preconditioning for cerebral and cardiac protection during carotid endarterectomy: results from a pilot randomized clinical trial. Vasc Endovascular Surg. 2010;44(6):434-9.
- 40. Wang Q, Li YH, Wang TL, Feng H, Cai B. Protective Effect of Low-dose Sevoflurane Inhalation and Propofol Anesthesia on the Myocardium after Carotid Endarterectomy: A Randomized Controlled Trial. Chin Med J (Engl). 2015;128(14):1862-6.
- 41. Sigamani A. Perioperative vascular events and myocardial injury after noncardiac surgery in vascular surgery: An overview of the current emerging evidence and guidelines. Indian Journal of Vascular and Endovascular Surgery. 2017;4(4):144-51.
- 42. Redfern G, Rodseth R, Biccard B. Outcomes in vascular surgical patients with isolated postoperative troponin leak: a meta-analysis. Anaesthesia. 2011;66(7):604-10.
- 43. Devereaux P, Duceppe E, Guyatt G, Tandon V, Rodseth R, Biccard B, et al. Dabigatran in patients with myocardial injury after noncardiac surgery (MANAGE): an

international, randomised, placebo-controlled trial. The Lancet. 2018;391(10137):2325-34.

- 44. Lewis S, Warlow C, Bodenham A, Colam B, Rothwell P, Torgerson D, et al. General anaesthesia versus local anaesthesia for carotid surgery (GALA): a multicentre, randomised controlled trial. Lancet. 2008;372(9656):2132-42.
- 45. Komanduri S, Jadhao Y, Guduru S, Cheriyath P, Wert Y. Prevalence and risk factors of heart failure in the USA: NHANES 2013 2014 epidemiological follow-up study. Journal of community hospital internal medicine perspectives. 2017;7(1):15-20.
- 46. Sprung J, Abdelmalak B, Gottlieb A, Mayhew C, Hammel J, Levy P, et al. Analysis of Risk Factors for Myocardial Infarction and Cardiac Mortality after Major Vascular Surgery. Anesthesiology. 2000;93(1):129-40.
- 47. Beaulieu R, Sutzko D, Albright J, Jeruzal E, Osborne N, Henke P. Association of High Mortality With Postoperative Myocardial Infarction After Major Vascular Surgery Despite Use of Evidence-Based Therapies. JAMA Surgery. 2020;155(2):131-7.

APPENDIX A

Figure A.1 – Flow-diagram according to PRISMA statement regarding the process of identification and selection of the studies

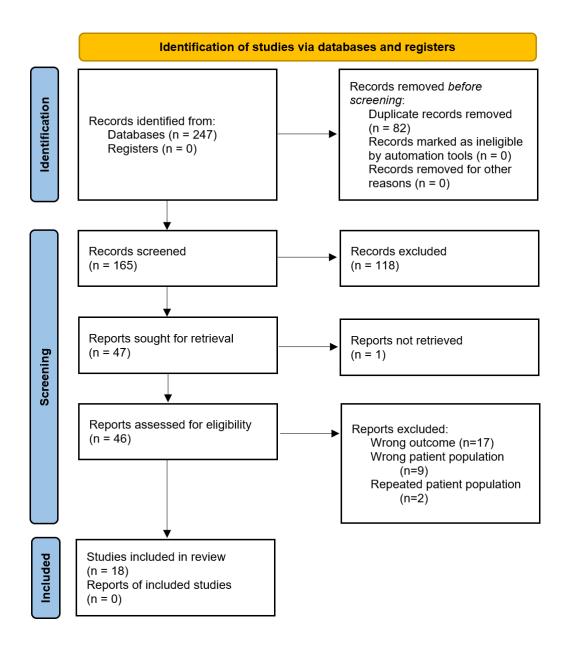


Figure A.2 – Risk of bias of all observational studies included in the systematic review, displayed by article

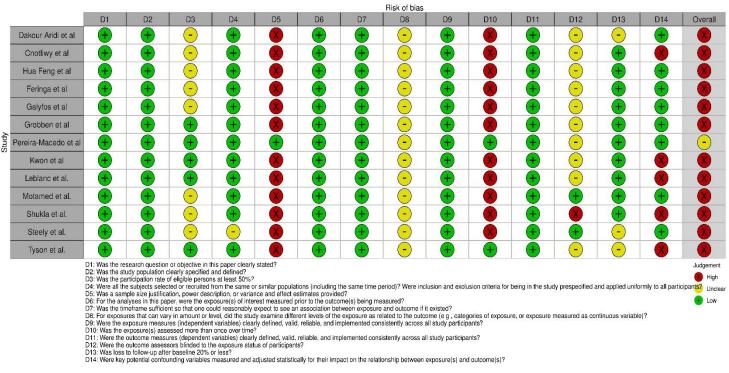


Figure A.3 – Risk of bias of included RCTs, displayed by article.

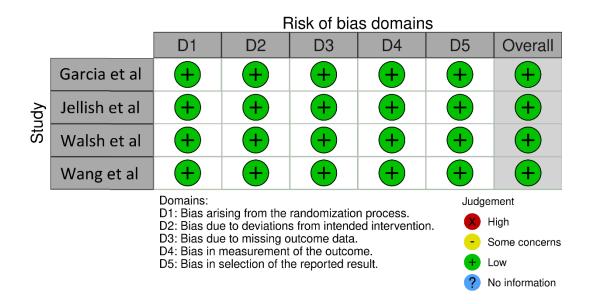
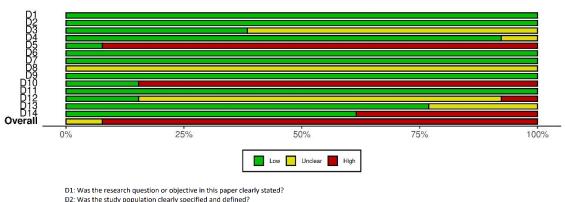


Figure A.4 – Risk of bias of all included observational studies, displayed by item



- D2: Was the study population clearly specified and defined?
 D3: Was the participation rate of eligible persons at least 50%?
- D4: Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and exclusion criteria for being in the study prespecified and applied uniformly to all participants?
- D5: Was a sample size justification, power description, or variance and effect estimates provided?
 D6: For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?
- D7: Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?

 D8: For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the outcome (e.g., categories of exposure, or exposure measured as continuous variable)?

 D9: Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?
- D10: Was the exposure(s) assessed more than once over time?
 D11: Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?
- D12: Were the outcome assessors blinded to the exposure status of participants?
- D13: Was loss to follow-up after baseline 20% or less?
- D14: Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and Outcome(s)?

Figure A.5 – Risk of bias of all included RCTs, displayed by item

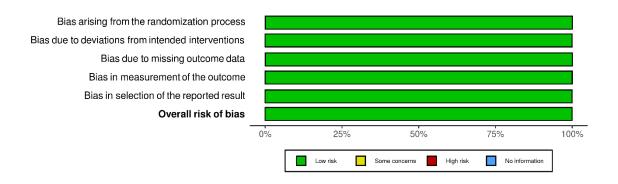
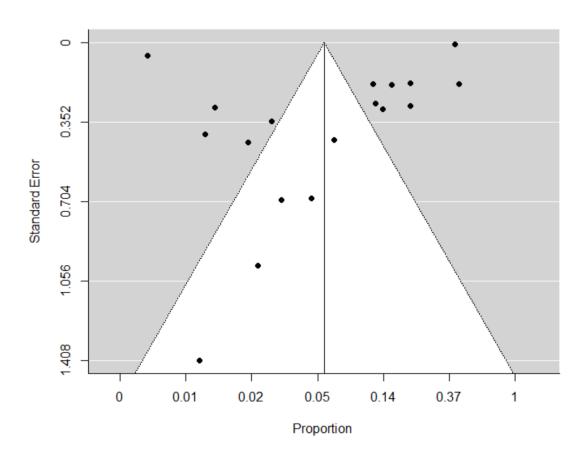


Figure A.6 – Funnel plot of publication bias assessment



APPENDIX B

 $Table\ B.1-Search\ query-key\ words$

Database	Fields	Search Terms
Pubmed	MeSH Terms	Endarterectomy
		Endarterectomy, Carotid
		Carotid endarterectomies
		Troponin
	Free text words	Carotid endarterectomy (All fields)
		Troponin* (All fields)
		Myocardial Injury (All fields)
		cTnT (All fields)
		cTnI (All fields)
	Limits	None
Scopus and Web of	Free text words	Endarterectomy
Science		Carotid endarterectomy (All fields)
		Carotid endarterectomies
		(All fields)
		Troponin* (All fields)
		Myocardial Injury (All fields)
		cTnT (All fields)
		cTnI (All fields)
	Limits	None

Table B.2 – Identification and summary description of the selected studies from where data were retrieved.

Author	Journal	Publication	Study Design	Study Center	Recruitment	Sample Size	No.
		Year			Time	(patients)	CEA
Aridi et	Journal of Vascular	2017	Retrospective from a	Baltimore, USA multicenter,	January 2003 -	75319	75319
al.	Surgery		prospective database	Johns Hopkins Bayview	February 2017		
				Vascular and Endovascular	(14 years)		
				Research Center, USA			
Cnotliwy	Acta Angiologica	2011	Prospective	Pomeranian Medical University,	NA	100	100
et al.			observational study	Szczecin, Poland			
Feng et	Clinical and Applied	2013	Prospective	XuanWu Hospital of Capital	May 2010 -	40	40
al.	Thrombosis/		observational study	Medical University, China	March 2012		
	Hemostasis				(22 months)		
Feringa	Coronary artery	2007	Retrospective from a	Erasmus Medical Center in	2005 - 2006	44	44
et al.	disease		prospective database	Rotterdam	(1 year)		

Galyfos et	Journal of Stroke and	2014	Prospective	University of Athens Medical	January 2003 -	324	324
al.	Cerebrovascular		observational study	School, Hippocration Hospital,	June 2013 (126		
	Diseases			Athens	months)		
Garcia et	Journal of the	2016	RCT	Minneapolis Veterans Affairs	June 2011 -	49	49
al.	American Heart			Healthcare System, USA	September 2015		
	Association				(51 months)		
Grobben	European Journal of	2015	Prospective	University Medical Center	January 2011 -	225	225
et al.	Vascular and		observational study	Utrecht, The Netherlands	December 2013		
	Endovascular				(3 years)		
	Surgery						
Hye et al.	Journal of Vascular	2016	Prospective,	NA: 117 clinical centers in the	December 2000	1149	1149
	Surgery		randomized,	United States and Canada	- July 2008		
			multicenter trial with				

			blinded endpoint				
			adjudication				
Jellish et	Journal of	2003	RCT	Loyola University Medical	NA	59	59
al.	neurosurgical			Center, Maywood, Illinois, USA			
	anesthesiology						
Pereira-	International Journal	2019	Prospective cohort	Portuguese tertiary care	January 2009 -	156	156
Macedo	of Surgery				January 2018		
et al.					(9 years)		
Kwon et	Journal of	2016	Retrospective study	Asan Medical Center	January 2005 -	666	666
al.	Neurosurgery		of data from a		December 2014		
			prospective CEA		(10 years)		
			registry				
Leblanc	Anesthesia Critical	2015	Prospective	Institut Mutualiste Montsouris,	April 2011 -	50	50
et al.	Care & Pain		observational study	French	May 2013 (25		
	Medicine				months)		

Motamed	Journal of Vascular	2005	Prospective	Single university Hospital,	July 1998 -	75	75
et al.	Surgery		observational study	French	December 1999		
					(17 months)		
Shukla et	Annals of Vascular	2019	Prospective	Rural academic medical center	January 2016 -	78	78
al.	Surgery		observational study	of University of Vermont	December 2016		
				Medical Center	(12 months)		
Steely et	Annals of Vascular	2017	Retrospective from a	Multicenter VQI	January 2010 -	39118	39118
al.	Surgery		prospective database		December 2014		
					(4 years)		
Tyson et	Annals of Vascular	2019	Retrospective from a	Single-center, NY	February 2011 -	289	289
al.	Surgery		prospective database		July 2015		
					(54 months)		
Walsh et	Vascular and	2010	RCT	Cambridge Vascular Unit	January 2006 -	70	70
al.	endovascular surgery				May 2008		
					(29 months)		

Wang et	Chinese Medical	2015 RCT	Single-center of Beijing, Xuan	November 2011	122	122
al.	Journal		Wu Hospital, Capital Medical	- December		
			University	2013		
				(25 months)		

 $\overline{\text{Legend: CEA} - \text{carotid endarterectomy; RA} - \text{regional anesthesia}}$

Table B.3 – Demographics and comorbidities of the population samples for each study.

Author	Mean age	Male	Arterial	Dislipidemia	Diabetes	Smoking	Coronary Artery	Carotid territory
		n(%)	Hypertension	n(%)	Mellitus n(%)	History n(%)	Disease n(%)	symptoms n(%)
			n(%)					
Aridi et al.	71.1	45498	66 821 (88.7)	60275 (80.0)	26325(35.0)	57007 (75.7)	20710 (27.5)	23091 (30.7)
		(60.4)						
Cnotliwy et	69.4	66 (66.0)	85 (85.0)	NA	35 (35.0)	NA	47 (47.0)	61 (61.0)
al.								
Feng et al.	67.0	32 (80.0)	31 (77.5)	27 (67.5)	14 (35.0)	25 (62.5)	6 (15.0)	40 (100)
Feringa et	64.0	34 (77.3)	18 (40.9)	29 (65.9)	6 (13.6)	29 (65.9)	13 (29.5)	NA
al.								
Galyfos et	67.3	207 (64.0)	289 (89.0)	168 (52.0)	93 (29.0)	171 (53.0)	NA	143 (44.0)
al.								
Garcia et	NA	49 (100)	NA	NA	NA	NA	NA	NA
al.								

Grobben et	73.0	164 (73.0)	188 (83.6)	182 (81.0)	57 (25.3)	67 (29.8)	NA	202 (89.7)
al.								
Hye et al.	69.1	769 (67.0)	989 (86.1)	986 (85.8)	355 (30.9)	299 (26.0)	588 (51.1)	611 (53.2)
Jellish et al.	70.7	34 (58.0)	NA	NA	NA	NA	NA	NA
Pereira-	69.6	119 (76.3)	133 (85.3)	127 (81.4)	62 (39.7)	79 (50.6)	57 (36.5)	62 (39.7)
Macedo et								
al.								
Kwon et al.	68.7	573 (86.0)	498 (74.8)	NA	239 (35.9)	424 (63.7)	45 (6.8)	364 (54.7)
Leblanc et	72.0	37 (74.0)	NA	NA	NA	NA	14 (28.0)	10 (20.0)
al.								
Motamed et	72.0	63 (84.0)	58 (77.3)	NA	14 (18.7)	40 (53.3)	NA	42 (56.0)
al.								
Shukla et al.	NA	53 (68.0)	67 (86.0)	78 (100)	26 (33.3)	63 (80.7)	20 (25.6)	47 (60.3)
Steely et al.	NA	NA	NA	NA	NA	NA	NA	NA
Tyson et al.	70.2	172 (59.5)	267 (92.4)	232 (80.6)	NA	225 (78.0)	NA	NA
Walsh et al.	68.9	49 (70.0)	44 (62.9)	62 (88.6)	15 (21.4)	38 (54.3)	23 (32.8)	42 (60.0)

Wang et al.	66.2	110 (90.2)	82 (67.2)	20 (16.4)	32 (26.2)	61 (50.0)	22 (18.0)	122 (100)

Legend: NA – unavailable data

Table B.4 – Relevant data related to the surgical setting: presence of contralateral disease, antiplatelet and anticoagulation therapies, and type of anesthesia

Author	Contralateral	Antiplatelet	Dual Antiplatet	Anticoagulation	General anestesia	Regional anestesia
	Stenosis n(%)	Therapy n(%)	Therapy n(%)	n(%)	n(%)	n(%)
Aridi et al.	NA	62519 (83.0)	NA	5687 (75.5)	68635 (91.1)	6684 (8.9)
Cnotliwy et al.	NA	NA	NA	NA	12 (12.0) *	100 (100) *
Feng et al.	9 (22.5)	19 (47.5)	NA	NA	40 (100)	0 (0.0)
Feringa et al.	NA	41 (93.2)	NA	NA	NA**	NA**
Galyfos et al.	NA	324 (100)	NA	NA	324 (100)	0 (0.0)
Garcia et al.	NA	NA	NA	NA	49 (100)	0 (0.0)
Grobben et al.	50 (22.2)	196 (87.1)	NA	NA	225 (100)	0 (0.0)
Hye et al.	NA	NA	NA	NA	1038 (90.3)	111 (9.7)
Jellish et al.	NA	NA	NA	NA	59 (100)	0 (0.0)

Pereira-Macedo et al.	NA	156 (100)	0 (0.0)	156 (100)	0 (0.0)	156 (100)
Kwon et al.	NA	363 (54.5)	NA	NA	375 (56.3)	0 (0.0)
Leblanc et al.	NA	50 (100)	4 (8.0)	6 (12.0)	0 (0.0)	50 (100)
Motamed et al.	NA	52 (69.0)	NA	NA	39 (52.0)	NA
Shukla et al.	NA	78 (100)	20 (25.6)	5 (6.4)	77 (99.0)	NA
Steely et al.	NA	NA	NA	NA	NA	NA
Tyson et al.	NA	250 (86.5)	NA	NA	289 (100)	0 (0.0)
Walsh et al.	NA	63 (90.0)	18 (25.7)	NA	70 (100)	0 (0.0)
Wang et al.	NA	NA	NA	NA	122 (100)	0 (0.0)

Legend: NA – unavailable data

^{* - 12} conversions of RA to GA during the intervention

^{** -} combination of GA and RA

 $Table\ B.5-MINS\ definitions, troponin\ cutoff\ values,\ and\ troponin\ kits\ used\ in\ the\ centers.$

Author	MINS	MINS definition	Troponin	Troponin kit	Kit troponin limit
	n(%)		T vs I		
Aridi et al.	285	Troponin rise alone was reported if there was a rise	NA	NA	One value above the
	(3.8)	in cardiac biomarker values (preferably cardiac			99th percentile upper
		troponin) with at least one value above the 99th			reference limit
		percentile upper reference limit and in the absence			
		of the six qualifying criteria for MI or sudden death			
		as defined by the VQI.			
Cnotliwy et al.	12	Myocardial infarction and sudden cardiac death are	Trop I	VIDAS Troponin I Ultra	NA
	(12.0)	defined according to the Joint		immunoassay and VIDAS	
		ESC/ACCF/AHA/WHF Task Force for the		BLUE analyzer, bioMerieux	
		Redefinition of Myocardial Infarction.		INC., Durham	
Feng et al.	17	Myocardial injury is indicated if the cTnI	Trop I	AxSYM Troponin-I ADV assay	Myocardial injury
	(42.5)	concentration is above 0.04 ng/mL.		(Abbott Laboratories) on a	>0.04 ng/mL;
				routine AxSYM analyzer	Myocardial ischemia

					0,05-1.5 ng/mL;
					Myocardial infarction
					>1.5 ng/mL
Feringa et al.	2 (4.5)	Troponin T levels were measured on postoperative	Trop T	Electrochemiluminescence	Lower limit positive
		days 1, 3, 7 or before discharge and whenever		immunoassay on the Elecsys	detection >0.03 ng/ml
		clinically indicated by ECG changes, consistent		2010 (Roche Diagnostics,	
		with myocardial ischemia or infarction.		Mannheim, Germany)	
Galyfos et al.	8 (2.4)	Postoperative cTnI values ranging from .05 ng/mL	Trop I	Architect; Abbott Corporation	cTnI normal value
		to .5 ng/mL were classified as MIsch and cTnI		Ltd, North Chicago, IL	cutoff was .05 ng/mL
		values over 0.5 ng/mL as MIn, even in the absence			
		of symptoms, according to international guidelines.			
		The occurrence of MIsch or MIn was defined as			
		cardiac damage.			
Garcia et al.	10	Proportion of subjects with a detectable increase in	Trop I	Abbott ARCHITECT cTnI	> 0.028 lg/L; > 0.021
	(21.0)	cardiac troponin I (cTnI) within 72 hours of		assay; Siemens Dimension Vista	lg/L, respectively
		vascular surgery and the distribution of such		cTnI assay	

increases. A detectable increase was defined as having 1 postoperative cTnI measurement above the preoperative cTnI with at least 1 of the postoperative values above the 99th percentile for the assay. We also evaluated the proportion of patients meeting the Third Universal Definition of MI. According to this definition a MI is present when there is evidence of myocardial necrosis (ie, rise and fall of cardiac biomarker) and one of the following (s): symptoms of myocardial ischemia, developing of pathological Q waves or new ischemic changes (1-mm horizontal or downsloping STdepression, new 2-mm-deep T-wave inversion, ≥1 mm STsegment elevation in 2 contiguous leads, or new left bundle branch block) in the electrocardiogram (ECG), imaging evidence of new

		loss of viable myocardium or new regional wall			
		motion abnormality, and/or identification of an			
		intracoronary thrombus by angiography or autopsy.			
Grobben et al.	26	Patients were classified as troponin only in case of	Trop I	Third generation AccuTnI assay	Upper reference limit
	(11.0)	troponin elevation without angina or ischemic		(Beckman Coulter, Brea, CA)	of 60 ng/L
		changes on the ECG (based on the most recent			
		definition of MI - 3rd universal definition).			
Hye et al.	12	Cardiac ischemia was biomarker elevation alone	Trop T, I	NA	CK myocardial band
	(10.4)	were included in the analysis and were labeled as	and CK-		(CK-MB) or troponin
		biomarkerþ-only MI.	MB		levels were two or
					more times the upper
					limit of the local site
					laboratory's normal
					value.

Jellish et al.	0 (0.0)	NA	Trop I	NA	Increment compared
					with preoperative
					values
Pereira-	24	Myocardial injury after noncardiac surgery (MINS)	Trop I;	Trop I chemiluminescent	Trop I: 0.032 μg/mL
Macedo et al.	(15.3)	is defined as a relevant myocardial injury due to	hSTnI	microparticle immunoassay	regardless of sex;
		ischemia occurring during or within 30 days after		(Architect Stat Troponin I,	hsTnI27 ng/mL (male)
		surgery. Myocardial injury after noncardiac surgery		Abbot Laboratories, Wiesbaden,	or 11.4 ng/mL
		is defined by a rising pattern of cardiac troponin		Germany) and a fourth-	(female)
		values with at least one value above the 99th		generation assay hSTnI (Abbot	
		percentile upper reference limit.		Laboratories, Wiesbaden,	
				Germany)	
Kwon et al.	6 (1.0)	Cardiac damage was defined as postoperative	Trop I	NA	0.05–0.5 ng/ml
		elevation of the blood concentration of cardiac			
		troponin I (0.05–0.5 ng/ml) in the absence of			
		myocardial ischemia.			

Leblanc et al.	1 (2.0)	CTnI up to 0.95 ng.ml-1 (day 2) without ECG	Trop I	NA	cTnI up to 0.95 ng.ml-
		changes.			1
Motamed et al.	10	CTnI values of between 0.5 and 1.5 ng/mL were	Trop I	Stratus analyzer (Dade, Massy,	0.5 and 1.5 ng/mL
	(13.3)	considered myocardial ischemia.		France). At the time of the	
				study, the detection limit of the	
				immunoassay was 0.1 ng/mL.	
Shukla et al.	5 (6.4)	NA	Trop I	Immunoassay testing via	>0.034 ng/mL
				monoclonal antibody binding	
				with >0.034 ng/mL defined as	
				abnormal (Ortho Clinical	
				Diagnostics, Raritan, New	
				Jersey).	
Steely et al.	15647	Troponin-only POMI was defined as troponin	NA	NA	NA
	(0.4)	elevation beyond the normal upper limit without			
		creatinine phosphokinase muscle brain elevation			

		and without other clinical signs, symptoms, or ECG			
		changes consistent with myocardial infarction (MI).			
<i>Tyson et al.</i> 5 (1.7)	5 (1.7)	A patient was judged to have a myocardial	Trop I; CK-	NA	Trop I > 0.6 ng/mL o
		infarction if the troponin-I level was greater than or	MB		CK-MB > 6.3 ng/mI
		equal to 0.6 ng/mL or CK-MB is >6.3 ng/mL (as			
		per our institutional parameters). This was further			
		qualified by the presence or absence of symptoms			
		and changes on electrocardiography (EKG) or			
		echocardiogram. A patient was judged to have an			
		MI on EKG if the patient developed ST elevation,			
		Q waves, or T wave inversion on postoperative			
		EKG. Furthermore, echocardiogram findings of			
		new wall motion abnormality, decreased ejection			
		fraction, or new left ventricular dysfunction			
		postoperatively indicated acute MI.			
Walsh et al.	2 (2.9)	Elevation in serum troponin I > 0.15 mg/dL	Trop I	NA	> 0.15 mg/dL

Wang et al.	25	The primary end-point was the rate of myocardial	Trop I	AxSYM troponin I analyser	Lower limit of
	(20.5)	injury, as measured by cTnI. In the healthy		(Abbott Laboratories, Longford,	detection 0.02 ng/mL.
		population, the 99 percentile value of cTnI is 0.04		Ireland)	MINS > 0.04
		ng/ml; thus, the myocardial injury was defined as a			
		cTnI > 0.04 ng/ml.			
		cTnI > 0.04 ng/ml.			

 $Legend: NA-unavailable\ data$

 $Table\ B.6-Short-term\ outcomes\ after\ CEA\ for\ patients\ who\ developed\ MINS$

Post-operative	MACE definition	MACE 30	MI 30 days	Death 30	Stroke/Death	Stroke 30	Author
complications		days n(%)	n(%)	days n(%)	30 days n(%)	days n(%)	
30 days n(%)							
NA	NA	NA	NA	NA	NA	NA	Aridi et al.
NA	NA	NA	NA	NA	NA	NA	Cnotliwy et al.
NA	NA	NA	0 (0.0)	NA	NA	NA	Feng et al.
NA	NA	NA	NA	0 (0.0)	0 (0.0)	0 (0.0)	Feringa et al.
NA	NA	NA	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	Galyfos et al.
NA	NA	NA	NA	0 (0.0)	NA	NA	Garcia et al.
NA	Composite of MI, stroke and	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.8)	1 (3.8)	Grobben et al.
	cardiovascular death						
NA	NA	NA	NA	0 (0.0)	NA	NA	Hye et al.
NA	NA	NA	0 (0.0)	0 (0.0)	0 (0)	0 (0)	Jellish et al.
NA	Composite of MI, acute heart	1 (4.3)	1 (4.1)	NA	2 (8.3)	1 (4.1)	Pereira-
	failure and all-cause mortality						Macedo et al.

Kwon et al.	NA	NA	NA	NA	NA	NA	NA
Leblanc et al.	0 (0.0)	0 (0.0)	NA	0 (0.0)	NA	NA	NA
Motamed et al.	0 (0.0)	NA	NA	NA	NA	NA	NA
Shukla et al.	NA	NA	NA	NA	NA	NA	NA
Steely et al.	NA	NA	NA	NA	NA	NA	NA
Tyson et al.	NA	NA	NA	NA	NA	NA	NA
Walsh et al.	0 (0.0)	NA	NA	0 (0.0)	NA	NA	2 (2.9)
Wang et al.	NA	NA	NA	NA	NA	NA	NA

Legend: NA – unavailable data

Table B.7 – Long-term outcomes after CEA in patients who developed MINS

Author	Other	Long-term	Long-term	Long-term MI	Long-term	Long-term	Long-term all-
	outcomes	outcomes	follow-up	n(%)	stroke n(%)	MACE n(%)	cause mortality
			time				n(%)
Aridi et al.	NA	NA	NA	NA	NA	NA	NA
Cnotliwy et al.	NA	NA	NA	NA	NA	NA	NA
Feng et al.	NA	NA	NA	NA	NA	NA	NA
Feringa et al.	NA	NA	1.2 years	0 (0.0)	NA	NA	NA
Galyfos et al.	NA	NA	2 years ± 2.2	NA	NA	NA	NA
			months				
Garcia et al.	NA	NA	6 months	NA	NA	NA	NA
Grobben et al.	NA	NA	1.8 years [IQR	0 (0.0)	1 (4.0)	2 (8.0)	3 (12.0)
			1.0 -2.6]				
Hye et al.	NA	NA	NA	NA	NA	NA	NA
Jellish et al.	NA	NA	NA	NA	NA	NA	NA

Pereira-	NA	MI, stroke,	52 months [49-	HR: 3.318. 95%	HR: 2.133. 95%	HR: 1.955. 95%	HR: 1.699 95% CI:
Macedo et al.		MACE, all-	54]	CI:0.97-13.928.	CI: 0.565-8.052	CI: 1.01–4.132.	0.772–3.743. log
		cause mortality		Breslow: P=0.025	P=0.251	Breslow: P=0.046	rank: P=0.986)
Kwon et al.	NA	NA	NA	NA	NA	NA	NA
Leblanc et al.	NA	NA	NA	NA	NA	NA	NA
Motamed et al.	NA	NA	44 ± 12 months	NA	NA	NA	NA
Shukla et al.	NA	NA	NA	NA	NA	NA	NA
Steely et al.	NA	NA	NA	NA	NA	NA	NA
Tyson et al.	NA	NA	NA	NA	NA	NA	NA
Walsh et al.	NA	NA	NA	NA	NA	NA	NA
Wang et al.	NA	NA	NA	NA	NA	NA	NA

Legend: NA – unavailable data

APPENDIX C

 $Table \ C.1-Meta-analysis \ results \ and \ subgroup \ analysis$

Meta-analysis results	N	Proportion	CI_LB	CI_UB	Heterogeneity_I2	Heterogeneity_p-value
	studies					
All studies	18	0.055	0.028	0.110	99.1	<0.001
General Anesthesia	12	0.045	0.018	0.113	98.1	<0.001
Regional Anesthesia	4	0.022	0.004	0.115	96.4	<0.001

Legend: CI – confidence interval; LB – lower bound; UB – upper bound

 $Table\ C.2-Meta-Regression\ results$

Meta-regre	ession	N	Odds	CI_LB	CI_UB	p-value	Heterogeneity_I2	Heterogeneity_p-value
re	esults	studies	Ratio					
Year		18	0.992	0.844	1.166	0.923	99.1	< 0.001
Mean age		15	0.889	0.641	1.233	0.482	97.2	<0.001

Percent of males	17	1.073	1.020	1.129	0.007	94.8	<0.001
Percent of AHT	14	0.980	0.923	1.040	0.503	97.3	< 0.001
Percent of	11	0.979	0.941	1.018	0.283	97.8	< 0.001
dyslipidemia							
Percent of diabetes	13	0.984	0.880	1.100	0.774	97.8	< 0.001
Percent of smokers	13	0.983	0.935	1.033	0.499	97.1	<0.001
Percent of CAD	11	0.990	0.918	1.067	0.787	98.2	< 0.001
Percent of	13	1.042	1.015	1.069	0.002	95.1	<0.001
symptomatic							
Percent of antiplatelet	12	0.987	0.941	1.035	0.581	97.3	< 0.001
use							
Troponin T	16	0.758	0.046	12.468	0.846	94.8	<0.001
Troponin I	15	1.440	0.374	5.542	0.596	94.5	< 0.001
CKMB	16	0.268	0.022	3.310	0.304	94.3	< 0.001

Legend: CI – confidence interval; LB – lower bound; UB – upper bound



Section/topic	#	Checklist item	Reported on page and paragraph/ table #	
TITLE				
Title	1	Identify the report as a systematic review, meta-analysis, or both.	Page 1 - Paragraph 1 "Incidence of myocardial injury in patients submitted to carotid endarterectomy: a systematic review"	
ABSTRACT				
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	Page 3 – Paragraphs 1-3; and Page 4 – Paragraph 1 "Myocardial injury following noncardiac surgery () and equivalent cut-off values."	
INTRODUCTION				
Rationale	3	Describe the rationale for the review in the context of what is already known.	Page 5 - Paragraphs 1-3 "Some patients submitted to noncardiac () than those who had not."	
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	Page 6 - Paragraph 1 "Therefore, the present systematic review aims to assess the incidence of MINS in patients submitted to CEA with or without regional anesthesia (RA)."	
METHODS				
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	Page 7 - Paragraph 1 "The review protocol has been registered at Prospero (reference: CRD42021224429)."	
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	Page 7 - Paragraphs 2 and 3 "Inclusion criteria consisted in all () further articles of possible interest."	
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	Page 7 - Paragraph 3 "A systematic search was performed in three databases – Pubmed, Scopus, and Web of Science –, in September 2021. The query and keywords are shown in supplemental Table B.1. Additionally, the references of the included primary	



			studies and relevant available systematic reviews were screened to search for any further articles of possible interest."	
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Page 31 - Table B.1	
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	Page 7 – Paragraph 4 "After duplicates removal, two authors (JPM and AFS) have independently participated in studies selection; any disagreement was solved by the intervention of a third author (JRN). First, studies were selected by title and abstract, and the remaining ones were eligible for full-text assessment. The same samples studied in multiple original articles were only included once."	
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	Page 8 – Paragraph 1 "Data from included studies were independently extracted by two authors (AFS, JPM). Data were extracted using a purposely-built form on the year of publication, country, and center of recruitment, study design, recruitment time, number of participants undergoing CEA, participants' age, and gender distribution, frequency of cardiovascular comorbidities, and carotid symptomatic status. In addition, data related to each study's MINS cut-off and troponin kit used was retrieved, as well as the incidence and definition of MINS."	
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	Page 8 – Paragraph 1 "Data were extracted using a purposely-built form on the year of publication, country, and center of recruitment, study design, recruitment time, number of participants undergoing CEA, participants' age, and gender distribution, frequency of cardiovascular comorbidities, and carotid symptomatic status. In addition, data related to each study's MINS cut-off and troponin kit used was retrieved, as well as the incidence and definition of MINS."	



Risk of bias in individual studies / Risk of bias across studies	12/ 15	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	Page 8 – Paragraph 2 "Concerning qualitative assessment, the Cochrane Risk of Bias-2 tool was used for randomized clinical trials and the National Heart, Lung, and Blood Institute (NHLBI) Study Quality Assessment Tool was used for observational cohort and cross-sectional studies (2013). This assessment was independently performed by two authors (JPM and AFS), and when disagreements were observed, decisions were made by mutual consensus after a third-party review (JRN)."; Page 8 –	
			Paragraph 3 "The possibility of publication biases was assessed using funnel plots."	
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	Page 8 – Paragraph 3 "A random-effects meta- analysis (using the restricted maximum likelihood method) of log-transformed proportions to calculate the meta-analytical pooled incidence of MINS among participants was performed."	
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I²) for each meta-analysis.	Page 8 – Paragraph 3 "Pooled estimates and 95% confidence ()troponin I levels, and CK-MB."; Page 9 – Paragraph 1 "All statistical analysis were performed using software R (metafor package)."	
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were prespecified.	Page 8 – Paragraph 3 "Subgroup analyses were also performed with separate analyses of studies in which participants were under general anesthesia or regional anesthesia."	
RESULTS				
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	Page 10 – Paragraph 1 "After the database search and () this systematic review (Table B.2)."; Paragraph 2 "However, two studies had repeated samples that were next included in a more recent article, and therefore only data from the latter was considered"; Figure A.1	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	Page 10 – Paragraph 2; and Page 11 – Paragraph 1 "Sixteen of the 20 studies () as displayed in Table	



			B.5."; Tables B.2- B.5
Risk of bias within and across studies	19/	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	Page 12 – Paragraph 2 and 3 "The risk of bias of () statistical adjustment for their impact."; Page 13 – Paragraph 1 "A funnel plot regarding publication bias is displayed in figure A.6. The funnel plot displays an asymmetric pattern – while funnel plot asymmetry is expected in cases of severe heterogeneity, publication bias cannot be excluded." Figures A.2-A.6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	Page 11 – Paragraph 1 "The incidence of reported MINS within 30-days after CEA in primary studies ranged from 0 and 42.5%. Considering only patients submitted to RA, a range of 2 to 15.3% was observed in the occurrence of MINS, while among patients submitted to GA such range was of 0% to 42.5%."; Page 12 – Paragraph 1 "Concerning short- and long-term outcomes in patients that experienced MINS, available data was sparse but further withdrawn and displayed in the Tables B.6 and B.7."; Table B.5-7
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	Page 12 – Paragraph 2 "The meta-analytical incidence of MINS after CEA was 6.3% [95% CI 2.0%-10.6%]. However, severe heterogeneity was found (I2 = 99.1%; Q-Cochran p-value<0.001). In all results of leave-one-out sensitivity analysis and of univariable meta-regression models, severe heterogeneity was described (I2>90%) (Table C.1 and C.2)." Table C.1
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	Page 12 – Paragraph 2 "In all results of leave-one-out sensitivity analysis and of univariable meta-regression models, severe heterogeneity was described (I2>90%) (Table C.1 and C.2). Additionally, subgroup analysis regarding the use of general anesthesia vs. regional anesthesia has shown similar high heterogeneity (above 98 and 96%, respectively). Studies related to general anesthesia have reached a prevalence of 4.5% whereas regional anesthesia



			accounted with 2.2% of MINS, in the meta-analysis (Table C.1)."; Tables C.1-C.2	
DISCUSSION				
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	Page 14 – Paragraph 1 "The present systematic review has found a meta-analytical incidence of MINS after CEA of 6.3%, with a range between 0 and 42.5%. However, severe heterogeneity was found and not accounted by sensitivity analysis or meta-regression models."	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	Page 17 – Paragraph 2 "This study faced many limitations () MINS association with other outcomes."	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	Page 18 – Paragraphs 1 and 2 "This systematic review exposes the () at short and long periods."	
FUNDING				
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	Page 19 – Paragraph 2 "This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors."	

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: $\underline{www.prisma-statement.org}.$

Page 2 of 2



INTERNATIONAL JOURNAL OF SURGERY

AUTHOR INFORMATION PACK

TABLE OF CONTENTS

•	Description	p.1
•	Impact Factor	p.2
•	Abstracting and Indexing	p.2
•	Editorial Board	p.2
•	Guide for Authors	p.6



ISSN: 1743-9191

DESCRIPTION

The International Journal of Surgery (IJS) is dedicated to the global advancement of surgical research, education and clinical practice. It aims to promote continued developments in surgery through the sharing of knowledge, ideas and good practice across all surgical specialties. The IJS provides readers with critically peer-reviewed, carefully selected/edited and up to date publications about advances in the field of surgery that are relevant to them.

The journal aims to develop and uphold the highest standards at the cutting-edge of research, provide a focus for evidence-based medicine through the publication of timely review articles and special issues and give the findings context, through the publication of editorials, commentaries and letters from the surgical community. We enforce reporting guidelines and mandate the registration of all research involving human participants in a publicly accessible research registry.

As a broad scope journal covering all surgical specialities, the *IJS* aims to facilitate the transfer of important ideas and lines of thought between and across specialities. In this way, the journal will help prevent the trend of increasing sub-specialisation leading to 'tunnel-vision' and the sequestration of important surgical advances within particular specialties.

The journal is published monthly and focuses on rapid submission to decision times. We provide open access options. All articles are made open access two-years after publication in our delayed open archive, maximising the long-term visibility, impact and influence of our content. We also recognise the efforts of peer-reviewers and publish their reviews (anonymously), which are indexed in PubMed along with the articles content.

International Journal of Surgery is the companion title to the open access journal International Journal of Surgery Case Reports.

The Harold Ellis Prize in Surgery

The International Journal of Surgery awards the prestigious annual Harold Ellis Prize (Est. 2003) in recognition of scientific papers judged to be outstanding. For terms and conditions, and details on how to apply, please click on the link.

- Indexed and Abstracted in:
- AcademicPub

- The British Library
- Cancerlit
- EMBASE
- Google Scholar
- Medline/PubMed
- ProQuest
- Science Citation Index Expanded
- Scopus
- Scisearch
- Web of Science
- Emerging Sources Science Citation Index

Disclaimer

The information and opinions presented in the Journal reflect the views of the authors and not of the Journal or its Editorial Board or the Publisher. Publication does not constitute endorsement by the journal. Neither *International Journal of Surgery* nor its publishers nor anyone else involved in creating, producing or delivering *International Journal of Surgery* or the materials contained therein, assumes any liability or responsibility for the accuracy, completeness, or usefulness of any information provided in *International Journal of Surgery*, nor shall they be liable for any direct, indirect, incidental, special, consequential or punitive damages arising out of the use of *International Journal of Surgery*. *International Journal of Surgery*, nor its publishers, nor any other party involved in the preparation of material contained in *International Journal of Surgery* represents or warrants that the information contained herein is in every respect accurate or complete, and they are not responsible for any errors or omissions or for the results obtained from the use of such material. Readers are encouraged to confirm the information contained herein with other sources.

IMPACT FACTOR

2020: 6.071 © Clarivate Analytics Journal Citation Reports 2021

ABSTRACTING AND INDEXING

Embase
Scopus
PubMed/Medline
PubMed/Medline
Cancerlit
Google Scholar
Web of Science
Science Citation Index Expanded
Web of Science
AcademicPub
The British Library
ProQuest
ScienceDirect
ClinicalKey
Academic Search (EBSCO)

EDITORIAL BOARD

Editor-in-Chief

J. W. Y. Lau, Hong Kong, Hong Kong

Editor-in-Chief Emeritus

D. Rosin, Bridgetown, Barbados

Managing and Executive Editor

R Agha, London, United Kingdom

Senior Editors

- R.Y. Afifi, Cairo, Egypt
- H. B. Alam, Ann Arbor, United States of America
- J. P. Barret, Barcelona, Spain
- B. Challacombe, London, United Kingdom
- X-P. Chen, Wuhan, China
- R.L. De Wilde, Oldenburg, Germany
- B. Ekser, Indianapolis, United States of America
- H.B. Hechtman, Boston, United States of America
- O. Muensterer, Mainz, Germany
- S. Rogers, Chicago, United States of America
- J. Talati, Karachi, Pakistan
- M. Thorat, London, United Kingdom
- F.C. Wei, Taoyuan, Taiwan

Associate Editors

- R. Coppola, Roma, Italy
- V. Kasivisvanathan, London, United Kingdom
- R Keijzer, Winnipeg, Canada
- B Kirshtein, Be'er Sheva, Israel
- **J.A. McCaul**, Bradford, United Kingdom
- E.R. McGlone, London, United Kingdom
- D. Muzumdar, Mumbai, India
- A Petroianu, Belo Horizonte, Brazil
- S.G. Raja, London, United Kingdom
- K Raveendran, Ipoh, Malaysia
- D. Yeh, Boston, United States of America

Assistant Editors

- R. Al-mahfoudh, Oxford, United Kingdom
- H. M. Atta, Boston, United States of America
- G. Augustin, Zagreb, Croatia
- M. Bashashati, Calgary, Canada
- S. Basu, Varanasi, India
- A.J. Beamish, Gothenburg, Sweden
- M. Cárbajo, Valladolid, Spain
- A. G. Charles, Chapel Hill, United States of America
- A. Das, Mohanpur, India
- M. Deitel, Toronto, Canada
- S. A. Esquivel Gaón, , Mexico
- S. Feng, Shanghai, China
- J. Gómez Rivas, Madrid, Spain
- H. Kaafarani, Boston, United States of America
- Y. D. Kamat, Mangaluru, India
- O. Khan, London, United Kingdom
- T. Konishi, Koto-Ku, Japan
- K. Kular, Bija, India
- T. Manning, Heidelberg, Australia
- I. Mukherjee, Staten Island, United States of America
- **E Ofo**, Adelaide, South Australia United Kingdom
- S. Pai, Mumbai, India
- L. Perger, Albuquerque, United States of America
- A. Smailys, Kaunas, Lithuania
- P. Suman, Evanston, United States of America
- D.G. Weber, Perth, Australia
- H. Zhu, Shanghai, China

Statistical Editors

- N. Donaldson, Cali, Colombia
- M.W. Gray, Washington DC, District of Columbia, United States of America

Society Representatives

- J. Burke, President of the Association of Surgeons in Training, Leeds United Kingdom
- X-P. Chen, Huazhong University of Science and Technology, Wuhan, Hubei, China
- Coppa, President of the New York Surgical Society, New Hyde Park, New York, United States of America
- M. Deitel, Toronto, Canada

Executive Committee

G. Catto

London, UK

A. Darzi, London, United Kingdom

colorectal surgery

H. Ellis, London, United Kingdom

general surgery

B. Jackson

London, UK

C.-H. Leong, Pok Fu Lam, Hong Kong

urology, nephrology

A.K-C. Li, Hong Kong, China

general surgery

I. McColl, London, United Kingdom

general surgery

J. Norcini, Philadelphia, United States of America

no specialty

S. Standring

London, UK

M. C. Warlé, Nijmegen, Netherlands

vascular surgery, transplant surgery

J. Wee, Boston, United States of America

thoracic surgery

M. Yacoub, London, United Kingdom

Cardiothoracic Surgery, Transplantation, Congenital Cardiac Surgery, Chain of Hope

Y. Zhang, Shanghai, China

Microsurgery, Complex reconstruction, Burn surgery, Scar reconstruction

Assistant Commissioning Editors

- A. Al-Jabir, London, United Kingdom
- Z. Alsafi, London, United Kingdom
- T. Franchi, Sheffield, United Kingdom
- M. Griffin, Stanford, California, United States of America
- C. Iosifides, London, United Kingdom
- A. Kerwan, London, United Kingdom
- M. Khan, London, United Kingdom
- **G. Matthew**, London, United Kingdom
- M. Nicola, London, United Kingdom
- N. O'Neill, London, United Kingdom
- C. Sohrabi, London, United Kingdom

Editorial Board

- R. A. Badwe, Mumbai, India
- M. Boscoe, Harefield, United Kingdom
- R. Bueno, Boston, Massachusetts, United States of America
- G. Catto
- K. Chatamra, Bangkok, Thailand
- P. Coulthard, Manchester, United Kingdom
- A. d'Cruz, Mumbai, India
- A. Darzi, London, United Kingdom
- M. Desai, Nadiad, India
- D.W. Green, London, United Kingdom
- I. Harirchi, Tehran, Iran
- D. Henne-Bruns, Ulm, Germany
- **B. Jackson**
- D. Kahn, Cape Town, South Africa
- C.-H. Leong, Pok Fu Lam, Hong Kong
- X. Lin, Shanghai, China
- A. Mahapatra, New Delhi, India
- I. Mittra, Mumbai, India
- V. Naraynsingh, West Indies, Trinidad and Tobago
- A. Núñez De Pierro, Buenos Aires, Argentina
- R. Orda, Tel Aviv, Israel
- F. Panaro, Montpellier, France
- V. Semiglazov, St. Petersburg, Russian Federation

- T. Singh, Ludhiana, India
- B.L. Smith, Boston, Massachusetts, United States of America
- S. Standring
- T.E. Udwadia, Mumbai, India
- M. C. Warlé, Nijmegen, Netherlands
- J. Wee, Boston, Massachusetts, United States of America
- M. Weiser, New York, New York, United States of America
- **Z.F. Xia**, Shanghai, China
- Y. Zhang, Shanghai, China
- J Zhong, Shanghai, China

GUIDE FOR AUTHORS

As a general surgical journal, International Journal of Surgery covers all specialties, and is dedicated to publishing original research, review articles and more all offering significant contributions to knowledge in clinical surgery, experimental surgery, surgical education and history.

Please note, IJS no longer accepts case reports; however authors are encouraged to submit them to the sister journal, *International Journal of Surgery Case Reports*, an online-only, author-pays journal that is freely available to all without a subscription. For more details, and to submit your case report, go to https://www.journals.elsevier.com/international-journal-of-surgery-case-reports.

Reporting Guidelines

Compliance with the relevant reporting guideline is mandatory for submission of the following guidelines. You need to: 1. Submit a completed checklist, indicating the page numbers where compliance was achieved. 2. Mention in your methods section that the research is being reported in line with the relevant guideline which should be named and cited.

Randomised Controlled Trials

All randomised controlled trials submitted for publication in *International Journal of Surgery* should include a completed Consolidated Standards of Reporting Trials (CONSORT) flow chart and ensure that all elements in the CONSORT checklist are covered. A copy of the CONSORT checklist must be uploaded as supplemental material. Please refer to the CONSORT statement website at http://www.consort-statement.org for more information.

Systematic Reviews

Systematic reviews should be reported in accordance PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) Guidelines: Matthew J. Page, et al. The PRISMA 2020 statement: An updated guideline for reporting systematic reviews. International Journal of Surgery, 2021; 88; 105906. You must include the flow diagram as a figure and the checklist as supplemental material, which you can download from the above link. Please also upload a completed AMSTAR 2 checklist to aid the methodological quality of your article: https://amstar.ca/Amstar_Checklist.php or https://amstar.ca/docs/AMSTAR-2.pdf.

Cohort, Case-control and Cross-sectional studies

Cohort, Case-control and Cross-sectional studies should all be compliant with the STROCSS criteria (Strengthening the reporting of cohort studies in surgery). Please see http://www.strocssguideline.com and Agha RA, Abdall-Razak A, Crossley E, Dowlut N, Iosifidis C, Mathew G, for the STROCSS Group. STROCSS 2019 Guideline: Strengthening the reporting of cohort studies in surgery. - each study type has its own checklist which should be uploaded as a supplemental file.

Diagnostic, Quality Improvement and Qualitative studies

Diagnostic Studies should be reported in accordance with the STARD statement criteria (Standards for the Reporting of Diagnostic accuracy studies) flow diagram and checklist please see (http://www.equator-network.org/wp-content/uploads/2015/03/STARD-2015-flow-diagram.pdf and https://www.elsevier.com/__data/promis_misc/ISSM_STARD_Checklist.pdf). Quality Improvement studies should comply with the Standards for Quality Improvement Excellence (SQUIRE) criteria: http://squire-statement.org. Qualitative studies require the Consolidated criteria for Reporting Qualitative Research (COREQ) checklist, please see : http://intqhc.oxfordjournals.org/content/19/6/349.long

Health Economic Evaluation

Health Economic Evaluation studies should conform to the CHEERS statement: http://www.bmj.com/content/346/bmj.f1049.pdf%2Bhtml

Tumour Marker Prognostic Study

Tumor Marker Prognostic studies should be reported in accordance with the REMARK criteria.

Before and After Studies

Before and After studies measuring particular characteristics of a population or group of individuals at the end of an event or intervention, compares them with those characteristics before the event or intervention: then gauges the effects of the event or intervention. These studies should conform to the STROCSS statement. http://www.strocssguideline.com

Best Evidence Topic

IJS no longer accepts Best Evidence Topic papers. If you would like to submit a Best Bets paper this could be submitted to IJS Open or to the Annals of Medicine and Surgery.

Experimental Animal Studies

Animal studies must be reported in accordance with the ARRIVE guidelines (Animals in Research: Reporting In Vivo Experiments) and must include the checklist as supplemental material. A blank form can be downloaded for completion here. An example of a completed checklist can be found at http://www.nc3rs.org.uk/page.asp?id=1357. (The example checklist is based on an original publication by Kilkenny C, Browne WJ, Cuthill IC, Emerson M, Altman DG (2010) Improving Bioscience Research Reporting: The ARRIVE Guidelines for Reporting Animal Research. PLoS Biol 8(6): e1000412. https://doi.org/10.1371/journal.pbio.1000412). The institutional protocol number should be included at the end of the abstract of the article.

Qualitative Surveys

Qualitative Surveys should be reported in accordance with the following criteria: SRQR Guidelines http://www.equator-network.org/reporting-guidelines/srqr/ For synthesis of qualitative research: http://www.equator-network.org/reporting-guidelines/entreq/ Interviews and Focus Groups - COREQ - http://www.equator-network.org/reporting-guidelines/coreq/

Case Series Please ensure your case series is compliant with the PROCESS Guidelines: https://www.processguideline.com and submit a completed PROCESS checklist. Please also ensure you state that the work has been reported in line with the PROCESS criteria and cite the following paper: Riaz A. Agha, Mimi R.Borrelli, Reem Farwana, Kiron Koshy, Alex Fowler, Dennis P. Orgill, for the PROCESS Group. The PROCESS 2018 Statement: Updating Consensus Preferred Reporting Of CasE Series in Surgery (PROCESS) Guidelines. International Journal of Surgery 2018;60:279-282..

Submission checklist

You can use this list to carry out a final check of your submission before you send it to the journal for review. Please check the relevant section in this Guide for Authors for more details.

Ensure that the following items are present:

One author has been designated as the corresponding author with contact details:

- E-mail address
- Full postal address

All necessary files have been uploaded:

Manuscript:

- Include keywords
- All figures (include relevant captions)
- All tables (including titles, description, footnotes)
- Ensure all figure and table citations in the text match the files provided
- Indicate clearly if color should be used for any figures in print

Graphical Abstracts / Highlights files (where applicable)

Supplemental files (where applicable)

Further considerations

- Manuscript has been 'spell checked' and 'grammar checked'
- All references mentioned in the Reference List are cited in the text, and vice versa
- Permission has been obtained for use of copyrighted material from other sources (including the Internet)
- A competing interests statement is provided, even if the authors have no competing interests to declare
- Journal policies detailed in this guide have been reviewed
- Referee suggestions and contact details provided, based on journal requirements

For further information, visit our Support Center.

Title

For Original Research articles please state the study design at the end of the title, i.e. Systematic Review, Meta-analysis, Randomised Controlled Trial, Cohort Study, Case Controlled Study, Observational, Case Series, Questionnaire or Other - please state.

BEFORE YOU BEGIN

Ethics in publishing

Please see our information on Ethics in publishing.

Work on human beings that is submitted to International Journal of Surgery should comply with the principles laid down in the Declaration of Helsinki (Recommendations guiding physicians in biomedical research involving human subjects. Adopted by the 18th World Medical Assembly, Helsinki, Finland, June 1964, amended by the 29th World Medical Assembly, Tokyo, Japan, October 1975, the 35th World Medical Assembly, Venice, Italy, October 1983, and the 41st World Medical Assembly, Hong Kong, September 1989). The manuscript should contain a statement that the work has been approved by the appropriate ethical committees related to the institution(s) in which it was performed and that subjects gave informed consent to the work. Studies involving experiments with animals must state that their care was in accordance with institution guidelines. Patients and volunteers names, initials, and hospital numbers should not be used.

Informed consent and patient details

Studies on patients or volunteers require ethics committee approval and informed consent, which should be documented in the paper. Appropriate consents, permissions and releases must be obtained where an author wishes to include case details or other personal information or images of patients and any other individuals in an Elsevier publication. Written consents must be retained by the author but copies should not be provided to the journal. Only if specifically requested by the journal in exceptional circumstances (for example if a legal issue arises) the author must provide copies of the consents or evidence that such consents have been obtained. For more information, please review the Elsevier Policy on the Use of Images or Personal Information of Patients or other Individuals. Unless you have written permission from the patient (or, where applicable, the next of kin), the personal details of any patient included in any part of the article and in any supplementary materials (including all illustrations and videos) must be removed before submission.

Declaration of interest

All authors must disclose any financial and personal relationships with other people or organizations that could inappropriately influence (bias) their work. Examples of potential competing interests include employment, consultancies, stock ownership, honoraria, paid expert testimony, patent applications/registrations, and grants or other funding. Authors must disclose any interests in two places: 1. A summary declaration of interest statement in the title page file (if double anonymized) or the manuscript file (if single anonymized). If there are no interests to declare then please state this: 'Declarations of interest: none'. 2. Detailed disclosures as part of a separate Declaration of Interest form, which forms part of the journal's official records. It is important for potential interests to be declared in both places and that the information matches. More information.

This should be included at the end of the text under the subheading 'Conflict of interest statement'.

Submission declaration and verification

Submission of an article implies that the work described has not been published previously (except in the form of an abstract, a published lecture or academic thesis, see 'Multiple, redundant or concurrent publication' for more information), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. To verify originality, your article may be checked by the originality detection service Crossref Similarity Check.

Use of inclusive language

Inclusive language acknowledges diversity, conveys respect to all people, is sensitive to differences, and promotes equal opportunities. Content should make no assumptions about the beliefs or commitments of any reader; contain nothing which might imply that one individual is superior to another on the grounds of age, gender, race, ethnicity, culture, sexual orientation, disability or health condition; and use inclusive language throughout. Authors should ensure that writing is free from bias, stereotypes, slang, reference to dominant culture and/or cultural assumptions. We advise to seek gender neutrality by using plural nouns ("clinicians, patients/clients") as default/wherever possible to avoid using "he, she," or "he/she." We recommend avoiding the use of descriptors that refer to personal attributes such as age, gender, race, ethnicity, culture, sexual orientation, disability or health condition unless they are relevant and valid. When coding terminology is used, we recommend to avoid offensive or exclusionary terms such as "master", "slave", "blacklist" and "whitelist". We suggest using alternatives that are more appropriate and (self-) explanatory such as "primary", "secondary", "blocklist" and "allowlist". These guidelines are meant as a point of reference to help identify appropriate language but are by no means exhaustive or definitive.

Contributorship

The IJS lists contributors in two ways. Firstly, we publish a list of authors' names at the beginning of the paper and, secondly, we list contributors (some of whom may not be included as authors) at the end of the paper, giving details of who did what in planning, conducting, and reporting the work. One or more of these contributors are listed as guarantors of the paper. The guarantor accepts full responsibility for the work and/or the conduct of the study, had access to the data, and controlled the decision to publish.

Author contributions

For transparency, we encourage authors to submit an author statement file outlining their individual contributions to the paper using the relevant CRediT roles: Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Roles/Writing - original draft; Writing - review & editing. Authorship statements should be formatted with the names of authors first and CRediT role(s) following. More details and an example.

Authorship

All authors should have made substantial contributions to all of the following: (1) the conception and design of the study, or acquisition of data, or analysis and interpretation of data, (2) drafting the article or revising it critically for important intellectual content, (3) final approval of the version to be submitted.

When submitting a paper authors must complete the Author Disclosure Form, which can be downloaded here. This form confirms that all authors agree to publication if the paper is accepted and allows authors to declare any conflicts of interest, sources of funding and ethical approval (if required). Please download the form and submit it with your paper. Submissions that do not include a completed form will be returned without review.

Changes to authorship

Authors are expected to consider carefully the list and order of authors **before** submitting their manuscript and provide the definitive list of authors at the time of the original submission. Any addition, deletion or rearrangement of author names in the authorship list should be made only **before** the manuscript has been accepted and only if approved by the journal Editor. To request such a change, the Editor must receive the following from the **corresponding author**: (a) the reason for the change in author list and (b) written confirmation (e-mail, letter) from all authors that they agree with the addition, removal or rearrangement. In the case of addition or removal of authors, this includes confirmation from the author being added or removed.

Only in exceptional circumstances will the Editor consider the addition, deletion or rearrangement of authors **after** the manuscript has been accepted. While the Editor considers the request, publication of the manuscript will be suspended. If the manuscript has already been published in an online issue, any requests approved by the Editor will result in a corrigendum.

Registration of Research

The World Medical Association's Declaration of Helsinki 2013 states in article 35: 'Every research study involving human subjects must be registered in a publicly accessible database before recruitment of the first subject'. Editors of IJS require that all types of research studies involving human participants should be registered prospectively, but failing that retrospectively. There are many places to register your research, and you can choose which is the most suitable for your needs:

- •https://www.clinicaltrials.gov/ for all human studies free
- •https://www.chictr.org.cn/enindex.aspx for all human studies free
- •https://www.researchregistry.com/ for all human studies charge
- https://www.isrctn.com/ for all human studies charge
- •Prospero for systematic reviews free
- •There are many national registries approved by the UN that can be found here

Elsevier does not support or endorse any registry.

Once registered, you will need to submit your assigned Unique Identifying Number (UIN) from your registration body as a mandatory part of your submission.

Article transfer service

This journal is part of our Article Transfer Service. This means that if the Editor feels your article is more suitable in one of our other participating journals, then you may be asked to consider transferring the article to one of those. If you agree, your article will be transferred automatically on your behalf with no need to reformat. Please note that your article will be reviewed again by the new journal. More information.

Copyright

Upon acceptance of an article, authors will be asked to complete a 'Journal Publishing Agreement' (see more information on this). An e-mail will be sent to the corresponding author confirming receipt of the manuscript together with a 'Journal Publishing Agreement' form or a link to the online version of this agreement.

Subscribers may reproduce tables of contents or prepare lists of articles including abstracts for internal circulation within their institutions. Permission of the Publisher is required for resale or distribution outside the institution and for all other derivative works, including compilations and translations. If excerpts from other copyrighted works are included, the author(s) must obtain written permission from the copyright owners and credit the source(s) in the article. Elsevier has preprinted forms for use by authors in these cases.

For gold open access articles: Upon acceptance of an article, authors will be asked to complete a 'License Agreement' (more information). Permitted third party reuse of gold open access articles is determined by the author's choice of user license.

Author rights

As an author you (or your employer or institution) have certain rights to reuse your work. More information.

Elsevier supports responsible sharing

Find out how you can share your research published in Elsevier journals.

Role of the funding source

You are requested to identify who provided financial support for the conduct of the research and/or preparation of the article and to briefly describe the role of the sponsor(s), if any, in study design; in the collection, analysis and interpretation of data; in the writing of the report; and in the decision to submit the article for publication. If the funding source(s) had no such involvement, it is recommended to state this.

Open access

Please visit our Open Access page for more information.

Language (usage and editing services)

Please write your text in good English (American or British usage is accepted, but not a mixture of these). Authors who feel their English language manuscript may require editing to eliminate possible grammatical or spelling errors and to conform to correct scientific English may wish to use the English Language Editing service available from Elsevier's Author Services.

Submission

Our online submission system guides you stepwise through the process of entering your article details and uploading your files. The system converts your article files to a single PDF file used in the peer-review process. Editable files (e.g., Word, LaTeX) are required to typeset your article for final publication. All correspondence, including notification of the Editor's decision and requests for revision, is sent by e-mail.

Submit your article

Please submit your article via https://www.editorialmanager.com/ijs/default.aspx.

Suggesting reviewers

Please submit the names and institutional e-mail addresses of several potential reviewers.

You should not suggest reviewers who are colleagues, or who have co-authored or collaborated with you during the last three years. Editors do not invite reviewers who have potential competing interests with the authors. Further, in order to provide a broad and balanced assessment of the work, and ensure scientific rigor, please suggest diverse candidate reviewers who are located in different countries/

regions from the author group. Also consider other diversity attributes e.g. gender, race and ethnicity, career stage, etc. Finally, you should not include existing members of the journal's editorial team, of whom the journal are already aware.

Note: the editor decides whether or not to invite your suggested reviewers.

PREPARATION

Peer review

This journal operates a double anonymized review process. All contributions will be initially assessed by the editor for suitability for the journal. Papers deemed suitable are then typically sent to a minimum of two independent expert reviewers to assess the scientific quality of the paper. The Editor is responsible for the final decision regarding acceptance or rejection of articles. The Editor's decision is final. Editors are not involved in decisions about papers which they have written themselves or have been written by family members or colleagues or which relate to products or services in which the editor has an interest. Any such submission is subject to all of the journal's usual procedures, with peer review handled independently of the relevant editor and their research groups. More information on types of peer review.

Double anonymized review

This journal uses double anonymized review, which means the identities of the authors are concealed from the reviewers, and vice versa. More information is available on our website. To facilitate this, please include the following separately:

Title page (with author details): This should include the title, authors' names, affiliations, acknowledgements and any Declaration of Interest statement, and a complete address for the corresponding author including an e-mail address.

Anonymized manuscript (no author details): The main body of the paper (including the references, figures, tables and any acknowledgements) should not include any identifying information, such as the authors' names or affiliations.

Use of word processing software

It is important that the file be saved in the native format of the word processor used. The text should be in single-column format. Keep the layout of the text as simple as possible. Most formatting codes will be removed and replaced on processing the article. In particular, do not use the word processor's options to justify text or to hyphenate words. However, do use bold face, italics, subscripts, superscripts etc. When preparing tables, if you are using a table grid, use only one grid for each individual table and not a grid for each row. If no grid is used, use tabs, not spaces, to align columns. The electronic text should be prepared in a way very similar to that of conventional manuscripts (see also the Guide to Publishing with Elsevier). Note that source files of figures, tables and text graphics will be required whether or not you embed your figures in the text. See also the section on Electronic artwork.

To avoid unnecessary errors you are strongly advised to use the 'spell-check' and 'grammar-check' functions of your word processor.

Article structure Word Limits

Papers should not exceed 4000 words for an original research article, review article, Perspective, Cohort, Case control, Cross Sectional, diagnostic, Quality Improvement, Qualitative studies, experimental research or editorial (excluding references). Correspondence should not exceed 750 words in length and should only have 1 table or figure, 3 authors and 5 references. Book and media reviews should not exceed 1000 words.

Subdivision - numbered sections

Divide your article into clearly defined and numbered sections. Subsections should be numbered 1.1 (then 1.1.1, 1.1.2, ...), 1.2, etc. (the abstract is not included in section numbering). Use this numbering also for internal cross-referencing: do not just refer to 'the text'. Any subsection may be given a brief heading. Each heading should appear on its own separate line.

Introduction

State the objectives of the work and provide an adequate background, avoiding a detailed literature survey or a summary of the results.

Material and methods

Provide sufficient details to allow the work to be reproduced by an independent researcher. Methods that are already published should be summarized, and indicated by a reference. If quoting directly from a previously published method, use quotation marks and also cite the source. Any modifications to existing methods should also be described.

Theory/calculation

A Theory section should extend, not repeat, the background to the article already dealt with in the Introduction and lay the foundation for further work. In contrast, a Calculation section represents a practical development from a theoretical basis.

Results

Results should be clear and concise.

Discussion

This should explore the significance of the results of the work, not repeat them. A combined Results and Discussion section is often appropriate. Avoid extensive citations and discussion of published literature.

Conclusions

The main conclusions of the study may be presented in a short Conclusions section, which may stand alone or form a subsection of a Discussion or Results and Discussion section.

Appendices

If there is more than one appendix, they should be identified as A, B, etc. Formulae and equations in appendices should be given separate numbering: Eq. (A.1), Eq. (A.2), etc.; in a subsequent appendix, Eq. (B.1) and so on. Similarly for tables and figures: Table A.1; Fig. A.1, etc.

Essential title page information

- *Title.* Concise and informative. Titles are often used in information-retrieval systems. Avoid abbreviations and formulae where possible.
- **Author names and affiliations.** Please clearly indicate the given name(s) and family name(s) of each author and check that all names are accurately spelled. You can add your name between parentheses in your own script behind the English transliteration. Present the authors' affiliation addresses (where the actual work was done) below the names. Indicate all affiliations with a lower-case superscript letter immediately after the author's name and in front of the appropriate address. Provide the full postal address of each affiliation, including the country name and, if available, the e-mail address of each author.
- Corresponding author. Clearly indicate who will handle correspondence at all stages of refereeing and publication, also post-publication. This responsibility includes answering any future queries about Methodology and Materials. Ensure that the e-mail address is given and that contact details are kept up to date by the corresponding author.
- **Present/permanent address.** If an author has moved since the work described in the article was done, or was visiting at the time, a 'Present address' (or 'Permanent address') may be indicated as a footnote to that author's name. The address at which the author actually did the work must be retained as the main, affiliation address. Superscript Arabic numerals are used for such footnotes.

Your title page, numbered as 1, should give the title in capital letters (not exceeding 100 letters), and a running title (not exceeding 50 letters).

Abstract

A concise and factual abstract is required. The abstract should state briefly the purpose of the research, the principal results and major conclusions. An abstract is often presented separately from the article, so it must be able to stand alone. For this reason, References should be avoided, but if essential, then cite the author(s) and year(s). Also, non-standard or uncommon abbreviations should be avoided, but if essential they must be defined at their first mention in the abstract itself.

The abstract should be a maximum of 300 words. For all original research articles, the abstract should be structured with the following headings:

Background; Materials and Methods; Results; Conclusion

Graphical abstract

Although a graphical abstract is optional, its use is encouraged as it draws more attention to the online article. The graphical abstract should summarize the contents of the article in a concise, pictorial form designed to capture the attention of a wide readership. Graphical abstracts should be submitted as a

separate file in the online submission system. Image size: Please provide an image with a minimum of 531×1328 pixels (h × w) or proportionally more. The image should be readable at a size of 5×13 cm using a regular screen resolution of 96 dpi. Preferred file types: TIFF, EPS, PDF or MS Office files. You can view Example Graphical Abstracts on our information site.

Authors can make use of Elsevier's <u>Illustration Services</u> to ensure the best presentation of their images and in accordance with all technical requirements.

Highlights

Highlights are concise bullet points that convey the core findings and provide readers with a quick textual overview of the article (see https://www.elsevier.com/highlights for examples). These bullet points describe the essence of the research. Highlights are mandatory for all original research articles.

Kevwords

Immediately after the abstract, provide a maximum of 6 keywords, using British spelling and avoiding general and plural terms and multiple concepts (avoid, for example, 'and', 'of'). Be sparing with abbreviations: only abbreviations firmly established in the field may be eligible. These keywords will be used for indexing purposes.

Units and Abbreviations

Système Internationale (SI) units should be used, with the traditional equivalent in parentheses where appropriate. Conventions for abbreviations should be those detailed in: Baron DN, ed. *Units, Symbols, and Abbreviations: A Guide for Biological and Medical Editors and Authors.* 5th edition. London: Royal Society of Medicine Services, 1994.

Acknowledgements

Collate acknowledgements in a separate section at the end of the article before the references and do not, therefore, include them on the title page, as a footnote to the title or otherwise. List here those individuals who provided help during the research (e.g., providing language help, writing assistance or proof reading the article, etc.).

Examples of those who might be acknowledged include a person who provided purely technical help, writing assistance, or a department chair who provided only general support. Authors should disclose whether they had any writing assistance and identify the entity that paid for this assistance.

Formatting of funding sources

List funding sources in this standard way to facilitate compliance to funder's requirements:

Funding: This work was supported by the National Institutes of Health [grant numbers xxxx, yyyy]; the Bill & Melinda Gates Foundation, Seattle, WA [grant number zzzz]; and the United States Institutes of Peace [grant number aaaa].

It is not necessary to include detailed descriptions on the program or type of grants and awards. When funding is from a block grant or other resources available to a university, college, or other research institution, submit the name of the institute or organization that provided the funding.

If no funding has been provided for the research, it is recommended to include the following sentence:

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Units

Follow internationally accepted rules and conventions: use the international system of units (SI). If other units are mentioned, please give their equivalent in SI.

Math formulae

Please submit math equations as editable text and not as images. Present simple formulae in line with normal text where possible and use the solidus (/) instead of a horizontal line for small fractional terms, e.g., X/Y. In principle, variables are to be presented in italics. Powers of e are often more conveniently denoted by exp. Number consecutively any equations that have to be displayed separately from the text (if referred to explicitly in the text).

Footnotes

Footnotes should be used sparingly. Number them consecutively throughout the article. Many word processors can build footnotes into the text, and this feature may be used. Otherwise, please indicate the position of footnotes in the text and list the footnotes themselves separately at the end of the article. Do not include footnotes in the Reference list.

Artwork

Electronic artwork

General points

- Make sure you use uniform lettering and sizing of your original artwork.
- Embed the used fonts if the application provides that option.
- Aim to use the following fonts in your illustrations: Arial, Courier, Times New Roman, Symbol, or use fonts that look similar.
- Number the illustrations according to their sequence in the text.
- Use a logical naming convention for your artwork files.
- Provide captions to illustrations separately.
- Size the illustrations close to the desired dimensions of the published version.
- Submit each illustration as a separate file.
- Ensure that color images are accessible to all, including those with impaired color vision.

A detailed guide on electronic artwork is available.

You are urged to visit this site; some excerpts from the detailed information are given here. Formats

If your electronic artwork is created in a Microsoft Office application (Word, PowerPoint, Excel) then please supply 'as is' in the native document format.

Regardless of the application used other than Microsoft Office, when your electronic artwork is finalized, please 'Save as' or convert the images to one of the following formats (note the resolution requirements for line drawings, halftones, and line/halftone combinations given below):

EPS (or PDF): Vector drawings, embed all used fonts.

TIFF (or JPEG): Color or grayscale photographs (halftones), keep to a minimum of 300 dpi.

TIFF (or JPEG): Bitmapped (pure black & white pixels) line drawings, keep to a minimum of 1000 dpi. TIFF (or JPEG): Combinations bitmapped line/half-tone (color or grayscale), keep to a minimum of 500 dpi.

Please do not:

- Supply files that are optimized for screen use (e.g., GIF, BMP, PICT, WPG); these typically have a low number of pixels and limited set of colors;
- Supply files that are too low in resolution;
- Submit graphics that are disproportionately large for the content.

Illustration services

Elsevier's Author Services offers Illustration Services to authors preparing to submit a manuscript but concerned about the quality of the images accompanying their article. Elsevier's expert illustrators can produce scientific, technical and medical-style images, as well as a full range of charts, tables and graphs. Image 'polishing' is also available, where our illustrators take your image(s) and improve them to a professional standard. Please visit the website to find out more.

Figure captions

Ensure that each illustration has a caption. Supply captions separately, not attached to the figure. A caption should comprise a brief title (**not** on the figure itself) and a description of the illustration. Keep text in the illustrations themselves to a minimum but explain all symbols and abbreviations used.

Tables

Please submit tables as editable text and not as images. Tables can be placed either next to the relevant text in the article, or on separate page(s) at the end. Number tables consecutively in accordance with their appearance in the text and place any table notes below the table body. Be sparing in the use of tables and ensure that the data presented in them do not duplicate results described elsewhere in the article. Please avoid using vertical rules and shading in table cells.

References

Citation in text

Please ensure that every reference cited in the text is also present in the reference list (and vice versa). Any references cited in the abstract must be given in full. Unpublished results and personal communications are not recommended in the reference list, but may be mentioned in the text. If these

references are included in the reference list they should follow the standard reference style of the journal and should include a substitution of the publication date with either 'Unpublished results' or 'Personal communication'. Citation of a reference as 'in press' implies that the item has been accepted for publication.

Reference links

Increased discoverability of research and high quality peer review are ensured by online links to the sources cited. In order to allow us to create links to abstracting and indexing services, such as Scopus, CrossRef and PubMed, please ensure that data provided in the references are correct. Please note that incorrect surnames, journal/book titles, publication year and pagination may prevent link creation. When copying references, please be careful as they may already contain errors. Use of the DOI is highly encouraged.

A DOI is guaranteed never to change, so you can use it as a permanent link to any electronic article. An example of a citation using DOI for an article not yet in an issue is: VanDecar J.C., Russo R.M., James D.E., Ambeh W.B., Franke M. (2003). Aseismic continuation of the Lesser Antilles slab beneath northeastern Venezuela. Journal of Geophysical Research, https://doi.org/10.1029/2001JB000884. Please note the format of such citations should be in the same style as all other references in the paper.

Web references

As a minimum, the full URL should be given and the date when the reference was last accessed. Any further information, if known (DOI, author names, dates, reference to a source publication, etc.), should also be given. Web references can be listed separately (e.g., after the reference list) under a different heading if desired, or can be included in the reference list.

Data references

This journal encourages you to cite underlying or relevant datasets in your manuscript by citing them in your text and including a data reference in your Reference List. Data references should include the following elements: author name(s), dataset title, data repository, version (where available), year, and global persistent identifier. Add [dataset] immediately before the reference so we can properly identify it as a data reference. The [dataset] identifier will not appear in your published article.

Reference management software

Most Elsevier journals have their reference template available in many of the most popular reference management software products. These include all products that support Citation Style Language styles, such as Mendeley. Using citation plug-ins from these products, authors only need to select the appropriate journal template when preparing their article, after which citations and bibliographies will be automatically formatted in the journal's style. If no template is yet available for this journal, please follow the format of the sample references and citations as shown in this Guide. If you use reference management software, please ensure that you remove all field codes before submitting the electronic manuscript. More information on how to remove field codes from different reference management software.

Reference style

Text: Indicate references by number(s) in square brackets in line with the text. The actual authors can be referred to, but the reference number(s) must always be given.

Example: '.... as demonstrated [3,6]. Barnaby and Jones [8] obtained a different result'

List: Number the references (numbers in square brackets) in the list in the order in which they appear in the text.

Examples:

Reference to a journal publication:

[1] J. van der Geer, J.A.J. Hanraads, R.A. Lupton, The art of writing a scientific article, J. Sci. Commun. 163 (2010) 51–59. https://doi.org/10.1016/j.Sc.2010.00372.

Reference to a journal publication with an article number:

[2] J. van der Geer, J.A.J. Hanraads, R.A. Lupton, 2018. The art of writing a scientific article. Heliyon. 19, e00205. https://doi.org/10.1016/j.heliyon.2018.e00205.

Reference to a book:

[3] W. Strunk Jr., E.B. White, The Elements of Style, fourth ed., Longman, New York, 2000. Reference to a chapter in an edited book:

[4] G.R. Mettam, L.B. Adams, How to prepare an electronic version of your article, in: B.S. Jones, R.Z. Smith (Eds.), Introduction to the Electronic Age, E-Publishing Inc., New York, 2009, pp. 281–304. Reference to a website:

[5] Cancer Research UK, Cancer statistics reports for the UK. http://www.cancerresearchuk.org/aboutcancer/statistics/cancerstatsreport/, 2003 (accessed 13 March 2003).

Reference to a dataset:

[dataset] [6] M. Oguro, S. Imahiro, S. Saito, T. Nakashizuka, Mortality data for Japanese oak wilt disease and surrounding forest compositions, Mendeley Data, v1, 2015. https://doi.org/10.17632/xwi98nb39r.1.

Reference to software:

[7] E. Coon, M. Berndt, A. Jan, D. Svyatsky, A. Atchley, E. Kikinzon, D. Harp, G. Manzini, E. Shelef, K. Lipnikov, R. Garimella, C. Xu, D. Moulton, S. Karra, S. Painter, E. Jafarov, S. Molins, Advanced Terrestrial Simulator (ATS) v0.88 (Version 0.88), Zenodo, March 25, 2020. https://doi.org/10.5281/zenodo.3727209.

Journal abbreviations source

Journal names should be abbreviated according to the List of Title Word Abbreviations.

Video

Elsevier accepts video material and animation sequences to support and enhance your scientific research. Authors who have video or animation files that they wish to submit with their article are strongly encouraged to include links to these within the body of the article. This can be done in the same way as a figure or table by referring to the video or animation content and noting in the body text where it should be placed. All submitted files should be properly labeled so that they directly relate to the video file's content. In order to ensure that your video or animation material is directly usable, please provide the file in one of our recommended file formats with a preferred maximum size of 150 MB per file, 1 GB in total. Video and animation files supplied will be published online in the electronic version of your article in Elsevier Web products, including ScienceDirect. Please supply 'stills' with your files: you can choose any frame from the video or animation or make a separate image. These will be used instead of standard icons and will personalize the link to your video data. For more detailed instructions please visit our video instruction pages. Note: since video and animation cannot be embedded in the print version of the journal, please provide text for both the electronic and the print version for the portions of the article that refer to this content.

Supplementary material

Supplementary material such as applications, images and sound clips, can be published with your article to enhance it. Submitted supplementary items are published exactly as they are received (Excel or PowerPoint files will appear as such online). Please submit your material together with the article and supply a concise, descriptive caption for each supplementary file. If you wish to make changes to supplementary material during any stage of the process, please make sure to provide an updated file. Do not annotate any corrections on a previous version. Please switch off the 'Track Changes' option in Microsoft Office files as these will appear in the published version.

Research data

This journal encourages and enables you to share data that supports your research publication where appropriate, and enables you to interlink the data with your published articles. Research data refers to the results of observations or experimentation that validate research findings. To facilitate reproducibility and data reuse, this journal also encourages you to share your software, code, models, algorithms, protocols, methods and other useful materials related to the project.

Below are a number of ways in which you can associate data with your article or make a statement about the availability of your data when submitting your manuscript. If you are sharing data in one of these ways, you are encouraged to cite the data in your manuscript and reference list. Please refer to the "References" section for more information about data citation. For more information on depositing, sharing and using research data and other relevant research materials, visit the research data page.

Data linking

If you have made your research data available in a data repository, you can link your article directly to the dataset. Elsevier collaborates with a number of repositories to link articles on ScienceDirect with relevant repositories, giving readers access to underlying data that gives them a better understanding of the research described.

There are different ways to link your datasets to your article. When available, you can directly link your dataset to your article by providing the relevant information in the submission system. For more information, visit the database linking page.

For supported data repositories a repository banner will automatically appear next to your published article on ScienceDirect.

In addition, you can link to relevant data or entities through identifiers within the text of your manuscript, using the following format: Database: xxxx (e.g., TAIR: AT1G01020; CCDC: 734053; PDB: 1XFN).

Mendeley Data

This journal supports Mendeley Data, enabling you to deposit any research data (including raw and processed data, video, code, software, algorithms, protocols, and methods) associated with your manuscript in a free-to-use, open access repository. During the submission process, after uploading your manuscript, you will have the opportunity to upload your relevant datasets directly to *Mendeley Data*. The datasets will be listed and directly accessible to readers next to your published article online.

For more information, visit the Mendeley Data for journals page.

Data statement

To foster transparency, we require you to state the availability of your data in your submission if your data is unavailable to access or unsuitable to post. This may also be a requirement of your funding body or institution. You will have the opportunity to provide a data statement during the submission process. The statement will appear with your published article on ScienceDirect. For more information, visit the Data Statement page..

AFTER ACCEPTANCE

Online proof correction

To ensure a fast publication process of the article, we kindly ask authors to provide us with their proof corrections within two days. Corresponding authors will receive an e-mail with a link to our online proofing system, allowing annotation and correction of proofs online. The environment is similar to MS Word: in addition to editing text, you can also comment on figures/tables and answer questions from the Copy Editor. Web-based proofing provides a faster and less error-prone process by allowing you to directly type your corrections, eliminating the potential introduction of errors.

If preferred, you can still choose to annotate and upload your edits on the PDF version. All instructions for proofing will be given in the e-mail we send to authors, including alternative methods to the online version and PDF.

We will do everything possible to get your article published quickly and accurately. Please use this proof only for checking the typesetting, editing, completeness and correctness of the text, tables and figures. Significant changes to the article as accepted for publication will only be considered at this stage with permission from the Editor. It is important to ensure that all corrections are sent back to us in one communication. Please check carefully before replying, as inclusion of any subsequent corrections cannot be guaranteed. Proofreading is solely your responsibility.

Offprints

The corresponding author will, at no cost, receive a customized Share Link providing 50 days free access to the final published version of the article on ScienceDirect. The Share Link can be used for sharing the article via any communication channel, including email and social media. For an extra charge, paper offprints can be ordered via the offprint order form which is sent once the article is accepted for publication. Both corresponding and co-authors may order offprints at any time via Elsevier's Author Services. Corresponding authors who have published their article gold open access do not receive a Share Link as their final published version of the article is available open access on ScienceDirect and can be shared through the article DOI link.

AUTHOR INQUIRIES

Visit the Elsevier Support Center to find the answers you need. Here you will find everything from Frequently Asked Questions to ways to get in touch.

You can also check the status of your submitted article or find out when your accepted article will be published.

© Copyright 2018 Elsevier | https://www.elsevier.com