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1           **Physiological and genetic correlates of boldness: characterising the mechanisms of**  
2                           **behavioural variation in rainbow trout, *Oncorhynchus mykiss***

3

4           Jack S. Thomson<sup>a</sup>, Phillip C. Watts<sup>a</sup>, Tom G. Pottinger<sup>b</sup> & Lynne U. Sneddon<sup>a,c</sup>

5

6           <sup>a</sup> School of Biological Sciences, University of Liverpool, Liverpool, UK, L69 7ZB

7           <sup>b</sup> Centre for Ecology and Hydrology, Lancaster Environment Centre, Bailrigg, Lancaster,

8

UK, LA1 4AP

9

<sup>c</sup> Biological Sciences, University of Chester, Chester, UK, CH1 4BJ

10

11

12           **Author and address for correspondence:**

13           **Jack S. Thomson**

14           School of Biological Sciences

15           Crown Street

16           Biosciences Building

17           University of Liverpool

18           L69 7ZB

19

20           [j.s.thomson@liverpool.ac.uk](mailto:j.s.thomson@liverpool.ac.uk)

21           0151 795 4392

22

23 **Abstract**

24 Bold, risk-taking animals have previously been putatively linked with a proactive stress  
25 coping style whereas it is suggested shy, risk-averse animals exhibit a reactive coping style.  
26 The aim of this study was to investigate whether differences in the expression of bold-type  
27 behaviour were evident within and between two lines of rainbow trout, *Oncorhynchus mykiss*,  
28 selectively bred for a low (LR) or high (HR) endocrine response to stress, and to link  
29 boldness and stress responsiveness with the expression of related candidate genes. Boldness  
30 was determined in individual fish over two trials by measuring the latency to approach a  
31 novel object. Differences in plasma cortisol concentrations and the expression of eight novel  
32 candidate genes previously identified as being linked with divergent behaviours or stress  
33 were determined. Bold and shy individuals, approaching the object within 180 s or not  
34 approaching within 300 s respectively, were evident within each line, and this was linked  
35 with activity levels in the HR line. Post-stress plasma cortisol concentrations were  
36 significantly greater in the HR line compared with the LR line, and six of the eight tested  
37 genes were upregulated in the brains of LR fish compared with HR fish. However, no direct  
38 relationship between boldness and either stress responsiveness or gene expression was found,  
39 although clear differences in stress physiology and, for the first time, gene expression could  
40 be identified between the lines. This lack of correlation between physiological and molecular  
41 responses and behavioural variation within both lines highlights the complexity of the  
42 behavioural-physiological complex.

43

44 **Keywords:** boldness; cortisol; HPI axis; novel object; *Oncorhynchus mykiss*; qRT-PCR;  
45 stress coping styles.

46

47 **Introduction**

48 Behavioural polymorphisms are a common feature of natural populations (Sih et al., 2004).  
49 In some cases intraspecific variation in behaviour may be inherently necessary due to  
50 environmental changes, often corresponding with ontogenetic shifts (Slater, 1981). However,  
51 for many complex behaviours the full adaptive significance of such variation is not fully  
52 understood. Despite this, recent studies have highlighted the underlying role of physiological  
53 and genetic factors in driving divergent behaviour, particularly differences in animal  
54 personality (Bell, 2007; Koolhaas et al., 1999; Korsten et al. 2010; Øverli et al., 2005). One  
55 fundamental personality trait is boldness. An individual's boldness is defined by its response  
56 to a novel challenge, with these responses regarded as an indicator of the amount of risk an  
57 animal is prepared to take in new circumstances (Koolhaas et al., 1999; Sih et al., 2004;  
58 Sneddon, 2003, van Oers et al., 2005). As such, boldness can directly influence an  
59 organism's fitness, with costs or benefits dependent upon the environmental context (Brown  
60 et al., 2007).

61

62 Boldness is not a discrete trait, but rather represents a continuous range of behavioural  
63 profiles from bold to shy (Cockrem, 2007). This bold/shy continuum describes a suite of  
64 correlated behaviours which are often considered consistent between contexts. In general, shy  
65 animals are more reclusive or unresponsive when faced with an unfamiliar situation, whilst  
66 bold organisms will act normally or even actively investigate novel environments or objects  
67 more readily under the same conditions (Beausoleil et al., 2008; Carere and van Oers, 2004;  
68 Frost et al., 2007; Verbeek et al., 1994; Wilson et al., 1993; Yoshida et al., 2005). Bold  
69 animals are also relatively more aggressive, spend more time in the open, recover more  
70 quickly (e.g. from fear stimulation) and are able to learn more quickly than shy animals

71 (Carere et al., 2005; Magnhagen, 2007; Sneddon, 2003; van Oers et al., 2005; Verbeek et al.,  
72 1996).

73

74 Behavioural profiles within a species have also been linked with the physiological response  
75 to a stressor, collectively comprising the individual's 'coping style' (Koolhaas et al., 1999).

76 Stressors are defined as challenges to an individual's homeostasis that result in a stress  
77 response: behavioural and neuroendocrine reactions that address the negative effects of that  
78 challenge (Wendelaar Bonga, 1997). Intraspecific differences in stress responsiveness reflect  
79 variation in the control of hormone release within the neuroendocrine stress axis.

80 Consequently, the proactive (active) coping style, typified by aggression and territoriality, is  
81 characterised by high adrenergic (noradrenaline) axis activity and low hypothalamo-pituitary-  
82 adrenal/interrenal (HPA/HPI) axis activity. In contrast, reactive (passive) behaviour,  
83 characterised by withdrawal and immobility, is linked with a higher HPI response (De Boer  
84 et al., 1990). These dichotomous behavioural strategies associated with coping style are often,  
85 though not always, correlated with boldness (e.g. Koolhaas et al., 1999; Øverli et al., 2007).

86

87 Behavioural characteristics have a significant genetic component in many natural populations  
88 in several taxa (e.g. Álvarez and Bell, 2007; Benus et al., 1991; Fidler et al., 2007; Giles and  
89 Huntingford, 1984; Korsten et al., 2010; van Oers et al., 2004). Similarly, the physiological  
90 response to stress also appears to have a substantial underlying genetic basis. For example, it  
91 was possible to select two lines of rainbow trout, *Oncorhynchus mykiss*, for divergent  
92 endocrine response to a confinement stressor; across four generations, post-stress plasma  
93 cortisol concentrations remained significantly greater in high (HR) compared with low (LR)  
94 stress responding lines, with a moderate to high heritability ( $h^2=0.41-0.73$ ) for HPI-reactivity  
95 to stress (Pottinger and Carrick, 1999; Pottinger and Carrick, 2001a). Interestingly, these lines

96 also exhibit divergent behavioural traits which are linked with boldness: LR fish, whose  
97 behaviour shares characteristics with a bold phenotype, display longer retention of a  
98 classically conditioned response than HR fish which are considered to be relatively shy. LR  
99 fish also exhibit proactive behaviours such as enhanced aggression, social dominance, and  
100 rapid resumption of feed intake after exposure to a stressor (Øverli et al., 2007). These trout  
101 lines thus provide an excellent model to study coping style and the concomitant relationship  
102 between heritable stress responses and behavioural phenotype which is, furthermore,  
103 reflected in natural populations (Cockrem, 2007; Koolhaas et al., 1999).

104

105 Ultimately, many of these heritable differences in behaviour are manifest as differences in  
106 gene expression: a microarray analysis comparing the expression of 20,000 genes in an  
107 outbred population of *O. mykiss* highlighted ~1,000 genes which were differentially  
108 expressed in the brains of fish showing either consistently bold or shy responses to novelty  
109 (Sneddon et al., MS under review). Therefore differential gene regulation between bold and  
110 shy fish indicate that bold fish have either a different transcriptomic profile or more  
111 profoundly regulate relevant genes, and may also account for divergence of behaviour or  
112 stress physiology in these animals. If the genes identified by Sneddon and co-workers  
113 (Sneddon et al. 2005; Sneddon et al., MS under review) play a role in defining bold and shy  
114 phenotypes, they might be expected to show a different pattern of expression between HR  
115 and LR fish. With the exception of a study by Schjolden et al. (2005) there has been little  
116 examination of bold/shy behaviour within these lines of rainbow trout, nor has the possibility  
117 that behavioural variation between these lines of selected fish may be linked to discrete  
118 individual differences in brain gene expression been explored. These lines thus offer a unique  
119 opportunity to investigate the putative link between behavioural polymorphism and  
120 physiological stress responsiveness. Furthermore these aspects of animal personality and

121 coping style can, for the first time, be correlated by quantification of the expression of a suite  
122 of candidate genes.

123

124 The broad aim of this study was to determine the extent to which neuroendocrine responses  
125 to stress, within trout selectively bred for divergent responses, correlated with bold or shy  
126 behavioural traits; we quantified this not only between the HR and LR lines but also  
127 characterised whether individual variation occurred within these lines. Further to this, the  
128 expression of a range of novel candidate genes in the brain was determined. We hypothesised  
129 (1) that LR individuals would exhibit behaviour typical of a bold phenotype and would  
130 approach a novel object more quickly and exhibit a lower stress response than HR individuals  
131 whose behaviour would resemble that of a shy phenotype, and (2) that this divergence in  
132 behavioural and endocrine responses would be associated with clear differences in the  
133 expression of genes associated with boldness (within lines) and/or the stress response  
134 (between lines).

135

## 136 **Materials and Methods**

### 137 *Experimental fish*

138 The following experiment was conducted humanely under Home Office, UK, guidelines  
139 according to the Animal (Scientific Procedures) Act 1986, and following local ethical  
140 approval. Rainbow trout, *Oncorhynchus mykiss* Walbaum, from inbred lines selected for high  
141 (HR) or low (LR) cortisol responsiveness to a standardised stressor (Pottinger and Carrick,  
142 1999) were transferred from CEH Windermere to Liverpool where each line was held  
143 separately (~140 fish per tank) in two stock tanks (2x2x0.5 m) in a semi-recirculating system.  
144 Tanks were supplied with filtered aerated freshwater and maintained at 13±2°C on an  
145 ambient 14:10 h light:dark regime. Half of the tank had an opaque overhead cover for shelter.

146 Fish were inspected twice daily and fed commercial pellets (Skretting, UK) at 1 % body  
147 weight per day. After a period of at least 4 months to allow fish to acclimate, trout (HR:  
148  $n=44$ ,  $343.0\pm 14.7$  g; LR:  $n=33$ ,  $356.5\pm 11.0$  g) were selected at random from the stock tanks  
149 and placed into individual glass tanks (90x50x45 cm) which were screened from visual  
150 disturbance. All tanks were supplied with a constant flow of filtered freshwater in a semi-  
151 open system maintained at  $10\pm 1^\circ\text{C}$  with aeration. The trout were left to acclimate for a  
152 minimum of one week and fed daily. Experiments were conducted on fish that had resumed  
153 feeding after this period.

154

### 155 *Behaviour*

156 A custom-built low-light video camera was situated in front of the tank and a second camera  
157 placed to the side of the tank. Measuring rulers (0.5 cm intervals) were arranged horizontally  
158 and vertically along the front of the tank to measure proximity of the fish to the novel object.  
159 The fish were allowed 10 minutes to acclimatise to the potential disturbance arising from  
160 setting up the cameras. Behaviour of the fish without disturbance was then recorded for 10  
161 minutes, before a novel object was added. The novel object test is a standard paradigm to  
162 differentiate between bold and shy individuals (Wilson et al., 1993). The novel object was  
163 placed as near to the centre of the tank as possible, and the behaviour of the fish was recorded  
164 for a further 10 minutes after which the object was carefully removed. This test was repeated  
165 a week later to assess the level of consistency of behaviour displayed by the experimental  
166 individuals. Novel objects were varied between trials to ensure the fish did not become  
167 habituated to a familiar shape, and included an orange frustum-shaped bung (7.05 cm mean  
168 diameter, 4.9 cm height) and a bipyramidal Duplo<sup>(R)</sup> construct (height 13.5 cm, and  
169 maximum widths 7.6x6.3 cm) of black, red and blue bricks.

170



171 Scoring of the behaviour was accomplished using custom designed behavioural analysis  
172 software. Three measurements each of three separate behaviours were initially scored based  
173 on the activity levels of the subject and its proximity to the novel object (Table 1; see Frost et  
174 al., 2007). Principal components analysis (Minitab ver.15.1) was subsequently used to  
175 identify the key behaviours that differentiated bold fish from shy. Latency to approach within  
176 5 cm (s) of the object was strongly represented in the first principle component  
177 (eigenvalue=3.53, loading for 5 cm latency=-0.41) and could be solely used to differentiate  
178 between bold and shy groups. This measure has previously been used to identify boldness in  
179 fish (Coleman and Wilson, 1998; Frost et al., 2007). Loadings for six of the measurements  
180 were well represented in the first principal component, and two of these, frequency of  
181 entering a 10 cm zone ( $\text{min}^{-1}$ ) centred on the object (loading=0.459) and duration (s) spent  
182 passive (loading=-0.381), were selected for further analysis. Passive behaviour was defined to  
183 exclude swimming (movement of the fish generated by propulsion using the fins, of no less  
184 than approximately one body length) but include drifting, fish pivoting on their own axis, any  
185 minor movements made to maintain position, and resting on the bottom of the tank.

186

### 187 *Hormone analysis and quantification of gene expression*

188 Subsequent to, and on the same day as, the final behavioural trial, approximately half of the  
189 fish ( $n=34$ ) were netted and exposed to air for 60 s to induce an acute physiological stress  
190 response before being placed back into their tank (Pickering and Pottinger, 1989). Fifteen  
191 minutes after emersion, the trout were netted again before being killed humanely by  
192 concussion. To obtain unstressed plasma cortisol concentrations, fish were killed by  
193 concussion without this treatment. Individuals were killed at the same time each day to  
194 ensure that interpretation of differences in hormone levels was not compromised by diel  
195 fluctuations in plasma cortisol (Pickering and Pottinger, 1983). Immediately after euthanasia,

196 a 2 ml blood sample was taken from the caudal vessels using sterile 25 g needles and  
197 heparinised 2ml syringes. The supernatant plasma was aspirated, divided into aliquots and  
198 frozen at -20°C. Plasma cortisol levels were determined by radioimmunoassay (Pottinger and  
199 Carrick, 2001a).

200

201 Immediately following blood sampling, the whole brain was removed and stored at -80°C  
202 until RNA extraction, and fish were sexed. Total RNA was extracted from trout brain using  
203 TRIzol® (Invitrogen Life Science, UK), with RNA eluted into 50 µl RNase-free water. RNA  
204 concentrations were determined by optical density at 260 nm using a NanoDrop ND-1000  
205 spectrophotometer (LabTech International, UK) system and the quality of the samples  
206 assessed by 2 % agarose gel electrophoresis. For each sample, approximately 1 µg of mRNA  
207 was reverse-transcribed into first-strand cDNA using random hexamers and SuperScript™ III  
208 reverse transcriptase (Invitrogen Life Science, UK), following the manufacturer's protocol.

209

210 The candidate genes selected for this study were chosen for their roles in behaviours  
211 associated with boldness, such as aggression, anxiety and memory, or for their association or  
212 direct involvement with the stress response (Table 2). Furthermore, six of these genes,  
213 ependymin, GABA<sub>A</sub>, calmodulin, MHCI, Hbα4, and a lipocalin, retinol binding protein, were  
214 differentially regulated between bold and shy rainbow trout in a previous study (Sneddon et  
215 al., 2005; Sneddon et al., MS under review). Eight pairs of primers for these genes were  
216 developed using Primer Express® 3.0 software against *O. mykiss* sequences (Table 3). For  
217 RT-PCR, ~0.05 µg of the cDNA was amplified in a 10µl PCR (using 5 µl Fast SYBR Green,  
218 Invitrogen Life Science, UK) primed with 2pmol each primer. Thermal cycling conditions,  
219 using a 7500 Fast Real-Time PCR System (Applied Biosystems), were: 10 min at 95°C,  
220 followed by 40X [95°C 3 s, 60°C 30 s] and then [95°C for 15 s, 60°C for 60 s, 95°C for 15 s

221 and 60°C for 15 s], which allowed the construction of a melting curve to assess the specificity  
222 of the product.

223

#### 224 *Data Analysis*

225 None of the data were normally distributed (Anderson-Darling; Minitab, ver.15.1) and thus  
226 non-parametric tests were applied. These tests also reduce Type 1 errors since there were  
227 unbalanced sample sizes due to unequal numbers of bold and shy fish in each line. A  
228 Wilcoxon Signed Rank Test was used to analyse the difference between behavioural scores  
229 of the first and second trial to test for consistency in latency to approach within 5cm of the  
230 novel object (Minitab, ver.15.1). Subsequently, data were separated for trout showing  
231 consistently bold (approach to 5 cm of the object within 180 s in both trials;  $n=28$ ) or shy (do  
232 not approach to 5 cm within 300 s in both trials;  $n=13$ ) behaviour. Scores for each of the  
233 behaviours were then averaged over the two trials and compared between bold and shy  
234 groups within both the HR and LR line using Mann-Whitney U-tests (R, ver.2.7.0), including  
235 sequential Bonferroni treatment (Rice, 1989) for multiple tests.

236

237 Plasma cortisol concentrations for stressed and unstressed trout were compared between the  
238 two stress lines (unstressed: HR  $n=13$ , LR  $n=23$ ; stressed: HR  $n=27$ , LR=7), between  
239 consistently bold and shy trout (unstressed: bold  $n=12$ , shy  $n=5$ ; stressed: bold  $n=14$ , shy  
240  $n=7$ ) and between sexes (female  $n=17$ , male  $n=15$ ) using Mann-Whitney U Tests (R,  
241 ver.2.7.0). For RT-PCR, cycle threshold (Ct; the first cycle number at which fluorescence is  
242 significantly greater than background levels) and efficiency values for each gene were  
243 exported into REST (ver.2.0.7; Pfaffl et al., 2002) whereby the relative expression of each  
244 gene between bold and shy fish or between fish from each of the two stress lines, normalised

245 to a reference gene (GAPDH), was calculated. Statistical analysis was subsequently  
246 accomplished through REST's bootstrap randomisation procedure.

247

## 248 **Results**

249 In unstressed rainbow trout, (Fig. 1A) plasma cortisol concentrations were significantly  
250 greater in LR fish compared with the HR line (3.16 and 1.34 ng ml<sup>-1</sup> respectively;  $W=47.0$ ,  
251  $p<0.01$ ,  $n_1n_2=23,13$ ), with no significant difference between sexes ( $W=89.0$ ,  $p=0.15$ ,  
252  $n_1n_2=17,15$ ). By contrast, after exposure to a stressor, HR trout had a greater plasma cortisol  
253 response than did LR fish (67.42 ng ml<sup>-1</sup> and 27.14 ng ml<sup>-1</sup> respectively;  $W=158.0$ ,  $p<0.01$ ,  
254  $n_1n_2=27,7$ ; Fig. 1B), and while blood-cortisol concentrations were higher in female trout  
255 (73.53 ng ml<sup>-1</sup>) than in males (46.36 ng ml<sup>-1</sup>), the response was highly variable so  
256 insignificant ( $W=177.0$ ,  $p=0.06$ ,  $n_1n_2=17,15$ ).

257

258 Consistent with other studies, boldness showed a bimodal (i.e. u-shaped) distribution and  
259 tended towards extremes in individual trials both as a group (Fig. 2A) and separated by line  
260 (Fig. 2B, 2C), with fish exhibiting clear bold (approaching 5 cm of the object within 60 s;  
261  $n=63$ ) or shy (not approach within 5 cm during the trial;  $n=42$ ) behaviour. Individual trout  
262 were consistent in their latency to approach within 5 cm of a novel object over two trials  
263 ( $W=913.0$ ,  $p=0.113$ ,  $n=77$ ), thus confirming the utility of this measure. Rather than being  
264 associated predominantly with one or other line, both bold and shy fish were identified within  
265 each line. Moreover, there was a tendency for fish to be bold rather than shy in both lines  
266 (Fig. 3); although there were proportionately more shy fish in the HR line compared to the  
267 LR line (15:9 bold and shy compared to 13:4 bold and shy respectively), this difference was  
268 not significant ( $\chi^2_1=0.891$ ,  $p=0.344$ ). Furthermore, although plasma cortisol concentrations  
269 profoundly differed between the two lines, there was no significant difference observed in

270 cortisol concentration between bold and shy fish, regardless of whether they were unstressed  
271 ( $W=37.0$ ,  $p=0.51$ ,  $n_1n_2=12,5$ ; Fig. 1A) or stressed ( $W=89.0$ ,  $p=0.15$ ,  $n_1n_2=17,15$ ; Fig. 1B).

272

273 Although bold and shy fish could be distinguished within each line by their approach latency  
274 to within 5 cm of a novel object, trends in other behaviours were apparent in HR trout but not  
275 in LR fish. Within the HR line, consistently bold fish spent less time overall being passive  
276 ( $W=244.5$ ,  $p<0.01$ ,  $n_1n_2=15,9$ ; Fig. 4A) than shy trout but this was not true of trout from the  
277 LR line ( $W=103.0$ ,  $p=0.126$ ,  $n_1n_2=13,4$ ). Similarly, bold HR trout also entered the 10 cm  
278 zone about the object more frequently ( $W=138.0$ ,  $p<0.01$ ,  $n_1n_2=15,9$ ; Fig. 4B) than shy fish,  
279 but no significant difference was detected between bold and shy fish in the LR line after  
280 Bonferroni treatment for multiple tests ( $W=135.0$ ,  $p=0.048$ ,  $n_1n_2=13,4$ ).

281

282 Differences between the stress lines were evident in the relative expression levels of six  
283 candidate genes: endymin, calmodulin, MHCI, GABA<sub>A</sub>, vasotocin and RBP were  
284 significantly upregulated in the brains of LR fish compared with HR fish (Table 4; Fig. 5B).  
285 Average fold change varied from an upregulation factor of 1.89 for AVT up to 5.92 for  
286 MHCI. In contrast, expression of both POMC and Hba4 were almost identical between the  
287 lines. However, bold and shy fish, independent of selection line, did not significantly differ in  
288 the expression levels of any of these genes, with the expression of most genes marked by  
289 large variance due to pooling of samples within the stress lines (Fig. 5A).

290

## 291 **Discussion**

292 Boldness is a complex behavioural trait that has previously been associated with coping style  
293 (Koolhaas et al., 2007), and may thus be assumed to correlate with the magnitude of the  
294 physiological stress response. In this study, bold and shy rainbow trout were identified within

295 distinct stress-response lines of rainbow trout by measuring their behavioural response to  
296 novelty: this is the first characterisation of both bold and shy phenotypes within these lines.  
297 Whilst divergent plasma cortisol responses to a stressor were evident between the HR and LR  
298 lines, consistent with earlier findings (summarised in Øverli et al., 2005), no significant  
299 relationship between boldness and stress responsiveness was found either between or within  
300 lines. Although a slightly larger proportion of LR trout exhibited a bold phenotype than HR  
301 trout this was not significant and no associated differences were observed in post-stress  
302 plasma cortisol levels between bold and shy individuals independent of selection line.  
303 Similarly, physiological divergence between the HR and LR lines was correlated with  
304 differences in regulation of six candidate genes in the brain, but bold and shy fish did not  
305 exhibit any dissimilarity in the regulation of these candidate genes.

306

#### 307 *Differences between HR and LR lines*

308 The clear bimodal response to novel objects and the frequency of bold and shy fish within  
309 line and as a whole were similar to those observed in outbred rainbow trout (Frost et al.,  
310 2007). Boldness thus appears to be bimodally distributed in this species, a response seemingly  
311 maintained even in lines selected for divergent responsesiveness to a stressor. Other species  
312 may exhibit different distributions, such as a normal distribution with relatively fewer bold  
313 and shy compared to intermediate fish in pumpkinseed sunfish (Wilson et al., 1993). Thus  
314 bold/shy distributions may reflect interspecific or between-population differences in intrinsic  
315 factors or extrinsic pressures that may drive variation in personality. Even rearing conditions  
316 can cause a prevalence of certain behavioural types within a population of salmonid fish  
317 (Sundström et al., 2004).

318

319 The consistent divergence in the HPI reactivity to stress between the two stress lines is in  
320 accordance with earlier studies on these selected lines using confinement to induce a stress  
321 response (Pottinger and Carrick, 1999; Schjolden et al. 2005). However, the equally strong  
322 divergence among some genes involved in the stress response has not previously been  
323 demonstrated and emphasises the strong genetic basis that underpins stress physiology in  
324 rainbow trout (e.g. Pottinger and Carrick, 1999; Pottinger and Carrick, 2001a) and possibly  
325 other vertebrates (Yao and Denver, 2007). Further work should focus on determining whether  
326 these responses are consistent throughout the entire pathway or whether genetic regulation  
327 occurs only at key loci within the response. In unstressed fish plasma cortisol concentrations  
328 were higher in LR fish than in HR fish, the reverse of an earlier observation in these lines  
329 (Pottinger and Carrick, 2001b), and may reflect factors responsible for modulation of the  
330 unstimulated HPI axis that have yet to be identified in fish.

331

332 Differences in whole-brain gene expression between the stress lines represent the first  
333 evidence that the key phenotypic difference between the lines, divergence in stress  
334 responsiveness, is reflected in a broader suite of correlated molecular responses linked with  
335 boldness or stress physiology. Immune function can be compromised by chronic stress  
336 possibly explaining why MHC, CaM and RBP were each upregulated in LR fish relative to  
337 HR fish, since the corresponding proteins are associated with the immune system or response.  
338 The  $\text{Ca}^{2+}$ /CaM complex directly or indirectly controls a number of mechanisms and enzymes  
339 involved in the immune response, including aspects of the MHC and the serine-threonine  
340 kinases CaMK I, II and IV (Racioppi and Means, 2008). RBP meanwhile has been implicated  
341 in inflammatory processes associated with immune responses (Flower, 1996). Low stress-  
342 responding animals are often characterised as having improved health over those with a high  
343 response, and a major issue associated with sustained elevation of cortisol is a reduction in

344 immunocompetence and increased susceptibility to pathogens (Wendelaar Bonga, 1997).  
345 Some aspect of divergent immunological parameters between low and high stress responders  
346 thus appears to be controlled at the molecular level; divergence in gene expression,  
347 particularly that of proinflammatory genes, has been identified between stress coping styles  
348 (MacKenzie et al., 2009) and may reflect differences in circulating steroid concentrations.

349

350 Both GABA<sub>A</sub> and AVT genes were upregulated in LR fish, and changes in expression of both  
351 genes have been related to aggressive behaviour (Backström and Winberg, 2009; Miczek et  
352 al., 2003), a defining characteristic of stress coping styles and also of these stress lines, where  
353 LR trout are more aggressive (Pottinger and Carrick, 2001a). However, high levels of AVT  
354 tend to inhibit aggression in territorial teleosts such as rainbow trout (Backström and  
355 Winberg, 2009), so higher expression of AVT in LR trout is seemingly paradoxical and  
356 merits further investigation. Backström and Winberg (2009) suggest that the aggressive  
357 output influenced by AVT could be mediated by other systems, in particular the brain  
358 serotonergic system, and thus studies that evaluate serotonergic activity together with AVT  
359 concentration or expression may throw light on these observations.

360

361 Expression of POMC may not differ between subjects with different stress-coping abilities  
362 (Centeno et al., 2007), but rather physiological variation in the HPI axis may occur  
363 downstream during post-translational modification, or via differences in target tissue  
364 sensitivity, and this may indeed be the case for the HR and LR trout lines. Concentrations of  
365 adrenocorticotrophic hormone (ACTH) in the blood of HR and LR fish did not differ  
366 significantly during stress; instead, the responsiveness of the interrenal to ACTH differed  
367 between the lines (Pottinger and Carrick, 2001b), and a similar process may operate here.

368



369 *Physiology and boldness within the lines*

370 The results suggest that the distribution of bold and shy individuals within each line was not  
371 consistently influenced by the selection process despite evidence from earlier studies that the  
372 two lines differ consistently in certain key behavioural traits (Pottinger & Carrick, 2001;  
373 Øverli et al., 2005, 2007). Furthermore, within the HR line the existence of a behavioural  
374 syndrome was evident where boldness was significantly linked with activity levels,  
375 suggestive of risk-taking and risk-averse strategies in bold and shy fish respectively  
376 (Sneddon, 2003). Indeed the bold fish in this study were characterised by making more use of  
377 the available tank space and making less effort to avoid the object. In contrast, a clear  
378 behavioural syndrome was not apparent in the LR line. Behaviour of shyer fish within the LR  
379 line perhaps was not as well defined compared to natural populations (e.g. Wilson et al.,  
380 1993), which may reflect the generally more bold or proactive coping style exhibited by low  
381 stress-responding animals (Koolhaas et al., 1999). Alternatively, coping style theory predicts  
382 that proactive animals are more rigid in behaviour whereas reactive animals are flexible  
383 (Koolhaas et al., 1999), which could suggest they are able to draw on a greater pool of  
384 behaviours when reacting to environmental stimuli. These LR and HR trout may be  
385 exhibiting these same trends, where LR animals may simply have a less diverse or more  
386 limited behavioural repertoire. However, a particularly low sample size for consistently shy  
387 fish in the LR line, although originally expected considering previous theory regarding  
388 behaviour in LR animals, may limit the power to draw robust conclusions. Nonetheless  
389 previous studies have been unable to conclusively link novelty-induced boldness with stress  
390 physiology (e.g. Schjolden et al., 2005); our data indicate that this is due to both bold and shy  
391 phenotypes existing amongst low and high stress-responding groups.

392

393 The absence of a well-defined link between cortisol levels and boldness within the lines was  
394 surprising given previously observed correlations between the magnitude of the stress  
395 response and behaviour (Koolhaas et al., 1999; Øverli et al., 2005). Both boldness and  
396 shyness were represented within each selected line, and so the correlations between stress  
397 responsiveness and behaviour or boldness that have previously been reported (e.g. Øverli et  
398 al., 2007; Schjolden et al., 2005) are not always observed. One reason may be that if boldness  
399 is context-specific individual behaviour will vary dependent upon the situation (e.g. in  
400 familiar compared to unfamiliar environments; Schjolden et al., 2005). This would potentially  
401 confer adaptive advantages particularly in an inconsistent environment (Bell, 2007; Coleman  
402 and Wilson, 1998; Wilson and Stevens, 2005). Such variation may be elicited by the type or  
403 severity of the stressor or by familiarity with the test environment (Brelvi et al., 2008; Mislin  
404 and Ropartz, 1981; Schjolden et al., 2005). Contrasting behavioural responses observed  
405 between studies may additionally arise from variation in methodological approach to  
406 characterising boldness. Furthermore, Schjolden et al. (2005) could not find consistent  
407 differences in behavioural responses between HR and LR rainbow trout across several tests  
408 including the response of the subjects to a novel object, which may be a result of comparing  
409 average behaviours between the lines rather than characterising boldness within each line as  
410 in the present study. Thus whilst aggression, a defining component of coping styles and a  
411 putative element of boldness, may strongly and consistently correlate with HPI axis reactivity  
412 the same is not necessarily true of responses to novelty. It therefore seems apparent that  
413 boldness may not directly correlate with stress coping style, and future studies should explore  
414 the extent to which the stress response is linked with behavioural phenotype. However, there  
415 is a need for standardisation in protocol to determine the degree of boldness and which  
416 features of an individual's behavioural repertoire are dependent on or act congruously with  
417 hormonal stimulation under greater homeostatic threat.

418

419 Alternatively, the existence of bold and shy phenotypes within line instead of correlating with  
420 stress responsiveness suggests that coping style theory (Koolhaas et al., 1999) may simply  
421 not be true in all cases. Here, we provide novel data to suggest that divergent personality  
422 traits persist within a population or species irrespective of stress coping style. Experience,  
423 brought about by environmental or social influences, can shape an individual's behavioural  
424 strategy (Brown et al., 2007; Frost et al., 2007). Moreover, behavioural variation can occur  
425 within a group regardless of genetic background, and when environmental conditions are  
426 identical for each individual (Metcalf et al., 1989). With this in mind, it is not surprising that  
427 this study and other recent work have highlighted the complexity inherent in the genetic  
428 control of personalities (Korsten et al., 2010). Our data reinforce this, since, despite previous  
429 studies that identified different gene expression profiles between outbred rainbow trout with  
430 different behaviours (e.g. dominance, Sneddon et al., 2005; boldness, L.U. Sneddon, MS  
431 under review), no such divergence between bold and shy fish was uncovered in this study.  
432 Gene expression may vary between discrete regions of the brain (Bernier et al., 1999; Feldker  
433 et al., 2003; Larson et al., 2006), and can relate directly to behavioural differentiation  
434 (Greenwood et al., 2008), and thus a single measurement encompassing all brain regions  
435 could obscure more fine-scale differences in expression. Thus, whilst no difference in  
436 expression of the studied genes was found across the entire brain, that is not to say that bold  
437 and shy individuals express these genes in different localised areas of the brain: whilst  
438 differential expression of these genes between the stress lines was profound, variation  
439 amongst bold and shy groups may be more subtle. It is of course possible that the lines lack  
440 genetic diversity, or that different genes may be involved in the expression of bold/shy  
441 behaviour. However, the clear divergence in expression of some of the examined genes in a  
442 previous study (LU Sneddon, MS under review) suggests the latter not to be the case, but

443 does emphasise the complexity of bold and shy personalities in rainbow trout. Given that the  
444 expression of boldness was independent of selection line, it is likely that the genetic control  
445 of boldness may be unrelated to the controlling divergent elements of the selected stress  
446 response.

447

#### 448 *Conclusions and Implications*

449 The results of this study indicate a complex relationship between stress responsiveness and  
450 behaviour in the HR and LR lines of rainbow trout. Stress responsiveness is a heritable trait  
451 in trout (Pottinger and Carrick, 1999; Pottinger and Carrick, 2001a) and the present study  
452 demonstrated that divergence in stress responsiveness correlates with differential expression  
453 of six novel candidate genes with functions in relevant behaviour and physiology. However,  
454 contrary to our hypothesis, the physiological and gene expression responses evident in the  
455 selected HR and LR lines did not correlate with boldness or shyness, traits that were  
456 identified in substantial numbers within each line. This suggests that the adoption of these  
457 contrasting behavioural strategies may not be explained entirely by genetic background or  
458 stress coping style and may instead be influenced by external factors that should be  
459 considered in theoretical and empirical studies. Experience and environmental influences  
460 may cause quite distinct changes in behavioural responses throughout an animal's life history  
461 (Frost et al., 2007; Ruiz-Gomez et al., 2008), which may result in behavioural polymorphism  
462 even within coping styles. Therefore, it is important for future studies to take into account of  
463 how experience and external factors may mould boldness. This may explain why variation in  
464 these behavioural phenotypes persists in natural populations to ensure a proportion of  
465 individuals can adapt to and survive any perturbations.

466

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471

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635

636 **Table 1:** The definition and measurements recorded of the behaviours assessed during the  
 637 novel object tests in rainbow trout, *Oncorhynchus mykiss*.

Behaviour	Definition and measures
Within 5cm	The subject was within a delineated zone extending to 5cm around the object. Three measurements were taken: 1) <b>latency</b> , the time (s) taken to enter this zone for the first time; 2) <b>duration</b> , the total time (s) spent within this zone; 3) <b>frequency</b> , how often the subject entered this zone.
Within 10cm	The subject was within a delineated zone extending to 10cm around the object. Three measurements were taken: 1) <b>latency</b> , the time (s) taken to enter this zone for the first time; 2) <b>duration</b> , the total time (s) spent within this zone; 3) <b>frequency</b> , how often the subject entered this zone.
Passive	Inactivity; includes drifting, minor movements to maintain position within the tank, pivoting on its own axis and resting on the bottom of the tank, but excludes swimming. Three measurements of passive behaviour were recorded: 1) <b>latency</b> , the time taken (s) to begin displaying passive behaviour; 2) <b>duration</b> , the total time the subject spent (s) displaying passive behaviour; 3) <b>frequency</b> , how often the subject displayed passive behaviour.

638

639 **Table 2:** Genes (including abbreviations and known major functions) used in this study.  
640 Italicised genes showed differential expression between bold and shy rainbow trout,  
641 *Oncorhynchus mykiss*, in a previous microarray study (Sneddon et al. 2005; LU Sneddon, MS  
642 under review).

<b>Gene</b>	<b>Abbr.</b>	<b>Functions</b>
<i>Ependymin</i>	Epd	Memory/learning <sup>1</sup> ; Cold tolerance <sup>2</sup> ; Regeneration <sup>3</sup>
<i>γ-Aminobutyric acid A</i>	GABA <sub>A</sub>	Anxiety <sup>4</sup> ; Aggression <sup>5</sup> ; Memory <sup>4</sup>
<i>Calmodulin</i>	CaM	Calcium binding (Memory <sup>6</sup> ; Nerve growth <sup>6</sup> ; Immune system <sup>7</sup> )
<i>Major histocompatibility complex Class I</i>	MHC I	Immune system <sup>8</sup> ; Kin recognition <sup>8</sup>
<i>Haemoglobin α4 subunit</i>	Hbα4	Oxygen transport
(Arginine) vasotocin	AVT	ACTH secretion <sup>9</sup> ; Modulation of social and non-social behaviour <sup>9</sup>
Proopiomelanocortin	POMC	Stress response <sup>10</sup>
Retinol binding protein	RBP	Vitamin A transport <sup>11</sup> ; Stress/Immune response <sup>12</sup>

643  
644 <sup>1</sup>Shashoua (1991), <sup>2</sup>Tang *et al.* (1999), <sup>3</sup>Suárez-Castillo *et al.* (2004), <sup>4</sup>Kalueff and Nutt (1997),  
645 <sup>5</sup>Miczek *et al.* (2003), <sup>6</sup>Stevens (1983), <sup>7</sup>Racioppi and Means (2008), <sup>8</sup>Götze (1977), <sup>9</sup>Goodson and  
646 Bass (2001), <sup>10</sup>Winberg and LePage (1998), <sup>11</sup>Goodman (1980) <sup>12</sup>Flower (1996).

647

648 **Table 3:** Primer sequences for RT-PCR for eight genes implicated in behavioural responses,  
649 and for a reference gene (\*), including accession number (where primers were generated from  
650 a single sequence), and amplicon size and melting temperature,  $T_m$ . Primers were developed  
651 using Primer Express® 3.0 software, and were diluted to a working concentration of 10 pmol  
652  $\mu\text{l}^{-1}$ .

Gene Accession No.	Forward (5' – 3')	Reverse (5' – 3')	Size (bp)	$T_m$ (°C)
Ependymin NM_001124693	CTC ATG CTC ACG CTC TGG AA	CCA AAA ACA GCT CAA CCT GAT G	60	83
GABA <sub>A</sub> BT073523	CTC ATC CGA AAG CGA ATC CA	CAC ACT CTC GTC ACT GTA GG	156	81
Calmodulin	CCG GGA GGC TGA TAT CGA T	CGT CAT CAT CTG CAC AAA TTC TTC	64	81
MHC1	AGT CCC TCC CTC TGT GTT TCT G	TCG CGT GGC AGG TCA CT	62	62
POMC NM_001124718	AGC GCT ATG GAG GGT TCA TG	CAA CGT GAG CAG TGG TTT CTG	62	82
Hb $\alpha$ 4 BT074353	GAA GAA GCG CGG CAT CAC	TCG TCC ATG TGG CCA ACA	60	81
AVT DQ291141	ACC CAG CGG TCC TAT ATT ATG ATC	GGC ATG CTG AGG ACC AGA CT	62	81
RBP NM_001124278	GGA CAA TGT CGT CGC TCA GTT	CGT GGG CAG TTG CAG TCA	62	80
<i>GAPDH</i> * AF027130	TGT TGT GTC TTC TGA CTT CAT TGG	CCA GCG CCA GCA TCA AA	60	81

653

654 **Table 4:** Relative expression (normalised to a control gene, GAPDH; RE) and *p* values for  
 655 the comparisons of expression of eight genes, selected for implicated roles in boldness,  
 656 between bold and shy or between high (HR) and low (LR) stress responsive rainbow trout,  
 657 *Oncorhynchus mykiss*. Asterisks denote significant difference between the groups (REST, in  
 658 Pfaffl et al., 2002): \*,  $p \leq 0.05$ ; \*\*,  $p \leq 0.01$ ; \*\*\*,  $p \leq 0.001$ .

659

	<b>Boldness</b>		<b>Stress Line</b>	
	<b>RE</b>	<b><i>p</i></b>	<b>RE</b>	<b><i>p</i></b>
Epd	0.82	0.52	2.63	***
MHC I	0.69	0.46	5.92	***
CaM	0.75	0.31	2.09	**
GABA <sub>A</sub>	1.02	0.96	1.93	**
POMC	1.03	0.98	0.76	0.70
Hb $\alpha$ 4	0.94	0.88	0.99	0.98
AVT	0.90	0.72	1.89	*
RBP	0.80	0.42	2.01	**

660

661 **Figure 1:** Median plasma cortisol ( $\text{ng ml}^{-1}$ ;  $\pm 90^{\text{th}}$  and  $10^{\text{th}}$  percentiles) in unstressed  
662 (A;  $n=36$ ) and stressed (B;  $n=34$ ) rainbow trout, *Oncorhynchus mykiss*. In each case,  
663 comparisons were made between high (HR) and low (LR) stress responsive lines, between  
664 individuals determined bold and shy by a novel object test, and by sex. Asterisks denote  
665 significant difference between groups (Mann-Whitney test): \*\*,  $p<0.01$ ; \*\*\*,  $p<0.001$ .

666

667 **Figure 2:** Frequency of individual trials in which individual rainbow trout, *Oncorhynchus*  
668 *mykiss* ( $n=154$ ), either (A) as a whole ( $n=154$ ) or separated into (B) the HR ( $n=88$ ) or (C) the  
669 LR ( $n=66$ ) stress lines, approached within 5 cm of a novel object within a certain period of  
670 time ( $n=154$ ).

671

672 **Figure 3:** Percentage of rainbow trout, *Oncorhynchus mykiss*, showing consistently bold  
673 (white) or shy (grey) behaviour in lines bred for high (HR;  $n=24$ ) and low (LR;  $n=17$ ) cortisol  
674 response to stress, and in both groups combined.

675

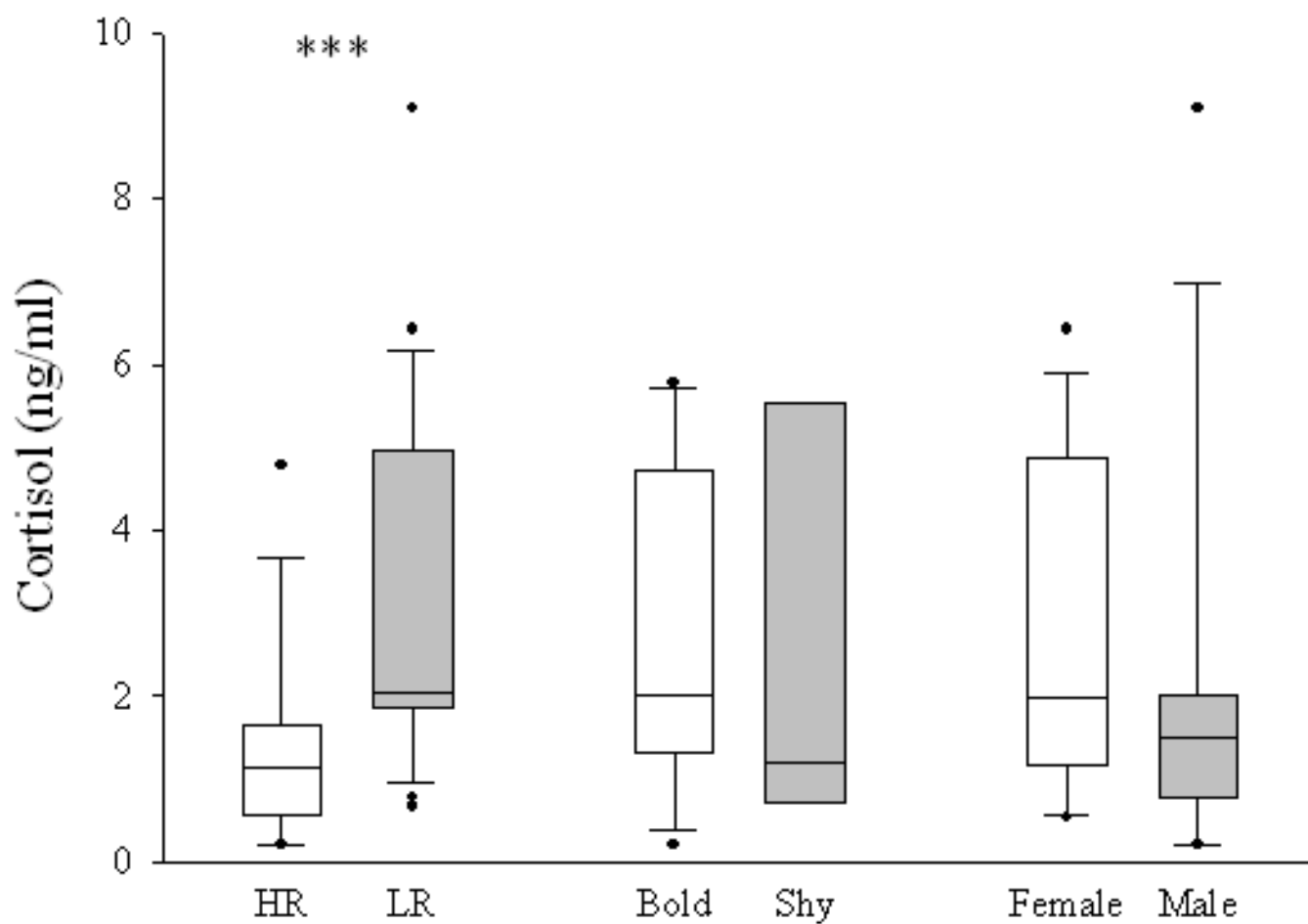
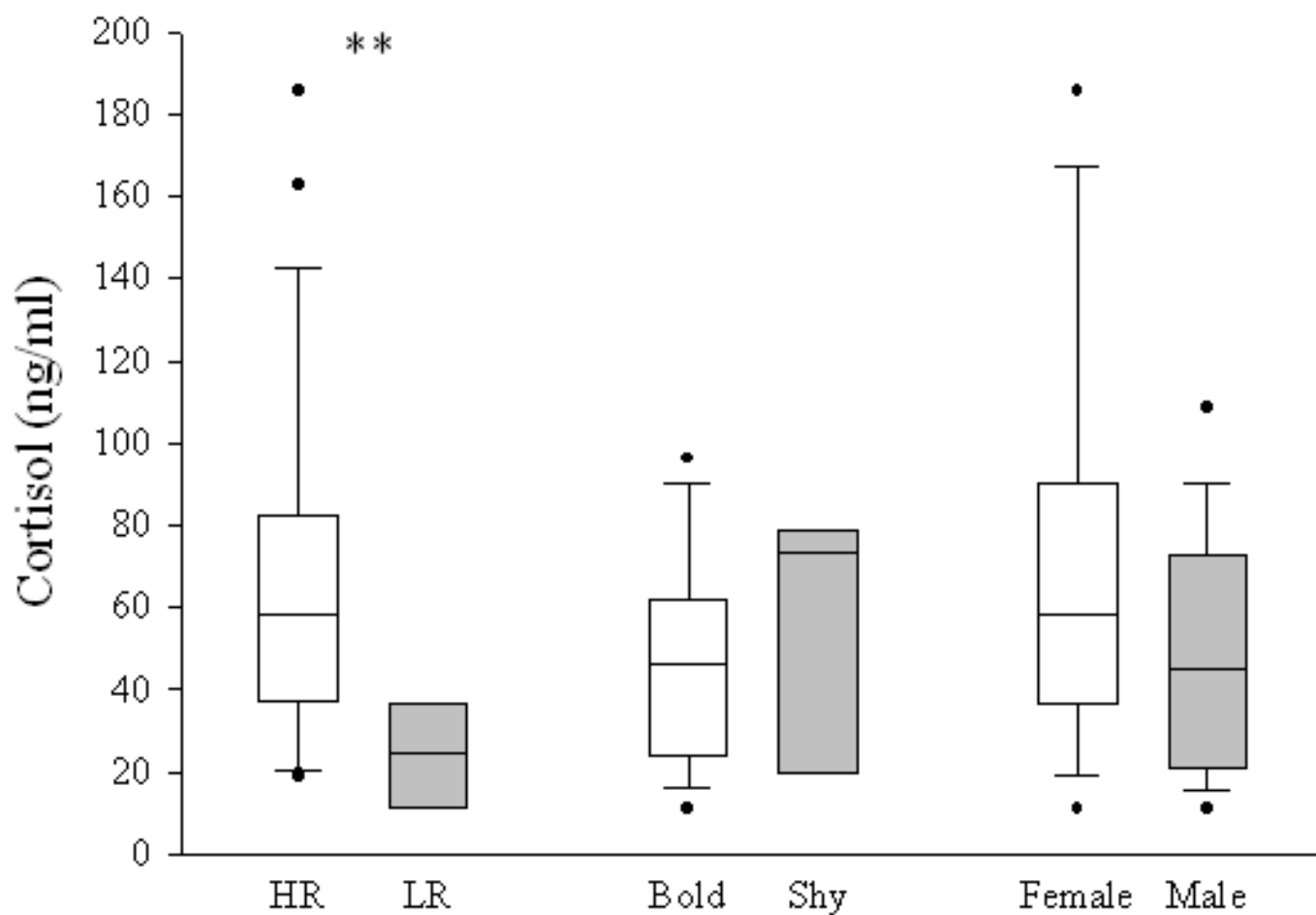
676 **Figure 4:** Median ( $\pm 90^{\text{th}}$  and  $10^{\text{th}}$  percentiles) (A) duration of passive behaviour and (B)  
677 frequency of approaching to within 10 cm of a novel object for bold and shy rainbow trout,  
678 *Oncorhynchus mykiss*, within the HR (white;  $n$  for bold=15,  $n$  for shy=9) and LR (grey;  $n$  for  
679 bold=13,  $n$  for shy=4) stress lines. Asterisks denote significant difference between groups  
680 (Mann-Whitney test): \*\*,  $p<0.01$ ; \*\*\*,  $p<0.001$ .

681

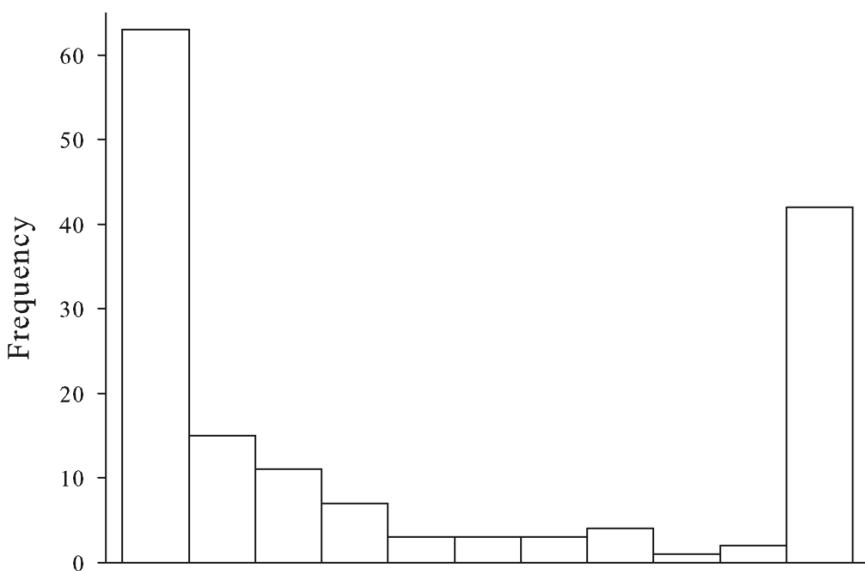
682 **Figure 5:** Median relative expression ( $\Delta Ct_{\text{reference}} - \Delta Ct_{\text{target}}$ ;  $\pm 90^{\text{th}}$  and  $10^{\text{th}}$  percentiles) of  
683 eight candidate genes compared between (A) bold ( $n=28$ ; white) and shy ( $n=13$ ; grey), and  
684 (B) high (HR; white;  $n=22-25$ ) and low (LR; grey;  $n=17$ ) stress responding rainbow trout,  
685 *Oncorhynchus mykiss*. Epd = Ependymin; MHCI = major histocompatibility complex I;



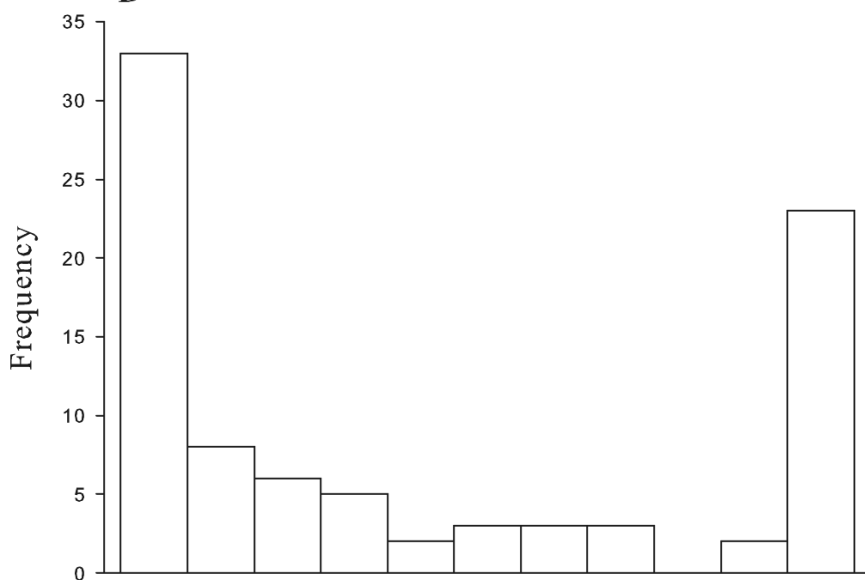
686 CaM = calmodulin; GABA =  $\gamma$ -Aminobutyric acid A; POMC = proopiomelanocortin;  
687 Hba4 = haemoglobin  $\alpha$ 4 subunit; AVT = vasotocin; RBP = retinol binding protein. Asterisks  
688 denote significant difference between the groups (REST, in Pfaffl et al., 2002): \*,  $p \leq 0.05$ ;  
689 \*\*,  $p \leq 0.01$ ; \*\*\*,  $p \leq 0.001$ .

**A****B**

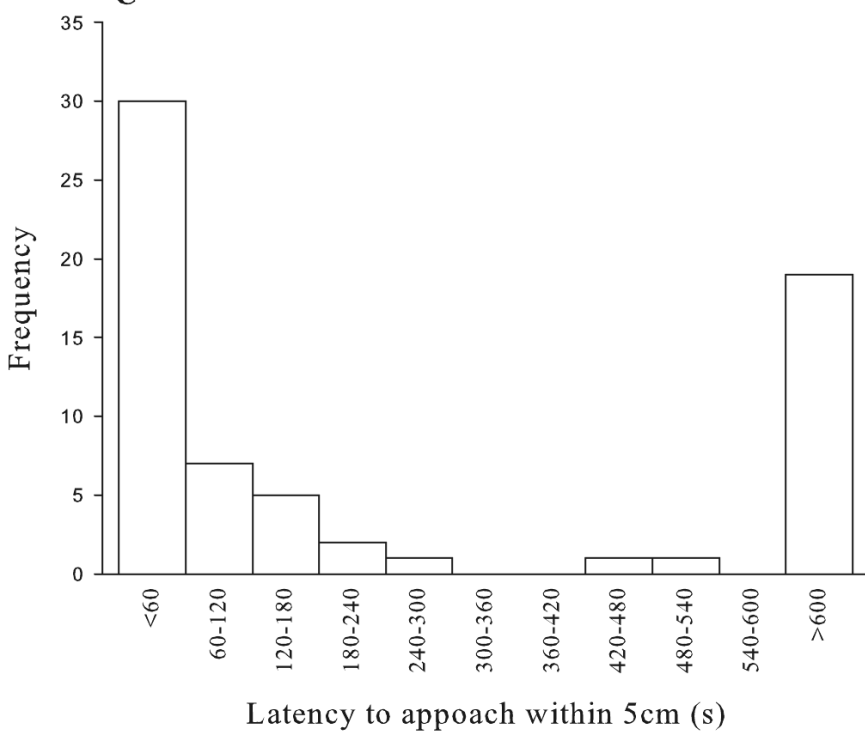
5-Figure 2  
A



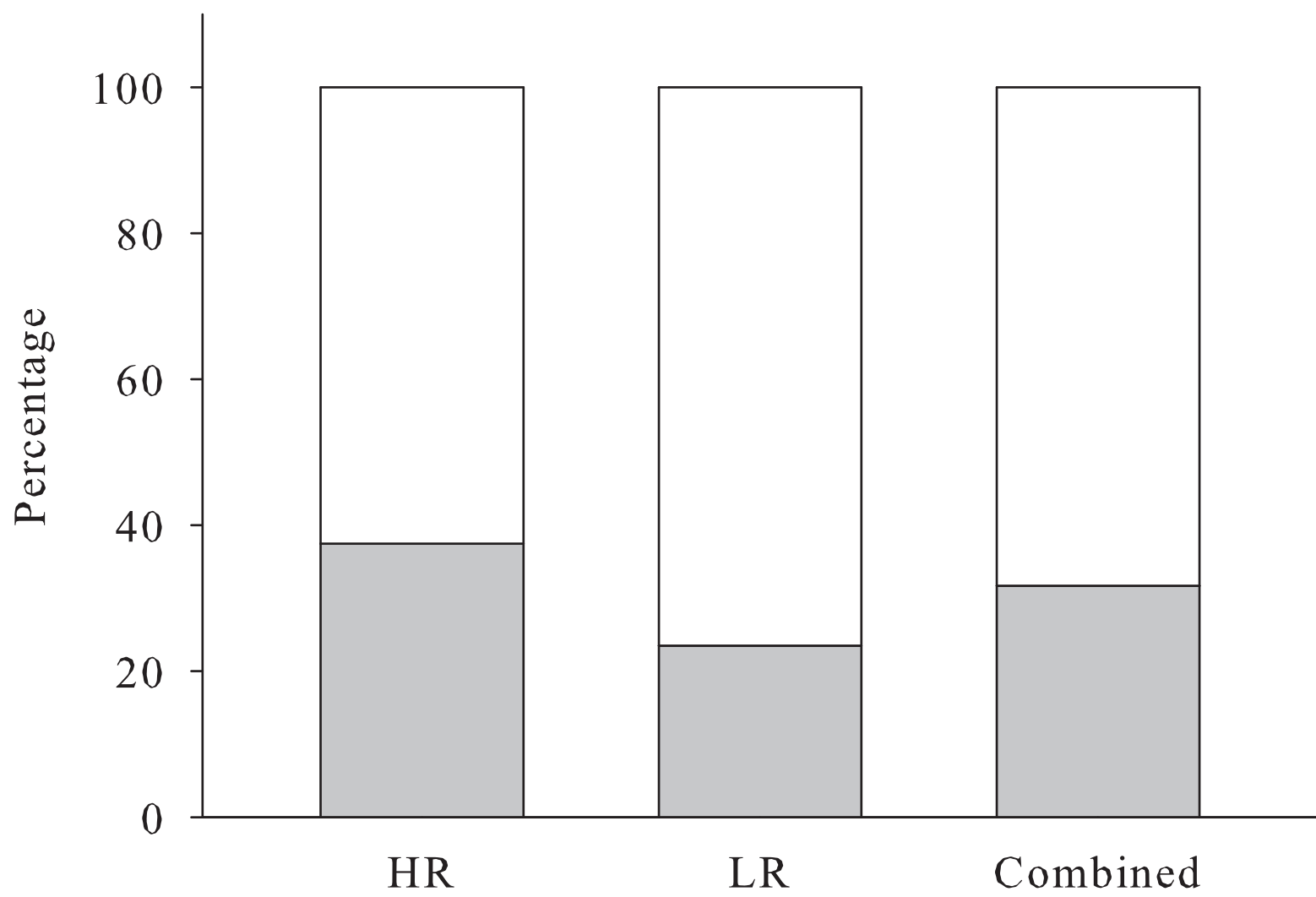
B



C



5-Figure 3



5-Figure 4

