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## Liver fibrosis markers and all cause mortality in people with type 2 diabetes

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1 **TITLE PAGE**

2

3 **Title:** Liver fibrosis markers and all cause mortality in people with type 2 diabetes: a  
4 population based study (The Ayrshire Diabetes Outcomes Cohort (ADOC) Study)

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71 current study are not publicly available due to privacy & ethical restrictions but would be  
72 available from the corresponding author on reasonable request.

73 **Abbreviations list:**

74 ALT – Alanine aminotransferase

75 APRI - AST to platelet ratio index

76 AST – Aspartate aminotransferase

77 BMI – Body mass index

78 CABG - Coronary artery bypass graft

79 CKD – Chronic kidney disease  
80 eGFR – Estimated glomerular filtration rate  
81 ELF - Enhanced liver fibrosis score  
82 FIB4 - Fibrosis-4 score  
83 HbA1c - Haemoglobin A1c  
84 NAFLD – Non-alcoholic fatty liver disease  
85 NFS - NAFLD fibrosis score  
86 NICE - National Institute for Health and Care Excellence  
87 PVD - Peripheral vascular disease  
88 TIA - Transient ischaemic attack  
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103 **ABSTRACT**

104 **Aims:** International guidelines recommend non-invasive screening for non-alcoholic fatty  
105 liver disease (NAFLD) in people with type 2 diabetes mellitus. Several readily available  
106 biomarker scores have been developed to estimate the risk of liver fibrosis. These include  
107 the Fibrosis-4 score (FIB4), NAFLD fibrosis score (NFS), and AST to platelet ratio index  
108 (APRI). In a cohort of individuals with type 2 diabetes, we aimed to describe the  
109 distribution of these scores and the association between risk categories and all-cause  
110 mortality.

111 **Materials and Methods:** This was a retrospective cohort study of 12,589 patients with  
112 follow-up from January 2012 until November 2021. The cut-points used to identify low risk  
113 were: FIB4 <1.3 if age <65 years or <2.0 if age ≥65 years; NFS <-1.455 if age <65 years  
114 or <0.12 if age ≥65 years; APRI < 1 (independent of age). High risk cut points were FIB4  
115 >2.67; NFS >0.676; APRI ≥1 (all independent of age). Multivariable Cox regression  
116 analysis was performed to assess the association between liver fibrosis scores and all-  
117 cause mortality.

118 **Results:** Mean±SD age was 65.2±12.1 years. 54.5% were men and median (IQR)  
119 diabetes duration was 5.8 (2.8-9.3) years. Prevalence of high risk categories was 6.1% for  
120 FIB4, 23.5% for NFS and 1.6% for APRI. During median follow-up of 9.8 years, 3925  
121 patients (31.1%) died resulting in a crude mortality rate of 40.4 per 1000 patient-years.  
122 Overall adjusted all-cause mortality hazard ratios (95% CIs) in the high compared with low  
123 fibrosis risk groups were 3.69 (1.95-2.75) for FIB4, 2.32 (2.88-4.70) for NFS, and 3.92  
124 (2.88-5.34) for APRI. Stratified adjusted all-cause mortality hazard ratios for individuals  
125 under 65 years and people over 65 years of age at cohort entry were 3.89 (2.99-5.05) and  
126 1.44 (1.28-1.61) for FIB4, 2.50 (1.89-3.18) and 1.35 (1.24-1.48) for NFS and 3.74 (2.73-  
127 5.14) and 1.64 (1.24-2.17) for APRI.

128 **Conclusions:** All three fibrosis risk scores were positively associated with all-cause  
129 mortality in people with type 2 diabetes, with higher relative risks in younger than older  
130 people. Effective interventions are required to minimise excess mortality in people at high  
131 risk of liver fibrosis.

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## 147 **Introduction**

148 Non-alcoholic fatty liver disease (NAFLD) is characterised by fat deposition in the liver in  
149 the absence of excessive alcohol consumption or other causes of liver disease <sup>1</sup> and is  
150 considered the hepatic manifestation of the metabolic syndrome <sup>2</sup>. In developed countries  
151 NAFLD is now the most common aetiology of chronic liver disease, affecting an estimated  
152 one-third of all adults and up to 70% of those with type 2 diabetes <sup>2,3</sup>. People with type 2  
153 diabetes have a higher prevalence of advanced fibrosis and subsequent liver related  
154 complications of NAFLD than people without diabetes <sup>3-5</sup>. Additionally, and importantly,  
155 people with type 2 diabetes and NAFLD also have an increased risk of cardiovascular  
156 morbidity and mortality that is independent of conventional cardiovascular risk factors,  
157 compared to people with type 2 diabetes who do not have NAFLD <sup>6-8</sup>.

158 The assessment of hepatic fibrosis stage is the cornerstone of current diagnostic and  
159 prognostic assessment of NAFLD, given its position as the strongest predictor for long-  
160 term liver outcomes <sup>9,10</sup>. Whilst liver biopsy remains the gold standard method for staging  
161 the degree of fibrosis, it is limited by cost, sampling variability, and risk of complications.  
162 Consequently, liver biopsy is not feasible in a condition with such a high prevalence in the  
163 population <sup>11</sup>. Several non-invasive risk scores have been developed to calculate the  
164 likelihood of liver fibrosis <sup>12</sup>, and these are recommended by international guidelines to  
165 screen for severe NAFLD in patients with type 2 diabetes <sup>13</sup>. Additionally, it is likely that the  
166 use of non-invasive liver fibrosis score thresholds in primary care, to identify patients who  
167 are eligible for vibration-controlled transient elastography of the liver, is likely to grow in the  
168 near future <sup>14,15</sup>. Of the available liver fibrosis biomarker scores, the Fibrosis-4 score  
169 (FIB4) <sup>16</sup> is readily available and recommended as the first line screening tool. However  
170 there are several other similar simple scores such as the Enhanced Liver Fibrosis Score  
171 (ELF™) (that is not commonly used despite NICE Guidelines in the UK recommending its  
172 use) <sup>17</sup>, the NAFLD fibrosis score (NFS), and the AST to platelet ratio index (APRI) <sup>18-20</sup>.

173 In addition to their use in risk stratification for fibrosis the biomarker scores are also  
174 positively associated with likelihood of progression to cirrhosis and end stage liver disease,  
175 although their ability to predict overall mortality is less clear, particularly in patients with  
176 type 2 diabetes <sup>4,21–23</sup>. In this study, in addition to describing the distribution of FIB4, NFS  
177 and APRI (ELF™ scores were not available) in a cohort of individuals with type 2 diabetes,  
178 we sought to describe the association between the risk score categories and all-cause  
179 mortality and compare the strength of the association between the different scores and all-  
180 cause mortality.

181

## 182 **Methods and materials.**

183 We performed a retrospective cohort study of patients identified from electronic primary  
184 care records for adults  $\geq 18$  years of age in 45 (out of 53) General Practices in the  
185 Scottish region of Ayrshire & Arran (covering around 81% of a population of approximately  
186 370,000). Data were extracted for all 13,561 patients with type 2 diabetes defined using  
187 read codes <sup>24</sup> who were registered with a participating practice on 1st January 2012. 214  
188 people with a diagnosis of alcoholic liver disease or viral hepatitis at baseline or during  
189 follow-up were excluded (**Figure 1**).

190 Data were available on age, sex, date of diabetes diagnosis, smoking status and presence  
191 of co-morbidities (defined using read codes for mental illness, stroke/transient ischaemic  
192 attack (TIA), peripheral vascular disease (PVD), percutaneous coronary intervention  
193 (PCI)/coronary artery bypass graft (CABG), retinopathy, liver and colon cancer), factors in  
194 the FIB4, NFS and APRI fibrosis scores (body mass index (BMI), aspartate  
195 aminotransferase (AST), alanine aminotransferase (ALT), platelet count & albumin levels),  
196 HbA1c, estimated glomerular filtration rate (eGFR), lipid levels and prescribing of statins  
197 and drugs used in diabetes. Abnormal eGFR (estimated glomerular filtration rate) was



198 defined at an eGFR<60 ml/min/1.73m<sup>2</sup>. eGFR was treated as a categorical variable due to  
199 because a numerical value for eGFR is only provided by the laboratory if it is under  
200 60mL/min/1.73m<sup>2</sup> otherwise it is reported as >=60 mL/min/1.73m<sup>2</sup>. Measurements closest  
201 to cohort entry date were used. In sensitivity analysis a limit of measurements within one  
202 year of baseline was used.

203 Follow-up was measured in days from cohort entry on 1<sup>st</sup> January 2012 to the earliest of  
204 date of death, emigration or 1st November 2021.

205 The project was registered with the Clinical Governance Department, NHS Ayrshire and  
206 Arran, and Caldicott Guardian approval was obtained from each General Practice. As all  
207 data were anonymized, individual patient consent was not required.

#### 208 **Missing data**

209 758 (5.7%) patients were excluded due to incomplete data. There were statistically  
210 significant differences between people with incomplete and complete data for only five  
211 baseline characteristics; duration of DM (median 6.7 yrs vs. 5.8 yrs), albumin (mean 4.3  
212 vs. 4.2 g/l), prevalence of stroke/TIA (14.1% vs. 9.7%); retinopathy (38.8% vs. 44.5%) and  
213 abnormal eGFR (30.5% vs. 40.8%). There was no significant difference in prevalence of  
214 diabetes mellitus between practices that did and did not provide data (4.6% vs. 4.8%  $\chi^2=$   
215 3.38,  $p = 0.07$ ).

#### 216 **Liver fibrosis score calculations.**

217 FIB4 (Fibrosis 4 score), NFS (NAFLD fibrosis score) and APRI (AST to platelet ratio  
218 index), were calculated <sup>16,18,19</sup> using data measured as close to cohort entry as possible.  
219 The three scores were categorized in low, intermediate and high groups at recommended  
220 cut-off values <sup>25,26</sup>: The cut-points indicating low probability of advanced liver fibrosis were:  
221 FIB4 <1.3 if age <65 years or <2.0 if age >=65 years; NFS <-1.455 if age <65 years or  
222 <0.12 if age >=65 years; APRI < 1 (independent of age). The upper cut-points (indicating

223 high probability of advanced liver fibrosis) were all independent of age: FIB4 >2.67; NFS  
224 >0.676; APRI >=1 (21).

## 225 **Statistical analysis**

226 Continuous data were described as means (standard deviation, (SD)) or as medians  
227 (interquartile range [IQR]). Baseline characteristics of participants with low, intermediate  
228 and high FIB4 and NFS were compared by analysis of variance (ANOVA) or Kruskal-  
229 Wallis tests (with post-hoc Bonferroni correction for multiple comparisons) and by t-test or  
230 Mann-Whitney test for the two APRI categories. Categorical characteristics were  
231 compared across fibrosis risk categories by chi-square (or Fisher's exact test when  
232 appropriate) again with post-hoc Bonferroni correction.

233 Kaplan-Meier curves and log rank tests were used to compare cumulative hazard of crude  
234 all-cause mortality during follow-up between individuals with low, intermediate and high  
235 FIB4/NFS scores and between low and high APRI scores.

236 Multivariable Cox regression analysis was performed to assess the association of liver  
237 fibrosis scores with all-cause mortality after adjusting for confounding variables. All  
238 analyses were adjusted for the following covariates: age, sex, diabetes duration, smoking,  
239 presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins  
240 and glucose-lowering drugs. AST and ALT were included as covariates in a sensitivity  
241 analysis. Age, diabetes duration, cholesterol and HbA1c were treated as continuous  
242 variables, with the others treated as categorical variables. Both analyses with continuous  
243 standardised scores (estimated for increments in 1-standard deviation (SD) of each  
244 fibrosis score) and with categorical scores (with the low risk group as the reference  
245 category) were undertaken. These results are presented as hazard ratios (HRs) for Cox  
246 regression models with their respective 95% confidence intervals (CIs). The proportional

247 hazards assumption was checked using log minus log cumulative survival plots which  
248 demonstrated that the assumption was not violated.

249 Potential interactions were tested between each liver fibrosis score and age (<65 vs. ≥65  
250 years old), sex, diabetes duration (<10 vs. ≥10 years long), presence of co-morbidities at  
251 baseline, and glycaemic control (HbA1c <58.5 mmol/mol (<7.5%) vs. ≥58.5 mmol/mol  
252 (≥7.5%)) and all cause mortality <sup>27</sup>.

253 Interaction terms were added for the above variables to the Cox regression on the entire  
254 dataset. The statistically significant interactions between fibrosis risk category and age  
255 stratified at 65 years were retained in the model and additional stratified Cox regression  
256 models were run for each age stratum. In all analyses a 2-tailed probability value <0.05  
257 was considered statistically significant.

258 The relative ability of the different biomarker scores to discriminate between survival and  
259 mortality was assessed using the area under receiving operator characteristic curves  
260 (AUROCs).

261 Analyses were performed with SPSS version 17.0 (SPSS Inc., Chicago, Il., USA).

262

## 263 **Results**

### 264 **Liver fibrosis scores and baseline characteristics.**

265 A total of 12,589 people with complete data were included in the analysis. **Table 1** gives  
266 the baseline characteristics of the cohort stratified by FIB4, NFS and APRI categories. The  
267 median (IQR) time from measurement of each of the liver fibrosis biomarkers to cohort  
268 entry was 8 (3 to 20) months for FIB4, 10 (5 to 22) for NFS & 7 (3 to 18) for APRI. Median  
269 (IQR) values of FIB4, NFS and APRI were 1.219 (0.883 to 1.690), -0.207 (-1.043 to 0.618)  
270 and 0.215 (0.158 to .303) respectively. Prevalence of high risk categories was 6.1% for

271 FIB4, 23.5% for NFS and 1.6% for APRI. **Figure 2** demonstrates the overlap of the various  
272 categories as a Venn diagram. Of the 2964 cases in the NFS high category, 2266 (76%)  
273 are not in the high category for either FIB4 or APRI. Of the 762 cases in the FIB4 high  
274 category 694 (91%) are also in the NFS high category. Of the 196 cases in the APRI high  
275 category 141 (72%) are in both the FIB4 and NFS high categories.

### 276 **Mortality during follow-up**

277 During a median follow-up of 9.8 years (total 97055 patient-years), 3925 patients (31.1%  
278 of the cohort) died and crude mortality was 40.4 per 1000 person-years. Numbers of  
279 deaths, crude all-cause mortality rate by fibrosis score category and the multivariable  
280 adjusted all-cause mortality ratio by fibrosis score category are given in **Table 2**. Further  
281 adjustment for ALT and AST had little effect on the HRs for each of the fibrosis score  
282 categories (see **Supplementary Table 1**). Mortality was higher in the high-risk fibrosis  
283 groups than the low-risk fibrosis groups for each score, Kaplan Meier cumulative mortality  
284 curves are shown in **Figure 3**.

285 There was a significant interaction between liver fibrosis score categories and age (<65 vs.  
286 ≥65 years old) for all cause mortality (**Figure 4**). There was no evidence of interactions  
287 with sex, duration of diabetes, glycaemic control or co-morbidities (**Figure 4**). The hazard  
288 ratios for mortality for the high compared to low fibrosis score categories were significantly  
289 higher for people aged under 65 years of age than for people ≥65 years of age for all three  
290 fibrosis scores (**Table 3**). As for the overall analysis, further adjustment for AST and ALT  
291 did not make major changes to these results (**Supplementary Table 2**). Additionally,  
292 **Supplementary Table 3**, shows the hazard ratios for mortality after further adjustment for  
293 two thresholds of AST/ALT ratios (>0.8 and >1.0). Increased risk of all cause mortality was  
294 observed for ratios above both these thresholds (>0.8 and >1.0) 1.57 (1.38-1.78) and 1.76

295 (1.56-2.00) respectively, both  $p < 0.001$ , compared to people with ratios below the relevant  
296 threshold.

297 **Supplementary Table 4** shows the hazard ratios for mortality after adjustment for number  
298 of high risk categorisations by the three scores NFS, FIB4 & APRI. There were 3053  
299 cases. 76% (2325) were categorised as high risk by only one score, 19% (587) were  
300 categorised as high risk by two scores, 5% (141) were categorised as high risk by three  
301 scores.

302 Increased risk of mortality was observed in those categorised as high risk by two or three  
303 scores compared to those categorised as high risk by only one score, (1.95 (1.32-2.90)  
304  $p < 0.01$  and 2.65 (1.83-3.83)  $p < 0.001$ , respectively).

305 In the comparison of discrimination between mortality and survival for the different scores  
306 the FIB4 fibrosis score outperformed NFS (AUROC 0.667 vs. 0.650;  $p < 0.05$ ). Both FIB4  
307 and NFS performed better than the APRI score (AUROC 0.486;  $p < 0.05$ ).

### 308 **Sensitivity analysis**

309 Sensitivity analysis among the subset of 7556 (60%) of patients who had fibrosis scores  
310 calculated within a year of cohort entry showed slightly higher mortality rates compared to  
311 the total population but no substantive difference in crude and multivariable-adjusted  
312 hazard ratios for mortality for high compared to lower risk scores. (**Supplementary Table**  
313 **5**).

314

### 315 **Discussion**

316 In this study we have described the distribution of three fibrosis scores, FIB4, NFS and  
317 APRI and their association with all-cause mortality in 12,589 individuals with type 2  
318 diabetes in Ayrshire and Arran in Scotland, UK. We have shown that there is increased all-

319 cause mortality for the highest compared to the lowest categories of all three fibrosis  
320 scores with similar values for FIB4 and APRI and lower values for NFS after adjustment for  
321 age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR,  
322 HbA1c, cholesterol, prescription of statins and glucose-lowering drugs. We also  
323 demonstrated significantly higher hazard ratios for all cause mortality associated with  
324 higher fibrosis scores for individuals under 65 years of age compared to  $\geq 65$  year olds.  
325 Patients categorised as high risk by two or three of the scores had a significantly higher  
326 hazard ratio for all cause mortality compared with those categorised as high risk by only  
327 one score.

328 The strengths of this study include its large population of a well-defined group of patients  
329 with clinical and biochemical variables drawn directly from primary care electronic patient  
330 records. We believe is the largest study to date in patients specifically with type 2 diabetes  
331 comparing the distribution of the different risk scores and describing their association with  
332 all-cause mortality. Prevalence of high-risk fibrosis scores in our population ranged  
333 between 1.6% and 23.5% depending on the score. In a US study of 501 people with type 2  
334 diabetes  $\geq 50$  years of age who received non-invasive assessment of fibrosis using  
335 magnetic resonance elastography and vibration-controlled transient elastography, the  
336 prevalence of NAFLD, advanced fibrosis and cirrhosis was 65%, 14% and 6%,  
337 respectively <sup>28</sup>. The American Association of Clinical Endocrinologists guidelines  
338 recommend non-invasive screening for liver fibrosis in all patients with type 2 diabetes <sup>13</sup>, it  
339 is imperative these risk scores are validated specifically in this cohort of patients,  
340 regardless of whether they are known to have NAFLD. The novel data presented in this  
341 study is particularly important considering the low prevalence of type 2 diabetes in other  
342 studies of liver fibrosis <sup>4,21</sup>.

343 There was a minimal amount of missing data and only a small proportion (5.7%) of the  
344 eligible population were excluded from the analysis as a consequence and so the potential

345 for bias is limited. Our sensitivity analysis restricted to of a subset of 7556 (60%) of  
346 patients who had data to calculate fibrosis scores within a year of baseline demonstrated  
347 similar estimates of crude or multivariate-adjusted mortality to those reported in the overall  
348 analysis.

349 There are some limitations inherent within our study design. Whilst we excluded patients  
350 with a diagnosis of liver disease attributed to alcohol or viral hepatitis, it is possible that  
351 some people with other risk factors for liver disease were included. Accurate estimates of  
352 alcohol consumption are not available in the electronic patient record and NAFLD is not  
353 reliably coded in primary care <sup>31</sup>. It is therefore not possible to ensure accurate diagnoses  
354 of NAFLD, a diagnosis of exclusion of other liver diseases in our population, in which there  
355 is a relatively high prevalence of alcohol use and a non-trivial prevalence of hepatitis C  
356 <sup>29,30</sup>. We therefore took the pragmatic approach to compare fibrosis risk scores and their  
357 association with mortality regardless of presence or type of liver disease in this population  
358 of people with type 2 diabetes.

359 Several additional factors may limit our study. Due to the nature of the data, the cause of  
360 death was not available. Liver and cardiovascular related events have previously been  
361 identified as the major contributors to excess mortality in patients with NAFLD <sup>3</sup>. NAFLD is  
362 a risk factor for CVD, and probably also CKD <sup>7,8,32</sup>, independent of established cardio-  
363 metabolic risk factors, such as obesity, hypertension and type 2 diabetes. Unfortunately,  
364 data on antihypertensive medication were not available and, as these medications are  
365 associated with a survival benefit, their use may represent an unmeasured confounding  
366 variable in our analyses if use differs by fibrosis score. Finally, the majority of the patients  
367 in this cohort are of white European ethnicity, reflecting the characteristics of the local  
368 population. This will limit extrapolation of our results to other regions that have a more  
369 ethnically diverse population.

370 Other non-invasive risk scores are used for fibrosis risk stratification such as the BARD  
371 score and AST/ALT ratio<sup>26,33,34</sup>. We did not apply the BARD score as it consists of  
372 diabetes as well as AST, ALT and BMI, the same risk factors that are included in the NFS  
373 score. The inclusion of diabetes status within the BARD and NFS scoring systems  
374 increases the chances of an individual with diabetes having a score that identifies them as  
375 high risk. This is illustrated by our findings that the proportion of people in the high risk  
376 category was considerably higher for the NFS compared to the FIB4 or APRI scores and  
377 only 24% of people with a high NFS risk score had a high FIB4 or a high APRI score.

378 As non-invasive scoring systems can reliably exclude advanced fibrosis in patients with  
379 NAFLD, they can therefore provide an initial assessment of liver fibrosis <sup>18,35,36</sup>. Several  
380 studies have now validated their use in large populations of patients with NAFLD <sup>36–38</sup> and  
381 FIB4 has recently been recommended by the American Association of Clinical  
382 Endocrinologists as the first line screening tool in patients with type 2 diabetes <sup>13</sup> given that  
383 it has been most extensively validated <sup>21,39,40</sup>. Our results confirm previous findings of  
384 associations between higher values of all three scores and all-cause mortality <sup>4,21,36</sup>  
385 specifically in people with type 2 diabetes and also showed that the FIB4 score offered  
386 better discrimination between mortality and survival than the NFS or APRI score.

387 Our finding of higher relative mortality for people under 65 years of age with high risk  
388 fibrosis risk scores compared to older people has not been described before and may be  
389 explained by several factors. It partly represents the lower absolute risk of mortality in  
390 younger patients. However it may also reflect age-related changes in the deposition of fat  
391 in the liver, compared with visceral and intramuscular fat compartments <sup>41</sup>. It has been  
392 suggested that there is an age-related change in the kinetics of free fatty acids, leading to  
393 increased visceral adiposity relative to hepatic steatosis <sup>42</sup>. It is notable however that FIB-4  
394 and NFS have demonstrated poor diagnostic performance in patients under 35 years of



395 age and further research is needed to identify alternative forms of non-invasive fibrosis  
396 assessment in the increasing numbers of young people with type 2 diabetes <sup>25</sup>.

397 The ability to better predict histological stage of liver disease and mortality risk using non-  
398 invasive methods may be helpful in the management of patients. More intensive treatment  
399 strategies aimed at reducing cardiovascular, renal and liver related morbidity and mortality  
400 may be appropriate in high-risk cohorts. Additionally, people categorised as low risk, may  
401 need fewer investigations such as vibration-controlled transient elastography and  
402 ultimately liver biopsy, even if they have abnormal liver function tests and no other obvious  
403 causes of liver disease.

404 The number of patients proceeding to further assessment if current guidelines were  
405 followed in our cohort however is high, with around 23% of patients having intermediate or  
406 high risk FIB4 scores. Presently, to refine this process of identifying risk of liver disease in  
407 patients with type 2 diabetes the American Association of Clinical Endocrinologists  
408 suggest that a two step process is used combining FIB4 with a further non-invasive test  
409 such as vibration-controlled transient elastography or the enhanced liver fibrosis test  
410 (ELF™). ELF™ is a proprietary test consisting of a combination of biomarkers and is  
411 recommended by NICE in the United Kingdom for the assessment of patients with  
412 suspected NAFLD <sup>43</sup>. In combination with FIB4, ELF™ can help stratify indeterminate risk  
413 patients, increasing the detection of advanced fibrosis <sup>20,44</sup>. ELF™ demonstrates good  
414 predictive values <sup>43,45</sup> but is not available routinely in many areas because of the cost and  
415 current laboratory infrastructure. Vibration-controlled transient elastography has a high  
416 negative predictive value for advanced fibrosis in patients with NAFLD <sup>46</sup>, but gives  
417 unreliable results in up to 20% of patients, particularly those with a high body mass index  
418 <sup>47</sup>. Viewed in the context of our results in patients with type 2 diabetes these limitations  
419 highlight the need for further research to improve stratification of intermediate risk groups,

420 and the use of biomarker scores, combined with vibration-controlled transient  
421 elastography, to inform appropriate referrals to secondary care hepatology services.

422 *Conclusion*

423 This study shows that, in a large cohort of patients with type 2 diabetes, classification into  
424 higher liver fibrosis risk score strata is associated with higher all-cause mortality. We have  
425 also identified a significantly higher increased relative risk of mortality in individuals under  
426 65 years classified as high risk compared with those over 65 years of age. Given the large  
427 number of patients categorised as intermediate or high risk further research is needed on  
428 the optimal implementation and application of these risk stratification tools, (particularly  
429 when combined with vibration-controlled transient elastography), as well as the  
430 identification and implementation of effective interventions for people at high risk of liver  
431 fibrosis.

432

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## Legends to figures

**Figure 1** Flow diagram describing cohort selection.

**Figure 2** Venn diagram showing overlap between high risk scores and numbers of subjects in each high risk category for each fibrosis score.

**Figure 3** Kaplan-Meier estimation curves of cumulative all-cause mortality during follow-up in subjects classified by the FIB4 & NFS (A & B) scores into high (red), intermediate (green) and low (blue) categories; and APRI (C) into high (red) and low (blue) categories. Abbreviations: FIB4, Fibrosis 4 score; NFS, NAFLD Fibrosis Score; APRI, AST to platelet ratio index

### **Figure 4**

Forest plot of hazard ratios for all-cause mortality for high compared to low categories for FIB4, NFS and APRI, stratified by age (<65 & ≥65 years), sex, duration of diabetes (<10 & ≥10 yrs) and HbA1c ((HbA1c <58.5 mmol/mol (<7.5%) vs. ≥58.5 mmol/mol (≥7.5%)) adjusted for age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs. Abbreviations: FIB4, Fibrosis 4 score; NFS, NAFLD Fibrosis Score; APRI, AST to platelet ratio index. Values are hazard ratios (95% confidence intervals) adjusted for the same covariates as in Table 2.

**Table 1: Baseline characteristics of study population stratified by FIB4, NFS & APRI categories.**

	Total (n=12589)	Low FIB4 (n=9706)	Intermediate FIB4 (n=2121)	High FIB4 (n=762)	Low NFS (n=4800)	Intermediate NFS (n=4825)	High NFS (n=2964)	Low APRI (n=12393)	High APRI (n=196)
Age (years)	65.2(12.1)	64.2(12.1)	66.8(11.1) **	73.1(10.2) **	66.0(12.0)	60.4(11.0)**	71.6(10.4)**	65.2(12.1)	62.7(11.3)*
Sex (males %)	54.5	52.4	60.7††	63.0††	48.8	59.7††	55.1††	54.2	70.0**
Smoking (current/ex %)	56.4	56.7	55.4	55.8	58.4	55.6‡	54.5‡	56.5	54.1
Diabetes duration (yrs)	5.8 (2.8-9.3)	5.9(2.8-9.3)	5.4(2.6-9.0)*	6.6(3.4-9.7)*	5.9 (2.8-9.2)	5.2 (2.5-8.8)**	6.7(3.3-10.1)**	5.9(2.8-9.3)	5.4(2.6-8.3)
BMI (kg/m <sup>2</sup> )	31.5 (6.8)	31.7(6.8)	31.5(6.8)	29.8(6.1) **	28.7 (4.9)	32.5 (6.1) **	34.5 (8.4) **	31.5(6.8)	31.3(6.6)
Albumin (g/dl)	4.3 (0.3)	4.3(0.3)	4.3(0.3)	4.2(0.4) **	4.4 (0.3)	4.4 (0.3)	4.1 (0.4) **	4.3(0.3)	4.1(0.5) **
Platelets count (x10 <sup>9</sup> )	249 (76)	269(70)	194(41) **	144(53) **	303 (75)	235 (48) **	187 (51) **	251(74)	129(77) **
AST (U/l)	20 (17-26)	19(16-23)	25(20-34) **	31(23-50) **	19 (16-24)	21 (17-27) **	21 (17-28) **	20(15-30)	70(48-108)**
ALT (U/l)	21 (15-30)	20(15-29)	23(15-36) **	23(15-39) **	21 (15-29)	23 (17-34) **	18 (13-26) **	21(15-30)	56(35-93) **
<b>Co-morbidities (%)</b>									
Mental illness	10.4	9.8	11.6‡	14.8††	9.5	9.0	14.0††	10.4	11.2
Stroke/TIA	9.7	9.4	9.9	13.3‡	10.1	7.6††	12.7††	9.7	13.3
PVD	5.3	5.3	5.2	5.5	5.5	4.1‡	6.9‡	5.3	2.0‡
PCI/CABG	6.8	6.3	8.8††	8.0	5.8	6.4	9.2††	6.9	4.6
Retinopathy	44.5	44.8	44.3	44.5	44.3	43.7	46.2	44.5	44.9
Liver cancer	0.06	0.01	0.05	0.7††	0.04	0.02	0.13	0.04	1.0‡
Colon cancer	1.2	1.1	1.1	2.1	1.1	1.0	1.5	1.2	1.5
<b>Medications (%)</b>									
Statin	87.6	87.8	87.7	83.6‡	88.4	86.6	87.9	87.7	80.6‡
Metformin	74.3	76.9	66.7††	62.2††	75.8	76.7	67.7††	74.3	70.4
Sulphonylureas	47.1	48.6	42.0††	42.3‡	48.4	46.3	46.3	47.1	48.5
Glitazones	21.4	22.5	18.0††	17.5‡	19.8	22.4‡	22.5‡	21.4	19.4
Insulin	14.0	14.0	13.4	15.0	12.8	13.7	16.5††	13.9	17.9
HbA1c (mmol/mol)	58.5 (18.0)	59.3(18.2)	56.5(17.4) **	53.9(16.8) **	58.6 (17.9)	59.9 (18.8)*	56.0 (16.9) **	58.5(18.0)	57.5(19.9)
Cholesterol (mmol/L)	4.3 (1.1)	4.4(1.1)	4.2(1.0) **	4.0(1.1) **	4.4 (1.1)	4.4 (1.1)	4.1 (1.0) **	4.3(1.1)	4.3(1.2)
Abnormal eGFR (%)	40.8	38.7	44.5††	58.3††	39.6	31.3††	58.5††	40.9	35.2
NFS score	-0.21 (-1.04 to 0.62)	-0.53(-1.29 to 0.18)	0.67(0.13 to 1.24) **	1.76(1.19 to 2.45) **	-1.10 (-1.89 to -0.36)	-0.16 (-0.80 to 0.32)	1.27 (0.93 to 1.77)	-0.23 (-1.06 to 0.58)	1.73 (0.65 to 2.72) **
FIB4 score	1.22 (0.88 to 1.69)	1.05 (0.80 to 1.37)	2.04(1.54 to 2.27)	3.38 (2.93 to 4.48)	1.01 (0.73 to 1.30)	1.14 (0.88 to 1.51) **	2.0 (1.56 to 2.61) **	1.21 (0.88 to 1.66)	5.09(3.58 to 7.77) **
APRI score	0.22 (0.16 to 0.30)	0.19(0.15 to 0.24)	0.34(0.27 to 0.44) **	0.61(0.41 to 0.92) **	0.17 (0.13 to 0.23)	0.23 (0.18 to 0.31) **	0.28 (0.21 to 0.43) **	0.21(0.16 to 0.30)	1.42 (1.17 to 2.03)

Low FIB4 <1.3 if age <65 years or <2.0 if age ≥65 years; Low NFS <-1.455 if age <65 years or <0.12 if age ≥65 years; Low APRI < 1 (independent of age); Intermediate FIB4 >1.3 if age <65 years or >2.0 if age ≥65 years and <2.67 (independent of age); Intermediate NFS >-1.455 if age <65 years or >0.12 if age ≥65 years and <0.676; High FIB4 >2.67 (independent of age); High NFS >0.676 (independent of age); High APRI ≥1

Values are proportions, and means (standard deviations) or medians (interquartile range).

\*p<0.01 \*\*p<0.001 for comparisons between subgroups after Bonferroni correction with reference low subgroup.

‡p<0.05, †p<0.01, ††p<0.001 for chi-square comparisons between subgroups after Bonferroni correction with reference low subgroup.

Abbreviations: NFS, NAFLD fibrosis score; FIB4, fibrosis 4 score; APRI AST to platelet ratio index; HbA1c, glycated haemoglobin; AST Aspartate aminotransferase ALT Alanine aminotransferase, PVD Peripheral artery disease, PCI Percutaneous coronary intervention eGFR estimated glomerular filtration rate



**Table 2: Numbers of deaths, crude mortality rates and hazard ratios adjusted for age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs in patients with type 2 diabetes by FIB4, NFS & APRI categories**

Fibrosis scores	Deaths and mortality / 1000 PY	Hazard ratio (95% CI) <sup>b</sup>	p-value
	(Total 3925)		
FIB4 low (n=9706)	2778 (36.3)	1.0 (ref)	
FIB4 intermediate (n=2121)	695 (43.5)	1.14 (0.98-1.32)	0.101
FIB4 high (n=762)	452 (101.9)	3.69 (2.88-4.7)	<0.001
NFS Low (n=4800)	1448 (38.5)	1.0 (ref)	
NFS intermediate (n=4825)	992 (24.9)	1.00 (0.88-1.13)	0.95
NFS High(n=2964)	1485 (75.7)	2.32 (1.95-2.75)	<0.001
APRI low (n=12393)	3831 (40.0)	1.0 (ref)	
APRI high (n=196)	94 (74.0)	3.92 (2.88-5.34)	<0.001

<sup>a</sup> Number of deaths (Crude incidence rates for 1000 person-years of follow up)

<sup>b</sup>Hazard ratios (95% confidence interval) estimated by Cox regressions adjusted for following covariates: age, interaction of age and fibrosis score, sex, diabetes duration, BMI, smoking, eGFR at risk, presence of co-morbidities at baseline, mean levels of HbA1c (58.5 mmol/mol), cholesterol and use of statins and anti-hyperglycaemic drug

**Table 3: Stratified analysis for <65 and ≥ 65 year olds and hazard ratios for all-cause mortality associated with liver fibrosis score categories adjusted for age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs.**

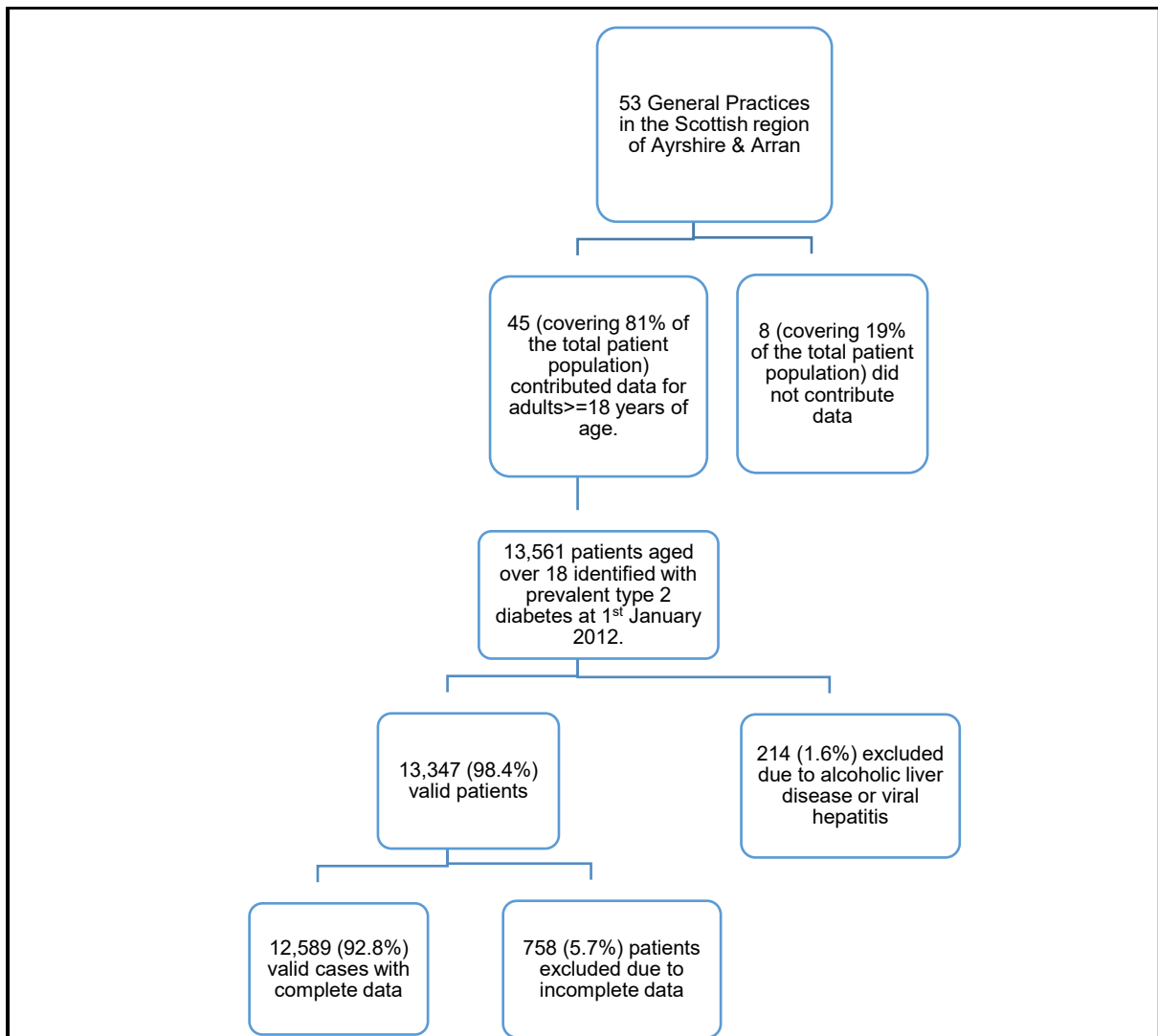
Fibrosis scores	Age < 65 years (n=5729)		Age ≥ 65 years (n=6860)		p for interaction <sup>b</sup>
	Hazard ratio (95% CI) <sup>a</sup>	p-value	Hazard ratio (95% CI) <sup>a</sup>	p-value	
FIB4 intermediate	1.18 (0.99-1.41)	0.062	0.99 (0.90-1.09)	0.84	0.18
FIB4 high	3.89 (2.99-5.05)	<0.001	1.44 (1.28-1.61)	<0.001	<0.001
NFS intermediate	1.03 (0.85-1.26)	0.75	1.04 (0.95-1.15)	0.40	0.44
NFS high	2.50 (1.89-3.18)	<0.001	1.35 (1.24-1.48)	<0.001	<0.001
APRI high	3.74 (2.73-5.14)	<0.001	1.64 (1.24-2.17)	<0.001	<0.001

Abbreviations: CI, confidence interval; NFS, NAFLD Fibrosis Score; FIB4, Fibrosis 4 score

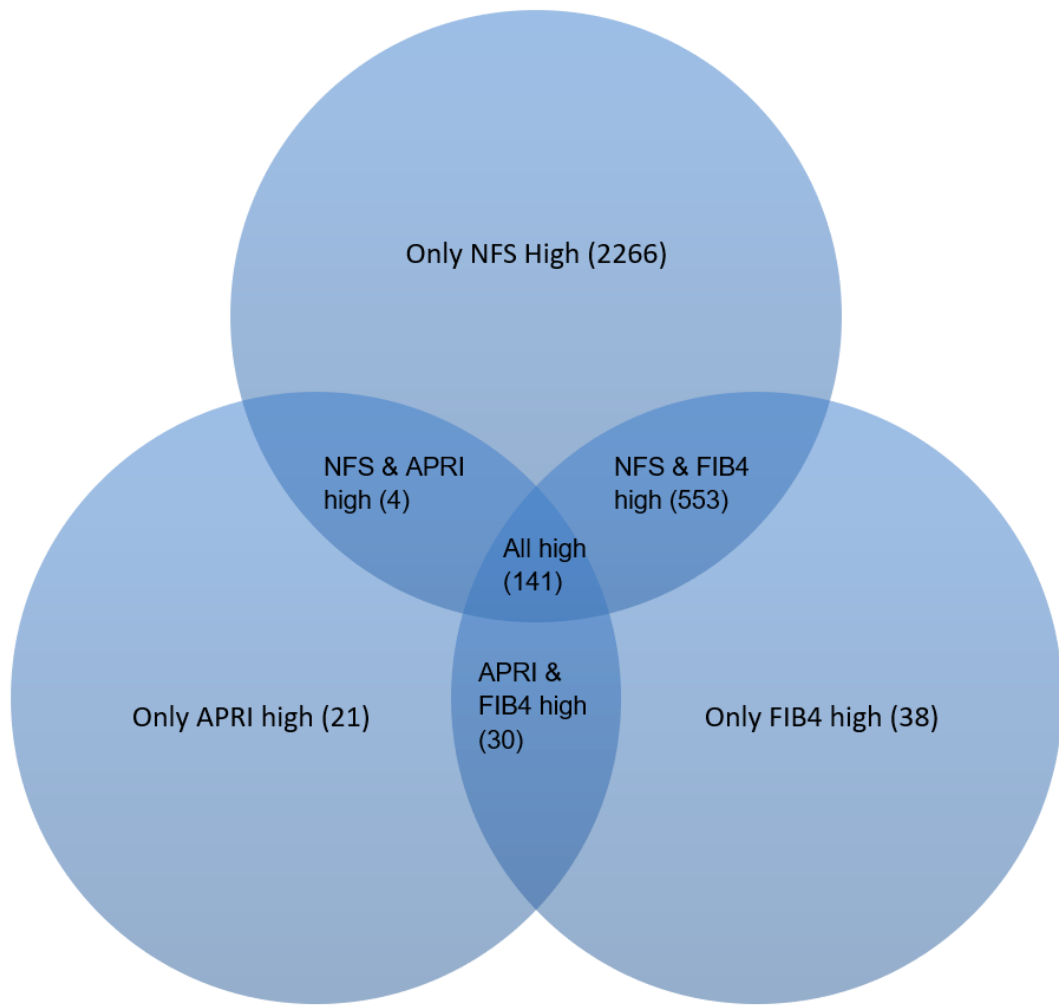
<sup>a</sup> Values are hazard ratios (95% confidence intervals) adjusted for the same covariates as in Table 2.

<sup>b</sup> p value of interaction term in the unstratified data set.

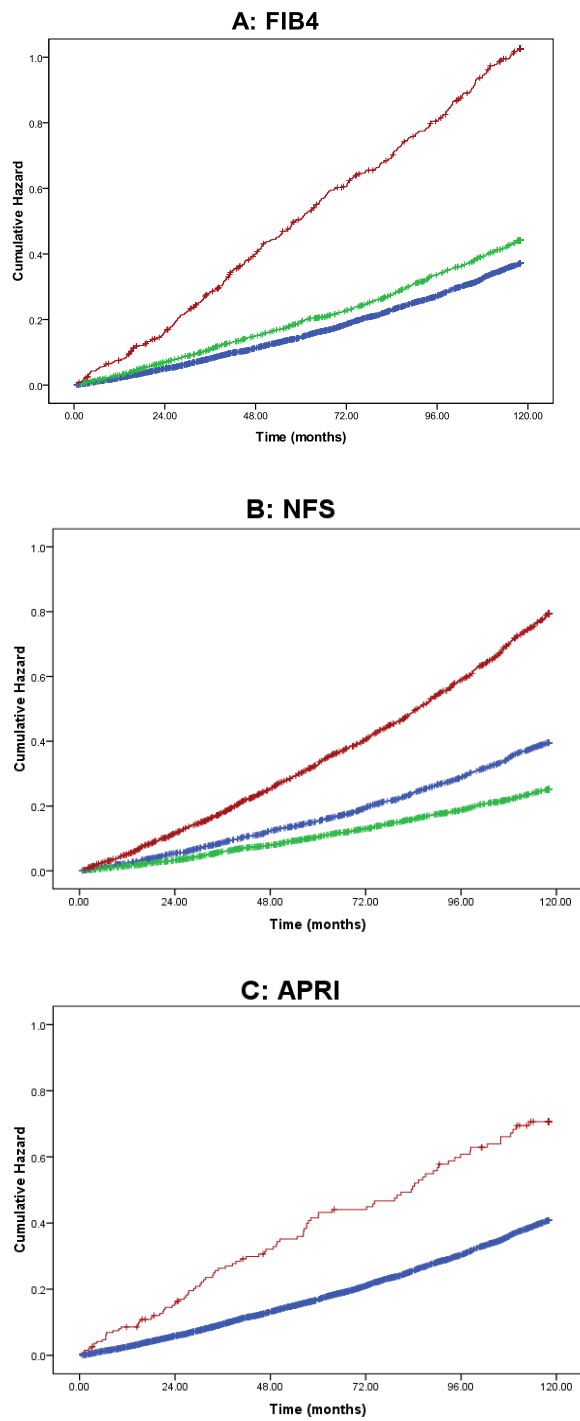
Figure 1



**Figure 2**

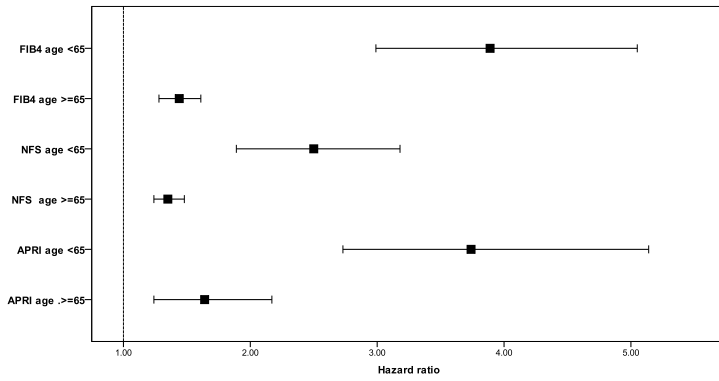


**Figure 3**

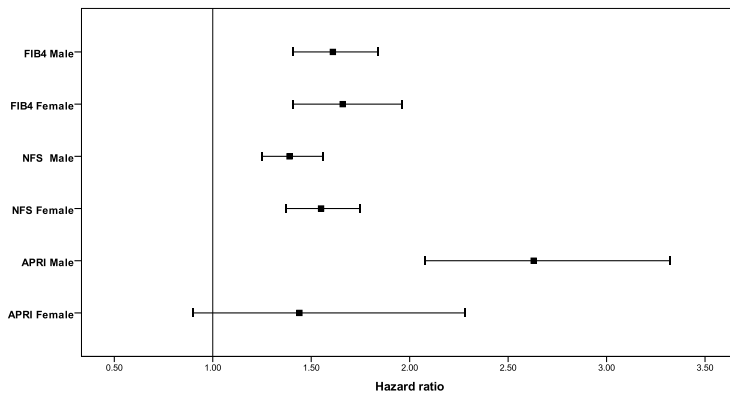


**Figure 4**

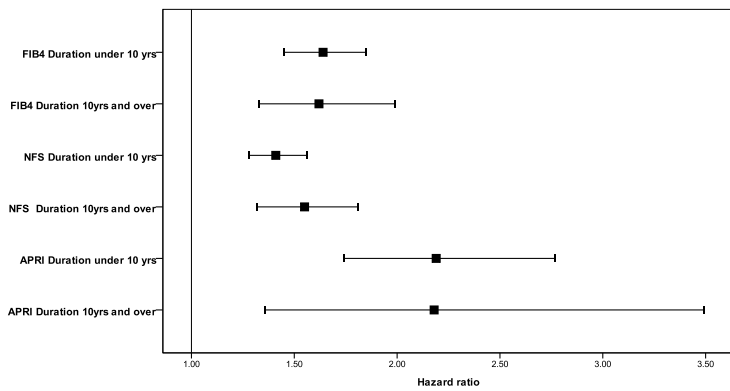
**Age**



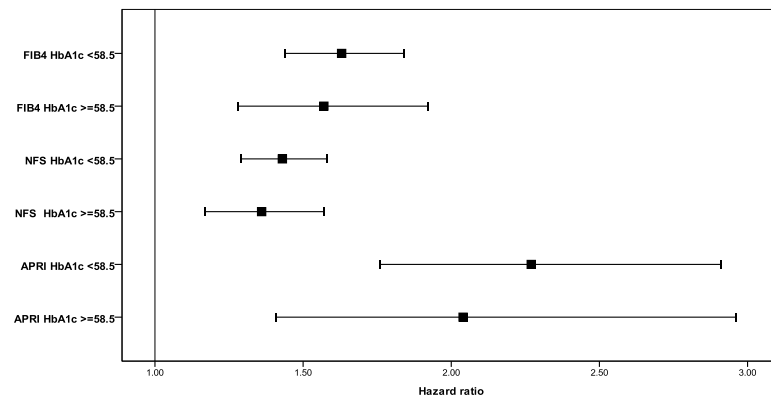
**Sex**



**Duration of diabetes**



**Glycaemic Control**



## SUPPLEMENTARY TABLES

**Supplementary Table 1: Numbers of deaths, crude mortality rates and hazard ratios adjusted for AST, ALT, age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs in patients with type 2 diabetes by FIB4, NFS & APRI categories**

Fibrosis scores	Deaths and mortality / 1000 PY	Hazard ratio (95% CI) <sup>b</sup>	p-value
	(Total 3925)		
FIB4 low (n=9706)	2778 (36.3)	1.0 (ref)	
FIB4 intermediate (n=2121)	695 (43.5)	1.15 (0.98-1.33)	0.083
FIB4 high (n=762)	452 (101.9)	3.73 (2.89-4.83)	<0.001
NFS Low (n=4800)	1448 (38.5)	1.0 (ref)	
NFS intermediate (n=4825)	992 (24.9)	1.00 (0.88 -1.13)	0.96
NFS High(n=2964)	1485 (75.7)	2.27 (1.91-2.70)	<0.001
APRI low (n=12393)	3831 (40.0)	1.0 (ref)	
APRI high (n=196)	94 (74.0)	4.12 (2.98-5.70)	<0.001

<sup>a</sup> Number of deaths (Crude incidence rates for 1000 person-years of follow up)

<sup>b</sup>Hazard ratios (95% confidence interval) estimated by Cox regressions adjusted for following covariates: AST, ALT, age, interaction of age and fibrosis score, sex, diabetes duration, BMI, smoking, eGFR at risk, presence of co-morbidities at baseline, mean levels of HbA1c, cholesterol and use of statins and diabetes medications.

**Supplementary Table 2: Stratified analysis for <65 and > 65 year olds and hazard ratios for all-cause mortality associated with liver fibrosis score categories adjusted for AST, ALT, age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs.**

Fibrosis scores	Age under 65 (n=5729)		Age 65 and over (6860)		p for interaction <sup>b</sup>
	Hazard ratio (95% CI) <sup>a</sup>	p-value	Hazard ratio (95% CI) <sup>a</sup>	p-value	
FIB4 intermediate	1.17 (0.98-1.39)	0.087	0.99 (0.90-1.10)	0.86	0.16
FIB4 high	3.65 (2.70-4.94)	<0.001	1.45 (1.29-1.62)	<0.001	<0.001
NFS intermediate	1.02 (0.83-1.23)	0.88	1.04 (0.94-1.15)	0.43	0.46
NFS high	2.28 (1.75-2.98)	<0.001	1.33 (1.22-1.46)	<0.001	<0.001
APRI high (n=197)	3.34 (2.29-4.88)	<0.001	1.87 (1.38-2.53)	<0.001	<0.001

Abbreviations:; CI, confidence interval; NFS, NAFLD Fibrosis Score; FIB4, Fibrosis 4 score

<sup>a</sup> Values are hazard ratios (95% confidence intervals) adjusted for the same covariates as in Table 2 above

<sup>b</sup> p value of interaction term in the unstratified data set.



**Supplementary Table 3: Numbers of deaths, crude mortality rates and hazard ratios adjusted for age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs in patients with type 2 diabetes by FIB4, NFS, APRI & AST/ALT categories**

Fibrosis scores	Deaths and mortality / 1000 PY	Hazard ratio (95% CI) <sup>b</sup>	p-value
	(Total 3925)		
FIB4 low (n=9706)	2778 (36.3)	1.0 (ref)	
FIB4 intermediate (n=2121)	695 (43.5)	1.14 (0.98-1.32)	0.101
FIB4 high (n=762)	452 (101.9)	3.69 (2.88-4.7)	<0.001
NFS Low (n=4800)	1448 (38.5)	1.0 (ref)	
NFS intermediate (n=4825)	992 (24.9)	1.00 (0.88-1.13)	0.95
NFS High(n=2964)	1485 (75.7)	2.32 (1.95-2.75)	<0.001
APRI low (n=12393)	3831 (40.0)	1.0 (ref)	
APRI high (n=196)	94 (74.0)	3.92 (2.88-5.34)	<0.001
AST/ALT ratio low (n= 3435)	575 (19.6)	1.0 (ref)	
AST/ALT ratio high 0.8 (n=9154)	3350 (49.5)	1.57 (1.38-1.78)	<0.001
AST/ALT ratio low (n=6303)	1288 (24.4)	1.0 (ref)	
AST/ALT ratio high 1.0 (n=6286)	2637 (59.7)	1.76 (1.56-2.00)	<0.001

<sup>a</sup> Number of deaths (Crude incidence rates for 1000 person-years of follow up)

<sup>b</sup>Hazard ratios (95% confidence interval) estimated by Cox regressions adjusted for following covariates: AST, ALT, age, interaction of age and fibrosis score, sex, diabetes duration, BMI, smoking, eGFR at risk, presence of co-morbidities at baseline, mean levels of HbA1c, cholesterol and use of statins and diabetes medications.

**Supplementary Table 4: Numbers of deaths, crude mortality rates and hazard ratios adjusted for age, sex, diabetes duration, smoking, presence of co-morbidities at baseline, eGFR, HbA1c, cholesterol, prescription of statins and glucose-lowering drugs in patients with type 2 diabetes by number of high fibrosis scores on the three measures NFS, FIB4 & APRI.**

Fibrosis scores	Deaths and mortality / 1000 PY	Hazard ratio (95% CI) <sup>b</sup>	p-value
	(Total 1520)		
High on only one measure (n=2325)	1085 (67.6)	1.0 (ref)	
High only on two measures (n=587)	359 (108.8)	1.95 (1.32-2.90)	<0.01
High on all 3 measures (n=141)	76 (85.7)	2.65 (1.83-3.83)	<0.001

<sup>a</sup> Number of deaths (Crude incidence rates for 1000 person-years of follow up)

<sup>b</sup>Hazard ratios (95% confidence interval) estimated by Cox regressions adjusted for following covariates: age, interaction of age and fibrosis score, sex, diabetes duration, BMI, smoking, eGFR at risk, presence of co-morbidities at baseline, mean levels of HbA1c, cholesterol and use of statins and diabetes medications.

**Supplementary Table 5: Crude and multivariate-adjusted mortality rates in patients with type 2 diabetes by FIB4, NFS & APRI categories collected within one year of baseline**

Fibrosis scores	mortality rate <sup>a</sup>	Hazard ratio (95% CI) <sup>b</sup>	p-value
	(n=2506)		
FIB4 low (n=5808)	1787 (40.2)	1.0 (ref)	
FIB4 intermediate (n=1278)	439 (45.6)	1.10 (0.90-1.34)	0.37
FIB4 high (n=470)	280 (106.0)	3.86 (2.79-5.35)	<0.001
FIB4 standardised (SD=1.46) <sup>c</sup>		1.11 (1.07-1.16)	<0.001
NFS Low (n=2946)	948 (42.2)	1.0 (ref)	
NFS intermediate (n=2804)	624 (27.7)	1.01 (0.86-1.19)	0.92
NFS High(n=1806)	934 (80.9)	2.41 (1.92-3.01)	<0.001
NFS standardised (SD=1.32) <sup>c</sup>		1.37 (1.22-1.52)	<0.001
APRI low (n=7445)	2448 (43.8)	1.0 (ref)	
APRI high (n=111)	58 (86.8)	4.53 (3.03-6.78)	<0.001
APRI standardised (SD=0.37) <sup>c</sup>		1.07 (1.04-1.10)	<0.001

<sup>a</sup> Number of deaths (Crude incidence rates for 1000 person-years of follow up)

<sup>b</sup>Hazard ratios (95% confidence interval) estimated by Cox regressions adjusted for same covariates as in table 2:

<sup>c</sup> Hazard ratios are estimated for linear increments of one standard deviation for continuous NFS, FIB4 & APRI fibrosis scores.