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A handheld wide-field fluorescence lifetime

imaging system based on a distally mounted
 SPAD array

SPAD array

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11 Abstract: In this work a handheld Fluorescent Lifetime IMaging (FLIM) system based on a 12 distally mounted $< 2 \text{ mm}^2$ 128 x 120 single photon avalanche diode (SPAD) array operating 13 over a > 1 m long wired interface is demonstrated. The head of the system is ~4.5 cm x 4.5 cm 14 x 4.5 cm making it suitable for hand-held ex vivo applications. This is, to the best of the authors' 15 knowledge, the first example of a SPAD array mounted on the distal end of a handheld FLIM 16 system in this manner. All existing systems to date use a fibre to collect and relay fluorescent 17 light to detectors at the proximal end of the system. This has clear potential biological and biomedical applications. To demonstrate this, the system is used to provide contrast between 18 regions of differing tissue composition in ovine kidney samples, and between healthy and 19 20 stressed or damaged plant leaves. Additionally, FLIM videos are provided showing that frame 21 rates of > 1 Hz are achievable. It is thus an important step in realising an *in vivo* miniaturized 22 chip-on-tip FLIM endoscopy system.

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25 1. Introduction

26 Fluorescence imaging is a powerful tool for the analysis of materials, particularly in the context of biological applications, as many biomolecules exhibit auto-fluorescence upon illumination. 27 28 This emitted light may be used as a fingerprint of the materials present, as well as of their local 29 environment. Fluorescent Lifetime IMaging (FLIM) differs from traditional fluorescence 30 imaging in that rather than just the intensity or spectra of the emitted light, time resolved 31 detection systems are used to obtain the characteristic fluorescent lifetime. This can have 32 applications in fields as diverse as biomedicine [1-10], plant science [11-13], or chemical 33 sensing [14]. The primary benefit of FLIM over traditional fluorescence intensity imaging is 34 that lifetime is largely independent of the density of fluorescent chromophores and excitation 35 power, giving consistent contrast between regions with differing molecular makeup. A 36 particularly promising avenue for FLIM applications is for surgical guidance and endoscopy 37 [2-8], where FLIM can provide label free contrast between tissue types which may not be 38 apparent when using white light imaging, or fluorescence intensity alone. This is particularly 39 useful when looking at cancer margins, as cancerous tissue has been shown to have a different 40 characteristic fluorescence lifetime compared to surrounding healthy tissue [2-4, 10]. Several 41 different biomedical FLIM systems have been demonstrated in the literature, generally all 42 employ a pulsed laser source to induce fluorescence (either endogenous or from labels) which 43 is then collected and relayed down a fibre to an image sensor at the proximal end. The spatial 44 resolution is then either achieved using scanning optics at the proximal end of the system [7],

the use of fibre imaging bundles [1, 15], or by raster-scanning a fibre acting as a point probeover the object being imaged [6, 10, 16, 17].

47 FLIM depends upon being able to temporally resolve the fluorescence signal. There are 48 several methods for achieving the necessary time resolution required to perform FLIM, such as 49 time gated optical intensifiers [2] and high speed digitisers [17, 18] but one of the most robust and elegant approaches is the use of SPADs where timing electronics for the imaging pixel are 50 51 integrated at a chip level [8]. Once SPADs are combined into arrays they may become an even 52 more powerful tool. SPAD array line sensors are very well suited to spectrally resolved 53 measurements, allowing for FLIM to be carried out at multiple spectral bands simultaneously 54 [8, 19], while 2D SPAD arrays can effectively act as time resolved cameras capable of rapidly 55 performing wide-field FLIM [20, 21].

56 This group has previously demonstrated Endocam, a novel SPAD array specifically 57 designed to perform FLIM in a chip-on-tip fashion, i.e. the SPAD array itself will sit on the 58 distal end of the system with images relayed back to the control unit via a wired data connector 59 [22, 23]. This stands in contrast to the proximally mounted sensor of all the FLIM systems described previously and allows a vast simplification of the opto-mechanics required to 60 61 reconstruct the image. Such systems do not face the same limitations with regards bending 62 radius as fibre systems, and due to the inherent scalability of electronics versus optics may be a lower cost solution [24, 25]. Although fluorescence endoscopy systems with an image sensor 63 on the distal end of the probe do exist, these only provide steady state fluorescence intensity 64 65 rather than FLIM [26-28].

For surgical guidance and other diagnostic or analytic applications, it is highly valuable for the FLIM system to be flexible and mobile enough such that it can be operated in a handheld fashion e.g. to image a patient undergoing surgery from different directions without having to move and disturb them. For endoscopy applications, any chip-on-tip FLIM system has to be able to operate at a distance from its control unit. In this work, both of these goals are achieved, clearly demonstrating the potential to integrate time gated CMOS SPAD arrays into an endoscopy system.

73 To the best of the authors' knowledge, the system presented here based on the Endocam 74 chip is also the first example of a time resolved SPAD array on the distal end of a handheld 75 FLIM system, and represents an important staging post in the development of chip on tip FLIM 76 endoscopy systems.

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80 2. Methods and Materials

- 81 2.1 FLIM System
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Fig. 1. (a) Photograph of the handheld FLIM module. (b) Photograph of the entire system showing the handheld FLIM module connected to the mother board via a > 1 m cable running alongside an optical fibre. (c) Schematic diagram of the optoelectronic components of the module. Blue arrows represent the path of the excitation beam, the red arrows represent the path of the fluorescence. (d) A ruler imaged in intensity mode. (e) Schematic diagram of the electronic configuration of the system.

90 A photograph of the handheld system is shown in Fig. 1(a). The maximum dimensions of the 91 head in each direction are ~ 4.5 cm x 4.5 cm x 4.5 cm. Fig. 1(b) shows the entire system with 92 the motherboard along with the > 1 m cable used for power and data transfer and the optical 93 fibre used to deliver excitation light. A schematic of the optical design of the imager head is 94 shown in Fig. 1(c). The excitation beam, shown by the blue arrow, is generated here by a Hamamatsu Picosecond Light Pulser PLP-10 laser diode head with $\lambda = 483$ nm, pulse-width = 95 96 80 ps (though replacing with another laser source would be trivial), and is coupled into a 97 commercially available multimode fibre (NA = 0.5, Thorlabs M124L02) which delivers ~ 0.3 mW of power. Excitation light is reflected at ~ 45° by a long pass dichroic (Thorlabs 98 99 DMLP550R, 550 nm cut on wavelength) out of the head and onto the field of view. The emitted 100 fluorescence (shown by the red arrow) passes through a long pass filter (Thorlabs DMLP490T, 101 490 nm cut on wavelength) and is collected by an aspheric lens (NA = 0.53, EFL = 4.6 mm, 102 Thorlabs A390TM-B) and an image formed on the Endocam chip. The instrument response 103 function for the Endocam chip when used in conjunction with this laser is 0.55 ± 0.02 ns [23]. 104 The intensity image of a ruler in Fig. 1(d) shows the field of view for the system, ~ 2.4 cm at a 105 working distance of approximately 6 cm. Also note that one of the corners of the image is 106 corrupted (bottom right corner of Fig. 1(d)). This is due to a hardware issue which does not 107 affect the rest of the chip, and is described in detail in ref [23], along with a full description of 108 the chip design.

Briefly, in its current iteration the Endocam die is mounted in a CPGA68 chip carrier package, though the Endocam die itself is only < 2 mm², which will allow much smaller chip carrier packages to be used in future versions. The chip consists of 120 x 128 pixels each comprising of an individual SPAD, SPAD front-end circuitry, and a 14-bit photon counter. The chip also features a micro-controller unit (MCU) and two 16 bit static random access memory (SRAM) blocks to allow successive frames generated from up to 65535 exposure cycles to be 115 added together on chip (a process we will hereafter refer to as frame additions). Although this 116 increases the footprint of the chip somewhat, the SRAM blocks take up less area per bit than 117 the on-pixel photon counters and also cuts down the need for time consuming data transfer off the chip. Thus they allow for a high bit depth without overly compromising the form factor or 118 119 frame rate of the system [23]. The chip requires only five wires to run-1) 18.5 V supply for the 120 SPAD bias, 2) 2.8 V supply for the on-chip power generation network, 3) Ground, 4) Data I/O, 121 5) Clock. The 18.5 V and 2.8 V power supplies are generated on the motherboard, and a field 122 programmable gate array (FPGA) daughter board (Opal Kelly XEM6310) acts as an interface 123 between the computer and the Endocam chip. The schematic diagram in Fig. 1(e) summarises 124 the electronic configuration of the system.

To allow for its very small form factor, the Endocam chip does not have on chip time correlated single photon counting (TCSPC) timestamping. Instead, the time resolution on chip is achieved through time-gating the on-pixel photon counting electronics. The time-gate widths and positions with respect of the rising edge of the reference clock are in multiples of 379 ps which is the minimum time resolution defined by the on-chip ring oscillator [23]. These time gates are synched to a 20 MHz master clock generated by the FPGA, though using an external clock from e.g. a laser driver as master is also possible.

132 It should be noted that there are no additional buffer circuits or relays to improve the 133 strength or quality of the signals between the FPGA itself and the chip. Sending clock and data 134 signals over a long distance of up to 1 m away from a motherboard is not a typical use case for 135 the FPGA board; as the cable becomes longer, the scope for interference in the signal from 136 external sources increases, along with the impact of reflections along the transmission line. 137 Initial tests were carried out with short lengths (~ 10 cm) of solid core jumper wire inserted 138 directly into the motherboard chip socket, and attached to the pins of the chip. Although not 139 capable of running at the 37.5 MHz it could operate at on board [23], this initial iteration of the 140 system was capable of running at 10 MHz, and confirmed that it was possible to operate the chip in time-gated mode remote from its board. However, attempts to increase the length of 141 these solid wires to the ~ 1 m required resulted in the degradation of the clock signal reaching 142 the chip, such that the chip could not boot correctly. Various other cables were explored, but 143 eventually shielded multicore cable (Alphawire 6305 SL005), which was less susceptible to 144 145 external electrical interference, was found to be effective and allowed the length of the cable to 146 be increased to ~ 1 m. In this state the chip was still only running at 10 MHz, which was deemed 147 too low a clock rate for practical applications. This then required multiple rounds of firmware 148 revision to optimise the form of the clock signal such that it could be delivered at a higher 149 frequency while maintaining fidelity. Although a clock rate of 37.5 MHz is achievable with the 150 chip mounted directly on its motherboard [23], the 20 MHz presented here was ultimately the 151 maximum which we could achieve in this configuration. Although lowering the clock rate has 152 a corresponding impact on the frame rate, 20 MHz was deemed suitable for this study as it 153 avoided significant fluorescence wrap around from the longest lived chromophores. 154 Additionally, these firmware upgrades provided the opportunity to add some other additional 155 capabilities to the system as it is described in refs. [22, 23], namely the generation of the 156 necessary voltage levels for chip operation on the motherboard, freeing the system from requiring a bulky external benchtop power supply unit, and the ability to use an externally 157 generated TTL or NIM clock signal (for example from a laser driver) as the master clock for 158 159 the system. A clock rate of 20 MHz is commonly used as the fixed repetition rate of many 160 super-continuum lasers, which may be employed in future versions of the system, so the ability 161 to run at 20 MHz and use an external master clock was deemed a useful addition.

The Endocam chip is controlled via a custom made Matlab (R2021b Mathworks) graphical
 user interface (GUI). Via the GUI the system offers users four modes of operation –

164 165 1. Intensity only. The time gate is held open for the full exposure period to maximise photon counts, and lifetime is not calculated (note that intensity images are obtained

166		when performing FLIM imaging too, by summing all the frames used to generate the
167		FLIM image).
168	2.	Gate sweep, where the temporal position of the time gate is moved stepwise relative
169		to the excitation pulse to generate an array of photon counts vs gate position for each
170		pixel. A lifetime may then be extracted by fitting the resultant curve to a mono-
171		exponential function.
172	3.	Rapid lifetime determination (RLD) with successive global gates, where alternate
173		frames are taken with the time gates set as t _A and t _B . These time gates are successive,
174		of equal size, and not overlapping. Inputting the counts for time gate t _A (I _A) and time
175		gate t_B (I _B) as well as the gate size ($\Delta \tau$) into equation (1) allows the lifetime to be
176		extracted [29].
177		$\tau = -\Delta t / \ln(I_A / I_B) $ (1)
178	4.	RLD with alternating column gates. As in method 3, but rather than taking entire
179		frames with differing time gates, in each frame all odd columns use time gate t _A and
180		all even columns use time gate t _B . This increases the image acquisition rate and reduces
181		the effect of motion blurring, but halves the spatial resolution of the image along the
182		horizontal axis [23].
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- 184 2.2 Samples
- 185 Ovine (lamb) kidney was purchased from a local butcher and used as received.

Barley (*Hordeum vulgare*) seeds of the variety Digger were sowed in small 6.5 x 6.5 cm pots
 and maintained in growth cabinets at 16 h of light (21°C, 150 μE m⁻² s⁻¹)/ 8 h of darkness in
 Levington Advance F2+S soil. After 9 days, plants were removed from the cabinet and left near
 an open window, enabling wild aphid colonization.

Orange and green fluorescent targets were 3D printed in the shape of the letter "E" using reels
of PLA (poly-lactic-acid) doped with fluorescent dye. These were the only fluorescent (as
opposed to phosphorescent) filaments we were able to obtain, and the supplier (RepRapWorld)
did not disclose the precise dyes used in each PLA reel, so characterisation measurements were
taken of each to obtain baseline lifetimes.

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196 2.3 Baseline measurements of PLA fluorescent lifetime

For baseline measurements, a commercially available Horiba FLIMera TCSPC camera was used to obtain a ground truth fluorescent lifetime for each target. The FLIMera was fitted with a fixed focal length imaging lens (Navitar, 16 mm EFL, f/1.4) and synched to the same Hamamatsu PLP-10 laser used in the Endocam system. FLIM images were then taken of each E shaped target, and the pixels for the image summed together to give a single decay curve for each object. The process was repeated with the Endocam system and the results compared. These are shown in supplementary figures S1 and S2.

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207 3. Results



Fig. 2. (a) Intensity image of two fluorescent targets doped with differing fluorophores, (b) FLIM image of the same scene with colour bar giving lifetime in ns and an alpha channel controlling image brightness, (c) Histogram of photon counts from the image shown in (a), (d) Histogram of lifetime values for the image shown in (b).

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214 Figs. 2(a) and 2(b) show intensity and FLIM images of two 3D printed letter E's interleaved, 215 one has been printed from the orange fluorescent PLA and the other from the green fluorescent 216 PLA. Images were obtained using a gate sweep over 10 bins with 65534 exposure cycles and 217 100 frame addition counts, giving a total acquisition time of \sim 14 s. Note that for the FLIM 218 image shown in Fig. 2(b) and those throughout the rest of the paper, the colour of each pixel 219 corresponds to the lifetime (shown in the colour bar) whereas the brightness is the intensity, controlled via the 'alpha' channel for the image. Alongside the images are histograms of 220 221 intensity counts (Fig. 2(c)) and lifetime (Fig. 2(d)) from the corresponding images (excluding 222 regions where counts are below a threshold intensity value). From the distribution of the 223 intensity histogram in Fig. 2(c) it is hard to discern if there are multiple materials being probed. 224 However, the lifetime histogram in Fig. 2(d) shows a clear bi-modal distribution, with well-225 defined peaks for each material. This shows both the effectiveness of the system as a FLIM tool, and the value of using FLIM rather than just intensity to provide contrast. The lifetimes of 226 227 these materials are relatively long compared to those normally expected for endogenous 228 biological chromophores, and the breadth of the lifetime distribution implies a degree of 229 heterogeneity in the lifetime, but due to their stability and size these targets are still useful to 230 compare the system against another baseline system. The Endocam chip has previously been 231 shown to give accurate measurements of lifetime when used as part of a microscopy system 232 [23]. To confirm this is still the case for this handheld FLIM system, Figs. S1 and S2 show the 233 fluorescent decay curves obtained using the handheld system for each of the 3D printed 234 fluorescent targets, along with the same decay obtained using a Horiba FLIMera camera. We 235 see that the decay curve for each of the materials obtained with the handheld system matches 236 well with that obtained using the FLIMera, calculating the lifetime for the green target gives

5.25 ns using the FLIMera and 5.36 ns using the Endocam, and for the orange target 8.47 ns
using Endocam and 8.54 ns using FLIMera, giving confirmation that the handheld FLIM
system provides accurate lifetime measurements. Furthermore, the combination of laser and
sensor used here was previously validated against solutions of fluorescein and rhodamine, and
gave accurate lifetime results [23].

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Fig. 3. (a) Autofluorescence from an ovine kidney, (b) FLIM image of the same scene with colour bar giving lifetime in ns, (c) Histograms of lifetime values for the regions approximately highlighted with the boxes shown in (b). (d) Autofluorescence from two leaves of barley, the upper leaf was visibly stressed while the lower healthy, (e) FLIM image of the same scene with colour bar giving lifetime in ns, (f) Histograms of lifetime values for the bottom leaf (green) and top leaf (pink).

250 These initial tests on highly fluorescent PLA samples were valuable for validation, but the 251 real application of FLIM is imaging of biologically active materials where fluorescence lifetime 252 gives contrast between tissue types, or reveals the presence of disease. Fig. 3(a) shows the 253 intensity image of an ovine kidney imaged using the handheld FLIM system. The image is 254 centred on the renal pelvis, where the ureter, veins and arteries join the organ. In the 255 fluorescence intensity image there is no clear indication that the tissue in this region is of 256 differing composition from that of the rest of the organ. Fig. 3(b) shows the fluorescence 257 lifetime image of the same field of view. Immediately apparent is the contrast throughout this 258 image, with lifetimes ranging from ~ 1.2 ns to ~ 2 ns. It is notable that regions of very similar 259 intensity show clear differences in lifetime. This is consistent with previously published FLIM 260 images of ovine kidney cross-sections which demonstrate longer lifetimes for the renal pelvis compared to the medulla or cortex [30]. This is further confirmed when regions of interest are 261 262 highlighted. Taking the 20 x 20 pixel regions approximately represented by the boxes in Fig. 263 3(b) one may generate histograms, as shown in Fig. 3(c). The FLIM contrast between these two 264 regions is very clear in the histograms, as shown by the two distinct distributions of 265 fluorescence lifetimes. The acquisition time for this image (obtained in RLD mode with 266 alternating frames gates each 5 bins wide, 65534 exposure cycles and 1000 addition counts) was 12 s. 267

As well as biomedical applications, FLIM is being increasingly explored as a diagnostic tool in plant science and agronomy. Various molecules within plants exhibit fluorescence upon illumination (including chlorophyll [31], anthocyanin [32], and lignin [33]). It has previously been shown that plant auto-fluorescence FLIM may be used to detect the presence of disease 272 or stress due to the effect of virus [11], pesticide [12], water-stress [31] or cold damage [13]. 273 Images of a barley plant undergoing an aphid infestation were obtained and Fig. 3(d) shows the 274 intensity images for the auto-fluorescence from two leaves from this plant - the upper leaf had 275 been substantially damaged whereas the lower leaf was not. The corresponding intensity image 276 shows differences between these two leaves, with the lower, undamaged leaf demonstrating a 277 much more homogenous intensity response than the upper, damaged leaf which has bright and 278 dark regions. However, it is possible this difference in intensity could simply be due to e.g. un-279 even illumination due to shadows. Fig. 3(e) shows the corresponding lifetime image. Again, 280 the FLIM modality (obtained with sweeping time gates over 12 bins, 65534 exposure cycles, 100 frame additions, 17 s acquisition time) makes the different status of these two leaves much 281 282 clearer, with the lifetime histogram of the healthy leaf (shown as green in Fig. 4(f)) 283 demonstrating much shorter lifetimes than that for the stressed leaf, shown in pink. This demonstrates that the system is capable of discerning between healthy and stressed plant tissue 284 285 via auto-fluorescence FLIM and, given its handheld, mobile configuration, it may be used to 286 scan different leaves or parts of a plant without having to damage or disturb them.

287 The images shown in Figs. 2 and 3 were obtained with acquisition times of 10 to 20 seconds. 288 This shows the capability of the system in achieving high resolution "snapshots" of static 289 objects, which may be of some value in e.g. diagnostic imaging. However, for other 290 applications such as endoscopy, higher frame rates are more important. Fig. 4(a) shows a still 291 taken from a short video of an ovine kidney sample (obtained using the RLD method with gates 292 4 bins wide, 65534 exposure cycles and 100 addition counts, 1.4 s acquisition time) with a 293 frame rate of 0.7 Hz. The image is significantly noisier than that shown in Fig. 3 (b), but FLIM 294 contrast is still apparent, with the tissue around the renal pelvis (now in the middle of the field 295 of view) showing a much longer fluorescent lifetime. As mentioned in the methods section, it 296 is possible to further increase frame rate by switching from using different gates for successive 297 frames to using different gates for odd and even columns. Fig. 4(b) shows a still of a FLIM 298 video of barley leaves taken in this mode, with gates 2 bins wide, 65534 exposure cycles and 299 10 frame additions, to give a frame rate of 1.3 Hz. Despite the loss of lateral resolution due to 300 using this gating scheme, it is still more than sufficient for 4 different barley leaves to be clearly visible within the field of view. 301 302



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Fig. 4. Still from a FLIM video of (a) Ovine kidney and (b) barley leaves.

We include further videos obtained using this gating scheme in the supplementary material, one of a selection of 3D printed targets imaged with 65534 exposure cycles and 1 frame addition, and one of two leaves picked from an evergreen shrub with 65534 exposure cycles and 10 frame additions, where we achieve frame rates of > 2 Hz and 1.7 Hz respectively. In these videos we can clearly see the fluorescent lifetime contrast between the differing 3D printed targets, and more importantly, the lifetime contrast in the auto-fluorescence of the leaves. Despite the reduced spatial resolution in this imaging mode, the different objects beingimaged are still clearly discernible.

The fact that Endocam is able to provide FLIM images at > 1 Hz while operating at a 314 distance of ~ 1 m from its control board is in itself a significant result, and the first demonstration 315 316 of a SPAD array operating in this manner. These initial results demonstrate that in its current 317 configuration the handheld FLIM system may be suitable for applications such as the scanning 318 of biopsy material, plants or other biologically relevant samples with contrast clearly visible at 319 frame rates > 1 Hz using only endogenous auto-fluorescence. Although diseased human or 320 mammalian tissue was not available for this study, fluorescence microscopy images obtained 321 using a board mounted Endocam as a sensor have already been shown to be able to detect 322 diseased tissue from lung biopsy material [23]. It should be noted that the 483 nm output of the 323 laser used for these demonstration experiments is not necessarily optimised for plant and tissue auto-fluorescence, with shorter wavelength excitation likely to elicit a stronger fluorescence 324 325 response, and that the 0.3 mW employed here is relatively modest. The fact that lifetime contrast is obtained with these illumination conditions is highly encouraging, with clear scope 326 to improve the signal to noise ratio and/or frame rates merely by paring the module with a 327 different excitation source. The selection of an optimal excitation source will be a key step to 328 329 further integrating this system into a biomedical device. The other next step for this system is 330 the further miniaturisation required for in-vivo endoscopy applications. The fundamental limit 331 for the size of the system is the footprint of the Endocam die so, with careful and considered device engineering and encapsulation, a system with a $\sim 2 \text{ mm}^2$ cross sections should be 332 333 possible. FLIM endoscopes have been shown to be effective tools in the detection of diseases 334 of the larynx [1], lung [8], bowel [2], and mouth [3] and the dimensions required for these sorts 335 of applications should be achievable with a distally mounted Endocam chip.

336 337

338 4. Conclusion

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340 In this work a novel system which employs a purpose designed miniaturised SPAD array for 341 flexible chip-on-tip fluorescence lifetime imaging is demonstrated. This system is capable of 342 frame rates > 1 Hz, has a form factor small enough that it may be held between the thumb and 343 forefinger of an experimentalist or clinician, and operates over ~1 m of cable and optical fibre 344 to allow targets to be imaged from different angles or distances without the need to move the 345 patient or object under investigation. Initial demonstrations on plant and animal tissues provide 346 high resolution stills and > 1 Hz frame rate videos. FLIM contrast from this system is capable 347 of showing the difference between different tissue types and damaged and healthy tissues. The 348 authors believe this is the first example of a handheld FLIM system with a distally mounted 349 image sensor, and has achieved the operating range and sensitivity required of a biomedical 350 imaging system. This is thus the first step in developing a miniaturised chip-on-tip endoscopy 351 system capable of *in-vivo* imaging.

352

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364

365 Disclosures

- 366 The authors declare no conflicts of interest.
- 367

368 Data availability. Data underlying the results presented in this paper are not publicly available
at this time but may be obtained from the authors upon reasonable request.
370

371 See Supplement 1 for supporting content.372

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