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**The clinical effectiveness of the STUMBL score for the management of ED patients
with blunt chest trauma compared to clinical evaluation alone**

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ABSTRACT

The STUMBL (STUdy of the Management of BLunt chest wall trauma) score is a new prognostic score to assist ED (Emergency Department) decision making in the management of blunt chest trauma. This is a retrospective cohort chart review study conducted in a UK University Hospital ED seeing 120,000 patients a year, comparing its performance characteristics to ED clinician judgement. All blunt chest trauma patients that presented to our ED over a 6-month period were included. Patients were excluded if age < 18, if they had immediate life-threatening injury, required critical care admission for other injuries or in case of missing identification data. Primary endpoint was complication defined as any of lower respiratory tract infection, pulmonary consolidation, empyema, pneumothorax, haemothorax, splenic or hepatic injury and 30-day mortality. Clinician judgement (clinician decision to admit) and STUMBL score were compared using the Receiver Operating Curve (ROC) and sensitivity analysis. 369 patients were included. ED clinicians admitted 95 of 369 patients. ED clinician decision to admit had a sensitivity of 83.9% and specificity of 86.0% for predicting complications. STUMBL score ≥ 11 had a sensitivity of 79.0% and specificity of 77.9% for the same and would have led to 117 of 369 patients being admitted. Area Under the Curve (AUC) of STUMBL score and ED clinician decision to admit was 0.84 (95% CI 0.78-0.90) and 0.85 (95% CI 0.79-0.91) respectively. Our findings show that a STUMBL score ≥ 11 performs no better than ED clinician judgement and leads to more patients being admitted to hospital.

Keywords: Thoracic injuries, Rib fractures, Trauma, Score

DECLARATIONS

1
2 **Funding:** MR is supported by an NHS Research Scotland Career Researcher Clinician award.
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5 **Conflicts of interest/Competing interests:** There are no conflicts of interest.
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8 **Availability of data and material** (data transparency): Data is available on request.
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10

11 **Code availability** (software application or custom code): not applicable
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13

14 **Authors' contributions:** MR, EC, DK and AT conceived the study. MR, EC, DK and GC designed the study. EC
15 and DK undertook data collection. EC, DK and MR analysed the data and drafted the manuscript. All authors
16 read and approved the final manuscript. EC takes responsibility for the paper as a whole.
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19 **Ethics approval** (include appropriate approvals or waivers): not applicable
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22 **Consent to participate** (include appropriate statements): not applicable
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25 **Consent for publication** (include appropriate statements): not applicable
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INTRODUCTION

1 Blunt chest trauma accounts for around 15% of all Emergency Department (ED) trauma presentations
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3 worldwide with significant morbidity and mortality [1-4]. Currently, no evidence-based guidelines exist to
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5 assist in the management of this patient group unless the patient has severe, immediate life-threatening
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7 injuries [1,4-6]. Decisions around the ongoing management of non life-threatening blunt chest wall trauma
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9 patients in the ED is difficult due to the frequent onset of delayed respiratory complications and clinical
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11 symptoms in the ED are not considered an accurate predictor of outcome [1,2,6-9].
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16 A number of scores have been proposed in the literature to help in the management of blunt chest trauma
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18 patients. However, most were designed and validated in patients with multiple injuries [1,10,11]. Battle et
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20 al. [1] have derived and validated a new prognostic risk score to inform the management of these patients
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22 but have not yet assessed the clinical impact of the score. As shown in table 1, the STUMBL (STUdy of the
23
24 Management of BLunt chest wall trauma) score (also referred to as the Battle score) includes five predictors:
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26 age at attendance, number of rib fractures, chronic lung disease, use of pre-injury anticoagulants and oxygen
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28 saturation (SpO₂). This is the first score to introduce clinical variables, specifically chronic lung disease and
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30 anticoagulation, in contrast to other scores which have used anatomical variables and age alone [10,12]. A
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32 huge benefit of the STUMBL score is that these variables are all routinely measured in the ED.
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37 The score had a sensitivity of 80%, specificity of 96%, positive predictive value (PPV) of 93% and a negative
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39 predictive value (NPV) of 86% for predicting complications following blunt chest wall trauma. The authors
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41 suggested a score of 11 or greater as the cut-off point for a significant risk of developing complications
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43 suggesting hospital admission, and a score of 26 as the cut-off at which the patient was at sufficiently high
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45 risk to warrant critical care admission.
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49 The aim of this study was to investigate the clinical effectiveness of the STUMBL score for the management
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51 of blunt chest trauma patients in the ED compared to clinical evaluation alone.
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METHODS

Study Design and Setting

This was a single centre retrospective cohort study conducted in a UK University Hospital ED seeing 120,000 patients a year in Edinburgh, Scotland. The study was conducted over a 6-month period from the 1st January 2019 to 30th June 2019.

Participants

We included all patients ≥ 18 years old with an ED discharge diagnosis of blunt chest trauma. Patients were excluded if they had sustained any immediate life-threatening injury (defined as physiological instability), if they required critical care admission (High Dependency Unit; HDU or Intensive Therapy Unit; ITU) for other injuries or in case of missing identification data.

Data collection

Data were collected retrospectively from the medical notes of each patient from our Electronic Patient Record (EPR) and Emergency Care Summary (ECS) records. The number of rib fractures was assigned based on the formal radiology report of the best available imaging (Chest radiograph; CXR or Computed Tomography; CT). If imaging was not performed then a score of 0 was assigned. When the exact number of rib fracture was not reported in the formal radiology report, this was assigned based on consensus imaging opinion by 2 independent examiners.

Oxygen Saturation data were collected based on the first room air (RA) Oxygen Saturation measurement in the ED. If RA SpO₂ was not reported then a normal value (i.e. 95-100%) was assigned. If only SpO₂ on oxygen was reported, then a score was assigned based on this. If there was no record in the patient's medical notes of chronic lung disease or use of pre-injury anticoagulants, then it was assumed that they were absent. Chronic lung disease was defined as presence of chronic active pulmonary disease such as Chronic Obstructive Pulmonary Disease (COPD). Patients with a past medical history of asthma were not included.

1 The following data were also extracted from electronic medical records: age, sex, mechanism of injury,
2 associated injuries, comorbidities, respiratory rate (RR), presence or absence of flail chest, fracture involving
3 any of first 4 ribs and presence or absence of sternal fracture.
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9 **Primary endpoint**

10 Patients were reported to have developed complications if one or more of the following were documented
11 in the medical records: clinical Lower Respiratory Tract Infection (LRTI) as per treating clinician decision,
12 pulmonary consolidation on imaging (undifferentiated contusion or infection), empyema, pneumothorax
13 (PNX), haemothorax, splenic or hepatic Injury, 30-day mortality.
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23 **Statistical analysis**

24 All data were collected using standardised data abstraction form and missing data were recorded as missing.
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26 All data were entered into a specially designed Microsoft Excel (Microsoft Corporation, Redmond,
27 Washington, USA) database for statistical analysis. Data are presented as median with interquartile range
28 (IQR) (25th to 75th percentile) for non-parametric continuous variables and as simple frequencies, proportions
29 and percentages for categorical variables. Parametric continuous variables are presented as mean with 95%
30 Confidence Interval (CI). Clinician judgement, STUMBL score and complications are described and compared
31 using the Receiver Operating Curve (ROC) and sensitivity analysis. Sensitivity, specificity, positive predictive
32 value (PPV) and negative predictive value (NPV) were calculated using the two by two tables.
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47 **Sample size**

48 In the original derivation cohort, 161 of 274 (59%) patients had a complication. Using the one in ten rule,
49 because the STUMBL score has 5 predictive variables, we would require 50 events to validate the rule. In the
50 original STUMBL population with a 59% complication rate, this would equate to needing to study 85 patients.
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52 Because of the reduced complication rate in the original validation cohort (103 of 237; 43%), we chose to
53 study at least twice this number (allowing for a reduced complication rate of 30%) and therefore chose to
54 study a 6-month period of ED presentations.
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RESULTS

Characteristics of study subjects

During the study period a total of 417 patients with blunt chest trauma were identified. The case eligibility flow diagram is depicted in Figure 1.

Among those included patients, mean age was 56.3 (SD \pm 19.5) and 220 (59.6%) were male.

Falling to the same level (i.e. from own height) was the most common trauma mechanism ($n=199$, 53.9%), followed by falling to a lower level ($n=63$, 17.1%), road traffic accident ($n=47$, 12.7%), assault ($n=21$, 5.7%), direct thoracic trauma ($n=19$, 5.1%) and sporting accident ($n=18$, 4.9%). The most common road traffic accident was car accident ($n=20$, 5.4%), followed by bike accident ($n=19$, 5.1%), motorbike accident ($n=6$, 1.6%) and pedestrian accident ($n=2$, 0.5%). The mechanism of injury was unknown in 2 patients. The majority of patients had isolated chest trauma ($n=319$, 86.4%). If associated injuries were present ($n=50$, 13.6%), limb fractures were the most common ones ($n=35$, 9.5%). There were 126 (34.1%) patients with documented rib fractures, mean rib fractures in the general population was 1.1 (SD \pm 1.9). CXR was performed in 264 patients (71.5%), CT chest in 87 (23.6%) and CT abdomen in 78 patients (21.1%). In 2 cases rib fractures were documented on CT spine. No imaging was available in 92 patients (24.9%), all of whom were discharged with only one patient reattending due to persistent chest pain. Of all patients, 27 (7.3%) were on anticoagulants and 30 (8.1%) had a medical history of chronic lung disease. Mean oxygen saturation was 96.9 (SD \pm 3.1); 46 patients (12.5%) had a value \leq 94%. Data regarding oxygen saturation were unavailable in 39 patients (10.6%), all of whom were discharged from the ED. In 12 patients (3.3%) oxygen saturation was recorded only on oxygen.

95 of 369 patients (25.7%) were admitted, 274 (74.3%) were discharged from the ED. 53 patients (14.4%) were admitted to the critical care unit. No patient required tracheal intubation.

The baseline characteristics and outcomes of the included patients are summarised in Table 2.

Outcome

Among the 62 patients (16.8%) developing complications, the most common were LRTI ($n=36$, 9.8%) and the presence of consolidation on imaging ($n=34$, 9.2%). Pneumothorax and haemothorax were present in 18

1 patients (4.9%) and 9 patients (2.4%) respectively; of these 24 of 27 recovered after conservative treatment
2 and 3 patients needed chest tube insertion. An associated abdominal injury was present in 2 patients, 1 had
3 splenic injury and 1 hepatic injury. No patient developed empyema. There were 5 deaths in total, all in
4 patients aged >70 years and all of whom had a score ≥ 16 .
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8 9 10 11 **STUMBL score**

12 Mean STUMBL score was 9.3 (SD \pm 8.0). The risk score and corresponding risk of developing complications is
13 shown in Table 3.
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16 In the discharged population, 240 patients (87.6%) had a STUMBL score ≤ 10 and 34 (12.4%) a score ≥ 11 ,
17 mean score was 6.0 (SD \pm 4.0). Most of the 22 patients who reattended the ED did so due to ongoing chest
18 pain but 5 required admission for respiratory failure. These 5 all had a score ≥ 11 , mean score 17.8 (SD \pm 10.7),
19 compared to patients discharged again who all (except one patient), had a score ≤ 10 , mean score 6.4 (SD \pm
20 4.0).
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24 In the admitted population, 83 patients (87.4%) had a score ≥ 11 and 11 (11.6%) a score ≤ 10 ; mean score
25 was 19.4 (SD \pm 8.9). Figure 2 details the risk of complications for each STUMBL score and Figure 3 details the
26 admission/discharge decision for each STUMBL Score.
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33 **Performance of STUMBL score ≥ 11 for predicting complications**

34 Test characteristics for STUMBL score ≥ 11 predicting of complications were: Sensitivity=79.0%,
35 specificity=77.9%, PPV=41.9% and NPV=94.8%. The ROC curve for STUMBL score and risk of complication had
36 an Area Under the Curve (AUC) of 0.84 (95% CI 0.78-0.90).
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42 **Performance of ED clinician decision (decision to admit) for predicting complications**

43 Test characteristics for ED clinician decision to admit for predicting complications were: Sensitivity=83.9%,
44 specificity=86.0%, PPV=54.7% and NPV=96.4%. The ROC curve for ED clinician decision to admit and risk of
45 complication had an AUC of 0.85 (95% CI 0.79-0.91).
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2 ED clinicians admitted 95 of 369 patients, 52 developed complications. Admitting everyone with a STUMBL
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4 score of ≥ 11 would have led to 117 of 369 patients being admitted with only 49 of them developing
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6 complications.
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8 9 10 11 **Performance of STUMBL score ≥ 11 for predicting LRTI**

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13 Test characteristics for STUMBL score ≥ 11 predicting of LRTI were: Sensitivity=83.8%, specificity=74.1%,
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15 PPV=26.5% and NPV=97.6%. The ROC curve for STUMBL score and risk of LRTI complication had an AUC of
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17 0.84 (95% CI 0.78-0.91).
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23 **Performance of ED clinician decision (decision to admit) for predicting LRTI**

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25 Test characteristics for ED clinician decision to admit for predicting LRTI were: Sensitivity=83.8%,
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27 specificity=80.7%, PPV=32.6% and NPV=97.8%. The ROC curve for ED clinician decision to admit and risk of
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29 LRTI complication had an AUC of 0.82 (95% CI 0.75-0.90).
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35 Battle et al. also proposed a score ≥ 26 to select patients requiring critical care admission. In our population,
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37 72% of patients with a score ≥ 26 developed complications compared to the 13% of patients with a score \leq
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39 25. There were 5 deaths, 4 of whom had a score ≥ 26 .
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45 **Performance of STUMBL score ≥ 26 for predicting of complications**

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47 Test characteristics for a STUMBL score of ≥ 26 for predicting complications were: Sensitivity=25.8%,
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49 specificity=98.0%, PPV=72.7% and NPV=86.7%.
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54 **Performance of ED clinician decision (decision to admit to critical care) for predicting complications**

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56 Test characteristics for ED clinician decision to admit to critical care for predicting complications were:
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58 Sensitivity=53.2%, specificity=93.5%, PPV=62.3% and NPV=90.8%.
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DISCUSSION

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2 In this study looking at the clinical effectiveness of the STUMBL score for the management of blunt chest
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4 trauma patients in the ED, we found that a STUMBL score ≥ 11 performs no better than ED clinician
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6 judgement decision to admit and leads to more patients being admitted to hospital.
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11 In order to improve the diagnostic accuracy of clinicians, a score should be superior to that of unstructured
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13 clinical judgement alone [13,14]. They are probably more effective when supporting more inexperienced
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15 physicians [15]. In our ED, junior doctors are supervised by senior emergency physicians and this could have
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17 influenced our results as clinical judgement may have been superior to other Emergency Departments. More
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19 work is needed to evaluate if this tool could be helpful in settings with less senior supervision.
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25 Blunt chest wall trauma management in ED is particularly difficult. Whilst many complications can be
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27 detected during the first assessment in ED there is a frequent onset of respiratory complications (9.8% in our
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29 study) which develop later [1,2,6-9]. Therefore, a clinical decision tool specifically identifying patients at high
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31 risk of developing LRTI would be particularly useful. When we compared clinical judgement to a STUMBL
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33 score ≥ 11 for specifically predicting the risk of LRTI, clinical judgement still resulted in an equal or better
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35 sensitivity, specificity, PPV and NPV.
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41 Battle et al. also proposed a score ≥ 26 as the cut-off point at which the blunt chest trauma was considered
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43 a high enough risk to require critical care admission. In this study STUMBL score ≥ 26 showed better specificity
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45 and PPV but lower sensitivity and NPV in predicting complications compared to clinical judgement. Only 22
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47 patients (6.0%) had a score ≥ 26 , therefore these results should be interpreted with caution. It should be also
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49 considered that critical care admission criteria differ considerably between countries making extrapolation
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51 of this part of the predictive tool harder.
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58 The population selected for this study was different in several aspects compared to the original development
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60 and validation cohorts. Unlike Battle et al., we decided to include all patients with blunt chest trauma even
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1 in the absence of radiological evidence of rib fractures or pulmonary contusion. This decision was driven by
2 desire to select a population that would represent our clinical practice in the ED. This resulted in a lower
3 number of rib fractures [median 0 (IQR 1) versus median 3 (IQR 3) in the original study development sample
4 and median 1 (IQR 3) in the validation sample] and in a higher oxygen saturation value [median 98 (IQR 3)
5 versus median 95 (IQR 5) in the development sample and median 97 (IQR 5) in the validation sample].
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7 Moreover, chronic lung disease was present in only 8.1% of our population (compared to 56%/21%) and pre-
8 injury anticoagulant use was present in only 7.3% (43%/20%). The complication rate was also lower in our
9 population (16.8% vs 59%/43%). [1]
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21 The selection of complications also differentiated from Battle et al. study. ICU admission was not considered
22 as a complication in our study as we wished to compare STUMBL score ≥ 26 to clinical judgment in selecting
23 patients requiring critical care admission. Prolonged length of stay (LOS) was also not included since this
24 could have been influenced by other injuries. Minor pleural effusion with no evidence of haemothorax were
25 not included as a complication as it was deemed not serious enough to influence patient management.
26 Finally, we decided to include splenic and hepatic injuries as complications as solid organ injury needs to be
27 considered in the evaluation of patients with injury to the lower chest wall particularly the lower ribs.
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40 **Limitations**

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42 There are several limitations that should be considered when interpreting the results of this study. This is a
43 single centre study, therefore it may not be representative of other hospital populations. Data were obtained
44 retrospectively through medical chart review, consequently not all data were always available. When oxygen
45 saturation was not reported, it was considered normal while when it was available only on oxygen, it was
46 considered as recorded on air room. This might have underestimated or overestimated the STUMBL score.
47 Furthermore, the number of rib fractures could have been underestimated when calculated based on only
48 CXR or when no imaging was performed. We did not link to primary care data to further look for
49 complications that developed after hospital discharge but assumed that any significant complication would
50 have resulted in a return to our ED which is the only ED in our Lothian area that sees trauma patients. Finally,
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1 although we excluded patients with other injuries requiring critical care admission, the decision to admit a
2 patient to hospital or critical care may have been affected by other factors that we have not considered here
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4 (e.g. social support, other comorbidities).
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9 **Conclusions**

10 A STUMBL score ≥ 11 performs no better than ED clinician judgement decision to admit and leads to more
11 patients being admitted to hospital. Further studies are required before the STUMBL score should be
12 routinely adopted into clinical practice.
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TABLES AND FIGURES LEGEND

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Table 1	The STUMBL score. Adapted from Battle et al ^[1]
Table 2	Baseline patient characteristics
Table 3	Risk score and corresponding risk of developing complications (<i>n</i> =369)
Figure 1	Diagram showing flow of patients through the study
Figure 2	Risk of complications for each STUMBL score (Blue: total with score, Red: number with complication)
Figure 3	Admission/discharge decision for each STUMBL Score (Blue: total with score, Red: number admitted to hospital)

TABLES

Table 1

		Score	
Age	1 point for each decade: 10-19 scores 1, 20-29 scores 2 etc		
Number of rib fractures	3 points per rib fracture		
Pre-injury anticoagulants	No		0
	Yes		4
Chronic lung disease	No		0
	Yes		5
Oxygen saturation levels	100-95%		0
	94-90%		2
	89-85%		4
	84-80%		6
	79-75%		8
	74-70%		10
Risk score	Probability of developing complications as reported by Battle et al.		
0-10			13%
11-15			29%
16-20			52%
21-25			70%
26-30			80%
31+			88%

Table 2

Variable ^a	Total (n=369)	Discharged (n=274)	Admitted (n=95)
Age, mean ± SD	56.3 ± 19.5	52 ± 18.1	69 ± 17.6
Sex, n (%)			
Female	149 (40.4)	112 (40.9)	37 (38.9)
Male	220 (59.6)	162 (59.1)	58 (61.1)
Injury mechanism, n (%)			
Falling to the same level	199 (53.9)	151 (55.1)	48 (50.5)
Falling to a lower level	63 (17.1)	38 (13.9)	25 (26.3)
Direct chest trauma	19 (5.1)	17 (6.2)	2 (2.1)
Assault	21 (5.7)	19 (6.9)	2 (2.1)
Sporting accident	18 (4.9)	18 (6.6)	0
Road Traffic Accident	47 (12.7)	31 (11.3)	16 (16.8)
Car	20 (5.4)	12 (4.4)	8 (8.4)
Motorbike	6 (1.6)	3 (1.1)	3 (3.2)
Bike	19 (5.1)	15 (5.5)	4 (4.2)
Pedestrian	2 (0.5)	1 (0.4)	1 (1.1)
Unknown mechanism	2 (0.5)	0	2 (2.1)
Isolated Chest Trauma, n (%)	319 (86.4)	260 (94.9)	59 (62.1)
Other Injury, n (%)	50 (13.6)	14 (5.1)	36 (37.9)
Head	7 (1.9)	0	7 (7.4)
Abdomen	2 (0.5)	0	2 (2.1)
Spinal	13 (3.5)	3 (1.1)	10 (10.5)
Pelvic	5 (1.4)	0	5 (5.3)
Limbs	35 (9.5)	11 (4)	24 (25.3)
Anticoagulation, n (%)	27 (7.3)	9 (3.3)	18 (18.9)
Chronic Lung Disease, n (%)	30 (8.1)	11 (4.0)	19 (20.0)
Patients with rib fractures, n (%)	126 (34.1)	41 (15.0)	85 (89.5)
Number of rib fractures, mean ± SD	1.1 ± 1.9	1.6 ± 1.5	3.2 ± 2.3
SpO ₂ , mean ± SD	96.9 ± 3.1	97.7 ± 1.5	94.6 ± 4.6
95-100, n (%)	284 (77.0)	228 (83.2)	56 (58.9)
90-94, n (%)	36 (9.8)	7 (2.6)	29 (30.5)
85-89, n (%)	5 (1.4)	0	5 (5.3)
80-84, n (%)	5 (1.4)	0	5 (5.3)
Unknown, n (%)	39 (10.6)	39 (14.2)	0
SpO₂ on RA, n (%)	357 (96.7)	274 (100)	83 (87.4)
SpO₂ on O₂, n (%)	12 (3.3)	0	12 (12.6)
Sternal fracture, n (%)	16 (4.3)	8 (2.9)	8 (8.4)
Flail chest, n (%)	9 (2.4)	1 (0.4)	8 (8.4)
First 4 rib fractures, n (%)	34 (9.2)	6 (2.2)	28 (29.5)
Respiratory Rate, mean ± SD	17.7 ± 3.8	16.8 ± 2.0	20.1 ± 5.1

Comorbidities

DM, <i>n</i> (%)	32 (8.7)	18 (6.6)	14 (14.7)
IHD, <i>n</i> (%)	27 (7.3)	16 (5.8)	11 (11.6)
Asthma, <i>n</i> (%)	23 (6.2)	18 (6.6)	5 (5.3)
Alcohol dependence, <i>n</i> (%)	20 (5.4)	8 (2.9)	12 (12.6)
Psychiatric disorder, <i>n</i> (%)	14 (3.8)	11 (4.0)	3 (3.2)
CKD, <i>n</i> (%)	12 (3.3)	3 (1.1)	9 (9.5)
Active Cancer, <i>n</i> (%)	12 (3.3)	5 (1.8)	7 (7.4)
Dementia, <i>n</i> (%)	12 (3.3)	2 (0.7)	10 (10.5)
Drug addiction, <i>n</i> (%)	10 (2.7)	6 (2.2)	4 (4.2)
Cirrhosis, <i>n</i> (%)	4 (1.1)	3 (1.1)	1 (1.1)

a) SpO₂=Oxygen saturation level, RA=room air, O₂=oxygen, DM=Diabetes Mellitus, IHD= Ischemic Heart Disease, CKD=Chronic Kidney Disease

Table 3

Score	Probability of complications	Number of patients in each category <i>n</i> (% of population)
1-10	5.1%	252 (68.3%)
11-15	24.5%	53 (14.4%)
16-20	53.8%	23 (6.2%)
21-25	68.4%	19 (5.2%)
26-30	69.2%	13 (3.5%)
31+	77.7%	9 (2.4%)

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