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# The mechanism of Fe-rich intermetallic compound formation and growth on inoculants revealed by electron backscattered diffraction and X-ray imaging



Shikang Feng<sup>a,\*</sup>, Zelong Jin<sup>b,1</sup>, Wenjia Du<sup>c</sup>, Insung Han<sup>a</sup>, Andrew Lui<sup>a</sup>, Xiaorong Zhou<sup>b</sup>, Paul R. Shearing<sup>c</sup>, Patrick S. Grant<sup>a,\*</sup>, Enzo Liotti<sup>a,\*</sup>

<sup>a</sup> Department of Materials, University of Oxford, Oxford OX1 3PH, UK

<sup>b</sup> Corrosion and Protection Centre, School of Materials, The University of Manchester, Manchester M13 9PL, UK

<sup>c</sup> The Electrochemical Innovation Laboratory, Department of Chemical Engineering, University College London, London WC1E 7JE, UK

#### HIGHLIGHTS

- The paper concerns a new compositional design approach to make aluminium alloys more recyclable.
- As a possible route to gaining greater control of Fe-rich intermetallic compound formation in recycled Al alloys, the formation and growth of Al<sub>13</sub>Fe<sub>4</sub> intermetallics on of Al<sub>3</sub>Ti inoculants are studied systematically in high Fe-containing Al alloys, combining electron backscattered diffraction and X-ray imaging.
- Crystallographic orientation relationships between Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti are analysed comprehensively, and the formation and growth dynamics of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti is also unveiled.
- A strong link is revealed between the formation of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti and a twinning-related pseudo-symmetry of Al<sub>13</sub>Fe<sub>4</sub>.
- A potential strategy to refine both Ferich intermetallics and α-Al in recycled alloys with elevated Fe concentration is proposed for more Fe-tolerant alloy recycling.

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### ABSTRACT

Fe-rich intermetallics affect critically the mechanical properties and recyclability of aluminium alloys. Increasing effort has been spent on the inoculation of these intermetallics, hoping to promote a finer distribution. Recently Al-5Ti-1B (wt.%), originally developed to refine  $\alpha$ -Al, has been shown to refine Al<sub>13</sub>Fe<sub>4</sub>, an intermetallic phase present in a variety of Al alloys. However, mechanisms of the formation and

\* Corresponding author.

E-mail addresses: shikang.feng@materials.ox.ac.uk (S. Feng), enzo.liotti@materials.ox.ac.uk (P.S. Grant), patrick.grant@materials.ox.ac.uk (E. Liotti).

<sup>&</sup>lt;sup>1</sup> Current affiliation: Innoval Technology Limited, Beaumont Cl, Banbury OX16 1TQ, UK.

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Keywords: Impurity-tolerant alloy design Intermetallic compounds (IMCs) Inoculation Orientation relationships Twinning Alloy recycling growth of the intermetallics on the inoculants are unclear. In this paper, Ti is added to Fe-containing Al alloys to produce a large number of potent Al<sub>3</sub>Ti particles, the active inoculant in Al-5Ti-1B. We use a combination of electron backscattered diffraction, *in situ* synchrotron X-ray radiography and postsolidification X-ray computed tomography to investigate the formation and growth of primary Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti inoculants, first in a model Al-Fe alloy, with key insights then confirmed in a high Fe-containing, recycled 6xxx alloy. Crystallographic orientation relationships between Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti are analysed comprehensively, and the formation and growth dynamics of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti is also unveiled. A strong link is revealed between the formation of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti and a twinning-related pseudo-symmetry of Al<sub>13</sub>Fe<sub>4</sub>. Finally, a potential strategy to refine both intermetallics and  $\alpha$ -Al in recycled alloys with elevated Fe concentration is proposed.

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#### 1. Introduction

In engineering Al alloys, it is principally the minority intermetallic phases that control critically the alloy mechanical properties and corrosion performance [1–4]. Fe-rich intermetallic compounds (IMCs) are an important feature of most Al alloys [5-13]. Due to a large difference between Fe solubility in liquid Al (5 wt.% at 800°C) and solid Al (less than 0.05 wt.%) [14] and the ubiquity of Fe in alloy feedstocks, especially when recycled, Fe precipitates as Fe-rich IMCs during solidification, generally in coarse (typically in the range of  $\sim$ 50 µm to several millimetres [2]), anisotropic morphologies. These IMCs, such as  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>,  $\alpha$ -Al<sub>15</sub>(Fe, Mn)<sub>3</sub>Si<sub>2</sub> and  $\beta$ -Al<sub>5</sub>FeSi, can act as stress concentrators and degrade the alloy ductility and toughness if their size and shape are not appropriately controlled, usually by solid-state thermomechanical processing [1–3]. Meantime, accumulation of Fe hinders repeated end-of-life alloy recycling. As a result, Al recycling to date has relied heavily on the addition of virgin Al produced by energyand carbon-intensive smelting process to constrain the Fe concentration, with associated penalties of energy consumption, carbon and other emissions, and logistical costs [1].

Amongst the Fe-rich IMCs, Al<sub>13</sub>Fe<sub>4</sub> is frequently the first Fe-rich phase to form during solidification in a range of engineering Al alloys, such as 2xx and 3xx cast alloys [15,3,16], and 5xxx and 6xxx wrought alloys [4,17,18]. In the widely used Si-containing Al alloys, such as 3xx and 6xxx alloys, Al<sub>13</sub>Fe<sub>4</sub> generally forms at higher temperatures and transforms to  $\alpha$ - and/or  $\beta$ -AlFeSi via quasi-peritectic reactions [3,19,16–18], with the orientation of  $\alpha$ and  $\beta$ -AlFeSi dictated by growth behaviour on the Al<sub>13</sub>Fe<sub>4</sub> crystal [17,19,16]. Consequently, the formation of Al<sub>13</sub>Fe<sub>4</sub> strongly affects the size and distribution of subsequent AlFeSi phases. Manipulation of the formation and growth of Al<sub>13</sub>Fe<sub>4</sub> may therefore offer opportunities to gain an extra degree of freedom in controlling the overall species, size and distribution of Fe-rich IMCs in engineering alloys, which may help promote closed-loop alloy recycling. Most work to date has focused on modification of the final IMC phase selection and growth directly, for example by adding transition metals such as Mn, Cr and Co [20-23], or rare earth elements such as Y, La and Ce [20,24,25].

Inspired by the inoculation of  $\alpha$ -Al and particularly its ease of operation - small additions (0.01 to 0.5 wt% [26]) of inoculantcontaining master alloys such as Al-5Ti-1B (wt.%) change otherwise coarse, elongated columnar  $\alpha$ -Al grains (up to centimetres) to a fine (< 100µm), equiaxed grain morphology [27,28] - the inoculation of Fe-rich IMCs has also been explored [29–31]. In an earlier, related work, Lui et al. observed frequent co-location between TiB<sub>2</sub> particle clusters and secondary  $\beta$ -AlFeSi IMCs, suggesting that the formation of  $\beta$ -AlFeSi IMCs may typically be initiated by TiB<sub>2</sub> particles [31]. With the advent of high-energy, high-brilliance synchrotrons and better laboratory-based X-ray sources, together with more efficient X-ray detectors, X-ray imaging techniques have been increasingly used to investigate solidification features in real time, such as crystal formation and growth [32,33], crystal fragmentation [34,35] and thermo-solutal convection [36,37]. As part of these studies, *in situ* X-ray imaging is used to study the dynamics of Fe-rich IMC formation and the effect of inoculant additions [38,9,11], for example the effect of Al-5Ti-1B additions on primary Al<sub>13</sub>Fe<sub>4</sub> formation in hypereutectuc Al-Fe alloys [38,9]. Using synchrotron X-ray radiography, Feng et al. [38] and later other researchers [9] observed a consistent increase in the number density and formation rate of primary Al<sub>13</sub>Fe<sub>4</sub> crystals when Al-5Ti-1B was added to Al-Fe alloys, at a range of solidification conditions.

The commonly used Al-5Ti-1B master alloy contains Al<sub>3</sub>Ti particles and TiB<sub>2</sub> particles coated with a nano-scale Al<sub>3</sub>Ti-type structure, with Al<sub>3</sub>Ti having better wetting with the Al melt and a more potent nucleant for  $\alpha$ -Al than TiB<sub>2</sub> [39–41,27,42]. Despite Al-5Ti-1B also being promising to promote the refinement of Al<sub>13</sub>Fe<sub>4</sub> and other similar Fe-rich IMC crystals [31,38,9], its detailed role in IMC formation is so far obscure.

In this paper, we present a new compositional design concept to enhance the impurity compatibility and hence recyclability of Al alloys. A model Al-3Fe (wt.%) alloy was first used, to simulate a high Fe-containing recycled alloy, with additions of 0.7 wt.% Ti. The Al-3Fe-0.7Ti alloy forms primary Al<sub>3</sub>Ti (inoculant) at a higher temperature and then Al<sub>13</sub>Fe<sub>4</sub> (IMC of interest), directly from the liquid, and finally  $\alpha$ -Al. Al<sub>3</sub>Ti inoculants are introduced to the alloy melt via direct additions of Ti rather than Al-5Ti-1B, because Al-5Ti-1B contains only a very small amount ( $\sim$ 0.5% [13,43]) of Al<sub>3</sub>Ti (and/or Al<sub>3</sub>Ti-coated TiB<sub>2</sub>) particles that are sufficiently large to serve as potent nucleants for the IMCs.

Electron backscattered diffraction (EBSD), *in situ* synchrotron X-ray radiography and post-solidification X-ray computed tomography (X-ray CT) are combined to study the formation and growth of primary Al<sub>13</sub>Fe<sub>4</sub> IMCs on pre-existing Al<sub>3</sub>Ti inoculants. EBSD is used to investigate the orientation relationships (ORs) of 163 Al<sub>3</sub>Ti - Al<sub>13</sub>Fe<sub>4</sub> pairs, and the lattice misfit calculated from the ORs showed good agreement with an analytical epitaxial nucleation model. Direct observation of Al<sub>13</sub>Fe<sub>4</sub> formation and growth on Al<sub>3</sub>-Ti is provided by *in situ* X-ray radiography, and is quantified by post-solidification X-ray CT analysis.

Beyond the model alloy system, the wider applicability of using Al<sub>3</sub>Ti to inoculate IMC formation is then confirmed in a recycled 6xxx alloy with a relatively high Fe concentration.

Unlike recent works on IMC inoculation [31,38] that were indirect studies based on either qualitative, post-solidification observation of physical contact between IMCs and inoculants [31] or imaging of IMC formation rate without and with inoculants but could not resolve the inoculants themselves [38], the current work presents *direct*, time-resolved evidence for IMC formation and growth on inoculant particles. We introduce a *semi-quantitative* description of IMC formation tendency on inoculants. Further, unlike previous work we present detailed OR and lattice misfit data based on a large dataset of low-symmetry Fe-rich IMCs and show for the first time the role of twinning-related pseudo-symmetry in the nucleation of Fe-rich IMCs. Finally, we provide a methodology for exploring more efficient inoculants for Al<sub>13</sub>Fe<sub>4</sub> and other deleterious IMCs that may ultimately assist the development of more impurity tolerant alloys and processes.

#### 2. Experimental methods

#### 2.1. Sample preparation

A model Al-3Fe (wt.%) alloy with 0.7wt.% Ti additions was chosen in which Al<sub>3</sub>Ti and then Al<sub>13</sub>Fe<sub>4</sub> formed from liquid. Under equilibrium conditions, Al<sub>3</sub>Ti forms at 825°C, and Al<sub>13</sub>Fe<sub>4</sub> starts to form at 710°C. Thermodynamic calculation of the phase fraction as a function of temperature is provided in Fig. S1 in the supplementary materials (SM). Table 1 summaries the techniques used to prepare the alloy ingot and samples for different types of characterisation, and it also lists the experimental (sample) conditions.

#### 2.1.1. Alloy preparation

The alloy was prepared by vacuum arc melting of Al (99.999%), Fe (99.99%) and Ti (99.99%). First, the chamber of the arc melter (Arc 200, Arcast Inc.) was evacuated to 35 mTorr and then backfilled with Ar. The raw materials were melted and mixed in a water cooled Cu crucible with a W-electrode. The solidified ingot was then flipped within the Cu crucible and remelted four times to promote mixing. The outcome was a cap-shaped ingot of ~100 g, with diameter ~50mm and thickness ~15mm, as shown schematically in Fig. S2 in the SM. This was followed by sample preparation for different types of characterisation.

#### 2.1.2. Electron microscopy

EBSD was used to investigate as-cast samples with a cooling rate estimated at  $\sim 5$  K s<sup>-1</sup> by thermal imaging in [44,45] of similar ingot sizes. Note the approximate cooling rate for as-cast alloys was comparable to the range used in the radiography experiments. For verification, EBSD was also performed on alloy samples solidified in radiography experiments (Table 1), with examples of their consistent ORs provided in the SM. Unless otherwise stated, electron images and EBSD maps are acquired for as-cast conditions.

EBSD samples were sectioned from the ingot (part 1 in Fig. S2 in the SM) using wire electro-discharge machining (wire EDM) and mounted in Struers PolyFast hot mounting resin, and then ground

with SiC papers to 4000 grit, followed by polishing with diamond suspension to  $1\mu m$  and finished with colloidal silica on a nap cloth.

To reveal the 3-D crystal morphology of primary  $Al_{13}Fe_4$  and  $Al_3Ti$  crystals, samples were prepared in the same procedure, followed by deep etching using a 5% NaOH solution for 1 h at room temperature to dissolve the Al matrix. The sample was then rinsed with ethanol and ultrasonically cleaned in an ethanol bath for 30 min to remove any residual etchant and reaction products.

#### 2.1.3. Synchrotron X-ray radiography

In situ synchrotron X-ray radiography was used to investigate the formation and growth dynamics of Al<sub>13</sub>Fe<sub>4</sub> on pre-existing Al<sub>3</sub>-Ti in the Al-3Fe-0.7Ti alloy. Foil samples for radiography were sliced from the ingot (part 2 in Fig. S2 in the SM) using wire EDM. Then samples of  $15 \times 8$ mm and thickness of  $200 \pm 5 \mu$ m were prepared by grinding and polishing to a final surface finish of 1 $\mu$ m. Each sample was encapsulated in an X-ray transparent BN cell (Multi-lab, UK) of 100 $\mu$ m thickness.

#### 2.1.4. X-ray CT

Laboratory-based, post-solidification X-ray CT was used to study in 3-D the proportion of primary  $Al_{13}Fe_4$  crystals with embedded  $Al_3Ti$ , as an evaluation of the tendency of  $Al_{13}Fe_4$  formation on  $Al_3Ti$ . To prepare the sample for X-ray CT, a rod of 2 mm diameter was machined from the arc melted ingot (part 3 in Fig. S2 in the SM) using wire EDM.

#### 2.2. Data acquisition and analysis

#### 2.2.1. EBSD

A Zeiss Merlin field emission scanning electron microscope (FE-SEM) fitted with a Bruker e-Flash high-resolution EBSD detector was used for crystallographic investigation. The EBSD system was calibrated using Bruker Esprit 2.3 software for accurate determination of crystal orientation (in the form of Euler angles), pattern centre positions and sample-to-detector distance. Esprit 2.3, together with Matlab V2021b (Mathworks, USA) were used to analyse the ORs between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub> crystals. The reference structures of Al<sub>3</sub>Ti [46] and Al<sub>13</sub>Fe<sub>4</sub> [47] used for pattern indexing are listed in Table 2.

Euler angles measured by EBSD were employed to identify the ORs between  $Al_3Ti$  and  $Al_{13}Fe_4$ , which comprised a pair of parallel planes and a pair of parallel directions on the planes. The planes

#### Table 1

A summary of the techniques used to prepare the alloy ingot and samples for characterisation, and the experimental (sample) conditions. FSEI: fore-scattered electron imaging. BSEI: backscattered electron imaging. EDM: electro-discharge machining.

Alloy ingot							
Composition Preparation technique Dimension (mm)			Al-3Fe-0 Arc mel ~50 (Dia.	0.7Ti ting .)×15			
Samples for characterisation							
Characterisation Sample dimension (mm) Preparation Sample condition	EBSD + FSEI $15 \times 8 \times 1$ Polishing 0.25 K s <sup>-1</sup> to as-cast	BSEI (deep-etched) 15 × 8 × 1 Polishing, deep etching As-cast	Radiography 15 $\times$ 8 $\times$ 0.2 EDM, polishing 0.25 to 4 K s <sup>-1</sup>	Tomography 2 (Dia.)×5 EDM As-cast			

Table 2

Crystal structures and lattice parameters used to index the EBSD patterns for Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>.

Phase	Space group	Pearson symbol	Lattice parameters				Ref.		
			a (Å)	b (Å)	c (Å)	α(°)	β (°)	γ (°)	
Al <sub>3</sub> Ti	I4/mmm	tI8	3.854	3.854	8.584	90	90	90	[46]
Al <sub>13</sub> Fe <sub>4</sub>	C2/m	mS102	15.492	8.078	12.471	90	107.7	90	[47]

and directions in the ORs should be close-packed or nearly closepacked in order for coherent or partially coherent interfaces to form to minimise the nucleation barrier [48-50]. A detailed description of the procedure for OR identification is provided in section S1.3 in the SM. 71 EBSD maps were acquired under ascast conditions, containing 163 Al<sub>3</sub>Ti particles that were embedded in 79 Al<sub>13</sub>Fe<sub>4</sub> crystals (i.e. 163 Al<sub>3</sub>Ti - Al<sub>13</sub>Fe<sub>4</sub> pairs). Because the Al<sub>13</sub>Fe<sub>4</sub> contained multiple twins and the Al<sub>3</sub>Ti often sat along or across the twin boundaries, an Al<sub>3</sub>Ti crystal can possess different (but interrelated) ORs with multiple twin domains of the Al<sub>13</sub>-Fe<sub>4</sub>, i.e. one Al<sub>3</sub>Ti - Al<sub>13</sub>Fe<sub>4</sub> pair could have multiple ORs. Overall, 340 pairs of near-parallel planes were identified, out of which 335 sets of ORs (98.5%) were successfully identified (i.e. containing both the near-parallel planes and directions). ORs are presented in the form of pole figures of the near-parallel planes and directions.

#### 2.2.2. Fore-scattered electron imaging

Fore-scattered electron (FSE) imaging was performed on EBSD samples, in a standard EBSD set-up, to provide orientation contrast of twin domains in the crystals. FSE images were acquired using colour-based Bruker ARGUS™imaging diodes that were mounted at the bottom edge of the EBSD detector. A schematic to demonstrate the set-up is provided in Fig. S3 in the SM. More details about this technique and the ARGUS imaging system can be found in [51,52].

#### 2.2.3. Synchrotron X-ray radiography

Synchrotron X-ray radiography experiments were carried out at the P05 beamline, PETRA III, Germany, using a bespoke Bridgeman furnace described elsewhere [38,43,11,13]. The rig was operated with a controlled Ar atmosphere to protect foil samples and heating elements from oxidation.

During solidification experiments, foil samples were illuminated with a monochromatic X-ray beam at an energy of 19 keV. The transmitted beam from the sample was collected onto a 200  $\mu$ m thick LuAG:Ce (Lu<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Ce) single crystal scintillator, which then projected the image onto a KIT CMOS detector through a 5× objective lens system. Radiography videos were recorded at 10 Hz with an image pixel size of 1.28 $\mu$ m. Overall, 45 solidification experiments were performed under near-isothermal cooling, at cooling rates ranging from 0.25 Ks<sup>-1</sup> to 4 Ks<sup>-1</sup>.

#### 2.2.4. Post-solidification X-ray CT

A laboratory-based, W-anode X-ray CT scanner (ZEISS Xradia 620 Versa, Carl Zeiss Inc., Pleasanton, USA) was used to scan the rod sample prepared in Section 2.1.4 at multiple length scales with a polychromatic cone beam X-ray, using a source voltage of 120 kV. The system employs two-stage magnification using conventional geometric magnification and an optically coupled scintillator post transmission, offering variable resolution and field of view depending on the objective lens selection. First, the sample was scanned at a lower magnification of  $4 \times$  (termed "Mag 1", at a voxel resolution of 2.4µm); 1601 projections were collected during a 180° rotation with an exposure time of 2 s per projection, providing a reconstructed sample volume of 10.4mm<sup>3</sup>. Second, scans at a higher magnification of  $20 \times$  were conducted (termed "Mag 2", at a voxel resolution of 0.47µm); 1201 projections were collected during a 180° rotation with an exposure time of 15 s to provide a regionof-interest scan of a smaller volume of 0.1mm<sup>3</sup>. The projections were then reconstructed and segmentation performed to separate crystals of different phases, i.e.  $Al_{13}Fe_4$ ,  $Al_3Ti$  and the  $\alpha$ -Al matrix, following a procedure described in section S1.5 in the SM.

The scan at Mag 1 was used to analyse the number of  $Al_{13}Fe_4$  crystals that contained  $Al_3Ti$ . Each  $Al_{13}Fe_4$  crystal in the sample volume was examined slice-by-slice manually for any embedded  $Al_3Ti$  crystals. The same process was repeated twice to promote accuracy. The  $Al_{13}Fe_4$  and  $Al_3Ti$  were easily distinguished by X-ray attenuation contrast. The scan at Mag 2 was used to investigate individual  $Al_3Ti$  -  $Al_{13}Fe_4$  pairs from different angles, as a complement to deep etching and microscopy.

#### 3. Results

Figs. 1 (a) to (d) show backscattered electron images of Al<sub>3</sub>Ti embedded either fully or partially in Al<sub>13</sub>Fe<sub>4</sub> crystals, suggesting that Al<sub>3</sub>Ti particles might act as nucleants for Al<sub>13</sub>Fe<sub>4</sub>. The light grey phase is Al<sub>13</sub>Fe<sub>4</sub> (denoted as AF), the dark grey phase is Al<sub>3</sub>Ti (denoted as AT), and the black phase is Al. More SEM images (including images at lower magnifications) are available in Fig. S4 in the SM. Figs. 1(e) to (h) show FSE images that provide orientation contrast, with twin domains in Al<sub>13</sub>Fe<sub>4</sub> clearly resolved.

Fig. 2 presents an example of the data and methodology for OR identification. In this case, an  $Al_3Ti$  and an  $Al_{13}Fe_4$  crystal were closely attached to each other, with their preferential growth direction parallel (Fig. 2(a)). Considering that both phases formed as



**Fig. 1.** (a) to (d) Backscattered electron images of Al<sub>3</sub>Ti embedded either fully or partially in Al<sub>13</sub>Fe<sub>4</sub> crystals. The light grey phase is Al<sub>13</sub>Fe<sub>4</sub>, the dark grey phase is Al<sub>3</sub>Ti, and the black phase is Al. (e) to (h) FSE images of Al<sub>3</sub>Ti embedded either fully or partially in Al<sub>13</sub>Fe<sub>4</sub>, showing orientation contrast. AF: Al<sub>13</sub>Fe<sub>4</sub>; AT: Al<sub>3</sub>Ti.



**Fig. 2.** (a) An FSE image showing an  $A_{13}$ Ti crystal (AT) and an  $A_{1_3}$ Fe<sub>4</sub> crystal (AF) closely associated with each other, with parallel preferential growth direction. (b) An FSE image at a higher magnification for the area indicated by an yellow box in (a). (c) An EBSD phase map of the  $A_{1_3}$ Fe<sub>4</sub> and  $A_{1_3}$ Ti in (b). The blue phase is  $A_{1_3}$ Fe<sub>4</sub>, and the green phase is  $A_{1_3}$ Ti (d) An inverse pole figure colour map (IPF-Z) revealing the orientations of the  $A_{1_3}$ Fe<sub>4</sub> and  $A_{1_3}$ Ti crystals. A and B indicate twin domains in the  $A_{1_3}$ Fe<sub>4</sub> crystal. (e) Superimposed pole figures of the near-parallel planes and directions of  $A_{1_3}$ Ti and  $A_{1_3}$ Fe<sub>4</sub> (twin A). The green square box in each pole figure indicates the  $A_{1_3}$ Ti, and the blue triangle indicates the  $A_{1_3}$ Fe<sub>4</sub>. (f) Unit cell wire frames, showing the orientation of the  $A_{1_3}$ Ti (green) and its counterpart in the  $A_{1_3}$ Fe<sub>4</sub> (blue). (g) and (h) Experimental EBSD patterns of  $A_{1_3}$ Ti and  $A_{1_3}$ Fe<sub>4</sub> (twin A), respectively. (i) and (j) Corresponding dynamical simulation patterns. (k) and (l) The same simulated patterns with the near-parallel planes and zone axes (directions) indexed.

primary phases in free liquid, rather than constrained by any preexisting solid network, it was likely that the  $Al_{13}Fe_4$  with the lower formation temperature formed on pre-existing  $Al_3Ti$  (as shown later by *in situ* X-ray radiography). Fig. 2(b) provides a higher magnification view indicated by the yellow box in Fig. 2(a), and emphasises the orientation contrast from twinning. Fig. 2(c) shows an EBSD phase map, where blue phase is  $Al_{13}Fe_4$  and green phase is  $Al_3Ti$ . Fig. 2(d) is an inverse pole figure colour map (IPF-Z) revealing the orientation of the  $Al_{13}Fe_4$  and  $Al_3Ti$  crystals. Fig. 2(d) also shows parallel twin domains (denoted as A and B) in  $Al_{13}Fe_4$ , with twin A sharing an interface with the  $Al_3Ti$ . Following the method described in Section 2.2.1, Fig. 2(e) shows the superimposed pole

figures of the near-parallel planes and near-parallel directions of the Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>. One plane in the {220} family of the Al<sub>3</sub>Ti was near-parallel (angular mismatch  $\theta = 3.6^{\circ}$ ) with the {040} of twin A in the Al<sub>13</sub>Fe<sub>4</sub>; and one direction of the  $\langle 110 \rangle$  family of the Al<sub>3</sub>Ti was near-parallel ( $\theta = 3.3^{\circ}$ ) with the  $\langle 001 \rangle$  of twin A of the Al<sub>13</sub>Fe<sub>4</sub>. For clarity, the orientation of the Al<sub>3</sub>Ti crystal and its counterpart in the Al<sub>13</sub>Fe<sub>4</sub> are illustrated by unit cell wire frames in Fig. 2(f), with the near-parallel planes and directions indicated.

To verify the OR, the EBSD patterns of the Al<sub>3</sub>Ti and its counterpart in the Al<sub>13</sub>Fe<sub>4</sub> were further analysed by performing cross correlation between the experimental patterns and dynamical simulation patterns using Bruker DynamicS software. Figs. 2(g) and (h) are the experimental patterns for Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti, and

#### Table 3

The ORs identified between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub> (twin A) in Figs. 2(k) and (l). The close-packed OR is indicated by C.P. OR. \* Denotes less close-packed planes or directions involved in the other ORs.

	Parallel planes		Parallel directions	
	AT	AF A	AT	AF A
C.P. OR	{ <b>220</b> }	{040}	(110)	(001)
Other ORs	{116}*	<b>{620}</b>	(110)	(001)
	{004}	{ <b>800</b> }*	(110)	(001)
	{204}	{ <b>ē</b> 23}	(221)*	(102)

Figs. 2(i) and (j) show the best-fit simulation patterns, with a cross correlation coefficient (CCC) of 0.73 and 0.58 respectively. A CCC = 1 indicates a perfect fit, and CCC > 0.5 is considered as a good fit for low-symmetry IMCs [53,45]. Figs. 2(k) and (l) reproduce the simulation patterns but now with the near-parallel bands (i.e. planes) and zone axes (i.e. directions) indexed, confirming  $\{220\}_{AT}/\{040\}_{AF}$  and  $\langle110\rangle_{AT}/\langle001\rangle_{AF}$ , as shown in Fig. 2(e). In addition, Figs. 2(k) and (l) also revealed other less close-packed ORs, which are listed in Table 3. The similarity of the two patterns for Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub> in Figs. 2(k) and (l) is readily apparent.

In comparison with Fig. 2(a), where one Al<sub>3</sub>Ti crystal and one Al<sub>13</sub>Fe<sub>4</sub> crystal are parallel sharing a single interface, Al<sub>13</sub>Fe<sub>4</sub> crystals were also frequently associated with more than one Al<sub>3</sub>Ti crystal, in a cruciform (orthogonal) configuration. Fig. 3 presents backscattered electron images of the 3-D morphology of deep etched Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti. Fig. 3(a) shows the microstructure at lower magnification, and Figs. 3(b) to (d) show examples of Al<sub>13</sub>Fe<sub>4</sub> crystals associated with Al<sub>3</sub>Ti particles orientated in the orthogonal configuration.

From the 79  $Al_3$ Ti-containing  $Al_{13}$ Fe<sub>4</sub> crystals studied by EBSD, 49% (39 out of 79) contained at least two orthogonal  $Al_3$ Ti crystals, and 24% (19 out of 79) contained a cluster of three orthogonal  $Al_3$ Ti crystals.

A further example of this orthogonal configuration is shown in Fig. 4. The FSE image in Fig. 4(a) and the phase map in Fig. 4(b) show multiple  $Al_3Ti$  particles embedded (either fully or partially)



**Fig. 3.** (a) A backscattered electron image showing the microstructure of Al-3Fe-0.7Ti in a deep etched condition. (b) to (d) Backscattered electron images showing Al<sub>13</sub>Fe<sub>4</sub> crystals associated with Al<sub>3</sub>Ti particles that were orientated in an orthogonal configuration. The light grey phase is Al<sub>13</sub>Fe<sub>4</sub> (AF), and the dark grey phase is Al<sub>3</sub>Ti (AT).



**Fig. 4.** (a) An FSE image showing an  $Al_{13}Fe_4$  crystal associated with multiple  $Al_3Ti$  particles. The red box indicates the area of the EBSD maps in (b) and (c). (b) An EBSD phase map of the  $Al_{13}Fe_4$  and  $Al_3Ti$  crystals in (a). The blue phase is  $Al_{13}Fe_4$ , and the green phase is  $Al_3Ti$ . (c) An inverse pole figure colour map (IPF-Z) revealing the orientations of the  $Al_{13}Fe_4$  and  $Al_3Ti$  crystals. "1", "2" and "3" denote three  $Al_3Ti$  particles that sat in an orthogonal configuration. A and B denote the twins in the  $Al_{13}Fe_4$  crystal. (d) Superimposed pole figures of the  $\langle 100 \rangle$  and  $\langle 001 \rangle$  directions for  $Al_3Ti$  particle 1, 2 and 3, confirming an orthogonal arrangement. (e) Unit cell wire frames, showing the orientations of  $Al_3Ti$  particle 1, 2, and 3 and the orientation of twin A in the  $Al_{13}Fe_4$ . The near-parallel planes are highlighted, and the near-parallel directions are approximately perpendicular to the paper. (f) Superimposed pole figures of the near-parallel planes and directions of  $Al_3Ti$  particles and twin A of the  $Al_{13}Fe_4$ .

in an Al<sub>13</sub>Fe<sub>4</sub> plate. "1", "2" and "3" in the IPF-Z (Fig. 4(c)) denote three orthogonal Al<sub>3</sub>Ti particles. Meanwhile, similar to Fig. 2(d), Fig. 4(c) again reveals layered twin domains in the Al<sub>13</sub>Fe<sub>4</sub> plate, labelled as "A" (green area) and "B" (light blue area). Superimposed pole figures of the  $\langle 100 \rangle$  and  $\langle 001 \rangle$  directions for Al<sub>3</sub>Ti particles 1, 2 and 3 (Fig. 4(d)) confirmed the orthogonal arrangement: there was a 90° rotation between particles 1 and 2, 2 and 3, and 1 and 3 about their common a-axis, as also illustrated by unit cell wire frames in Fig. 4(e).

ORs were identified between twin A of the  $Al_{13}Fe_4$  and  $Al_3Ti$  particle 1, 2 and 3, respectively, and they are presented in Fig. 4 (f). The 3 ORs were:

$$\{204\}_{AT1} / / \{623\}_{AF}; \langle 241 \rangle_{AT1} / / \langle 102 \rangle_{AF}$$
(1)

$$\{204\}_{AT2} / / \{623\}_{AF}; \langle 241 \rangle_{AT2} / / \langle 102 \rangle_{AF}$$
(2)

$$\{220\}_{AT3} / \langle \bar{6}23 \rangle_{AF}; \langle 111 \rangle_{AT3} / \langle 102 \rangle_{AF}$$
(3)

The ORs are illustrated in Fig. 4(e), with the near-parallel planes highlighted, and the corresponding near-parallel directions in this case were approximately perpendicular to the page, as can be noticed from the pole figures in the second column of Fig. 4(f). Furthermore, the ORs above and the wire frames in Fig. 4(e) suggest that near-parallelism existed between  $\{220\}$  of Al<sub>3</sub>Ti particle 3

and {204} of Al<sub>3</sub>Ti particle 1 and 2. This can be ascribed to the bodycentred tetragonal (D0<sub>22</sub>) (super) structure of Al<sub>3</sub>Ti, with  $c \approx 2a$ (c/2a = 1.11) [46]. The unit cell can be viewed as two facecentred cubic (L1<sub>2</sub>) cells stacking along the c-axis if assuming c = 2a [54,55].

Further SEM images of  $Al_3Ti$  in similar arrangements can be found in Fig. S4 in the SM, and more EBSD maps of  $Al_{13}Fe_4$  containing orthogonal  $Al_3Ti$  are provided in Fig. S5 of the SM.

An interpretation of the frequently observed orthogonal configuration could be that  $Al_3Ti$  particles formed on the same nucleation site and grew in such a way to minimise the surface free energy as they formed as a primary phase from the free liquid [56]. A similar observation was reported by St. John and Hogan in 1979, in a hypereutectic Al-Ti alloy studied by SEM [57]. They found that two or more  $Al_3Ti$  particles could grow with their (001) planes perpendicular to one another from the same site.

In situ synchrotron X-ray radiography was employed to investigate the dynamics of  $Al_{13}Fe_4$  formation on  $Al_3Ti$ . Fig. 5(a) shows an  $Al_3Ti$  crystal (highlighted by a yellow box) prior to the formation of any  $Al_{13}Fe_4$  in the field of view (above the  $Al_{13}Fe_4$  liquidus temperature). As cooling proceeded,  $Al_{13}Fe_4$  started to develop (indicated by a yellow ellipse in Fig. 5(b)) on or from the pre-existing  $Al_3Ti$ . The subsequent frames show the growth of the newly formed  $Al_{13}$ -Fe<sub>4</sub> crystals, and the yellow arrows indicate the dominant growth directions at different stages of growth.

At the earlier stages (Figs. 5(b) and (c)), the newly formed Al<sub>13</sub>-Fe4 adopted a high-aspect-ratio, needle-like morphology and lengthened rapidly, with an average velocity of  $21\mu m s^{-1}$  measured over the first 7 s of growth. Subsequently, the preferential lengthening slowed down, and instead lateral growth became dominant (along the yellow arrow in Fig. 5(d)), via which a large facet (with a final length  $> 400 \mu m$  and width  $> 100 \mu m$ ) started to develop. The Al<sub>13</sub>Fe<sub>4</sub> crystal progressively turned from a needle-like morphology to a plate-like morphology. The inset image in Fig. 5(e) provides a zoomed-in view of the advancing edge of the facet at time t = 19 s. Microscopic steps can be noticed, indicated by a red dashed line in the inset image of Fig. 5(e). Similar microscopic steps have been frequently observed in extracted Al<sub>13</sub>- $Fe_4$  [45], and other faceted IMCs such as  $Al_{45}Cr_7$  [45],  $Al_8Mn_5$  [58] and Cu<sub>6</sub>Sn<sub>5</sub> [59], and were suggested to be associated with twin plane re-entrant grooves [45]. The large facets developed in this mechanism (Figs. 5(d) and (e)), and the crystal then thickened in the later stages (Fig. 5(f)), incorporating the Al<sub>3</sub>Ti. Readers are invited to watch the radiographic video (Video 1) in the on-line SM, which gives a clearer impression of the growth dynamics and the role of microscopic steps.

In contrast to Fig. 5 that shows Al<sub>13</sub>Fe<sub>4</sub> developing from a single Al<sub>3</sub>Ti crystal, Fig. 6 shows an example of Al<sub>13</sub>Fe<sub>4</sub> formation on preexisting orthogonal Al<sub>3</sub>Ti particles. Fig. 6(a) shows plate-like Al<sub>3</sub>Ti crystals in an orthogonal arrangement, and the inset image shows



**Fig. 5.** An X-ray radiograph sequence showing the development of an Al<sub>13</sub>Fe<sub>4</sub> crystal from a pre-existing Al<sub>3</sub>Ti crystal at 0.5K s<sup>-1</sup>, under near-isothermal cooling. The yellow arrows indicate the dominant growth directions of the Al<sub>13</sub>Fe<sub>4</sub> crystal at different stages of solidification.



**Fig. 6.** An X-ray radiograph sequence showing the development of an Al<sub>13</sub>Fe<sub>4</sub> crystal from pre-existing Al<sub>3</sub>Ti crystals in an orthogonal configuration, at 0.25K s<sup>-1</sup>, under near-isothermal cooling. The inset image in (a) provides a zoomed-in view of the Al<sub>3</sub>Ti crystals, with green dashed lines superimposed to highlight the edges of the orthogonal Al<sub>3</sub>Ti plates.

a zoomed-in view, with the superimposed green dashed lines highlighting the edges of the orthogonal Al<sub>3</sub>Ti plates. Later, Al<sub>13</sub>Fe<sub>4</sub> grew from the centre of the orthogonal Al<sub>3</sub>Ti plates (Fig. 6(b)). The Al<sub>13</sub>Fe<sub>4</sub> crystal in this case showed a similar growth behaviour to Fig. 5, with rapid lengthening at an earlier stage (Figs. 6(b) to (d)) and then thickening in the later stage of growth (Figs. 6(e) to (f)). Post-solidification EBSD maps and pole figures for these crystals in the solidified radiography sample are provided in Fig. S6 in the SM. The Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti crystals possessed the same type of ORs as those in Figs. S5(a) to (d) under as-cast conditions.

Fig. S7 in the SM provides example radiographs that show IMCs in the Al-3Fe alloys without and with Ti additions. Correspondingly, Table S2 in the SM lists the average IMC size in these alloys.

X-ray CT was used to investigate the overall tendency of  $Al_{13}Fe_4$  forming on  $Al_3$ Ti. Fig. 7(a) shows a 3-D volume rendering of Al-3Fe-0.7Ti in the as-cast condition, at magnification Mag 1 (4×), and Fig. 7(b) shows the  $Al_3$ Ti (green) and  $Al_{13}Fe_4$  (blue) (with  $\alpha$ -Al matrix removed) in the volume indicated by an orange box in Fig. 7(a), at magnification Mag 2 (20×). Fig. 7(c) is a zoomed-in view showing an  $Al_{13}Fe_4$  plate associated with three orthogonal  $Al_3$ Ti plates.

Using these data, the tendency for  $Al_{13}Fe_4$  to form on  $Al_3Ti$  was evaluated by studying the number of  $Al_{13}Fe_4$  crystals with embedded  $Al_3Ti$ , facilitated by attenuation differences of the two phases.

286 Al<sub>13</sub>Fe<sub>4</sub> crystals were identified in a sample volume of 10.4mm<sup>3</sup>, out of which 151 (53%) had Al<sub>3</sub>Ti embedded or partially embedded. This suggests that approximately 53% of the Al<sub>13</sub>Fe<sub>4</sub> formed on Al<sub>3</sub>Ti, likely an underestimate due to the resolution limit of the experiments. The formation of the other Al<sub>13</sub>Fe<sub>4</sub> crystals might be associated with other inclusions, such as oxides or other particles too small to resolve. Similarly, *in situ* radiographic studies of an Al-3Fe (wt.%) alloy with Al-5Ti-1B (wt.%) additions suggested that ~50% of Al<sub>13</sub>Fe<sub>4</sub> formed on Al<sub>3</sub>Ti-containing inoculants [38].

#### 4. Discussion

#### 4.1. Orientation relationships between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>

Following the method detailed in section S1.3 in the SM, 340 pairs of near-parallel, close-packed planes and 335 sets of full ORs were identified in 163 Al<sub>3</sub>Ti - Al<sub>13</sub>Fe<sub>4</sub> pairs by EBSD. As explained earlier, one Al<sub>3</sub>Ti - Al<sub>13</sub>Fe<sub>4</sub> pair can possess more than one OR because Al<sub>3</sub>Ti was frequently embedded across twin domains of Al<sub>13</sub>Fe<sub>4</sub>. The colour map in Fig. 8(a) summarises the near-parallel, close-packed planes identified between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>. { $\bar{6}23$ } and {620} were the mostly frequently involved planes for Al<sub>13</sub>Fe<sub>4</sub>, followed by {025}. The three plane families



**Fig. 7.** (a) 3-D volume rendering of the Al-3Fe-0.7Ti sample in an as-cast condition, at magnification Mag 1. The orange box indicates the field of view of (b). (b) 3-D microstructure showing Al<sub>3</sub>Ti (green) and Al<sub>13</sub>Fe<sub>4</sub> (blue) at magnification Mag 2 (with  $\alpha$ -Al matrix removed). (c) A zoomed-in view extracted from the black box in (b), showing an Al<sub>13</sub>Fe<sub>4</sub> plate associated with orthogonal Al<sub>3</sub>Ti plates.

had high similarity: d-spacings of 2.095Å for { $\bar{6}23$ }, 2.101Å for {620}, and 2.048Å for {025}, and planar atomic densities of 16 atoms nm<sup>-2</sup> for { $\bar{6}23$ }, 18 atoms nm<sup>-2</sup> for {620}, and 16 atoms nm<sup>-2</sup> for {025}. Each of these plane families contained two symmetry-related planes (or four if including the parallel planes with a different sign) at approximately the same angle:  $62.5^{\circ}$  for { $\bar{6}23$ },  $62.7^{\circ}$  for {620} and  $60.9^{\circ}$  for {025}.

The colour map in Fig. 8(b) summarises all the near-parallel, close-packed directions identified between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>.  $\langle 001 \rangle$  and  $\langle 102 \rangle$  were the most frequently involved directions for Al<sub>13</sub>Fe<sub>4</sub>, in good correspondence with the two most prevalent near-parallel planes {620} and { $\bar{6}23$ } in Fig. 8(a):  $\langle 001 \rangle$  is the most close-packed direction on {620}, and  $\langle 102 \rangle$  is the most close-packed direction on { $\bar{6}23$ }.

(a)	${040}_{AF}$	${620}_{AF}$	{025}	<sub>AF</sub> {623}	$A_{AF} \{\overline{6}05\}_{AF}$	:
$\{112\}_{AT}$	17	31	21	33	16	High
$\{004\}_{AT}$	2	15	6	12	3	
$\{200\}_{AT}$	10	16	22	18	5	
$\{204\}_{AT}$	13	20	5	25	9	
$\{220\}_{AT}$	10	10	8	11	2	
Total	52	92	62	99	35	Low
(b)	(001) <sub>A</sub>	<sub>F</sub> ⟨10(	)) <sub>AF</sub>	$\left< 010 \right>_{\sf AF}$	$\left< 102 \right>_{\sf AF}$	
$\left< 201 \right>_{\rm AT}$	20	17		5	20	High
$\left< 110 \right>_{ m AT}$	39	9		5	27	
$\left< 241 \right>_{\rm AT}$	26	7		8	27	
$\left< 111 \right>_{\rm AT}$	11	12		6	13	
$\left< 100 \right>_{ m AT}$	14	14		5	14	
$\left< 001 \right>_{ m AT}$	2	10		2	5	
Other	6	3		2	6	
Total	118	72		33	112	Low

**Fig. 8.** Colour maps summarising (a) the near-parallel planes and (b) near-parallel directions identified between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>. AT: Al<sub>3</sub>Ti; AF: Al<sub>13</sub>Fe<sub>4</sub>.

Taking into account of both the near-parallel planes and nearparallel directions, Table 4 lists the most frequent ORs between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>. The bar chart in Fig. 9(a) shows the distribution of ORs, with their angular mismatch (calculated based on Euler angles according to section S1.3 in the SM) shown in Fig. 9(b). Overall, there was no predominant type of OR between Al<sub>3</sub>Ti and  $Al_{13}Fe_4$  (Fig. 9(a)). OR8 was the most frequently identified OR, and 16 out of 335 ORs (5%) fell into this type, where one of the  $\{204\}$  planes in Al\_3Ti was near-parallel with one of the  $\{\bar{6}23\}$ planes in  $Al_{13}Fe_4$  and one of the  $\langle 241 \rangle$  directions in  $Al_3Ti$  was near-parallel with the (102) direction in Al<sub>13</sub>Fe<sub>4</sub>. The wide variety of ORs between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>, corresponding to different types of interfaces, might account for the range of measured nucleation undercoolings of 10 to 30 K for Al<sub>13</sub>Fe<sub>4</sub> [60]. The angular mismatch between the near-parallel planes and directions varied between 2° and 4° (Fig. 9(b)).

Table 4 and Fig. 9(a) show that the {112} planes and  $\langle 110 \rangle$  directions of Al<sub>3</sub>Ti were frequently involved in the ORs: four out of eight ORs involved {112} (OR1 to OR4) and  $\langle 110 \rangle$  (OR1, OR3, OR5 and OR6), respectively. The {112} planes and  $\langle 110 \rangle$  directions of Al<sub>3</sub>Ti were also frequently involved in the ORs when Al<sub>3</sub>Ti catalysed  $\alpha$ -Al nucleation [61,48,62]. For Al<sub>13</sub>Fe<sub>4</sub>, all the ORs were associated with either {620}  $\langle 001 \rangle$  or { $\bar{6}23$ }  $\langle 102 \rangle$ , and this is investigated in detail in Section 4.3.

#### 4.2. Lattice misfit

An OR can be written in generic form  ${h'k'l'}_N \langle u'v'w' \rangle_N / {hkl}_S \langle uvw \rangle_S$ , where *N* denotes the nucleant, and *S* denotes the solid nucleus.  $\langle u'v'w' \rangle$  and  $\langle uvw \rangle$  are close-packed or nearly close-packed directions on the close packed

Table 4						
A list of the most frequent	ORs between	$Al_3Ti$ and	Al <sub>13</sub> Fe <sub>4</sub> .	AT: A	l₃Ti. AF:	Al <sub>13</sub> Fe <sub>4</sub> .

	Paralle	Parallel planes		directions
	Al <sub>3</sub> Ti	Al <sub>13</sub> Fe <sub>4</sub>	Al <sub>3</sub> Ti	Al <sub>13</sub> Fe <sub>4</sub>
OR1	{112}	<i>{</i> 620 <i>}</i>	(110)	(001)
OR2	{112}	{620}	(241)	(001)
OR3	{112}	{ <b>ē</b> 23}	$\langle 110 \rangle$	$\langle 102 \rangle$
OR4	{112}	{623}	$\langle 241 \rangle$	$\langle 102 \rangle$
OR5	{004}	{620}	(110)	(001)
OR6	{004}	{ <b>ē</b> 23}	$\langle 110 \rangle$	$\langle 102 \rangle$
OR7	{204}	{620}	(241)	(001)
OR8	{204}	{ <b>ē</b> 23}	(241)	(102)



**Fig. 9.** (a) A bar chart showing the distribution of the most frequent ORs between Al<sub>3</sub>Ti and Al<sub>13</sub>Fe<sub>4</sub>. The corresponding planes and direction of the ORs are provided in Table 4. (b) A bar chart showing the angular mismatch of the corresponding planes and direction of each OR.

planes  $\{h'k'l'\}$  and  $\{hkl\}$ , respectively. The lattice misfit at the solid nucleus/nucleant interface is defined as [50]:

$$f = \frac{|r_{\langle uvw \rangle} - r_{\langle u'v'w \rangle}|}{r_{\langle uvw \rangle}}$$
(4)

where  $r_{\langle u\nu w \rangle}$  and  $r_{\langle u'\nu' w' \rangle}$  are the interatomic distances along the close-packed directions  $\langle u\nu w \rangle$  (of the nucleus) and  $\langle u'\nu' w' \rangle$  (of the nucleant), respectively.

Fig. 10(a) shows the lattice misfit *f* associated with the ORs in Table 4 and Fig. 9. A list of  $r_{\langle uvw \rangle}$  and  $r_{\langle u'v'w \rangle}$  used for the lattice misfit calculation is provided in Table S3 in the SM. The misfits all fell below 10% (Fig. 10(a)), commonly accepted as a low misfit strain in models of this type [63,50,48,64].

For lattice misfits <10%, the epitaxy theory, first proposed by Frank and Van der Merwe [65], links misfit, misfit strain energy and interface formation. More recently the theory was adopted by Fan et al. in an epitaxial nucleation model [50] that concerned early-stage nucleation in particular.

In the epitaxy model, heterogeneous nucleation, at an undercooling beyond a critical undercooling, is assumed to occur epitaxially via atom-by-atom building of the new solid phase on the nucleant "template". The initial structure of the solid nucleus is pseudomorphic, mimicking the crystal structure of the substrate. Elastic strain energy increases as the pseudomorphic solid layer builds up, due to the lattice misfit between the solid and the substrate (f in Eq. 4). The elastic strain energy per unit area  $E_s$  is related to f and the pseudomorphic layer thickness h via [50]:

$$E_{\rm S} = \frac{2\mu(1+\nu)}{1-\nu} f^2 h$$
(5)

where  $\mu$  is the shear modulus of the nucleus material, and v is the Poisson's ratio. This elastic strain energy can be accommodated in the pseudomorphic structure via lattice distortion up to a critical layer thickness  $h_c$ , above which the stored strain energy destabilises the arrangement [50]. Nucleation can only continue if the strain energy is relieved or accommodated by strain relaxation such as the formation of dislocations and stacking faults. Assuming strain relaxation only via misfit dislocations [66,50,67], the dislocation energy per unit area  $E_D$  can be written as:

$$E_D = \frac{\mu}{2\pi(1-\nu)} fb(ln\frac{h_c}{b} + 1)$$
(6)

where *b* is the Burger's vector. For Al<sub>13</sub>Fe<sub>4</sub>, the magnitude of the Burger's vector is 0.8 nm [68]. The critical thickness  $h_c$  is defined



**Fig. 10.** (a) A bar chart of the lattice misfit *f* associated with the ORs in Table 4 and Fig. 9. (b) The critical layer thickness  $h_c$  as a function of the lattice misfit *f* for Al<sub>13</sub>Fe<sub>4</sub>, calculated from Eq. 7 based on the epitaxial nucleation model.  $E_5$  is the elastic strain energy per unit area,  $E_D$  is the dislocation energy per unit area,  $\mu$  is the shear modulus,  $\nu$  is the Poisson's ratio, and *b* is the Burger's vector of Al<sub>13</sub>Fe<sub>4</sub>. Parameters used for calculation are: b = 0.8 nm and  $\nu = 0.2$ .

as the layer thickness at which the strain energy equals the dislocation energy, assuming complete strain relaxation [50,67]:

$$\frac{2\mu(1+\nu)}{1-\nu}f^{2}h_{c} = \frac{\mu}{2\pi(1-\nu)}fb(ln\frac{h_{c}}{b}+1)$$

$$h_{c} = \frac{b}{4\pi(1+\nu)f}(ln\frac{h_{c}}{b}+1)$$
(7)

Noting that  $h_c$  is on both sides of Eq. 7 and so solving numerically, Fig. 10(b) shows that  $h_c$  decreases rapidly with increasing f. A critical misfit  $f_c$  can also be assumed of  $h_c = b$ , beyond which lattice misfit cannot be accommodated by misfit dislocations. Under these conditions, the critical misfit for Al<sub>13</sub>Fe<sub>4</sub> was  $f_c \approx 6.7$  % (Fig. 10(b)), which agrees well with the lattice misfit of the experimentally identified ORs (Fig. 10(a)). In practice, mechanisms in addition to misfit dislocations may also accommodate misfit strain, such as stacking faults. This may be supported by the finding that twinning was identified in all the Al<sub>13</sub>Fe<sub>4</sub> crystals.

Noting that the Al<sub>13</sub>Fe<sub>4</sub> forms on Al<sub>3</sub>Ti at 710° C, the temperature dependence of the lattice misfit should also be assessed. Therefore, lattice parameters for the phases were obtained from refined synchrotron X-ray diffraction data taken at 25° C to 500° C. The results are available as Fig. S8 in the SM. Although both the Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti latices expanded as the temperature increased, the resultant changes in the lattice parameters were negligible compared with the misfit between the two lattices. The lattice misfit therefore remained largely insensitive (variation < 0.1%) to temperature in this temperature range. Although data was not available at 710° C, given the melting points of Al<sub>13</sub>Fe<sub>4</sub> (1160° C) and Al<sub>3</sub>Ti (1412° C), it is reasonable to assume that lattice misfit was relatively insensitive to temperature under the temperature range studied.

#### 4.3. Twinning

Twinning was identified in all Al<sub>13</sub>Fe<sub>4</sub> particles, and Al<sub>3</sub>Ti particles were preferentially sited on (such as Fig. 1(h) and and Al<sub>3</sub>Ti particle 2 in Fig. 4(c)) or across Al<sub>13</sub>Fe<sub>4</sub> twin boundaries (such as Al<sub>3</sub>Ti particle 1 and 3 in Fig. 4(c)). Because of this, an Al<sub>3</sub>Ti crystal could possess multiple ORs with the different Al<sub>13</sub>Fe<sub>4</sub> twin domains. Among 163 Al<sub>3</sub>Ti – Al<sub>13</sub>Fe<sub>4</sub> pairs, 56 (34%) possessed 2 ORs and 51 (31%) possessed 3 or more ORs.

The FSE image in Fig. 11(a), together with the Euler angle map (Fig. 11)(b)) and phase map (Fig. 11(c)), shows an Al<sub>3</sub>Ti crystal sitting across multiple twin domains in an Al<sub>13</sub>Fe<sub>4</sub> crystal (labelled "A" to "G" in Fig. 11(b)). Two types of twinning were identified: {100} twins and {001} twins. {100} twins included A (purple area) and B (light blue area), C (brown area) and D (grey area), and E (green area) and G (red area). {001} twins included A (purple area) and C (brown area), E (green area) and F (blue area), and D (grey area) and G (red area). Pole figures for the twin planes and common direction are provided in Fig. S9 in the SM. Fig. 11(d) shows the unit cells for {100} twins (i.e. sharing a {100} interface) and {001} twins (i.e. sharing a {001} interface), viewed along the common (010) direction. The same types of twins were reported by EBSD studies on primary Al<sub>13</sub>Fe<sub>4</sub> solidified at cooling rates up to 5  $\text{Ks}^{-1}$  [8,45], and by selected area electron diffraction (SAED) on eutectic Al<sub>13</sub>Fe<sub>4</sub> solidified at cooling rates up to  $\sim 1 \text{ Ks}^{-1}$  [69]. However differently from previous work that focused on the identification of Al<sub>13</sub>Fe<sub>4</sub> twinning, here we investigate the relationship with the Al<sub>3</sub>Ti nucleant.

The pole figures in Fig. 11(e) show the ORs between the Al<sub>3</sub>Ti and different twin domains of the Al<sub>13</sub>Fe<sub>4</sub> crystal, which are also illustrated by unit cell wire frames in Fig. 11(f). Domains A, B, C, E and F of the Al<sub>13</sub>Fe<sub>4</sub> had close packed planes and directions near-parallel with the same {200} plane and  $\langle 201 \rangle$  direction of the Al<sub>3</sub>Ti, whereas D did not. The near-parallel planes and directions are marked by red and orange circles respectively in the pole

figures, and also highlighted in the unit cell wire frames. Because A and B were {100} twins, identified as a 180° rotation about the common  $\langle 001 \rangle$  axis [8], their {620} planes (and other planes containing the common  $\langle 001 \rangle$  axis) remained parallel. Likewise, for the {001} twinning E and F, identified as a 180° rotation about the common  $\langle 100 \rangle$  axis [8], the {025} planes (and other planes containing the common  $\langle 100 \rangle$  axis) also remained parallel.

Similarly, the {620}  $\langle 001 \rangle$  of a parent crystal in Al<sub>13</sub>Fe<sub>4</sub> was parallel to the { $\bar{6}23$ }  $\langle 102 \rangle$  of its {001} twin, such as A and C. This is consistent with earlier investigations by SAED that revealed that the {620} diffraction spots along [001] overlapped with the { $\bar{6}23$ } spots along [102] for Al<sub>13</sub>Fe<sub>4</sub>, which also contained {001} twins [70]. This {001} twinning led to a pseudo-orthorhombic symmetry, as proposed earlier by Ma et al., and is indicated by the yellow dashed frame in Fig. 11(d). The lattice parameters  $a_o, b_o$  and  $c_o$  of the pseudo-orthorhombic cell had the following relationships with the lattice parameters a, b and c of the original monoclinic cell [70]:  $a_o = a/2, b_o = b/2, c_o = 2c\cos(\beta - 90^\circ)$ , where  $\beta$  is the angle between the a and c axis in the monoclinic cell.

To explore the pseudo-orthorhombic symmetry further, the  $Al_{13}Fe_4$  crystal in Fig. 11(a) was re-indexed using the pseudoorthorhombic cell described above, and is shown in Fig. 11(g). Comparing Fig. 11(g) with Fig. 11(b) shows that all the {001} twins disappear, as they are now contained in the pseudo-orthorhombic cell. The two twin domains in Fig. 11(g), identified as {101} twinning (with details provided in Fig. S10 in the SM), had the identical OR with the Al<sub>3</sub>Ti (as shown in Fig. 11(h)):

$$\{200\}_{AT} / / \{313\}_{AF_o}; \langle 201 \rangle_{AT} / / \langle 101 \rangle_{AF_o}$$
(8)

where  $AF_o$  denotes  $Al_{13}Fe_4$  indexed using a pseudo-orthorhombic cell. {313} is the most close-packed plane and  $\langle 101 \rangle$  is the most close-packed direction of pseudo-orthorhombic  $Al_{13}Fe_4$  [71].

Using the same approach, the  $\{620\} \langle 001 \rangle$  and  $\{\bar{6}23\} \langle 102 \rangle$  planes and directions of  $Al_{13}Fe_4$  in the ORs in Table 4 can be unified to  $\{313\} \langle 101 \rangle$ , and the eight ORs in Table 4 merged into four:

$$OR1^* : \{112\}_{AT} / \{313\}_{AF_o}; \langle 110 \rangle_{AT} / \langle 101 \rangle_{AF_o}$$
(9)

$$OR2^* : \{112\}_{AT} / / \{313\}_{AF_o}; \langle 241 \rangle_{AT} / / \langle 101 \rangle_{AF_o}$$
(10)

$$OR3^* : \{004\}_{AT} / / \{313\}_{AF_o}; \ \langle 110 \rangle_{AT} / / \langle 101 \rangle_{AF_o} \tag{11}$$

$$OR4^* : \{204\}_{AT} / / \{313\}_{AF_a}; \langle 241 \rangle_{AT} / / \langle 101 \rangle_{AF_a}$$
(12)

The frequently observed interfaces/ORs between Al<sub>3</sub>Ti and multiple Al<sub>13</sub>Fe<sub>4</sub> twin domains, combined with the insights of epitaxial nucleation model in Section 4.2, suggest that twinning likely developed almost immediately after the formation of few-layer Al<sub>13</sub>Fe<sub>4</sub>, to accommodate partially the misfit strain between Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>-Ti. All the subsequent ORs then related to the pseudo-orthorhombic symmetry of Al<sub>13</sub>Fe<sub>4</sub>; twinning-related pseudo-symmetry has also been reported in other Fe-rich IMCs, such as  $\beta$ -AlFeSi [72]. In general terms, the findings suggest that an efficient nucleant for Al<sub>13</sub>Fe<sub>4</sub> should have a misfit < 6.7% with the {313} (101) of the pseudo-orthorhombic Al<sub>13</sub>Fe<sub>4</sub> (Fig. 10(b)), as is the case here for Al<sub>3</sub>Ti.

#### 4.4. Wider applicability

To assess if the applicability of the key finding, i.e. the twinning-related formation of  $Al_{13}Fe_4$  on inoculant particles, could be extended to more commercially relevant alloys to control IMC formation, as hypothesised in the introduction, 0.5 wt. % Ti was added to a commercial 6xxx alloy. Note the alloy had no pre-existing grain refining Al-5Ti-1B master alloy or other added inoculants. Further, to simulate a Fe-contaminated recy-



**Fig. 11.** (a) An FSE image showing  $Al_3Ti$  embedded in an  $Al_{13}Fe_4$  crystal. The red box indicates the area of EBSD maps. (b) An EBSD Euler angle map revealing that the  $Al_3Ti$  sat across multiple twins of the  $Al_{13}Fe_4$  (denoted as "A" to "G"). (c) An EBSD phase map showing the  $Al_{13}Fe_4$  and the  $Al_3Ti$ . (d) Unit cells of {100} twins and {001} twins, viewed along the common (010) direction. The yellow dashed frame indicates a pseudo-orthorhombic unit cell arising from {001} twinning. (e) Pole figures showing the  $Al_{13}Fe_4$ . The near-parallel planes are marked by red circles and the near-parallel directions are marked by orange circles. (f) Unit cell wire frames illustrating the ORs identified in (e), with the near-parallel planes and directions highlighted. (g) An EBSD Euler angle map of the same crystals when the  $Al_{13}Fe_4$  was indexed with its pseudo-orthorhombic unit cell. (h) Corresponding pole figures showing the  $Al_{3}Ti$  and the  $Al_{13}Fe_4$  indexed with its pseudo-orthorhombic unit cell.

cled alloy, it had a relatively high, hyper-eutectic Fe concentration of 2.2 wt.%. As with the model Al-Fe-Ti alloy, the hypothesis was to first form Al<sub>3</sub>Ti as a population of effective inoculants for the subsequent formation of Al<sub>13</sub>Fe<sub>4</sub>. The alloy composition is listed in Table S4 in the SM, and a description of the equilibrium solidification sequence of this alloy is provided in Fig. S11 in the SM. The alloy was solidified at cooling rates ranging from 0.5 K to 4 K s<sup>-1</sup>, and Figs. 12(a) and (b) show FSE images of Al<sub>3</sub>Ti sitting at the twin boundaries of Al<sub>13</sub>Fe<sub>4</sub> at 0.5 K s<sup>-1</sup> and 4 K s<sup>-1</sup> respectively, identical to the Al-Fe-Ti model alloy, suggesting wider applicability of the earlier findings to commercial Al alloys.

In a 6xxx series alloy with relatively low Fe concentration,  $\alpha$ -Al forms first as the primary phase and Fe-rich IMCs form as sec-



Fig. 12. FSE images of Al<sub>3</sub>Ti associated with Al<sub>13</sub>Fe<sub>4</sub> twins in a 6xxx + Fe + Ti alloy, at cooling rates of (a)  $0.5K s^{-1}$  and (b)  $4K s^{-1}$ .



**Fig. 13.** (a) A secondary electron image of an equiaxed Al dendrite (formed as a divorced eutectic following the primary  $Al_{13}Fe_4$ ) in the 6xxx + Fe + Ti alloy at 4K s<sup>-1</sup>. (b) A secondary electron image at a higher magnification, revealing orthogonal  $Al_3Ti$  plates (of  $\sim 1 \mu m$ ) located in the centre of the dendrite.

ondary phases. The  $\alpha$ -Al will nucleate on the relatively large, more potent Al<sub>3</sub>Ti (or Al<sub>3</sub>Ti-coated TiB<sub>2</sub>) inoculant particles at a small undercooling < 1 K. [73,27]. Although the subsequent Fe-rich IMCs can form on any remaining, less efficient (smaller) nucleants [31], because the ordered IMCs have a relatively high nucleation barrier, this is comparatively difficult and the refining effect weak [74]. Instead, growth of a small number of very large IMCs tends to dominate. In contrast, in the 6xxx + Fe + Ti alloy of hyper-eutectic Fe concentration, it was now the Fe-rich IMCs that formed first (not  $\alpha$ -Al) on the larger, more potent Al<sub>3</sub>Ti particles (such as those in Fig. 12). As cooling continued,  $\alpha$ -Al subsequently formed but now via eutectic reaction, as shown by the equilibrium solidification sequence in Fig. S11 in the SM. The  $\alpha$ -Al formed as a divorced eutectic, for example as shown in Fig. 13(a) with a large equiaxed dendrite surrounded by  $\alpha$ -Al/IMC eutectic colonies. Intriguingly, the higher magnification view in Fig. 13(b) shows orthogonal Al<sub>3</sub>Ti plates in the dendrite centre. The Al<sub>3</sub>Ti plate was smaller ( $\sim 1 \mu m$ ) than that typically embedded in primary  $Al_{13}Fe_4$  (~5µm).

Thus we conclude that while some larger Al<sub>3</sub>Ti nucleated the primary Fe-rich IMC (Fig. 12), unlike the case of where  $\alpha$ -Al is nucleated first, there were sufficient *effective* Al<sub>3</sub>Ti nucleants left to nucleate  $\alpha$ -Al in the eutectic reaction (Fig. 13). This arises because  $\alpha$ -Al is comparatively easy to nucleate and does not rely on the most efficient nucleants being available [75]. It can be speculated further that because the more efficient nucleants for  $\alpha$ -Al were removed by the prior IMC formation, the less efficient nucleants remaining resulted in a slightly higher nucleation undercooling for  $\alpha$ -Al: 0.6 K for  $\alpha$ -Al to nucleate on a relatively small, 1  $\mu$ m Al<sub>3</sub>Ti particle, compared with 0.1 K on a relatively large, 5  $\mu$ m Al<sub>3</sub>-Ti particle according to the free growth model [73]. Higher undercoolings promote the tendency for burst nucleation of  $\alpha$ -Al that can

result in finer dendrites [32,76,75]. Further work will investigate this approach quantitatively and whether it has the potential to refine *both* primary IMCs and  $\alpha$ -Al in recycled alloys of significantly elevated Fe concentration.

#### 5. Conclusions

As a possible route to gaining greater influence over Fe-rich IMC formation in recycled Al alloys, Ti was added to high Fe-containing Al alloys to produce a large number of Al<sub>3</sub>Ti particles, a potentially efficient inoculant for Al<sub>13</sub>Fe<sub>4</sub>. The formation and growth of Al<sub>13</sub>Fe<sub>4</sub> on pre-existing Al<sub>3</sub>Ti were studied systematically combining EBSD, *in situ* synchrotron X-ray radiography and laboratory-based X-ray CT, first in a model Al-Fe alloy, and then with key insights confirmed in a recycled commercial 6xxx alloy. The key insights were:

- 1. Al<sub>3</sub>Ti particles frequently had an orthogonal configuration, and the Al<sub>13</sub>Fe<sub>4</sub> was associated preferentially with these Al<sub>3</sub>Ti particles: EBSD revealed that up to 49% of the Al<sub>13</sub>Fe<sub>4</sub> crystals in the model alloy contained two or more Al<sub>3</sub>Ti plates with the orthogonal morphology.
- 2. Direct evidence of Al<sub>13</sub>Fe<sub>4</sub> forming on Al<sub>3</sub>Ti was provided by it in situ X-ray radiography. The Al<sub>13</sub>Fe<sub>4</sub> first developed from Al<sub>3</sub>Ti with a needle-like morphology by rapid unidirectional growth, which was followed by the development of the crystal facets via lateral growth, and then crystal thickening in the later stage of solidification.
- 3. X-ray CT analysis on the model alloy showed that approximately 53% of the Al<sub>13</sub>Fe<sub>4</sub> crystals contained Al<sub>3</sub>Ti, suggesting that their formation was associated with Al<sub>3</sub>Ti. This was likely an underestimate due to the resolution limit of the experi-

ments. The proportion was consistent with earlier findings when Al-5Ti-1B was added to the same model Al-Fe alloy, where  $\sim$ 50% Al<sub>13</sub>Fe<sub>4</sub> crystals were estimated to form on Al<sub>3</sub>Ti-containing inoculants.

- 4. The lattice misfit of the experimentally identified ORs between Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti showed good agreement with a critical misfit of 6.7% predicted based on an epitaxial nucleation model, supporting an epitaxial nucleation mechanism for the formation of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti.
- 5. Microscopic twins were identified in all Al<sub>13</sub>Fe<sub>4</sub> crystals, and the formation of Al<sub>13</sub>Fe<sub>4</sub> on Al<sub>3</sub>Ti was strongly linked with twinning. This behaviour was also verified in a recycled 6xxx alloy. Twinning was suggested to start early during the formation of Al<sub>13</sub>-Fe<sub>4</sub> on Al<sub>3</sub>Ti.
- 6. The {001} twinning of Al<sub>13</sub>Fe<sub>4</sub> gave rise to a pseudo-orthorhombic symmetry. Re-indexing the Al<sub>13</sub>Fe<sub>4</sub> with the pseudo-orthorhombic cell showed that ORs between Al<sub>13</sub>Fe<sub>4</sub> and Al<sub>3</sub>Ti were preferentially associated with the most close-packed plane and direction of the pseudo-orthorhombic Al<sub>13</sub>Fe<sub>4</sub>.
- 7. When exploring new, more potent nucleants for  $Al_{13}Fe_4$  and other crystallographically closely related IMCs such as  $\beta$ -AlFeSi, it is important to consider the pseudo-symmetry of the IMCs. Potent nucleants should have minimal misfit with the most close-packed planes and directions of the pseudosymmetry cell of the IMCs, which can be used as a guideline for fast screening of efficient nucleants for low-symmetry IMCs.

#### Data availability

Data will be made available on request.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, athttps://doi.org/10.1016/j.matdes.2023. 112110.

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