

# Alternative blends of CO<sub>2</sub> for transcritical refrigeration systems. Experimental approach and energy analysis

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## ABSTRACT

As a natural refrigerant, Carbon Dioxide (CO<sub>2</sub>) has been extended in almost all refrigeration fields due to its environmental friendliness, high availability, and high technological development in the main components of the cycle. However, despite the benefits, CO<sub>2</sub> is limited to high-capacity applications due to the cost of components and the complex cycle arrangements to overcome the low coefficient of performance (COP) at high ambient temperatures. A simple but effective method to solve this issue involves mixing CO<sub>2</sub> with other refrigerants obtaining a new refrigerant mixture with higher critical points, lower working pressures and low global warming potential (GWP). Depending on the mixture percentage, the mixture flammability can be suppressed, and the performance of the refrigeration plant can be increased.

Building on this, this work determines theoretically different CO<sub>2</sub>-based zeotropic blends as an alternative to pure CO<sub>2</sub> with the restrictions of non-flammability, GWP below 150 and higher COP than pure CO<sub>2</sub>. The results suggest two blends of CO<sub>2</sub>/R1270 and CO<sub>2</sub>/R32 that have been prepared and energetically tested in a vertical display cooler using pure CO<sub>2</sub> as a reference. The results revealed that CO<sub>2</sub>-blends reduce energy consumption by up to 17.2% at the ambient temperature of 25°C and up to 12.2% at 30°C. Moreover, the results with CO<sub>2</sub>-blends were closest to those obtained with pure R1270 and better than R134a under the same operating conditions.

## KEYWORDS

CO<sub>2</sub>, zeotropic mixtures, R32, R1270, energy saving, display cooler

## HIGHLIGHTS

- CO<sub>2</sub>/R32 (78/22%w) blend reduces the energy consumption of pure CO<sub>2</sub> up to 17.2%.
- CO<sub>2</sub>/R1270 (92.5/7.5%w) blend reduces the energy consumption of pure CO<sub>2</sub> up to 15.7%.
- Both blends perform closets to a pure R1270 system and better than a pure R134a system.

### Nomenclature

COP	coefficient of performance
CH	central heating
D	duty-cycle (%)
DHW	domestic hot water
E	energy (kW·h)
FIP	Fuel Inertization Point
GWP	Global Warming Potential
h	specific enthalpy (kJ·kg <sup>-1</sup> )
HC	HydroCarbon
HFC	HydroFluoroCarbon
HP	High-Pressure side
HT	High-Temperature cycle
IHX	Internal Heat Exchanger
LFL	Lower Flammability Limit (%)
LMTD	Logarithmic Mean Temperature Difference (K)
LT	Low-Temperature cycle
$\dot{m}$	mass flow rate (kg·s <sup>-1</sup> )
m	refrigerant mass charge (g)
N	compressor rotation speed (rpm)
NBP	Normal Boiling Point (°C)
P	pressure (bar)
$\dot{Q}$	heat transfer rate (W)
RH	relative humidity (%)
SH	superheating (K)
t	Time (s)
T	temperature (°C)
UFL	Upper Flammability Level (%)
v	specific volume (m <sup>3</sup> ·kg <sup>-1</sup> )
V	compressor cubic capacity (cm <sup>3</sup> )
$\dot{W}$	power input (W)
x	vapour quality
X	refrigerant concentration (%)

### Greek Symbols

$\Delta$	variation (increment or decrement)
$\varepsilon$	thermal effectiveness (%)
$\eta$	efficiency (%)

### Subscripts

air	air
blend	it refers to the mixture
c	compressor
cal	calculated
CO <sub>2</sub>	it refers to CO <sub>2</sub>
crit	critical point
dis	discharge

env	environmental / ambient
ev	evaporator
exp	expansion
fin	final
G	geometric / global
gas	it refers to the additional fluid in the mixture
gc	gas-cooler
ihx	internal heat exchanger
in	inlet / initial
iso	isoentropic
k	condenser
liq	liquid
mix	it refers to the mixture
opt	optimum
out	out
prod	product
Pull-down	it refers to the pull-down test
sat	saturated
sf	secondary fluid
suc	suction port
V	volumetric
vap	vapour
w	it refers to weight
16h	it refers to a 16h energy consumption test

## 1. Introduction

Currently, the importance of the refrigeration industry in the worldwide economy and nutrition is contradicted by its relevant environmental impact because its significant contribution to greenhouse gas emissions accounted for 7.8% in 2014 [1]. Due to this, in 2014, the European Commission put in place regulation No 517/2014 to control the massive utilization of high-GWP hydrofluorocarbons (HFCs) as refrigerants and blowing agents. This regulation got into force in 2015 and established a schedule limiting the total amount of HFCs that can be put on the market and restricting their use in different refrigeration applications. In 2016, this guideline was extrapolated globally with the Kigali amendment to the Montreal Protocol (1987), which came into force in 2019, adopting a similar phase-down schedule for HFCs.

The main consequence of HFCs limitations was using alternative refrigerants with GWP below 150, such as natural refrigerants, pure hydrofluoroolefins (HFOs) or mixtures [2-5]. However, most of these substances are classified as A2L, A2 or even A3 by the ASHRAE Standard 34. Therefore, they are flammable fluids that are a feasible solution for low-charge hermetic sealed systems but not a safe alternative in medium or large refrigeration systems, except for indirect systems using secondary fluids [6]. In these conditions, CO<sub>2</sub> has been established as a clear alternative due to its non-flammability, high availability and relatively good transport and heat transfer properties compared with the other synthetic fluids [7]. Nevertheless, its low critical temperature has forced the adoption of complex cycle arrangements to overcome the COP reduction, especially at high ambient temperatures. Some of these configurations correspond to the flash-gas by-pass valve [8,9], the suction-to-liquid heat-exchanger (SLHX or IHX) [10], the parallel compressor [11,12], the use of ejectors [13,14], or the adoption of different subcooling systems at the exit of the gas-cooler [15-17]. However, adopting any approach inevitably increases the complexity and the cost that only large capacity systems can afford but not medium-small applications. For this later, IHX is commonly preferred for mobile air conditioning [18], beverage coolers [19] or residential air-conditioning [20], among others.

A simple but effective method to solve this issue involves mixing CO<sub>2</sub> with other refrigerants obtaining a zeotropic refrigerant mixture with a higher critical point, lower working pressures and low GWP. This method extends the subcritical operation of pure CO<sub>2</sub>, minimizing the compressor work and the high throttling losses. Moreover, zeotropic glide allows a better matching between the refrigerant and the secondary fluid, reducing the irreversibility during the heat exchange process [21]. Another interesting point is the minimization of the triple-point of pure CO<sub>2</sub>, which extends the operating range of CO<sub>2</sub> to temperatures below -56.6°C depending on the refrigerant mixture [22,23].

During the last years, several authors have discussed using CO<sub>2</sub> mixtures as an alternative to pure CO<sub>2</sub> in vapour compression systems. Table 1 summarises most of these investigations, including the application,

the character of the research (theoretical or experimental), the mixtures analysed and their optimum concentration (if reached). This table also included the operating conditions, the cycle characteristics and the most relevant conclusions from the analysis.

Author	Application	Character	Analysed mixture(s)	Operating conditions	Main cycle features	Conclusions
Di Nicola <i>et al.</i> [23]	Cascade	Theoretical	CO <sub>2</sub> /R41 (50/50%w) CO <sub>2</sub> /R23 (40/60%w) CO <sub>2</sub> /R32 (50/50%w) CO <sub>2</sub> /R125 (27/73%w)	T <sub>k</sub> : 40°C T <sub>ev</sub> : -70°C Optimization of the intermediate temperature	NH <sub>3</sub> /CO <sub>2</sub> cascade cycle with IHX in the LT cycle.	The global COP with blends in the LT cycle is similar to that obtained using pure refrigerants.
Niu and Zhang [24]	Cascade	Experimental	CO <sub>2</sub> /R290 (71/29%w)	T <sub>k in</sub> : no data T <sub>ev in</sub> : -58 to -68 °C	R290/R13 cascade cycle with IHX in the LT cycle.	At -65°C, the CO <sub>2</sub> blend performs better than R13 with higher COP (+34.2%) and higher cooling capacity (+39.0%).
Kim <i>et al.</i> [25]	Air Conditioning	Experimental	CO <sub>2</sub> /R290 (75/25%w)	T <sub>k sf in</sub> : 30 / 36°C T <sub>ev sf in</sub> : 21 / 27°C Mass charge optimization	Transcritical cycle with IHX.	AT the optimal charge, the COP rises 12.8% and the cooling capacity decreases -22.7%.
Onaka <i>et al.</i> [26]	Heat pump water heater	Theoretical	CO <sub>2</sub> /RE170 (90/10%w)	T <sub>k sf in</sub> : 20°C T <sub>k sf out</sub> : 65°C T <sub>ev sf in</sub> : 20°C T <sub>ev sf out</sub> : 5°C	Transcritical and subcritical cycle without IHX.	The COP of the cycle rises with the RE170 concentration. In transcritical operation, the maximum COP increment is 7.5%.
Cox <i>et al.</i> [27]	Mobile air conditioning	Theoretical	CO <sub>2</sub> /R41 (50/50%w)	T <sub>k sf in</sub> : 27 / 39°C T <sub>ev sf in</sub> : no data	Transcritical cycle without IHX.	At 27°C the COP increases 17% with regard to pure CO <sub>2</sub> .
Sarkar and Bhattacharyya [28]	Heat pump for high temperature	Theoretical	CO <sub>2</sub> /R600 (50/50%w) CO <sub>2</sub> /R600a (50/50%w)	T <sub>k sf in</sub> : 30°C T <sub>k sf out</sub> : 120°C T <sub>ev sf in</sub> : 30°C T <sub>ev sf out</sub> : 25°C	Transcritical cycle with IHX.	Blends perform lower COP than pure CO <sub>2</sub> (-9.48% and -1.76%, respectively), but they work at lower discharge pressures (5.6 times lower).
Di Nicola <i>et al.</i> [29]	Cascade	Theoretical	CO <sub>2</sub> /R170 (50/50%w) CO <sub>2</sub> /R290 (50/50%w) CO <sub>2</sub> /R1150 (50/50%w) CO <sub>2</sub> /R1270 (50/50%w) CO <sub>2</sub> /RE170 (50/50%w)	T <sub>k</sub> : 40°C T <sub>ev</sub> : -70°C Optimization of the intermediate temperature	NH <sub>3</sub> /CO <sub>2</sub> cascade cycle with IHX in the LT cycle	The global COP with blends in the LT cycle is lower to that obtained using pure refrigerants.
Fan <i>et al.</i> [30]	Heat pump water heater	Theoretical	CO <sub>2</sub> /R290 (80/20%w)	T <sub>k sf in</sub> : 15°C T <sub>k sf out</sub> : 55°C T <sub>ev sf in</sub> : 20/15/10°C T <sub>ev sf out</sub> : 15/10/5°C	Transcritical cycle without IHX.	The COP and the heating capacity are increased up to 12.6% and 34.2%, respectively, regarding R22.
Dai <i>et al.</i> [31]	Heat pump water heater	Theoretical	10 different blends. The most relevant: CO <sub>2</sub> /R41 (40/60%w) CO <sub>2</sub> /R32 (80/20%w)	T <sub>k sf in</sub> : 15°C T <sub>k sf out</sub> : 55°C T <sub>ev sf in</sub> : 15°C T <sub>ev sf out</sub> : 10°C	Transcritical cycle without IHX.	COP increments of 4.03% and 4.47%, respectively
Jemni <i>et al.</i> [32]	Cascade	Theoretical	Different blends of CO <sub>2</sub> with R1270, R290, R170 and R600a as refrigerants in the LT and HT cycle.	T <sub>k out</sub> : 40°C T <sub>ev out</sub> : -40°C Optimization of the intermediate temperature	Cascade cycle without IHX	Pure CO <sub>2</sub> performs worse than mixtures in cascade and one-stage systems but lower than pure R22.
Bouteiller <i>et al.</i> [33]	Domestic water heater (DHW) and central heating (CH)	Experimental	CO <sub>2</sub> /R290 (85/15%w)	T <sub>k sf in</sub> : 10 / 30°C T <sub>k sf out</sub> : 65 / 35°C T <sub>ev sf in</sub> : 7°C	Transcritical cycle with IHX.	The blend reduces the performance of the cycle at the DHW conditions but increases the COP at the CH conditions.
Nasruddin <i>et al.</i> [34]	Cascade	Theoretical	CO <sub>2</sub> /R170 (68/32%w)	T <sub>k</sub> : 56°C T <sub>ev</sub> : -49°C Optimization of the intermediate temperature	Cascade cycle without IHX and R290 in the HT cycle.	Exergy and economic optimization to minimize both

Bouteiller <i>et al.</i> [35]	Heat pump water heater (DHW) and central heating (CH)	Experimental	CO <sub>2</sub> /R1234yf (94.5/5.5%w)	T <sub>k sf in</sub> : 10 / 30°C T <sub>k sf out</sub> : 65 / 35°C T <sub>ev sf in</sub> : 7°C	Transcritical cycle with IHX.	The blend reduces the performance of the cycle at the DHW conditions but increases the COP at the CH conditions.
Wang <i>et al.</i> [36]	Heat pump water heater and refrigerated cabinet	Theoretical	CO <sub>2</sub> /R41 (50/50%w)	T <sub>k sf out</sub> : 35 to 50°C T <sub>ev</sub> : -10 to 5°C Optimization of the intermediate temperature	Transcritical cycle with IHX.	The COP and the cooling capacity are enhanced up to 20.52% and 25.67%, respectively, with the blend.
Tobaly <i>et al.</i> [37]	Air Conditioning and refrigeration	Experimental	CO <sub>2</sub> /R290 The optimum composition depends on the operating conditions	T <sub>k sf in</sub> : 35 / 40°C T <sub>ev sf in</sub> : 12 to -5°C T <sub>ev sf out</sub> : 7 to -10°C	Transcritical cycle with IHX.	The COP is enhanced up to 19.7% but the cooling capacity decreases up to 18%.
Yu <i>et al.</i> [38]	Mobile air conditioning (cooling)	Experimental	CO <sub>2</sub> /R290 with different R290 mass fractions from 0 to 50%	T <sub>k sf in</sub> : 27 to 40°C T <sub>ev sf in</sub> : 27 / 40°C Mass charge and compressor rotation speed optimization	Transcritical cycle with IHX.	At fixed cooling capacity, the mass fraction of 30/70%w optimizes the COP from 22.1% to 18.4% depending on T <sub>k sf in</sub> .
Ju <i>et al.</i> [39]	Heat pump water heater	Experimental	CO <sub>2</sub> /R290 (88/12%w)	T <sub>k sf in</sub> : 15°C T <sub>k sf out</sub> : 55°C T <sub>ev sf in</sub> : 20°C T <sub>ev sf out</sub> : 15°C	Transcritical cycle without IHX.	The COP and the heating capacity are increased up to 11% and 17.5%, respectively, regarding R22.
Yu <i>et al.</i> [40]	Mobile air conditioning (cooling and heating)	Experimental	CO <sub>2</sub> /R41 with different R41 mass fractions from 0 to 100%	T <sub>k sf in</sub> and T <sub>ev sf in</sub> : -10/-20/27/40°C Mass charge and compressor rotation speed optimization	Transcritical cycle with IHX.	The COP in the heating mode can be improved up to 14.5%. In Cooling mode the COP has a maximum improvement of 25.7%.
Kumar and Kumar [41]	Refrigeration	Theoretical	CO <sub>2</sub> /R290 (15/85%w)	T <sub>k</sub> : 35 to 45°C T <sub>ev</sub> : -7 to 12°C	Transcritical cycle with IHX.	The COP of the mixture is similar than pure CO <sub>2</sub> but with higher critical temperature and lower critical pressure.
Massuchetto <i>et al.</i> [42]	Cascade	Theoretical	Different blends of CO <sub>2</sub> with R1270, RE170 and R717. The most relevant: CO <sub>2</sub> /RE170 (20/80%w) in the HT cycle and (10/90%w) in the LT cycle	T <sub>k sf in</sub> : 25°C T <sub>k sf out</sub> : 50°C T <sub>ev sf in</sub> : -5°C T <sub>ev sf out</sub> : -20°C Optimization of the CO <sub>2</sub> mass composition	Cascade cycle without IHX in the LT cycle.	The global COP of the cascade system using the CO <sub>2</sub> /RE170 mixture is up to 31% higher than this system operating with pure refrigerants.
Sun <i>et al.</i> [43]	Heat pump water for heating and cooling	Experimental	CO <sub>2</sub> /R32 at different mass fractions from 0 to 50% of R32	T <sub>k sf in</sub> : 20/40°C T <sub>k sf out</sub> : 45/55°C T <sub>ev sf in</sub> : 12°C T <sub>ev sf out</sub> : 7°C Optimization of the CO <sub>2</sub> mass composition	Transcritical cycle with IHX.	The COP for heating and cooling increases with the mass fraction of R32. However, the heating and cooling capacity diminishes.
Sánchez <i>et al.</i> [44]	Refrigeration	Experimental	CO <sub>2</sub> /R1270 (91/9%w)	T <sub>k sf in</sub> : 25°C T <sub>ev sf in</sub> : ~1°C	Transcritical cycle with IHX.	The use of the CO <sub>2</sub> /R1270 blend instead of pure CO <sub>2</sub> reduces the energy consumption of a beverage cooler 10.3%.
Sobieraj [45]	Cascade	Experimental	CO <sub>2</sub> /R290 (67/33%w) CO <sub>2</sub> /R32 (67/33%w)	T <sub>ev in</sub> : -72.3 to -71.0°C T <sub>ev out</sub> : -71.0 to -64.8°C	Cascade cycle with IHX in the LT cycle.	The system reaches evaporating temperatures below -55°C
Xie <i>et al.</i> [46]	Refrigeration	Theoretical	CO <sub>2</sub> /R152a (82.5/17.5%w) CO <sub>2</sub> /R161 (85/15%w)	T <sub>gc out</sub> : 35°C T <sub>ev</sub> : 15°C	Transcritical cycle without IHX.	The COP increases 30.1% with the blend CO <sub>2</sub> /R152a and 32.5% with CO <sub>2</sub> /R161

From the summary of Table 1, it can be affirmed that using zeotropic or near-azeotropic mixtures with CO<sub>2</sub> is a feasible solution that minimizes the complexity of the transcritical cycle, enhances the COP and reduces the working pressures of the plant [47]. However, CO<sub>2</sub> mixtures generally provide lower heating/cooling capacity, affecting the compressor's dimensions or operation. Moreover, they operate with lower boiling heat transfer, expecting a higher heat transfer area than pure CO<sub>2</sub> [48]. Therefore, it does not seem easy to predict the positive effect of mixtures if they are used as a *drop-in* in a current transcritical cycle where the flammability risk is relevant.

Accordingly, this work explores the benefits of using different CO<sub>2</sub> binary blends as *drop-ins* of pure CO<sub>2</sub> in a vertical display cabinet. The assessment starts with a theoretical analysis, selecting the most relevant zeotropic blends attempting to the criterion of GWP below 150, non-flammability conditions, increment of COP regarding pure CO<sub>2</sub>, and high availability of the components. Later, the selected CO<sub>2</sub>-based blends are developed and energetically tested in a vertical display cabinet under two ambient conditions while maintaining a desired product target temperature. The positive results reported by the blends of CO<sub>2</sub>/R1270 and CO<sub>2</sub>/R32 demonstrate the possibility of introducing alternative fluids as *drop-ins* of pure CO<sub>2</sub>, providing better performance and energy savings.

## 2. Theoretical analysis

### 2.1 Cycle description

The refrigerating cycle used to determine the CO<sub>2</sub> mixtures analysed in this work consists of a single-stage vapour compression cycle with an internal heat exchanger (IHX), as is depicted in Figure 1. This cycle is typically used in small-capacity systems such as bottle coolers or merchandiser systems with a unique expansion device [49]. It works in transcritical or subcritical conditions depending on the critical temperature of the mixture and the heat rejection temperature (ambient temperature).

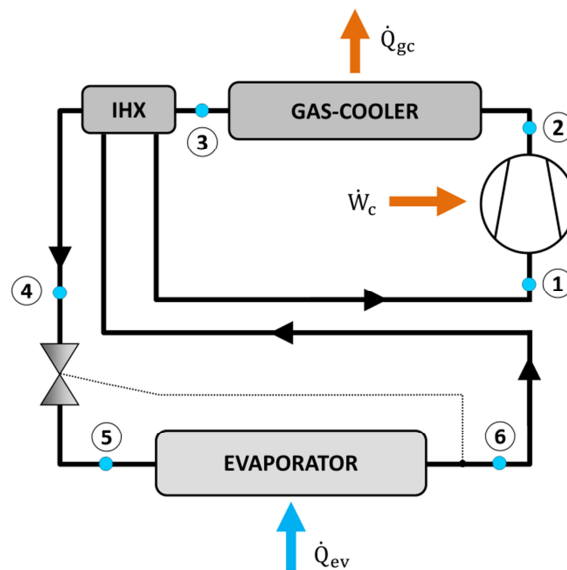


Figure 1. Schematic diagram of the single-stage vapour compression system



## 2.2 Fluid selection criteria

The developed blends are binary mixtures of CO<sub>2</sub> and a secondary fluid which main properties are summarized in Table 1 including thermophysical properties, safety data and environmental impact.

Table 1. Main properties of the analysed fluids

Name	Family	Molar mass (g·mol <sup>-1</sup> )	NBP (°C)	P <sub>crit</sub> (bar)	T <sub>crit</sub> (°C)	Safety group	LFL (%)	UFL (%)	GWP <sub>100</sub> (AR5)
R-744	Inorganic	44.0	-78.4	73.8	31.1	A1	-	-	1
R-32	HFC	52.0	-51.7	57.8	78.1	A2L	13.3	29.3	677
R-41	HFC	34.0	-78.1	59.0	44.1	A2	7.1	19.9	116
R-152a	HFC	66.0	-24.0	45.2	113.2	A2	4.3	17.4	138
R-161	HFC	48.1	-37.5	50.5	102.1	A2	3.8	18.0	4
R-290	HC	44.1	-42.1	42.5	96.7	A3	2.0	10.0	3
R-1270	HC	42.1	-47.7	46.7	92.4	A3	2.2	11.0	2

The thermophysical properties selected to identify the fluids are molar mass, normal boiling point (NBP), critical pressure (P<sub>crit</sub>), and critical temperature (T<sub>crit</sub>). All are determined with the database of RefProp® v.10.0. Safety group is determined with the ASHRAE Standard 34 [50], while low and upper flammability limits (LFL, UFL) are extracted from the work of Calm [51]. Finally, the environmental impact of global warming potential (GWP) is taken from the IPCC Fifth Assessment Report [52].

As the main objective is finding potential CO<sub>2</sub> *drop-ins*, it is mandatory achieving the advantages reported by CO<sub>2</sub> in terms of non-flammability and low-GWP according to the limits proposed by the EU regulation n° 514/2014. Therefore, the first step consists of selecting the maximum quantity of additional fluid that can be added to CO<sub>2</sub> maintaining both: non-flammability and GWP≤150.

For the flammability analysis is important to notice that all fluids selected to mix with CO<sub>2</sub> are flammable, and their flammability range are determined by the upper and the lower flammability limits. This flammability range, defined as the volume concentration of the flammable gas in the dry air-gas mixture, can be reduced by adding an inert gas such as pure CO<sub>2</sub> [53]. The inert gas minimizes the flammability range until the two limits coincide at a single point called Fuel Inertization Point (FIP). The FIP defines the maximum concentration of flammable gas in the dry air - gas - CO<sub>2</sub> mixture that never generates a flammable mixture regardless of the amount of air added or removed from the mixture. Therefore, it fixes the maximum concentration of the flammable gas that can be added to CO<sub>2</sub> maintaining non-flammable conditions. From the equations adjusted by Kondo *et al.* [54] to determine the values of UFL and LFL limits depending on the flammable gas and the amount of CO<sub>2</sub> added, it is possible to determine the FIP which results are summarized in Table 2. Moreover, the critical point, and the total glide at -10°C and a vapour quality (x) of 50% are also included using the mixing rules programmed in the RefProp® software.

Table 2. Non-flammable CO<sub>2</sub>-based mixtures

Mixture	FIP <sup>1</sup>	% CO <sub>2</sub> (in mass)	% Flammable Gas (in mass)	GWP <sub>100</sub> (AR5)	P <sub>crit</sub> (bar)	T <sub>crit</sub> (°C)	Total Glide (K) (-10°C, x=0.5)
CO <sub>2</sub> + R32 <sup>2</sup>	0.602	56.1%	43.9%	297.6	754.52	54.47	13.40
CO <sub>2</sub> + R32	0.602	78.0%	22.0%	149.7	75.81	43.24	6.49
CO <sub>2</sub> + R41	0.751	79.6%	20.4%	24.5	71.54	34.50	1.12
CO <sub>2</sub> + R152a	0.854	79.5%	20.5%	138.0	81.39	51.88	23.72
CO <sub>2</sub> + R161	0.878	86.9%	13.1%	1.4	79.37	46.41	12.41
CO <sub>2</sub> + R290	0.922	92.2%	7.8%	1.2	69.80	31.68	2.20
CO <sub>2</sub> + R1270	0.921	92.4%	7.6%	1.1	76.17	36.95	3.37

<sup>1</sup> concentration in mol of CO<sub>2</sub> in the mixture of flammable gas and CO<sub>2</sub>.

<sup>2</sup> mixture exceeds the maximum GWP allowed (150)

Eq. 1 defines the GWP of the mixture by taking into account the GWP of each fluid and its mass fraction expressed as a decimal. Assuming a GWP limitation of 150, Eq. 2 determines the maximum flammable gas mass fraction limiting the R32 mass concentration shown in Table 2.

$$GWP_{mix} = X_{w\ CO_2} \cdot GWP_{CO_2} + X_{w\ gas} \cdot GWP_{gas} \quad (1)$$

$$\frac{150 - X_{w\ CO_2} \cdot GWP_{CO_2}}{GWP_{gas}} > X_{w\ gas} \quad (2)$$

### 2.3 Model input data

The operating conditions used in the computational model have been extracted from the test campaign by Sánchez *et al.* [55] using pure CO<sub>2</sub> in a vertical beverage cooler. These values were obtained by averaging the registered data during the compressor operation, excluding the compressor starting. The information gathered in Table 3 includes the heat rejection temperature (T<sub>env</sub>), the approach temperature at the exit of the gas-cooler (or condenser) (ΔT<sub>env</sub>), the compressor cubic capacity (V<sub>G</sub>), the compressor rotation speed (N), the IHX thermal effectiveness (ε<sub>IHX</sub>) and the non-useful superheating at the suction pipeline (SH<sub>suc</sub>). Moreover, due to the zeotropic behaviour of the CO<sub>2</sub>-based mixtures, the evaporator has been modelled with the air temperature at the inlet and outlet of the evaporator (T<sub>air in</sub>, T<sub>air out</sub>), the useful superheating (SH<sub>ev</sub>) and its logarithmic mean temperature (LMTD). As a first approach, the input data are similar for all CO<sub>2</sub>-based mixtures. However, a series of experimental tests are required to corroborate the theoretical results as will be presented in Section 4.

Table 3. Input data to the computation model

Variable	Description	Value
T <sub>env</sub>	Ambient temperature	30°C
ΔT <sub>env</sub>	Approach temperature in the condenser/gas-cooler	2.3K
V <sub>G</sub>	Compressor cubic capacity	1.1 cm <sup>3</sup>
N	Compressor rotation speed	2900 rpm
ε <sub>ihx</sub>	IHX thermal effectiveness	68.9%
SH <sub>suc</sub> (K)	Non-useful superheating	2K
T <sub>air in</sub>	Air inlet temperature (evaporator)	1.9°C
T <sub>air out</sub>	Air outlet temperature (evaporator)	-1.6°C
SH <sub>ev</sub> (K)	Useful superheating	4.2K
LMTD	Logarithmic mean temperature	7.9K

## 2.4 Mathematical model

The cycle described in Figure 1 was evaluated with a computational model based on the principles of mass and energy conservation. The model was developed with MatLab® R2016a using the thermophysical properties of RefProp® v.10.0, which contains the mixing rules and the binary mixing coefficients for all mixtures analysed in this work.

The flowchart calculation of the computational model is depicted in Figure 2, using as input data the data summarized in Table 3, the selected CO<sub>2</sub> binary mixture, and the CO<sub>2</sub> mass fraction ( $X_{w,CO_2}$ ).

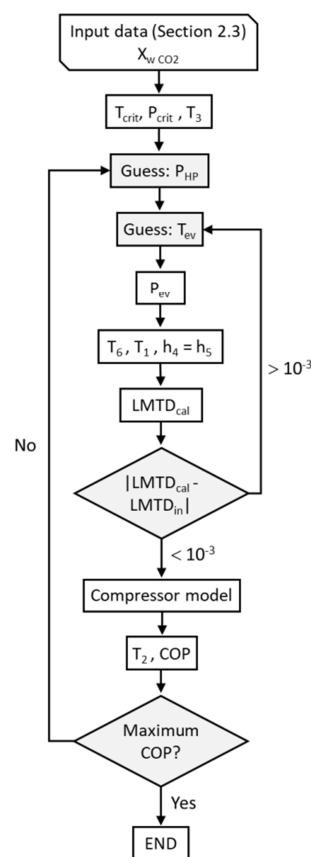


Figure 2. Computational model flowchart

According to Figure 2, the model determines the critical point ( $T_{crit}$  and  $P_{crit}$ ) using the chosen mixture and the CO<sub>2</sub> mass fraction ( $X_{w,CO_2}$ ). Then, the temperature of state point 3 ( $T_3$ ) is calculated with Eq. 3 using the ambient temperature ( $T_{env}$ ) and the approach temperature in the gas-cooler / condenser ( $\Delta T_{env}$ ).

$$T_3 = T_{env} + \Delta T_{env} \quad (3)$$

Once  $T_3$  is obtained, the computational model has an iterative calculation loop for determining the heat rejection pressure ( $P_{HP}$ ) that maximises the COP. This iterative loop needs a heat rejection pressure range that is defined by comparing  $T_{crit}$  and  $T_3$ . Thus, if  $T_3$  is lower than  $T_{crit}$ , condensation is possible (subcritical

operation), so the minimum value of  $P_{HP}$  is the condensation pressure at  $T_3$ , and the maximum corresponds to the critical pressure ( $P_{crit}$ ). If not, a transcritical operation is possible, so the maximum pressure is fixed to 100 bar, and the minimum value is  $P_{crit}$ . The range defined allows an iterative loop calculating the refrigerating cycle from the minimum heat rejection pressure to the maximum value with increments of 0.005 bar. Whatever the conditions, the model considers no pressure drop inside the pipelines and heat exchangers.

Similar to  $P_{HP}$ , the model assumes an initial value for the evaporating temperature ( $T_{ev}$ ) at saturated vapour conditions. Temperature  $T_6$  is calculated by adding the useful superheating ( $SH_{ev}$ ) to  $T_{ev}$  (Eq. 4), while  $T_1$  and  $h_4$  are obtained with an energy analysis in the IHX (Eq. 5, 6). Since isenthalpic expansion process is assumed in the expansion valve, enthalpy  $h_4$  is equal to  $h_5$ .

$$T_6 = T_{ev} + SH_{ev} \quad (4)$$

$$T_1 = T_6 + \varepsilon_{ihx} \cdot (T_3 - T_6) \quad (5)$$

$$h_5 = h_4 = h_3 - (h_1 - h_6) \quad (6)$$

Taking into account the non-azeotropic conditions of the mixture, the logarithmic mean temperature difference in the evaporator ( $LMTD_{cal}$ ) is calculated with Eq. 7, neglecting the  $SH_{ev}$ . Temperature  $T_5$  is determined with the pressure at the saturated vapour conditions of  $T_{ev}$  and  $h_5$ .

$$LMTD_{cal} = \frac{(T_{air\ in} - T_6) - (T_{air\ out} - T_5)}{\ln\left(\frac{T_{air\ in} - T_6}{T_{air\ out} - T_5}\right)} \quad (7)$$

According to Figure 2, the result from Eq. 7 is compared to the initial value from Table 3 for an iterative process. This iteration loop allows determining the evaporation pressure ( $P_{ev}$ ) as input data to the semiempirical compressor model proposed by Sánchez *et al.* [56] (Eq. 8, 9). Although this model is adjusted using  $CO_2$  as refrigerant, we assumed it is also valid for all  $CO_2$ -based mixtures since no compressor models have been published in literature with these analysed mixtures.

Finally, temperature at the suction port ( $T_{suc}$ ) is defined through Eq. 10 by adding the non-useful superheating ( $SH_{suc}$ ) to temperature  $T_1$ .

$$\eta_V = a_0 + a_1 \cdot P_{ev} + a_2 \cdot P_{HP} + a_3 \cdot T_{suc} \quad (8)$$

$$\eta_G = b_0 + b_1 \cdot P_{ev} + b_2 \cdot P_{HP} + b_3 \cdot T_{suc} \quad (9)$$

$$T_{suc} = T_1 + SH_{suc} \quad (10)$$

Table 4. Experimental coefficients for the  $CO_2$  hermetic compressor

Coefficient	$\eta_V$	$\eta_G$
$a_0$	0.8748614461	0.5228303246
$a_1$	0.0046715654	-0.0001637017
$a_2$	-0.0035665060	-0.0002120453
$a_3$	0.0022098618	0.0017040840

$\epsilon_{\max}$	6.50%	7.30%
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Outputs from the compressor model are volumetric ( $\eta_V$ ) and global efficiency ( $\eta_G$ ), which allows for calculating the refrigerant mass flow rate ( $\dot{m}$ ) and the compressor power consumption ( $\dot{W}_C$ ), using the specific volume at the suction port ( $v_{\text{suc}}$ ) and the isentropic compression work ( $h_{2\text{ iso}} - h_{\text{suc}}$ ) (Eq. 11, 12).

$$\dot{m} = \frac{\eta_V \cdot V_G \cdot N}{60 \cdot v_{\text{suc}}} \quad (11)$$

$$\dot{W}_C = \dot{m} \cdot \frac{(h_{2\text{ iso}} - h_{\text{suc}})}{\eta_G} \quad (12)$$

Finally, the cooling capacity ( $\dot{Q}_{\text{ev}}$ ) and the coefficient of performance (COP) of the refrigerating cycle are obtained by Eq, 13 and 14.

$$\dot{Q}_{\text{ev}} = \dot{m} \cdot (h_6 - h_5) \quad (13)$$

$$\text{COP} = \frac{\dot{Q}_{\text{ev}}}{\dot{W}_C} \quad (14)$$

To determine the maximum COP, the computational model repeats the calculation process described above at different heat rejection pressures obtaining a data-matrix, where the maximum COP is obtained. This sequence is repeated for different CO<sub>2</sub> mass fractions with increments of 0.5% resulting in the Figures presented in Section 2.5 at the optimum heat rejection pressures.

## 2.5 Model results

Taking pure CO<sub>2</sub> as reference, the COP variation ( $\Delta\text{COP}$ ) is calculated with Eq. 15 at the optimal operating conditions since it is the desired operating point for a refrigerating plant.

$$\Delta\text{COP} = \frac{(\text{COP}_{\text{blend}} - \text{COP}_{\text{CO}_2})}{\text{COP}_{\text{CO}_2}} \quad (15)$$

Figure 3 shows how the refrigerant mass fraction affects the  $\Delta\text{COP}$  for all the CO<sub>2</sub>-based mixtures summarized in Table 2. As the mass fraction is limited in all cases, Figure 3 shows a maximum of 40%.

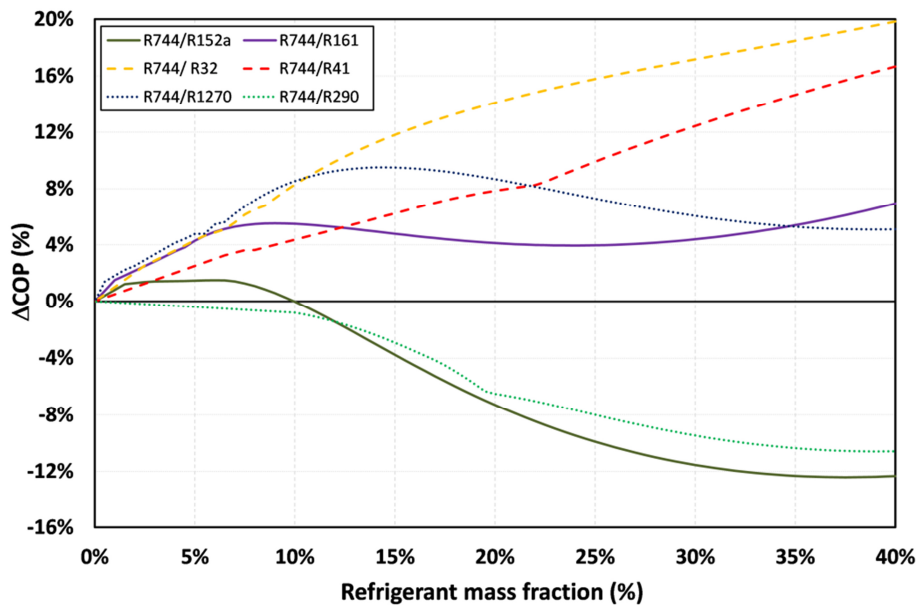


Figure 3. Effect of refrigerant mass fraction on the  $\Delta$ COP

According to Figure 3, for each binary mixture, there is a refrigerant mass fraction that maximises the COP except for R290, where the model does not predict any COP improvement under the working conditions described in Section 2.3. However, it is important to note that optimum mass fractions must be lower than the values reported in Table 2 according to the requirements of low-GWP and non-flammability. Therefore, Table 5 summarises the optimal mass fractions for each binary mixture, including the values of the critical point, evaporating temperature, optimal heat rejection pressure ( $P_{opt}$ ), compressor power consumption, cooling capacity, COP,  $\Delta$ COP and GWP. For a better view, Figure 4 presents the mixture's COP with their corresponding optimal heat rejection pressure, and Figure 5 shows the cooling capacity and the compressor power consumption.

Table 5. Optimal results for pure CO<sub>2</sub> and CO<sub>2</sub>-based mixtures

Mixture	Optimum mass fraction (%)	$P_{crit}$ (bar)	$T_{crit}$ (°C)	$T_{ev}$ (°C)	$P_{HP}$ (bar)	$\dot{W}_c$ (W)	$\dot{Q}_{ev}$ (W)	COP	$\Delta\dot{W}_c$ (%)	$\Delta\dot{Q}_{ev}$ (%)	$\Delta$ COP (%)	GWP <sub>100</sub> (AR5)	Total Glide (K) (-10°C, x=0.5)
Pure CO <sub>2</sub>	100 / 0	73.77	30.98	-7.86	80.50	248.85	421.31	1.693	-	-	-	1.0	0.0
CO <sub>2</sub> + R32	78 / 22	75.82	43.24	-7.86	62.01	194.09	377.27	1.944	-22.0	-10.5	14.8	149.7	8.41
CO <sub>2</sub> + R41	79.5 / 20.5	71.53	34.52	-7.81	71.53	223.28	408.07	1.828	-10.3	-3.1	7.9	24.6	1.12
CO <sub>2</sub> + R152a	94 / 6	77.28	37.55	-7.92	70.49	217.93	374.51	1.718	-12.4	-11.1	1.5	9.2	8.44
CO <sub>2</sub> + R161	91 / 9	70.25	36.88	-8.17	66.37	204.36	365.05	1.786	-17.9	-13.4	5.5	1.3	11.18
CO <sub>2</sub> + R1270	92.5 / 7.5	76.15	36.88	-7.74	69.98	217.80	393.52	1.807	-12.5	-6.6	6.7	1.1	3.31

The results from Table 5 reveal that all mixtures increase the critical temperature of pure CO<sub>2</sub> while some reduce the critical pressure. Nevertheless, all blends reduce the optimal pressure from 9.0 to 18.5 bar concerning pure CO<sub>2</sub>, which minimises the compressor's power consumption from 10.3 to 22.0% (Figures 4 and 5). The main consequence of this positive effect is the enhancement of COP up to 14.8% for R32, followed by R41 (7.9%), R1270 (6.7%), R161 (5.5%), and R152a (1.5%) (Figure 4). Both positive effects are in concordance with the results presented in the literature, as well as the depletion of cooling capacity shown in Figure 5. This last must be considered if a simple *drop-in* process is carried out because the

cooling capacity depreciation will increase the compressor's running time. Accordingly, the second part of this manuscript is focused on energy tests to assess the appropriateness of the CO<sub>2</sub>-based mixtures in terms of energy savings.

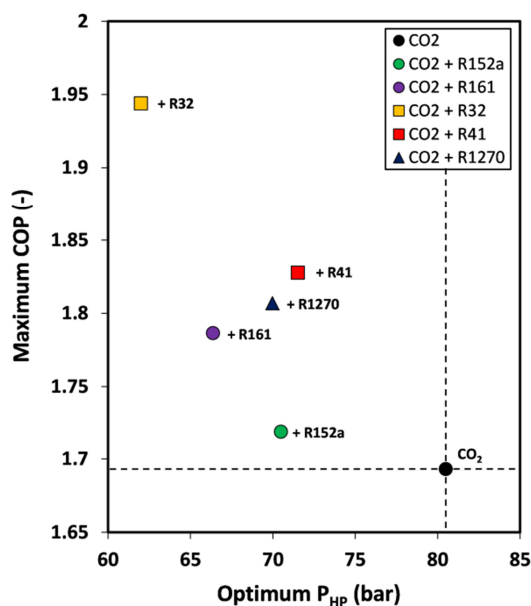


Figure 4. Maximum COP and optimal pressure for the CO<sub>2</sub>-based mixtures analysed

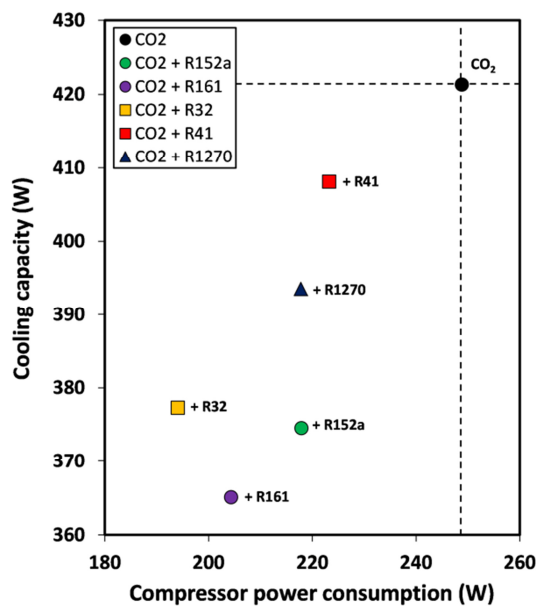


Figure 5. Cooling capacity and compressor power consumption at the optimal operating conditions

### 3. Experimental approach

To demonstrate the proper operation of the blends described in the previous section, a series of energy tests were performed in a transcritical refrigerating cycle installed in a display cooler. The mixtures used are CO<sub>2</sub>/R32 and CO<sub>2</sub>/R1270 due to the positive results presented above and their high availability in the market: R32 is widely used in air conditioning [57], and R1270 is proposed as an alternative to R290 [58]. This section describes the refrigerating setup and the experimental methodology followed during tests.

#### 3.1 Refrigeration facility

The experimental facility consists of a vertical display cabinet with a glass door and dimensions of 620 (L) x 2000 (H) x 655 (D) mm, as shown in Figure 6 (left). The display is equipped with a single-stage vapour compression system whose main elements are depicted in Figure 6 (right), and their main characteristics are gathered in Table 6. The finned-tube heat exchangers have two axial fans to force the air through them, which power consumption is included in the energy consumption tests.

Excepting the discharge line, all the pipelines were insulated with a low-thermal conductivity foam to reduce the heat transfer to the environment.

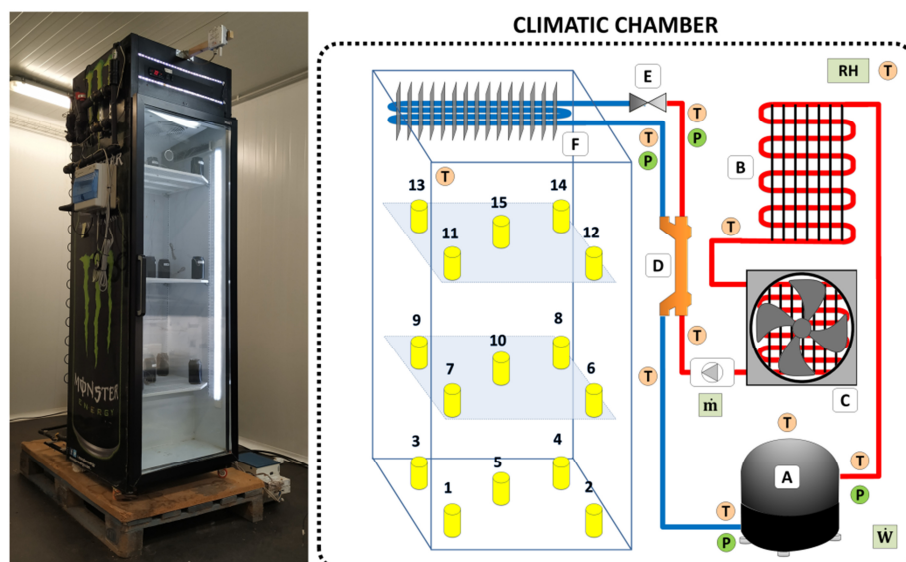


Figure 6. Vertical display cabinet (left) and its schematic diagram (right)

Table 6. Main characteristics of the refrigerating components

ID	Description	Main characteristics
(A)	Compressor	Hermetic compressor. Capacity 1.1 cm <sup>3</sup> . Nominal rotation speed: 2900 rpm (50 Hz)
(B)	Condenser	Wire-on-tube heat-exchanger. Natural convection. Inner heat transfer area: 0.186 m <sup>2</sup>
(C)	Condenser	Finned-tube heat-exchanger. Forced convection. Inner heat transfer area: 0.089 m <sup>2</sup>
(D)	IHX	Concentric tube heat-exchanger. Inner heat transfer area: 0.01 m <sup>2</sup>
(E)	Thermostatic Valve	Electronic expansion valve controlling the superheating degree at the evaporator (6 K)
(F)	Evaporator	Finned-tube heat-exchanger. Forced convection. Inner heat transfer area: 0.186 m <sup>2</sup>

To manage the inner temperature, the cabinet includes a temperature controller that switches off the refrigeration system, including axial fans, when the internal temperature reaches the desired set-point. Similarly, the controller switch on the compressor and the fans when the inner temperature is 3K higher than the set-point. The defrosting process is applied every 8 hours, stopping the refrigeration unit and waiting for a temperature of 5°C at the evaporator surface.

According to Figure 6, the system contains a series of measurement elements to evaluate the operation of the refrigeration facility. Table 7 summarizes the main information of these elements, including the number, measurement range and uncertainty.

Table 7. Main characteristics of the measurement elements

Number	Measured Variable (symbol)	Measurement device	Range	Uncertainty
10	Temperature (T)	T-type thermocouple	-40 to 125 °C	± 0.5K
15	Product temperature	T-type thermocouple	-40 to 125 °C	± 0.5K
2	Pressure (high-pressure) (P)	Pressure transducer	0 to 160 bar	± 0.5% of the spam
2	Pressure (low-pressure) (P)	Pressure transducer	0 to 60 bar	± 0.5% of the spam
1	Refrigerant mass flow rate ( $\dot{m}$ )	Coriolis mass flow meter	0 to 50 kg·h <sup>-1</sup>	± 0.5 % of reading
1	Electric power consumption (W)	Wattmeter	0 to 1000 W	± 0.5 % of reading
1	Relative humidity (RH)	Hygrometer	5 to 98%	± 2%



The wattmeter is installed at the power supply input of the display cabinet to measure the global power consumption, including the refrigeration cycle, fans, lights, and the temperature controller. The product temperature is measured with 15 test cans distributed equally at three heights, as Figure 6 shows, containing a mixture of water and propylene-glycol (67/33% by volume) and an immersion T-thermocouple.

All data from measuring devices are gathered by a National Instruments® SCXI-1000 DAQ-system every 5 seconds and stored in a personal computer. The subsequent data processing is performed with the software MatLab® and the libraries of RefProp®.

### 3.2 Tested refrigerants

The refrigerant blends selected for the experimental analysis were CO<sub>2</sub>/R32 (78.0/22.0% in mass) and CO<sub>2</sub>/R1270 (92.5/7.5% in mass). Moreover, the setup was previously tested with pure CO<sub>2</sub>, R134a and R1270 maintaining the same operating conditions but changing the compressor and the pressure transducers from Table 7. More information about these tests can be found in Sánchez *et al.* [55].

To manufacture the CO<sub>2</sub> blends, a vessel of 5 liters was used to mix the pure fluids at the tested ambient conditions described in Section 3.3. The mass introduced was weighed using a precision scale with an uncertainty of ±1g. To ensure a uniform mixture of fluids, the blend was maintained always in vapour phase by limiting the mass of the blend below the maximum defined by the density of the blend at vapour saturation conditions and the vessel volume. Moreover, a heating resistor was used to warm up the vessel ensuring vapour phase during the mass charge of the refrigerating plant.

### 3.3 Test conditions and experimental procedure

The experimental tests performed to analyse alternative blends of CO<sub>2</sub> are based on the energy consumption of the whole display cabinet under similar operating conditions during a specific time. These tests allow for optimising the refrigerant mass charge that minimises the energy consumption and determining the time and energy invested by the refrigerating system to cool down the product from ambient conditions to the desired set point temperature. For easy understanding, the first tests are usually known as “energy consumption tests”, while the second is “pull-down tests”.

The operating conditions of the display cabinet were fixed by the average product temperature, and the ambient conditions (temperature and relative humidity). All tests were performed in a climatic chamber at two different ambient conditions according to ISO 23953-2: class III (25°C; 60%) and class IV (30°C; 55%). The average product temperature was maintained around 3°C with a maximum temperature of 7.2°C and

a minimum above 0°C [49]. The set-point was varied during tests from 0.9 to 1.1°C to reach the desired average product temperature.

Regarding the experimental procedure, energy consumption tests were performed first to determine the optimum refrigerant mass charge. Then, pull-down tests were carried on with this optimal mass charge.

The test order used in the campaign was: pure CO<sub>2</sub>, CO<sub>2</sub>/R32 and CO<sub>2</sub>/R1270. The reference refrigerants R1270 and R134a were tested previously. In each case, the electronic expansion valve was upgraded, and the refrigerant facility was empty using a vacuum pump working for at least 40 min.

## 4. Experimental analysis

### 4.1 Mass charge optimization and Energy consumption

The mass charge optimization allows determining the optimal refrigerant mass charge by an iterative process using different refrigerant charges. Figure 7 shows the optimization process including the refrigerant mass charge and the energy consumed by the setup during 16h of continuous running. Energy consumption is determined using the trapezoidal integration method shown in Eq. 16, where “ $\dot{W}$ ” is the electrical power consumed by the setup, “ $t$ ” is the acquisition time, and “ $j$ ” refers to measured data.

$$E = \frac{1}{36 \cdot 10^5} \cdot \int_0^{16h} \dot{W}(t) \cdot dt \approx \frac{1}{36 \cdot 10^5} \cdot \sum_{j=1}^{16h} \left\{ \left[ \frac{\dot{W}(j) + \dot{W}(j-1)}{2} \right] \cdot [t(j) - t(j-1)] \right\} \quad (16)$$

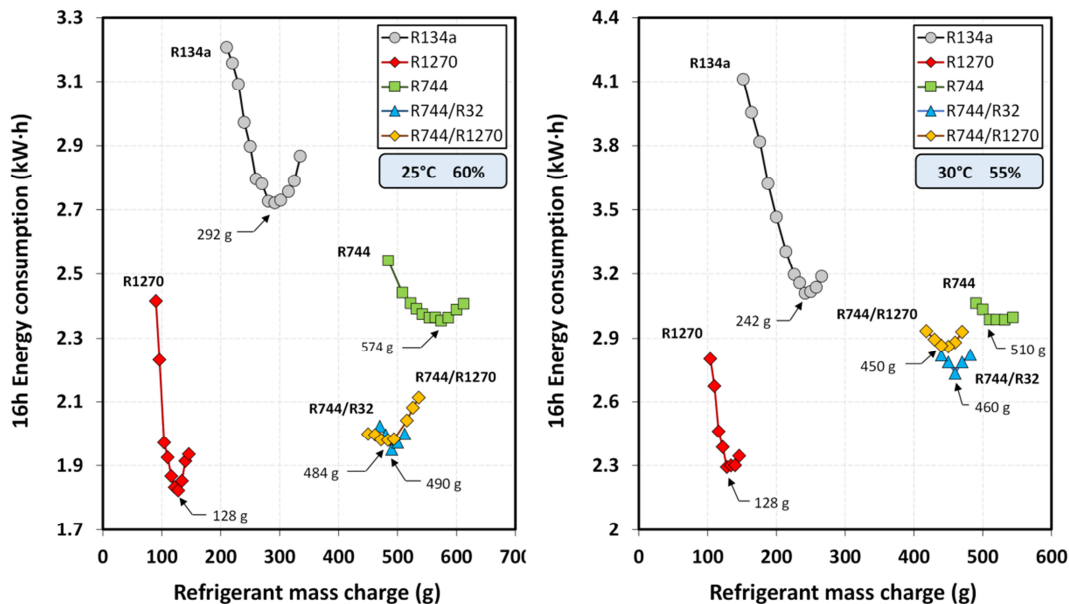


Figure 7. Refrigerant mass charge optimization at Class III (left) and Class IV (right) conditions

Figure 8 presents the vertical display's energy at the optimal refrigerant mass charge using R134a and R1270 as references. Table 8 gathers the results obtained at these optimal conditions, including

reference, operating, and energy parameters. Except for operating conditions, all values are 16h average values with the corresponding standard deviation. Operating parameters are average values of 40 seconds before the compressor stops. In total, 89 tests were carried out.

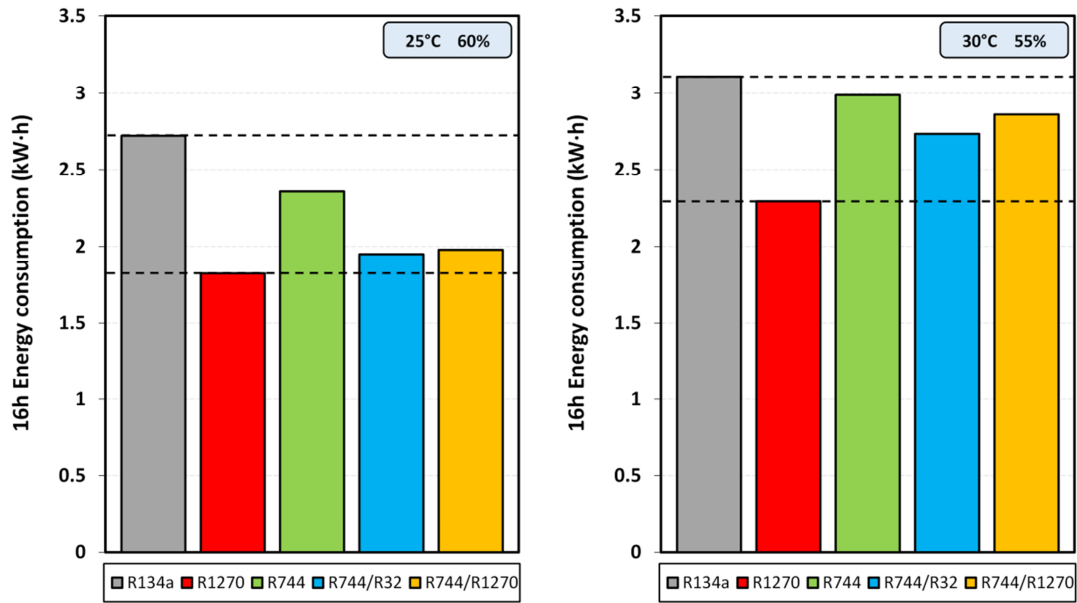


Figure 8. Optimal energy consumption at Class III (left) and Class IV (right) conditions

Table 8. Main parameters of the refrigerating unit at the optimal mass charge

Refrigerant	$m_{opt}$ (g)	$T_{env}$ (°C)	$RH_{env}$ (%)	$T_{prod}$ (°C)	$T_{ev}$ (°C)	$T_k$ (°C)	$T_{dis}$ (°C)	$P_{dis}$ (bar)	$P_{suc}$ (bar)	Pressure ratio ( $P_{dis}/P_{suc}$ )	$D_{cycle}$ ON (%)	$\dot{W}_c$ (W)	$E_{16h}$ (kW-h)
Class III (25°C; 60%)													
CO <sub>2</sub> / R32	490 ± 1	24.9 ± 0.3	59.9 ± 2.3	3.1 ± 0.2	-8.6 ± 1.0	36.7 ± 0.9	65.7 ± 2.2	64.2 ± 1.3	20.2 ± 0.9	3.2 ± 0.2	37.3	295.1 ± 2.7	1.95
CO <sub>2</sub> / R1270	484 ± 1	24.9 ± 0.3	59.7 ± 2.6	3.1 ± 0.3	-10.0 ± 0.8	35.1 ± 0.7	65.0 ± 1.9	73.3 ± 1.4	23.2 ± 0.9	3.2 ± 0.2	36.0	314.6 ± 4.2	1.98
CO <sub>2</sub>	574 ± 1	24.7 ± 0.3	58.5 ± 2.8	3.1 ± 0.2	-8.4 ± 1.1	27.0 ± 1.0 (*)	59.0 ± 2.0	78.8 ± 1.7	27.7 ± 0.9	3.0 ± 0.2	40.8	343.0 ± 6.2	2.35
R134a	292 ± 1	25.0 ± 0.1	59.6 ± 1.5	3.1 ± 0.3	-9.4 ± 0.4	32.8 ± 0.2	69.5 ± 0.4	8.9 ± 0.1	1.9 ± 0.1	4.7 ± 0.0	53.1	297.7 ± 2.2	2.72
R1270	128 ± 1	24.5 ± 0.3	58.8 ± 3.3	3.1 ± 0.2	-11.2 ± 0.3	32.3 ± 0.5	55.9 ± 0.8	14.1 ± 0.1	4.1 ± 0.1	3.5 ± 0.0	37.6	267.4 ± 1.7	1.82
Class IV (30°C; 55%)													
CO <sub>2</sub> / R32	460 ± 1	30.3 ± 0.5	54.1 ± 3.6	3.2 ± 0.3	-8.8 ± 0.7	40.3 ± 0.6	80.2 ± 1.0	71.2 ± 1.0	20.1 ± 0.4	3.6 ± 0.1	53.1	307.0 ± 2.0	2.73
CO <sub>2</sub> / R1270	450 ± 1	30.3 ± 0.5	53.8 ± 3.5	3.2 ± 0.2	-9.1 ± 1.0	31.6 ± 0.8 (*)	77.7 ± 1.9	79.0 ± 1.6	23.9 ± 0.6	3.3 ± 0.1	53.5	321.8 ± 4.0	2.86
CO <sub>2</sub>	510 ± 1	30.1 ± 0.5	53.8 ± 3.7	3.2 ± 0.4	-7.8 ± 0.8	31.9 ± 0.9 (*)	77.2 ± 2.7	80.6 ± 1.3	28.2 ± 0.7	2.9 ± 0.1	55.8	337.7 ± 3.5	2.99
R134a	242 ± 1	29.8 ± 0.3	54.5 ± 2.5	3.1 ± 0.3	-10.0 ± 0.5	37.4 ± 0.7	72.3 ± 0.6	10.0 ± 0.2	1.9 ± 0.1	5.3 ± 0.1	59.1	311.8 ± 3.0	3.11
R1270	138 ± 1	30.1 ± 0.3	55.1 ± 1.2	3.2 ± 0.3	-11.3 ± 1.0	37.0 ± 0.3	66.5 ± 1.1	15.7 ± 0.1	4.1 ± 0.2	3.9 ± 0.2	47.8	277.4 ± 3.4	2.29

(\*) In transcritical conditions it refers to the gas-cooler exit temperature.

Using pure CO<sub>2</sub> as reference, CO<sub>2</sub>-based blends introduce energy savings of up to -17.2% at 25°C and up to -8.5% at 30°C, as Figure 8 shows. These savings are higher for CO<sub>2</sub>/R32 than CO<sub>2</sub>/R1270, following the theoretical results presented in Section 2. However, they do not match the COP percentage

improvement because energy tests consider non-stationary conditions where time is also included. Therefore, energy tests are essential to determine the global impact of CO<sub>2</sub>-based blends.

Compared with R134a, CO<sub>2</sub>-blends perform better at both ambient conditions with energy savings of -27.3% (25°C) and -8.0% (30°C) using the CO<sub>2</sub>/R1270 mixture, and -28.3% (25°C) and -12.1% (30°C) utilizing the CO<sub>2</sub>/R32 blend.

Taking R1270 as the reference, CO<sub>2</sub>-blends performs similarly at 25°C with differences in energy consumption of about +7.0% with CO<sub>2</sub>/R32 and +8.6% using CO<sub>2</sub>/R1270. However, for 30°C, these differences increase to +19.2 with CO<sub>2</sub>/R32 and +24.8% utilising the blend of CO<sub>2</sub>/R1270. The main reason for this increment may be associated with the transcritical (or near-transcritical) operation of the plant. Therefore, the extension of the subcritical range by using mixtures, provides better performance than CO<sub>2</sub> transcritical cycles, especially at lower ambient temperatures.

Regarding the optimum mass charge, it can be noticed that CO<sub>2</sub> blends demand less refrigerant than pure CO<sub>2</sub>. For example, using the CO<sub>2</sub>/R32 blend, the mass charge becomes 9.8 to 14.6% lower while using CO<sub>2</sub>/R1270, the reduction is about 11.8 to 15.7%. For R134a and R1270, the mass charge is lower than CO<sub>2</sub> and blends due to the significant lower vapour and liquid density. In any case, the mass charge for R1270 is lower than the restriction of 150g fixed by the current IEC 60335-2 regulation.

#### 4.2 Power consumption and Duty-cycle

Since the energy consumption is a combination of electrical power and operating time, Figure 9 depicts the power consumption of the cooling unit and the compressor's total operating time at the optimal refrigerant mass charge. This last is presented with the *duty-cycle* parameter, which is the relation between the compressor operating time and the total test time (16h). Because of the high power consumed by the compressor, the variations presented in Figure 9 are mainly due to this component.

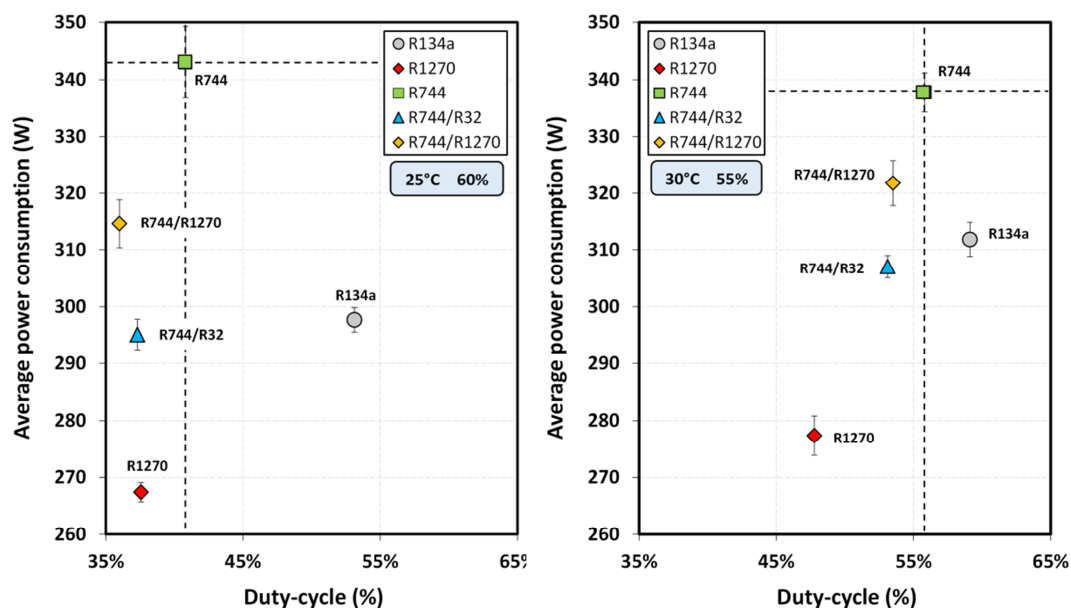


Figure 9. Display power consumption vs. duty-cycle at Class III (left) and Class IV (right) conditions

As was expected, the energy savings reached by the alternative CO<sub>2</sub> blends correspond to a reduction in the power consumed by the display unit and the compressor time operation. For the mixture CO<sub>2</sub>/R1270, the reduction of electrical power varies between -8.3% (25°C) to -4.7% (30°C), while for CO<sub>2</sub>/R32, savings move from -14.0% (25°C) to -9.1% (30°C). Regarding the operating time, both mixtures perform similarly at 25°C, with -10.2% less operation on average, and at 30°C, with -4.5% savings on average.

Compared with the refrigerant R134a, the mixture CO<sub>2</sub>/R1270 increases the power consumption from 5.7% (25°C) to 3.2% (30°C), whilst the CO<sub>2</sub>/R32 slightly reduces this value up to -1.5%. However, in terms of duty cycle, both blends report lower operating times, especially at 25°C, with a maximum reduction of -32.2%. If hydrocarbon R1270 is taken as a reference, it is evident that it has the minimum power consumption with a duty-cycle very similar at 25°C but up to 12.0% lower at 30°C.

### 4.3 Operating pressures

Figure 10 presents the compressor operating pressures summarized in Table 8 for all tested refrigerants, with a clear difference between CO<sub>2</sub>-based fluids and the other refrigerants. Thus, taking pure CO<sub>2</sub> as a reference, at 30°C, the CO<sub>2</sub>/R1270 mixture reduces the heat rejection pressure to -1.6 bar, while CO<sub>2</sub>/R32 performs at -9.4 bar lower. These reductions are higher at the ambient conditions of 25°C due to the subcritical operation of blends regarding pure CO<sub>2</sub>. At these conditions, the reductions result in -8.6 bar using CO<sub>2</sub>/R1270 and -17.6 bar with the mixture of CO<sub>2</sub>/R32.

Regarding the suction pressure, CO<sub>2</sub>-based mixtures also reduce this value with decrements ranging from -7.5 bar (25°C) to -8.2 bar (30°C) using CO<sub>2</sub>/R32, and -4.4 bar at both ambient conditions with CO<sub>2</sub>/R1270. These reductions facilitate the design of components in terms of pressure requirements.

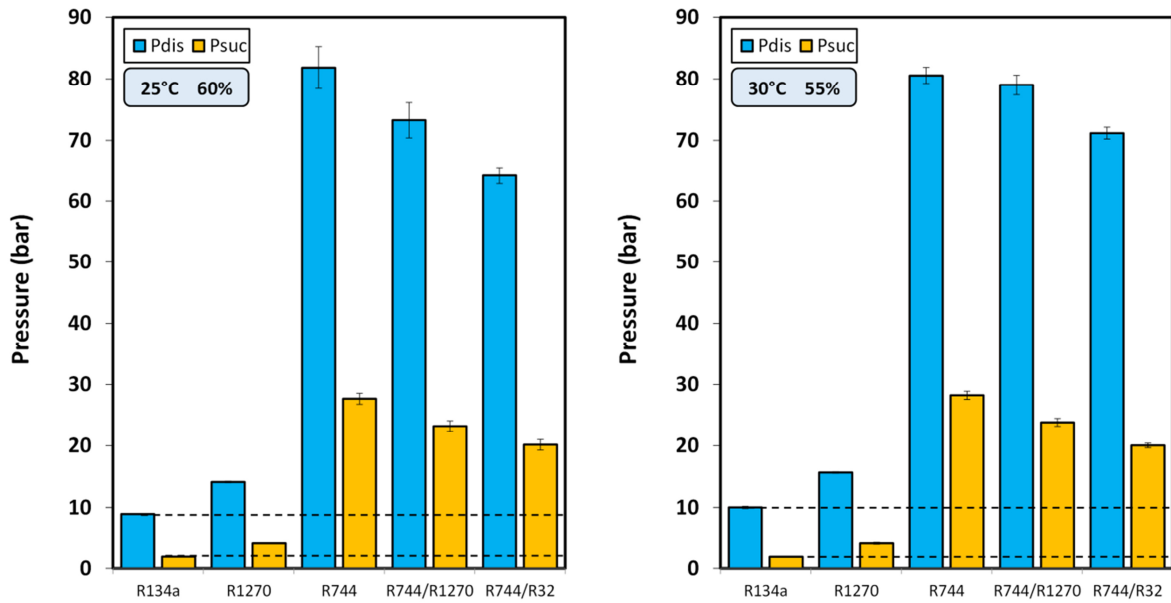


Figure 10. Average compressor pressures at Class III (left) and Class IV (right) conditions

Despite decreasing the pressure levels, the pressure ratio of blends is slightly higher than pure CO<sub>2</sub> and lower than R134a and R1270. Therefore, the values shown in Table 8 may penalize the volumetric and global compressor efficiencies, but further analyses are necessary to confirm this possible effect.

#### 4.4 Operating temperatures

The temperatures used to analyse the operation of tested refrigerants are the phase change temperatures in the condenser and evaporator and the compressor's discharge temperature. Figure 11 presents the phase-change temperatures evaluated with the mid-point temperature method for zeotropic mixtures using the saturated temperature inlet and outlet of the condenser and evaporator (Eq. 17 and 18, respectively) [59]. Those saturated temperatures are evaluated with the measured pressures and the RefProp® software. For the evaporator, the inlet temperature ( $T_{ev\ in}$ ) is determined by Eq. 19 with the evaporating pressure ( $P_{ev}$ ) and the inlet enthalpy ( $h_{exp\ in}$ ), assuming an isenthalpic process in the valve.

$$T_k = \frac{T_{k\ vap\ sat} + T_{k\ liq\ sat}}{2} \quad (17)$$

$$T_{ev} = \frac{T_{ev\ vap\ sat} + T_{ev\ in}}{2} \quad (18)$$

$$T_{ev\ in} = f(P_{ev}, h_{exp\ in}) \quad (19)$$

In transcritical conditions, the condensing temperature corresponds to the temperature at the exit of the gas-cooler. Those values are marked with a circle in Figure 11.

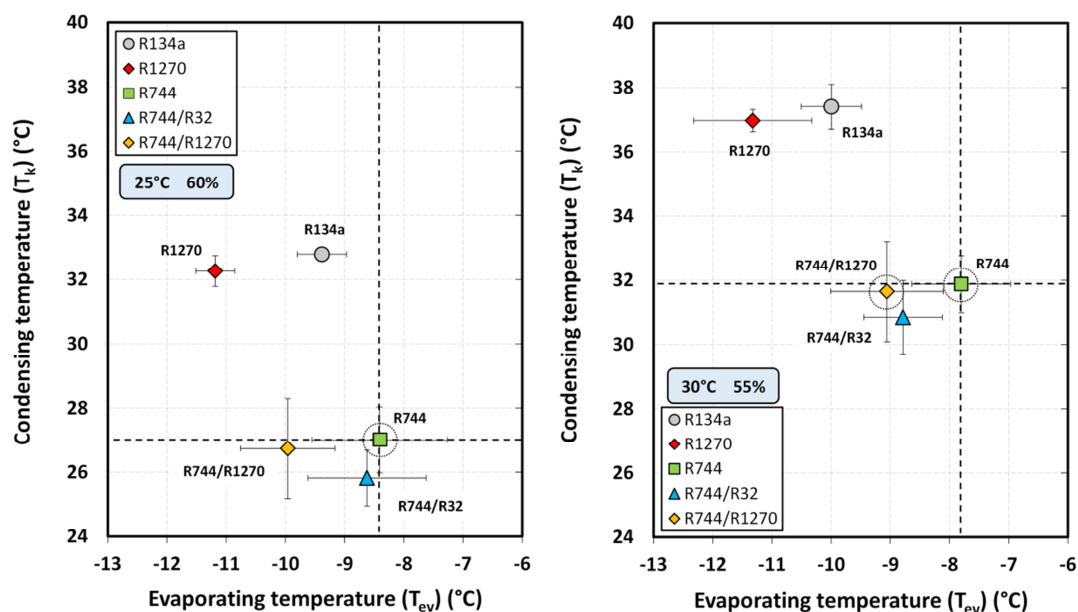


Figure 11. Average phase-change temperatures at Class III (left) and Class IV (right) conditions

According to Figure 11, pure CO<sub>2</sub> performs the highest evaporation temperatures in both ambient conditions, which means that the evaporator's performance is the highest compared with the other refrigerants since the demanding operating conditions are similar in all cases. Furthermore, the CO<sub>2</sub>-based blends report lower evaporation temperatures but with a significantly variation due to the effect of the thermostatic expansion valve. Therefore, it is difficult to quantify the deterioration degree, although, on average, the CO<sub>2</sub>/R32 blend reports a higher evaporation temperature than CO<sub>2</sub>/R1270. These results are in concordance with those published by Zhu *et al.* [48] with mixtures of CO<sub>2</sub>/R290, where the effect of doping CO<sub>2</sub> with R290 reduces the evaporating heat transfer coefficient.

Regarding the condensing temperature, refrigerants R134a and R1270 condensate at an average of +7.5K higher than the ambient temperature with a slight variation. Pure CO<sub>2</sub> works in transcritical conditions at both ambient temperatures, so the temperature presented in Figure 11 corresponds to the gas-cooler exit, which is close to the ambient temperature due to the high thermal effectiveness of the gas-cooler [60]. The CO<sub>2</sub>-blends perform even better than pure CO<sub>2</sub> but with high variability, probably due to the glide at the condenser and the transient operation. Except for CO<sub>2</sub>/R1270 at the ambient temperature of 30°C that works in transcritical conditions, all cases report a condensing behaviour with an approach to the ambient temperature lower than 2K.

Finally, the compressor's discharge temperature is measured with a thermocouple installed 10 cm away from the compressor discharge port. The values in Table 8 confirm that hydrocarbon R1270 has the lowest discharge temperature in all conditions, followed by CO<sub>2</sub> at 25°C and R134a at 30°C. Regarding the mixtures, CO<sub>2</sub>/R32 performs the highest discharge temperature with a maximum temperature difference of +13.7°C to R1270 and +6.7K to pure CO<sub>2</sub>. In any case, these values do not penalize the compressor's performance and operation.

#### 4.5 Pull-down analysis

Pull-down tests provide the capacity of the refrigeration unit to cool down the product from the ambient temperature to the desired target conditions. Using the optimum mass charge, nine tests were performed to cool down the product from the ambient conditions of 25°C and 30°C to the target product temperature of around 3.1°C. Figure 12 depicts the cooling process at both ambient conditions, and Table 9 summarises the main parameters of the pull-down tests.

Table 9. Main parameters during the pull-down process at the optimal mass charge

Refrigerant	$m_{opt}$ (g)	$T_{env}$ (°C)	$RH_{env}$ (%)	$T_{prod\ in}$ (°C)	$T_{prod\ fin}$ (°C)	$E_{Pull-down}$ (kW·h)
Class III (25°C; 60%)						
CO <sub>2</sub> / R32	490	24.9 ± 0.2	60.0 ± 1.9	25.0	3.0	1.18
CO <sub>2</sub> / R1270	484	24.9 ± 0.3	59.5 ± 2.7	25.0	3.1	1.19
CO <sub>2</sub>	574	25.1 ± 0.3	59.6 ± 2.5	24.8	3.1	1.41
R1270	128	25.2 ± 0.1	60.1 ± 1.5	25.3	3.1	1.08
Class IV (30°C; 55%)						
CO <sub>2</sub> / R32	460	30.3 ± 0.5	54.0 ± 3.6	30.0	3.1	1.62
CO <sub>2</sub> / R1270	450	30.3 ± 0.4	54.2 ± 3.6	30.1	3.1	1.68
CO <sub>2</sub>	510	30.3 ± 0.5	53.9 ± 3.6	30.2	3.2	1.73
R134a	242	30.3 ± 0.3	54.5 ± 1.8	30.4	3.0	1.90
R1270	138	30.3 ± 0.5	53.8 ± 1.2	30.3	3.1	1.41

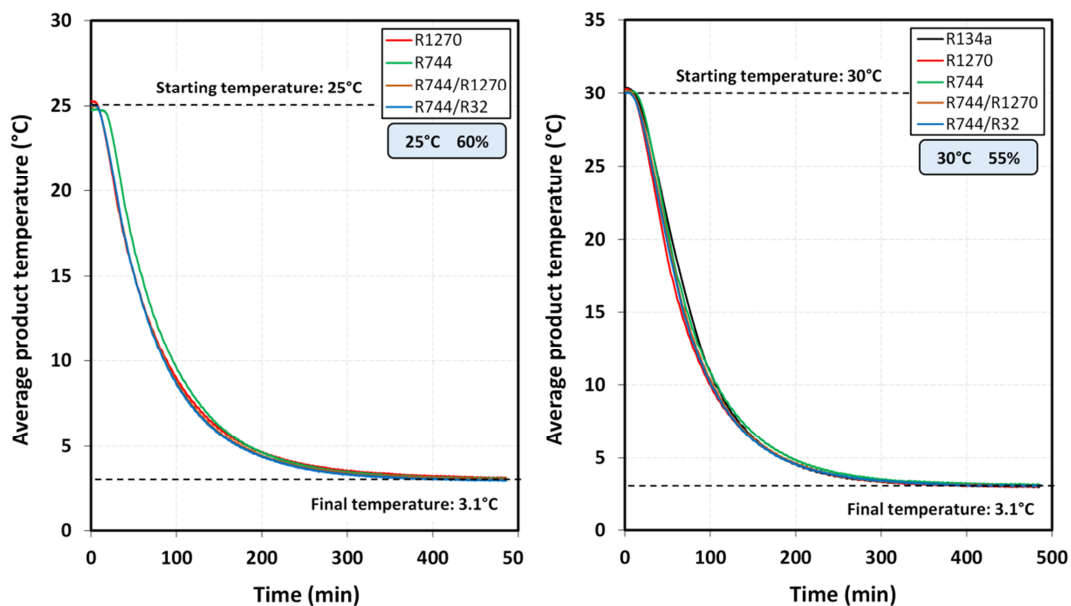


Figure 12. Pull down tests at Class III (left) and Class IV (right) conditions

According to Figure 12, there are no significant differences in the cooling process nor in total time invested in the pull-down process, so it is difficult to affirm which is the quickest cooling refrigerant. Therefore, to determine the energy consumed unit during pull-down ( $E_{Pull-down}$ ), the time used was counted from the switch on of the refrigerating unit to the defrosting process starting (after 8 hours). Figure 13 compares the energy invested during the pull-down, showing that the defined CO<sub>2</sub>-based blends contribute positively to reduce the energy consumption during the pull-down process, allowing significant energy savings compared to pure CO<sub>2</sub>. Taking R1270 as a reference, CO<sub>2</sub> blends need, on average, +9.7% more energy



at 25°C and +16.9% at 30°C to perform the same pull-down. The same effect working with pure CO<sub>2</sub> takes +30.6% and +23.0%, at 25°C and 30°C, respectively.

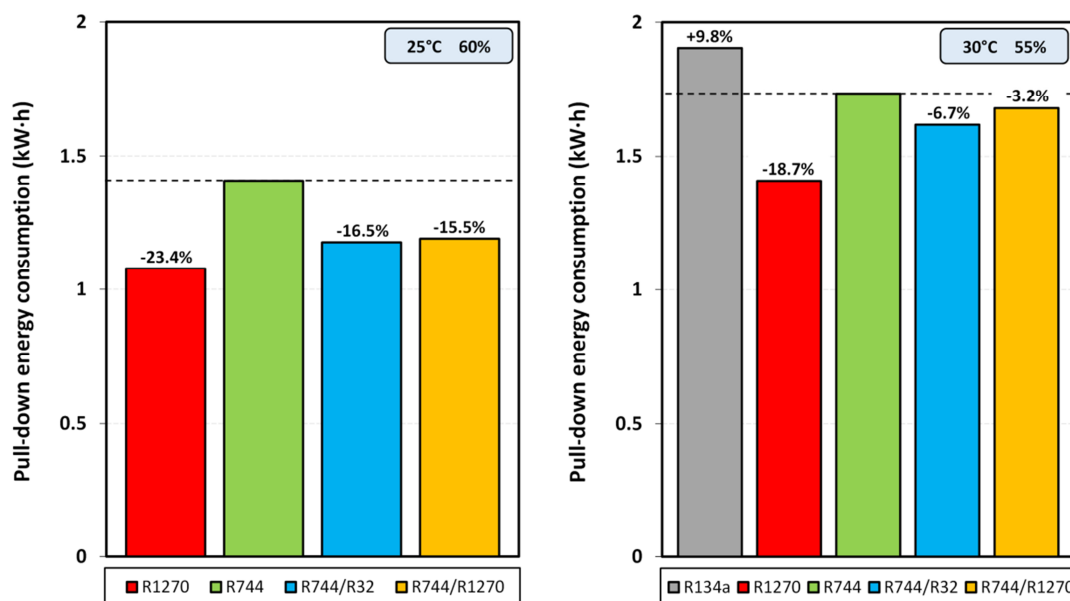


Figure 13. Energy consumption during the pull down process at Class III (left) and Class IV (right) conditions

## 5. Conclusions

This work theoretically and experimentally analyses several CO<sub>2</sub>-based binary mixtures maintaining the conditions of GWP above 150 and non-flammability. From the theoretical analysis, the mixtures of CO<sub>2</sub>/R32, CO<sub>2</sub>/R41, CO<sub>2</sub>/R1270, CO<sub>2</sub>/R161 and CO<sub>2</sub>/R152a conduct improvements of COP about +14.8%, +7.9%, +6.7%, +5.5% and +1.5%, respectively, at the optimum operating conditions. These improvements also provide lower optimum heat rejection pressures with reductions from -9.0 bar (CO<sub>2</sub>/R41) to -18.5 bar (CO<sub>2</sub>/R32).

The experimental assessment performed with energy tests in a vertical display cooler using the blends of CO<sub>2</sub>/R32 and CO<sub>2</sub>/R1270 as *drop-in* of pure CO<sub>2</sub> revealed energy savings of -17.2% and -16.0%, respectively, at 25°C and -8.5% and -4.3%, respectively, at 30°C, regarding pure CO<sub>2</sub>. These savings were lower than those obtained using R1270 (-22.6% at 25°C and -23.3% at 30°C) but higher than the results with R134a in both ambient conditions. The effect on the power consumed by the cooling unit demonstrated that mixtures reduce this value compared to pure CO<sub>2</sub> being so close to the values obtained with R134a. Similar behaviour was obtained with the compressor duty-cycle.

Regarding optimum heat rejection pressure, CO<sub>2</sub>-based blends work with lower pressures than CO<sub>2</sub> at the optimized operating conditions with reductions up to -17.6 bar using CO<sub>2</sub>/R32 and up to -8.6 with CO<sub>2</sub>/R1270. However, the pressure ratios of blends are slightly higher than pure CO<sub>2</sub>.

Concerning operating temperatures, the average evaporating temperature of CO<sub>2</sub> is the highest of all refrigerants, whilst the heat rejection temperature of the mixture CO<sub>2</sub>/R32 is closest to the ambient temperature compared with the other fluids, including pure CO<sub>2</sub>.

Finally, the pull-down process using CO<sub>2</sub>-based blends is more efficient than pure CO<sub>2</sub> and, on average, +9.7% energy demanding than R1270 at 25°C and +16.9% at 30°C.

Considering the conclusions stated above, the mixtures of CO<sub>2</sub>/R32 and CO<sub>2</sub>/R1270 are suggested as an alternative to pure CO<sub>2</sub> with significant reductions in energy, power consumption and working pressures. Furthermore, from the experimental tests campaign, no problems have been detected with those fluids, so they can be used as *drop-ins* in existing transcritical systems or as an alternative to hydrocarbons in those systems where the mass charge exceeds the regulation limits or for security reasons. Notwithstanding, further experimental tests are necessary to prove the theoretical non-flammability of CO<sub>2</sub> blends and a deep leakage analysis to ensure non-flammability in all operating conditions.

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