



Priorities to inform research on marine plastic pollution in Southeast Asia

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6 **Priorities to inform research on marine plastic pollution in Southeast Asia**
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108 **Abstract**

109 Southeast Asia is considered to have some of the highest levels of marine plastic pollution in
110 the world. It is therefore vitally important to increase our understanding of the impacts and
111 risks of plastic pollution to marine ecosystems and the essential services they provide to
112 support the development of mitigation measures in the region. An interdisciplinary,
113 international network of experts (Australia, Indonesia, Ireland, Malaysia, the Philippines,
114 Singapore, Thailand, the United Kingdom, and Vietnam) set a research agenda for marine
115 plastic pollution in the region, synthesizing current knowledge and highlighting areas for
116 further research in Southeast Asia. Using an inductive method, 21 research questions
117 emerged under five non-predefined key themes, grouping them according to which: (1)
118 characterise marine plastic pollution in Southeast Asia; (2) explore its movement and fate
119 across the region; (3) describe the biological and chemical modifications marine plastic
120 pollution undergoes; (4) detail its environmental, social, and economic impacts; and, finally,
121 (5) target regional policies and possible solutions. Questions relating to these research priority
122 areas highlight the importance of better understanding the fate of marine plastic pollution,
123 its degradation, and the impacts and risks it can generate across communities and different
124 ecosystem services. Knowledge of these aspects will help support actions which currently
125 suffer from transboundary problems, lack of responsibility, and inaction to tackle the issue
126 from its point source in the region. Being profoundly affected by marine plastic pollution,
127 Southeast Asian countries provide an opportunity to test the effectiveness of innovative and
128 socially inclusive changes in marine plastic governance, as well as both high and low-tech
129 solutions, which can offer insights and actionable models to the rest of the world.

130 **Keywords:** environmental governance, marine debris, marine ecosystems, marine litter,
131 plastic debris, waste management

132 **1. Introduction**

133 Southeast Asia consists of 11 countries, namely Brunei Darussalam, Cambodia, Indonesia,
134 Laos (the only land-locked country), Malaysia, Myanmar, the Philippines, Singapore, Timor-
135 Leste, Thailand, and Vietnam. The region includes almost 150,000 km of coastline and over
136 25,000 islands. It is a richly biodiverse region, hosting approximately 34% of the world's coral
137 reefs (Burke et al., 2002; Tun et al., 2005) and 25-33% of global mangrove forests (Spalding et
138 al., 2010), and where most tropical marine biota has its greatest species richness (Briggs,
139 1999; Tittensor et al., 2010). Over 80% of the region's reefs, however, are currently at risk
140 from numerous threats, including overfishing, coastal development, marine pollution,
141 aquaculture and agriculture, and climate change (Burke et al., 2002), resulting in significant
142 species declines (Yamakita et al., 2017).

143 Alongside its extensive biodiversity, Southeast Asia is the third most populated geographical
144 region in Asia, with over three quarters of its majority urban human population living in
145 coastal communities (PEMSEA, 2015). The coastal and riparian orientation of human
146 settlement in Southeast Asia has been accompanied by rapid economic growth, urbanisation,
147 and globalisation. These are all factors that generate wide-ranging environmental effects,
148 while exposing a large proportion of the population to climate change impacts, extreme
149 weather events, and recurring urban flooding episodes (Brahmasrene and Lee, 2017; Khan,
150 2019; Kurniawan and Managi, 2018; Miller et al., 2018).

151 Furthermore, Southeast Asia has among the highest levels of marine plastic pollution globally,
152 with Indonesia (10%), the Philippines (6%), Vietnam (6%), Thailand (3%), and Malaysia (3%)
153 estimated to cumulatively contribute almost a third (30%) of marine plastic pollution to the
154 world's oceans (Jambeck et al., 2015). Ecosystem services, defined as the benefits people

155 obtain from nature (Liquete et al., 2013), are negatively impacted by the presence of plastic
156 pollution (Figure 1), causing considerable environmental, social, and economic impacts, with
157 cascading implications for human health, wellbeing, and livelihoods in coastal communities
158 (Abalansa et al., 2020; Beaumont et al., 2019; Thushari and Senevirathna, 2020)

159 The impacts of marine plastic pollution in Southeast Asia have been reviewed several times
160 (Curren et al., 2021; Lyons et al., 2020, 2019), highlighting knowledge gaps which need to be
161 addressed to inform more effective solutions. For example, research on microplastics, defined
162 as particles which range from 0.1 μm to 5 mm in size (SAPEA, 2019), is especially limited from
163 Cambodia, Laos, and Timor-Leste (Curren et al., 2021). To increase our understanding of the
164 impacts and risks of plastics to marine ecosystems and their services, as well as to support
165 the development of mitigation measures, an interdisciplinary, international network of
166 experts established a research agenda for marine plastic pollution in the region, providing in-
167 depth knowledge of marine plastics associated with identified research priorities and
168 highlighting areas for further research in Southeast Asia. Research questions were grouped
169 using an inductive method into five non-predefined key themes, which: (1) characterise
170 marine plastic pollution in Southeast Asia; (2) explore its movement and fate across the
171 region; (3) describe the biological and chemical modifications marine plastic pollution
172 undergoes; (4) detail its environmental, social, and economic impacts; and (5) target regional
173 policies and possible solutions. While applicable to other parts of the world, each question is
174 discussed within the context of Southeast Asia.

175

176 **2. Material and methods**

177 The process followed for the writing of this review is detailed below and summarised in **Figure**
178 **2**. Authors engaged in active collaborative work to interpret and reframe the research design
179 and guiding the questions, providing their in-depth knowledge of marine plastic pollution in
180 answering the developed priority research questions, ranging from conservation to molecular
181 biology, within a Southeast Asian context.

182 Between February and March 2021, a horizon-scanning exercise was conducted to gather
183 suggestions for priority research questions for marine plastic pollution with a particular focus
184 on Southeast Asia. Authors were selected from their involvement in the four projects funded
185 by “Understanding the Impact of Plastic Pollution on Marine Ecosystems in Southeast Asia”,
186 Southeast Asia Plastics (SEAP) programme, an initiative co-funded by the Natural
187 Environment Research Council (United Kingdom) and the National Research Foundation
188 (Singapore).

189 In a preliminary survey established by the joint-lead and senior authors, other invited authors
190 identified what they thought were the top three to five research priorities for marine plastic
191 pollution in the region, targeted at expanding our understanding of the scale of the problem
192 and the potential solutions and policy drivers. We employed respondent-driven sampling to
193 ensure quality and diversity in author representation (Newing, 2010). This purposive sampling
194 approach requests those directly contacted to recruit additional authors among their
195 colleagues and peers involved in the SEAP programme. Most authors of this review
196 participated in the first survey (n = 55) from countries including Australia, Indonesia, Ireland,
197 Malaysia, the Philippines, Singapore, Thailand, the United Kingdom, and Vietnam.

198 The answers from the horizon-scanning exercise were categorised using an inductive
199 approach. After the initial reading of all the suggestions (n = 214), the entire set of priority
200 research questions was coded, forming the basis of repeated patterns (themes) across all the

201 author survey responses (Braun and Clarke, 2006). Summary themes were identified through
202 the process of directly examining the survey responses instead of having pre-defined
203 categories (Elo and Kyngäs, 2008). Seven broad summary themes emerged (1. Description of
204 plastic pollution; 2. Movement & fate; 3. Biological & chemical modifications; 4.
205 Environmental impacts; 5. Socio-economic impacts; 6. Possible solutions; and 7. Regional
206 policy), with an average of 32 (range: 24-39) suggestions within each theme. This process was
207 repeated once within each theme to establish three priority research questions, consolidating
208 similar suggestions or repeated keywords and concepts together, forming standalone
209 questions. These resulted in a consolidated list of 21 research questions, across seven themes,
210 which were further refined according to readability, with the support of two authors.

211 Individuals from the first survey were grouped and assigned questions based on their
212 expertise identified during the first survey, for which authors contributed explanatory
213 narratives drawing from their research knowledge, particularly regarding the Southeast Asian
214 region. Two follow-up surveys were then sent to these authors between April and May 2021
215 to acquire feedback on the themes, question formulations, and author group assignments.
216 This feedback loop facilitated the reduction of themes from seven to five, which, in turn,
217 improved the clarity of the review. Specifically, sections on environmental and socio-
218 economic impacts were combined, as were sections on possible solutions and regional policy.

219 Author groups, each comprising between three and seven members, subsequently wrote
220 short narratives supporting the importance of each question, forming the basis of this review.
221 An additional five authors were identified during this process due to their expertise, who
222 participated in the writing.

223

224 **3. Results – identified themes and priority research questions**

225 Five non-predefined key themes emerged from the horizon-scanning exercise, with between
226 three and six priority research questions under each theme (Figure 3, Table S1). These were
227 established by an interdisciplinary, international network of experts, who brought their in-
228 depth disciplinary and geographical knowledge to bear on complex marine plastic questions.
229 While some questions are applicable to other parts of the world, each question is discussed
230 within a Southeast Asian context, highlighting key areas for further research in the region.

231

232 **3.1 THEME 1: Description of marine plastic pollution**

233 ***3.1.1 Question 1: What are the origins of plastic pollution in the marine environment in*** 234 ***Southeast Asia?***

235 Although not unique to Southeast Asia, rising standards of living, fast growing economies, and
236 the region's substantial tourism industry have seen an increased reliance on single-use
237 plastics in multiple sectors (Chaerul et al., 2014; Sur et al., 2018), primarily as packaging (GAIA,
238 2019; Geyer et al., 2017; GIZ, 2018). This reliance on single-use plastic was exacerbated by
239 the COVID-19 pandemic, with, for example, personal protective equipment accounting for 15-
240 16% of collected debris at two river outlets into Jakarta Bay, Indonesia in 2020 (Cordova et
241 al., 2021a). Macroplastics, defined as items or particles exceeding 5 mm in size (SAPEA, 2019),
242 enter the marine environment in the region through direct littering (Jayasiri et al., 2013;
243 Thushari et al., 2017a; WWF Philippines, 2020), marine dumping, which is the deliberate
244 disposal of waste at sea (Peng et al., 2019; Richardson et al., 2017; WWF Philippines, 2020),
245 from aquaculture/agriculture facilities (Lee et al., 2006), and from accidental loss from
246 shipping and fishing activities (Richardson et al., 2017; Valderrama Ballesteros et al., 2018).
247 For example, in a survey of six coral reefs in Malaysia, approx. 70% of collected plastics were
248 single-use items derived from marine dumping and a quarter of items were related to fishing

249 activities, including derelict fishing gear and fishing lines (Santodomingo et al., 2021).
250 Secondary micro- and nanoplastics, the latter being defined as particles smaller than 0.1 µm
251 in size (SAPEA, 2019), enter Southeast Asia's oceans through international ocean flows and
252 domestic sources (Praveena et al., 2021) and are derived from plastic fragmentation. In
253 addition to the marine plastic waste generated within Southeast Asia, developing countries
254 in the region that are major recipients of waste exporting countries, such as the European
255 Union, the United States of America, and China, often experience leakages from overflowing
256 landfills and overburdened waste processing facilities into the surrounding coastal and
257 marine environment (Marks et al., 2020). For example, Thailand receives several hundreds of
258 thousands of tons of plastic waste imports annually, while an estimated 70% of the country's
259 domestic waste is being mismanaged (Marks et al., 2020). Of the country's waste produced
260 in 2018, over a quarter was disposed of improperly, with mismanagement leading to waste
261 entering canals or leaking onto beaches during heavy flooding events (Marks et al., 2020). As
262 the amount of plastic waste entering the marine environment remains unclear in Southeast
263 Asia (Cordova et al., 2021b), data-driven research is required to better understand the origins
264 of plastic pollution.

265 **3.1.2 Question 2: What are the main plastic entry points into the marine environment in**
266 **Southeast Asia?**

267 Rivers are a major transport pathway for plastic pollution to enter the marine environment in
268 Southeast Asia (Figure 1b; Jambeck et al., 2015; Lahens et al., 2018; Lebreton et al., 2017;
269 Lechthaler et al., 2021; Meijer et al., 2021). Of the predicted global top 50 plastic emitting
270 rivers, over half (58%, n = 29) are in Southeast Asian countries (Meijer et al., 2021; Figure 4),
271 although the linkages between freshwater and marine plastic pollution across the region
272 remain critically under-examined. Plastic debris also leaks into the marine environment from

273 densely populated coastal regions, port facilities, and industrial estates – e.g. Greater Jakarta,
274 Indonesia (Cordova and Nurhati, 2019) and East Java, Indonesia (Lestari and Trihadiningrum,
275 2019) – including landfill sites (Nurhasanah et al., 2021; Sulistyowati et al., 2022) and beaches
276 (Figure 1d; Jeyasanta et al., 2020; Kunz et al., 2016; Nguyen et al., 2020). Knowledge of debris
277 entry points is key to developing waste management strategies aimed at reducing leakages,
278 the methods for which depend on factors such as source, plastic debris polymer type, and
279 size (Schmaltz et al., 2020). Quantitative data can be obtained through *in-situ* sampling of the
280 region’s waters. Surface macroplastics within a river plume have also been identified in optical
281 satellite data covering a region close to Da Nang, Vietnam (Biermann et al., 2020). Biases,
282 however, can arise in certain environments, such as rural catchments, which are less
283 frequently investigated, as highlighted in Indonesia (Phelan et al., 2020). Novel observing
284 platforms, such as drones (e.g. Martin et al., 2021), will make it possible to better evaluate
285 entry points into the marine environment in the region. Furthermore, particle backtracking
286 simulations (e.g. Cyprus: Duncan et al., 2018; Indonesia: Iskandar et al., 2021; Australia:
287 Reisser et al., 2013; Arctic: Strand et al., 2021), which model the movement and spatial
288 positioning of plastic particles backwards in time, could be used to help determine potential
289 sources of observed marine plastic pollution in the region.

290 **3.1.3 Question 3: What are the most appropriate methodological approaches to**
291 **characterise marine plastic pollution?**

292 There has been a recent increase in research into the identification and quantification of
293 marine plastic pollution in Southeast Asia (Lyons et al., 2020; Vriend et al., 2021). Numerous
294 methods exist to identify polymers which comprise plastics, however, most studies use
295 Fourier Transform InfraRed (FTIR) or Raman spectroscopy (Hidalgo-Ruz et al., 2012; Kundu et
296 al., 2021; Renner et al., 2018). Although it is often difficult to distinguish pure polymers from

297 polymer blends, these two techniques provide sufficient information on the functional groups
298 present on the polymer backbone. For example, FTIR and Raman spectroscopy were used to
299 show that polypropylene and polyethylene were the most common polymers in Indonesia
300 (Vriend et al., 2021), while polystyrene, polyethylene terephthalates, polyethylene-
301 polypropylene copolymer, and polyacrylates were also detected in sediments in the Gulf of
302 Thailand and Straits of Johor (Malaysia) (Matsuguma et al., 2017). Variations on these
303 techniques, such as μ -Raman and attenuated total reflectance FTIR, have also been used to
304 identify microplastic polymers in the remote mid-west Pacific Ocean (Wang et al., 2020) and
305 coastal sites around Bintan Island, Indonesia (Syakti et al., 2018), respectively. While these
306 techniques are all suitable to characterise plastics in the region, the scarcity of research
307 equipment in Southeast Asia is currently hampering progress, which could be alleviated
308 through international collaboration among research organisations. Ensuring that
309 standardised protocols are used for the collection, identification, and monitoring of
310 microplastics will enable better data comparison among studies, both within Southeast Asia
311 and globally (Isobe et al., 2019; Koelmans et al., 2020; Kooi and Koelmans, 2019; Michida et
312 al., 2019).

313 **3.2 THEME 2: Movement and fate**

314 ***3.2.1 Question 4: What drives dominant movement patterns and dispersal pathways of*** 315 ***plastic in Southeast Asia?***

316 The movement of plastic waste through the marine environment is mainly driven by ocean
317 currents, but is mediated by coastal, sea-surface, and seabed interactions, as well as the
318 specific characteristics of plastic pollution (Kaiser et al., 2017; Kooi et al., 2017; Long et al.,
319 2015; ter Halle et al., 2016). Additionally, plastic particles can be redistributed organically
320 within faecal pellets of marine organisms (Cole et al., 2016, 2013; see section 3.4.1). The

321 Indonesia Through Flow (Figure 4) is the major current between the Pacific and Indian Oceans
322 (Sprintall et al., 2009), and its stratified profile interacts with the region's complex bathymetry
323 (Gordon and Fine, 1996; Sprintall et al., 2009), strong internal tides (Nugroho et al., 2018),
324 seasonal surface-currents (Lee et al., 2019), and currents from the South China Sea (Wang et
325 al., 2019). As such, the complex bathymetry and topography of Southeast Asia, combined with
326 the abundance of 'plastic-trapping' mangrove (Figure 1a, c), coral (Figure 1e), and seagrass
327 habitats, present a major modelling challenge (Huang et al., 2020; Smith, 2012). Three-
328 dimensional, high-resolution ocean models that explicitly include (i) tides and their
329 topographic interactions, (ii) freshwater inputs, (iii) wave and wind dynamics, (iv) baroclinic
330 flows, and (v) extreme weather events are necessary to sufficiently describe the mechanisms
331 driving plastic movement in the region. As highlighted in previous reviews (e.g. van Sebille et
332 al., 2020), addressing the heterogeneity of plastics and parameterising aggregation (see
333 section 3.2.2), fragmentation (see section 3.3.3), and/or biofouling processes (see section
334 3.3.1) will be essential to accurately characterise plastic waste in these transport models.

335 **3.2.2 Question 5: Which habitats act as major accumulation zones in Southeast Asia?**

336 High densities of sessile biota in mangrove, seagrass, and coral habitats, which are widespread
337 in coastal and shallow waters in Southeast Asia, directly lead to plastic accumulation by
338 snagging (Figure 1; Valderrama Ballesteros et al., 2018; van Bijsterveldt et al., 2021), filtering
339 (Chavanich et al., 2020; Martin et al., 2019a; Thushari et al., 2017b), and adhesion (Goss et
340 al., 2018; Martin et al., 2019b). They also indirectly influence accumulation by affecting local
341 hydro- (de Smit et al., 2021; Fonseca et al., 2019) and sediment- (Martin et al., 2020)
342 dynamics. The fate of plastics in these habitats depends on: (1) the morphology and the
343 hydrodynamics of the coastal environment (Cordova et al., 2019; Utami et al., 2021; see
344 section 3.2.1), (2) the trapping efficiency of these habitats (Cozzolino et al., 2020; de los

345 Santos et al., 2021; de Smit et al., 2021; Harris et al., 2021; Huang et al., 2020; Sanchez-Vidal
346 et al., 2021; Valderrama Ballesteros et al., 2018), and (3) the characteristics of plastic particles,
347 in particular their size (Cozzolino et al., 2020; de los Santos et al., 2021; de Smit et al., 2021;
348 Mohamed Nor and Obbard, 2014). Connectivity between coastal habitats and marine
349 environments, however, can lead to the export of accumulated plastics, particularly in tidal-
350 dominated systems (Harris et al., 2021) or those exposed to extreme events (see section
351 3.2.3), resulting in an underestimated abundance of plastic pollution among these habitats.
352 Considering the abundance of these habitats in Southeast Asia, further research is required
353 to better quantify the volume of plastics currently stored in mangrove, seagrass, and coral
354 habitats, and to understand their environmental impacts and consequences for the coastal
355 and riverine communities that depend on them in the region (see section 3.4.2-3.2.4).

356 **3.2.3 Question 6: How will extreme weather events influence source-sink dynamics in**
357 **Southeast Asia?**

358 Temporal variability is a key factor in determining the transport between sources and sinks of
359 plastics across Southeast Asia (Kurniawan and Imron, 2019; Xia et al., 2021), although extreme
360 weather events may alter the volume of plastic at sources, as well as temporally change sinks
361 to become sources. Seabed sediments are generally considered a sink for micro- and
362 nanoplastics. However, monsoon seasonal typhoons, which are common in Southeast Asia
363 and cause extreme wind and wave conditions (Nakajima et al., 2022) and other extreme
364 weather events such as storm surges, have the potential for large-scale resuspension and
365 subsequent dispersal of micro- and nanoplastics from accumulation zones (Ivar do Sul et al.,
366 2014; R. Li et al., 2020; Lo et al., 2020; Xia et al., 2021). Seasonal changes in rainfall rates
367 (Singh and Qin, 2020) are reflected in fluctuations in monthly river plastic emissions in
368 Southeast Asia (Figure 1b; Cordova and Nurhati, 2019; Lebreton et al., 2017). Extreme

369 precipitation events linked to climate change (Singh and Qin, 2020) will exacerbate this issue
370 and compound the impacts (Ford et al., 2022; Roebroek et al., 2021). The continuous rise in
371 global mean sea levels (Dangendorf et al., 2019) at a current estimated average rate of 3.1
372 mm per year (Cazenave et al., 2018), coupled with likely changes in the magnitude and
373 frequency of extreme events (Easterling et al., 2000), could lead to present day plastic
374 repositories becoming future sources of marine plastic pollution (Ford et al., 2022).
375 Knowledge of the impact of mean sea level rise and the probability distributions of extreme
376 weather events (likely changes in the magnitude and frequency) is crucial for understanding
377 how extreme weather events influence source-sink dynamics when simulating the future
378 within regional transport models ([see section 3.2.1](#)).

379 **3.3 THEME 3: Biological and chemical modifications**

380 ***3.3.1 Question 7: What are the assemblage and ecological driving forces of plastisphere*** 381 ***biofilms?***

382 The composition and functional capacity of the plastisphere (the microbial community found
383 on plastic pollution; Zettler et al., 2013) is influenced by the size and chemical composition of
384 plastics and the surrounding environment (Tu et al., 2020). Micro- and nano-plastics are not
385 necessarily found as independently-floating particles in the environment, but rather can
386 agglomerate and form larger particulate material, somewhat akin marine snow (Summers et
387 al., 2018), becoming readily available for consumption by small organisms and filter feeders
388 (see section 3.4.1). Microbial colonisation is enabled by the initial adsorption of various
389 organic molecules to the plastic surface, forming an ecocorona (Galloway et al., 2017; Lynch
390 et al., 2014), which provides an additional source of carbon and energy and drives the initial
391 attachment of microorganisms (Figure 1f; Galloway et al., 2017; Rahman et al., 2021; Wright
392 et al., 2020). While there have been numerous studies exploring the composition and

393 diversity of biofilms on various types of plastics (Delacuvellerie et al., 2019; Dussud et al.,
394 2018; McCormick et al., 2016; Miao et al., 2019; Oberbeckmann et al., 2018; Zettler et al.,
395 2013), few studies have focused on the ecological functions of these microorganisms (Amaral-
396 Zettler et al., 2020), and even fewer studies have been conducted in Southeast Asia. A recent
397 study conducted in the Maludam River, Malaysia identified different gene expression profiles
398 among communities present on microplastics from those expressed in the surrounding
399 waters, including key genes involved in carbon, nitrogen, and sulphur cycling (Rahman et al.,
400 2021). In addition, an increase in genes associated with metal homeostasis and the
401 metabolism of aromatic and chlorinated compounds was observed on microplastics,
402 suggesting potential mechanisms of detoxification or remediation within the plastisphere
403 (Rahman et al., 2021). Similar studies within Southeast Asian marine waters are required to
404 fully understand the functional diversity and metabolic capacity of plastisphere communities
405 in the region, considering the spatio-temporal variation in community-assembly on
406 plastisphere biofilms at both regional and global scales (Amaral-Zettler et al., 2020). However,
407 whether there exists a core plastisphere that is significantly different from non-plastic
408 biofilms remains a contentious issue (Oberbeckmann and Labrenz, 2020; Wright et al., 2020),
409 although a recent meta-analysis suggests that this is the case (Wright et al., 2021).

410 **3.3.2 Question 8: What is the potential for marine plastic pollution to transport pollutants**
411 **and create hotspots of antimicrobial resistance?**

412 Persistent organic pollutants (POPs), heavy metals, and microorganisms all bind to marine
413 plastic debris (Hossain et al., 2019; W. Li et al., 2020; Wang et al., 2020; see section 3.3.1),
414 which may accumulate and transport these pollutants (Jianlong Wang et al., 2021; T. Wang et
415 al., 2020). As over 80 POPs have been detected in the coastal waters of Singapore (Zhang et
416 al., 2015) and several known harmful algal bloom-forming species have been recorded in the

417 Philippines (Onda et al., 2020), the potential sorption of co-pollutants, microorganisms, and
418 invasive species to marine plastic pollution represents a significant threat to ecosystem health
419 in Southeast Asia (Borja et al., 2020; Karbalaei et al., 2018; Pariatamby and Kee, 2016). The
420 implementation of international regulations, such as the Stockholm Convention, will likely
421 reduce the impact of POPs in signatory countries in the region, but legacy effects will continue
422 due to their persistence in the marine environment (UNEP, 2014). In addition, this
423 combination of microorganisms and pollutants can also cultivate the development of
424 antibiotic resistance genes (ARGs) on marine plastic pollution (Liu et al., 2021). The co-
425 presence of heavy metals also increases ARG expression due to the joint loci of ARGs and
426 metal resistance genes in bacteria (Li et al., 2017; Poole, 2017; Yang et al., 2019), which allows
427 for the enrichment of ARGs on plastic specifically (Guo et al., 2020). However, there is no
428 evidence to support the pathogenic activity of antibiotic resistant microorganisms on marine
429 plastic pollution (Delacuvellerie et al., 2022; Oberbeckmann et al., 2021). It has also been
430 argued that micro- and nanoplastics pose no more risk of harbouring potential pathogens
431 than natural particles (Oberbeckmann and Labrenz, 2020) and that there is little to no proof
432 of pathogenicity to humans or animals from organisms transported on plastics thus far
433 (Jacquin et al., 2019; Lamb et al., 2018). The limited number of published studies on plastics
434 as surfaces for developing and disseminating antimicrobial resistance, however, underpins
435 the importance of more research into this area, particularly in Southeast Asia (reviewed by
436 Liu et al., 2021), where high mean sea surface temperatures (28.9°C in the coral triangle;
437 McClanahan et al., 2020), which have been increasing at an average rate of 0.2°C per decade
438 (Peñaflor et al., 2009), might be altering the microbial community structure and favouring the
439 proliferation of pathogens (le Roux et al., 2015; Tout et al., 2015).

440 **3.3.3 Question 9: What is the pattern of fragmentation and degradation of plastic**
441 **pollution?**

442 Most of the known polymer degradation in the environment follows free radical mechanisms,
443 where polymer structure, traces of catalysts, and defects along the polymer backbone
444 contribute significantly to accelerate degradation (Gewert et al., 2015; Muthukumar and
445 Veerappapillai, 2015; Singh and Sharma, 2008). Biodegradation is enacted by microorganisms
446 (Shah et al., 2008), however, abiotic environmental factors, such as ultraviolet radiation,
447 temperature, oxygen, salinity, molecular weight distribution of polymers, and the presence
448 of additives are known to enhance degradation (Pariatamby, 2018). Biofilm formation on the
449 surface of plastics, often facilitated by the polar groups and surface morphology, is the first
450 step of biodegradation, followed by enzymatic degradation of the polymers (see section
451 3.3.1). Bioplastics with low molecular weight tend to be more susceptible to microbial
452 enzymatic hydrolysis and degradation accelerates in tandem with decreasing crystallinity of
453 the bioplastics (Adhikari et al., 2016; Tabasi and Ajji, 2015). Members of the bacteria phyla
454 Proteobacteria, Actinobacteria, Chloroflexi, and Firmicutes have regularly been linked to
455 plastic degradation (Curren and Leong, 2019; Gong et al., 2019; Liao and Chen, 2021; Roager
456 and Sonnenschein, 2019; Rüthi et al., 2020). Although results to date are in line with global
457 trends, studies on biodegradation of plastics by microbes remain in nascent stages and
458 confined to particular localities across the region (e.g. Auta et al., 2017), as do studies on
459 marine fungi or bacteria (Nurdhy, 2020; Onda et al., 2020). Despite the importance of
460 enhancing our understanding of plastic debris fragmentation and degradation, thorough
461 standardised testing methods have yet to be developed that allow for full elaboration of the
462 plastic polymer environmental degradation pathways.

463 **3.4 THEME 4: Environmental, social, and economic impacts**

464 **3.4.1 Question 10: What are the impacts of plastic pollution on marine organisms?**

465 Plastic ingestion can cause injury and obstruction to the digestive tract of marine organisms
466 (Roman et al., 2019). In most cases, it leads to sublethal effects, such as reduced feeding
467 efficiency (Savinelli et al., 2020; Watts et al., 2015), plastic-induced satiety (Santos et al.,
468 2020), and suboptimal health conditions (Pedà et al., 2016; Senko et al., 2020), the effects of
469 which may be amplified by the ecotoxicology of ingested plastics (Anbumani and Kakkar,
470 2018). In Southeast Asia, plastic ingestion (ranging from macro- to microplastic) has been
471 documented in sea turtles, whales, and sharks (Abreo et al., 2019a, 2019b, 2016a, 2016b;
472 Coram et al., 2021; Garay et al., 2019; Haetrakul et al., 2009), as well as fish (Azad et al., 2018;
473 Karbalaee et al., 2019; Paler et al., 2021; Rochman et al., 2015), bivalves (Argamino and
474 Janairo, 2016; Nam et al., 2019; Rochman et al., 2015; Shauib Ibrahim et al., 2016; Thushari
475 et al., 2017b), and zooplankton (Amin et al., 2020). Publications for seabirds are lacking
476 altogether from Southeast Asian countries, although plastic ingestion has been recorded in
477 red-footed boobies (*Sula sula*) collected from Yongxing Island, South China Sea (Zhu et al.,
478 2019). Plastic ingestion in zooplankton (Botterell et al., 2019), which form the base of the food
479 chain, is concerning because of the potential trophic transfer up the food chain (e.g. Chagnon
480 et al., 2018; Farrell and Nelson, 2013; Furtado et al., 2016; Hammer et al., 2016), having
481 particular implications for the degradation of species for human consumption (see section
482 3.4.6). Additionally, entanglement in plastic debris is recognised as a global threat to marine
483 species (Stelfox et al., 2016) by causing severe wounds and restricting movement and
484 breathing (Colmenero et al., 2017; Franco-Trecu et al., 2017), with examples reported from
485 Southeast Asia (Chim, 2014; Chim et al., 2015; Valderrama Ballesteros et al., 2018; Yeo, 2014).
486 Life-histories, behaviours, and morphologies of species likely affect the extent and type of
487 plastic interactions (e.g. Baak et al., 2020; Reichert et al., 2018; Suckling, 2021). As the impacts

488 of marine plastic pollution are multi-faceted, species-specific, and spatially variable, there is
489 a need for a greater understanding of these impacts on marine organisms in Southeast Asia,
490 particularly those endemic to the region (Lyons et al., 2019).

491 **3.4.2 Question 11: What are the impacts of plastic pollution on key marine habitats in**
492 **Southeast Asia?**

493 Macroplastics can become trapped by biological structures, such as mangrove forests,
494 seagrass beds, and coral reefs (Figure 1; see section 3.2.2), while micro- and nanoplastics can
495 eventually precipitate to the seafloor and become incorporated into sediments (Chavanich et
496 al., 2020; Cordova et al., 2021; Cordova and Wahyudi, 2016; Y. Huang et al., 2021; Ivar do Sul
497 et al., 2014; Riani and Cordova, 2021). Plastic pollution negatively impacts these marine
498 habitats by mechanical and chemical means, and by altering microbial and macrofaunal
499 communities and their associated traits. High macroplastic concentrations can smother and
500 damage mangrove roots and cause leaf loss, decreasing tree survival and primary production,
501 which in turn affect dependent aquatic ecologies, such as fish and shrimp nurseries (Luo et
502 al., 2021; van Bijsterveldt et al., 2021). Additionally, entanglement by marine plastic waste,
503 particularly fishing gear, can damage important habitat-forming organisms, such as coral reefs
504 (Abu-Hilal and Al-Najjar, 2009; Chiappone et al., 2005; Gilardi et al., 2010; Valderrama
505 Ballesteros et al., 2018). Despite mangrove forests and seagrass beds being globally essential
506 carbon stores (Duarte et al., 2013), studies on how micro- and nanoplastics affect the
507 biogeochemistry of their associated substrata remain small-scale and confined to specific
508 areas of Southeast Asia (Cordova et al., 2021b; Manalu et al., 2017; Mohamed Nor and
509 Obbard, 2014; Tahir et al., 2019). In addition, micro- and nanoplastics have been shown to
510 alter microbial and planktonic community compositions, which may in turn influence the

511 regulation of oceanic carbon cycles (Galgani and Loisel, 2021; Ladewig et al., 2021; Jiao
512 Wang et al., 2021). Future research should build on, and expand the geographical scope of,
513 emerging studies into the incorporation of micro- and nanoplastics into sediments and their
514 effects on microbial communities (e.g. Putri and Patria, 2021; Sawalman et al., 2021), and
515 thus, the permanency of carbon stores in the region (Y. Huang et al., 2021).

516 **3.4.3 Question 12: What are the impacts of plastic pollution on marine ecosystem services** 517 **in Southeast Asia?**

518 The marine environment provides a wealth of ecosystem services, many of which are
519 particularly vulnerable to the deleterious effects of plastic pollution. In Southeast Asia, such
520 negative effects are well documented for marine ecosystems (Curren et al., 2021) and are
521 predicted to increase (Chen et al., 2021). There is evidence that these impacts will, in turn,
522 affect the extent of ecosystem service provision (Beaumont et al., 2019), with the potential
523 to decrease the wellbeing of humans across the globe, owing to the loss of food security,
524 livelihoods, income, and good health (see section 3.4.4). For example, Southeast Asia's tuna
525 industry, worth an estimated US\$7 billion annually (Hasnan, 2019), is severely threatened by
526 marine plastic pollution (Warren and Steenbergen, 2021; and see section 3.4.6). Ecotourism,
527 which creates employment and generates products sustainably from locally important
528 resources, is another major industry for most countries in Southeast Asia. Yet, unsustainable
529 practices related to tourism in Southeast Asia generate significant levels of marine plastic
530 pollution, with the ten most common types of litter collected during coastal clean-up
531 campaigns being linked to leisure activities (SEA Circular, 2019). The consequences of plastic
532 polluting behaviours upon such high-value ecosystem services, as well as those provided by
533 mangrove forests, seagrass meadows, and coral reefs in Southeast Asia (see section 3.4.2),
534 however, remain poorly understood and should be a priority for future research.

535 **3.4.4 Question 13: What are the relationships between marine plastics, livelihoods, and**
536 **poverty alleviation in Southeast Asia?**

537 The costs of marine plastic pollution in Southeast Asia are unequally distributed across
538 countries and populations, with the livelihoods of physically proximate coastal communities
539 particularly impacted (Beaumont et al., 2019), especially if they are reliant on marine food
540 sources (Teh and Sumaila, 2013; see section 3.4.3). In both urban and rural contexts,
541 numerous single-use plastic products (e.g. food and toiletry sachets) have been designed to
542 aid poverty alleviation, as they can help increase hygiene and access to sanitary products, as
543 well as reduce disease. Although this generates a waste problem, it is insignificant when
544 compared with ecological footprints of Southeast Asia's burgeoning middle classes, who
545 possess far greater purchasing power (Marks et al., 2020). Moreover, Southeast Asia's
546 sizeable informal waste sector fills an important gap in effective state waste management
547 systems as waste pickers sort, clean, and recycle large volumes of plastic debris (Visvanathan
548 and Anbumozhi, 2018). Future research is urgently needed in collective efforts to nurture
549 more ecologically sustainable behaviours which bridge existing gaps between sectors and
550 across socioeconomic groups.

551 **3.4.5 Question 14: How is the economic cost of pollution shared between polluter and**
552 **polluted countries in Southeast Asia?**

553 Some Southeast Asian countries are simultaneously primary producers of plastic waste and
554 heavily impacted by marine plastic pollution. In a global context, however, developed
555 countries are the highest producers of plastic waste (Liu et al., 2018) and can afford to
556 offshore waste while pursuing domestic policies to meet global climate commitments by
557 greening domestic economies. Plastic waste exports from the European Union, the United

558 States of America, and China to countries like Malaysia and Thailand for disposal (Gong and
559 Trajano, 2019) mean that developing countries tend to bear the cumulative environmental,
560 health, and socioeconomic burdens of ill-disposed waste. This is a complex problem for
561 governments in developing countries because they are often the least prepared in terms of
562 technologies and financial resources to deal with the negative externalities associated with
563 landfill leakage into the marine environment (Bishop et al., 2020). A lack of international
564 legislation to hold major polluters accountable perpetuates the transboundary nature of
565 marine plastic pollution by reducing the incentive for any single country to take responsibility
566 for these transgressive cross-border flows (Dauvergne, 2018; Raubenheimer and McIlgorm,
567 2017; see section 3.5.5). For example, abandoned, lost or otherwise discarded fishing gear is
568 particularly challenging to monitor due to the difficulties of observing fishing fleets in both
569 time and place and assigning recovered gear to fishing vessels (Gilman, 2015) especially
570 considering the prevalence of small-scale artisanal fisheries in the region (Teh and Pauly,
571 2018). Similarly, litter from merchant shipping is increasing, including operational garbage,
572 microplastics in grey water or ballasts, and wrecks (Ryan et al., 2019; Suaria et al., 2018),
573 which is particularly relevant given the region's high level of shipping traffic and major ports.
574 Preventing such littering and marine dumping will be difficult as it likely occurs in the high
575 seas, where enforcing legislation is challenging. Pursuing marine polluters, such as the fishing
576 and transport industries, will be costly and uncertain, whilst the persistence of marine plastic
577 in the environment means that the benefits of abatement efforts in the present extend into
578 the future. This problem has worsened since the onset of the COVID-19 pandemic, which, in
579 Southeast Asia, has rolled back decades of recycling gains amidst the global spike in single-
580 use plastics, while exacerbating illicit flows of leakage from landfills into the marine
581 environment (Miller et al., 2022). Enforceable international legislation is needed to ensure

582 that wealthy countries contribute more meaningfully towards the costs of mitigating the
583 socioecological impacts created by the offshoring of domestic waste under the guise of
584 environment sustainability (see sections 3.1.1, 3.1.3, and 3.5.1-6).

585 **3.4.6 Question 15: What is the role of plastic debris in degrading seafood safety?**

586 Plastic contamination of seafood, which tends to be established by investigating the plastic
587 burden in digestive tracts of food species, has recently been identified as a major concern for
588 global food security and human health (Danopoulos et al., 2020; Guillen et al., 2019;
589 Mohamed Nor et al., 2021; Ragusa et al., 2021; Schwabl et al., 2019). In Southeast Asia, a high
590 reliance on, and consumption of, seafood among dependent coastal communities could result
591 in significant negative health consequences, such as endocrine disruption, through secondary
592 plastic consumption (Barboza et al., 2018; Kirstein et al., 2016; Trujillo-Rodríguez et al., 2021;
593 Wardrop et al., 2016). Micro- and nanoplastic concentrations in the digestive tract of
594 contaminated seafood provide only limited knowledge about the possible risks to human
595 health, especially for organisms in which the digestive tract is not consumed. However, the
596 diverse range of human pathogens and antibiotic resistant bacteria present on marine micro-
597 and nanoplastics, which may have the ability to enter the human food chain, are of concern
598 (Bowley et al., 2021; Keswani et al., 2016; Moresco et al., 2021; Rodrigues et al., 2019; Yang
599 et al., 2019; see section 3.3.2). For example, experiments in laboratory-reared catfish *Clarias*
600 *gariepinus*, which are a major food source in Southeast Asia, showed that microplastics act as
601 efficient shuttles to concentrate and transfer trace metals to individuals that ingest them,
602 with accumulation levels significantly differing with tissue type (Jang et al., 2022).
603 Additionally, biodegradable polylactic acid was found to transfer higher amounts of trace
604 metals in this species, which suggests that biodegradable polymers could pose a greater

605 environmental threat than more common polymers, such as polyamide 12 used in this study
606 (Jang et al., 2022). As such, investigations into the impacts of marine plastic pollution on
607 species for human consumption and how these may be translated into associated risks to
608 human health are critically needed.

609 **3.5 THEME 5: Regional policies and possible solutions**

610 **3.5.1 *Question 16: How can we identify effective interventions in Southeast Asia?***

611 Any integrated waste management approach to reduce marine plastic pollution must include
612 effective collection, processing, and treatment systems (Winterstetter et al., 2021). Many
613 parts of Southeast Asia currently lack technological solutions which are readily available to
614 developed countries, such as Ocean Accounting, which consolidates data on stocks, flows,
615 and output for accountability of economic activities and waste generation (Global Ocean
616 Accounts Partnership, 2019), and the Plastic Pollution Prevention and Collection Technology
617 Inventory (Schmaltz et al., 2020), which tracks and accesses innovations like digital
618 technologies (e.g. citizen science mobile applications and virtual plastic waste currencies;
619 Winterstetter et al., 2021) and enzymatic recycling (Knott et al., 2020; Winterstetter et al.,
620 2021). As around 55% of Southeast Asia's population lacks regular internet access, many
621 societies remain reliant on traditional decision-making methods (UNESCAP, 2020). Although
622 the waste 'Interceptors' and 'River Trash Booms' deployed in Jakarta and Bali (Indonesia) and
623 the Klang River (Malaysia) have been described as success stories by preventing several
624 tonnes of plastic waste from entering the marine environment daily (Brooijmans et al., 2019;
625 Cordova et al., 2021a; Cordova and Nurhati, 2019; Fauziah et al., 2021), these water bodies
626 remain amongst the most heavily polluted in the region (Koagouw et al., 2021; Zaki et al.,
627 2021). In the Mekong River, which intersects five countries in Southeast Asia, plans to install

628 a unit of interceptors (River Cleanup, 2020) will be similarly challenged by much larger
629 pollution problems which have drastically reduced fish stocks, displacing riverine livelihoods
630 and communities across the region. Furthermore, the large population size, lack of waste
631 disposal infrastructures, and low official recycling rates are all factors which have resulted in
632 a significant amount of plastic waste entering the marine environment (Glaeser and Glaser,
633 2010; Shuker and Cadman, 2018). Identifying and targeting these land-based leakage points
634 (see section 3.1.3) represents one of several possible interventions (see section 3.5.4) to
635 reduce marine plastic pollution from freshwater source points in the region.

636 **3.5.2 Question 17: How can a circular economy of plastics be developed to benefit**
637 ***livelihoods in coastal communities in Southeast Asia?***

638 To be cost-effective and socially viable, interventions need to engage the full range of
639 business, government, and societal stakeholders into coordinated activities to reduce the
640 amount of plastic entering the marine environment and to increase public awareness and
641 participation (see section 3.5.3). Beyond the promise held by urban waste recycling
642 movements across the region which have been led by eco-concerned consumers and informal
643 waste pickers, there is still considerable room for improvement. Additionally, despite
644 preliminary work done by Liu et al. (2009), the benefits of a circular economy of plastics,
645 whereby plastic items are reused/repaired/recycled rather than thrown away and which can
646 be used as an important tool for engaging with businesses and local communities to benefit
647 livelihoods, has only entered policy circles relatively recently in Southeast Asia (Laurieri et al.,
648 2020; Luqmani et al., 2017; Visvanathan and Anbumozhi, 2018). To implement viable
649 solutions, the connection between local communities' behaviours, perceptions, and
650 awareness, in addition to local government's environmental concepts, policies, and
651 infrastructure should be improved to ensure public participation and support for plastic waste

652 reduction (Du et al., 2018; Morren and Grinstein, 2016; Stuchtey et al., 2019).
653 Notwithstanding, the justifiable critiques of the circular economy for failing to address the
654 underlying problems inherent in capital-driven growth (Valenzuela and Böhm, 2017), this
655 framework is worthy of further exploration for its incentive-based potential
656 (Andriamahefazafy and Failler, 2021).

657 **3.5.3 Question 18: How to design effective, comprehensive awareness, education, and**
658 **monitoring programmes?**

659 Grassroots environmental groups and mass media campaigns are crucial in increasing public
660 awareness around environmental issues (Garcia et al., 2019; One Planet, 2021), while large
661 scale scientific reports (e.g., Jambeck et al., 2015) aid understanding around the significance
662 and severity of marine plastic pollution in Southeast Asia. To be effective, however, national
663 governments would first need to reduce their heavy reliance on profitable but polluting
664 industries. Environmental accountability and transparency in policy choices could be
665 enhanced through the generation of environmentally relevant data (Chen, 2015), which
666 should ideally be made available on open-access databases to ensure transboundary data
667 sharing. Establishing long-term monitoring protocols which are compatible with international
668 regulations will be essential to best design suitable strategies to tackle the issue of marine
669 plastic pollution (Cheshire et al., 2009; OSPAR, 2010). Coastal clean-ups provide a valuable
670 contribution to the monitoring of marine plastic pollution (e.g., Nelms et al., 2021; van Calcar
671 and van Emmerik, 2019), while deepening stakeholder collaboration and promoting
672 custodianship and responsibility of care to coastal communities (Hidalgo-Rus and Thiel, 2015;
673 Hong et al., 2014). Initiatives that target an ‘all hands-on deck’ approach, involving multiple
674 stakeholders (e.g., citizen, corporations, manufacturers), at local, (sub-)national, and regional

675 scales are arguably the most suitable mechanisms to target marine plastic pollution, but these
676 remain largely confined to fixed-term projects in Southeast Asia (Garcia et al., 2019).

677 **3.5.4 Question 19: How effective are the major regional policy interventions to reduce**
678 **plastic waste?**

679 Recently, there has been considerable regional-scale plastic pollution policy action in
680 Southeast Asia. For example, the Association of Southeast Asian Nations (ASEAN) Regional
681 Action Plan for Combating Marine Debris in the ASEAN Member States (2021–2025) provides
682 support for collaboration on 1) policy support and planning; 2) research, innovation, and
683 capacity building; 3) public awareness, education, and outreach; and 4) private sector
684 engagement (Lyons et al., 2020). Similarly, the Coordinating Body on the Seas of East Asia
685 (COBSEA) strategic directions 2018-2022 (UNEP, 2020) has established a regional action plan
686 to tackle land-based sources of marine plastic pollution (COBSEA, 2019, 2018). Nationally,
687 interventions in the region have focused on isolated actions, such as bans of specific plastic
688 items (Knoblauch et al., 2018), deposit-return schemes (Wardoyo, 2018), and biodegradable
689 packaging (e.g., Avani, 2014; Evoware, 2017), rather than on systemic changes to reduce
690 single-use plastics (Hermawan and Astuti, 2021; Marks et al., 2020; Maruf, 2019; Xuan Son,
691 2021). In a very limited way, some ASEAN countries are beginning to follow European
692 counterparts in shifting responsibility and innovation to producers (e.g., extended producer
693 responsibility; WWF Philippines, 2020), corporations (e.g., corporate social responsibility),
694 and business operations (e.g., circular economy, [see section 3.5.2](#)), with the aim of
695 implementing regional policy interventions which reduce plastic pollution entering the
696 marine environment ([see section 3.5.1](#)), as shown by the recent EU-ASEAN GreenTech
697 Dialogue and Innovation Mapping initiative (2021), currently piloted in the Philippines.
698 National reforms to state waste management systems are also needed across the region to

700 better deal with burgeoning domestic waste and the influx of plastic waste exports into
701 Southeast Asia (see section 3.5.6). Above all, however, national cultures of consumption need
702 to be reset along more sustainable development pathways, which can only be attained
703 through multi-sectoral and multi-scalar efforts to change existing ecological behaviours in the
704 longer term.

705 **3.5.5 Question 20: What are the geopolitical roles of countries in exporting/transporting
706 plastic waste in Southeast Asia?**

707 Societal resentment among ASEAN member countries, particularly after China introduced its
708 National Sword Policy banning plastic waste imports, completing its transition from a waste
709 importing to a waste exporting country (Marks et al., 2020), has led some governments to
710 refuse to import plastic waste (Global Alliance for Incinerator Alternatives, 2019a). However,
711 a significant amount of plastic waste continues to both legally and illegally enter Southeast
712 Asian countries. For example, investigations into recycling facilities in Thailand revealed that
713 the lack of enforceability of regulations led to large amounts of plastic being smuggled and
714 illegally processed in the country despite national restrictions on imports of recyclable goods
715 (Sasaki, 2021). Similarly, almost one-third of waste imported into East Java, Indonesia was
716 labelled as scrap paper despite being illegal scrap plastic (Marks et al., 2020), suggesting that
717 such piecemeal bans on plastic waste imports could have counterproductive effects. Several
718 countries, including Malaysia and the Philippines, have returned mislabelled waste, restricted
719 or re-exported imports, and announced upcoming bans and regulations (Marks, 2019).
720 Interstate initiatives and reforms to international legal frameworks are urgently needed to
721 help address inequalities in the distribution of plastic waste between wealthy waste exporting
722 countries and poorer waste importing countries. As part of this, enforceable (inter)national
723 legislation is needed to make transnational corporations compliant with the safe and

723 sustainable export and import of recyclable plastic waste. Analysis of the transnational plastic
724 waste chain should thus take into account postcolonial histories as well as the challenges of
725 global capitalism (Ronda, 2018), which are crucial to understanding the high degree of
726 inequality in geopolitical relations between plastic exporting and importing countries. Multi-
727 national companies with operations in ASEAN countries could contribute meaningfully to the
728 reform of country-level industry practices by undertaking waste assessments, brand audits,
729 and transitioning to ecologically safer and affordable packaging products (Global Alliance for
730 Incinerator Alternatives, 2019b). This, in turn, promotes their participation in extended
731 producer responsibility, which is gaining more traction in ASEAN countries, such as in the
732 Philippines, which proposed to include this in their new policy on single-use plastics.

733 **3.5.6 Question 21: What is the political framework of marine plastic governance and how**
734 **can it be improved in Southeast Asia?**

735 Transboundary governance of marine plastic pollution in Southeast Asia is failing for several
736 reasons. Unlike climate change, there is as yet no global plastic agreement with binding
737 targets and timelines to guide strong regional action (Borrelle et al., 2017). The development
738 of international agreements has been more advanced for ocean-based than land-based
739 sources of marine litter, which operate with relative impunity (Ferraro and Failler, 2020).
740 Uncoordinated and fragmented national policies further undermine regional efforts
741 (Dauvergne, 2018). In part, this political fragmentation is due to the close working relationship
742 between state agencies and polluting fossil fuel and plastic industries which have successfully
743 pushed back against policies to curb plastic consumption (Tabuchi et al., 2020) by emphasising
744 the responsibility of consumers to deal with their own waste (Fuhr and Patton, 2019). Overall,
745 governance of plastic is fragmented between sectors and spatial scales which undermines
746 implementation at the national and subnational levels (Dauvergne, 2018; see section 3.5.4).

747 In March 2019, ASEAN adopted greater transboundary cooperation on marine plastic
748 pollution, which is a critical starting point for collective action, although the Declaration now
749 must be translated into actionable policies (ASEAN Secretariat, 2021). Such ASEAN-level
750 commitments could open the political space and funding opportunities for innovative
751 contributions at all scales of governance (Marks et al., 2020), as could the new United Nations
752 Environmental Assembly resolution, adopted in March 2022 to end global plastic pollution,
753 which is due to take legal effect by the end of 2024.

754 **4. Conclusion**

755 Although not unique to the region, the 21 priority research questions which guide and
756 underpin this research agenda highlight the importance of better understanding the fate of
757 marine plastic pollution, its degradation, and the impacts and risks it can generate across
758 communities and different ecosystem services in Southeast Asia. Future research into these
759 areas is needed to form a firm foundation for future policy development which currently
760 suffers from transboundary problems relating to poor coordination, lack of responsibility and
761 punitive measures for major polluters, and inaction to tackle the issue from its point source
762 in the region. Being profoundly affected by marine plastic pollution, countries in Southeast
763 Asia provide an opportunity to test the effectiveness of innovative and socially inclusive
764 changes in environmental governance, as well as both high and low-tech solutions, which can
765 offer insights and actionable models to the rest of the world.

766

767 **Acknowledgements**

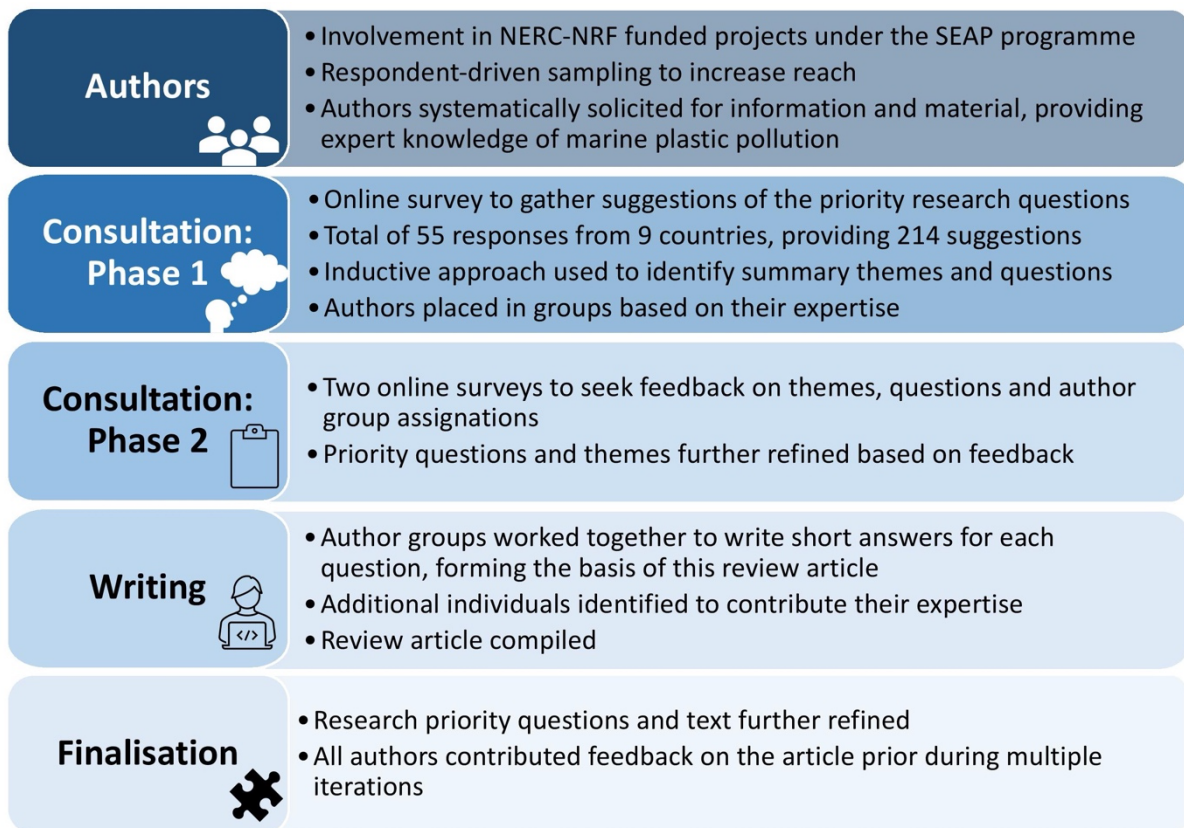
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774 SEAP-2020-0004, NERC Award No. NE/V009354/1 and NE/V009362/1); Southeast Asia Marine
775 Plastics (SEAmap; NERC Award No. NE/V009427/1): Reduction, Control, and Mitigation of
776 Marine Plastic Pollution in the Philippines; Microbial transformation of plastics in Southeast
777 Asian seas: a hazard and a solution (MicroSEAP; NRF Award No. NRF-NERC-SEAP-2020-0002,
778 NERC Award No. NE/V009516/1); and Sources, impacts and solutions for plastics in Southeast
779 Asia coastal environment (NRF Award No. NRF-NERC-SEAP-2020-0003, NERC Award No.
780 NE/V009621/1).



781

782 **Figure 1. Examples of plastic pollution in Southeast Asia:** a) after rainfall under the bridge of
 783 Sungai Ciluar, Bogor, Indonesia (photo credit: Muhammad Reza Cordova); b) in a mangrove
 784 forest in Carmen, Cebu, Indonesia (photo credit: University of San Carlos, SEAMaP team); c)
 785 in Rambut Island Wildlife Reserve, Jakarta Bay, Indonesia (photo credit: Muhammad Reza
 786 Cordova); d) on a beach in Tanah Merah, Singapore (photo credit: Tai Chong Toh); e) on a
 787 coral reef in Paiton, East Java, Indonesia during a coastal cleanup (photo credit: Ruly Istaful
 788 Khasana); and f) plastic bottles on the seafloor at Lazarus Island, Singapore (photo credit: Our
 789 Singapore Reef). – Please see online version of article for colour. Double column fitting image.



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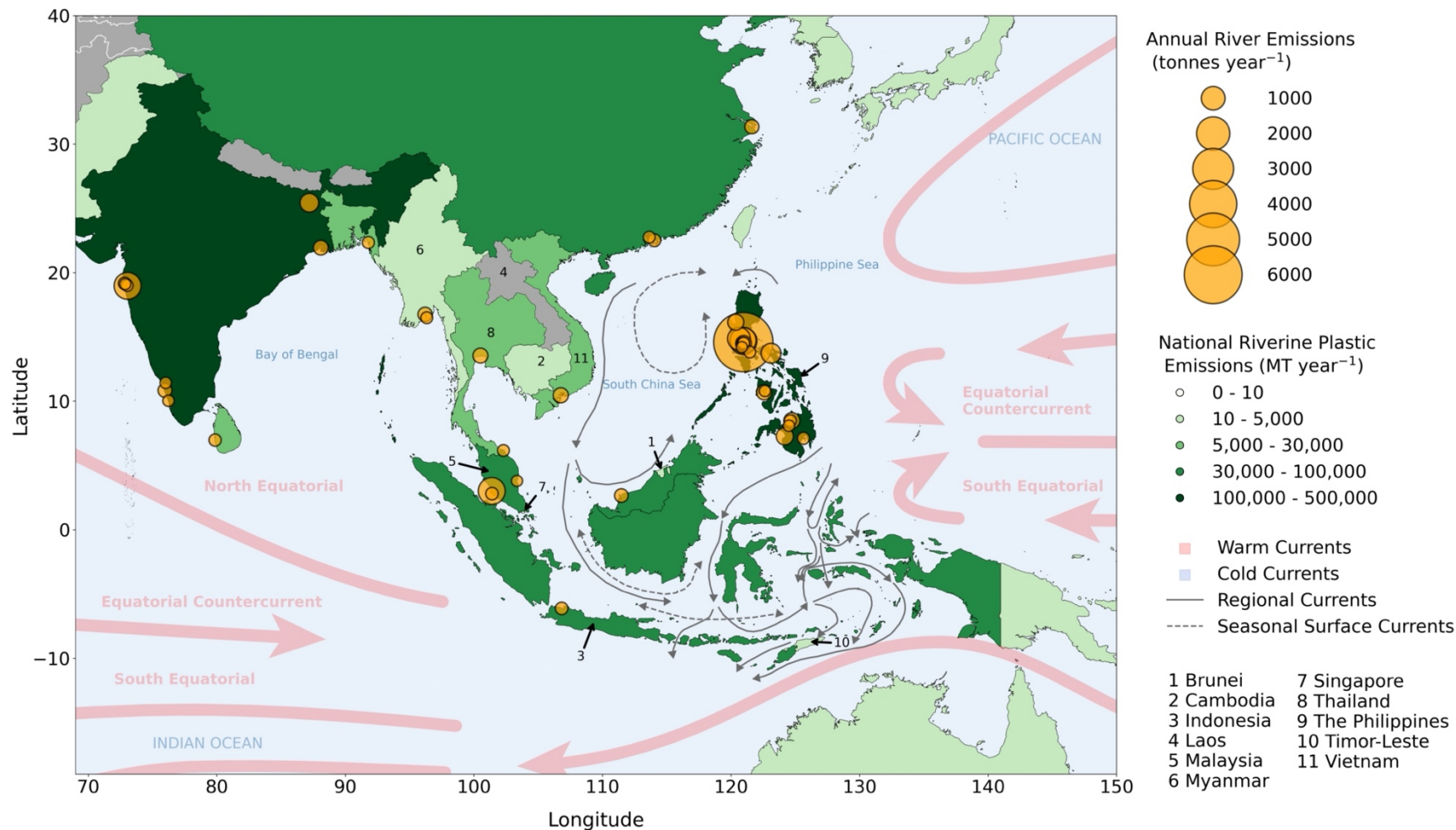
791 **Figure 2. Summary of horizon-scanning writing process.** – Please see online version of article

792 for colour. Double column fitting image.

Theme 1. Description of plastic pollution	Theme 2. Movement & fate	Theme 3. Biological & chemical modifications
<ul style="list-style-type: none"> • Q1. Origins of plastic pollution • Q2. Entry points into the marine environment • Q3. Methodological approaches 	<ul style="list-style-type: none"> • Q4. Movement patterns and dispersal pathways • Q5. Accumulation zones • Q6. Extreme weather events and source-sink dynamics 	<ul style="list-style-type: none"> • Q7. Plasticsphere biofilm assemblage and ecological driving forces • Q8. Pollutant transport and antimicrobial resistance hotspot creation • Q9. Fragmentation and degradation patterns
Theme 4. Environmental, social, & economic impacts		Theme 5. Regional policies & possible solutions
<ul style="list-style-type: none"> • Q10. Marine organisms • Q11. Marine habitats • Q12. Ecosystem services • Q13. Livelihoods and poverty alleviation • Q14. Economic cost of pollution between polluter and polluted countries • Q15. Degradation of seafood safety 		<ul style="list-style-type: none"> • Q16. Effective intervention identification • Q17. Circular economy of plastics • Q18. Design of education and monitoring programmes • Q19. Regional policy intervention effects • Q20. Geopolitical roles of countries • Q21. Political framework of marine plastic governance

793

794 **Figure 3.** Summary of the five key themes covered in this review for the purpose of identifying
795 research priorities for marine plastics in Southeast Asia. – Please see online version of article
796 for colour. Double column fitting image.



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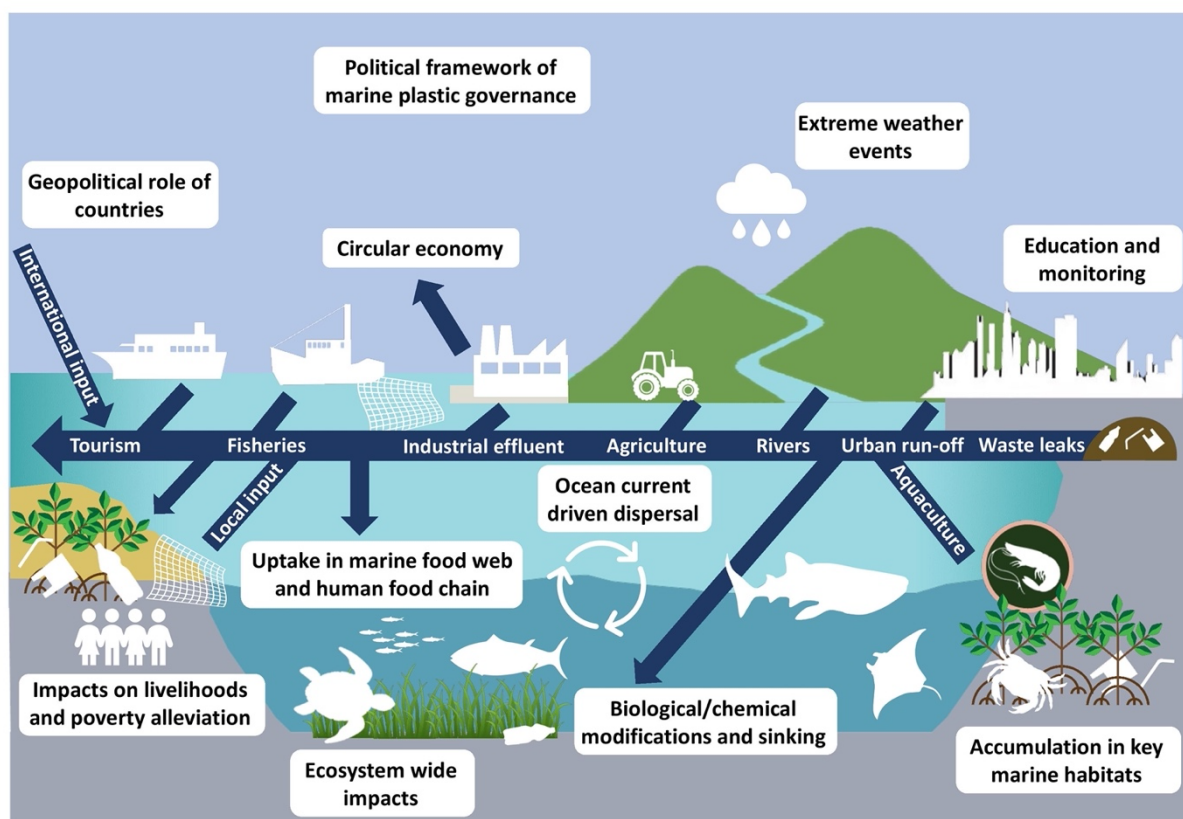
801

802

Figure 4. Map of Southeast Asia showing the principal ocean currents of the region and plastic emissions per country and river. The chloropleth map represents total plastic emitted into the ocean (millions of tonnes per year), while the scatter plot (orange) shows the geospatial distribution of the relative individual river emissions (tonnes per year). Global surface warm ocean currents are represented by the thick red arrows. Regional surface currents of the Indonesia Through Flow affecting the dispersal of marine plastic litter are represented with thin grey arrows. Data on plastic emissions from Meijer et al. (2021). – Please see online version of article for colour. Double column fitting image.

803 **Highlights**

- 804 • Established key research questions for marine plastic pollution in Southeast Asia
- 805 • Need to better understand fate, degradation and impacts of plastics regionally
- 806 • Suffers from transboundary problems, lack of responsibility and inaction
- 807 • Further research needed to support development of mitigation measures in the region



809

810

- 811 **Author contributions**
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813 original draft, review, editing
- 814 Emily M. Duncan – Conceptualization, methodology, formal analysis, investigation, writing:
815 original draft, review, editing
- 816 Kornrawee Aiemsomboon – Investigation, writing: original draft, review, editing
- 817 Nicola Beaumont – Investigation, writing: original draft, review, editing
- 818 Sujaree Bureekul – Writing: original draft, review, editing
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873 Brendan J. Godley – Conceptualization, methodology, investigation, writing: original draft,
874 review, editing

875 **References**

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1844 **Supplementary material**

1845

1846 **Table S1.** Key research priority question to inform marine plastic pollution in Southeast Asia, their associated current knowledge and areas for
 1847 further research.

Priority questions	Current knowledge	Areas for further research
Theme 1. Description of plastic pollution		
Q1. What are the origins of plastic pollution in the marine environment in Southeast Asia?	<ul style="list-style-type: none"> - Land-based sources from reliance on single-use plastics, exacerbated by COVID-19 pandemic - Sources of macroplastics: direct littering, marine dumping, aquaculture, and accidental loss from shipping and fishing activities - Sources of microplastics: plastic fragmentation, ocean circulation, and domestic sources - Leakage from overflowing landfills and over-burdened waste processing facilities as major producers/recipients of waste 	<ul style="list-style-type: none"> - Quantify the amount of plastic waste entering the marine environment from each source
Q2. What are the main plastic entry points into the marine environment in Southeast Asia?	<ul style="list-style-type: none"> - Rivers are major transport pathways into the marine environment, with half of top 50 plastic emitting rivers predicted to be in Southeast Asia - Entry points include densely populated coastal regions, industrial estates, port facilities, landfill sites and beaches 	<ul style="list-style-type: none"> - Understand the linkage between freshwater and marine plastic pollution - Identify important entry points for the development of waste management strategies - Combining in-situ sampling with novel technology and particle backtracking simulations could aid in determining potential sources
Q3. What are the most appropriate methodological approaches to characterise marine plastic pollution?	<ul style="list-style-type: none"> - Fourier Transform InfraRed (FTIR) or Raman spectroscopy mostly commonly used methods globally - Spatial variability in identified polymers from in-situ sampling 	<ul style="list-style-type: none"> - International collaboration among research organisations to alleviate the scarcity of research equipment in Southeast Asia - Ensure use of standardised protocols for collection, identification, and monitoring to allow for regional and global comparisons
Theme 2. Movement and fate		

Q4. What drives dominant movement patterns and dispersal pathways of plastic in Southeast Asia?	<ul style="list-style-type: none"> - The Indonesia Through Flow (ITF) is the major current in the region, which interacts with the region's complex bathymetry and topography - Abundance of plastic-trapping habitats, such as mangroves, seagrasses, and coral reefs 	<ul style="list-style-type: none"> - Develop three-dimensional, high-resolution models that explicitly include tides and their interactions, freshwater inputs, wave and wind dynamics, and extreme weather events - Address heterogeneity of plastics when parameterising aggregation, fragmentation and/or biofouling process in transport models
Q5. Which habitats act as major accumulation zones in Southeast Asia?	<ul style="list-style-type: none"> - Sessile biota in mangrove, seagrass and coral habitats directly accumulate plastic via snagging, filtering and adhesion, and indirectly influence local hydro- and sediment-dynamics - Connectivity between coastal and marine habitats can lead to the export of accumulated plastics 	<ul style="list-style-type: none"> - Better quantify the volume of plastic pollution currently stored in mangrove, seagrass, and coral habitats - Understand the impacts and consequences for the coastal and riverine communities that depend on key habitats
Q6. How will extreme weather events influence source-sink dynamics in Southeast Asia?	<ul style="list-style-type: none"> - Temporal variability is a key factor in determining the transport of plastics and can be influenced by extreme weather events - Regionally, monsoon seasonal typhoons and storm surges, for example, have the potential to cause resuspension & dispersal of microplastics from accumulation zones 	<ul style="list-style-type: none"> - Investigate impacts of sea level rise and probability distributions of extreme weather events on source-sink dynamics
Theme 3. Biological and chemical modifications		
Q7. What are the assemblage and ecological driving forces of plastisphere biofilms?	<ul style="list-style-type: none"> - Composition and functional capacity of plastisphere biofilms influenced by the size and chemical composition of plastics and the surrounding environment - Differences in gene expression profiles among communities present in microplastic biofilms 	<ul style="list-style-type: none"> - Study the composition and ecological functions of microorganisms within biofilms in Southeast Asia - Understand the metabolic capacity of plastisphere communities - Contribute towards determining whether a core plastisphere exists that is significantly different to non-plastic biofilms

<p>Q8. What is the potential for marine plastic pollution to transport pollutants and create hotspots of antimicrobial resistance?</p>	<ul style="list-style-type: none"> - Potential sorption of co-pollutants, microorganisms and invasive species to marine plastic pollution represents a significant threat to ecosystem health - Combination of microorganisms and pollutants can cultivate the development of antibiotic resistance genes on marine plastic pollution 	<ul style="list-style-type: none"> - Investigate the role of plastics as surfaces for developing and disseminating antimicrobial resistance in Southeast Asia - Explore the pathogenic activity of antibiotic resistant microorganisms on marine plastic pollution and the risk posed to humans and animals
<p>Q9. What is the pattern of fragmentation and degradation of plastic pollution?</p>	<ul style="list-style-type: none"> - Polymer degradation in the environment is influenced by abiotic factors and the presence of microorganisms - Members of several bacteria phyla have regularly been linked to plastic degradation 	<ul style="list-style-type: none"> - Continue research on biodegradation of plastics by microbes in the region and in novel locations - Develop thorough standardised testing methods to enhance understanding of plastic fragmentation and degradation
<p>Theme 4. Environmental, social, and economic impacts</p>		
<p>Q10. What are the impacts of plastic pollution on marine organisms?</p>	<ul style="list-style-type: none"> - Plastic ingestion (ranging from macro- to microplastic) has been documented in numerous taxa in Southeast Asia - Reports of entanglement present for species and impacts of abandoned, lost, or otherwise discarded fishing gear on habitats such as coral reefs 	<ul style="list-style-type: none"> - Investigate ingestion in seabirds in the region as publications are currently lacking entirely for this taxon - Explore trophic transfer, having implications for sea food safety - As the impacts of marine plastic pollution are multi-faceted, there is a need for a greater understanding of these impacts in Southeast Asia, particularly for endemic species
<p>Q11. What are the impacts of plastic pollution on key marine habitats in Southeast Asia?</p>	<ul style="list-style-type: none"> - Macroplastics become trapped by biological structures, while microplastics become incorporated into sediments - Plastic pollution negatively impacts marine habitats by mechanical and chemical means, altering microbial and macrofaunal communities - Micro- and nano-plastics alter microbial and planktonic community composition, influencing the regulation of oceanic carbon cycles 	<ul style="list-style-type: none"> - Study how micro- and nanoplastics affect the biogeochemistry of their associated substrata more widely in Southeast Asia - Build on and expand the geographical scope of emerging studies into the incorporation of plastics into sediments and their effects on microbial communities

<p>Q12. What are the impacts of plastic pollution on marine ecosystem services in Southeast Asia?</p>	<ul style="list-style-type: none"> - Many ecosystem services are known to be particularly vulnerable to the deleterious effects of plastic pollution, in turn impacting the extent of ecosystem service provision - Unsustainable practices related to ecotourism in Southeast Asia generate significant levels of marine plastic pollution - The impact of plastic pollution on key fisheries species will have wide-ranging impacts due to the importance of this industry in Southeast Asia 	<ul style="list-style-type: none"> - Better understand the consequences of plastic polluting behaviours upon high-value ecosystem services as well as those provided by important habitats in the region
<p>Q13. What are the relationships between marine plastics, livelihoods, and poverty alleviation in Southeast Asia?</p>	<ul style="list-style-type: none"> - Costs of marine plastic pollution unequally distributed across countries and populations - Single-use plastic products can help increase hygiene and access to sanitary products, as well as reduce disease - Sizeable informal waste sector fill an important gap in ineffective state waste management systems 	<ul style="list-style-type: none"> - Explore how we can collectively nurture more ecologically sustainable behaviours which bridge existing gaps between sectors and across socioeconomic groups
<p>Q14. How is the economic cost of pollution shared between polluter and polluted countries in Southeast Asia?</p>	<ul style="list-style-type: none"> - Some Southeast Asian countries are both primary producers and heavily impacted by marine plastic pollution - Primary producers often not the countries impacted by marine plastic pollution due to exportation, meaning that developing countries tend to bear the cumulative environmental, health and socioeconomic burdens of ill-disposed waste 	<ul style="list-style-type: none"> - Develop and enforce international legislation to hold major polluters accountable and reduce the incentive for any single country to take responsibility for transgressive cross-border flows - Ensure that wealthy countries contribute more meaningfully towards the costs of mitigating the socioeconomic impacts of domestic waste offshoring
<p>Q15. What is the role of plastic debris in degrading seafood safety?</p>	<ul style="list-style-type: none"> - High reliance on and consumption of seafood among dependent coastal communities in Southeast Asia could have significant negative health impacts 	<ul style="list-style-type: none"> - Investigate the impacts of marine plastic pollution on species for human consumption and how these may be translated into associated risks to human health

- Ability of diverse human pathogens and antibiotic resistant bacteria present on marine plastic to enter the human food chain through secondary consumption

Theme 5. Regional policies and possible solutions

<p>Q16. How can we identify effective interventions in Southeast Asia?</p>	<ul style="list-style-type: none"> - Many areas of Southeast Asia lack technological solutions readily available to developed countries - Waste interceptors in rivers in the region have successfully been trialled and prevented several tonnes of plastics from entering the marine environment 	<ul style="list-style-type: none"> - Identifying and targeting land-based leakage points represents one of several possible intervention to reduce marine plastic pollution
<p>Q17. How can a circular economy of plastics be developed to benefit livelihoods in coastal communities?</p>	<ul style="list-style-type: none"> - The benefits of a circular economy of plastics have only entered policy circles relatively recently in Southeast Asia 	<ul style="list-style-type: none"> - Develop viable solutions involving all stakeholders to ensure public participation and support for plastic waste reduction - Further explore the potential of a circular economy of plastics in the region
<p>Q18. How to design effective, comprehensive awareness, education, and monitoring programmes?</p>	<ul style="list-style-type: none"> - Grassroots environmental groups and mass media campaigns increase public awareness campaigns, while scientific reports aid understanding of the significance and severity of the problem - Coastal clean-ups provide a valuable contribution to the monitoring of marine plastic pollution, deepening stakeholder collaboration and promoting individual responsibility of care 	<ul style="list-style-type: none"> - Strive to implement approaches targeting marine plastic pollution which involves multiple stakeholders at local, national, and regional scales - Establish long-term monitoring protocols, compatible with international regulations to develop regional policies on environmentally relevant data

<p>Q19. How effective are the major regional policy interventions to reduce plastic waste?</p>	<ul style="list-style-type: none"> - Considerable recent regional-scale plastic pollution policy action in Southeast Asia, which have focused on isolated actions, such as bans on specific items and biodegradable packaging, rather than on systemic change 	<ul style="list-style-type: none"> - Develop and implement national reforms to state waste management systems to better deal with burgeoning domestic waste and the influx of plastic waste exports in Southeast Asia - Align national cultures of consumption along more sustainable development pathways, through multi-sectoral and multi-scalar efforts to change existing ecological behaviours in the longer term
<p>Q20. What are the geopolitical roles of countries in exporting/transporting plastic waste in Southeast Asia?</p>	<ul style="list-style-type: none"> - Following China's National Sword Policy, there has been some societal resentment among Southeast Asian countries with some governments refusing to import plastic waste - Illegally entry of significant amounts of plastic waste - Multi-national companies can contribute meaningfully to geopolitical relations through waste assessment and brand audits - Extended producer responsibility is gaining more traction in the region 	<ul style="list-style-type: none"> - Implement interstate initiatives and reforms to international legal frameworks to help address inequalities in the distribution of plastic waste between exporting and importing countries - Enforce legislation (inter)nationally to make transnational corporations compliant with the safe and sustainable export of import of plastic waste
<p>Q21. What is the political framework of marine plastic governance and how can it be improved in Southeast Asia?</p>	<ul style="list-style-type: none"> - Transboundary governance failing for several reasons in the region - Uncoordinated and fragmented national policies undermine regional efforts, with plastic governance fragmented between sectors and spatial scales 	<ul style="list-style-type: none"> - Continue the development of greater transboundary cooperation on marine plastic pollution which could open the political space and funding opportunities for innovative contributions at all scales of governance - Participate in discussions to develop a global agreement to tackle marine plastic pollution

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