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## Research Article

# First case series of clozapine induced hypogammaglobulinaemia in England

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## Abstract

Evidence is emerging that clozapine can adversely affect immunoglobulin levels. We present a case series of 17 clozapine-treated patients referred to clinical immunology centres in the north west of England with otherwise-unexplained hypogammaglobulinaemia. This adds to existing evidence and suggests that clozapine can cause clinically significant antibody deficiency that will sometimes require specialist intervention. We speculate that this putative drug toxicity could be mediated via interaction with PI3K (phosphatidylinositol-3-kinase) signalling pathways involved in the development and homeostasis of B cells. It may be advisable to monitor immunoglobulin levels in patients being treated with clozapine.

## Introduction

Schizophrenia is a debilitating psychiatric condition and clozapine is recommended for patients who are unresponsive to, or intolerant of, conventional antipsychotic drugs [1]. Clozapine has numerous possible side-effects,<sup>1</sup> among which neutropenia and fatal agranulocytosis are well-documented [2]. More recently, an association between clozapine treatment and hypogammaglobulinaemia has been reported [3].

Among four clinical immunology centres in the north west of England, we identified 17 clozapine-treated patients who were referred with hypogammaglobulinaemia for which there was no other likely cause. We describe the clinical and immunological phenotypes of these patients, and their management. Additionally, we speculate that a possible mechanism for this drug side-effect is interaction with PI3 kinase pathways involved in the development and maturation of B cells.

## Aim

To review the diagnosis and management of hypogammaglobulinaemia in patients on long-term clozapine treatment.

## Method

Retrospective review of medical notes and electronic patient records was undertaken to obtain information on the following:

1. Demographics
2. Immunoglobulin levels (IgG, IgA & IgM), serum electrophoresis and immunofixation
3. Lymphocytes subsets (CD4, CD8, CD19 and CD16/56)
4. Specific antibody serology and response to vaccination, when relevant.
5. Mannose binding lectin (MBL) levels.



6. Susceptibility to infections, microbiology results and nature of infections
7. Infection-related complications, such as bronchiectasis
8. Other clinical features and co-morbidities
9. Treatment strategies:
  - a) Prophylactic antibiotics
  - b) Immunoglobulin replacement

Data were anonymised.

## Results

Data for the 17 patients is summarised in Tables 1,2:

### Demographic data and duration of clozapine therapy:

Mean age was 43.7 years (range 29–60 years). Mean duration of clozapine treatment prior to referral was 8 years (range 2–15 years). Clozapine was stopped in two patients.

### Immunoglobulin levels:

All 17 patients had panhypogammaglobulinaemia (all Immunoglobulin isotypes low or absent) at referral, or developed panhypogammaglobulinaemia while being followed up.

Mean immunoglobulin levels at referral were:

IgG 2.96 g/L (range 1.12–5.30 g/L)

IgM 0.06 g/L (range <0.01–0.37 g/L)

IgA 0.29 g/L (range <0.07–0.91 g/L)

One patient had a monoclonal paraprotein, deemed to be monoclonal gammopathy of uncertain significance (MGUS).

### Susceptibility to infection, microbiology results and types of infections:

12 patients (70%) were prone to recurrent sinopulmonary infections, with one requiring multiple admissions to the intensive care unit (ICU).

Pathogens commonly isolated were haemophilus, moraxella and pseudomonas spp.

Bronchiectasis was diagnosed in 3 patients (18%).

### Haemophilus influenzae, tetanus & pneumococcal vaccination and serology:

11 patients (65%) responded poorly to both polysaccharide and conjugated pneumococcal vaccines, and 2 patients (12%) lost their pneumococcal protection during follow-up.

10 patients (59%) responded poorly to haemophilus vaccine, of whom 9 (53%) also responded poorly to tetanus vaccine.

### Lymphocytes subsets:

16 patients (94%) showed total lymphocyte counts within the normal reference range.

Lymphocyte subsets were variable:

- All lymphocyte subsets were low in one patient with lymphoid hyperplasia.
- The CD8 count was low in 3 patients:

oL6: treated for granulomatous lung disease, most likely to be granulomatous interstitial lung disease secondary to immunodeficiency.

**Table 1:** Laboratory findings for 17 clozapine-treated patients with hypogammaglobulinaemia

Immunology centre	Age at first diagnosis	Duration of clozapine Rx at first consult	Immunoglobulin levels at Diagnosis			Response to vaccination at diagnosis			Paraprotein		Lymphocyte subsets at diagnosis				MBL (mg/l) (1.00 - 4.00)
			IgG	IgA	IgM	Hib Vaccine	Tetanus vaccine	Pneumococcal vaccines (> 0.35ug/ml)	intact Ig	light chain only	CD4 (0.430 - 1.820)	CD8 (0.250 - 1.200)	CD19 (0.120 - 0.670)	CD16/56 (0.200 - 1.200)	
L1	42	15	4.87	0.33	0.29	Satisfactory	Satisfactory	Satisfactory	N	N	1.036	0.867	0.429	0.253	0.68
L2	44	U	4.8	0.73	0.37	Satisfactory	Satisfactory	Satisfactory	N	N	0.794	0.462	0.236	0.123	0.29
L3	48	3	2.9	0.29	0.06	Satisfactory	Satisfactory	Satisfactory*	N	N	0.449	0.83	0.311	0.054	2
L4	57	U	1.8	0.09	0.08	Satisfactory	Satisfactory	Unsatisfactory	N	N	0.459	0.249	0.136	0.109	2.75
L5	60	U	2.3	0.38	0.07	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.277	0.137	0.10	0.098	<0.05
L6	41	U	2.8	0.1	<0.04	Satisfactory	Satisfactory	Satisfactory	N	N	0.414	0.168	0.214	0.33	U
L7	48	4	3.6	0.28	0.06	Satisfactory	Satisfactory	Satisfactory	N	N	0.402	0.1	0.103	0.032	U
S1	49	U	2.62	0.07	<0.04	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.63	0.3	0.04	0.19	U
S2	48	U	2.65	0.14	0.06	Unsatisfactory	Unsatisfactory	Unsatisfactory	IgG kappa	N	0.52	0.28	0.76	0.06	U
S3	31	6	4.62	0.09	0.07	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.8	0.5	0.51	0.17	U
S4	40	9	1.12	0.05	<0.07	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.42	0.14	0.28	0.07	U
S5	35	10	4.5	0.59	0.23	Satisfactory	Satisfactory	Satisfactory*	N	N	0.84	0.45	0.35	0.38	U
M1	29	U	2.66	0.67	<0.1	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.673	0.27	0.292	0.111	U
M2	40	9	5.2	0.39	<0.1	Unsatisfactory	Satisfactory	Unsatisfactory	N	N	1.355	0.301	0.483	0.13	U
P1	54	14	3.06	0.33	0.12	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	1.09	0.166	0.458	0.172	U
P2	56	12	1.94	0.19	<0.05	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.348	0.055	0.17	0.073	U
P3	40	U	1.29	0.14	<0.05	Unsatisfactory	Unsatisfactory	Unsatisfactory	N	N	0.428	0.345	0.202	0.059	U

U: unknown, N: negative/no.

**Table 2:** Clinical findings for 17 clozapine-treated patients with hypogammaglobulinaemia.

Immunology centre	Age at first diagnosis	Clinically infection-prone	Site of infection	Bronchiectasis	other clinical features	Ig replacement	Prophylactic Antibiotics
L1	42	N	n/a	not suspected	none	N	N
L2	44	N	n/a	not suspected	none	N	N
L3	48	Y	chest	N	none	N	N
L4	57	Y	chest	Y	none	Y	N
L5	60	N	n/a	N	lymphoid hyperplasia	N	N
L6	41	Y	chest	N	granulomas, possible sarcoid like syndrome, empyema	Y	Y
L7	48	Y	chest	Y, COPD, smoker	laryngeal dysplasia (benign)	N	N
S1	49	Y	chest	N	iron deficiency anaemia, heart failure, chronic renal failure	Y	N
S2	48	Y	chest	N	granulomatous disease, ITU admissions with sepsis	Y	Y
S3	31	Y	chest	N	IDDM, chronic diarrhoea	N	Y
S4	40	Y	chest	N	none	Y**	Y
S5	35	Y	chest	N	none	N	N
M1	29	Y	chest	N	none	Y	N
M2	40	Y	chest	N	none	N	N
P1	54	N	n/a	N	none	N	N
P2	56	N	n/a	N	panlymphocytopenia	N	N
P3	40	Y	chest	Y	Hodgkin's lymphoma with relapse	Y	Y

Y: yes, N: negative/no, n/a: not applicable, COPD: Chronic Obstructive Pulmonary Disease, Y\*\*: This patient has been on and off immunoglobulin.

oL7: treated for benign laryngeal dysplasia.

oS2: investigated for splenomegaly and multiple enlarged lymph nodes deemed reactive in nature and most likely related to underlying immunodeficiency.

- Isolated low B cells were found in two patients. One required immunoglobulin replacement therapy; the other has no apparent susceptibility to infection, despite unsatisfactory responses to all vaccines (pneumococcal, haemophilus and tetanus).
- NK cells (CD16/56) were reduced in 7 patients. One was treated for refractory Hodgkin's lymphoma.

#### Other immunological investigations:

Mannose binding lectin (MBL) was found to be low in 3 of the 5 patients tested (60%).

#### Treatment strategies:

7 patients (40%) required immunoglobulin replacement therapy. Another 5 patients (29%) with recurrent infections required long-term antibiotic prophylaxis.

#### Other clinical features and co-morbidities:

The following were each observed in 1 patient (6%):

- granulomatous interstitial lung disease
- splenomegaly and lymphadenopathy
- chronic diarrhoea (salmonella-positive), with insulin-dependent diabetes, and pancreatic insufficiency

- laryngeal dysplasia
- lymphoid hyperplasia
- Hodgkin's lymphoma
- chronic obstructive pulmonary disease (COPD)
- monoclonal gammopathy of uncertain significance (MGUS)

## Discussion

We describe 17 clozapine-treated patients referred with hypogammaglobulinaemia for which no other cause was evident.

In a study exploring the use of decreased total serum globulins as a surrogate marker for hypogammaglobulinaemia, 4 of 32 primary care patients found to be hypogammaglobulinaemic were being treated with clozapine [4]. A subsequent comparison between clozapine-treated and clozapine-naïve patients confirmed an association with hypogammaglobulinaemia, noting increased antibiotic usage and hospital admissions in the clozapine-treated group. The extent of the hypogammaglobulinaemia correlated with the duration of clozapine treatment [3]. The same group have reported the clinical and laboratory characteristics of clozapine-treated patients with schizophrenia referred to a national immunodeficiency clinic revealing a B-cell signature resembling common variable immunodeficiency (CVID) [5].

Our report is the first demonstration in England that clozapine-treated patients do present to specialist immunology



clinics with hypogammaglobulinaemia and clinically significant antibody deficiency requiring specialist intervention. The effect may sometimes be reversible: of the two patients whose clozapine was discontinued, one showed complete recovery of all immunoglobulin isotypes 6 months later, with good vaccination responses; however, the other showed only partial recovery of immunoglobulins, with on-going poor antibody responses and continuing infection.

There is evidence that the therapeutic effect of clozapine might depend on interaction with phosphatidylinositol-3-kinase (PI3K) pathways [6-8]. Furthermore, PI3K signalling pathways are essential for the development and homeostasis of B cells [9,10]. Additionally, inherited defects of PI3K signalling result in immunodeficiency [11]. Speculatively, therefore, altered function of PI3K pathways may be a mechanism for clozapine toxicity.

## Suggestions & Conclusion

The need to monitor clozapine-treated patients for toxic effects on granulocytes is well-established. New evidence suggests that it might also be prudent to monitor immunoglobulin levels and maintain clinical vigilance for infection with polysaccharide-encapsulated organisms (which are of particular relevance to antibody deficiency).

While there is tentative evidence that these changes may sometimes be reversible, the balance of risks may favour continuation of clozapine in patients who develop antibody deficiency. Ancillary treatments (e.g. antibiotics for acute infection, antibiotic prophylaxis, immunoglobulin replacement) may then become necessary.

Further research would be required to elucidate the mechanism of these effects.

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