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# Asian elephants as ecological filters in Sundaic forests

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Megaherbivores exert strong top-down influence on the ecosystems they inhabit, yet little is known about the foraging impacts of Asian elephants (Elephas maximus) on the structure of Southeast Asia's rainforests. Our goal was to document Asian elephants' dietary composition, selectivity, and foraging impacts in a Sundaic rainforest and test whether these differed between habitats. We conducted controlled direct observations of five wild-born captive elephants feeding on six plant types (bamboo, grass, monocot herbs, palms, lianas, and trees) of different age classes in two habitats (mature vs. early successional forest) in Krau, Peninsular Malaysia. Palms, trees, and lianas formed the bulk of the elephants' diet. In the mature forest, elephants showed a strong preference for monocots (preference ratio, PR = 5.1), particularly large palms (PR = 5.4), while trees were negatively selected (PR = 0.14). Conversely, in early successional habitats, large tree saplings were positively selected (PR = 1.6). Elephants uprooted (30%) and broke the main stem (30%) of the dicot trees, mainly large saplings, that they handled. Tree saplings broken by elephants had an average diameter of 1.7  $\pm$  1.1 cm (up to 7 cm), with breaks happening at 1.1  $\pm$  0.5 m of height. We estimated that, in a year, an elephant could damage (i.e., either uproot or break) around 39,000 tree saplings if it fed entirely in mature forest, and almost double the number (73,000) if it fed solely in early successional habitats. Assuming a density of 0.05-0.18 elephants/km<sup>2</sup>, elephant foraging could damage 0.2-0.6% of the tree sapling population per year. Slow growth rates of understory plants in mature forests could result in negative feedbacks, whereby elephants suppress palms, other monocots, and highly preferred tree species. Alternatively, elephants may initiate positive feedbacks by impeding succession along forest edges and in semi-open environments, thereby increasing the size of gaps and the availability of their preferred foodplants. Overall, our results show that Asian elephants act as ecological filters by suppressing the plants they prefer in Southeast Asia's rainforests.

#### KEYWORDS

Asian elephant, dipterocarp forests, ecological filtering, ecological function, food preference, foraging impacts, megafauna, megaherbivore

# Introduction

As the largest terrestrial animals, elephants impact ecosystems by acting as important long-distance seed dispersers (Campos-Arceiz and Blake, 2011; Ong et al., 2022), mobilizing nutrients (Wolf et al., 2013), creating patch-scale heterogeneity and microhabitats (Pringle, 2008; Haynes, 2012), and modifying the structure and composition of vegetation through selective feeding (Dublin et al., 1990; Holdo, 2006; Berzaghi et al., 2023). Although the impact of elephant foraging in savannas has been extensively studied, much less is known about how elephant herbivory influences tropical forests (Hyvarinen et al., 2021).

Recent work has highlighted the importance of African forest elephants (Loxodonta cyclotis) in shaping Central African forests. Terborgh et al. (2016a) proposed the "megafaunal landscape" hypothesis to explain the relatively low hectare-scale diversity of trees in forests of equatorial Africa. They found in Gabon that low tree diversity was caused by a relatively low diversity of saplings and small trees, which they attributed to the filtering effect of browsing by megafauna, mostly elephants (Terborgh et al., 2016a). Moreover, the density and diversity of small trees in several forests in Gabon were inversely associated with elephant density (Terborgh et al., 2016b). Subsequent modeling work supported the megafaunal landscape hypothesis, showing that, by removing saplings, elephants facilitate the growth of large trees, which also increases above-ground carbon sequestration (Berzaghi et al., 2019). Selective browsing by forest elephants also stabilizes forestsavanna mosaics (Cardoso et al., 2020).

Less is known about the impacts of Asian elephant (Elephas maximus) foraging on ecosystems, particularly in equatorial wet forests of Southeast Asia. Asian elephants cause wide-spread crown distortion in small-stature trees in Sri Lanka's dry evergreen forest (Mueller-Dombois, 1972), damage 1-3% of the trees (>8 cm diameter at breast height, dbh) in recently recolonized forests of Nepal's Bardia National Park (Pradhan et al., 2007), and contribute to the maintenance of forest gaps by damaging early successional Macaranga spp. trees in Sundaic rainforests of Sabah, Borneo (Matsubayashi et al., 2006). In Peninsular Malaysia, the density and diversity of tree saplings as well as the density of large palms and other monocots is higher in a forest where elephants have been absent for over 20 years (Krau) than in a forest where they are present and common (Belum; Terborgh et al., 2018). The frequency of stem break scars in Krau and Belum, however, does not differ and is much lower than in Gabonese forests (Terborgh et al., 2018), suggesting potential differences in the type of impacts caused by forest elephants in the two continents.

The influence of elephants on plant communities and forest structure is linked tightly to their foraging habits and dietary needs. Adult elephants can forage for 12–19 h and consume about 150 kg of fresh vegetation per day (Vancuylenberg, 1977; Sukumar, 2006). As mixed feeders, Asian elephants consume both grasses and browse (Sukumar and Ramesh, 1995; Sukumar, 2003), and their diet and foraging strategy can differ greatly with landscape and seasonal conditions (e.g., Sukumar, 1990; Chen et al., 2006; Joshi and Singh, 2008). With their prehensile trunk, body strength, and tusks (absent in Asian elephant females), elephants manipulate plants skillfully, being very versatile in processing the plants and plant parts they consume. Elephants handle plants by stripping out leaves from branches, debarking trees, breaking off trunks and branches, uprooting saplings, pulling down lianas, or shaking trees to harvest fruits (Ishwaran, 1983; Pradhan et al., 2007). Understanding elephants' food preferences and the way they handle different food plants form the basis for determining their foraging impacts.

Peninsular Malaysia is home to approximately 1500 wild Asian elephants that roam through a mix of primary, selectively logged, and highly disturbed forests (Saaban et al., 2011; de la Torre et al., 2021). Once widespread throughout the Malay Peninsula (Olivier, 1978), elephant populations are now scattered in fragmented forest complexes (de la Torre et al., 2019). Under the current scenario of ongoing deforestation and defaunation (Miettinen et al., 2011; Tilker et al., 2019) it is important to close the knowledge gap on megafauna's impacts on Southeast Asia's rainforest ecosystems.

In our previous work (Terborgh et al., 2018), we used indirect methods (i.e., comparing vegetation structure, composition, and diversity in forests with and without elephants) to evaluate elephant foraging impacts in Southeast Asian rainforest, and we surmised that elephants act as ecological filters, suppressing the abundance of their preferred foodplants (Terborgh et al., 2018). Here we use direct methods-observations of captive elephants foraging unrestrained in forest and early succession habitats-to expand our understanding and test our previous conclusions. Particular objectives of this study were to document diet composition, selectivity among available plant materials, and impacts on forest structure; and to test whether these differ between two habitats. The elephants in our study (all females) had been captured as adults or subadults and hence had all experienced life in the wild. This work is fundamentally important to the understanding of megaherbivore and community ecology, as well as conservation and restoration efforts in Southeast Asia.

# Materials and methods

## Study area

We observed foraging female elephants in Krau Wildlife Reserve (hereafter Krau; 3°43'N, 102°10'E), located east of the Titiwangsa Range, in the State of Pahang, in Peninsular Malaysia (**Supplementary Figure 1**). Krau includes approximately 624 km<sup>2</sup> of protected forest, ranging from lowland to hill dipterocarp, and montane forest (Nizam et al., 2006). There are no wild elephants in Krau, as the last individuals were translocated in 1993 to reduce conflicts with villages in and around the protected area.

The observed elephants came from the Kuala Gandah National Elephant Conservation Centre (NECC), a government-run facility adjacent to Krau that maintains captured elephants, including several resident elephants from the 1993 Krau translocation, and also elephants from other forests around Peninsular Malaysia, mostly areas with intense human-elephant conflicts. NECC is managed by the Elephant Capture and Translocation Unit for the protection and conservation of translocated wild elephants. The unit engages NECC elephants in wild elephant translocation operations; hence, NECC's elephants often spend time in the forest (both in Krau and during translocation operations in other parts of the country). Unlike conventional paired bonds between a mahout and his elephant, all NECC's mahouts share close bonds with several elephants.

Adequate food provision is an important component of NECC's management. NECC provides elephants a diversity of food items throughout the day and evening, and NECC elephants are brought to forage in the surrounding forests nearly every day (**Supplementary Table 1**). Elephants receive balanced nutrition and physical and mental stimulation through forest enrichment activities, including scrubbing against trees, and bathing in rivers and mud pools. In this study, we conducted feeding observations in the morning, until noon, and elephants were fed as usual outside the observation times (refer to **Supplementary Table 1**), minimizing the disruption of their food provisioning during the study period.

Our study involved relatively close-distance ( $\sim 5$  m) observations of elephant food choices and plant handling, which cannot be done with wild elephants. NECC's captive elephants were thus adequate proxies to study Asian elephants' food choices and plant handling behavior. During our observations, the elephants were generally comfortable with the presence of the observers, and this ensured the safety of the team as well as reducing errors that could arise from stress.

We conducted the study mostly in secondary lowland dipterocarp forests mixed with older stands, and observed the elephants in two different habitat types: (1) closed forest (ten patches) and (2) early successional habitat (seven patches). The patches were around half to one hectare in size, depending on how much the elephants moved, since they were free to roam during the observation periods (see below). The selected forest patches were largely undisturbed lowland dipterocarp forest. The early successional patches consisted of a matrix of forest edge and recovering agricultural plots. Some of the early successional sites were dominated by grasses and saplings of pioneer trees, whereas others contained shrubs growing amidst coppiced trees from shifting agriculture (**Supplementary Figures 2, 3**).

## **Research permits**

Animal handling was carried out by the staff of Peninsular Malaysia's Department of Wildlife and National Parks in accordance with research and ethics requirements by the Malaysian government [permit #JPHL%TN(IP): 80–4/2]. In addition, the first author received ethical approval for her Ph.D. research from the University of Nottingham Malaysia Science and Engineering Ethics committee (application identification number LO081016), with an emphasis on ethical concerns involving human participants.

## Data collection

## Feeding observations

We conducted direct feeding observations on five wildborn captive female elephants of different ages from NECC (**Supplementary Table 2**). Elephants were directed by the mahouts to the chosen patches where they were released to feed freely. The feeding patches were within 2 km from NECC (**Supplementary Figure 1**), and at least 200 m away from one another; most of which were previously unvisited by the elephants. Pairs of observers were assigned to each elephant, one who described the elephant's foraging activities and another who recorded the first's observations. Collectively, we were able to conduct observations of two or three elephants on a given day. Observations were made at distances of around 5 m from the elephants.

We recorded foraging in a total of 40 half-hour sessions—24 in mature forest and 16 in early successional sites for a week in May 2017. Specifically, we recorded the way elephants handled plants, the number of trunkfuls, and the type and size of plants consumed. We recognized six forms of plant handling: leaf stripping, branch breaking, stem breaking, debarking, uprooting, and pushing-over of trees (see **Figure 1** and **Supplementary Figure 4** for details). When elephants fed on grasses without uprooting them, we recorded the behavior as "stem breakage" (grass uprooting was recorded as such).

We quantified feeding in trunkfuls of plant matter. We categorized monocots as bamboos, herbs (mostly gingers), grasses, and palms, distinguishing small (<1 m tall) and large ( $\geq$ 1 m tall) individual stems. Similarly, we classified dicots either as trees or lianas, also in size categories: seedlings (<1 m tall), small saplings ( $\geq$ 1 m tall and <1 cm dbh), large saplings ( $\geq$ 1 cm dbh and <10 cm), and trees (dbh  $\geq$ 10 cm).

#### Tracing damaged stems

Elephants are destructive feeders and often break or uproot plants without eating them. During the feeding observations, we marked the plants broken by elephants with colored paper tags to distinguish between those "eaten" and those left "uneaten" (**Figure 1**). Following the observations, we recorded the plant type, category of plant handling, break height, and diameter of impacted stems from a total of 369 tagged stems. We scored them as damaged, debarked, broken at the main stem, or uprooted.

### Food preference and impact plots

We analyzed vegetation plots to assess elephants' preferences and herbivory impacts per area (Figure 1). At each feeding patch, we measured plant availability and utilization in three 2-mradius circular plots (area = 12.6 m<sup>2</sup>), including two plots where elephants had been feeding and one control (i.e., un-foraged) plot. This plot size allowed us to capture fresh signs of elephant damage, concentrated mostly on saplings. We sampled a total of 49 plots including 20 foraged and ten un-foraged plots in the forest (from ten sites) and 13 foraged and six un-foraged plots in early successional habitat (from seven sites). In these plots, we measured only plants  $\geq 1$  m tall, as it was difficult to quantify plants below 1 m, many of which could have been uprooted by elephants leaving little trace of damage. Within each plot, we recorded plant types and stem diameter, breakage height and diameter, and whether the plants were eaten.

## Data analysis

## Principal vs. preferred foods

Following Petrides (1975), we considered principal foods as those consumed in the greatest quantities, and preferred foods as those consumed at a higher frequency than their availability. We determined the principal foods of both habitats by comparing the average proportion of plants consumed by the elephants



per observation session. We estimated preference ratios (PR) modifying methods from previous studies in the region (Olivier, 1978; English et al., 2014), whereby we estimated preference ratios (PR) for all plant categories sampled as:

PR, preference ratio = 
$$\frac{RU}{RA}$$

RU, relative use =

Nu, number of times a plant type was eaten Tu, total number of plant types eaten across all plant types

RA relative availability =

Na, number of available plants of a given type Ta, total number of available plants across all types

Plants that were broken but not eaten were not considered "used." When a plant category was available but not used in a patch, we considered its PR as 0. A PR value of 1 indicates no selection (the plant is eaten in proportion to its availability), while values above 1 indicate preference and values below 1 indicate avoidance.

To compensate for small sample sizes, we simulated the final PR values from a range of 2-33 plots, depending on the availability of plant categories in the plots. We used Bayesian analysis (uninformative gamma prior) with Markov chain Monte Carlo (MCMC) methods to estimate a credible interval (CI) and range for each plant category in its respective habitat (package *wiqid*; Meredith, 2018); where negative CI numbers indicate possible unreliable estimations. These analyses were performed in R statistical environment 4.0.5 (R Core Team, 2021).

## Elephant herbivory impact

We evaluated elephant foraging impacts by comparing the number of plants that were uprooted, or had their main stem broken, through direct observations. We traced damaged stems both within and outside plots (to increase the confidence of break estimates), to measure the diameter (N = 196) and height (N = 171) of tree stems broken by the elephants. We also measured the diameter of 13 broken lianas.

Taking into consideration that elephants forage around 12– 19 h per day (Vancuylenberg, 1977; Sukumar, 2006), we estimated the potential annual herbivory impact (i.e., feeding for 5,475 h; 15 h  $\times$  365 days) caused by an elephant using probability density functions. While the potential annual impact of dicots was represented by stems that were uprooted and had their main stem broken, the potential annual impact of monocots was represented only by uprooted stems. With basally regenerating leaves and stems that are predominantly underground, we expected the impacts of stem breakage to be less severe for monocots. Since elephant movements and habitat use are highly variable (e.g., de la Torre et al., 2021), we considered three scenarios, estimating the foraging impacts of an elephant that spends (i) 100% of its time feeding in the forest, (ii) half in each habitat, and (iii) 100% in early successional habitats.

#### Models

We evaluated elephant diet and foraging impacts fitting negative binomial linear regressions that model over-dispersion with R's *glm.nb* function in the "MASS" package (Venables and Ripley, 2002). We used likelihood-ratio tests to evaluate the effect of the tested variables. We determined the principal elephant food plants, and their combined effects on habitats using the number of trunkfuls of each plant category consumed per observation session by the elephants as the response variable. As explanatory variables, we used plant class (monocot vs. dicot), plant types (six categories), plant size (two categories for monocots; four categories for dicots), habitat (forest vs. early succession), and individual elephant (five subjects). The effects of elephant's diet were determined using four models: (1) trunkfuls consumed ~ plant class × habitat, (2) trunkfuls consumed ~ plant class of different sizes × habitat, (3) trunkfuls consumed ~ plant types × habitat, and (4) trunkfuls consumed ~ plant types of different sizes × habitat. We determined the effects of elephants' (i.e., plant uprooting or main stem break) with two models: (1) number of stems impacted ~ plant type of different sizes × habitat, and (2) number of stems impacted ~ plant type of different sizes × habitat.

## Results

## Diet composition

Elephants fed at a rate of 57.7  $\pm$  20.3 trunkfuls per hour (N = 40 observation sessions, a total of 20 h). The principal diet of the elephants was palms and tree saplings, particularly palms above 1 m and large tree saplings (**Figure 2**, **Table 1** and **Supplementary Table 3**). Their diet was explained by the differences between plant classes of different sizes (df = 5,  $X^2 = 86.1$ , p < 0.001), plant types (df = 5,  $X^2 = 33.6$ , p < 0.001), and plant types of different sizes (df = 15,  $X^2 = 146.1$ , p < 0.001; **Supplementary Figure 5** and **Supplementary Tables 4**, 5).

In the forest (n = 24 obs.), palms, tree saplings, and lianas were the elephants' main diet (Figure 2, Table 1 and Supplementary Table 3). Elephants consumed high quantities of monocots above 1 m tall, and large dicot saplings. Palms of all sizes, large tree saplings, bamboos above 1 m, and liana saplings were common elephant foodplants.

In early successional habitats (n = 16 obs.), trees formed the bulk of elephants' diet, while palms were eaten in moderately high quantities (Figure 2, Table 1 and Supplementary Table 3). Large tree saplings and palms above 1 m tall were key elephant foodplants. Small tree seedlings and saplings, small palms (below 1 m tall), grasses of all sizes, and small liana saplings were moderately consumed.

Although we did not test for individual differences in elephant feeding habits, we found some noteworthy patterns. For example, the youngest elephant (Cherry, 8 years old), consumed more bamboo (25%, n = 3 obs.) than average (4%, N = 40 obs.); while the oldest elephant (Timur, 43 years old), consumed more trees (48%, n = 3 obs.) than average (34%, N = 40 obs.; **Supplementary Tables 2**, 3).

## Food preferences

Elephant preferences varied by plant and habitat types (Figure 3, Table 1 and Supplementary Table 6). Overall, elephants showed a strong preference for palms (PR = 4.6), while they avoided trees (PR = 0.39). In the forest, elephants showed a strong preference for monocots (PR = 5.1) over dicots (PR = 0.33). Large palms (PR = 5.4) and large liana saplings (PR = 2.4)

were the most preferred categories, whereas large tree saplings were harvested more selectively (PR = 0.36). In early successional habitats, elephants showed neither preference nor avoidance for dicots (PR = 0.84). Specifically, they showed a preference for large tree saplings (PR = 1.6), and avoided small tree saplings (PR = 0.25). As plants below 1 m were not sampled in the early successional habitats, the sample sizes of certain plant categories were too small to provide preference estimates or provided low-reliability estimates (as reflected by simulated negative credible intervals; **Figure 3 and Supplementary Table 5**).

## Herbivory impacts

## Plant handling

Elephants uprooted a high percentage (mean = 54.2%, range = 30-77%; **Figure 4** and **Supplementary Table 7**) of the plants they handled (N = 873 stems), particularly herbs (77%, n = 74), and palms (52.5%, n = 204). They also broke many plants at their main stem (mean = 26%, range = 9.8–49.5%), notably trees (30.3%, n = 323), and lianas (28.7%, n = 129). Their impacts (uprooting or main stem breakage) were different between plant types (df = 18,  $X^2 = 63.7$ , p < 0.001), plant types in different habitats (df = 20,  $X^2 = 71.2$ , p < 0.001), plant types of different sizes (df = 45,  $X^2 = 103$ , p < 0.001), and different-sized plant types in different habitats (df = 47,  $X^2 = 112$ , p < 0.001; **Supplementary Figure 6**).

In the forest (N = 417 stems), many palms (49%, n = 136 stems) and a large proportion of herbs (83%, n = 42) handled were uprooted, while trees (29.4%, n = 136) were commonly broken. In early successional habitats (N = 456 stems), trees were frequently uprooted (33.2%, n = 187 stems) and broken (31%), while a large proportion of herbs (68.8%, n = 32) and palms (58.8%, n = 68) were uprooted (Figure 4 and Supplementary Table 7).

Out of 369 damaged stems tagged during the observations, 46% had been eaten and the remaining 54% had been damaged without being fed upon. These percentages exclude many uprooted small plants that had been consumed by the elephants entirely. The average diameter of trees uprooted and broken by elephants was  $1.7 \pm 1.1$  cm (N = 196 stems; **Supplementary Figure 7**) and the average height of stem breaks was  $1.1 \pm 0.5$  m tall (N = 171). Most broken tree stems were large saplings ( $\geq 1$  cm dbh and <10 cm). The average diameter of uprooted and broken lianas was  $1.2 \pm 1.0$  cm (N = 20; **Supplementary Figure 7**).

## Site characteristics

Extrapolations from un-foraged plots showed that both habitats had similar overall stem densities:  $153 \pm 56$  stems per 100 m<sup>2</sup> (n = 10 plots) for forest patches and  $153 \pm 62$  stems per 100 m<sup>2</sup> (n = 6 plots) for early successional habitats. Nonetheless, finer comparison revealed higher tree density in the forest ( $111 \pm 59$ stems per 100 m<sup>2</sup>) than in early successional habitats ( $98 \pm 29$ stems per 100 m<sup>2</sup>). Large palms (12 vs. 5 per 100 m<sup>2</sup>), large tree saplings (65 vs. 44 per 100 m<sup>2</sup>), and large liana saplings (12vs. 7 per 100 m<sup>2</sup>) were also more abundant in the forest than in early successional habitats, whereas monocot herbs and small liana saplings were more abundant in early successional habitats (**Supplementary Table 8**).



## Annual foraging impacts

An elephant that spent a whole year feeding in a mature forest would damage  $\sim$ 39,000 tree saplings (simulated based on data from N = 19 observation sessions), roughly uprooting (N = 12) and breaking (N = 18) the same number of trees. An elephant that spent a whole year feeding in an early successional habitat would damage  $\sim$ 73,000 saplings (N = 15). An elephant that spent half of its time in each environment would damage  $\sim$ 20,000 forest and  $\sim$ 37,000 pioneer saplings (**Figure 5**).

## Discussion

Free-ranging Asian elephants foraging in lowland dipterocarp forest and early successional habitats consumed monocots and dicots in similar proportions. They showed, however, strong selectivity toward monocots, particularly palms in the forest. In early successional habitat, elephants showed a preference for saplings of certain dicot trees, especially *Macaranga* spp. Foraging elephants uprooted and broke large numbers of palms and tree saplings. Size-selective browsing of small saplings supported the possibility of elephants reducing stem densities in forests over longterm feeding. They may initiate positive feedbacks and impede succession along forest edges, and negative feedbacks in mature forests by slowing the growth of the plants they prefer.

Habitat (mature forest vs. early succession) had a strong influence on elephant diet composition and food preferences. While palms and tree seedlings and saplings constituted the main components of the elephant diet, the proportions consumed differed between habitats (**Figure 2**). In early successional patches, elephants especially targeted large saplings of pioneer tree species (e.g., *Macaranga* and *Mallotus* spp., Euphorbiaceae) (PR = 1.6) which contrasted with a low preference for saplings in the forest (PR = 0.36). Although monocots, especially palms, were strongly preferred in the forest, we were not able to obtain reliable preference estimates for them in early successional plots.

Palms were the most preferred food and represented around one-third of what elephants ate in the forest, even though they were relatively rare (<10 % of stems in the forest). However, it is important to note that there have been no wild elephants in Krau for over 20 years and, in our previous work (Terborgh et al., 2018), we described how palms, particularly those >1 m tall, are four times more abundant in Krau than in Belum, another Malaysian forest where wild elephants are common. Given that many palms are slow growing (e.g., Lugo and Batlle, 1987) and elephants process them in highly destructive ways, it is likely that palm density in Krau

	Overall			Forest			Early succession		
	Diet	PR	Impact	Diet	PR	Impact	Diet	PR	Impact
Plant	(%)		(%)	(%)		(%)	(%)		(%)
Bamboo	4	3.4	0	7	3.1	0	0	NA	NA
Monocot herbs	5	0.58	77	4	1.7	83	5	*0	69
Grass	6	2.4	50	0	4	0	15	NA	50
Palms	34	4.6	53	39	5.4	49	25	*0	59
Monocot	48	3.6	55	50	5.1	55	45	0.18	56
Liana seedling	3	-	57	2	-	50	4	-	67
Liana SS	7	1.2	67	7	1	76	6	1.4	53
Liana LS	7	1.9	73	9	2.4	89	5	1.3	53
Liana Ø > 10 cm	1	*0	100	1	*0	100	0	NA	NA
Liana	18	1.2	69	20	1.4	79	15	0.83	54
Tree seedling	8	_	59	6	_	40	12	-	6
Tree SS	7	0.11	69	6	*0	60	8	0.25	28
Tree LS	18	0.81	58	17	0.36	60	20	1.6	11
Trees $\emptyset > 10 \text{ cm}$	1	0.39	0	1	*0	0	<0.1	*0	0
Tree	34	*0	63	30	0.14	57	40	0.79	66
Dicot	52	0.53	45	50	0.33	32.2	55	0.84	45

TABLE 1 Summary of elephants' diet composition, food preference and impact on stems.

Diet = mean percentage of the elephants' diet comprising of a plant category (Overall N = 40 observation sessions, Forest n = 24, Early succession n = 16). PR = mean simulated preference ratio (PR), preferred food (PR > 1) in bold (N: refer to Figure 3). Impact = percentage of total monocots uprooted, and percentage of dicots uprooted, broken at main stems, or debarked (N: refer to Supplementary Table 6 "total stems"). SS, small sapling; LS, large sapling.  $\emptyset$  = diameter. \*0: all simulated values were near 0. Not measured in plots (-). Not applicable (NA). Detailed information of diet, preference and impact are available in Appendix Supplementary Tables 3, 6, 7.



#### FIGURE 3

Density distribution of elephant preference for plants above 1 m tall simulated from sampled plots. Elephant preferred plants have a preference ratio (PR) of 1 or greater, while negative values imply less reliable simulations. The mean ratio of each plant type is represented by the plant symbol, while the mean ratio of plants in different sizes are labeled (legend). The breakdowns of PR and CI, and each sample size can be found in **Supplementary Table 6**.

would be much lower if wild elephants were still present. Where palms are rarer, we would expect a lower overall contribution to the diet and potentially even higher PR values. Olivier (1978) described Malaysian elephants as "palmivores," a term that is likely to better describe elephants' preference than their actual diet in areas where they have been continuously present. In such areas,



dicot seedlings and saplings, followed by lianas, are likely to be the major components of the elephant diet.

We were surprised by how much time elephants spent pulling down lianas and even thin vines, a feeding behavior we would not have noticed if we had used any of the other methods to study elephant diet. We also observed situations in which elephants debarked saplings and uprooted saplings and other plants (e.g., gingers) to feed only on subterranean organs of the plant, roots, or tubers. The Orang Asli, the indigenous people of the Malay Peninsula have co-existed and adapted to living with elephants in the forest since their arrival around 55,000 years ago (Lim and Campos-Arceiz, 2022). They describe elephants as "forest cleaners" (T. Lim *pers. comm.*), hence, in the absence of elephants and the disturbance they produce, we can expect a higher presence of lianas and other understory plants.

Asian elephants can thrive along forest edges, since they show strong preferences for early successional vegetation; but they rarely venture far away from forest cover (e.g., Evans et al., 2018; Wadey et al., 2018; de la Torre et al., 2019, 2021). Their preference for edges is generally attributed to the higher availability of palatable plants in early successional habitats (Yamamoto-Ebina et al., 2016). Our results shed light on how feeding ecology underlies a preference for disturbed over mature forests, at least in Sundaic forests, and remind us that traditional shifting agriculture may improve elephant habitats (Olivier, 1978; Lim et al., 2019).

Combining information about stem density, elephant density, and damage rates, we can estimate Asian elephant foraging impacts in Sundaic forests. In our previous work (Terborgh et al., 2018), we described a mean density of 120.7 saplings per 100  $m^2$  in

Belum, an unlogged mature forest, where elephants are presumed to occur at near carrying capacity. While there is no reliable elephant density estimate for Belum, estimates exist for comparable environments: 0.05 elephants/km<sup>2</sup> in Peninsular Malaysia's Endau Rompin (Saaban et al., 2020); 0.07 elephants/km<sup>2</sup> in northern Borneo (Cheah and Yoganand, 2022); and a strata-weighted density of 0.18 elephants/km<sup>2</sup> in protected areas of southern Sumatra (Hedges et al., 2005). These values are close to the carrying capacity of 0.1 elephants/km<sup>2</sup> predicted by Sukumar (2003, page 357) for Asia's tropical rainforests. We can therefore assume a density of 0.05-0.18 elephants/km<sup>2</sup> in Belum. And, in this study, we reported that an Asian elephant feeding in the forest could damage  $\sim$  39,000 saplings per year (half of them by means of uprooting and the other half by stem breaking). Altogether, these numbers (~1,207,000 saplings and 0.05-0.18 elephants per km<sup>2</sup>, and ~39,000 saplings damaged per elephant year<sup>-1</sup>) suggest that, in a forest like Belum, foraging by Asian elephants could be damaging 0.16-0.58% of the tree sapling population per year (Supplementary Table 9).

In our observations, 95% of the foraging damage happened to saplings  $\leq$ 3.5 cm in diameter. Assuming a conservative annual diameter growth of 0.1 cm per year for tree saplings up to 3.5 cm in diameter (see Figure 4a in King et al., 2006 for sapling growth rates in Pasoh, Peninsular Malaysia), tree saplings (not considering the seedling stage) could spend 35 years in a "window of vulnerability" until they grow beyond the size where they are vulnerable to elephants. This simple back-of-the-envelope calculation shows the scale of Asian elephant foraging impacts in Southeast Asian forests. It is, however, important to note that not all damages will lead to mortality as roughly 90% of woody



Density distribution of annual elephant herbivory impact (uprooted vs. broken mainstems) (outlined diagrams), and overall impact (i.e., uprooted monocots, and uprooted and broken dicots) on plants (colored fills). Estimations are based on the amount of time (either 100% or 50%) an elephant spent feeding in forest and early successional habitats, simulated from feeding observation sessions (N = 40; forest = 24, early successional habitats = 16).

stems are able to resprout and continue growing following stem breakage (Ickes et al., 2003; Terborgh et al., in press). Moreover, saplings' growth rates are highly variable between species and under different site-specific factors (Turner, 1990; Shono et al., 2007). Sapling densities in elephant-free Pasoh are probably higher than in comparable forests with elephants. Lower sapling densities in elephant-occupied forests could ease density-dependent growth (Berzaghi et al., 2019), thus reducing the period of vulnerability (**Supplementary Table 9**).

Given the slow growth rates of understory saplings, it would be possible for elephant foraging to result in negative feedbacks in the forest, i.e., depressing the abundance of their most preferred forage species, especially palms and other monocots. On the contrary, growth rates of plants in the accessible height range of elephants are high along edges and in semi-open environments. In such habitats, elephant feeding might trigger a positive feedback, whereby elephants contribute to decelerate succession, increasing the availability of their preferred food (e.g., Matsubayashi et al., 2006).

We observed captive elephants in an environment where wild elephants have been absent for over 20 years. Although this could bring some biases, e.g., due to the high abundance of palms, we believe the food preference of the wild-born elephants we observed are not likely to differ substantially from wild individuals. Plant consumption, food choices, and herbivory impacts could vary depending on a wide range of factors such as fluctuations in feeding intensity associated to specific elephant behaviors (e.g., resting vs. moving fast) or environmental conditions (e.g., increased browsing during the dry season; Wyatt and Eltringham, 1974), and natural (e.g., topography, habitat heterogeneity) and anthropogenic (e.g., barrier effects, human-elephant conflicts) factors that influence elephant movements and feeding strategies (Boettiger et al., 2011; Terborgh et al., 2016b; Neupane et al., 2019; Evans et al., 2020; Berzaghi et al., 2023). In Belum, for example, we might expect a higher relative impact on tree saplings due to a lower palm density as compared to Krau (Terborgh et al., 2018), where the estimate was derived from. Importantly, our studied elephants were all females, neglecting potential effects due to sex differences (e.g., Davies and Asner, 2019). With large tusks, male elephants have the ability to push over trees more often. This could be a contributing reason to why few trees were pushed-over in our study. We urge for more studies with larger sample sizes (across different ages and sexes) to be carried out in elephant landscapes with a similar approach to quantify these effects. Currently, there is no good method to study elephant diet due to sheer logistic problems and the difficulty of obtaining clear records amidst dense vegetation (e.g., differentiating consumed from unconsumed but damaged plants), and this approach of conducting direct observations at close range in the forest provided invaluable insights.

We demonstrated that Asian elephants play an important, yet poorly recognized, role as ecological filters in Southeast Asian forests, helping to serve as ecosystem engineers in mature forests through selective foraging. Despite their broad dietary breadth, elephants are selective feeders that cause severe damage to the plants they feed upon, and these impacts differ considerably between habitats (forest vs. early succession). Asian elephant foraging impacts translate into changes to forest structure, diversity, and composition (Terborgh et al., 2018). We have just begun to reveal the implications of Asian elephant herbivory on ecosystem function. A better understanding of elephant feeding selectivity and differential impacts on plants is important to understand the mechanisms of elephants' filtering role in different ecosystems as well as its implications in broad-scale processes such as above-ground carbon sequestration (Berzaghi et al., 2019, 2023). As elephants continue to disappear from parts of their range (Williams et al., 2020), their loss will have cascading effects across ecosystems. These could include reduced above-ground carbon stocks due to the indirect effects of increasing fast-growing trees and liana loads (van der Heijden et al., 2015; Terborgh et al., 2016b; Berzaghi et al., 2023), seed dispersal limitation due to the loss of a dominant seed disperser (Campos-Arceiz and Blake, 2011; Ong et al., 2022), the co-extinctions of obligate plant species (Blake et al., 2009; Sekar et al., 2017), knock-on effects on animal communities due to changes in vegetation structure (e.g., Pringle, 2008), and loss of elephant-carved salt licks used by many species (Bowell et al., 1996). Conservation planning and practice should consider not only the population status of these charismatic animals, but also their important impacts on ecosystems. In highly disturbed environments, especially in mosaics of forest and early succession, elephants can occur at higher densities and utilize smaller home ranges. As elephantinhabited forests continue to shrink and fragment, elephants concentrate on the edges, increasing the frequency of conflicts with humans (Neupane et al., 2019; de la Torre et al., 2021, 2022). It is important to preserve large reserves (Terborgh, 1974), and increase the connectivity of fragmented landscapes (de la Torre et al., 2019) to ensure that elephants continue to perform their ecological roles and to minimize their conflicts with local communities.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# **Ethics statement**

Ethical review and approval was not required for the animal study because Animal handling was carried out by the staff of Peninsular Malaysia's Department of Wildlife and National Parks in accordance with research and Ethics requirements by the Malaysian government [permit #JPHL%TN(IP): 80–4/2]. In addition, the first author received ethical approval for her Ph.D. research from the University of Nottingham Malaysia Science and Engineering Ethics Committee (application identification number LO081016), with an emphasis on Ethical concerns involving human participants. Written informed consent was obtained from the owners for the participation of their animals in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

# Author contributions

LO, AC-A, and JT conceived the idea. LO, AC-A, WT, LD, MKA, and JT designed the methodology and collected the data. MKA contributed critical resources. LO conducted data analysis and visualization. AC-A and KM supervised. LO wrote the first draft with support from AC-A, JT, and KM. All authors contributed critically to the drafts and gave final approval for publication.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2023.1143633/ full#supplementary-material

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