INFRARED REFLECTANCE MEASUREMENTS OF

MISSOURI WATERS FOR WATER QUALITY APPLICATIONS

Richard C. Waring and Marvin R. Querry Principal Investigators

Wayne E. Holland, Research Associate Mary D. Hermann and Leonard M. Earls, Students

> Department of Physics University of Missouri-Kansas City

MISSOURI WATER RESOURCES RESEARCH CENTER University of Missouri

PROJECT NUMBER - <u>A-063-M0</u> Agreement Number <u>14-31-0001-3825</u> Dates 1 June <u>1972</u> - 30 June <u>1973</u>

COMPLETION REPORT

15 August 1973

The work upon which this publication is based was supported in part by funds provided by the United States Department of the Interior, Office of Water Resources Research, as authorized under the Water Resources Act of 1964.

TABLE OF CONTENTS

ABSTRA	ст	•	• •	•	• •	•	•	•	•	•	•	•	•	•	•,	•	•	٠	•	٠	•	•	•	•	ţ	li
LIST O	F FIGURE	s.		•	•••	•	•	•	•	•	•	•	•	•	•	•	٠	•	٠	•	•	٠	•	٠	i	lv
Sectio	n																									
I.	INTROL	DUCT	ION	AN	0 0	BJI	ECI	IV	/ES		•	•	•	•	•	•	•	•	•	•	•	•	٠	•		1
II.	STATEM	ÆNT	OF	PR	DBL	EM	•	•	•	•	•	•	•	•	•	•	٠	•	•	٠	٠	٠	•	•		4
111.	EXPERI	MEN'	TAL	TE	CHN	IQI	JES	5.	•	•	•	•	•	•	•	•	٠	•	٠	•	•	•	•	•		5
IV.	EXPERI	MEN'	TAL	RE	SUL	TS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•		•		1	L3
v.	KRAMEI	RS K	RON	IG	REL	AT:	ION	1.	•	•	•	•	•	•	•	•	٠	•	•	•	٠	٠	•	•	2	21
VI.	OPTICA	LC	ONS:	r'an'	rs .	ANI	DE	PH∕	ASE	: 1	DII	FF	ERF	ENC	CΕ	•	•	•	٠	•	•	•	•	• 1	2	25
VII.	ABSOLU	JTE	REFI	LEC	FAN	CE	•	•	•	×.	•	•	•	•	•	•	•	•	•	•	•		•	•	L	ŧ0
VIII.	CONCLU	ISIO	NS.	•		•	•	•	•	•	•	•	•	•	•	•	٠	•	•	٠	•	٠	•	•	L	46
REFERE	NCES	•	• •	: • :			•	•	٠	•	•	•	•//	•	•	٠	•	•	٠	•	•	٠	•	٠	4	47
APPEND	IX							•	•		•		•	•	•	•				•	•	•			L	49

ABSTRACT

INFRARED REFLECTANCE MEASUREMENTS OF MISSOURI WATERS FOR WATER QUALITY APPLICATIONS

Richard C. Waring and Marvin R. Querry Department of Physics University of Missouri-Kansas City

The relative specular reflectance of laboratory solutions of 3.0 M Sulfuric Acid and 0.5 M Sodium Nitrate was measured in the 2.0 - 20- μ m wavelength region of the infrared. The relative specular reflectance of natural samples of (1) acid mine drainage taken from a ditch leading from the Peabody Mark Twain Mine to Hinkson Creek; (2) surface water runoff from an agricultural test plot which had received a 314 lb/acre application of nitrate fertilizer; and (3) an oil sample from the Mexico, Missouri oil release into the Salt River was measured in the same spectral region. The data was collected using a Perkin Elmer E-14 spectrophotometer and a reflectometer consisting of a Cassegrain unit which collimated the radiant flux to about 18 mrad divergence, a sample holder and a Cassegrain condenser for focusing the radiant flux, reflected by the sample, onto the entrance slit of the monochromator. The angle of incidence was 70°.

The index of refraction, extinction coefficient and phase difference spectrum throughout the 2-20-µm wavelength region was

determined for the mine drainage, fuel oil, sulfuric acid, sodium nitrate and nitrate runoff samples using the relative reflectance measurements, the optical constants of distilled water and an algorithm for Kramers-Kronig analysis.

The absolute reflectance spectrum of the alluvium and loess was determined using the relative reflectance measurements, the optical constants of distilled water and the Cauchy equation for reflectance.

It is very desirable to make water quality measurements remotely. However before such measurements can be taken the characteristic manner in which aqueous solutions reflect electromagnetic radiation (in the optical properties) must be known. Thus the results obtained from this research are a part of a much larger goal to determine water quality remotely.

LIST OF FIGURES

Figure	5	Page
1.	Oblique Angle of Incidence Reflectometer	6
2.	Normal Incidence Reflectometer	10
3a.	Relative Reflectance of 3.0 M Sulfuric Acid	16
3b.	Relative Reflectance of Hinkson Creek	17
4a.	Relative Reflectance of 0.5 M NaNO3	18
4b.	Relative Reflectance of Nitrate Runoff	19
5.	Relative Reflectance of Diesel Fuel	20
ба	Index of Refraction of 3.0 M Sulfuric Acid	26
6Ъ.	Extinction Coefficient of 3.0 M Sulfuric Acid	27
6с.	Phase Difference of 3.0 M Sulfuric Acid	28
7a.	Index of Refraction of Hinkson Creek	29
7b.	Extinction Coefficient of Hinkson Creek	30
7c.	Phase Difference of Hinkson Creek	31
8a.	Index of Refraction of 0.5 M NaNO ₃	32
8b.	Extinction Coefficient of 0.5 M NaNO ₃	32
8c.	Phase Difference of 0.5 M NaNO ₃	33
9a.	Index of Refraction of Nitrate Runoff	34
9Ъ.	Extinction Coefficient of Nitrate Runoff	35
9c.	Phase Difference of Nitrate Runoff	36
10a.	Index of Refraction of Diesel Fuel	37

iv

10b.	Extinction Coefficient of Diesel Fuel	38
10c.	Phase Difference of Diesel Fuel	39
11a.	Absolute Reflectance of Alluvium #1	42
11b.	Absolute Reflectance of Alluvium #2	43
12a.	Absolute Reflectance of Loess #1	44
12b,	Absolute Reflectance of Loess #2	45

"INFRARED REFLECTANCE MEASUREMENTS OF

MISSOURI WATERS FOR WATER QUALITY APPLICATIONS"

R.C. Waring and M.R. Querry Department of Physics University of Missouri-Kansas City

I. INTRODUCTION AND OBJECTIVES

The research program associated with project A-063-MO had .five objectives:

- To measure the reflectance of infrared radiant energy by natural Missouri water samples in the wavelength region from 2-20-µm.
- (2) Compute the index of refraction and extinction coefficient from the reflectance data.
- (3) To compute the phase difference between electromagnetic waves reflected at the surface of the sample and at the surface of distilled water.
- (4) To compute the concentration of the solute in the natural samples from the phase difference spectra when possible.
- (5) To perform a pilot study to determine if soil types and soil content in aqueous solutions can be found from infrared reflectance techniques.

The following natural samples were investigated.

(1) Acid mine drainage taken from a ditch leading from the

Peabody Mark Twain Mine to Hinkson Creek.

- (2) Surface water runoff from a University of Missouri-Columbia agricultural test plot which had received an application of nitrate fertilizer.
- (3) An oil sample from the Mexico, Missouri oil spill into the Salt River.

The mine drainage and oil samples were supplied by the Missouri Department of Conservation, Fish and Wildlife Research Center and the nitrate runoff sample was supplied by Dr. George E. Smith, Director, Missouri Water Resources Research Center.

Laboratory solutions of 3.0 M sulfuric acid and 0.5 M sodium nitrate were studied for comparison purposes.

In accordance with objective (5) we determined the reflectance of one alluvium and one loess soil sample containing various amounts of water.

The purpose of this report is to present, in some detail, the results derived from this program. Section II presents a brief statement of the problem. In Section III we present the experimental procedure for making relative reflectance measurements. The relative reflectances of the aqueous solutions are presented in graphical form and the effects of the solutes on the relative reflectance spectra are discussed in Section IV. In Section V the Kramers-Kronig analysis for computing the optical constants and phase difference is outlined as it was applied to the reflectance data. The optical constants and phase difference of the aqueous

solutions are presented in Section VI. Methods for computing the absolute reflectance of the soil samples from relative reflectances are outlined in Section VII. The absolute reflectances of the soil samples are presented in graphical form. Conclusions drawn from this research program are presented in Section VIII. The report ends with a list of publications and presentations derived, in part, from this project and a list of references.

II. PROBLEM

The desirability of routinely measuring the quality of natural waters has increased with increasing sources of industrial and domestic pollutants. Clearly it would be advantageous to monitor water quality remotely; thus avoiding the time consuming and limited usefullness of hand sampling. Before water quality measurements can be made from data collected remotely from infrared systems the characteristic manner in which solutes in water reflect electromagnetic radiation must be known. In this research project we have determined the characteristic way in which some solutes and oil reflect infrared radiation. Thus our research is a part of a larger goal to monitor water quality remotely.

III. EXPERIMENTAL TECHNIQUES

The reflectometer for measuring specular reflectance is an improved design of the reflectometer that Querry et al. $\frac{1}{2}$ used in . 1968 to measure the specular reflectance of distilled water. This system was operated in the $2-20-\mu m$ wavelength region for all samples except the soils. A diagram of the reflectometer spectrophotometer system is shown in Figure 1. When operating the system in the infrared spectral region, a glower G emits radiant flux which is chopped at C and is then collected and collimated by a Cassegrain unit consisting of spherical mirrors M1 and M2. A partially collimated pencil of radiant flux of about 18 mrad divergence passes horizontally to mirror M2, and then enters a Cassegrain condenser unit consisting of spherical mirrors M5 and M6. From the condenser unit a convergent cone of radiant flux, with apex angle of about 75 mrad at the entrance slit of the monochromator, passes through a transmission polarizer consisting of 12 silver chloride plates positioned at the Brewster's angle relative to the system's optical axis. The 12 plate polarizer passes less than .1 per cent of the undesired polarization component. A thermopile detector having a CsI window provides a measure of the spectral energy. Interference filters at the monochromator's exit slit prevent overlapping diffraction orders and scattered radiant flux from reaching the detector. The monochromator, chopper, detector assembly, amplifier, recorder, and scan control are a Perkin-Elmer E System.



Figure 1.--Oblique Angle of Incidence Reflectometer Spectrophotometer System. The components are a glower G, chopper C, Cassegrain Collimator unit M_1 and M_2 , plane mirrors M_3 and M_4 , sample S, Cassegrain condenser unit M_5 and M_6 , polarizer P, and Perkin Elmer E-system spectrophotometer. The angle of incidence is θ .

The reflectometer was designed and constructed in such a manner that measurements can be made of absolute specular reflectance or of the specular reflectance relative to a calibrated reflectance standard. This technique consistently introduced an additional random error of + 2-3 per cent in the absolute reflectance measure-To measure relative specular reflectance, we substitute the ments. reflectance standard for the sample and then carefully move the standard reflector to the sample's original position by adjusting a small laboratory jack while viewing the edge of the reflectance surface through the telescope of a cathetometer. A series of relative reflectance measurements typically have a standard deviation of less than 1.0 per cent. Since the optical constants of distilled water are now known with reasonable certainty $\frac{2-7}{}$ in the 2-20 µm wavelength region of the infrared, we chose distilled water as the reflectance standard and measured the relative reflectance of the aqueous solutions.

The technique for measuring the relative specular reflectance of an aqueous solution for polarized radiant flux was as follows: An aqueous solution was placed at the sample position in the reflectometer. The transmission polarizer was oriented to transmit the H (horizontal polarization) component of radiant intensity I_h and to not transmit the V (vertical polarization) component of radiant intensity I_v . The recorder reading h_s from the spectrophotometer was

$$h_{s} = m(\tau R_{k}k_{h}I_{h} + \ell R_{v}K_{v}I_{v}), \qquad (3-1)$$

where R_{\perp} , R_{\parallel} are respectively the reflectances for radiant flux linearly polarized with the electric field vector perpendicular and parallel to the plane of incidence, τ is the polarizer transmission coefficient, & is the polarizer leakage coefficient, $K_{\rm h}$ and $K_{\rm v}$ are characteristic constants of the monochromator and m is a proportionality constant for the detector-amplifier-recorder system. It has been shown⁸/ that $(\&/\tau)$ is about 0.0006 for two, six plate polarizers in series. For a grating monochromator $1/3 \leq (k_{\rm h}/k_{\rm v}) \leq 3$, and for water or weak water solutions $(R_{\perp s}/R_{\parallel s}) \geq$ 2 at about 70° angle of incidence which was the angle of incidence for our investigations except for the soil samples. The last term in equation (3-1) was relatively small (~ 10⁻³); therefore

$$h_{s} = maR_{\pm s}k_{h}L_{h}.$$
 (3-2)

Similarly for distilled water placed at the sample position in the reflectometer the recorder reading $h_{_{\rm UV}}$ is given by

$$h_{w} = \max_{\perp w} k_{h} I_{h} , \qquad (3-3)$$

where R_{w} is the reflectance of the distilled water for horizontally polarized radiant flux. The relative reflectance R_{h} , the ratio of equation (3-2) to (3-3) is

 $R_{h} = (h_{s}/h_{w}) = (R_{\perp s}/R_{\perp w}).$ (3-4)

We measured h_s and h_w in order to obtain R_h , which is the reflectance of the aqueous solution relative to distilled water for horizon-

tally polarized radiant flux. Measurements of $\mathbf{h}_{_{\mathbf{S}}}$ and $\mathbf{h}_{_{\mathbf{W}}}$ were alternately repeated until three independent values of R_h were determined in accordance with equation (3-4). The standard deviation of the three values of R_h was then determined by using the theory of errors for a small number of observations. The area of sample covered by radiant flux using the reflectometer at 70° angle of incidence is about 3.5 cm². When collecting data on samples containing 2 grams of soil per liter of water surface ripples, due to stirring, were responsible for non-reproducable data. In an effort to overcome this difficulty and to increase the intensity of the radiant flux at the monochromator we designed and constructed a new, near normal incidence reflectometer. A diagram of this reflectometer spectrophotometer system is shown in Figure 2. A glower G emits infrared radiant flux which is chopped at C, reflected by a plane mirror M₁ to a spherical mirror M2 which focuses the radiant flux on the sample at an angle of incidence of about 6°. The spherical mirror M_3 collects radiant flux reflected by the sample and focuses it on the entrance slit of the monochromator. The plane mirror ${\rm M}_{\underline{\lambda}}$ changes the direction of the radiant flux reflected from M₂. The remainder of the system is the same as in Figure 1 except no polarizer is required.

The difficulties encountered in measuring the relative specular reflectance of soil samples were not completely overcome with the new reflectometer. As a result we measured the relative reflectance of the soils mixed with water in amounts ranging from 26.3 per cent to 35.6 per cent by weight. The technique for measuring the relative



Figure 2.--Near Normal Incidence Reflectometer Spectrophotometer System. The components are a glower G, chopper C, plane mirrors M_1 and M_4 , spherical mirrors M_2 and M_3 , sample S, and Perkin Elmer E-system spectrophotometer.

reflectance of the soil samples for unpolarized radiant flux is as follows: The sample is placed in the sample position S in Figure 2. The recorder reading h_s is given by

$$h_{s} = mR_{s}I \qquad (3-5)$$

where m is a proportionality constant for the system, R is the reflectance of the sample for unpolarized radiant flux and I is the intensity of the radiation emitted by the source.

For distilled water placed in the sample position the recorder reading h is given by

$$h_w = mR_w I$$
 (3-6)

where R_{W} is the reflectance of the distilled water for unpolarized radiant flux. The relative reflectance R , the ratio of Eq. (3-5) to (3-6), is

$$R = \frac{h_s}{h_w} = \frac{R_s}{R_w}$$
(3-7)

We measured h_s and h_w in order to obtain R, which is the reflectance of the soil sample relative to distilled water. Measurements of h_s and h_w were taken only once since the measurement of h_s was found to depend strongly on the percentage of water in the soil. This percentage decreased with time due to drying of the sample.

The monochromator was operated with spectral slit widths as shown in the table at the end of the report. A cathetometer,

having a protractor ocular as the eye piece for the telescope, was used to measure the angle of incidence θ to about ± 4 mrad for the central ray of the slightly divergent pencil of radiant flux. The angle of incidence for the near normal incidence reflectometer was determined to be $6.0^{\circ} \pm 0.5^{\circ}$.

IV. EXPERIMENTAL RESULTS

The experimental techniques outlined in section III were used to measure the relative, infrared reflectance of aqueous solutions of 3.0 M Sulfuric Acid, 0.5 M Sodium Nitrate, and acid mine drainage; (hereafter referred to as Hinkson Creek) and surface water runoff from an agricultural plot which had received a 314 lb/acre nitrate fertilizer application; (hereafter referred to as nitrate runoff.) An oil sample from the Mexico, Missouri oil spill, hereafter referred to as Deisel Fuel was also investigated. Data was collected in the 2-20 μ m wavelength region. The data from our relative reflectance measurements are shown graphically in Figures 3a-5. These relative reflectance measurements were made for infrared radiant flux incident at an angle of 70° as measured relative to the vertical and for polarization perpendicular to the plane of incidence.

a) 3.0 M Sulfuric Acid

The relative reflectance spectrum of 3.0 M H₂SO₄ is shown in Figure 3a. The first peak at about 2.8 µm increases to about 1.2 then rapidly decreases to about 0.9. This peak is due to the $v_1(A_1)$ and $v_3(E)$ modes of H₃O⁺ together with a shifting of the central positions of the $v_1(A_1)$ and $v_3(B_1)$ modes of H₂O. The next peak which increases to about 1.3 near 6.0 µm is due to the $v_4(E)$ mode of H₃O⁺. The dominant feature of the relative reflectance spectrum is the large multiple

peak centered at 10 µm; its origin is the $v_3(F_2)$ mode of SO_4^{-2} and the $v_1(A_1)$, $v_3(A_1)$, $v_3(E)$ and $v_4(A_1)$ modes of HSO_4^{-2} . The weaker band at about 17 µm is due to the $v_4(E)$ mode of HSO_4^{-2} . A more complete analysis of the 3.0 M H_2SO_4 sample can be found in the appendix.

b) Hinkson Creek

When comparing the Hinkson Creek relative reflectance to H_2SO_4 we note that the scale for the Hinkson Creek is much smaller than H_2SO_4 . The peak at about 2.8 µm is probably due to the $v_1(A_1)$ and $v_3(E)$ modes of H_3O^+ and a shift in the central positions of the $v_1(A_1)$ and $v_3(B_1)$ modes of H_2O . The multiple peak at about 10 µm is due to the $v_3(F_2)$ mode of SO_4^{-2} and the $v_1(A_1)$, $v_3(A_1)$, $v_3(E)$ and $v_4(A_1)$ mode of HSO_4^- . Other structures are within the limits of experimental error (\pm .01R) and need further investigation.

c) 0.5 M Sodium Nitrate

The relative reflectance spectrum of 0.5 M NaNO₃, Figure 4a, shows a strong band centered at about 7.2 µm. This band is due to the v_3 mode of the NO₃ ion. A weaker band appearing at about 12 µm is probably due to the v_2 and/or v_4 mode of NO₃.

d) Nitrate Runoff

The relative reflectance spectrum of the nitrate runoff sample is shown in Figure 4b. On comparison of the ordinate scales of Figures 4a and 4b it is apparent that the concentration of nitrate ions in the nitrate runoff is much less than in the laboratory solution. It appears that the spectral signature centered at about 7.2 μ m is due to the v_3 band of NO₃. Other signatures appearing in the nitrate runoff spectrum are within the limits of experimental error and may not be due to a solute in the sample. The experimental error is of the order of \pm .01 R_n.

e) Diesel Fuel

Figure 5 displays the relative reflectance of the diesel fuel sample. This spectrum resembles that of water except at about 3.2 μ m and possibly at 6.8 μ m where there may be infrared active bands due to the oil.



Figure 3a.--The measured and smoothed relative reflectance in the 2-20 μ m wavelength region for a 3.0 M aqueous solution of H₂SO₄. The relative reflectance was measured for horizontally polarized radiant flux incident at 70.03° ± 0.23°. Distilled water was the standard mirror. The uncertainty in the measurements was about .01 R_h.



Figure 3b.--The measured and smoothed relative reflectance in the 2-20 μ m wavelength region for a natural sample of acid mine drainage taken from a ditch leading from the Peabody Mark Twain Mine to Hinkson Creek. The relative reflectance was measured for horizontally polarized radiant flux incident at 70.03° ± 0.23°. Distilled water was the standard mirror. The uncertainty was about .01 R_h.



Figure 4a.--The measured and smoothed relative reflectance in the 2-20 μ m wavelength region for 0.5 M NaNO₃ _____. The relative reflectance was measured for horizontally polarized radiant flux incident at $70.03^{\circ} \pm 0.23^{\circ}$. Distilled water was the reflectance standard. The uncertainty in the measurements was about .01 R_h.



NITRATE RUNOFF

Figure 4b.--The measured and smoothed relative reflectance in the 2-20 μ m wavelength region for a natural sample of surface water runoff from an agricultural plot which had received a 314 lb/acre application of nitrate fertilizer. The relative reflectance was measured for horizontally polarized radiant flux incident at 70.03° ± 0.23°. Distilled water was the standard mirror. The uncertainty in the measurements was about .01 R_h.



Figure 5.--The measured and smoothed relative reflectance in the 2-20 μ m wavelength region for an oil sample from the Mexico, Missouri spill into the Salt River. The relative reflectance was measured for horizontally polarized radiant flux incident at an angle of 70.03° ± 0.23°. Distilled water was the standard mirror. The uncertainty in the measurements was about .01 R_h .

V. KRAMERS-KRONIG RELATIONS

In this section we present an outline of the Kramers-Kronig analysis which was developed for computing the optical constants of aqueous solutions from their relative reflectance spectrum. Robinson, $\frac{9}{}$ in 1952, was the first to apply what is now known as the Kramers-Kronig (KK) analysis to a reflectance spectrum obtained for infrared radiant flux nearly normally incident on a bulk sample. Subsequent authors $\frac{10-12}{10-12}$ have extended the application of the KK analysis to absolute reflectance spectra obtained for radiant flux that was reflected at an oblique angle and that was linearly polarized either parallel or perpendicular to the plane of incidence. More recently Hale, Holland and Querry $\frac{13}{1}$ have developed an algorithm for computing optical constants from a relative reflectance spectrum measured for radiant flux polarized perpendicular to the plane of incidence and reflected at an oblique angle. In this algorithm a KK analysis of the relative reflectance spectra provided the difference $\Delta \phi(\lambda) = \phi(\lambda)_{S} - \phi(\lambda)_{W}$ in phase shifts $\phi(\lambda)_{S}$ and $\phi(\lambda)_{W}$ for monochromatic waves of wavelength λ reflected at the surface of the aqueous solution s and at the surface of distilled water w, which was used as the reflectance standard. When $\Delta\phi(\lambda)$, the angle of incidence, and the optical constants of the standard reflector were known, the optical constants of the solution could then be determined.

Consider plane electromagnetic waves propagating in vacuum or air to be incident at an angle θ relative to the normal of a plane, infinite, smooth surface of a conducting material medium s that is linear, homogeneous, isotropic, and nonmagnetic. The Fresnel equation for the absolute complex reflectivity $\rho_s e^{-i\phi_s}$ of medium s for waves linearly polarized perpendicular to the plane of incidence is

$$\rho_{g}e^{-i\phi_{g}} = (Q_{g} - iP_{g} - \cos\theta)/(Q_{g} - iP_{g} + \cos\theta), \qquad (5-1)$$

where ρ is the modulus of the reflectivity, ϕ_s is the wave's phase shift caused by the reflection, and Q_s and P_s are parameters that are expressed in terms of θ and the material's index of refraction n_s and extinction coefficient k_s as

$$Q_{s} = (\{n_{s}^{2} - k_{s}^{2} - \sin^{2}\theta + [(n_{s}^{2} - k_{s}^{2} - \sin^{2}\theta)^{2} + 4n_{s}^{2}k_{s}^{2}]^{\frac{1}{2}}]/2)^{\frac{1}{2}}$$
(5-2)

$$P_{s} = n_{s}k_{s}/Q_{s}.$$
 (5-3)

The index of refraction is expressed in terms of θ , P_s, and Q_s as

$$n_{s} = (\{Q_{s}^{2} - P_{s}^{2} + \sin^{2}\theta + [(Q_{s}^{2} - P_{s}^{2} - \sin^{2}\theta)^{2} + 4Q_{s}^{2}P_{s}^{2}]^{\frac{1}{2}}\}/2)^{\frac{1}{2}}$$
(5-4)

The extinction coefficient is given by Eq. (5-3). The phase shift $\phi(\lambda)$ may be determined from a KK analysis of an absolute, specular reflectance spectrum for the material;

$$\phi(\lambda) = \operatorname{Prin.} \frac{2\lambda_{o}}{\pi} \int_{0}^{\infty} \frac{\ln[\rho(\lambda)_{s}]}{\lambda^{2} - \lambda_{o}^{2}} d\lambda, \qquad (5-5)$$

where λ is the wavelength, Prin. denotes the Cauchy principal value of the integral, and $\rho(\lambda)_s = R(\lambda)_s^{\frac{1}{2}}$ where $R(\lambda)_s$ is the measured specular reflectance for perpendicularly polarized waves.

The complex relative reflectivity $\rho e^{-i\Delta\phi}$ of the medium s relative to a second medium w for which n_w and k_w are known can be written in two ways for the case of perpendicular polarization

$$\rho e^{-i\Delta\phi} = (\rho_s / \rho_w) \exp[-i(\phi_s - \phi_s)], \qquad (5-6)$$

 $\rho e^{-i\Delta\phi} = \left[(Q_s - iP_s - \cos\theta) (Q_w - iP_w + \cos\theta) \right] /$

$$[(Q_s - iP_s + \cos\theta)(Q_w - iP_w - \cos\theta)]. \qquad (5-7)$$

The ratio $\rho_s / \rho_w = R^{\frac{1}{2}}$, where R is the reflectance of medium s measured relative to the reflectance of medium w. The difference in phase shifts $\Delta \phi$ for waves reflected from media s and w is provided by a KK analysis of the relative reflectance spectrum

$$\Delta \phi = \phi_{\rm s} - \phi_{\rm w} = \Pr \ln \frac{\lambda_{\rm o}}{\pi} \int_{\rm o} \frac{\ln[R(\lambda)]}{\lambda^2 - \lambda_{\rm o}^2} d\lambda.$$
 (5-8)

The quantities Q_w and P_w can be calculated by use of expressions similar to Eqs. (5-2) and (5-3).

Separating Eq. (5-6) into real and imaginary parts and then solving the two resultant equations provides expressions for computing Q_s and P_s

$$Q_{s} = (A - B) \cos\theta/(A + B - C \cos\Delta\phi - D \sin\Delta\phi), \qquad (5-9)$$

$$P_{s} = (D \cos \Delta \phi - C \sin \Delta \phi) \cos \theta / (A + B - C \cos \Delta \phi - D \sin \Delta \phi)$$
(5-10)

where

$$A = (Q_{w} - \cos\theta)^{2} + P_{w}^{2}, \qquad (5-11)$$

$$B = R[(Q_w - \cos\theta)^2 + P_w^2], \qquad (5-12)$$

$$C = 2R^{\frac{1}{2}}(Q_w^2 + P_w^2 - \cos^2\theta) , \qquad (5-13)$$

$$D = 4R^{\frac{1}{2}}P_{w}\cos\theta . \qquad (5-14)$$

The optical constants n_s and k_s are determined next by use of Eqs. (5-4) and (5-3).

The KK analysis indicated by Eq. (5-8) requires a knowledge of the relative reflectance $R(\lambda)$ for all λ . Because the relative reflectance data were only for the 2-20-µm region, we assumed the relative reflectances were $R(2 \ \mu\text{m})$ and $R(20 \ \mu\text{m})$ throughout the $\lambda \leq 2 \ \mu\text{m}$ and $\lambda \geq 20 \ \mu\text{m}$ regions, respectively. The integration was made with numerical Simpson's rule techniques in the 2-20-µm region and analytically in the other regions. The parameters Q_w and P_w were determined by use of the optical constants n_w and k_w of distilled water and equations similar to Eqs. (5-2) and (5-3).

VI. OPTICAL CONSTANTS AND PHASE DIFFERENCE

The optical constants of a substance are the index of refraction and the extinction coefficient, i.e. the real and imaginary parts of the complex refractive index. The optical constants and phase difference spectra of the aqueous solution of nitrate and sulfuric acid and of the natural samples and oil were computed according to the Kramers-Kronig analysis outlined in Section V. The results of the computations are shown graphically in Figures 6a-10c.

A knowledge of the optical constants of a substance enables one to compute reflectances and emittances of the substance for any desired geometrical configuration. Therefore, a knowledge of the optical constants is invaluable for a study of the feasibility of detecting remotely the substance from a reflectance or emittance spectrum.



Ν

Figures 6a.--The index of refraction (N) in the 2-20 μ m wavelength region for a 3.0 M aqueous solution of H₂SO₄ as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the oblique angle of incidence 70.03° ± 0.23°.



Figure 6b.--The extinction coefficient (K) in the 2-20 μ m wavelength region for a 3.0 M aqueous solution of H₂SO₄ as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the oblique angle of incidence 70.03° ± 0.23°.



Figure 6c.--The phase difference for electromagnetic waves reflected from an air-H₂SO₄ interface and air-distilled water interface in the wavelength region 2-20 μ m. The phase difference was computed from the Kramers-Kronig integral and the relative reflectance spectrum. The angle of incidence was 70.03° \pm 0.23°.



Figure 7a.--The index of refraction (N) in the 2-20 μ m wavelength region for the natural sample of acid mine drainage as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° ± 0.23°.



Figure 7b.--The extinction coefficient (K) in the 2-20 μ m wavelength region for the natural sample of acid mine drainage as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° \pm 0.23°.



Figure 7c.--The phase difference for electromagnetic waves reflected from an air-acid mine drainage interface and an airdistilled water interface in the 2-20 μ m wavelength region. The phase difference was computed from the Kramers-Kronig integral and the relative reflectance spectrum. The angle of incidence was 70.03° + 0.23°.



Figure 8a & b.--The index of refraction (N _____) and extinction coefficient (K _____) in the 2-20 μ m wavelength region for a 0.5 M aqueous solution of NaNO₃ as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° ± 0.23°.

N



Figure 8c.--The phase difference for electromagnetic waves reflected from an air NaNO₃ interface and an air-distilled water interface in the wavelength region 2-20 μ m. The phase difference was computed from the Kramers-Kronig integral and the relative reflectance spectrum. The angle of incidence was 70.03° ± 0.23°.



NITRATE RUNOFF

Figure 9a.--The index of refraction (N) in the 2-20 μ m wavelength for surface water runoff from an agricultural plot which had received an application of 314 lb/acre of nitrate fertilizer as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° ± 0.23°.



Κ

NITRATE RUNOFF

Figure 9b.--The extinction coefficient (K) in the 2-20 μ m wavelength for surface water runoff from an agricultural plot which had received an application of 314 lb/acre of nitrate fertilizer as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° \pm 0.23°.



Figure 9c.--The phase difference for electromagnetic waves reflected from an air-agricultural surface water runoff interface and an air-distilled water interface in the wavelength region 2-20 μ m. The phase difference was computed from the Kramers-Kronig integral and the relative reflectance spectrum. The angle of incidence was 70.03° ± 0.23°.



Figure 10a.--The index of refraction (N) in the 2-20 μ m wavelength region for an oil sample from the Mexico, Missouri oil spill into the Salt River as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° + 0.23°.



Figure 10b.--The extinction coefficient (K) in the 2-20 μ m wavelength region for an oil sample from the Mexico, Missouri oil spill into the Salt River as computed from a Kramers-Kronig analysis of the relative reflectance spectrum for the angle of incidence 70.03° + 0.23°.



Ť

Figure 10c.--The phase difference for electromagnetic waves reflected from an air-Mexico, Missouri oil spill sample interface and an air-distilled water interface in the wavelength region 2-20 μ m. The phase difference was computed from the Kramers-Kronig integral and the relative reflectance spectrum. The angle of incidence was 70.03° ± 0.23°.

VII. ABSOLUTE REFLECTANCE

This section applies only to the loess and alluvium samples for which we computed the absolute reflectance, Figures 11a-12b, from the measured values of relative reflectance, the Cauchy reflectance equation and the optical constants of distilled water $\frac{7}{}$. The procedure for computing the absolute reflectance is as follows. We measured R the relative reflectance for aqueous slurry of soils with unpolarized infrared radiant flux at near normal incidence. From equation (3-7) the relative reflectance of the aqueous slurry is

$$R = \frac{R_s}{R_w}$$
(7-1)

where R_s and R_w are respectively the absolute reflectance for unpolarized radiant flux at near normal incidence from the surface of the aqueous slurry and from distilled water. The absolute reflectance of the aqueous slurry is therefore

$$R_{s} = R R_{W}$$
(7-2)

The Cauchy equation describing the absolute reflectance for unpolarized radiant flux at normal incidence as applied to distilled water is

$$R_{W} = \frac{(n-1)^{2} + k^{2}}{(n+1)^{2} + k^{2}}$$
(7-3)

where n and k are respectively the index of refraction and extinction coefficients of distilled water. These equations were pro-

grammed on the University's IBM 360/165 computer and the absolute reflectances of the aqueous slurrys were computed from equation (7-2) and were then plotted as shown in Figures 11a-12b.

ų,



Figure lla.--The absolute reflectance spectrum of an alluvium aqueous slurry at various moisture contents in the 8-14 μ m wavelength region. The absolute reflectance was computed from the relative reflectance spectrum and the optical constants of distilled water. The infrared radiant flux was unpolarized and incident at an angle of 6.0° ± 0.5°.

ALLUVIUM #2



Figure 11b.--The absolute reflectance spectrum of an alluvium aqueous slurry at various moisture contents in the 14-20 μ m wavelength region. The absolute reflectance was computed from the relative reflectance spectrum and the optical constants of distilled water. The infrared radiant flux was unpolarized and incident at an angle of 6.0° \pm 0.5°.



Figure 12a.--The absolute reflectance spectrum of a loess aqueous slurry at various moisture contents in the 8-14 μ m wavelength region. The absolute reflectance was computed from the relative reflectance spectrum and the optical constants of distilled water. The infrared radiant flux was unpolarized and incident at an angle of 6.0° ± 0.5°.



Figure 12b.--The absolute reflectance spectrum of a loess aqueous slurry at various moisture contents in the 14-20 μ m wavelength region. The absolute reflectance was computed from the relative reflectance spectrum and the optical constants of distilled water. The infrared radiant flux was unpolarized and incident at an angle of 6.0° ± 0.5°.

VIII. CONCLUSIONS

The application of spectral reflectance to qualitatively and quantitatively measure water quality must ultimately use the spectral signatures of the solutes as the basis for the analysis. The relative reflectance spectra of the aqueous solutions studied in this project and others catalogued in our laboratory along with the optical constants and phase differences give us the capability of identifying similar solutes in environmental samples provided the concentration is adequate.

The application of spectral reflectance to the identification of soil types should be pursued further. We studied only two samples and encountered some difficulty in obtaining a specular surface and in maintaining a constant water-soil ratio due to evaporation. Our preliminary results show that moisture content measurements may be feasible by reflectance techniques and should be studied further.

We are grateful for the opportunity to serve the Missouri Water Resources Center. If we can be of further assistance to the Water Resources Center or any other state agency please feel free to contact us. We invite your comments and discussion of the results from this research project.

REFERENCES

- "Optical Properties of Water in the Infrared," A.N. Rusk,
 D. Williams, M.R. Querry, Bull. Am. Phys. Soc. 16, 501 (1971).
- "Infrared Reflectance of Aqueous Solutions of Sulfates and a Phosphate," M.R. Querry, W.E. Holland, R.C. Waring, Bull. Am. Phys. Soc. 16, 502 (1971).
- 3. "The Reflectance of Aqueous Solutions," M.R. Querry, R.C. Waring, W.E. Holland, and G.R. Mansell, Proceedings 7th International Symposium on Remote Sensing of Environment, University of Michigan (Ann Arbor, May 1971).
- "Optical Constants of Water in the Infrared," A.N. Rusk,
 D. Williams, and M.R. Querry, J. Opt. Soc. Am. 61, 895 (1971).
- 5. "The Infrared Reflectance of Liquid Water," M.R. Querry, Ph.D. Dissertation, Kansas State University (1968).
- "Refractive Index of Water in the Infrared," M.R. Querry, B. Curnutte, and D. Willaims, J. Opt. Soc. Am. 59, 1299 (1969).
- "Optical Constants of Water in the Infrared," A. Rusk, D. Williams, and M.R. Querry, J. Opt. Soc. Am. 61, 895 (1971).
- "The Effect of Herbicides, Pesticides, and Fertilizers on the Optical Properties of Water", M.R. Querry and R.C. Waring, Completion Report OWRR Project No. A-030-MO (1971).
- 9. T.S. Robinson, Proc. Phys. Soc. (London) A65, 910 (1952).
- 10. D.M. Roessler, Brit. J. Appl. Phys. 16, 1359 (1965).
- 11. D.W. Berreman, Appl. Opt. 6, 1519 (1967).
- M.R. Querry, R.C. Waring, W.E. Holland, G.M. Hale, and W. Nijm, J. Opt. Soc. Am. 62, 849 (1972).
- 13. G.M. Hale, W.E. Holland, and M.R. Querry, Appl. Opt. <u>12</u>, 48 (1973).

Spectral Slit Width of Monochromator During Measurements of Relative Reflectance. λ and ν Respectively

are the Wavelength and Wavenumber.

iAλ and Δν respectively are the

Spectral Slit Width in

Unities of mn and cm-1

)y((1111))	Δλ((mm))	w((cm ⁻¹))	Δv/(cm ⁻¹)
2.5	1106	440000	170
35	23.5	2857	19.2
45	54. . 0	222.22.2	26.7
55	52. . 0	1818	17.2
75	46O	1333	8.2
85	42O	1176	5.8
95	.380	1052	4.,2
10.5	135.0	952	12.2
12.5	133.	800	8.5
15.0	126.	667	5.6
17.5	119.	571	3.9
20-0	110.	.500	2.8

APPENDIX

The following pages are a preprint of a paper submitted for publication in the <u>Journal of the Optical Society of America</u> and the abstract of a paper presented at the spring meeting of the Optical Society of America.

sees stilles

tout touser are if br

sf.

5

- and a loss of the stand

(1 + 1)

ABSTRACT

Reflectance and Optical Constants in the Infrared for NaHCO, and NaNO3 in Water. MARVIN R. QUERRY, W.E. HOLLAND, AND R.C. WARING, Department of Physics, University of Missouri-Kansas City, Kansas City, Mo. 64110.--Relative, specular reflectances of individual 0.5-mole aqueous solutions of NaHCO3 and NaNO3 were measured in the 5000-500-cm⁻¹ region of the infrared for the component of radiant flux with the electric-field vector perpendicular to the plane of incidence. Distilled water was the reflectance standard. The angle of incidence was 70°. Kramers-Kronig analyses of the relative reflectance spectra provided phase-difference spectra and values for the optical constants. The strengths of selected infrared bands of HCO_3 and NO_3 were determined by numerically integrating values for the molecular extinction coefficients through spectral regions of the bands. The reflectance spectra, optical constants, and molecular extinction coefficients will be presented in graphical form. (13 min.)