

Predicting quality parameters of wastewater treatment plants using artificial intelligence techniques

Ehsan Aghdam^a, Saeed Reza Mohandes^{b,*}, Patrick Manu^b, Clara Cheung^b, Akilu Yunusa-Kaltungo^b, Tarek Zayed^a

^a Department of Building and Real Estate (BRE), Faculty of Construction and Environment (FCE), The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong

^b Department of Mechanical, Aerospace and Civil Engineering, School of Engineering, The University of Manchester, M13 9PL, Manchester, United Kingdom

ARTICLE INFO

Handling editor: Jin-Kuk Kim

Keywords:

Gene expression programming
AI models
Wastewater quality parameters
Artificial neural networks
Monte Carlo simulation

ABSTRACT

Estimating wastewater treatment plants' (WWTPs) influent parameters such as 5-day biological oxygen demand (BOD₅) and chemical oxygen demand (COD) is vital for optimizing electricity and energy consumption. Against this backdrop, the existing body of knowledge is bereft of a study employing Artificial Intelligence-based techniques for the prediction of BOD₅ and COD. Thus, in this study, Gene expression programming (GEP), multilayer perception neural networks, multi-linear regression, k-nearest neighbors, gradient boosting, and regression trees-based models were trained for predicting BOD₅ and COD, using monthly data collected from the inflow of 7 WWTPs over a three-year period in Hong Kong. Based on different statistical parameters, GEP provides more accurate estimations, with R² values of 0.784 and 0.861 for BOD₅ and COD respectively. Furthermore, results of sensitivity analysis undertaken by monte Carlo simulation revealed that both BOD₅ and COD were mostly affected by concentrations of total suspended solids, and a 10% increase in the value of TSS resulted in a 7.94% and 7.92% increase in the values of BOD₅ and COD, respectively. It is seen that the GEP modeling results complied with the fundamental chemistry of the wastewater quality parameters and can be further applied on other sewage sources such as industrial sewage and leachate. The promising results obtained pave the way for forecasting the operational parameters during sludge processing, leading to an extensive energy savings during the wastewater treatment processes.

1. Introduction

Wastewater treatment plants (WWTPs) are an essential part of the societies' infrastructure, which play a significant role in improving the quality of life by treating the municipal and industrial sewage and discharging the treated effluent into the receiving water bodies. On the other hand, WWTPs are one of the major sources of energy consumption and the production of greenhouse gases (Wang et al., 2016). The performance of the WWTPs is affected by several parameters, such as the variations of the influent and shocking loads (Ansari et al., 2020). Acquiring the influent characteristics is essential for designing WWTPs and adjusting the operational parameters such as the amount of aeration, which consumes a huge amount of electricity in the WWTPs (Wang et al., 2022). The major influent parameters affecting the performance of the WWTP at different stages are 5-day biochemical oxygen demand

(BOD₅), chemical oxygen demand (COD), ammoniacal nitrogen (NH₃), organic nitrogen content (OrgN), organic phosphorous (OrgP) and inorganic phosphorous (InorgP) content of the influent (Henze et al., 2008). Due to the high fluctuations of the WWTPs' influent and their impact on the performance of the treatment processes, predicting the influent characteristics or obtaining their real-time values play a significant role in optimizing the operation of WWTP (Abouzari et al., 2021; Asami et al., 2021; Cheng et al., 2018; Kim et al., 2016; Li and Vanrolleghem, 2022; Wodecka et al., 2022). For example, BOD₅ is representative of the biodegradable content of the wastewater and determines the amount of aeration, which is the most energy-consuming step in the WWTPs (Wang et al., 2019; Luo et al., 2019). Therefore, the overestimation of BOD₅ leads to higher energy consumption and cost. On the other hand, COD is indicative of the chemical compounds which are non-biodegradable and detrimental to the micro-organisms in the wastewater. Moreover, the phosphorous and nitrogenous

* Corresponding author.

E-mail addresses: ehsan.aghdam@polyu.edu.hk (E. Aghdam), saeedreza.mohandes@manchester.ac.uk (S.R. Mohandes), patrick.manu@manchester.ac.uk (P. Manu), clara.cheung@manchester.ac.uk (C. Cheung), akilu.kaltungo@manchester.ac.uk (A. Yunusa-Kaltungo), tarek.zayed@polyu.edu.hk (T. Zayed).

<https://doi.org/10.1016/j.jclepro.2023.137019>

Received 10 October 2022; Received in revised form 14 March 2023; Accepted 28 March 2023

Available online 31 March 2023

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Abbreviations	
AI	Artificial intelligence
ARIMA	Autoregressive Integrated Moving Average
BOD ₅	5-day biochemical oxygen demand
COD	Chemical oxygen demand
ELM	Extreme learning machine
GB	Gradient boosting
GEP	Gene expression programming
GHGs	Greenhouse gases
InorgP	Inorganic phosphorous compounds
kNN	k-Nearest Neighbor
MARS	multi-variate adaptive regression spline
MCS	Monte Carlo simulation
	Multilayer perceptron neural networks MLP-NN
	NN Neural Network
	OP Orthophosphates
	ORELM Outlier robust extreme learning machine
	OrgN Organic nitrogenous compounds
	OrgP Organic phosphorous compounds
	PDF Probability density function
	RF Random forest
	RT Regression tree
	TKN Total Kjeldahl nitrogen
	TP Total phosphorous
	TDS Total dissolved solids
	TSS Total suspended solids
	WWTP Wastewater treatment plant

compounds may interfere with the biological processes during the wastewater treatment processes, considering different treatment methods (Metcalf, 2003).

Measuring all of the influent parameters is time-consuming and requires conducting complicated tests and consuming hazardous materials as fully explained in the standard methods for the examination of water and wastewater (Baird et al., 2017). To address this issue, some electrical sensors have recently been developed to provide the real-time values of the influent's quality parameters (Haimi et al., 2015; Olsson, 2012; Olsson et al., 2014; Vanrolleghem and Lee, 2003). However, some important parameters, such as BOD₅ and COD is difficult and expensive to obtain by sensors (Jouanneau et al., 2014; Kim et al., 2020), which necessitates the development of mathematical predictive models of estimating their values using historical data (Ching et al., 2022; Foschi et al., 2021). The major advantage of using mathematical and statistical methods to predict wastewater characteristics and WWTPs' performance is to fully understand the physicochemical and biological processes behind them. On the other hand, integrating the models with chemical reactions provides insights into the interpretations of the results. Predicting the quality parameters of wastewater, especially BOD₅ and COD is of great importance due to: (1) high cost and time consumption of measuring them; (2) providing the opportunity for the decision-makers to adjust the operational parameters to optimize the energy consumption; and (3) spotting any abnormal variations in the WWTP's influent due to intrusion of any discharge in the upstream.

Table S1 presents some examples of AI methods employed in the literature to predict the parameters of WWTPs. As can be seen, artificial neural networks (ANNs), random forest (RF), multi-linear regression (MLR) and fuzzy models are the most common methods to predict wastewater quality parameters. However, these methods suffer from some drawbacks. For instance, the ANN models are opaque and difficult to interpret (Dürrenmatt and Gujer, 2012).

Among AI methods, gene expression programming (GEP) has recently gained interest in the environmental engineering and wastewater treatment area due to its effectiveness and accuracy over other machine learning methods in predicting complex parameters. Noman et al. (2022) and Yaqub et al. (2022a) developed GEP-based models to respectively predict the inactivation of several types of bacteria in wastewater and the performance of micellar-enhanced ultrafiltration for removal of mercury and arsenic from water. Shishegaran et al. (2020) investigated process optimization of the surfactants' removal by ultrafiltration using MLR, multiple Ln-equation regression (MLNER), and GEP, while Seckin et al. (2011) evaluated the prediction ability of GEP and Stover–Kincannon model in estimating methane yield and effluent substrate of upflow anaerobic filters. Shah et al. (2021a) applied GEP and ANN to predict the surface water quality.

Besides estimating the target parameters, assessing its sensitivity to the variations of other parameters is of great importance because it

provides the most influential parameters. The Monte Carlo Simulation (MCS) technique provides insights into the uncertainty of estimating a parameter by a developed model using other uncertain surrogate parameters. Moreover, the MCS generates several data, which can be used to analyze the influence of the input parameters on the target parameter by sensitivity analysis. Quantifying the model output resulting from the lack of knowledge about input data provides essential information for the decision-makers to take appropriate actions in times of severe fluctuations in the input parameters. Using MC analysis to assess the sensitivity of the target parameters to the variations of input parameters embodies several advantages such as: (1) allocating the uncertainties of the unknown parameters to the uncertainties in the input parameters; (2) determining the most influential parameters on the variations of the target parameters; and (3) scrutinizing the interactions between wastewater quality parameters.

Though some ML-based techniques have been used in the literature, there has been dearth of a study presenting a mathematical representation for calculating BOD₅ and COD in the existing body of knowledge. The lack of such an inclusive formula demands a lot of efforts, resources, and expenses to be incurred at undertaking the related experiments for measuring BOD₅ and COD in laboratories. This knowledge gap along with the mentioned need has given impetus to the authors of this study for coming up with a lucid mathematical formula for estimating the said parameters.

In light of the points mentioned above, there are three major knowledge gaps to be addressed as follows.

- Lack of robust mathematical expressions to correlate the difficult-to-measure parameters such as BOD₅ and COD to easy-to-measure parameters such as TSS, ammonia, OrgN, OrgP and InorgP content of wastewater, which can be obtained by online sensors,
- Sparse research in investigating the most influential parameters on predicting BOD₅ and COD and
- Lack of knowledge in evaluating the uncertainty of predicting BOD₅ and COD values.

The integration of GEP modelling and MCS to study wastewater characteristics provides a strong tool for environmental engineers to monitor the biological and chemical properties of wastewater in real-time, which then enables them to optimize the operational parameters. Thus, the objectives of this study are to: (1) develop models by GEP, MLP-NN, kNN, GB, RT and RF to predict BOD₅ and COD in the influent of the WWTPs according to the surrogate parameters of TSS, NH₃, OrgN, InorgP and OrgP content of the wastewater influent samples, (2) compare different AI models regarding the accuracy of predicting BOD₅ and COD, (3) evaluate the sensitivity of BOD₅ and COD to each of the input parameters through MC, and (4) interpret the modelling results according to the chemistry of the wastewater constituents.

The findings of this study provide the environmental decision-makers and policymakers with solutions to deal with the pollution produced from wastewater associated with sewer pipelines, which in turn, culminates in improving public health and taking a giant leap towards realizing a smart and sustainable built environment. In addition, the obtained results can be used to adjust the chemical dosage as well as estimate the aeration demand of the consecutive wastewater treatment stages, with a view to minimizing the energy cost and production of greenhouse gases.

2. Methodology

The general framework of this research is presented in Fig. 1, which encompasses the following five major steps: (1) data collection; (2) normalization of data; (3) developing the different AI models with the pre-processed data to predict BOD₅ and COD; (4) validation of the models; and (5) assessing the sensitivity of the target parameters toward the predictors.

2.1. Phase one: Data collection and preparation

Samples were collected from the influents of 7 WWTPs in Hong Kong, namely Sha Tin Sewage Treatment Works (ST-STW), Sai Kung Sewage Treatment Works (SK-STW), Sham Tseng Sewage Treatment Works (SmT-STW), Yuen Long Sewage Treatment Works (YL-STW), Tai Po Sewage Treatment Works (TP-STW), Shek Wu Hui Sewage Treatment Works (SWH-STW) and Stonecutters Island Sewage Treatment Works (SCISTW) (HKDSD, 2018). The locations of these WWTPs are shown in Fig. 2. Their design flow and main treatment processes are listed in Table 1 (HKDSD, 2018). During the study period (i.e. from Jan 2018 to Dec 2020), composite samples of the WWTPs' influents were grabbed monthly from the manholes near the treatment plants. The quality parameters of the samples, including BOD₅, COD, TSS, NH₃, OrgN, OrgP and InorgP were measured according to the standard methods mentioned in Table 2. Notably, they were characterized by the Hong Kong Drainage Service Department (DSD). To better understand the data, the statistical parameters of the data and their probability density function (PDF) are determined with Origin Pro 2021 software, and accordingly, the outliers were removed from the initial data. It is

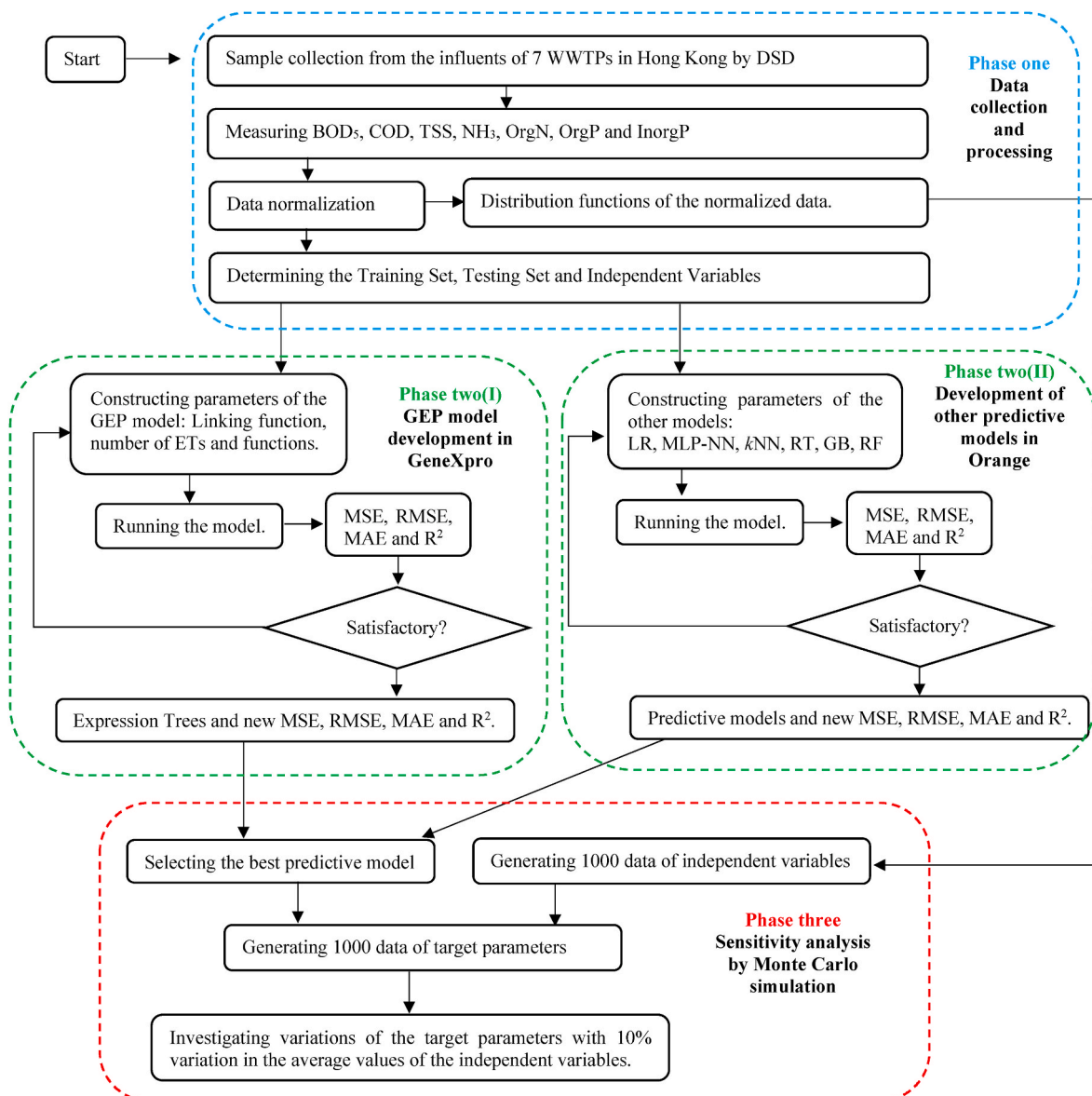


Fig. 1. The research framework.



Fig. 2. The locations of 7 WWTPs, from which the data was collected (Map source: www.map.gov.hk).

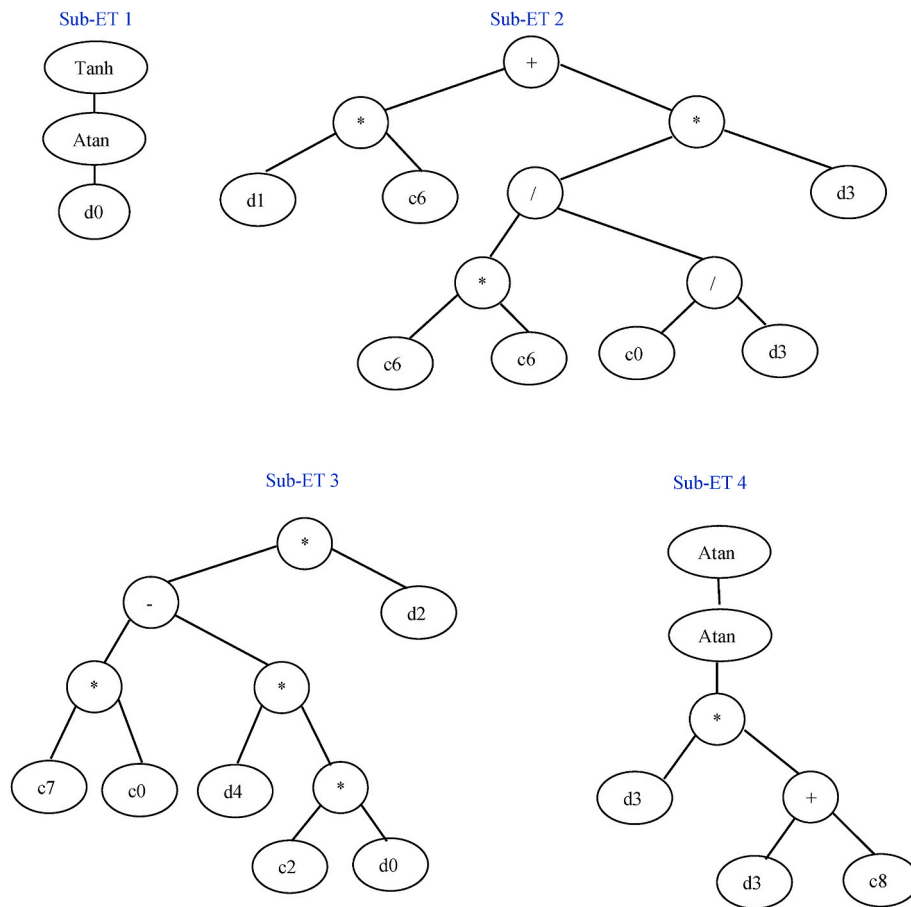


Fig. 3. GEP expression tree for predicting COD from TSS, NH₃, OrgN, OrgP and InorgP. (Note: d₀: concentration of TSS, d₁: concentration of NH₃, d₂: concentration of OrgN, d₃: concentration of OrgP, d₀: concentration of InorgP. The numbers of c_i are constants generated by GeneXpro.).

Table 1
The basic information regarding the WWTPs in Hong Kong.

WWTP	Designed flow rate (m ³ /d)	Actual flow rate (m ³ /d)
Sai Kung Sewage Treatment Works (SK-STW)	22000	8000
Sham Tseng (SmT-STW)	5600	–
Yuen Long Sewage Treatment Works (YL-STW)	70000	16,000
Tai Po Sewage Treatment Works (TP-STW)	120000	95,000
Shek Wu Hui Sewage Treatment Works (SWH-STW)	93000	81,000
Sha Tin Sewage Treatment Works (ST-STW)	340000	250,000
Stoncutters Island Sewage Treatment Works (SCISTW)	2,450,000	1,900,000

Table 2
The wastewater parameters and the analytical methods of them.

Parameters	Analytic methods	units
BOD ₅	5210 B.	mg/L as O ₂
COD	5220 B.	mg/L as O ₂
TSS	2540 D.	mg/L
NH ₃	4500-NH ₃ F.	mg/L as N
OrgN	4500-N _{org} C.	mg/L as N
OrgP	4500-P.	mg/L as P
InorgP	4500-P.	mg/L as P

noteworthy that all the wastewater treatment plants were municipal wastewater treatment plants, and the initial conditions and operational conditions were similar. On the other hand, since the data were collected from the influent of the treatment plants before the treatment processes, the “design conditions” of them did not affect the data analysis. Moreover, since all treatment plants were in Hong Kong, the geographical conditions of all of them were similar and may not affect the analysis.

Before developing the models for BOD₅ and COD prediction, the input data were normalized to prevent overfitting and minimize the errors associated with the large differences between the covered ranges of the different parameters. To this purpose, all data are normalized within the range of [0, 1] according to Eq. (1) (Tijani and Zayed, 2022):

$$a = \frac{(x - x_{min})}{(x_{max} - x_{min})} \quad (1)$$

Where a is the normalized value of the input parameter, x , x_{max} and x_{min} are the value of the respective variable, the maximum value of all the inputs for a particular variable, and the minimum value of all the inputs for a particular variable, respectively.

With the above in mind, BOD₅ is indicative of the oxygen requirement by microorganisms to stabilize the organic matter in the wastewater. It is one of the major wastewater parameters due to its application in: (1) determining the required oxygen in the aeration tanks; (2) designing the wastewater treatment facilities; (3) evaluating the performance of the wastewater treatment processes; and (4) assessing the quality of the discharged wastewater (Eddy et al., 2014; Gerardi, 2015). However, measuring BOD₅ is cumbersome, time-consuming and cannot be obtained in real-time. Therefore, developing a correlation between BOD₅ and easy-to-find parameters simplifies decision making regarding operational works in the WWTPs. On the other hand, COD is the amount of oxygen for oxidizing organic compounds in the presence of dichromate in acidic environment. COD value is always higher than BOD₅ due to: (1) presence of non-biodegradable compounds; (2) presence of inorganic compounds; which can be oxidized in the presence of dichromate in the COD measurement test; and (3) presence of some compounds toxic to the microorganisms in the BOD₅ measurement test. Therefore, predicting the amount of COD and COD/BOD₅ ratio is

advantageous for the decision makers in terms of adjusting the operational parameters of a WWTP (Jouanneau et al., 2014). Moreover, COD/BOD₅ ratio indicates the amount of non-biodegradable compounds in the wastewater inflow, and consequently the likelihood of industrial sewage intrusion into the municipal wastewater network (Eddy et al., 2014; Henze et al., 2008). Considering the mentioned reasonings, it can be inferred that there are potential relationships among BOD₅, COD, TSS, NH₃, OrgN, OrgP and InorgP.

2.2. Phase two (I): Gene expression programming method

GEP method, one of the newly developed supervised machine learning techniques, is a combination of genetic algorithm (GA) and genetic programming (GP). The basic principle of GEP, as proposed by Ferreira (2002), resembles that of human’s genetic system. In GEP modelling, the observed and modelled data are considered as chromosomes, which comprise of several genes embodying the protein coding regions. The main purpose of GEP is to find a mathematical function, which correlates several independent variables to a target parameter according to the actual observed data. The relationship embodies several mathematical functions, including the four principal operations, trigonometric functions and their inverse and hyperbolic counterparts, logarithmic, power, inverse, root and so on (Noman et al., 2022). Developing a GEP model requires five basic elements including: (1) set of mathematical functions to be used in building a correlation between the independent variables and the target parameter; (2) a set of terminals including the independent variables and constants; (3) fitness function; (4) control parameters; and (5) conditions for stopping the program (Kayadelen et al., 2009). To grasp more understanding in GEP utilization, readers are referred to the following references: (Tijani and Zayed, 2022), (Noman et al., 2022), and (Islam et al., 2022).

In the current study, the GEP algorithm was employed to predict the BOD₅ and COD of the WWTPs’ influent as a function of concentrations of five independent variables of TSS (mg/L), NH₃ (mg/L as N), OrgN (mg/L as N), InorgP (mg/L as P) and OrgP (mg/L as P). GeneXpro Tools 5.0 software was employed to develop the GEP model. 70% of the total data was used for training the model and the remaining was used for model validation.

A wide range of mathematical functions including +, −, ×, ÷, *Tanh*, *Arctan*, *exp*, *power*, *logarithm*, *Ln* were selected as the function set. However, the GEP may not use all of them. GEP uses Roulette wheel to select the data and simultaneously reproduce them by genetic operators to find the most appropriate function for predicting the target parameter. The inappropriate data are eliminated, and the appropriate data are kept and eventually conveyed to the next generation, just as is the case with genetic mutation in the human genome. The goal of this mutation in GEP modelling is to randomly optimize the chromosomes (Shishegaran et al., 2020).

2.3. Model performance evaluation

Five statistical metrics, Root Mean Square Error (RMSE), Mean Square Error (MSE), coefficient of determination (R²), RAE and MRRE as expressed in Eq. (2)–6 respectively, were used to evaluate the performance of the developed model. RMSE implies the average error between the predicted and observed values as expressed in Eq. (2). MSE as presented in Eq. (3), measures the average difference between the modelled values and the values acquired from the field. It should be noted that RMSE is the square root of MSE and both parameters are within the range of [0, 1] for the normalized data. The closer these values are to 0, the more accurate the model is (Shah et al., 2021b). On the other hand, the R² given in Eq. (4), expresses the degree of fitness between the predicted and observed values. Higher values of R² closer to 1, indicates higher accuracy of the model. Relative absolute error (RAE) and mean root relative error (MRRE) are given by Eqs. (5) and (6), respectively.

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_p - y_m)^2} \quad (2)$$

$$MSE = \frac{1}{n} \sum_{i=1}^n (y_p - y_m)^2 \quad (3)$$

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_p - y_m)^2}{\sum_{i=1}^n (y_p - \bar{y}_m)^2} \quad (4)$$

$$RAE = \frac{\sum |y_p - y_m|}{\sum (y_p - \frac{1}{n} \sum y_m)} \quad (5)$$

where n is the number of the inputs, y_m is the measured or observed values, y_p is the predicted or modelled values, and \bar{y}_m is the average of the measured values of an input parameter.

2.4. Phase two (II): Comparative analysis

Once the results of GEP have been obtained for the prediction of BOD₅ and COD, an exhaustive comparative analysis against the other ML-based techniques, LR, NN, kNN, RT, GB and RF is undertaken. This is of paramount importance, since the accuracy and performance of various ML-based techniques need to be gauged with respect to each other. LR modelling assumes a linear relationship between the target parameter (BOD₅ or COD) and the predictors, TSS, NH₃, OrgN, InorgP and OrgP. On the other hand, MLP-NN includes five independent variables neurons (TSS, NH₃, OrgN, InorgP and OrgP), each linked to the output variables (BOD₅ or COD). In the kNN method, “ k ” numbers of the input dataset such as TSS _{i} , NH_{3(i)}, OrgN _{i} , InorgP _{i} , OrgP _{i} from the training dataset were determined which are the closest ones to another input data in the validation dataset such as TSS _{v} , NH_{3(v)}, OrgN _{v} , InorgP _{v} , and OrgP _{v} . The predicted value of the target parameter corresponding to TSS _{v} , NH_{3(v)}, OrgN _{v} , InorgP _{v} , and OrgP _{v} is the average of the target parameters corresponding to TSS _{i} , NH_{3(i)}, OrgN _{i} , InorgP _{i} , and OrgP _{i} , in which “ i ” is in the range of [1, k] (R. Wang et al., 2022). It should be noted that although kNN and NN methods consider the nonlinearity of the correlations, they do not provide any specific equation. In the RT algorithm, for predicting the target parameter, the whole dataset is considered as root of a tree and split into subsets. Meanwhile, a multivariate linear regression is used to predict the target in each subset (Breiman et al., 2017; Granata et al., 2017). In the RF, several trees (forest) were built in parallel to predict the target parameter and the mean value of the predictions is recognized as the predicted value (Bunce and Graham, 2019; Dürrenmatt and Gujer, 2012; Lakshmanarabu et al., 2019; Mateo Pérez et al., 2021; Sharafati et al., 2020). In the GB algorithm, trees were generated consecutively considering the error between the target value and the new value predicted by the new tree. The error of the estimation decreased with increasing number of trees.

2.5. Phase three: Monte Carlo simulation (MCS)

The MCS was used to conduct the sensitivity analysis of the best predictive model, which was found according to the statistical metrics of the models. Firstly, the probability density functions (PDFs) of the independent variables, TSS, NH₃, OrgN, InorgP and OrgP were defined according to the historical data collected by HK DSD. It should be noted that the normalized data were used for conducting MCS since the models were developed based on the normalized data. The goodness of each distribution function was evaluated by Kolmogorov-Smirnov test (KS test) including the p-value parameter. Higher than 0.05 of p-value indicates that the assumed distribution function can represent the distribution of the observed data. For MCS, 1000 random values of TSS, NH₃, OrgN, InorgP and OrgP were generated based on the parameters of their distribution function. Then, the corresponding BOD₅ and COD values for

each set were found according to the model-generated equation. Afterwards, the sensitivity of BOD₅ and COD to $\pm 10\%$, $\pm 20\%$ and $\pm 50\%$ change in one of the parameters was found, while the other four parameters were kept constant.

3. Results and discussion

3.1. Wastewater quality parameters and statistical metrics

Based on the three years of historical data, which were collected monthly from the inlets of seven WWTPs all over Hong Kong, 11 individual variables were obtained. A statistical summary of these 11 influent variables is presented in Table 3. In addition, the dataset of each parameter was fitted to a probability distribution function (PDF). It was observed that NH₃, OrgP and InorgP followed log-normal distribution, but the other variables do not follow any of the known distribution functions. These results showed significant differences in the distributions of the concentrations of the influent’s parameters, and this necessitates normalization of the data before applying GEP. On the other hand, all datasets possessed high skewness, indicating that most data values are concentrated within a certain range. The presence of high values of contaminants in some cases may be due to the intrusion of industrial wastewater into the municipal sewage networks. Despite their rare occurrence, they were not removed from the dataset to account for their effect on the developed model. To remove the effect of the large range of some of the data on the PDFs, the distribution of the normalized data was obtained. Moreover, the distribution function of the normalized data will form the basis of the MCS-based sensitivity analysis. All parameters except OrgN fit the log-normal distribution. In another research, Oliveira et al. (2012) found the log-normal distribution to be the most appropriate for describing the distribution of the wastewater parameters. On the other hand, InorgP and NH₃ values fitted to the Gamma distribution better. The best fits of the normalized input data and the parameters of the distribution functions are tabulated in Table 4.

BOD₅ and COD were selected as the target parameters, since they can be used as surrogate parameters to estimate the energy consumption, as well as sludge production of a WWTP (Benedict et al., 1979.). On the other hand, measuring BOD₅ is tedious and prone to several errors, which is even made more challenging by the scarcity of real-time data (Basant et al., 2010). Moreover, COD measurement requires skillful laboratory workers and precautionary measurements (Baird et al., 2017). Among the other parameters, TSS, OrgN, NH₃, InorgP and OrgP were selected as the predictors of BOD₅ and COD. The reasoning for selecting the said parameters lies in the fact that BOD₅ and COD are representatives of the biodegradable and non-biodegradable compounds in wastewater. On the other hand, biodegradable and non-biodegradable compounds in wastewater consist of insoluble and soluble fractions, and the insoluble compounds are considered TSS. Therefore, BOD₅ and COD may have correlations with the TSS value of the wastewater. Moreover, the biodegradable and non-biodegradable compounds consist of nitrogenous and phosphorous compounds, which are represented by OrgN and NH₃ (for nitrogenous compounds) and InorgP and OrgP (for phosphorous compounds). Following this, the correlation of BOD₅ and COD with each of the said variables was determined, as well as the sensitivity of BOD₅ and COD to each of them.

3.2. Predictive equations of BOD₅ and COD

To develop a GEP model, several parameters such as the number of the chromosomes, number of the genes, head size, functions linking the genes and other functions relevant to the equations should be adjusted to increase the R² while reducing the values of MSE and RMSE. The predicted results and the corresponding experimental values were compared to each other by linear regression. After several trial runs with different parameters, a GEP model was developed with a head size of 7 and 3 genes linked by the addition function and consisting of constants

Table 3
The statistical data of the parameters of the WWTPs' influent.

Variable	Unit	SD	Mean	Min	Max	Skewness	Kurtosis
BOD ₅	mg/L as O ₂	86.95	217.25	540.00	86.73	1.17	0.78
COD	mg/L as O ₂	223.39	523.54	1300.00	145.08	0.95	0.40
TSS	mg/L	168.99	327.83	880.00	91.43	1.10	0.49
NH ₃	mg/L as N	6.19	23.75	50.00	11.00	0.68	0.89
OrgN	mg/L as N	9.08	16.93	59.00	2.00	1.58	2.80
OrgP	mg/L as P	0.77	2.54	6.30	0.96	1.16	2.37
InrgP	mg/L as P	2.14	3.07	14.41	0.27	1.61	3.31

Table 4
The distribution functions of the normalized data.

Variable	Distribution fit	p-value	Parameters of the distribution
BOD ₅	Lognormal	0.146	$\mu = -1.430 \sigma = 0.639$
COD	Lognormal	0.149	$\mu = -1.266 \sigma = 0.589$
TSS	Lognormal	0.193	$\mu = -1.425 \sigma = 0.707$
NH ₃	Gamma	0.699	$\alpha = 4.21 \theta = 0.079$
OrgN	Lognormal	0.080	$\mu = -1.485 \sigma = 0.573$
OrgP	Gamma	0.159	$\alpha = 4.571 \theta = 0.063$
InrgP	Lognormal	0.635	$\mu = -1.864 \sigma = 0.746$

and independent variables of TSS, NH₃, OrgN, OrgP and InorgP. The expression trees of the genes for predicting BOD₅ and COD are illustrated in Figs. 3 and 4, respectively. The predictive equations of COD and BOD₅ including the functions and the parameters are also presented as Eq. (7) and Eq. (8), respectively.

$$COD = \sum_{i=1}^4 Sub - ET i \tag{7}$$

In which:

$$Sub - ET 1 = Tanh(Arctan(TSS))$$

$$Sub - ET 2 = 0.377 \times NH_3 - 6.825 \times OrgP^2$$

$$Sub - ET 3 = OrgN \times (0.043 + 0.346 \times TSS \times InorgP)$$

$$Sub - ET 4 = Arctan(Arctan(OrgP \times (OrgP - 0.615)))$$

$$BOD_5 = \sum_{i=1}^4 Sub - ET i \tag{8}$$

In which:

$$Sub - ET 1 = (-0.164 - TSS) \times (OrgP - OrgN) \times (Arctan(OrgN) - OrgP)$$

$$Sub - ET 2 = 0.023$$

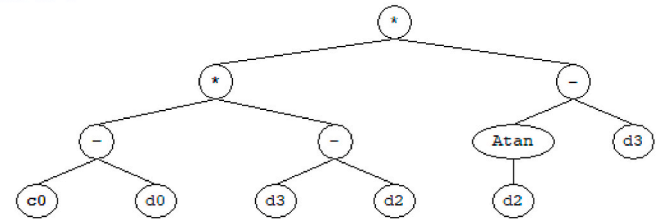
$$Sub - ET 3 = TSS \times OrgN^{(-0.799 \times OrgN^2)}$$

$$Sub - ET 4 = Tanh(Arctan(TSS)) \times Arctan(-0.679 \times TSS)$$

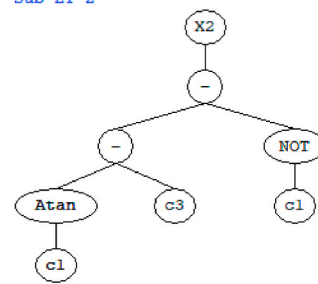
As Eq. (8) shows, three parameters of TSS, OrgN, and OrgP are involved in the predictive equation of BOD₅. However, the correlations between BOD₅ and the above-mentioned parameters are nonlinear, which indicates the complicated nature of the relationships between these parameters. Due to the complexity of the equation and taking its derivative according to the independent parameters, the sensitivity of BOD₅ is calculated by MCS, which will be discussed later.

BOD₅ is comprised of particulate and non-particulate fractions, including colloidal and soluble compounds such as ammoniacal and carbonaceous ones. Particulate matter constitutes approximately 75% of BOD₅, therefore, it was expected that TSS would contribute more than other parameters in the developed BOD₅ prediction model. Non-particulate fraction of BOD₅ mostly consists of proteins or amino-acids

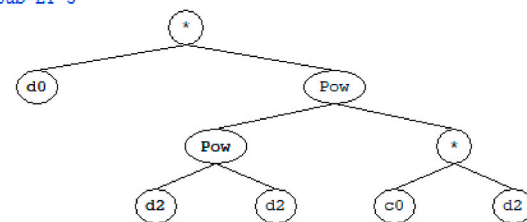
Sub-ET 1



Sub-ET 2



Sub-ET 3



Sub-ET 4

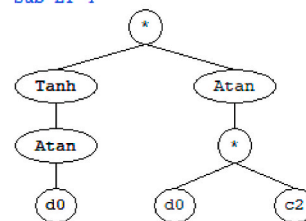
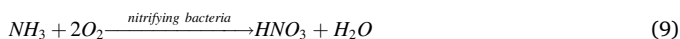


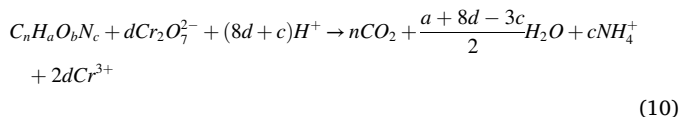
Fig. 4. GEP expression tree for predicting BOD₅ from TSS, NH₃, OrgN, OrgP and InorgP. (Note: d₀: concentration of TSS, d₁: concentration of NH₃, d₂: concentration of OrgN, d₃: concentration of OrgP, d₀: concentration of InorgP. The numbers of c_i are constants generated by GeneXpro.)

which can be considered as organic nitrogenous compounds (Gerardi, 2015). It is noteworthy that ammonia can be oxidized by the nitrifying bacteria to nitrite and consequently to nitrate according to Eq. (9):



The ammonia oxidation by the nitrifying bacteria consumes oxygen, however, it generally occurs after 6 days. Therefore, ammonia is expected to have negligible effect on BOD₅, unless a considerable amount of groundwater containing nitrifying bacteria infiltrates into the sewage conveyance network. The absence of NH₃ variable in the GEP model for predicting BOD₅ complies with the negligible role of NH₃ hypothesis. On the other hand, inorganic phosphorous compounds, mostly comprised of phosphate salts, do not affect the BOD₅ value. On the other hand, involvement of OrgP term in the equations indicates that the biodegradable species in the wastewater can partially be comprised of organic phosphorous compounds.

On the other hand, the proposed equation for measuring COD is depicted in Eq. (10):



where $d = \frac{2a}{3} + \frac{a}{6} - \frac{b}{3} - \frac{c}{2}$.

According to Eq. (10), phosphorous compounds, theoretically, are not involved in the COD measurement, however, in reality, they may have negligible contributions to the process. As Eq. (8) shows, ammoniacal compounds are not involved in COD measurement either. However, the presence of ammonia may interfere with COD measurement, since one of the final products of COD measurement is NH₄⁺. It should be noted that the GEP modelling only considers the correlation between the parameters based on the training data but not the chemical reactions that occurs between them. Therefore, increasing ammonia concentration may be indicative of increasing the contaminants and therefore increasing COD value. Hence, the results of GEP modelling and theoretical chemical reactions should complement each other when interpreting the equations.

4. Comparative analysis

The accuracy and statistical metrics of the different models to predict BOD₅ and COD in the WWTPs are tabulated in Tables 5 and 6, respectively. The R² of the different models for predicting BOD₅ are in the order of GEP > RF > kNN > LR > MLP-NN > GB > RT. On the other hand, the accuracies of the employed AI algorithms for predicting COD are in the order of GEP > LR > MLP-NN > GB > RF > RT. Therefore, according to the statistical parameters of the AI models, the GEP model predicts BOD₅ and COD of the WWTPs' influent more efficiently than the other models. Moreover, the GEP model residuals are lower than those of the other models. In addition, GEP provides the relationship between the input and output parameters with specific mathematical functions, thereby allowing for a better understanding of the interactions that exists between the parameters. However, the other AI models serve as black box models due to their lack of derived equations.

As can be seen from Tables 5 and 6, the prediction of BOD₅ and COD with RT is the least accurate with R² values of 0.565 and 0.646, for BOD₅

Table 5
Performance parameters of the BOD₅ predictive models.

Target variable	model	stage	MSE	RMSE	MAE	R ²
BOD ₅	GEP	Training	0.009	0.095	0.067	0.727
		Validation	0.008	0.092	0.068	0.749
	RF	Validation	0.008	0.088	0.068	0.708
	kNN	Validation	0.009	0.093	0.07	0.675
	LR	Validation	0.009	0.094	0.071	0.669
	MLP-NN	Validation	0.009	0.096	0.072	0.655
	GB	Validation	0.01	0.098	0.073	0.639
	RT	Validation	0.012	0.108	0.082	0.565

Table 6
Performance parameters of the COD predictive models.

Target variable	model	stage	MSE	RMSE	MAE	R ²
COD	GEP	Training	0.009	0.095	0.067	0.816
		Validation	0.008	0.092	0.068	0.862
	LR	Validation	0.005	0.072	0.054	0.841
	MLP-NN	Validation	0.005	0.073	0.056	0.836
	GB	Validation	0.006	0.08	0.061	0.807
	RF	Validation	0.007	0.083	0.063	0.790
	kNN	Validation	0.007	0.086	0.062	0.773
	RT	Validation	0.012	0.108	0.082	0.646

and COD predictions, respectively. The low accuracy of RT can be attributed to the occurrence of overfitting during the development one tree. For prediction of BOD₅, GB has higher accuracy than RT, with R² value of 0.639. This is due to employing more trees in prediction of the target parameter. On the other hand, for predicting COD, GB, RF and kNN showed comparable accuracies with respective R² values of 0.807, 0.790 and 0.773. It should be recalled that in RF, GB and kNN, the value of the target parameter corresponding to the input vector (TSS_v, NH_{3(v)}, OrgN_v, InorgP_v, OrgP_v) is the mean value of the target parameters corresponding to some input vectors (TSS_i, NH_{3(i)}, OrgN_i, InorgP_i, OrgP_i), which are the closest values to the TSS_v, NH_{3(v)}, OrgN_v, InorgP_v, OrgP_v in the structure formed during the training step. The similar accuracies of RF, GB and kNN in predicting COD may be due to the similar structure of the trees in RF and GB, as well as the neighboring values in kNN. This can be attributed to the presence of a high portion of data in a limited range and similar shape of the PDF of the normalized data. In predicting COD, the performance of kNN was weaker than that of GB and RF. This implies that the more organized structure of the trees in GB and RF modelling performs better than the structure of locating neighbors in kNN for predicting COD. This may be due to lower errors and more accuracy of COD measurement, which makes RF and GB better predictive models than kNN. For predicting COD, MLP-NN and LR perform better than RF, GB and kNN, but their accuracies are very similar. Also, MLP-NN and LR depicted comparable accuracies, which are slightly higher than those observed for other models, except GEP. The comparable performances of LR and MLP-NN implies that the feed-forward and backward propagation mechanisms of the MLP-NN conclude a semi-linear relationship between the target parameters and the input independent variables.

For predicting BOD₅, GB performs weaker than RF, implying that the GB algorithm for generating trees is not suitable for BOD₅ prediction. However, RF algorithm for BOD₅ estimation showed a better performance level. For COD estimation, LR and MLP-NN similarly showed comparable accuracies in BOD₅ estimation. However, LR has lower accuracy than RF, indicating that correlation of BOD₅ with the independent variables tend to be more non-linear than that of COD.

Since RT, RF, GB, kNN and MLP-NN do not provide specific relationship function, the linear correlation provided by LR is further investigated. Eq. (11) and Eq. (12) show the linear correlation of COD and BOD₅, respectively, with the input parameters.

$$COD = 0.511 \times TSS + 0.332 \times NH_3 + 0.174 \times OrgN - 0.076 \times OrgP + 0.159 \times InorgP \quad (11)$$

$$BOD_5 = 0.605 \times TSS + 0.046 \times NH_3 + 0.142 \times OrgN + 0.095 \times OrgP + 0.116 \times InorgP \quad (12)$$

As Eqs. (11) and (12), show, TSS contribute higher than 50% to predicting BOD₅ and COD. This is due to the fact that suspended solids of wastewater contain a large amount of organic compounds, which are represented by BOD₅ and COD (Veronez et al., 2018). However, NH₃ plays a negligible role in predicting BOD₅ as earlier highlighted, owing

to the fact that biologically degradable content of wastewater mostly contain organic compounds and not ammoniacal compounds. On the other hand, NH_3 has significant role (up to 33%) in predicting COD. This may be due to the hidden correlation between the concentrations of NH_3 and other toxic or non-biodegradable compounds in the wastewater samples, which lead to higher COD values. From the practical point of view, it can be inferred that higher ammonia concentrations in the WWTPs' inflows may be indicative of the presence of toxic compounds, which may disturb the reactions in the biological treatment stages (Henze et al., 2008).

It is noteworthy that the coefficients of NH_3 in the predictive models of BOD_5 and COD significantly differ from each other. Meanwhile, the coefficients of the other independent variables such as TSS, OrgN, OrgP and InorgP in the predictive models of BOD_5 and COD are comparable. Since the performance of the GEP model was superior to the other ones in estimation of BOD_5 and COD, its performance will be investigated in more detail and the sensitivity analysis will be conducted based on the GEP model in the following sections.

5. Performance of the GEP model

The performance of the GEP model for predicting BOD_5 and COD for the training and validation was evaluated according to the statistical indicators of R^2 , MSE, RMSE, MAE, RSE, and RRSE as shown in Tables 5 and 6. The results show that the developed predictive model has satisfactory performance for both training and validation of the dataset. The plots of the predicted versus observed BOD_5 and COD values for both training and validation dataset are presented in Figs. 5 and 6, respectively. The R^2 of the training and validation datasets are 0.749 and 0.727, respectively, for predicting BOD_5 and 0.816 and 0.861 for COD, indicating sufficiency of the GEP model for predicting BOD_5 and COD in the influent of the WWTPs, based on the values of TSS, NH_3 , OrgN, OrgP and InorgP. It is noteworthy that the accuracy of the model for predicting COD is higher than that of BOD_5 , since measuring BOD_5 is prone to higher errors. Measuring BOD_5 requires several steps and each of the steps has its own intrinsic errors. On the other hand, the data were acquired over three years from different areas of HK, which may be affected by intrusion of the industrial wastewater. Therefore, the water quality input parameters were non-homogenous, which may have affected the accuracy of the correlations between the parameters (Yaqub et al., 2022b). Notably, the normalization was done for developing the models as expressed in the methodology, based on which the correlation between the parameters were found. However, for plotting Figs. 5 and 6, the "predicted" values, which are normalized values, were converted to the original values to obtain more realistic comparison with the observed values.

Although GEP model exhibits strong fitness with the actual data, digging deeper into the errors and investigating the data with higher errors provided insights on minimizing their influence on predictions. To this purpose, the differences between the predicted and actual values as well as the error percentage are obtained. Based on the results, for 46% of the cases, the predicted BOD_5 was lower than the actual BOD_5 . These cases may lead to underestimating the BOD_5 and consequently underestimating the aeration amount. However, a more accurate look at the results reveal that these errors can be avoided. For example, 84% of the underestimation cases showed that the actual BOD_5 is 20% higher than the predicted ones. Therefore, by applying 20% safety factor, the underestimation error can be avoided. On the other hand, 16% of the underestimation cases showed that the actual BOD_5 is 20% higher than the predicted ones, which may be indicative of erroneous measurements of parameters. Therefore, frequent inspection and calibration of the sensors installed in the WWTP's inflow could be pertinent to minimizing the errors associated with the measured parameters. On the other hand, the errors in the overestimation of BOD_5 follows similar trend as the underestimation one.

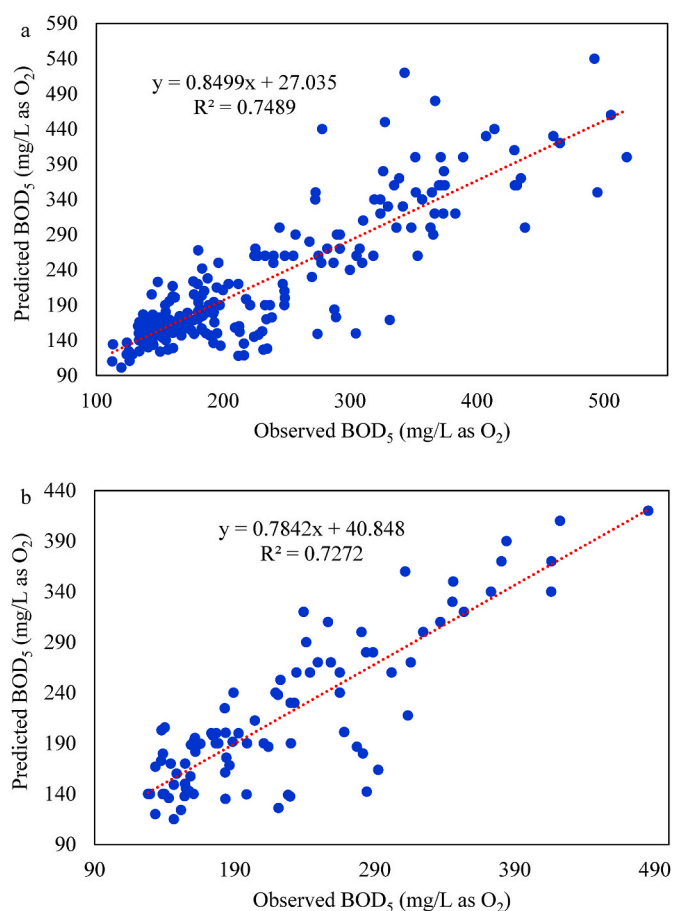


Fig. 5. The scatter plots of the observed BOD_5 values versus predicted ones for (a) training and (b) validation by the GEP model.

6. Sensitivity analysis using MCS

In order to investigate the most influential parameters, their effects and the interactions between them, sensitivity analysis was conducted by employing MCS. The MCS can provide insights on the uncertainty of the parameters and the major parameters affecting the change in the target variables (Golzar et al., 2020). This requires random generation of a dataset of the input variables and determining the corresponding BOD_5 for each set of inputs by applying the GEP derived equation to them. In order to generate the random input variables, the PDF of normalized values for each parameter was initially defined based on the actual data. The PDF of the normalized values of all variables with the p-value of the fitted distribution function on the actual data are tabulated in Table 4.

After obtaining 1000 data points for the predictors and the target parameter, the sensitivity of BOD_5 to each independent variable is found by changing that variable by $\pm 10\%$, while keeping the other variables constant, as depicted in Table 7. As per Table 7, TSS is the most influential parameter for BOD_5 and COD estimation. 10% increase in the average TSS value of 309.11 nullmg/L at the average values of the other independent variables, leads to a 7.94% increase in the BOD_5 value from 212 to 222 nullmg/L as O_2 . Likewise, 10% decrease in the average TSS value leads to 7.88% decrease in the average value of BOD_5 , which corresponds to 14 nullmg/L as O_2 decrease in BOD_5 concentration. On the other hand, 10% increase or decrease in the value of average TSS results in 7.918% increase and 8.269% decrease in the mean value of COD, respectively. The strong effect of TSS on the BOD_5 may be due to the similar sources of TSS and BOD_5 . According to Henze et al. (2008), BOD_5 is representative of the biodegradable compounds by the microorganisms, and these compounds can be in either soluble or particulate

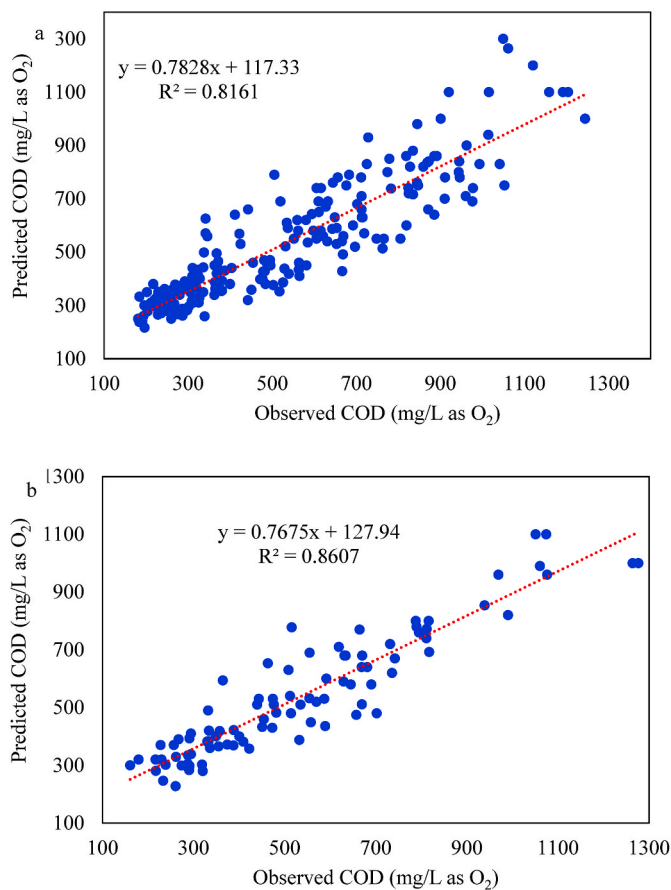


Fig. 6. The scatter plots of the observed COD values versus predicted ones for (a) training and (b) validation by the GEP model.

Table 7

Variations in the BOD₅ and COD values by changing the values of the independent variables by ±10%.

Parameter	Average value	Variation in the average value	BOD ₅ (mg/L as O ₂) change (%) compared with the average value	COD (mg/L as O ₂) change (%) compared with the average value
TSS	309.11 mg/L	+10%	7.94	7.918
		-10%	-7.88	-8.269
NH ₃	23.42 mg/L as N	+10%	0	5.176
		-10%	0	-5.176
OrgN	16.28 mg/L as N	+10%	-0.448	0.415
		-10%	0.585	-0.415
OrgP	2.49 mg/L as P	+10%	0.358	-0.723
		-10%	0.077	1.580
InorgP	2.92 mg/L as P	+10%	0	0.142
		-10%	0	-0.142

forms; thus, an increase in the amount of the particulate compounds (which are represented by TSS) implies an increase in the value of BOD₅.

Having said that, the BOD₅ value is not affected by NH₃ and InorgP, due to the fact that the biodegradable compounds in the wastewater are not comprised of ammonical and inorganic phosphorous compounds. The main reason for this is that the ammonical compounds and phosphate salts have non-biodegradability characteristics (Hou et al., 2022). It is noteworthy that according to Eq. (12), NH₃ and InorgP slightly contribute to the value of BOD₅ in the LR model. However, this does not seem reasonable. Therefore, the GEP model represents more accurate predictive model in terms of the interactions of various wastewater

parameters. Moreover, 10% increase or decrease in the values of organic nitrogenous or organic phosphorous compounds resulted in less than 1% of change in BOD₅ value. The low contribution of OrgN and OrgP to the estimation of BOD₅ lies in the following reasons: the GEP algorithm considers OrgN and OrgP as part of TSS, or negligible contribution of organic nitrogenous and phosphorous compounds to the compounds which require oxygen in the aeration process. Either of this indicates that intrusion of industrial sewages containing large amounts of organic nitrogenous and phosphorous to the municipal wastewater may not increase BOD₅ (Chen et al., 2023). On the other hand, if they contain toxic and non-biodegradable compounds (that are represented by COD/BOD₅ ratio), they negatively impact the BOD₅ value.

On the other hand, NH₃ is the second most influential factor contributing to COD estimation after TSS, while OrgN, OrgP and InorgP has negligible effect on COD estimated value. High contribution of NH₃ to the predicted value of COD is compatible with the LR model of COD estimation and indicates similar sources of ammoniacal and non-biodegradable compounds. This is of great importance from a practical point of view in the sense that detecting high values of NH₃ in the WWTPs' inflow may lead to disturbances in the biological treatment stages and pre-cautionary actions are required to be taken.

7. Environmental and engineering implications

In the first glance, all wastewater parameters may have a positive correlation with each other because the parameters are representative of the wastewater pollution levels, whereby an increase in one of them implies an increase in the other parameters. However, the statistical analysis opposes this.

It was determined that the most influential parameter for predicting BOD₅ and COD is TSS. To explain the correlation between BOD₅ and TSS, it should be noted that BOD consists of soluble BOD₅ and particulate BOD₅. On the other hand, TSS consists of biodegradable and non-biodegradable portions. The strong correlation between BOD₅ and TSS implies that major proportions of the biodegradable materials are in the particulate form. On the other hand, the major proportion of the TSS is biodegradable. On the other hand, BOD₅ has no correlation with N-NH₃, indicating that ammoniacal nitrogen comprises of a negligible proportion of BOD₅, and increasing BOD₅ may not lead to an increase in N-NH₃. On the other hand, BOD₅ has good correlation with OrgN. This implies that the organic nitrogenous compounds significantly contribute to the oxygen demand of a wastewater sample. Surprisingly, conductivity of the wastewater, which is representative of the concentrations of the ions in the wastewater, has no correlation with BOD₅. This lack of correlation indicates that the variations in the concentrations of ions in the wastewater may not affect the oxygen demand and the activity of the aerobic bacteria.

GEP provides a mathematical relationship between the inputs and outputs, thereby facilitating the analyses of the interactions between the input parameters as well as the effects of their variations on the output results under certain conditions. The availability of a function that can capture the complex relationships that exists between the parameters is crucial for developing insights that will facilitate accurate estimations of the target parameters. Such include BOD₅ and COD in the case of fluctuations in a WWTP's influent. On the other hand, the target parameters for this study, namely BOD₅ and COD can be associated with the energy consumption and aeration amount during the biological treatment. In addition, given the target BOD₅ and TSS removal value, the sludge production can be estimated, which could pave way for forecasting the operational parameters during sludge processing. This leads to extensive energy saving during the wastewater treatment processes.

8. Conclusions

This paper examines the utilization of several AI-based algorithms for predicting BOD₅ and COD of the influents of WWTPs. The data were

collected from the inflow of 7 municipal wastewater treatment plants in Hong Kong over a period of three years. Based on the various statistical tests undertaken, it was seen that the GEP-based model provides more accurate results as compared to the other AI-based techniques. Moreover, the sensitivity of the target parameters to the variations of the input parameters was assessed through Monte Carlo simulation. This study has led to three major contributions as follows. Firstly, a comprehensive mathematical expression for predicting BOD₅ and COD from TSS, NH₃, OrgN, OrgP and InorgP was developed and validated; the specific mathematical equation given by the GEP model provides insights on the contribution of each independent variable on estimation of BOD₅ and COD. Secondly, the most influential parameters on estimating BOD₅ and COD were revealed using the mathematical expressions developed by the GEP model; it was seen that TSS was the most influential parameter for the estimation of BOD₅ and COD. Thirdly, the uncertainty of the BOD₅ and COD values were assessed using MCS; it was observed that TSS had the highest level of sensitivity to the BOD₅ and COD, while InorgP was the most insensitive parameter in the developed model to predict both BOD₅ and COD.

The results of this work can be used to adjust the chemical dosage as well as estimate the aeration demand of the consecutive wastewater treatment stages in order to minimize the energy cost and production of greenhouse gases. Aside from the above-mentioned contributions, this study has some limitations which necessitate the corresponding future works as follows. Firstly, this study was unable to generate a comprehensive investigation of the correlations between the influent parameters and the energy and cost-related parameters in a wastewater treatment plants, due to the lack of data related to the energy and cost of the WWTPs' operation; thus, future endeavors need to develop a specific mathematical model for predicting energy saving of WWTPs' operation. Secondly, the lack of data on industrial wastewater in Hong Kong also impeded the ability of this study to compare the performances of predictive AI-based models for municipal and industrial wastewater treatment plants and water treatment plants. The third limitation of this study is associated with the WWTPs' prediction under different processes; thus, future research need to develop an AI-based predictive model by taking into account the activated sludge and other nitrogen and phosphorous removal processes. Finally, the quantitative evaluation of the impact of predicting wastewater parameters on reducing the energy consumption in the wastewater treatment plants is another limitation of this work, which needs to be considered in future research.

CRediT authorship contribution statement

Ehsan Aghdam: Data curation, Methodology, Software, Formal analysis, Investigation, Visualization, Validation, Writing – original draft, Writing – review & editing. **Saeed Reza Mohandes:** Conceptualization, Project administration, Supervision, Writing – original draft, Writing – review & editing. **Patrick Manu:** Writing – original draft, Writing – review & editing. **Clara Man Cheung:** Writing – original draft, Writing – review & editing. **Akilu Yunusa-Kaltungo:** Writing – original draft, Writing – review & editing. **Tarek Zayed:** Writing – original draft, Supervision, Funding acquisition.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

Acknowledgement

The authors gratefully acknowledge the support from the Environment Conservation Fund (ECF) under grant number ECF/058/2019 and the Drainage Services Department (DSD) of the Government of Hong Kong for providing the required data and case study.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jclepro.2023.137019>.

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