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Exploring Clinical Risk Factors for Breast Cancer among American Indian Women

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12 **Exploring Clinical Risk Factors for Breast Cancer among American Indian Women**

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Abstract

Objective: Very little is known about the breast cancer risk profile among American Indian women. Previous research shows that the proportion of American Indian/Alaska Native women with baseline characteristics (commonly known breast cancer risk factors) differs from other ethnicities. This retrospective case control study was designed to explore the association of these factors among American Indian women with and without breast cancer.

Methods: Cases and controls were retrospectively selected from the medical records of American Indian women who obtained their health care from Quentin N. Burdick Memorial Health Care Facility (IHS) in Belcourt, ND. For each woman with breast cancer (n=141), two controls were selected when possible (n=278). Risk factors examined included woman's age, age at first live birth, age of menarche, the number of previous benign breast biopsies, the total number of first-degree relatives with breast cancer, body mass index and parity. Odds ratios and 95% confidence intervals were calculated using logistic regression.

Results: Many of the associations found among American Indian women who obtained their health care from Quentin N. Burdick Memorial Health Care Facility (IHS) in Belcourt, ND, between risk factors commonly identified in other populations and breast cancer were weakly positive. Nulliparity was the only risk factor to consistently show a positive significant association (OR = 2.87, 95% CI 1.16-.7.12).

Conclusion: Disparities in breast cancer incidence, mortality and screening among Northern Plains American Indian emphasize the need to better understand the risk factors associated with breast cancer in this population. Based on the results of this study, the value of current risk prediction models in American Indian communities is uncertain and clinicians should be cautious in using these models to inform American Indian patients of their risk for breast cancer.

Keywords: American Indian, Breast Cancer, Epidemiology, Risk Factors, Health Disparities

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54 **Background**

55 American Indian women are significantly impacted by breast cancer incidence and
56 mortality. According to the American Indian Cancer Foundation, 1 in 8 American Indian women
57 will get breast cancer in their lifetime (1). Nationally, the incidence rates for breast cancer among
58 American Indian/Alaska Native females from 2014-2019 was 110.5 per 100,000 compared to
59 126.9 per 100,000 for all races combined (2). During this same period, the mortality rates for
60 breast cancer among American Indian/Alaska Native females were 17.8 per 100,000 compared to
61 19.9 per 100,000 for all races combined (2). Compared to White people, the risk of death for
62 cancer after adjusting for sex, age and stage at diagnosis is 51% higher in American
63 Indian/Alaska Native people (2).

64 Very little is known about the breast cancer risk profile among American Indian women.
65 In 2005, Chlebowski et al examined data from the Woman's Health Initiative for 156,570
66 postmenopausal women recruited from 40 health facilities across the United States, ages 50 to 70
67 years with American Indians/Alaska Natives representing 0.4% (n=696) (3). The proportion of
68 women with breast cancer risk factors by race/ethnicity was reported (3). Results showed that the
69 proportion of women with known risk factors including age, age at first live birth, age at onset of
70 menstruation, the number of previous benign breast biopsies, the total number of first-degree
71 relatives with breast cancer, BMI, and parity differs between other ethnicities and American
72 Indians/Alaska Natives. According to the study, American Indian women had a higher
73 percentage of first-degree relatives with breast cancer, a higher percentage of individuals whose
74 age at first occurrence of menstruation was older than age 14, a lower percentage who had never
75 given birth and generally a higher percentage of individuals with a BMI \geq 30 compared to other
76 ethnicities (3). However, the small number of American Indians/Alaska Natives included

77 (n=696, 11 of whom developed invasive breast cancer), limited the author's ability to make
78 conclusions about the associations of these factors with breast cancer (3).

79 Historically, breast cancer risk factor data has been used to inform the creation of breast
80 cancer risk assessment tools. A breast cancer risk calculator is a mathematical model that is
81 commonly used by physicians to determine a woman's risk of breast cancer. Physicians also use
82 information from these models to inform their patients about what risk factors are increasing
83 their risk of breast cancer. Some of the more commonly known calculators are Gail, and Rosner
84 and Colditz (4). Other prediction models have also been constructed by combining risk factors
85 from these commonly known models (4). Most models have been studied in White populations
86 and some models have been studied in mixed populations with White being the majority (4).
87 Only a few models have been developed using data from minority populations, Asians and
88 African Americans (4). These models did not perform as well as other Gail models (4,5). A
89 breast cancer risk calculator using data from American Indian women has not been developed.

90 This study explored risk factors included in the Gail model as well as BMI and parity for
91 an American Indian population in North Dakota. Given that the model was adjusted for the same
92 risk factors in other populations, it was hypothesized that the risk factors would be the same in
93 the American Indian population; however, the magnitude of the associations would likely differ.

94 **Research Methods**

95 **Study Design**

96 This study was a quasi-case-control study design and was undertaken to assess the
97 association between potential risk factors and breast cancer among a population of American
98 Indian women who obtained their health care from Quentin N. Burdick Memorial Health Care
99 Facility (IHS) in Belcourt, ND. The study was approved by the IHS Great Plains Institutional

100 Review Board (IRB) and the University of Minnesota IRB. The original study was completed
101 while lead author (Nadeau) was at the University of Minnesota, hence the IRB was included
102 from that location. A resolution in support of study was also passed by the Turtle Mountain Band
103 of Chippewa in Belcourt, ND.

104 An electronic database, the Resource and Patient Management System, at the IHS was
105 queried using ICD-9 code 174 to retrospectively identify patients with a breast cancer diagnosis
106 (cases) from May 1990 through January 29th, 2016. Case and control ethnicity was defined as
107 American Indian by virtue of their eligibility for care within the IHS and was subsequently
108 verified in each medical record. A total of 170 patients with a possible breast cancer diagnosis
109 were identified. A pathological diagnosis was confirmed for 141 (82.94%) patients. The
110 remaining 29 were eliminated for the following reasons: unable to find risk factor data (n=1),
111 unable to identify controls (n=2), unable to retrieve chart from archives (n=4), no previous
112 mammogram (n=9), and unable to confirm a pathological diagnosis for breast cancer (n=13).

113 For each case, we randomly selected at most two controls from women who had had a
114 mammogram at the facility and had not had a breast cancer diagnosis. Controls were matched so
115 their age was within 5 years as the cases. The two controls selected also had to have
116 mammograms that were the closest to the date (pre or post) of the diagnostic mammogram of the
117 case. Due to matching restrictions, there were four cases where we could only find one control.
118 This resulted in a total of 141 cases and 278 controls with an age range of 29 to 88 years of age.

119 **Data Collection**

120 Since 1986, the Quentin N. Burdick Healthcare Facility asked every woman undergoing a
121 mammogram to complete a brief questionnaire that included the following questions about risk
122 for breast cancer: date of birth, age at first pregnancy, menstrual history - age at onset, ever had

123 breast surgery (if yes, the patient is asked to specify mastectomy, biopsy, aspiration, other, right
124 and/or left, and date), and if any blood relative had breast cancer (if yes, the patient is asked to
125 specify mother, sister, grandmother, aunt, age of each relative identified and whether the relative
126 was maternal or paternal). These questionnaires, kept in the Radiology department, served as the
127 primary source of data for the study.

128 Each study participant was assigned a unique study ID which was linked to their chart
129 number on a list that was kept in a secure area at the IHS facility. Data was recorded for risk
130 factors included in the study. Date of previous benign breast biopsy, date of mastectomy and if
131 the patient had an active radiology, electronic, and hard chart on file was also recorded. It was
132 also noted whether review was completed for the radiology, electronic and medical chart files
133 (see Appendix Table 1A). Personal computers are not allowed in secured areas at the facility, so
134 all data was entered into an Excel spreadsheet off site. No identifying data was collected. When
135 possible, the first BMI documented before and after mammogram and number of live births was
136 collected from the electronic medical record. Otherwise, this information along with age at first
137 live birth was collected from the chart.

138 **Models and Variable Categorization**

139 Two approaches were taken to examine risk factors for breast cancer. In Phase I, risk
140 factors and the categorization used in the Gail model were included. In Phase II, risk factors were
141 categorized according to their distribution in the population of American Indian women.

142 The following Gail model categorization was used for Phase I of the analysis: age at
143 screening (<50 , ≥ 50), age at first live birth (<20 , 20 to 24, 25 to 29, ≥ 30 , never given birth), age
144 of onset of menstruation (<12 , 12 to 13, ≥ 14 years), the number of previous benign breast

145 biopsies (0, 1, ≥ 2), the number of first-degree relatives with breast cancer (0, 1, ≥ 2), BMI (<25,
146 25 to 30, >30), number of live births (1, 2, 3, 4, ≥ 5 , never given birth).

147 The distribution of the data was assessed and proposed new categories for Phase II of the
148 analysis. Compared to the general population, American Indian women in this study were
149 screened at an older age and were younger at age of first live birth (Appendix Table 2A). They
150 also had a lower number of previous benign breast biopsies and first-degree relatives with breast
151 cancer and a higher BMI and number of live births compared to the general population.

152 After review, the new categories were finalized for the study population and the data was
153 re-analyzed. The new categories used for Phase II of the analysis were as follows: age at
154 screening (<56, ≥ 56), age at first live birth (<18, 18 to 19, 20 to 21, ≥ 22 , never given birth), age
155 of onset of menstruation (<12, 12, 13, ≥ 14 years), the number of previous benign breast biopsies
156 (0, ≥ 1), the number of first-degree relatives with breast cancer (0, ≥ 1), BMI (<25, 25 to 29.99,
157 30 to 32.49, 32.50 to 34.99, ≥ 35), number of live births (1 to 2, 3 to 4, ≥ 5 , never given birth).

158 **Statistical Analyses**

159 The following statistical models were computed for both Phase I (original categories) and
160 Phase II (new categories) of the analyses: (1) logistic regression using the Gail model (woman's
161 age, age at first live birth, age of onset of menstruation, the number of previous benign breast
162 biopsies, and the total number of first-degree relatives with breast cancer), (2) logistic regression
163 of the Gail model with BMI added, and (3) logistic regression of the Gail model with both BMI
164 and parity added (first live birth was removed to avoid multicollinearity complications with
165 parity). Odds ratios (OR) along with 95% confidence intervals (CIs) were reported. The C
166 statistic was used to test the model a whole.

167

168 **Results**

169 Medical record review occurred retrospectively for 141 cases and 278 controls matched
170 by age of women and date of breast cancer screening at Quentin N. Burdick Memorial Health
171 Care Facility (IHS) in Belcourt, ND. Five variables from the Gail model for predicting risk of
172 breast cancer were used in this study (age at screening, age at first live birth, age at onset of
173 menstruation, number of previous benign breast biopsies, total number of first-degree relatives
174 with breast cancer). Table 2A in the appendix shows the distribution of these variables’
175 categories for cases and controls. There was little difference in these variables between cases and
176 controls for this population.

177 When a multivariate analysis restricted to the four risk factors included in the Gail model
178 was conducted, the association for breast cancer among women who were nulliparous were over
179 twice as likely to have breast cancer in comparison to women who first gave birth before age 20
180 (OR = 2.54, CI 1.07 to 6.02; Table 1). Onset of menstruation for woman 12 to 13 years old also
181 had increased risk of breast cancer relative to those who began after age 13 (OR = 1.62, CI 1.01
182 to 2.61). No other variables from the Gail model (age, previous biopsies, and relatives with
183 breast cancer) significantly increased the risk of breast cancer.

184 When BMI was included with the Gail model, the odds ratios for any variable changed
185 little (Table 1). No children and first menstruation at 12 or 13 were still significant. Age at first
186 live birth was then replaced with parity (number of live births). Again, there was little change in
187 age at menstruation. Women with no children had a threefold increase in risk of breast cancer
188 (OR = 3.02, CI 1.23 to 7.40) compared to women with five or more children. The c-statistic for
189 all models in the Phase I analysis ranged from .59-.61.

190 For Phase II of the analysis, new categories were proposed based on characteristics of the
191 female American Indian population sampled. The new categories were as follows: age at
192 screening (<56 , ≥ 56), age at first live birth (<18 , 18 to 19, 20 to 21, ≥ 22 , never given birth), age
193 of onset of menstruation (<12 , 12, 13, ≥ 14 years), the number of previous benign breast biopsies
194 (0 , ≥ 1), the number of first-degree relatives with breast cancer (0 , ≥ 1), BMI (<25 , 25 to 29.99,
195 30 to 32.49, 32.50 to 34.99, ≥ 35), number of live births (1 to 2, 3 to 4, ≥ 5 , never given birth).
196 When the risks based on new categories were analyzed, only beginning menstruation at age 12
197 was almost twice as likely as beginning after age 13 was significant (OR=1.81, CI 1.05 to 3.14;
198 Table 2). This was consistent when BMI was added to the model, and when age at first live birth
199 was replaced with parity. While age at first live birth was not a significant risk of breast cancer,
200 no children compared to having five or more children nearly tripled the risk of breast cancer (OR
201 = 2.87, CI 1.16 to 7.12). The c-statistic for all models in the Phase II analysis ranged from .61-
202 .63. Many of the associations found for American Indian women in North Dakota between risk
203 factors commonly identified in other populations and breast cancer were weakly positive with
204 confidence intervals including the null value.

205 **Discussion**

206 This study focused on retrospective medical chart review for 141 cases and 278 controls.
207 Aside from nulliparity or beginning menstruation at age 12, no associations were found for
208 American Indian women who obtained their health care from Quentin N. Burdick Memorial
209 Health Care Facility (IHS) in Belcourt, ND, between most of the risk factors commonly
210 identified in other populations and breast cancer. The risk factors that were expected to be
211 positively associated with breast cancer, for the most part, showed a null and/or an inverse
212 relationship. The c-statistic for all models in Phase I and Phase II of the analysis ranged from

213 .59-.63. This statistic indicates poor model discrimination which means that the models do not
214 contain variables that are strongly associated with the outcome of breast cancer. If the regression
215 models contained explanatory variables that were strongly associated with the outcome,
216 improved discrimination would be expected (6).

217 Risk factors for American Indian women in the Great Plains region may differ and/or
218 vary in magnitude compared to those identified in other populations. Additional breast cancer
219 risk factors have been identified over the past two decades but have not been included in any
220 models (4). These risk factors include oral contraceptive use, alcohol use, smoking, diabetes
221 mellitus, menopausal status, and breastfeeding. Several of these recently identified risk factors
222 are likely to occur more frequently in American Indian than other communities suggesting a
223 greater need to include them in predictive risk models.

224 It is unlikely that a single Breast Cancer Risk Assessment Risk Tool could be developed
225 that would work for all tribes or regions of American Indian women. A total of 574 tribes are
226 federally recognized across the United States, each one differing in their culture, environment,
227 healthcare, and behavioral health, some of them dramatically (7). As a result, the cancer burden
228 can vary significantly from tribe to tribe and these differences could impact the types of risk
229 factors that are present. Though we found little association of standard risk factors in our models,
230 that may not hold true for other tribal areas.

231 **Limitations**

232 The medical records we were able to use for this study were limited in the variables that
233 provided data. Other variables previously associated with breast cancer, such as smoking and
234 breast feeding, were unavailable. Further studies may have improved models if they include
235 these variables, reducing variance in the model. Our sample was limited to women who had a

236 mammogram. This may have biased the data if women are only likely to have a mammogram if
237 they have a problem or can easily obtain the procedure. Further, the matching between cases and
238 controls was not exact nor complete. Our conclusions could have been strengthened if the control
239 group was enlarged, or if more precise matching had been available.

240 Various studies have stratified data by looking at risk factors specific to premenopausal
241 women and/or postmenopausal women (8–10). Several factors that increase the risk of breast
242 cancer have been identified in postmenopausal women. Data can also be stratified by cancer type
243 which may have different risk factors when modeled independently that vary in magnitude. This
244 study could not conduct such analyses due to the small number of breast cancer cases and the
245 lack of information on breast cancer subtype. To accomplish this, future studies would need
246 information on breast cancer subtype and an ample sample size to maximize the power of
247 detecting a statistically significant comparison.

248 **Conclusion**

249 Tools to help gauge the risk of breast cancer for American Indian communities are non-
250 existent. The creation of validated models could potentially result in data driven estimates in an
251 easy-to-use format and would be useful for studying which factors increase the risk of breast
252 cancer among American Indian women. Existing data for white women is currently being used
253 to inform specific clinical decisions, plan intervention trials and counsel American Indian
254 women about their risk of the disease because data on American Indian women is limited (11).

255 Conducting this study was an important first step in gaining a better understanding of the
256 breast cancer risk factors among American Indian women in Belcourt, ND. The results support
257 the need to explore additional potential breast cancer risk factors in this population, such as oral
258 contraceptive use, alcohol drinking, active smoking, obesity, diabetes mellitus, menopausal

259 status, and breastfeeding. Since so little is known about the breast cancer risk profile for
260 American Indian women, future studies are needed for other American Indian tribes. Population-
261 based studies with an ample sample size to allow for stratified analyses while exploring multiple
262 breast cancer risk factors in other geographical regions with a substantial American Indian
263 population are needed.

264 It is important to have data driven, population-specific breast cancer risk estimates so
265 clinicians are better able to predict breast cancer risk at the individual and population levels.
266 Disparities in breast cancer incidence, mortality and screening among American Indians
267 emphasize the need to better understand the risk factors associated with breast cancer in this
268 population. Once breast cancer risk factors are identified, appropriate interventions can be
269 designed and implemented in order to reduce the breast cancer burden in American Indian
270 communities.

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280 The authors have no relevant interest(s) to disclose.

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APPENDIX

Table 1A. Radiology, electronic and medical chart review - percent complete for cases/controls.

	Cases		Controls	
	n	%	n	%
Total n=419	141	(100.0)	278	(100.0)
Radiology review	110	(78.0)	278	(100.0)
Electronic review	102	(72.3)	277	(99.6)
Medical chart review	70	(49.6)	141	(50.7)
No Radiology review	31	(22.0)	0	(0.0)

Table 2A. Prevalence of maternal characteristics from Gail model based on original and alternate categorizations.

Original Categories		Adjusted Categories			
	Cases N (%)	Controls N (%)		Cases N (%)	Controls N (%)
Age at screening					
<50	43 (30.5)	91 (32.73)	<56	69 (48.94)	137 (49.28)
≥50	98 (69.5)	187 (67.27)	≥56	72 (51.06)	141 (50.72)
Age at first live birth					
<20	72 (51.06)	139 (50.00)	<18	36 (25.53)	56 (20.14)
20 to 24	48 (34.04)	106 (38.13)	18 to 19	36 (25.53)	83 (29.86)
25 to 29	5 (3.55)	14 (5.04)	20 to 21	31 (21.99)	76 (27.34)
≥30	3 (2.13)	8 (2.88)	≥22	25 (17.73)	52 (18.71)
Nulliparous	13 (9.22)	11 (3.96)	Nulliparous	13 (9.22)	11 (3.96)
Age at onset of menstruation					
≥14	39 (27.66)	98 (35.25)	≥14	39 (27.66)	98 (35.25)
12 to 13	79 (56.03)	129 (46.40)	13	36 (25.53)	69 (24.82)
<12			12	43 (30.50)	60 (21.58)
	23 (16.31)	51 (18.35)	<12	23 (16.31)	51 (18.35)
Number of previous benign breast biopsies					
0	111 (78.72)	221 (79.50)	0	111 (78.72)	221 (79.50)
1	25 (17.73)	51 (18.35)	≥1	30 (21.28)	57 (20.25)
≥2	5 (3.55)	6 (2.16)			
Total number of first-degree relatives with breast cancer					
0	104 (73.76)	216 (77.70)	0	104 (73.76)	216 (77.70)
1	31 (21.99)	53 (19.06)	≥1	37 (26.24)	62 (22.30)
≥2	6 (4.26)	9 (3.24)			
BMI, kg/m²					
<25	15 (10.64)	29 (10.43)	<25	15 (10.64)	29 (10.43)
25-30	50 (35.46)	92 (33.09)	25 to 29.99	39 (27.66)	77 (27.70)
>30	76 (53.90)	157 (56.47)	30 to 32.49	34 (24.11)	66 (23.74)
			32.50 to 34.99	22 (15.60)	29 (10.43)
			≥35	31 (21.99)	77 (27.70)
Parity (# of live births)					
≥5	47 (33.33)	103 (37.05)	≥5	47 (33.33)	103 (37.05)
4	18 (12.77)	44 (15.83)	3 to 4	46 (32.62)	108 (38.85)
3	28 (19.86)	64 (23.02)	1 to 2	35 (24.82)	56 (20.14)
2	26 (18.44)	41 (14.75)	Nulliparous	13 (9.22)	11 (3.96)
1	9 (6.38)	15 (5.40)			
Nulliparous	13 (9.22)	11 (3.96)			

Table 1. Logistic regressions using risk factors from Gail model with additions of BMI and parity.

	Gail	Gail + BMI	Gail + Parity
	OR (95% C)	OR (95% C)	OR (95% C)
Age at screening			
<50 (ref)			
≥50	1.09 (.69-1.72)	1.10 (.69-1.74)	1.14 (.70-1.85)
Age at first live birth			
<20 (ref)			
20 to 24	.84 (.53-1.31)	.83 (.53-1.31)	
25 to 29	.72 (.25-2.12)	.72 (.25-2.10)	
≥30	.68 (.17-2.69)	.67 (.17-2.64)	
Nulliparous	2.54 (1.07-6.02)	2.52 (1.06-6.00)	
Age at onset of menstruation			
≥14 (ref)			
12 to 13	1.62 (1.01-2.61)	1.67 (1.03-2.70)	1.66 (1.03-2.70)
<12	1.14 (.61-2.15)	1.18 (.62-2.24)	1.21 (.64-2.29)
Number of previous benign breast biopsies			
0 (ref)			
1	.99 (.57-1.71)	.99 (.57-1.71)	.99 (.57-1.72)
≥2	1.71 (.50-5.91)	1.68 (.49-5.79)	1.66 (.49-5.73)
Total number of first-degree relatives with breast cancer			
0 (ref)			
1	1.26 (.75-2.10)	1.26 (.75-2.10)	1.25 (.75-2.09)
≥2	1.53 (.51-4.51)	1.54 (.52-4.57)	1.38 (.47-4.07)
BMI, kg/m²			
<25 (ref)			
25 to 30		1.06 (.51-2.19)	1.04 (.50-2.16)
>30		.88 (.44-1.78)	.87 (.43-1.75)
Parity (# of live births)			
≥5 (ref)			
4			.97 (.49-1.89)
3			1.04 (.58-1.87)
2			1.46 (.78-2.72)
1			1.32 (.53-3.29)
Nulliparous			3.02 (1.23-7.40)

Note: When parity was added to the model, age at first live birth, highly collinear, was removed.

Table 2. Logistic regressions using risk factors from Gail model with adjusted categories.

	Gail OR (95% C)	Gail + BMI OR (95% C)	Gail + Parity OR (95% C)
Age at screening			
<56			
≥56	.98 (.64-1.51)	.98 (.64-1.52)	1.03 (.64-1.65)
Age at first live birth			
<18			
18 to 19	.70 (.39-1.26)	.70 (.39-1.26)	
20 to 21	.63 (.34-1.15)	.63 (.34-1.16)	
≥22	.75 (.39-1.43)	.76 (.40-1.47)	
Nulliparous	1.99 (.79-5.02)	1.97 (.77-5.00)	
Age at onset of menstruation			
≥14			
13	1.35 (.77-2.38)	1.40 (.79-2.47)	1.44 (.82-2.54)
12	1.81 (1.05-3.14)	1.89 (1.08-3.31)	1.93 (1.10-3.38)
<12	1.05 (.55-2.00)	1.10 (.57-2.11)	1.20 (.63-2.27)
Number of previous benign breast biopsies			
0			
≥1	1.08 (.64-1.81)	1.06 (.63-1.79)	1.05 (.62-1.77)
Total number of first-degree relatives with breast cancer			
0			
≥1	1.29 (.80-2.09)	1.28 (.79-2.08)	1.27 (.79-2.06)
BMI, kg/m²			
<25			
25 to 29.99		1.04 (.49-2.19)	1.01 (.48-2.13)
30 to 32.49		.97 (.45-2.10)	.91 (.42-1.96)
32.50 to 34.99		1.44 (.61-3.41)	1.46 (.62-3.44)
≥35		.76 (.35-1.64)	.73 (.34-1.58)
Parity (# of live births)			
≥5			
3-4			1.00 (.59-1.70)
1-2			1.47 (.81-2.66)
Nulliparous			2.87 (1.16-7.12)

Note: When parity was added to the model, age at first live birth, highly collinear, was removed.