

1 **Full-depth eddy kinetic energy in the global ocean estimated**
2 **from altimeter and Argo observations**

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16 **Key Points**

- 17 • A new method is developed for estimating full-depth eddy kinetic energy from
18 satellite altimeter and Argo float data
- 19 • Mesoscale eddy structures are surface-intensified at low latitudes and deep-
20 reaching at high latitudes
- 21 • The total eddy kinetic energy in the global ocean is estimated to be about
22 3.1×10^{18} J

23

24 **Abstract**

25 Although the surface eddy kinetic energy (EKE) has been well studied using
26 satellite altimeter and surface drifter observations, our knowledge of EKE in the
27 ocean interior is much more limited due to the sparsity of subsurface current
28 measurements. Here we develop a new approach for estimating EKE over the full
29 depth of the global ocean by combining 20 years of satellite altimeter and Argo float
30 data to infer the vertical profile of eddies. The inferred eddy profiles are surface-
31 intensified at low latitudes and deep-reaching at mid- and high latitudes. They
32 compare favorably to the first empirical orthogonal function obtained from current
33 meter velocities. The global-integrated EKE estimated from the inferred profiles is
34 about 3.1×10^{18} J, which is close to that estimated from the surface mode (3.0×10^{18} J)
35 but about 30% smaller than that estimated from the traditional flat bottom modes
36 (4.6×10^{18} J).

37 **Plain Language Summary**

38 The ocean is full of mesoscale eddies, analogous to weather systems in the
39 atmosphere. Eddy kinetic energy in the surface ocean is generally well studied thanks
40 to the availability of satellite and drifter data. The subsurface eddy energy, on the
41 other hand, is not well known due to the relative lack of subsurface current
42 observations. Using vertical eddy structures inferred from satellite altimeter and Argo
43 float data, we provide the first observational estimate of eddy kinetic energy over the
44 full depth of the global ocean. Our results have important implications for
45 understanding the ocean energy budget and for representing the effects of mesoscale
46 eddies in ocean and climate models.

47 **1. Introduction**

48 Satellite altimetry reveals that the surface ocean is strongly turbulent, populated
49 with mesoscale eddies from tens to hundreds of kilometers in size. These are

50 generated by barotropic and baroclinic instabilities of the large-scale flow (Gill et al.
51 1974; Chelton et al. 2011; Ni et al. 2020a). These eddies dominate the ocean's kinetic
52 energy spectrum and play a crucial role in transporting climatically important
53 properties such as mass, heat and carbon (Wunsch 1999; Zhai et al. 2010; Zhang et al.
54 2014; Conway et al., 2018; Ni et al. 2020b).

55 A key metric commonly used to measure the strength of mesoscale eddies is the
56 eddy kinetic energy (EKE). Diagnosing and characterizing global EKE from
57 observations is important not only for understanding the ocean energy budget, but
58 also for developing mesoscale eddy parameterizations for ocean and climate models.
59 Such parameterizations often require solving an explicit eddy energy budget to
60 determine the magnitude of eddy transfer coefficients (e.g., Eden and Greatbatch
61 2008; Marshall and Adcroft 2010; Marshall et al. 2012; Mak et al. 2018; 2022). One
62 of the unknowns is the vertical structure of the eddy energy. Although the surface
63 EKE in the global ocean has been well studied using geostrophic velocity anomalies
64 derived from satellite altimeter and surface drifter data (Stammer 1997; Wunsch and
65 Stammer 1998; Yu et al. 2019), the subsurface EKE remains poorly characterized and
66 understood due to the limited spatio-temporal coverage of direct current observations
67 in the ocean interior (Wunsch 1997; de La Lama et al. 2016).

68 One way to estimate the full-depth EKE is to project altimeter-inferred surface
69 geostrophic currents downward in the water column, which requires knowledge of the
70 vertical structure of the eddies. It is common to use linear dynamical modes to deduce
71 vertical eddy modal structures from the climatological ocean density field, e.g., the
72 barotropic mode and first baroclinic modes for a flat-bottomed ocean (Wunsch 1997)
73 and, more recently, the surface mode, which assumes vanishing bottom velocity (de
74 La Lama et al. 2016, LaCasce 2017). However, given the assumptions and
75 uncertainties associated with these dynamical mode methods, the applicability of
76 using vertical mode structures to estimate full-depth EKE on a global scale is not
77 clear. On the other hand, the global array of Argo profiling floats has collected vertical
78 profiles of temperature and salinity in the upper 2000 m of the global ocean for more

79 than two decades. Combining millions of Argo float observations with concurrent
80 altimeter data potentially provides a novel way of deriving the vertical structure of
81 ocean eddies (Wunsch 2008; Mulet et al. 2012; Ni et al. 2020a), which can then be
82 used to estimate the full-depth EKE and compare with the results obtained from the
83 dynamical mode approach.

84 **2. Data processing**

85 The daily $1/4^\circ \times 1/4^\circ$ altimetric sea level anomaly (SLA) data provided by
86 Copernicus Marine Environment Monitoring Service used here span a 20-year period
87 from 1998 to 2017. Each SLA map is spatially filtered using a high-pass Gaussian
88 function (Chelton et al. 2011; Xu et al. 2016; Ni et al. 2020a)

$$89 \quad G(k, l) = 1 - e^{-\frac{k^2 + l^2}{2\sigma^2}}, \quad (1)$$

90 where k and l are wavenumbers in the zonal and meridional directions, respectively,
91 and the standard deviation σ corresponds to a half-power cutoff wavelength of 20° .
92 This cutoff threshold removes the majority of large-scale signals related to
93 heating/cooling and wind forcing but preserves mesoscale signals associated with the
94 eddies (Fig. S1).

95 The Argo float profiles that pass the quality control are obtained from the China
96 Argo Real-time Data Center for the same 20-year period. For each Argo profile,
97 potential density is calculated from temperature and salinity measurements and
98 linearly interpolated in the depth range of 10-1800 m at an interval of 10 m. The
99 potential density anomaly (ρ') associated with mesoscale eddies is obtained by
100 subtracting from an Argo profile a local climatological profile. This climatological
101 profile is computed from averaging all the Argo profiles inside a bin of $5^\circ \times 5^\circ$ (and
102 collected within 45 days in each calendar year) centered at the profile under
103 consideration (Zhang et al. 2013; Ni et al. 2021). The eddy pressure anomaly (P') is
104 then calculated by integrating the hydrostatic equation downward from the surface
105 (Wunsch 2008; Mulet et al. 2012; Ni et al. 2020a):

106
$$P' = \rho_{top}g\eta + \int_z^0 \rho'gdz, \quad (2)$$

107 where ρ_{top} is the shallowest density record of an Argo profile, g is gravity, and η is the
108 SLA at the location of the profile. The approach of integrating the hydrostatic
109 equation downward from the surface is preferred compared to integrating upward
110 from a hypothetical level of no motion, as many eddies are deep-reaching (e.g., van
111 Aken et al. 2003; Adams et al. 2011; Petersen et al. 2013).

112 The World Ocean Atlas 2018 (WOA18) climatological hydrological data,
113 provided by the U.S. National Centers for Environmental Information with a spatial
114 resolution of 1° , are used to extrapolate vertical eddy structures in the deep ocean as
115 well as calculate the linear dynamical modes. Current meter records are obtained from
116 the U.S. National Centers for Environmental Information during the period from 1962
117 to 2005. These data are used to deduce the empirical orthogonal function (EOF)
118 modes of the subsurface currents. Following de La Lama et al. (2016), the time series
119 of the current velocity records are low-pass filtered with a Butterworth filter to
120 remove periods shorter than one day. After that, we select only current-meter
121 moorings that satisfy the following three criteria: 1) The mooring contains
122 instruments at least at three different depths, 2) the records are longer than 90 days
123 and 3) the top instrument is located at a depth shallower than 1500 m and the bottom
124 instrument deeper than 3000 m.

125 **3. Composite eddy structures**

126 Mesoscale eddies are first identified from the high-pass-filtered SLA maps using
127 an eddy detection method based on SLA geometry (Chelton et al. 2011; Ni et al.
128 2020a; 2020b). In total, about 29 million eddy snapshots are identified in the global
129 ocean over the 20-year period. Then, over 1 million pressure anomaly profiles are
130 calculated from Argo floats located inside and around the eddies; these are used to
131 obtain the vertical eddy structures via composite analysis (Chaigneau et al. 2011; Ni
132 et al. 2020a; Ni et al. 2021). Note that the signs of the pressure anomaly profiles

133 associated with cyclonic eddies are reversed before the composite analysis, since both
134 types of eddies have similar shapes (Zhang et al. 2013). A detailed description of eddy
135 identification and composite analysis methods is provided in the Supporting
136 Information. We then composite the vertical eddy structures on a global $2^\circ \times 2^\circ$ grid
137 using $10^\circ \times 10^\circ$ bins centered at each grid point. A bin size of $10^\circ \times 10^\circ$ is used to ensure
138 that there are sufficient Argo float profiles for the analysis at each grid point (Fig. S2).

139 Consider the Northwestern Subtropical Pacific Ocean region (NSPO; [135°-
140 145°E, 15°-25°N]) and the Gulf Stream region (GS; [51°-61°W, 31°-41°N]), where
141 marked differences occur in the vertical structures of composite eddies (Figs. 1a and
142 b). The magnitude of eddy surface pressure anomaly in the NSPO region (~ 0.15 dbar)
143 is only about half that in the GS region (~ 0.33 dbar). Furthermore, the composite eddy
144 in the NSPO region displays a surface-intensified bowl-shaped vertical structure, with
145 the pressure anomaly decreasing rapidly with depth, in line with the shallow eddy
146 density anomaly (Fig. S3a). In contrast, the composite eddy in the GS region shows a
147 funnel-shaped vertical structure, consistent with a deep-reaching eddy density
148 anomaly (Fig. S3b). Similar eddy structures have been reported previously from in-
149 situ current observations (e.g., De Mey and Robinson 1987; Wunsch 1997; Martin et
150 al. 1998; de Ruijter et al. 2002; van Aken et al. 2003). To assess the robustness of
151 these structures obtained through downward integration of the hydrostatic equation
152 using altimeter and Argo data, we made a similar analysis in the GS region in two
153 different ways using HYCOM reanalysis output; this yielded very similar results
154 (Supporting Information; Fig. S4).

155 Figure 1c shows the latitudinal variations of the composite vertical eddy
156 structures, obtained by averaging pressure anomalies of the composite eddies within
157 one eddy radius from the eddy centers in 10° latitude bands. These vertical structures,
158 normalized by their surface values, decay monotonically with depth in the upper 1800
159 m where Argo float data exist (black curves), consistent with previous research
160 (Zhang et al. 2013; Ni et al. 2020a). We then apply an exponential function to fit the
161 normalized structure in each 10° latitude band and extrapolate these vertical eddy

162 structures to the deep ocean using a stretched vertical coordinate $z_s = \int_{-H}^0 N/f dz$,
163 where N is the buoyancy frequency estimated from WOA18, f is the Coriolis
164 parameter and H is the depth of ocean bottom. Figure 1c shows that the best-fitting
165 exponential function resembles very well the composite profile in the upper 1800 m in
166 all latitude bands. The eddy vertical structures are significantly surface-intensified at
167 low latitudes but deep-reaching at mid- and high latitudes. Note too that composite
168 profiles generally do not change sign with depth.

169 **4. Comparison with first EOF mode**

170 Previous studies (e.g. Müller and Sielder 1992; de la Lama et al. 2016) have
171 shown that the first EOF mode captures a substantial fraction of the subsurface
172 velocity variance, often exceeding 80% at current meter locations. As a further check,
173 we compare the vertical structures with the first EOFs obtained from 144 current
174 meter moorings located 5° poleward of the Equator that pass the selection criteria (see
175 Section 2). Figure 2a shows the global distribution of the moorings, which are most
176 abundant in the Atlantic Ocean. We obtain the first EOF mode at each mooring (see
177 Supporting Information) and then average the EOF modes separately for the 39
178 selected current meter moorings located at low latitudes ($<30^\circ$) and 105 current meter
179 moorings at high latitudes ($>30^\circ$). The averaged first EOF modes (black curves in Fig.
180 2) are found to decay monotonically with depth and then remain relatively constant
181 below about 1500 m at low latitudes and below about 2000 m at high latitudes,
182 exhibiting a funnel-shaped structure. Note that on most moorings the uppermost
183 current meter is typically positioned a few hundred meters below the sea surface; the
184 extrapolation of EOFs to the sea surface is not straightforward (Wunsch 1997).

185 We then composite the vertical eddy structure (red curves) using only Argo float
186 data within a circle of a radius of 2.5° centered at the location of each mooring and
187 extrapolate below 1800 m depth using the exponential fit. The resulting vertical eddy
188 structure closely resembles the average first EOF mode at both low and high latitudes.

189 We also derive the linear surface mode (blue curves) and first baroclinic mode (orange
190 curves) using the WOA18 climatological density profiles at the locations of current
191 moorings (Supporting Information). Compared with the first EOF mode, the surface
192 mode decreases more slowly with depth in the upper ocean and more quickly in the
193 deep ocean, although it lies within one standard deviation of the first EOF modes. The
194 more rapid attenuation of the surface mode in the deep ocean is probably due to the
195 assumption of zero bottom velocities, together with the absence of a bottom boundary
196 layer (LaCasce 2017). By contrast, the first baroclinic mode decays much faster with
197 depth than the first EOF mode and switches sign at ~ 1500 m. Our EOF analysis of
198 current meter data thus shows that deducing vertical eddy structures from a
199 combination of altimeter and Argo float data provides a promising way of projecting
200 surface currents downward in the water column to obtain the full-depth horizontal
201 eddy velocities.

202 **5. Full-depth EKE**

203 To estimate the global time-mean EKE over the full water depth, we first derive
204 the surface geostrophic current velocities from the high-pass-filtered SLA maps
205 assuming geostrophic balance (Ni et al. 2020a). The surface EKE is calculated from

$$206 \quad EKE_0 = \frac{u_0^2 + v_0^2}{2}, \quad (3)$$

207 where u_0 and v_0 are the zonal and meridional components of surface geostrophic
208 currents, respectively. The surface geostrophic velocities are then projected downward
209 using the estimated vertical eddy structures to obtain the subsurface geostrophic
210 velocities:

$$211 \quad u(z) = u_0 \cdot F(z), \quad (4)$$

$$212 \quad v(z) = v_0 \cdot F(z), \quad (5)$$

213 where $F(z)$ is the vertical eddy structure normalized by its surface value in each bin
214 and z is the depth. Note that the composite (black curves in Fig. 1c) and extrapolated
215 (red curves in Fig. 1c) eddy structures are used for depths above and below 1800 m,

216 respectively. Combining (3)–(5), EKE over the whole water column can be estimated
217 by

$$218 \quad \overline{EKE(z)} = \overline{EKE_0} \cdot F(z)^2, \quad (6)$$

219 where the overbars indicate the time mean. Figure 3 shows that the spatial patterns of
220 EKE at different depths resemble that at the surface (as they must), with large values
221 near the western boundary currents and the Antarctic Circumpolar Current. The EKE
222 is $O(1000) \text{ cm}^2 \text{ s}^{-2}$ at the surface and can reach $O(100) \text{ cm}^2 \text{ s}^{-2}$ even at 4000 m depth
223 in these strong current regions, while it is rather small below 500 m in the rest of the
224 ocean. The hotspots of high EKE in the deep ocean of the western boundary current
225 and the Antarctic Circumpolar Current regions suggest potentially elevated eddy
226 energy dissipation rates there as a result of eddy-topography interaction (Yang et al.
227 2021).

228 For comparison, we apply the linear baroclinic modes to estimate the full-depth
229 EKE in the global ocean. These are derived from the WOA18 climatological density
230 field (Supporting Information; LaCasce 2017; LaCasce and Groeskamp 2020). We
231 calculate both the standard (flat bottom) baroclinic modes and the surface modes
232 (with zero flow at the bottom) and use both to project the surface EKE downward into
233 the ocean interior. For the flat bottom modes, we assume that (1) EKE in the ocean is
234 dominated and approximately equipartitioned by the barotropic and first baroclinic
235 modes and (2) altimeter data reflects mostly the first baroclinic mode in the open
236 ocean, following Wunsch (1997).

237 Figure 4 shows the depth-integrated $EKE \int_{-H}^0 \rho_0 \cdot \overline{EKE(z)} \cdot dz$ (where H is the
238 depth of the ocean and ρ_0 is the reference density) estimated from the three
239 approaches. The overall large-scale spatial patterns are similar, with elevated EKE
240 levels in the western boundary current and the Antarctic Circumpolar Current regions.
241 However, the magnitude of the depth-integrated EKE estimated based on the
242 traditional flat bottom modes is significantly greater than those estimated from the
243 other two approaches. The global-integrated EKE estimated from the composite eddy
244 structures is about $3.1 \times 10^{18} \text{ J}$, which is close to that estimated based on the surface

245 mode (3.0×10^{18} J) but about one-third smaller than that estimated from the flat bottom
246 modes (4.6×10^{18} J).

247 **6. Conclusions**

248 Based on satellite altimeter and Argo float observations over two decades (1998-
249 2017), we provide the first estimate of full-depth EKE in the global ocean. The
250 vertical eddy structures obtained from composite analysis of altimeter and Argo data
251 are surface-intensified at low latitudes but deep-reaching at mid- and high latitudes.
252 These vertical eddy structures closely resemble the first EOF modes and thus offer a
253 promising new means of projecting surface currents downward in the water column to
254 obtain the full-depth horizontal eddy velocities. The resulting EKE is large at all
255 depths near the western boundary currents and the Antarctic Circumpolar Current,
256 with a global total of about 3.1×10^{18} J.

257 Given the importance of EKE for the ocean circulation, tracer transport and
258 energy cascades (Ferrari and Wunsch 2010), our full-depth estimates have important
259 implications for understanding the ocean energy budget as well as for developing
260 energetically-consistent eddy parameterization schemes (Eden and Greatbatch 2008;
261 Marshall and Adcroft 2010; Marshall et al. 2012; Mak et al. 2018). Furthermore, the
262 newly-estimated full-depth EKE provides a new reference for validating eddy-
263 permitting and eddy-resolving ocean models, moving beyond the current standard
264 practice of comparing model-simulated surface EKE with those derived from
265 altimeter and drifter data (Scott et al. 2009; Rieck et al. 2015; Yu et al. 2019). Future
266 improvements of the full-depth EKE estimates will benefit from continuous
267 deployment of Argo profiling floats, including deep Argo floats that profile down to
268 near the sea floor, particularly in regions where the current numbers of Argo floats are
269 low.

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276 **Data availability statement**

277 All the data used in this study are publicly available. The satellite altimeter data are
278 available at
279 https://data.marine.copernicus.eu/product/SEALEVEL_GLO_PHY_L4_MY_008_04
280 [7/description](#), the Argo float data are available at
281 <https://argo.ucsd.edu/links/#National>, the WOA18 climatological data are available at
282 <https://www.nodc.noaa.gov/OC5/woa18/woa18data.html>, the current meter data are
283 available at [https://www.ncei.noaa.gov/access/data/global-ocean-currents-](https://www.ncei.noaa.gov/access/data/global-ocean-currents-database/cmportal.html)
284 [database/cmportal.html](https://www.ncei.noaa.gov/access/data/global-ocean-currents-database/cmportal.html) and the reanalysis data from the HYCOM simulation are
285 available at <http://tds.hycom.org/thredds/catalog.html>.

286 **References**

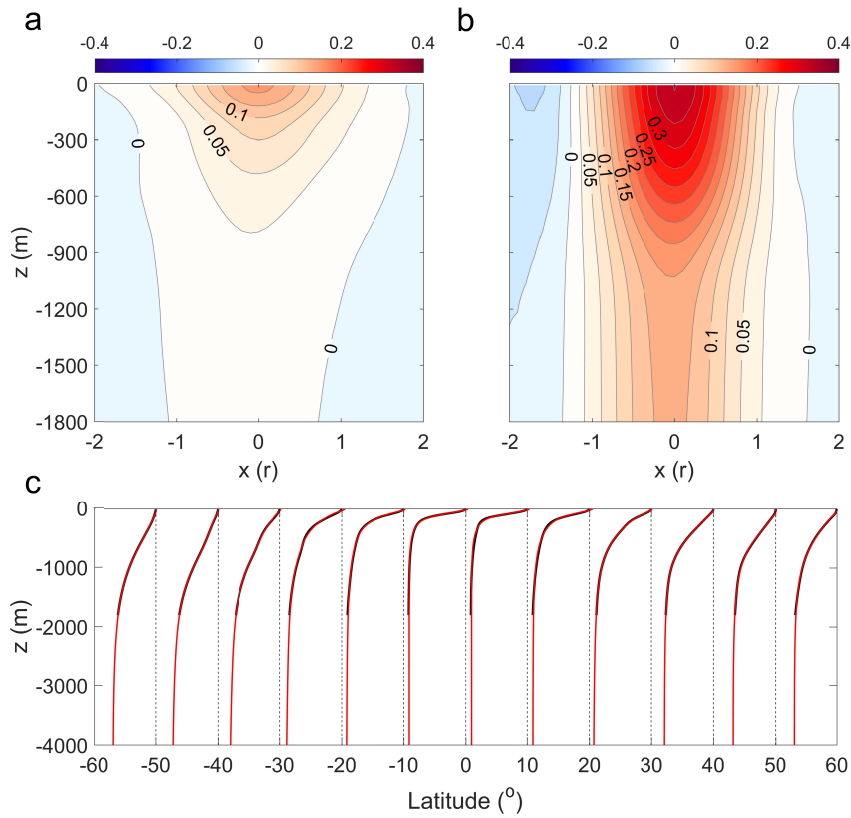
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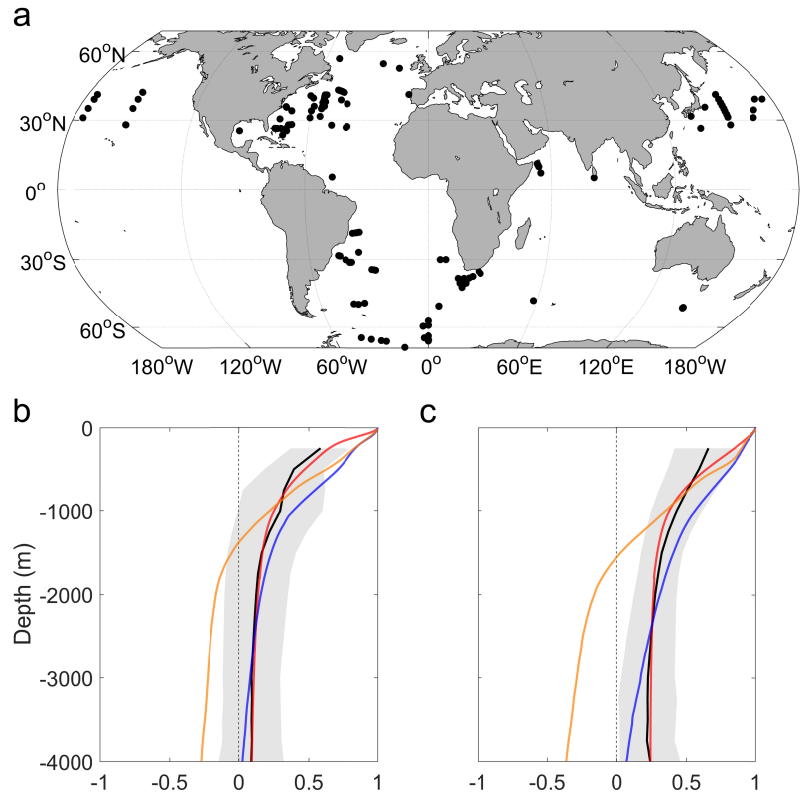
384 **Figures**



385

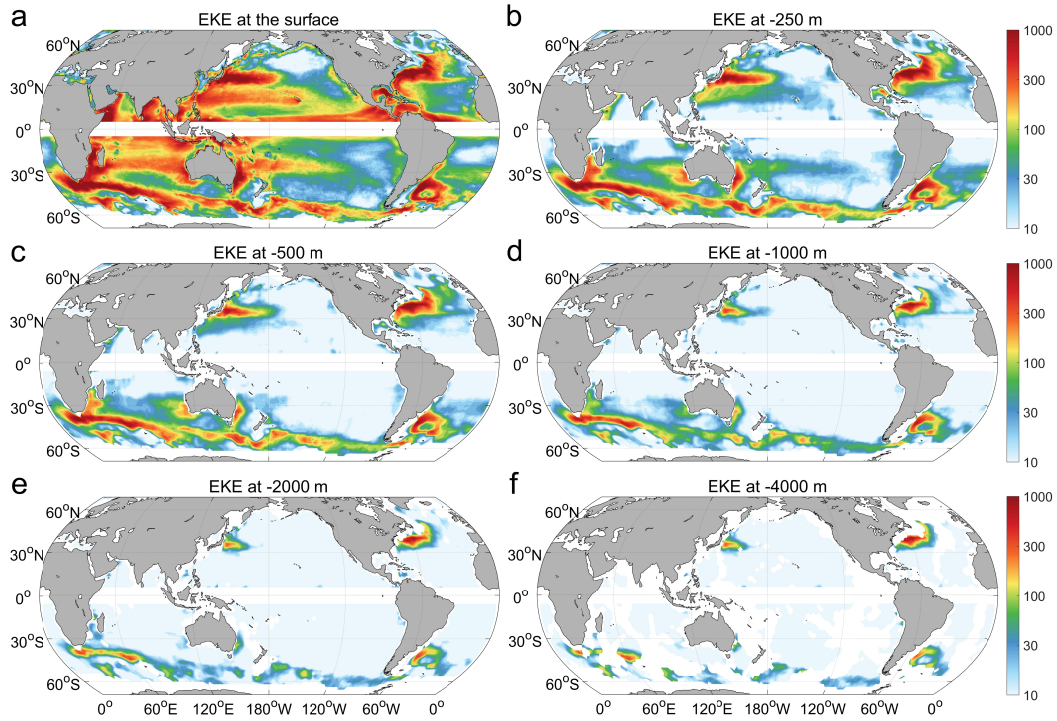
391 Figure 1. Vertical sections of pressure anomalies (dbar) at $y = 0$ associated with the
 392 composite eddies in (a) the Northwestern Subtropical Pacific Ocean and (b) the Gulf
 393 Stream. (c) Vertical pressure anomaly profiles (black curves) averaged within one
 394 eddy radius (r) from the eddy centers and normalized by the surface values for every
 395 10° of latitude. The red curves show best-fit exponentials. Note that Argo profiles
 396 located within 5° of the Equator are excluded from the composite analysis.

392



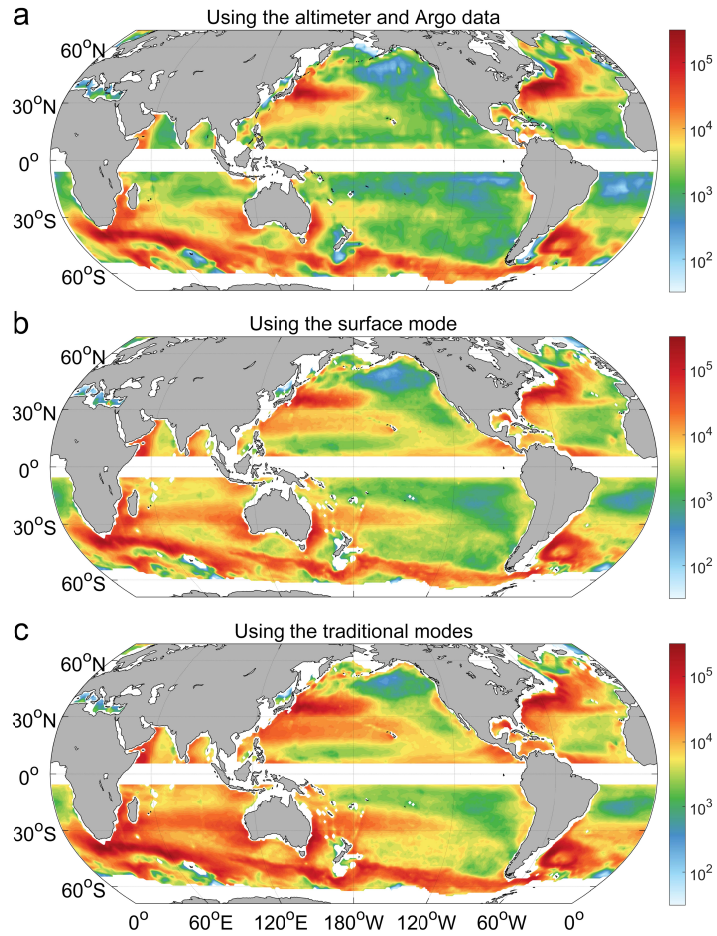
393

401 Figure 2. (a) Locations of the selected current-meter moorings in the global ocean. (b)
 402 Mean (black curve) and one standard deviation (grey shading) of the first EOF modes
 403 derived from the current meter moorings located at latitudes lower than 30° in Fig. 2a.
 404 The red curve shows the vertical eddy structure composited using the Argo data inside
 405 a circle with a radius of 2.5° centered at each mooring. Note that the eddy structure
 406 below 1800 m of the red line is obtained by the exponential fit. The blue and orange
 407 curves show the averages of the surface modes and first baroclinic modes at the
 408 mooring locations, respectively. (c) As Fig. 2b but for latitudes higher than 30° .
 402



403

406 Figure 3. Global distributions of eddy kinetic energy (EKE; $\text{cm}^2 \text{s}^{-2}$) estimated using
 407 the altimeter and Argo observations at (a) the surface and at (b) -250 m, (c) -500 m,
 408 (d) -1000 m, (e) -2000 m and (f) -4000 m.



407

410 Figure 4. Global patterns of depth-integrated EKE (J m^{-2}) estimated from (a) the
 411 altimeter and Argo data, (b) the surface mode and (c) the barotropic and first
 412 baroclinic modes.