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# pH-equilibration of human hair: Kinetics and pH-dependence of the partition ratios for $H^+$ – and $OH^-$ -ions based on a Freundlich isotherm

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## ABSTRACT

Hair is an insoluble, fibrous,  $\alpha$ -keratinous, protein composite material, providing outer coverage, e.g., for mammals. In the context of a wider study on the effects of pH on human hair properties, we investigated the time-dependence of pH-equilibration study across the acid and the basic pH-range, using appropriate pure solutions of hydrochloric acid and sodium hydroxide. The results show that pH-equilibration follows essentially equal 1<sup>st</sup>-order kinetics across the pH-range. The characteristic process time does not change significantly and is in the range of 2.5–5 h. The analysis enables to determine the equilibrium uptakes of  $H^+$ - and  $OH^-$ -ions. These follow the expected U-shaped path across the pH-range. For both acidic and alkaline conditions, data are well described by two very similar sorption isotherms of the Freundlich-type. In consequence, partition ratios for both ions are highest near neutrality (pH 7: >6000) and drop off strongly towards low and high pHs (<50). Hair is thus a very strong 'sink' for  $H^+$  and  $OH^-$ . This observation fundamentally challenges traditional views of limited ion uptake, namely, in the mid-pH-range due to hindered diffusion. It also does not support considerations on special roles of certain pHs, specific groups of amino acids, or morphological components. Our analysis thus suggests that established views of the interaction of hair and pH need to be reconsidered. The Freundlich isotherm approach appears to provide a versatile tool to refine our understanding of the interactions of hair and possibly other keratinous materials (horn, nail, feathers) with acids and bases.

## 1. Introduction

Human hair is a complex biological composite material. It is part of a larger group of keratins, which form the outer covering of higher vertebrates (hair, horn, nail, hoof, feather). As such they have a wide range of principal functions ([1], [2]). The main components of the hair structure are an outer protective layer (cuticle,  $\approx$  10% of fibre material) and a fibre core (cortex,  $\approx$  90%). The cortex is essentially a fibre/matrix composite, comprising partly  $\alpha$ -helical intermediate filaments (IF) embedded in amorphous IF-associated material ([3]; [1]; [4]). All of these components contain a wide variety of proteins with individual amino acid sequences to enable specific secondary, tertiary and quaternary structures [5,2,6]. The amino acids may be grouped according to

the nature of their side-groups as being acidic, basic or neutral [6]. There are approximately equal amounts of negatively and positively charged amino-acids, providing electric neutrality [4,7,8].

With the high amounts of acidic and basic side-groups in the proteins of hair, the pH of the liquid environment, when treating human hair in a cosmetic context, has a profound impact on the physical and chemical properties of the fibres as well as on the outcome of the specific treatment [4]. This includes effects from interactions with a wide variety of electrolytes, charged polymers etc., which are applied in cosmetic processes and products ([9]; [10,11]; [12]; [13,14]).

The basis of the effects of acidic or basic pH on the hair proteins in an aqueous environment may be seen as physio-sorption of the ions according to the following two, basic schemes [15,16]:

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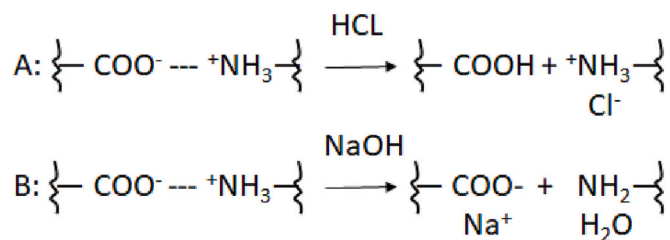


Fig. 1. A: Breaking of the ‘salt links’(---) between an anionic and a cationic side- or end- group with a strong acid (HCl). B: As (A) but for a strong base (NaOH) (adapted from [15]).

The left-hand sides of Eqs. 1A and 1B in Fig. 1 describe the so-called ‘salt-link’ [17]. It is also referred to as ‘Coulombic’- [3,18] or simply as ‘ionic’-interaction’. This type of interaction plays an important role for the stability and properties of the structures of hair on all levels [3]. The ionic interactions are broken by acid or base (right side of Eqs. 1A and 1B in Fig. 1).

The rate constants for the reactions in Fig. 1 as well as the extent of each at a given pH will depend on a variety of factors. The most important of these are expected to be the specific  $pK_A$ s of the side groups of the amino acids with a further, comparatively small contribution from the protein end groups [15,19]. In view of Eqs. 1A and 1B (see Fig. 1), we consider the processes for  $H^+$  and  $OH^-$ -uptake, primarily, as physiosorption, driven by ionic interactions.

Over a rather wide range of pH on both sides of neutrality (approx.  $> \approx \text{pH } 4 - < \approx \text{pH } 10$ ) fibres take up little  $\text{H}_3\text{O}^+$  -, or more simply  $\text{H}^+$  -, as well as  $\text{OH}^-$ -ions [15,4]. This range was originally referred to as ‘isoelectric or neutral range’ [15,4]. This special behaviour [4] is generally associated with hindered diffusion for the ions in that range, which is overcome, namely, on the acid side around the isoelectric point (pH 2.5–4.5; [20]; [21]; [22]).

The traditional and simple way to study the interaction of keratin fibres, such as wool and hair, is to immerse the sample in an aqueous solution of known pH and then to determine the pH after equilibration. [17]. With a specific emphasis on wool textile processing, these studies were, e.g., done for a variety of strong acids [23] and under the influence of salts [24] and at different temperatures [25]. An intensive discussion was held in the 1940–60s on theories to, namely, explain and model the effects seen in a wide variety of experiments [15,7], as more recently reviewed by Lewis and Rippon [26].

Studies on hair followed much later and remain comparatively scarce. These were usually done using automatic titration technology to follow changes of  $\text{H}^+$ - and  $\text{OH}^-$ -ion concentrations at constant pH [27,28].

In the context of a wider investigation on the effects of pH on hair [29,30], we found that there was little quantitative data on the time-dependence of pH-equilibration. We aimed at a suitable experimental process to obtain hair samples with well-defined uptakes of  $\text{H}^+$ - as well as  $\text{OH}^-$ -ion samples, as basis to study pH-related changes of hair.

For our studies, we furthermore required an analytical model for the relationship between pH of the immersion solution and  $\text{H}^+$  or  $\text{OH}^-$ -uptake of hair.

In view of the experimental simplicity [17,31], we opted for the pH-equilibration method ([32]; [30]). When immersing a hair tress into an aqueous solution of defined pH, pH-changes could be easily monitored either continuously or discontinuously at appropriate points in time.

The data by Hardie [30] were the basis to model the time-dependence of pH-equilibration. These were supplemented by experimental data for equilibration pH after 24 h [29]. These turned out to effectively reflect equilibrium conditions, determining the final uptake of hydrogen as well as hydroxyl -ions into hair for a given aqueous pH. This enabled to determine the pH-dependent partition for  $\text{H}^+$  and  $\text{OH}^-$

between the solid (hair) and the liquid (aqueous phase), leading to a plausible alternative to the traditional analytical models for the sorption of the ions [15,26,7].

## 2. Materials, methods and basic results

### 2.1. Hair material

All experiments [29,30] were conducted on commercial, medium brown, untreated, Caucasian hair (Kerling International, Backnang, Germany). The material was used in the form of 20 cm long tresses. 1 g ( $\pm 0.002$  g) of tress material was taken from a larger bulk and tied in the middle with a polyester thread. The material was standardised by immersion into 1 L of de-ionised water (pH 6.3) for 24 h under constant shaking (30 rpm). Subsequently, the tress was left to air-dry under ambient conditions (approx. 22 °C, 50% relative humidity). The results of the subsequent pH-equilibration experiments were not adjusted for changes of tress weight due to water up-take. Under ambient room conditions, hair is expected to contain about 10–12% water (regain). Upon immersion in water, uptake is expected to rise to about 25% [4,33]. Using ambient conditions as reference for the state of hair is common practice in hair cosmetic research to aid experimental simplicity and consistency.

### 2.2. pH-equilibration

A hair tress, after the standardisation treatment, was placed into a beaker containing HCl- or NaOH -solution of adjusted pH ([30,32]). Nitrogen was used to provide and maintain an inert atmosphere above the solution. Samples were maintained at 25 °C and under constant mild shaking (30 rpm). The mechanical movement of the tress in the solution ensured material immersion and wetting during the experiment. The pH of the solution was monitored over a period of 24 h. Data were retrieved intermittently and at times being approximately equidistant on a log-time scale. Experiments were conducted at a high liquor ratio (HLR) (1 g hair/1 L) for the pH-range around neutrality (approx. pH 4–7 and pH 7–8), that is at low  $\text{H}^+$ - and  $\text{OH}^-$ -concentrations. To ensure that at lower and higher pHs and thus higher ion concentrations changes of pH could be determined, a low liquor ratio (LLR) was used (1 g hair/100 mL). Both liquor ratios were used for pH 4 and pH 8. Experiments were conducted at least in duplicates. In prior experiments [29] initial and final pH (after 24 h) of a solution were determined for LLR-condition at room temperature ( $24 \pm 3$  °C) and for pH 1.6 – pH 12. Tests were in conducted in duplicates, under inert atmosphere and with mild stirring.

We do not expect relevant acid hydrolysis of hair proteins for the chosen conditions [5,7]. However, the situation will be somewhat different for alkaline conditions, namely, above  $\approx \text{pH } 10$  [34,15,7]. We discuss this matter below in qualitative terms but have not taken it into quantitative considerations [25] at this stage of our investigation.

### 2.3. Basic equilibration curves

Figs. 2 and 3 shows typical pH(log t) -data for HLR- and LLR-experiments in the experimental range. For both pH-ranges, the data show an overall sigmoid course. Through  $\text{H}^+$ - and  $\text{OH}^-$ -ion absorption by hair, pH rises for acidic (see Fig. 2) and falls for alkaline conditions (see Fig. 3), respectively. The lines through the data points are fits according to Eq. (1), as introduced below.

Between approximately pH 4 and pH 9, HLR-conditions are suitable to obtain data that follow with log-time sigmoid curves from a lower to a higher pH, describing the time-dependent absorption of the ions by the hair from its aqueous environment. Outside this range, pH-change needs to be enhanced by switching to LLR-conditions.

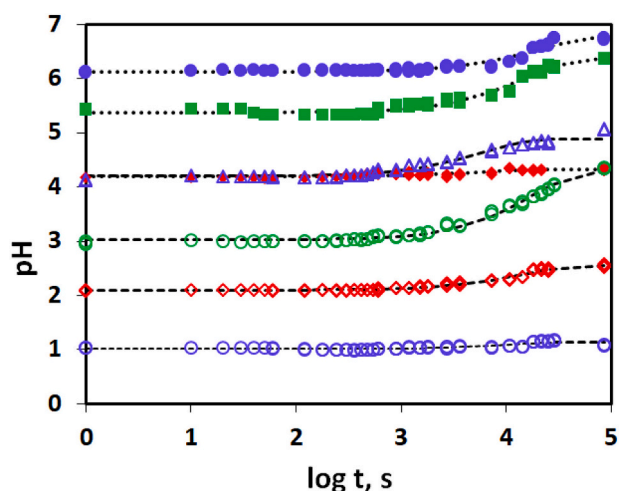


Fig. 2.  $pH$  vs  $\log t$  for the experimental acidic  $pH$ -range. HLR: solid symbols; LLR: outlined symbols. The lines through the HLR (...) and LLR (- -) -data are fitted on the basis of Eq. (1), using the parameter values underlying Figs. 4 and 7.

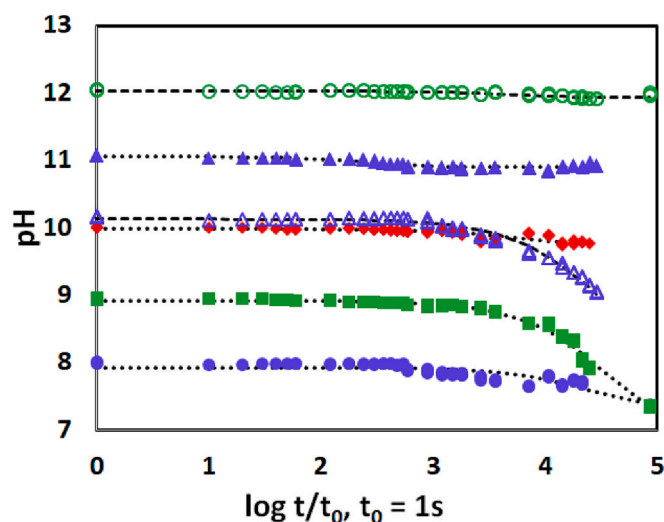


Fig. 3.  $pH$  vs  $\log t$  for the experimental alkaline  $pH$ -range. HLR: solid symbols; LLR: outlined symbols. The lines through the HLR (...) and LLR (- -) data are fitted on the basis of Eq. (1), using the parameter values underlying Figs. 4 and 8.

#### 2.4. Principles of $pH$ -curve analysis

Upon immersion and depending on the  $pH$  of the surrounding solution, the hair tress will start to absorb either  $H^+$  (or rather  $H_3O^+$ ) or  $OH^-$  -ions, together with the respective counter ions. The extent of the absorption process will primarily depend on the  $pH$  of the solution. However, temperature [25] and additional electrolyte also play an important role [31].

$pH$ -equilibration may be viewed as a kinetic relaxation experiment [35]. From the shapes of the  $pH(\log t)$  curves in Figs. 2 and 3, we consider it as a reasonable starting point [36] to assume that the data may be described as a simple exponential, 1<sup>st</sup> order type kinetic process [37].

As shown in Fig. 2, there is a sigmoid up-turn of the curves for acid conditions from a start  $pH$  ( $pH_0$ ) towards an equilibrium  $pH$  ( $pH_\infty$ ). This behaviour is mirrored for alkaline conditions, where curves start at  $pH_0$  and decrease towards  $pH_\infty$ . This leads us to propose the following 1<sup>st</sup>-order type equation for the description of the data in Figs. 2 and 3,

which leads to appropriately sigmoid curve fits on the log-time scale:

$$pH(t) = pH_\infty + (pH_0 - pH_\infty) \exp(-t/\tau) \quad (1)$$

where  $\tau$  is the characteristic equilibration time.

All data sets were fitted with Eq. (1), using the Solver-function in Excel (Microsoft, 2016) by minimising the total sum of Squared Errors (SS). The analysis showed that the coefficient of determination, giving the fraction of SS explained by the fit, is for most curves above  $R^2 \geq 0.8$ . However, namely near the limits of the experimental range, as defined either by quite high ( $\geq 10$ ), low ( $\leq 4$ )  $pH$  or close to neutrality, the goodness of the fits decreases, reaching for one data set only  $R^2 = 0.07$  ( $pH$  7.5). From correlation analysis [38], we estimated that curve fits for data set of  $N > 65$  would be significant on the 95%-level for about  $R^2 \approx > 0.1$ . This approach was confirmed by fitting specific curves using the Non-linear Estimation tool of Statistica (V13, Tibco Software, 2018) to check whether all parameters were statistically significant on 95%-level beyond  $R^2 > 0.1$ . According, only the one data set needed to be removed from the data pool.

We are well aware that Eq. (1), despite its success to model the experimental data, represent an essentially empirical approach to describe the  $pH$ -equilibration of hair. However, more complex considerations of this matter [16,37] are outside the scope of the current investigation.

### 3. Results

Fig. 4 summarizes the results for the characteristic equilibration time, which in view of the structure of Eq. (1) is given as  $\log(\tau)$  for HLR- and LLR -conditions.

Table 1 gives the arithmetic means for  $\log \tau$ , the related standard deviations as a measure of precision and the 95%-confidence ( $q_{95\%}$ ) range for the 95%-confidence limits for the characteristic equilibration time on the linear time scale.

Analysis of variance shows that, the means are significantly different on the 95%-level ( $p = 0.005$ ). HLR and LLR data are similarly well normally distributed, as seen in normal probability plots. However, means are less than one standard deviation apart with the 95%-ranges overlapping. The overall mean yields on the 95%-level a characteristic relaxation time between  $9.4 \cdot 10^3$  s and  $18.6 \cdot 10^3$  s across the  $pH$ -range, which gives an overall range of 2.6–5.2 h. In view of the scatter of the results for  $\log \tau$  (see Fig. 4), we did not consider further analyses, such as linear regression. Based on the overall mean for  $\log \tau$ , 50% of the  $pH$ -change will have been completed after  $t_{50\%} = 8.7 \cdot 10^3$  s ( $\approx 2.4$  h).

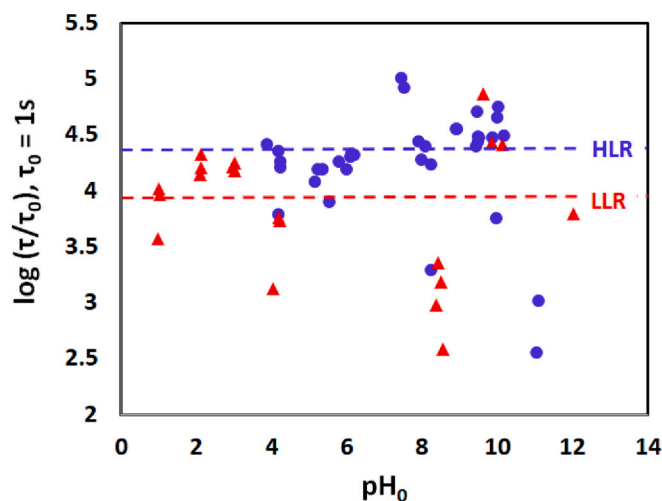


Fig. 4. Characteristic equilibration times, as  $\log \tau$ , for HLR ( $\bullet$  1 L)- and LLR ( $\blacktriangle$  0.1 L)- conditions versus initial  $pH$  ( $pH_0$ ). The broken lines give the arithmetic means across the  $pH$ -range for both conditions. For details see Table 1.

**Table 1**

Arithmetic means for the characteristic equilibration times, as  $\log \tau$ , for HLR (1 L)- and LLR (0.1 L)- conditions.  $StdDev$  = standard deviation.  $N$  = number of data points.  $q_{95\%}$  = 95%-confidence interval.  $Min_{95\%}$  and  $Max_{95\%}$  = lower and upper limits of the 95%-interval, respectively, for the linear time scale.

	$\log \tau$ , s (Mean)	StdDev (N)	$q_{95\%}$	$\tau$ , $10^3$ s Min <sub>95%</sub>	$\tau$ , $10^3$ s Max <sub>95%</sub>
HLR	4.3	0.47 (34)	0.16	12.9	27.4
LLR	3.9	0.57 (20)	0.27	7.2	13.4
Overall	4.1	0.54 (54)	0.15	9.4	18.6

After about 16 h the process will have progressed to 99% conversion, being essentially complete after 24 h.

As reason for this difference between the liquor ratios, we hypothesise that the mild shaking conditions may not have been quite sufficient to ensure complete homogeneity of the solution throughout the experiment, namely, at the fibre surface.

Fig. 5 summarizes the overall changes of pH ( $\Delta pH = pH_0 - pH_\infty$ ) versus initial pH ( $pH_0$ ) for all experimental HLR- and LLR- curves, as estimated on the basis of Eq. (1). In the context of a separate investigation Focht [29], as outlined above, determined pH-changes for LLR-conditions after 24 h of equilibration. Since after this time  $pH_\infty$ -conditions are essentially reached, these data are incorporated into the LLR-data set in Fig. 5. The lines through the HLR (---) - and LLR (—) - data sets in Fig. 5 for both acidic and basic conditions are determined on the basis of the straight-line fits in Figs. 7 and 8, for which the parameter values are given in Table 2.

## 4. Discussion

### 4.1. pH-curves

Figs. 2 and 3 summarise the sigmoid pH-equilibration curves for HLR- as well as LLR - conditions and across the experimental pH-range. As the curves through the data document, Eq. (1) is in all cases well suited to fit the time-dependence of the pH-equilibrations. As we expected, there is an increase of pH in the acid and a corresponding decrease in the alkaline region.

### 4.2. pH-equilibration time

We observe that the time parameter  $\tau$  (as  $\log \tau$ ), which characterises the pH-equilibration process (see Eq. (1)), is essentially constant at between approximately 3–5 h across the experimental range (see Fig. 4 and Table 1). Qualitatively, this invariance corresponds to that of the relative velocity constant for  $H^+$ - and  $OH^-$ -uptake, as determined by Bhat et al. [27] on the basis of short-time ( $\leq 20$  min) titration curves. However, quantitatively there is a large difference between the time scales for equilibration. This is achieved after  $\approx 16$  h for the pH-change (see above) while it is estimated to take only  $\approx 30$  min to 1 h for  $H^+$  as well as  $OH^-$ -uptake [27,15,3,7]. This apparent difference is attributed to the fact that  $\Delta pH$  is a logarithmic measure compared to the corresponding linearly scaled  $\Delta H^+$  and  $\Delta OH^-$ -changes. We thus expect changes of  $H^+$  and  $OH^-$  to follow different [37] and much faster kinetics, in line with experimental observations [27,15]. The correspondence between the kinetics of pH-change and ion - uptake merits, in our view, further investigations.

### 4.3. Equilibrium uptake of $H^+$ - and $OH^-$ - ions by hair

From the results shown in Fig. 5 we calculated the concentrations of  $H^+$ - and  $OH^-$ -ions [ $\mu M/mL$ ] in the various solutions at equilibrium. This yields by comparison with the initial concentrations, as given by  $pH_0$ , the uptake of the ions into the hair [ $\mu M/g$ ]. The ‘diluted solution’-approach appears justified for the experimental range of pH 1-pH 12,

since the activity coefficients for  $H^+$  (or rather  $H_3O^+$ ) and  $OH^-$  are both  $>0.9$  [39]. On this basis, the results corresponding to the data points in Fig. 5 are summarized and plotted against equilibrium pH ( $pH_\infty$ ) in Fig. 6. Equilibrium pH was chosen for the x-axis so that literature data could be readily included.

To put our results into perspective, we supplemented them in Fig. 6 with data for wool and hair from three literature sources for roughly comparable experimental conditions [27,40,25]. The data in Fig. 6 are fitted by curves through both the HLR and LLR-data. The curves and their respective 95%-confidence limits are calculated on the basis of the regression lines shown in Figs. 7 and 8, and their parameter values (see Table 2). Fig. 6 shows that the data from different sources overall integrate well with our observations, namely, for acidic conditions.

Overall, the data follow the expected U-shaped curve with strong upward turns starting around  $pH < \approx 4$  in the acid and at  $pH > \approx 10$  in the alkaline region, respectively. If  $OH^-$ -uptake data would be plotted

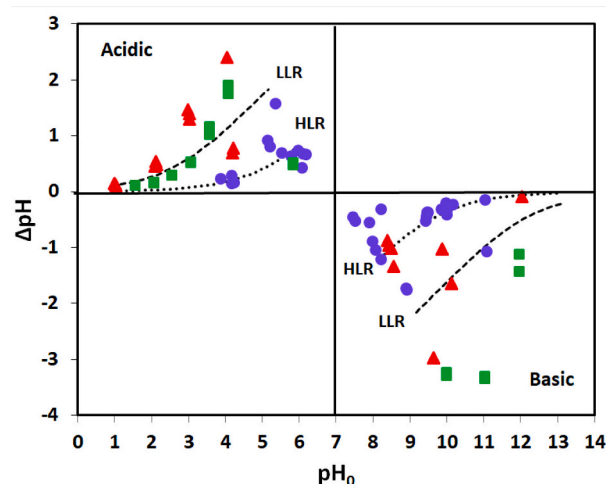


Fig. 5.  $\Delta pH$ -values are plotted versus  $pH_0$ , as obtained by fitting Eq. (1) to the experimental data [30] for HLR (● 1 L)- and LLR (▲ 0.1 L)- conditions. Data are supplemented by those from 24 h LLR pH-equilibration experiments (■ 0.1 L; [29]). For details on the fits through the HLR (---) - and LLR (—) - data sets for both acidic and basic conditions see text. Levels for zero pH-change as well as for neutrality ( $pH 7$ ) are marked by solid lines.

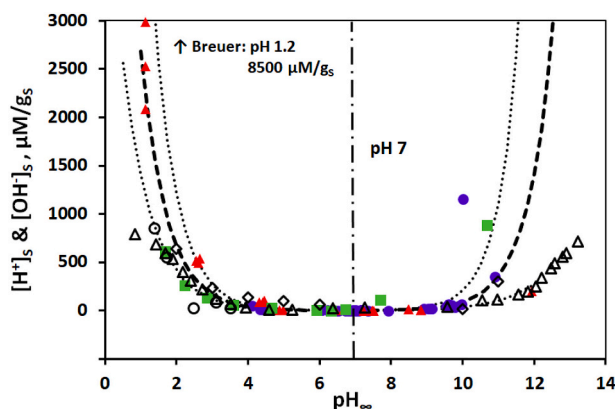


Fig. 6. Data for  $H^+_s$ - and  $OH^-_s$  uptake into hair (solid) versus equilibrium pH ( $pH_\infty$ ) for both liquor ratios [30] HLR (●), LLR (▲), Focht [29] LLR (■). Unit [ $\mu M/g_s$ ] =  $\mu Mole$  per g of solid hair. The line through the data (---) is fitted for HLR- and LLR- conditions based on Eqs. (3) and (5). 95%-confidence limits for the fit in the acid and basic region are given (---). The solid horizontal line marks the  $y = 0$  level. The Figure is supplemented by literature data (▲: [25]; ○: [40]; ◇: [27]). Literature data are not part of the data fit. Neutrality pH ( $pH 7$ ) is marked.

on a reverse scale, they would show together with the  $H^+$ -data the tilted sigmoid form of the titration curve for wool and hair with acids and bases [27,15,41,4,17].

While our data follow a common trend in the acid range, they show considerable scatter in the alkaline region. This is specifically apparent around and beyond pH 10. We attribute this variability to the chemical reaction between alkali and disulfide bonds in the region [34], namely, beyond pH 10 to form lanthionine and lysinoalanine crosslinks, as reviewed by Bouillon and Wilkinson [10]. The scatter of the data, we specifically attribute to random differences of the chemical performance for individual tress samples and thus to the chemical inhomogeneity of the commercial hair. Together with the literature data, data for both  $H^+$  and  $OH^-$  -ions show no consistent trend towards equilibrium uptake within the experimental pH-range.

For both types of conditions our and literature data fall generally within the predicted 95%-confidence limits.

Data points lie on or close to the zero-line in the mid- $pH_\infty$  -range ( $\approx$  pH 4–10), traditionally also referred to as ‘neutrality’ region [15]. In this range, the hair does not seem to absorb neither  $H^+$ - nor  $OH^-$  -ions [27,4,17,31] and as discussed above.

This observation of an apparent lack of ion-uptake has been considered as evidence that, hair behaves differently with respect to the ion uptake in the mid- compared to the outer- pH-ranges [4]. The effect has, e.g., been attributed to the hindered diffusion for the ions in the mid- pH-range. This in turn has been attributed to the formation of a Donnan-potential related diffusion barrier [15,7]. The point where a perceptible deviation from the zero-line is observed on the acid side is in the range of pH 3–4. This pH-range appears to correspond to the range for the isoelectric point of hair (IEP = 2.5–4.5) ([20]; [21]; [22]).

For the regions of very low and high pHs, the strong increase of ion uptake is generally attributed to the ion absorption by about 800  $\mu\text{M/g}$  each of specific acidic and basic amino acids together with a further small contribution of protein end groups. Specific groups will contribute to the pH-dependence of ion sorption according to their individual  $pK_A$  values [4,7,31]. Further effects are expected to derive from chemical reactions (hydrolysis, reactions at disulfide bonds) [10,4,25]. These are considered as minor for the acidic conditions [5]. For alkaline conditions, chemical reactions are regarded as source, namely, for the data scatter in Fig. 6.

At pH < 4 there is a sharp increase of the uptake of  $H^+$ -ions (see Fig. 6). Around pH 2 our experimental  $H^+$ -uptake values are in the range of 500  $\mu\text{M/g}_s$ , in good agreement with the result of 600  $\mu\text{M/g}_s$  by Bhat et al. [27]. For wool and hair at pH 1 the uptake is in the range of 770–910  $\mu\text{M/g}_s$ , as reviewed by Robbins [4]. This range is generally considered as the maximum acid-binding capacity of hair, namely, on the basis of its content of basic amino acids [15,4,31]. Though the argument to expect a plateau for ion uptake is rather convincing, the experimental evidence for it is rather mixed. For instance, Breuer and Prichard [40] did not find such a plateau but rather determined a further strong increase of  $H^+$  - uptake, when approaching pH 1 (see Fig. 6). This is in line with our (see Fig. 6) as well as literature observations [15].

In the alkaline range and beyond pH 10, a similarly strong upturn of  $OH^-$  -uptake is observed. As reviewed by Robbins [4], a maximum uptake of  $OH^-$  -ions is estimated to be in the range of 400  $\mu\text{M/g}_s$  with some substantial variations [27,15,4]. In contrast, results by Speakman and Stott [17] and by Steinhard et al. [25,31], in agreement with curves reviewed by Earland [15], rather suggest an exponential increase of  $OH^-$  - uptake beyond about pH 11.5. Values of  $\approx 400 \mu\text{M/g}_s$  at pH 12 and of  $\approx 800 \mu\text{M/g}_s$  at pH 12.5 were determined, again with substantial variations [25] but in overall satisfactory agreement with the course of the data in Fig. 6.

To describe the data in Fig. 6, traditionally two theoretically models have been applied, namely that of Gilbert and Rideal and of Donnan (reviewed, e.g., by [15,7]). These were later supplemented by alternative theoretical approaches of Oloffson [42] and Breuer [28]. Shortcomings of these approaches and suitable alternatives have been

assessed by Lewis and Rippon [26].

In view of the focus of our investigation, we started the analysis of our data with the basic and plausible assumption that, for e.g. acidic conditions, the distribution of  $H^+$ -ions between the solid hair and its aqueous environment at any equilibrium pH-conditions is described by Nernst’s distribution law [43]. This leads to the partition ratio for  $H^+$  ( $K_D^H$ ) as:

$$K_D^H = [H^+]_s/[H^+]_L \quad (2)$$

$[H^+]_s$  (unit:  $\mu\text{M/g}_s$ ) is the  $H^+$ -uptake of 1 g of hair (solid) and determined from  $[\Delta H^+]$  (see Fig. 6).  $[H^+]_L$  (unit:  $\mu\text{M/g}_L$ ) is the equilibrium concentration of  $H^+$ -ions in 1 g of the aqueous solution (liquid), as derived from  $pH_\infty$  and assuming a density of  $1 \text{ g/cm}^3$  for the liquid. All of our considerations implicitly assume electro-neutrality for the system, that is, associated anions ( $Cl^-$ ) and cations ( $Na^+$ ) diffuse together with  $H^+$  and  $OH^-$ , respectively [7].

Eq. (2) is formally consistent with the classical Donnan equation [44,45]. This assumes a constant ratio of  $H^+$ - or  $OH^-$ -concentrations in, what is assumed to be, a liquid water phase in hair and the aqueous environment on the other, separated by the hair surface as a membrane. In this context,  $K_D^H$  would be equal to the Donnan coefficient  $\lambda$  [44].

With respect to the assumption underlying the Donnan approach, it is worthwhile noting that, studies have shown [46] or imply [47,48,49] that there is no liquid water phase in wool and hair. However, this matter is not quite settled [50,3].

Eq. (2) is also formally consistent with a one-parameter sorption isotherm (Henry isotherm) [51,52], thus describing physi-sorption of the ions by hair from the aqueous environment according to Fig. 1 (A and B).

From Eq. (2) it follows that:

$$\log K_D^H = \log [H^+]_s - \log [H^+]_L \quad (3)$$

For  $[OH^-]$ , we formulate accordingly:

$$K_D^{OH} = [OH^-]_s/[OH^-]_L \quad (4)$$

and

$$\log K_D^{OH} = \log [OH^-]_s - \log [OH^-]_L \quad (5)$$

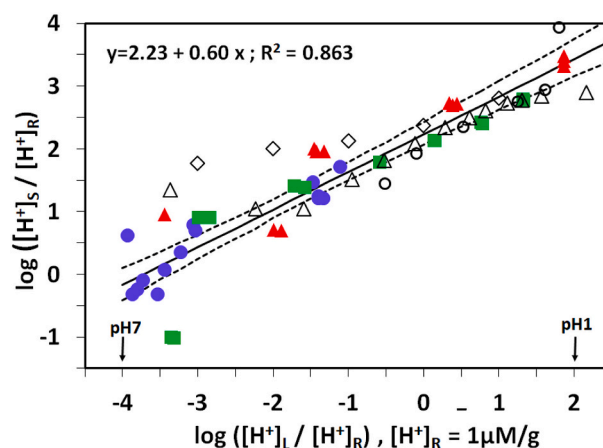
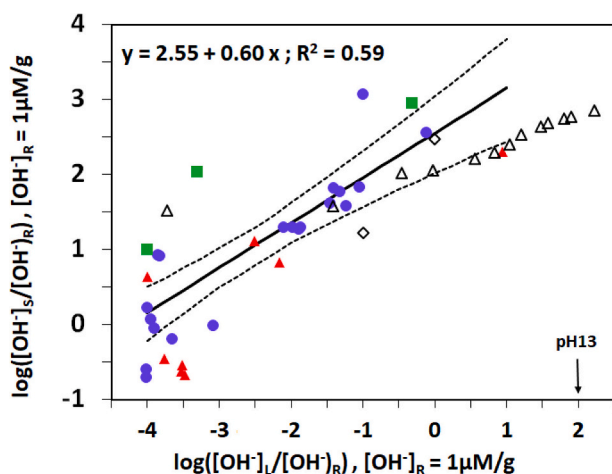


Fig. 7.  $\log [H^+]_s$  vs  $\log [H^+]_L$  for our experimental data in Fig. 6, supplemented by literature data. The markers for the various data sets correspond to those in Fig. 6. The positions on the x-axis, which correspond to pH 7 and pH 1 for HLR-conditions are indicated for orientation. The regression line (solid line), for which the equation is given, corresponds to our experimental data (solid markers) only [29,30]. The line is shown together with its 95%-confidence envelope (broken lines). Details on the parameter values of the linear regression line are given in Table 2.  $[H^+]_R$  is a reference concentration, which is applied to remove the concentration units and thus to enable the log-transformation.



**Fig. 8.**  $\log [OH^-]_S$  vs  $\log [OH^-]_L$  for our experimental data in Fig. 6. The position on the x-axis, which corresponds to pH 13 is indicated for orientation. pH 7 corresponds to  $x = -4$ , as in Fig. 7. The regression line (solid line), for which the equation is given, is shown together with its 95%-confidence envelope (broken lines) within the alkaline pH-range. Details of the parameter values are given in Table 2.  $[OH^-]_R$  is a reference concentration, which is applied to remove the concentration units and thus to enable the log-transformation.

where  $OH^-$ -concentrations have the equivalent meanings and units as  $H^+$ , as given above.

As suggested by the form of Eq. (3), we plot  $\log [H^+]_S$  vs  $\log [H^+]_L$  in Fig. 7. The equivalent plot according to Eq. (5) for  $[OH^-]$  is given in Fig. 8.

If  $K_D^H$  and  $K_D^{OH}$  would have been constant for the whole pH-range, we would have observed straight lines with a slope of unity in Figs. 7 and 8. This is obviously not the case. The results imply that, neither the Donnan- nor alternatively a one-parameter sorption approach (Henry's law) are consistent with the data.

The parameter values for the regression lines in Figs. 7 and 8 are given in Table 2.

All regression parameters are statistically significant well beyond the 95%-confidence level.

The similarity of the regression parameter values (intercept, slope) for  $H^+$  and  $OH^-$  in Table 2 is interesting to note. However, we view this as a coincidence, related to the specific experimental conditions. In our case, both acid and base are very strong and fully dissociated and the sole sources of ions in the solution. Their  $pK_A$ -values are both well outside the experimental range.

It only requires changes of temperature [25] or addition of an electrolyte ([31]; [53]) to change this special situation and to see strong differential changes of the characteristics of  $H^+$ - and  $OH^-$ -uptake [54]. Also, substantial changes for ion-sorption have been observed, depending on the strength of acids [55] and bases [24].

Figs. 7 and 8, together with the results in Table 2, support strong linear, two parameter, logarithmic relationships between ion concentrations in the solid and the liquid under equilibrium conditions. This is formally consistent, e.g., with the equation for the Freundlich isotherm [51]. This approach also implies a continuous change of  $K_D^H$  with pH.

The results presented in Figs. 7 and 8 thus support the concept of

**Table 2**

Parameter (intercept, slope) with standard errors (SE) and 95%-confidence range ( $q_{95\%}$ ) for the straight line fits in Figs. 7 and 8.  $R^2$  = coefficient of determination.

Ion	Intercept			Slope			$R^2$
	Value	SE	$q_{95\%}$	Value	SE	$q_{95\%}$	
$H^+$ ( $N = 26$ )	2.23	0.087	0.18	0.60	0.038	0.078	0.863
$OH^-$ ( $N = 28$ )	2.55	0.26	0.52	0.60	0.087	0.18	0.59

physi-sorption for the ions onto the solid. This provides a fundamentally different approach for the description of  $H^+$  and  $OH^-$ -uptake than pH-based theories [28,15,42,45,56]. The Freundlich-isotherm approach has, e.g., been successfully applied for modelling wool dyeing [57,26].

It is interesting to note that, the suitability of the Freundlich isotherm would also support the notion that there is no truly liquid fraction of water in hair, as discussed above.

Furthermore, in Fig. 7, there are no indications of specific pHs, which may be associated with either the isoelectric point (IEP: 3.4–4.5) [22,21,20] or the isoionic point (IIP: 5.6–6.2) [58]. This implies, that the observation of  $H^+$ -uptake is not a suitable method to determine either of these points. Also, Fig. 7 does not support a specific interpretation of the apparent strong uptakes of  $H^+$ -ions for  $< \approx$  pH 4 (see Fig. 6).

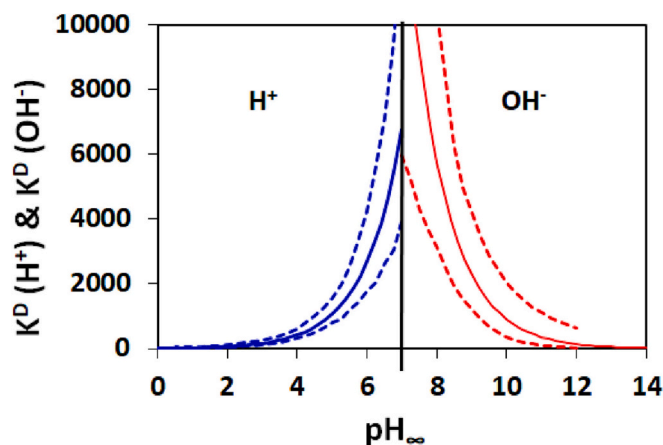
Finally, the data as well as the linear fit in Fig. 7 provide no basis to expect a plateau for  $H^+$ -uptake within the experimentally feasible pH-range. From this observation, we hypothesise that the range of potential sorption sites provided by the hair proteins is much wider than just specific side groups (plus a minor contribution from end groups) and includes the components of the hydrogen bonds, which are abundant in the keratin proteins [3].

It is interesting to note that this lack of provision for ion equilibrium uptake is already contained in Eq. (2) and thus also an element of the Donnan-theory, in contrast to some of the experimental observations. At this stage, we suggest that, extended experimental work on ion-absorption, as basis for investigating the wide variety of equations for physi-sorption [16,52], may well be suited to assess the plausibility of an equilibrium of ion-uptake within the experimental pH-range.

Analogous considerations, as for  $H^+$  (Fig. 7), apply to  $OH^-$ -uptake (Fig. 8). It is worthwhile noting that the coefficient of determination ( $R^2$ ) for the  $OH^-$ -fit is substantially lower than for  $H^+$  (see Table 2), due to the high variability of the  $\log[OH^-]_S$ -data.

$H^+$ -uptake can largely be considered as physi-sorption. The effect is reversible and the  $H^+$ -ions may be removed by dialysis (washing) of the hair, as is standard practice for hair grooming processes [59]. The same applies in principle for  $OH^-$ . However in this case, physi- is increasingly combined with chemi-sorption, namely, at pH 10 and beyond. The most prominent chemical process is the reaction of the hydroxyl-ion with disulfide bonds to form, e.g., lanthionine. This is a well known reaction for keratins [10,15] which recently was comprehensively reviewed and re-investigated for wool by Abou Taleb et al. [34]. We consider this chemical process as being responsible for the strong temperature-dependence of  $OH^-$ , compared to the physi-sorption mechanism for  $H^+$ -uptake [15,25].

In this context, it is also important to note that, the occurrence of a plateau for  $OH^-$  is not compatible with the effects expected from the



**Fig. 9.** Partition ratio ( $K_D$ )-values for acid and basic equilibrium pH, based on linear regression results in Table 2 (solid lines). 95%-confidence limits (---) are derived from those in Figs. 7 and 8 (see text).

additional chemi-sorption mechanism. The overall experimental evidence for such a plateau (for wool) is in fact rather mixed.

The linear fits according to Table 2 yield the values for the partition ratio ( $K_D$ ) across the acidic as well as the alkaline pH-range. The results are summarized in Fig. 9. Error limits for  $K_D$  are a combination of those deriving from the components of the fits in Figs. 7 and 8 according to the rules of Gaussian error progression. However, as a matter of simplicity and as basis for a plausible estimate, we consider  $pH_\infty$  as an independent variable, so that the 95%-confidence range for  $K_D$  is given by that for  $[H^+]_S$  and  $[OH^-]_S$  (see Figs. 7 and 8). The thus estimated confidence limits for  $K_D$  are given in Fig. 9 for both acidic and alkaline conditions. Due to the  $\log \rightarrow$  linear transformation, the confidence limits in Fig. 9 are quite large, as to be expected.

The results for the acidic range of Fig. 9 show that, namely when near neutral conditions, there is a strong preference of  $H^+$ -ions for the hair.  $K_D^H$  reaches a value around  $7 \cdot 10^3$  at pH 7, with rather wide confidence limits (see Fig. 9). The value for  $K_D^H$  drops, as to be expected, when  $[H^+]$  increases, but still reaches  $K_D^H = 27$  at pH 1. Equal concentrations of  $H^+$  - ions in solid and liquid ( $K_D^H = 1$ ) are predicted for around pH -1, well outside the practical and experimental range.

Due to the similarities of the relationships between ion concentration in solid and liquid for the acidic and the basic pH-range (see Table 2),  $K_D^{OH}$ -values present somewhat of a mirror image of the acidic range (see Fig. 9). Starting from the numerically higher  $K_D$ -value at pH 7 ( $K_D^{OH} = 14 \cdot 10^3$ ), the values drop to 22 at pH 14.  $K_D^{OH} = 1$  lies again well outside the experimental range.

For the whole pH-range,  $H^+$ - and  $OH^-$ -concentrations are thus always much higher in hair than in the surrounding solution.

These results show that in contrast to general views [4] there is in fact no reduction of relative ion sorption in the mid pH (neutrality) range. Rather to the contrary, bias towards uptake into the hair is especially pronounced between pH 4–7 and pH 7–10, where ion concentrations are relatively low. As to be expected, this absorption bias gets smaller when ion concentrations increase at low and high pHs. In line with considerations above,  $K_D$ -values also provide no indication of special roles of certain pHs (IEP, IIP) or amino-acids types across the pH-range. All of these observation and considerations are in line with the suggested behaviour of physi-sorption according to a Freundlich-isotherm.

## 5. Conclusions

Our study started with a straightforward focus, namely, to study the time-dependent interaction between a pH-adjusted solution and a hair sample. For this we followed traditional protocols, immersing hair samples into an aqueous solutions of known pH and then following the time-dependent pH-changes.

The results show that pH-equilibration across the pH-range follows 1<sup>st</sup>-order kinetics with a rather long characteristic process time of about 3–5 h. The analysis enables to determine the final uptake of  $H^+$ - and  $OH^-$ -ions, respectively, for a given pH. The results for the equilibrium uptakes of the ions show that these are not best fitted, as would have been expected from established views, by a one-parameter Donnan- or Henry-but rather by a two-parameter Freundlich -type equation.

In contrast to common views [15,4], this implies that hair acts a strong absorber of  $H^+$ - and  $OH^-$ -ions across the whole pH-range. This effect is in fact strongest near neutrality. Also, the Freundlich-approach does not provide for a specific fine structure of the sorption isotherm. This justifies to hypothesise, again against common views, that there are no roles for special pHs, for effects of specific groups of amino acids, or of morphological components.

We believe that our approach to investigate the interaction between  $H^+$ - and  $OH^-$ -ions and hair is thus a feasible tool to provide novel insights into the time-dependent development of the interaction of hair with strong and weak, as well as complex acids and bases.

Building on investigations for wool ([53]; [57,26]), we also expect

our approach to facilitate investigations of the effects and application conditions of additives (e.g. salts, surfactants, polymers) on  $H^+$  and  $OH^-$ -uptake from aqueous solutions used in hair cosmetic products and processes. The Hofmeister-series approach [60] may provide a suitable framework for the analysis and interpretation of such investigations.

Finally, we consider it as worthwhile noting that, our investigation relates only to absorption for  $H^+$  and  $OH^-$  by hair. There seems to be little quantitative information about the desorption process (rinsing, dialysis), which may show quite different kinetics as well as pronounced hysteresis effects. In view of the academic as well as potentially significant practical relevance, these would merit further investigation.

## CRediT authorship contribution statement

**Franz J. Wortmann:** Conceptualization, Formal analysis, Methodology, Project administration, Resources, Visualization, Writing – original draft, Writing – review & editing. **Katie Hardie:** Formal analysis, Investigation, Data curation. **Natalja Schellenberg:** Investigation, Data curation, Methodology. **Celina Jones:** Investigation, Project administration. **Gabriele Wortmann:** Conceptualization, Formal analysis, Methodology, Visualization, Data curation, Writing – original draft, Writing – review & editing. **Erik Schulze zur Wiesche:** Conceptualization, Methodology, Resources, Funding acquisition, Writing – review & editing.

## Declaration of Competing Interest

FJW and GW are partners in *F & GW – Consultants GbR*. The company provides academic support for the hair cosmetics industry on a consultancy basis.

ESzW is Head of Research & Development at *Dr Kurt Wolff GmbH & Co KG*. In this role he leads, e.g., hair cosmetics R&D at this company.

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