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Study of the Physico-Chemical Properties of Sol-Gel (Er, Yb) Doped TiO² Nanoparticles Prepared with a Novel Protocol

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Abstract

This paper describes the systematic preparation of doped and undoped TiO² with Er and/or Yb nanoparticles by hydrothermal-assisted sol-gel method using supercritical drying of ethanol followed by systematic calcination steps at 500°C, 800°C and 1000°C for 2/h. The structural study of these powders with XRD reveals that our samples are crystalline with a tetragonal structure and anatase phase, which is well crystallized at 500°C. The annealing at 800°C reveals a transformation of the anatase phase to the rutile phase, which is well crystallized at 1000°C. The XRD analysis clearly indicates that the dopants are incorporated into the $TiO₂$ network without any phase segregationtaking place in these nanoparticles. The study of the grain sizes has shown that they are nanometric in size of around 8-12 nm and that their sizes decrease with Er and/or Yb doping. The elemental analyses with micro-EDX using SEM confirmed the presence of chemical elements of TiO² nanoparticles with expected atomic ratios. The analysis of the SEM images confirmed the XRD observations. In addition, they attest that the nanoparticles are spherical in shape. The optical analysis with excitation at 488 nm shows the presence of three emission bands in the green zone and the red zone. The heat treatment improves the optical, structural and spectroscopic properties and eliminates the contaminations that are due to the preparation conditions.

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1. Introduction

In recent years, Titanium dioxide (TiO2) nanoparticles (NPs) have attracted much attention as a candidate for a vast number of applications [1] due to its excellent optical and electronic properties [2]. It provided high chemical stability [3], excellent biocompatibility [4,5], nontoxicity and low cost [6]. Studies have reported that TiO2 nanoparticles with high refractive index and high surface area could potentially be useful in many different applications such as gas sensors [7,8,9,10,11,12], photocatalytic activities [13,14], electronics, and renewable

energy generation [15,16,17]. There are three crystalline forms of TiO2: anatase (tetragonal), rutile (tetragonal) and brookite (orthorhombic). Recently, there is considerable interest in the synthesis of titanium dioxide nanoparticles with pure anatase phase [18]. As reported, the anatase phase is the more favourable structure than the two phases (rutile and brookite) that has high photoactivity [19,20] due to its wide band gap, high specific surface area and low recombination rates of electron-hole pairs [21,22]. Zhu et al. [23] identified the domains of stability of the three varieties anatase, rutile and brookite produced by sol-gel, depending on the size of the crystallites. The anatase is stable for a size less than 4.9 nm, the brookite for a size between 4.9 and 30 nm and the rutile for a size greater than 30 nm. Zhang et al. [24] have shown that the anatase is more stable than the rutile when the grain size is less than 14 nm. In the work carried out by Saranto polos, the critical size of the anatase-rutile transition is 16 nm while the critical phase transition size calculated from thermodynamic data is 14 nm [25,26]. The two approaches lead to very similar critical transition sizes. Banfield et al. [27] have shown that for nanocrystals smaller than 11 nm, the anatase phase is stable. Between 11 and 35 nm, the stable phase is the brookite and for sizes greater than 35 nm, the rutile is stabilized. The titanium dioxide in its anatase form has a band gap of 3.23 eV (384 nm), in its rutile form a gap of 3.02 eV (411 nm) and in its brookite form a gap of 3.4 eV [28]. However, due to its relatively large band gap (3.2 eV), TiO2 can only be activated by UV light, which limits its practical applications. The utilization of rare earth (RE) ion-doped nanoparticles has gained significant attention in the pursuit of novel functionalities, particularly in the field of optics. Incorporating rare earth (RE) elements into the semiconductor material offers a range of advantageous outcomes. Firstly, it allows the semiconductor to manifest a reduced absorption threshold for photons with low energy. Secondly, it impedes the rate at which electron-hole pairs recombine by generating transient traps, as substantiated by prior investigations [29]. Moreover, the presence of RE elements reduces the size and agglomeration tendency of semiconductor particles, as evidenced by studies [30]. Additionally, the utilization of rare earths leads to an upconversion phenomenon, wherein the conversion of multiple low-energy photons to high-energy photons occurs within the near infrared to UV/visible range. This effect has been documented in various investigations [31,32,33]. Among the rare earth elements, erbium (Er3+) and ytterbium (Yb3+) have garnered considerable attention for their photoluminescence properties when used as dopants in a TiO2 matrix. Reszczynska et al. [34] conducted a study on the visible light activity of TiO2 photocatalysts doped with rare earth metals (Er3+, Yb3+, or Er3+/Yb3+). Their findings indicate that Er-Yb:TiO2 facilitates the degradation of phenol in an aqueous solution under visible light irradiation. The choice of Er3+ as a promising candidate is supported by its infrared emission at 1535 nm [35], it shows strong fluorescence at this wavelength [36]. The green and red emission at 550 and 670 nm has been observed with excitation at 975 nm [37]. The Yb3+ ions are of interest as a sensitizer of energy transfer from infrared to visible up conversion and infrared lasers [38]. The erbium-ytterbium co-doping promotes the up-conversion mechanisms on the one hand and the energy transfer phenomena between neighbouring ions. Thus, it has the advantage of involving a lower number of phonons and a lower frequency thus improving the overall luminescence efficiency [39]. In this work, hydrothermal-assisted sol-gel process using supercritical drying of ethanol has been used for the synthesis of titania nanoparticle doped with Er and/or Yb. The thermal, structural, morphological, spectroscopic and optical propriety of obtained nanoparticles were studied using TG/DTA, XRD, SEM, TEM, FTIR and micro-Raman.

2. Materials and Methods

1. Sample Preparation

a. Preparation of Pure Solution

The alkoxide precursor utilized in this study is titanium tetra-iso-propoxide (TTIP), denoted by the chemical formula Ti $[OCH(CH_3)_2]_4$, which was procured from the commercial supplier Sigma-Aldrich. TTIP was specifically selected as a metal-organic precursor for synthesizing TiO₂ nanoparticles. The process of preparing the titanium oxide solution involves combining TTIP with a previously prepared solution of methanol and acetic acid. The gradual release of water for hydrolysis is achieved through the esterification reaction between acetic acid and methanol. The resulting mixture is then subjected to continuous magnetic stirring at room temperature for a duration of 30 minutes, allowing for the dissolution of the TTIP precursor and the uniform blending of the reagents.

b. Preparation of Doping Solutions

In order to prepare the solution doped with Er and/or Yb, we followed the same process used to prepare the pure solution. Note that a quantity of erbium (III) nitrate pentahydrate (Er(NO3)3,5H2O) and/or a quantity of

Ytterbium (III) nitrate pentahydrate (Yb(NO3)3,5H2O) (Sigma-Aldrich) has been dissolved. A homogeneous 5% Er and/or 5% Yb and a 5% Er and 10% Yb doped solution were obtained.

c. Protocol for Drying under the Supercritical Conditions of Ethanol

In the initial step, each sol obtained was subsequently introduced into an autoclave, wherein it was fully immersed in an excessive amount of solvent, specifically ethanol. The autoclave was sealed and subjected to both heat and pressure to attain the supercritical state of ethanol, characterized by a temperature of 243°C and a pressure of 63 bar. Subsequently, the supercritical drying process commenced, employing a deliberately gradual temperature increase (referred to as Tc). Once the critical temperature of the solvent was surpassed, a stabilization period was allowed, followed by a gradual reduction of pressure (referred to as depressurization) until atmospheric pressure was achieved. Subsequently, a low flow of nitrogen was introduced for a duration of 5 minutes to eliminate any residual solvent. The system was eventually cooled to room temperature, resulting in the recovery of a titanium dioxide aerogel at the outlet. The obtained nanoparticles in their pure form were subjected to calcination at temperatures of 500°C, 800°C, and 1000°C for a duration of 2 hours to examine phase changes. Additionally, the doped nanoparticles underwent treatment at 500°C [39,40].

2. Characterization Techniques

The thermal properties of the TiO² aerogels were investigated using a Setaram SETSYS Evolution 1750 analyzer. The thermal cycle involved a gradual heating process from room temperature to 800°C, with a heating rate of 10°C per minute. The crystalline structure was analyzed through X-ray diffraction (XRD) using a Bruker D8 Advance diffractometer, employing CuKα radiation (λ = 1.54Å). XRD patterns were obtained within the 2θ range of 20° to 100° with a sampling width of 0.05°. The morphology and particle size of the prepared powders were examined using both Scanning Electron Microscopy (SEM) (FE-SEM, PhilipsXL30) with an accelerating voltage of 20keV and Transmission Electron Microscopy (TEM) (JEOL 2011 microscope) with an accelerating voltage of 200kV. The Fourier Transform Infrared (FT-IR) spectra of doped and undoped TiO₂ nanopowders were acquired at room temperature using a Vertex 70 Bruker spectrometer, covering the frequency range of 200– 5000 cm−1. Raman scattering analysis was conducted using a HORIBA/Jobin-Yvon LabRam system coupled with an Olympus confocal microscope detection BX-41 (MicroRaman). Spectra were obtained within the frequency range of 100-1000 cm⁻¹, employing a monochromatic laser source with a wavelength of 488 nm (beam diameter between 3 and 5 μ m). A reading time of 60 s was maintained after initiating the laser. Furthermore, the luminescence of erbium in the visible domain was observed using Raman spectroscopy, with spectra recorded between 500 and 900 cm^{-1} .

3. Results and Discussion

1. Thermal Investigation

The properties and the domains of thermal stability of doped and undoped TiO2 was studied by the TG-DTA analysis, and the results are illustrated in Fig. 1. Thermal gravimetric analyses show two mass losses for each thermogram that are intense. A first significant weight loss for the TiO2 sample is located before 138°C of 3.84%. This loss characterized by the endothermic peak as found in the corresponding DTA curves, which could be attributed to the phenomenon of dehydration and the phenomenon of deshydroxylation [41]. We note that under the effect of doping and co-doping the rate of loss slows down and becomes low. The second weight loss characterizes an exothermic accident as detected in corresponding DTA curve. It is rapid and significant for TiO2 located between 138°C and 450°C and of the order of 5.7%. It is between 162°C and 450°C of 4.88% for 5%Yb:TiO2, between 181°C and 455°C of 6.78% for 5%Er:TiO2, between 170°C and 465°C of 6.2% for 5%Er-5%Yb:TiO2, between 157°C and 458°C of 6.6% for 5%Er-10%Yb:TiO2. It could be attributed to partial decomposition and the departure of organic matter [42]. Based on these later curves, two exothermic peaks can be observed for all powders. The first peak, more intense and clearly visible in all the samples, is localized around 288°C for TiO2. A temperature loss for peaks in other samples was noted. This peak is attributed to the elimination by oxidation of the Ti precursor (alcoxide) and the partial decomposition of organic matter [43]. The second peak is larger, and it was begin at approximately 400°C. It can be attributed initially to the remaining organic matter and it corresponds to the passage of titanium oxide from the amorphous phase to the anatase phase [44]. The TiO2 TG and DTA curve shows thermal stability from 450°C and confirms good stability of the prepared material.

Figure 1: TGA and DTA curve of the (a) TiO₂, (b) 5% Yb:TiO₂, 5% Er:TiO₂, 5% Er-5% Yb:TiO₂ and (e) 5.% Er-10 % Yb:TiO² nanopowders.

2. Structural and Morphological Investigations

Fig. 2 shows the XRD patterns of the as-prepared pure and doped TiO2. The diffractogram reveals the presence of several diffraction peaks meaning to the anatase phase of tetragonal structure of TiO2, which is compared with Anatase JCPDS 21-1272. These results are in agreement with the work of Park et al. [45] and Zhang et al. [46]. We note the complete absence of the brookite and the rutile phase. This result is interesting because it proves the efficacy of the experimental protocol developed during the formulation of soil. Indeed, it has been reported that the crystallization of the gel depends on the working conditions. For example, in the work of M. Ivanda et al. [47], a good control of the hydrolysis rate of the precursor Ti (IV)-isopropoxide by an esterification reaction between carboxylic acid (acetic) and alcohol (ethanol) was obtained. It was concluded that the crystallization of TiO2 powder obtained by the sol-gel method depends on the acid used; for the acetic acid the powder is amorphous regardless of the alcohol used, but for the formic acid in addition to the amorphous phase, a quantity of anatase nanoparticles (the grain size is six nm) has been formed. S. Musé et al [48] obtained a TiO2 powder crystallized in two phases, anatase and brookite. When the rare earth ions Er and/or Yb are incorporated into the crystal lattice of TiO2, a stress is induced in the system. Indeed, a decrease in intensity at peaks. This indication shows the incorporation of rare earth ions in the TiO2 matrix without distortion of the crystal structure. Therefore, we can conclude that doping has no impact on the structure. In Table 1, the crystallite size was obtained by Debye-Scherrer's formula given by equation Eq. (1):

$$
\mathbf{D} = \mathbf{K} \; \lambda / \beta \; \cos \theta \tag{1}
$$

Where K = 0.9; D represents the crystallite size; λ is the wavelength of Cu (Kα) radiation and β is the corrected half width of the diffraction peak. The average size of the TiO2 powders produced in this work is between 7 and 10 nm. This result is very interesting because it proves the interest of the sol-gel synthesis method with drying under supercritical conditions in the development of nanoparticles. It is necessary to remember that this particle size is reported to be necessary for optical applications. Indeed, the control of the size of the nanoparticles is essential in order to minimize the loss of light.

Figure 2: XRD pattern (configuration θ/2θ, ΚαCu) of doped and undoped TiO₂ nanopowders.

Table 1: Particle size (nm) of doped and undoped TiO2.

The evolution of the XRD spectra of the prepared nanopowders after the heat treatment at 500°C (Fig. 3) shows an increase in the peaks intensity of all the samples and a decrease in the width at mid-height. The peaks become finer than the peaks of samples without annealing. The increase in the peaks intensity reflects an increase in the material's crystallinity rate, which is accompanied by an increase in the grain size. The structure obtained is still

Figure 3: XRD pattern (configuration θ/2θ, KαCu) of (a) TiO² and TiO² T.T 500°C/2h and (b) doped and undoped nanopowders T.T 500°C/2h.

Table 2: Particle size (nm) of prepared and treated TiO₂ nanopowders with different concentrations of Er/Yb.

	TiO ₂	5% Yb:TiO ₂	5% Er:TiO ₂	5% Er-5% Yb:TiO ₂	5% Er-10% Yb:TiO ₂
untreated	9.04	6,78	8.76	8.14	8.94
$T.T 500^{\circ}C/2h$		9,04	11,65	1.63	1.69

Subsequently, the effect of high temperature treatment on the structural properties of the powders produced by the sol-gel method was studied. Fig. 4 shown the XRD patterns of TiO2 powders calcined at different temperatures. For the nanopowders annealed at 500°C, the diffraction peaks are attributed to the anatase phase of tetragonal structure. There is a clear improvement in crystallinity with calcination at 500°C/2h. the Annealing at 800 °C reveals a transformation from the anatase phase to the rutile phase with the appearance of the representative peak of the rutile phase ($2\theta = 27.30^{\circ}$). At this temperature, the anatase phase is majority (75%) anatase-25% rutile) with more intense diffraction peaks which implies an improvement in the crystallinity. According to Chelbi et al. [49], the transformation of the anatase-rutile phase occurred at 600°C. In this work, the presence of the rutile phase is confirmed at 800°C. For the powders calcined at 1000°C, only the rutile phase exists and crystallizes in the tetragonal system. The average crystallite size of the anatase and the rutile phase was determined using the Debye-Scherrer equation in Table 3. The results shown in Table 3 reveal again that the increase in the annealing temperature is accompanied with an increase in the size of the crystallites that make up each phase of TiO2.

Fig. 5 shows the change in grain size as a function of the thermal treatment temperature of the elaborated TiO2 nanoparticles. From this study, we can see the interest of TiO2 nano-powders obtained with sol-gel process from the structural stability and the low grain size magnification that does not exceed the nanoscale.

Figure 4 : Evolution of the grain size as a function of the thermal treatment temperature for TiO₂ nano-powders produced by the Sol-Gel process.

The synthesis of TiO2 nanopowder, in the absence of annealing, yields spherical particles as depicted in Figure 6. These spheres are formed through the agglomeration of smaller particles measuring approximately 10 nm in

diameter. Previous studies have indicated that spherical aggregates composed of nanocrystallites offer advantages over nanoparticles and one-dimensional nanostructures when employed in solar energy conversion systems [50]. The sub-micrometric size of these spherical nanocrystallite aggregates allows for efficient light scattering within the visible light range. Moreover, these aggregates possess a compact internal structure, which enhances the transport capacity of photogenerated electrons compared to a nanoparticle film. As a result, the utilization of photoelectrodes derived from aggregate structures composed of spherical nanocrystallites demonstrates a conversion efficiencies of energy that are comparable to, or even surpass, those achieved using titania nanoparticles. This can be attributed to the multifunctional nature of these aggregates. Consequently, spherical aggregates represent a highly promising class of materials with the potential to significantly enhance energy conversion efficiency. This potential can be realized by intentionally modifying the morphology, size, and porosity of these aggregates [51]. In order to confirm the existence of Er/Yb elements in the samples subjected to doping, an analysis utilizing energy dispersion spectroscopy (EDX) was conducted. A comprehensive breakdown of the compositions of the examined samples can be found in Table 4. The acquired findings unequivocally demonstrate a consistent reduction in the proportion of titanium, accompanied by a proportional escalation in the relative abundance of erbium and/or ytterbium, as the dopant concentration in the solution steadily increases. Fig. 7 shows the TEM image of the TiO2 nanoparticles co-doped with Er and Yb. It is clear that the nanoparticles prepared with the sol-gel method under the supercritical conditions of ethanol have a spherical shape and in the form of dense aggregates consisting of crystallites. The size of the crystallizers is about 10 to 15 nm. The selected area diffraction clearly indicates that the developed TiO2 nanoparticles are highly crystalline in nature. The spherical shape and nanometric size of the nanoparticles are in agreement with SEM observation and XRD measurements.

Figure 5: SEM images of TiO₂ powder.

Figure 6: (a) TEM image of 5% Er-5% Yb:TiO₂ T.T 500 °C/2h and (b) area electron diffraction images in a

selected area (SAED).

Table 4: EDX of doped and undoped TiO₂ nanoparticles.

3. Spectroscopic Analysis

Fourier transform infrared spectroscopy (FTIR) was used to identify the individual functional groups in the TiO2 nanoparticles initially prepared and subsequently annealed (at 500°C) (see Figure 8). The infrared spectrum showed three distinct absorption bands indicating the presence of specific chemical entities. The appearance of a band between 2300-3700 cm-1 that is attributed to the vibrations of the OH hydroxyl groups of the water molecule (due to the presence of H2O that was not completely evaporated) and C-H group [52]. A band between 1300-1800 cm-1 can be attributed to the vibrations of organic compounds. The 1600 cm-1 band could be attributed to the C=O bond vibration. These carbonyl groups may be due to hydrocarbon contamination [53]. The absorption peaks between 250 and 700 cm-1 with an intense peak corresponding to the vibrations of the Ti-O-Ti bond, which transform into a single band indicating the disappearance of the alkoxy groups. The spectral band located between 400 and 800 cm-1 is attributed to the elongation vibrations υ (Ti-O), which characterizes the formation of the Ti-O-Ti bridge. The latter corresponds to the crystallization of titanium dioxide, which is in agreement with the literature [54]. The calcination of the nanoparticles causes the disappearance of the bands of the carbonyl groups (C-O). During heat treatment at 500°C, the bands due to the vibration of hydroxyl groups (OH) and C-H groups become much weaker, indicating that the elimination of hydroxyl groups and the decomposition of organic solvents is completed, which was in good agreement with the thermal analyses results. Six vibration modes allowed in Raman spectroscopy $(A1g + 2B1g + 3Eg)$ for anatase TiO2 were reported [55,56].

Figure 7: FTIR spectra of TiO₂ and TiO₂ T.T 500°C nanoparticles.

Fig. 9 of the Raman spectra show the appearance of peaks corresponding to the vibration modes of an anatase structure (XRD). The anatase phase is characterized by the peaks around: 146 cm-1 (Eg), 399 cm-1 (B1g), 514 cm-1 (A1g/B1g) and 638 cm-1 (Eg). We will be interested in the evolution of the Eg band at 146 cm−1 of anatase as a function of the doping concentration because it is the most intense and the finest, which facilitates the observation of its movements. This band is also used in the literature. By comparing the three Raman spectra, it is clear that the Raman bands are moving to the highest wave number. The observed deviation can be attributed to the influence of diminishing nanoparticle dimensions on other properties of the nanoparticles. As the particle size decreases to the nanoscale, two effects on the vibrational characteristics of these materials may ensue. Firstly, the nanoparticles experience a reduction in volume owing to radial pressure induced by their size, leading to an increase in force constants and subsequently a decrease in interatomic distances. In the context of vibrational transitions, the wave number approximately varies in proportion to the square root of the force constant (k1/2), thereby causing the Raman bands to shift towards higher wave numbers due to the augmented force constants. Secondly, the aforementioned contraction effect induces a decline in the vibrational amplitudes of the neighbouring particles, which can be regarded as a measure of the material's static disorder and thermal vibrations. This decrease in vibrational amplitude, accompanying the reduction in particle size, affects the intensity of the Raman bands. In conclusion, the alterations observed in the Raman spectra of Er- and/or Ybdoped TiO2 nanoparticles are attributed to the impact of the small nanoparticle size on the force constants and vibrational amplitudes of the neighbouring particle connections [57, 58].

Figure 8: Raman specra of (a) 5%Er:TiO₂, (b) 5%Er-5%Yb:TiO₂ and (c) 5%Er-10%Yb:TiO₂ nanopowders.

4. Visible Luminescence of Erbium

The photoluminescence (PL) spectra of untreated nanopowders with excitation under 488 nm at room temperature are shown in Fig. 10. It was observed that the untreated nanopowders exhibit poor luminescence due to organic residues. Wide bands can be seen due to the presence of impurities in the elaborated nanopowders. The down conversion (DC) intensity of 5%Er:TiO2, 5%Er-5%Yb:TiO2 and 5%Er-10%Yb:TiO2 nanoparticles were not detected.

Figure 9: PL spectra of (a) 5%Er:TiO₂, (b) 5%Er-5%Yb:TiO₂ and (c) 5%Er-10%Yb:TiO₂ nanopowders.

Figure 10: Down conversion emissions of (a) 5%Er:TiO₂, (b) 5%Er-5%Yb:TiO₂ and (c) 5%Er-10%Yb:TiO₂ nanopowders T.T 500°C.

4. Conclusions

In view of the properties required for an active medium giving rise to luminescent materials, the titanium dioxide matrix $TiO₂$ was chosen for this study thanks to its desired properties: transparency in the visible and nearinfrared and the low energy of phonons. The ions used for luminescence are erbium $Er³⁺$ and ytterbium $Yb³⁺$ from the rare earth family. The technique for developing nanopowders chosen to manufacture these nanomaterials is the sol-gel method under the supercritical conditions of ethanol. The doped and undoped nanoparticles were successfully prepared and annealed at 500, 800, and 1000°C for 2h. The structural, morphological, spectroscopic, optical, and thermal properties of these nanoparticles have been studied. The nanopowders obtained crystallize in an anatase structure and the dopants are incorporated into the TiO₂ matrix without change in structure. These are spherical in shape and size between 8 and 12 nm. The heat treatment of the elaborated nanoparticles improves their crystallinity, their optical properties and eliminates the impurities due to the preparation conditions.

Conflict of Interest

The authors declare that they have no conflicts of interest.

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