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# Response of fatal landslides to precipitation over the Chinese Loess Plateau under global warming

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Rain-induced loess landslides are especially prevalent in the Chinese Loess Plateau (CLP). Some became fatal landslide disasters, leading to numerous casualties and significant socioeconomic losses. Extreme precipitation is the main cause of landslide occurrence. Therefore, in this study we discuss the correlation between seven extreme precipitation indices, single continuous precipitation events and fatal landslides in the CLP using Pearson correlation analysis. We also predict future precipitation under climate changes using five optimal CMIP6 models. During the period 2004–2016, fatal landslides in the CLP increased at a rate of 0.6 per year, with frequent landslide events occurring especially in the central and southwestern parts of the CLP. We find that SDII (simple daily intensity precipitation index) and Rx5day (max 5-day precipitation amount) show spatial distribution that are consistent with fatal landslides. Extreme precipitation events were frequent after year 2000; and several extreme precipitation indices show an increasing trend with a higher magnitude since 2000 than before 2000. In particular, in 2013 when the number of fatal landslides was as high as 17, SDII, R95pTOT (extremely wet days), R25mm (very heavy precipitation days), and R×5day all showed abrupt increases. Single continuous precipitation events have profound effects on fatal landslides. We show that single continuous precipitation events with cumulative precipitation of 185-235 mm and duration of 6 days or longer have the highest correlation with fatal landslides. As the increasing occurrence of extreme rainfall events by the global warming, the CLP may face more fatal landslides in the future, especially in the high emission scenario of greenhouse gases (GHGs).

#### KEYWORDS

Chinese Loess Plateau, extreme precipitation, fatal landslides, global warming, continuous precipitation

### **1** Introduction

Global warming changes the water and energy system, which greatly contributed to the natural disasters in the past century and resulted in losses of life and millions in economic cost. These disasters include floods, droughts, hurricanes, and secondary disasters such as fatal landslides, heat waves and so on. For the direct disasters, their variability induced by global warming has received much attention (Johnson et al., 2018; Zhao et al., 2018). Wang

et al. (2017) suggest that a warming of 0.5°C leads to significant increases in extreme temperature and precipitation events in most regions. However, the secondary disasters are harder to predict than the direct disasters, because they are triggered by direct disasters and influenced by multiple factors. Recent studies have demonstrated that there are physical cascading mechanisms between direct and secondary disasters, such as heat and forest fires, drought and heat waves, floods and landslides (AghaKouchak et al., 2018; Ren and Leslie, 2020; Carnicer et al., 2022). Quantifying the coupled risks arising from direct and secondary disasters is beneficial for risk prediction and loss reduction.

Landslides, as a typical secondary disaster, are always characterized by fast process, low predictability, and large losses of life, which have a strong impact on human society. The occurrence of landslides is generally associated with extreme rainfall (Crozier, 2005; Kirschbaum et al., 2012; Ren et al., 2014). When extreme rainfall inflow on a slope is faster than the outflow, that is, the infiltration rate is greater than the exfiltration rate, the water content and pore water pressure in the soil increase, and the strength of the soil decreases, leading to landslide events (Van Asch et al., 1999; Crozier, 2010). Previous studies have showed an increasing trend of fatal landslides globally, causing 156,268 deaths and 177,537 injuries from 1995-2014, with precipitation-induced landslides accounting for 85% of these events (Haque et al., 2019). Obviously this number does not include remote areas where fatal landslides occurred but were not reported and recorded. Many researchers found a highly correlated relationship between landslide events and extreme precipitation in several countries, such as Portugal, Italy, India, South Korea, and China (Wen et al., 2004; Peruccacci et al., 2017; Bhardwaj et al., 2019; Kim et al., 2021; Araujo et al., 2022). China, one of the countries suffering from heavy landslide casualties, accounts for more than half of the landslides due to extreme precipitation events, which is among the three main factors that cause fatal landslides: extreme precipitation, urbanization, and over-exploitation (Zhang et al., 2023). Therefore, determining precipitation threshold is a common means of predicting landslides that are not generated by earthquakes. Since Caine (1980) established global threshold for landslide triggering using precipitation intensity (I) and precipitation duration (D), more and more researchers have used physical or empirical methods to determine global or regional thresholds by precipitation intensity (I) and precipitation duration (D), and some choose to consider cumulative event precipitation (E) and precipitation duration (D) as independent variables for defining thresholds (Ran et al., 2018; Huang et al., 2022; Zhou et al., 2022). In addition, extreme precipitation tends to increase globally under global warming, and previous studies have confirmed that future landslide events can be predicted on the basis of future changes in precipitation in different climate scenarios (Araujo et al., 2022).

Among the regions prone to landslides in the world, the Chinese Loess Plateau (CLP) region has a fragile geological environment, with a unique combination of loess gully landforms and valley landforms such as loess tableland, loess ridges and hills. Furthermore, loess has large pore spaces, vertical joint development, high collapsibility and erodibility, and a very fragmented surface (Xu et al., 2008; Zhang and Li, 2011; Peng and Duan, 2018). Therefore, the loess structure and high water

sensitivity are the endogenous causes of frequent geological hazards in the area. Geological hazards in the CLP occur throughout the year; landslides and loess mudslides occur frequently in up to 30% of Gansu Province (Wang et al., 2020). Lei. (2001) proposed that precipitation and human activities account for 30% and 23% of all loess landslides, respectively. According to statistics of landslides on the CLP by Xu et al. (2017), rainfall-induced landslides accounted for 40% of the 53 fatal landslides that caused 717 deaths during 1980-2015, indicating that rainfall was a direct indicator of landslides in the CLP. In recent years, studies on landslides and precipitation in the CLP focused on the mechanism of landslides triggered by precipitation and the role of precipitation in typical landslide events, and almost all of these discussions revolved around small areas of the CLP. Zhou et al. (2019) proposed that the main effect of rainfall is to fill a layer of loess through pores and fissures, which makes the water content and pore pressure in the CLP increase, and the subsequent decrease in effective stress reduces the landslide resistance of the slope. Qiu et al. (2020) considered the triggering factors of landslide events in Shaanxi and found that most of the non-earthquake-induced landslide events in Shaanxi were triggered by long-time pre-precipitation and shortterm daily precipitation. By studying a landslide process in Gansu, Wang et al. (2020) pointed out that the occurrence of continuous precipitation can induce the resurgence of landslides caused by historical earthquakes. With wetting in West China in recent years (Yang and Li, 2008; Shang et al., 2019), more extreme weather and other catastrophic events will become commonplace, thus research into the various secondary hazards associated with precipitation is urgently needed. However, almost all these studies did not separately consider the fatal landslide events that caused the death and disappearance of many people. There are still relatively few studies quantitatively linking fatal landslides and precipitation in the entire CLP region. It remains unclear whether future landslide events will increase under global warming or not. Therefore, in this article we focus on the response of fatal landslides to precipitation in the CLP region under global warming.

The remainder of this paper is presented below. In Section 2, we introduce the study area and data. In Section 3, we introduce methods. We analyze the spatial and temporal distribution of fatal landslides as well as annual mean precipitation for the period 2004–2016, the association of landslides with extreme and continuous precipitation, and future changes in extreme precipitation in Section 4. The summary and discussion are presented in Section 5.

### 2 Study area and data

### 2.1 Overview of the study area

In this paper, the main body of the CLP  $(101^{\circ}-114^{\circ}E, 34^{\circ}-41^{\circ}N)$  is chosen as our study area (Figure 1). The CLP is located at a northern latitude in the middle reach of the Yellow River. It covers a region more than 1,000 km from east to west and 750 km from north to south, including a vast area west of the Taihang Mountains, east of the Riyue Mountains in Qinghai Province, north of the Qinling



Mountains, and south of the Great Wall, spanning Shanxi, Shaanxi and Ningxia, including parts of Inner Mongolia, Gansu, Qinghai, and Henan. Its total area is approximately 630,000 km<sup>2</sup>, accounting for ~6.6% of China's landmass (Liu, 1985). With a population of more than 200 million, the CLP is the base of numerous forestry, agricultural and livestock industries (Lei. 2001). It has semi-arid and semi-humid climate. Its vegetation ecosystem shows a clear regional variation from northwest to southeast; and its ecosystem mainly includes desert, grassland, shrubs and forests, etc (Zhang et al., 2022). This fragile ecological environment is highly susceptible to soil erosion. Therefore, there are three geomorphological structures in the CLP: platform, ridge and dome. The geological structures are complex, mainly including bedrock, mudstone, river and lake strata, Wucheng loess, Lishi loess, Malan loess, and recently deposited loess (Peng et al., 2019b).

The thickness of loess deposition varies from a few meters to 400 m (Derbyshire et al., 2000; Xu et al., 2007). Moreover, the terrain is highly undulating with alternating rivers, tablelands, crisscrossed gullies and ravines. In addition, many studies showed that loess has unique mechanical properties, large porosity, vertical joints, loose texture, and sensitivity to suction stress (Dijkstra et al., 1995; Derbyshire et al., 2000; Xu et al., 2007; Zhang et al., 2009; Zhang and Liu, 2010) and is a typical type of unsaturated soil (Fredlund and Rahardjo, 1993; Nouaouria et al., 2008; Xia and Han, 2009; Muñoz-Castelblanco et al., 2011; Muñoz-Castelblanco et al., 2012). Therefore, the unique environmental characteristics in the CLP make it extremely sensitive to precipitation, earthquakes, vegetation and human activities, resulting in frequent occurrences of landslides and other catastrophic events. As mentioned above, there is a close relationship between precipitation and landslides. Factors other than precipitation also need our attention. Some landslides in the CLP originate from earthquakes, which are in the seismic sensitive zone and cause soil liquefaction due to earthquakes (Zhang and Wang, 2007; Wang et al., 2014). At the same time, the influence of vegetation on landslides is reflected by the fact that the increase of vegetation reduces pore pressure and the reinforcement of roots can improve the strength of soil (Guo et al., 2020; Luo et al., 2023). Therefore, bare vegetation is more prone to trigger landslides. Human activities are another important influencing factor for landslides. In recent years, irrigation and engineering activities have increased the susceptibility to catastrophic events in the CLP. Irrigation makes the groundwater level rise, which increases pore pressure and destabilizes the slope, thus contributing to the occurrence of landslides (Lian et al., 2020). Human activities such as excavation and mining can also weaken the stability of slopes and even revive old landslides, leading to frequent occurrence of catastrophic events in the CLP, resulting in a large number of casualties (Li et al., 2014; Peng et al., 2019a; Tang et al., 2020).

### 2.2 Data

The precipitation data used in this paper are from the CN05.1 dataset provided by the Climate Change Research Center of the Chinese Academy of Sciences (CAS-CCRC, https://ccrc.iap. ac.cn/resource/detail?id=228), which is obtained by the anomaly app-roach method with the interpolation and superposition of the climate field and the anomaly field (Wu and Gao, 2013), using observations from more than 2,400 stations in China. The data first included daily mean temperature, maximum and minimum temperature, and precipitation, and later added three variables-

Model	Institution and country	Resolution (latitude $\times$ longitude)
ACCESS-CM2	Commonwealth Scientific and Industrial Research Organization, Australia	192×144
ACCESS-ESM1-5	Commonwealth Scientific and Industrial Research Organization, Australia	192×145
AWI-CM-1-1-MR	Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Germany	192×96
BCC-CSM2-MR	Beijing Climate Center, China Meteorological Administration, China	320×160
CanESM5	Canadian Centre for Climate Modelling and Analysis, Environment and Climate Change Canada, Canada	128×64
CESM2-WACCM	National Center for Atmospheric Research, U.S.	144×96
CMCC-CM2-SR5	Fondazione Centro Euro-Mediterraneo sui Cambiamenti Climatici, Italy	288×192
CMCC-ESM2	Fondazione Centro Euro-Mediterraneo sui Cambiamenti Climatici, Italy	288×192
EC-Earth3	EC-Earth-Consortium	512×256
EC-Earth3-Veg	EC-Earth-Consortium	512×256
EC-Earth3- Veg-LR	EC-Earth-Consortium	320×160
FGOALS-g3	Institute of Atmospheric Physics, Chinese Academy of Sciences, China	180×80
GFDL-ESM4	Geophysical Fluid Dynamics Laboratory, Princeton, U.S.	288×180
INM-CM4-8	Institute for Numerical Mathematics, Russian Academy of Science, Russia	180×120
INM-CM5-0	Institute for Numerical Mathematics, Russian Academy of Science, Russia	180×120
IPSL-CM6A-LR	Institut Pierre Simon Laplace, France	144×143
KIOST-ESM	Korea Institute of Ocean Science and Technology, Republic of Korea	192 x 96
MIROC6	Agency for Marine-Earth Science and Technology, Japan	256×128
MPI-ESM2-0	Agency for Marine-Earth Science and Technology, Japan	320×160
MPI-ESM1-2-HR	Max Planck Institute for Meteorology, Germany	384×192
MPI-ESM1-2-LR	Max Planck Institute for Meteorology, Germany	384×192
NESM3	Nanjing University of Information Science and Technology, China	192×96
NorESM2-LM	Norwegian Climate Centre, Norway	144×96
NorESM2-MM	Norwegian Climate Centre, Norway	288×192
TaiESM1	Research Center for Environmental Changes, Academia Sinica, China	288×192

#### TABLE 1 CMIP6 climate models used in this study.

humidity, wind speed, and surface evaporation (Wu et al., 2017). Among them, the daily precipitation data have a resolution of 0.25° and the time range is 1961–2021. The data have high resolution and long-time scale, which is suitable for comparative analysis of model simulation results. In this paper, we mainly use these data from 1980 to 2021 for the calculation of extreme precipitation indices and the comparison of model simulation results.

To analyze the characteristics of landslide change, we use data on non-earthquake-induced fatal landslides provided by Zhang and Huang (2018). Zhang and Huang (2018) collected the data on landslides from China's geological environment information site (CGEIS, http://www.cigem.gov.cn) and Ministry of Natural Resources of China (MNR, http://www.mnr.gov.cn) from 2004 to 2016, including the type of hazards, triggering mechanism, approximate locations, economic losses, and casualties. Since almost all casualties or fatalities were from landslides, they organized the specific quantity of landslide events that resulted in casualties in different geographical areas and provinces and total losses of fatal landslides and excluded earthquake-induced landslide events. It is worth noting that the fatal landslides are landslide events that result in the death or disappearance of people.

Regarding simulating and predicting the frequency of continuous precipitation for historical and future periods, we used the daily precipitation data from the Coupled Model Intercomparison Project Phase 6 (CMIP6). We selected 25 models covering daily precipitation data for the historical simulations (1980–2014) and the SSP1-2.6 and SSP5-8.5 simulations (2015–2100) for comparative analysis (Table 1). The data available at https://esgf-node.llnl.gov/search/cmip6/. Since the resolutions of these models differ, the bilinear interpolation method was used to interpolate the models to a uniform resolution of  $(0.25^{\circ} \times 0.25^{\circ})$ .

Code	Name	Definition	Unit
PRCPTOT	Total precipitation in wet days	Annual total precipitation in wet days	mm
SDII	Simple daily precipitation intensity index	The ratio of annual total precipitation to the number of wet days ( $\geq 1$ mm)	mm/day
R95pTOT	Extremely wet days	Annual total precipitation from days >95th percentile	mm
R25mm	Very heavy precipitation days	Annual count of days when precipitation ≥25 mm	day
CWD	Consecutive wet days	Maximum number of consecutive days when precipitation $\geq 1 \text{ mm}$	day
R×1day	Max 1-day precipitation amount	Annual maximum 1-day precipitation	mm
R×5day	Max 5-day precipitation amount	Annual maximum 5-day precipitation	mm

TABLE 2 Definition of extreme precipitation index.

## **3 Methodology**

# 3.1 Definition of extreme precipitation indices

In this paper, we use the extreme precipitation index recommended by the Expert Team on Climate Change Detection and Indices (ETCCDI) (etccdi.pacificclimate.org/list\_27\_indices. shtml), which is available for the analysis of extreme precipitation and for climate change studies. In our study, we considered the duration, intensity and frequency of precipitation to select seven of these extreme precipitation indicators to study the effects on fatal landslides. In operational forecasting in China, a precipitation event with daily precipitation ≥50 mm is called torrential rain, and a precipitation event with daily precipitation between 25 and 50 mm is called heavy rain. Because of the uneven distribution of precipitation in the CLP, considering that the sample size satisfied by considering the effects of extreme precipitation with 50 mm as the criterion for heavy rainfall is too small, in the paper, we have chosen a criterion of 25 mm to discuss the effects of heavy rainfall on fatal landslides. The method to define the threshold of extreme precipitation events at each station (Zhai and Pan, 2003) is that the samples of daily precipitation series from 1981 to 2010 are arranged in an ascending order for each station. The 30-year average of the 95th (99th) percentile of subsamples with daily precipitation  $\geq 1 \text{ mm}$  is defined as the 95% (99%) extreme precipitation threshold, and a precipitation event with daily precipitation exceeding the extreme precipitation threshold is called an extreme precipitation event. In this study, 95% extreme precipitation thresholds were chosen as the standard to study extreme precipitation events from 2004-2016.

All extreme precipitation indices used in the paper are included in the following table (Table 2).

### 3.2 CMIP6 modes introduction

The Coupled Model Intercomparison Project (CMIP), which was initiated and organized by the WCRP Working Group on Coupled Modeling (WGCM), is an international program to compare coupled models. Its objective is to compare the performances of global coupled climate models, which has evolved to "advance model development and improve scientific understanding of the Earth's climate system" with the rapid development of coupled sea-air models (Zhou et al., 2019). In this paper, we use historical precipitation simulations from the Historical experiment, in addition to daily precipitation results from the ScenarioMIP, a subprogram of 23 models from various countries approved by the CMIP6. The two extreme emission scenarios, SSP1-2.6 and SSP5-8.5, were selected for our study. SSP stands for socioeconomic pathway, with SSP1-2.6 representing the combined effects of low vulnerability, low mitigation pressure and low radiative forcing, and SSP5-8.5 considering higher CO<sub>2</sub> emissions and representing the development of high fossil fuel consumption. Thirty-three institutions are involved in the development of the CMIP6 models. By eliminating models with incomplete precipitation data in the historical phase and in SSP1-2.6 and SSP5-8.5 scenarios, we finally retained 25 CMIP6 models. Institutions and resolutions of the 25 models are shown in Table 1.

# 3.3 Statistical parameters for model performance evaluation

To evaluate the simulation capability of the CMIP6 model for extreme precipitation indices, we considered statistical indicators on spatial and temporal scales. Among them, the spatial correlation coefficient ( $R_s$ ) and root mean square error (RMSE) are used to analyze and compare the simulation results on the spatial scale (Shiferaw et al., 2018; Yang et al., 2019; Rivera and Arnould, 2020). The temporal correlation coefficients ( $R_t$ ) and trend differences (Trend) are used to analyze the differences of the model simulations on the time scale (Pimonsree et al., 2022; Wang et al., 2022). Each statistical parameter is calculated as follows.

$$R_{\rm S} = \frac{\sum_{i=1}^{n} (s_i - \bar{s}) (o_i - \bar{o})}{\sqrt{\sum_{i=1}^{n} (s_i - \bar{s})^2 \sum_{i=1}^{n} (o_i - \bar{o})^2}}$$
(1)

 $s_i$  represents the result of the model simulation on a particular grid point and  $o_i$  represents the result of the observation on a particular grid point.  $\bar{s}$  and  $\bar{o}$  represent the average of model simulations and observations on all grid points. The range of  $R_s$  is from -1 to 1. When the value is closer to 1, the closer the model is to the observed result, which means that the model simulation ability is better.



FIGURE 2

Spatial and temporal distribution of fatal landslides and annual mean precipitation in the CLP region. (A) Time series of the number of fatal landslides and annual precipitation from 2004 to 2016. (B) Distribution of fatal landslides and annual mean precipitation from 2004 to 2016. Red triangles are the locations of fatal landslides. (C) Spatial distribution of fatal landslide events from 2004 to 2016.

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (s_i - o_i)^2}{N}}$$
(2)

 $\overline{s_y}$  and  $\overline{o_y}$  represent the 35-year average for 1980–2014. The closer  $R_t$ is to 1, the better the predictive power of the model on the time scale.

The closer the RMSE is to 0, the better the mode simulation capability.

$$R_{t} = \frac{\sum_{j=1}^{m} (s_{yj} - \bar{s}_{y}) (o_{yj} - \bar{o}_{y})}{\sqrt{\sum_{j=1}^{m} (s_{yj} - \bar{s}_{y})^{2} \sum_{j=1}^{m} (o_{yj} - \bar{o}_{y})^{2}}}$$
(3)

On the time scale,  $s_{yj}$  represents the regional average of the model simulation results for each year during 1980-2014. ovi represents the regional average of the observations for each year.

$$y = a + b^{*}t$$
When b =  $\frac{N\sum ty - \sum t\sum y}{N\sum t^{2} - (\sum t)^{2}}, a = \frac{N\sum y\sum t^{2} - \sum t\sum ty}{N\sum t^{2} - (\sum t)^{2}}$ 
(4)
Trend =  $|b_{s} - b_{o}|$ 
(5)

The above equation reflects the formula for the linear trend equation, where t represents the time series studied in 1980-2014 and y is the annual mean. b is the slope and a is the intercept. Trend represents the absolute value of the trend difference between the simulated results and the observed results obtained by least squares regression. The closer the absolute value is to 0, the more consistent the model and the observed results are in terms of trend.

## 4 Results

# 4.1 Spatial and temporal distribution of fatal landslides and annual mean precipitation

The CLP, as one of the oldest loess, is the birthplace of the ancient civilization of the Chinese nation. The development of culture illustrated its suitability for human living. The CLP is typical with loess soil, with weak water conservation. A new study shows that the annual accumulated precipitation has an abrupt increase since 2000 (Huang et al., 2022). In the high stage of annual precipitation, it shows the CLP region became wetter (Figure 2A), and the annual mean precipitation increased by 14.38 mm/year, which is consistent with previous studies and proves that there is a trend of wetting in Northwest China (Yang and Li, 2008; Shang et al., 2019). Additionally, the annual mean precipitation dropped to its lowest (402.4 mm) in 2005 and reached its highest (506.8 mm) in 2013. With the wetting of the CLP, fatal landslides from 2004 to 2016 increased by 0.6 events/year. It is worth noting that before 2007, only one fatal landslide occurred, which was in 2004, but after 2007, the occurrence of fatal landslides became more frequent and peaked in 2013, when 17 fatal landslides occurred over the CLP.

Precipitation is uneven in spatial distribution with significant regional differences in the CLP. As seen from the spatial distribution of fatal landslides and annual mean precipitation from 2004 to 2016 (Figure 2B), precipitation over the CLP decreased from southeast to northwest, with the highest annual mean precipitation of 810.22 mm occurring in the southeastern part of the CLP, and the lowest of 122.21 mm occurring in the northwestern part. The fatal landslides were mainly distributed in the central and southwestern CLP (Shaanxi and Gansu), especially the central part; and no fatal landslides occurred in the northwestern part, where precipitation was scarce, from 2004 to 2016. Overall, landslides occur more frequently in places with high annual precipitation. However, annual precipitation greater than 600 mm is mainly in the southernmost part of the CLP, but the location of frequent fatal landslides is mainly concentrated in the central part (Figure 2B).

The evolution of the spatial distribution of fatal landslide events from 2004 to 2016 (Figure 2C) reveals location changes of fatal landslide events during those 13 years. The landslide that occurred in 2004 was concentrated in the southeastern CLP. After 2008, fatal landslides occurred more frequently, and most of them were distributed in the southeastern and southwestern areas. The number of fatal landslides peaked in 2013, with a spatial distribution from the southwestern to the eastern and central part of the CLP. The number of sites where landslides occurred showed a decreasing trend with a spatially sparse distribution as a result of the sharp drop in precipitation in 2015 (Figure 2A).

# 4.2 Effects of extreme precipitation on fatal landslides

Previous landslide model tests on loess areas showed that rainfall has obvious effect on the soil water content in loess areas, up to approximately 3 m below the surface (Tu et al., 2009; Zhang et al., 2012), and that precipitation significantly changes the matrix suction in the upper part of the loess but barely affects that in the lower part (Huang and Qi, 2004). Thus, the landslides caused by short, intense rainfall are mostly shallow landslides (Li et al., 2008; Zhuang et al., 2014). With these results, we analyzed the explicit relationship between extreme rainfall and fatal landslides. We considered the frequency, intensity and duration of precipitation and investigated the effect of precipitation on fatal landslides. By analyzing the spatial distribution of anomalies of the six extreme precipitation indices from 2004 to 2016 based on the period from 1981 to 2010, we find that SDII is almost positive anomaly except for a small part of the western CLP where there is obvious negative anomaly (Figure 3A). The range of value of SDII anomalies is between -0.5 and 0.5 mm/day. The distribution of R95pTOT is similar to that of SDII (Figure 3B), but the difference is that R95pTOT exhibits a smaller range of positive anomalies than SDII, with negative anomaly in the southwest of the CLP. The maximum anomaly value is located in the middle east of the CLP, and the average R95pTOT from 2004 to 2006 is 40 mm more than the average in the base period. The distribution of R25mm is basically identical to that of CWD and R×1day (Figures 3C-E), and the three extreme precipitation indices present mainly negative anomalies in the CLP, the positive anomaly is mainly in the central CLP. In conclusion, all six extreme precipitation indices have obvious spatial heterogeneity in the anomaly distribution, and show a change from low to high from west to the east (Figures 3A-F). Comparing the results of landslide spatial distribution in the CLP, several extreme precipitation indices in the central and eastern CLP have a good correspondence with the occurrence of fatal landslides in the region. However, in the westernmost CLP, which is more prone to fatal landslide events, only SDII and R×5day present positive anomalies, indicating that the influencing factors leading to the occurrence of fatal landslides in the region are mainly from R×5day and SDII.

From the time series distribution of the six extreme precipitation indices in 1980-2021, we can find that SDII, R95pTOT, R25mm, R×1day and R×5day all show an increasing trend except CWD which shows a slight decreasing trend (Figures 4A-F). At the same time, we find that after 2000, the increase of all the extreme precipitation indices except CWD is more obvious, and the annual changes of SDII, R95pTOT, R25mm, R×1day and R×5day increase to 0.4 mm/day/10 years, 24 mm/10 years, 0.53 days/ 10 years, 1.9 mm/10 years, and 7.0 mm/10 years, indicating that after 2000, the duration and intensity of extreme precipitation as well as the number of days of heavy rainfall increase in the CLP region, which easily leads to flooding and landslide events. Comparing the temporal distribution of fatal landslides, SDII (Figure 4A) exceeded the mean value (6.24 mm/day) in the 7 years from 2004 to 2016, reached the maximum value (7.23 mm/day) in 2013, and decreased to the minimum value (5.28 mm/day) in 2015. The change characteristics of increasing and then decreasing around 2013 are consistent with the temporal



#### FIGURE 3

Spatial anomalous distribution of SDII (A), R95pTOT (B), R25mm (C), CWD (D), R×1day (E) and R×5day (F) from 2004 to 2016 relative to the period from 1981 to 2010.



from 1980–2021, the dashed gray line represents the trend change of extreme precipitation index from 1980–2021, and the dashed red line represents the trend change of extreme precipitation index from 1980–2021, and the dashed red line represents the trend change of extreme precipitation index from 1980–2021.

distribution of fatal landslides. Among all indices, SDII, R95pTOT, R25mm and R×5day reflect the same extreme change characteristics as the change of fatal landslide events. R×5day (Figure 4F) showed

the largest increase in 2013, with an 18% increase compared to the previous year. The correlation coefficients of the temporal distribution of extreme precipitation index and fatal landslide



events reflect a higher correlation compared to annual precipitation. The correlation coefficient between annual precipitation and fatal landslides was only 0.66, but the correlation between SDII, R95pTOT, R25mm, R×5day and fatal landslides reached above 0.7, with SDII having the highest correlation (r=0.794) and passing the significance test of 0.01 (Figure 5A). The correlation of R×1day was not as high, probably because the 1-day precipitation was not strong enough to reach the critical value for inducing landslides. Similarly, the lowest correlation was found for CWD, indicating that the number of consecutive wet days needs to reach a certain cumulative amount of precipitation to induce landslides.

It has been indicated that deep landslides are mainly influenced by long, antecedent effective precipitation (Li et al., 2008), and the reduction of negative pore pressure in dry soils by antecedent precipitation is a precondition for strong rainfall to induce landslides (Ma et al., 2014). Similarly, Tu et al. (2009) found that long, continuous, light rainfall is more likely to induce loess landslides than short, heavy rainfall. Zhuang and Peng (2014) investigated a loess landslide event caused by long rainfall, and found that long rainfall led to multiple landslides at the same location; and after the first landslide occurred, cracks formed at the rear edge of the landslide, after which more precipitation filled the cracks and induced large landslides (Zhang and Li, 2011).

From the above study we have found that fatal landslides have a better correlation with R×5day than with R95pTOT in both space and time. This proves that the effect of multi-day long precipitation is key to influencing the occurrence of fatal landslides compared to the short period of heavy precipitation. To further explore the relationship between fatal landslides and long rainfall, we defined a single continuous precipitation event. In this paper, if the daily precipitation is greater than 1 mm for n days until no precipitation occurs on a particular day, then we define the n-day precipitation as a continuous precipitation event. The correlation coefficients between continuous precipitation events exceeding different amounts of total precipitation and the occurrence of fatal landslide events (Figure 5B) shows that the correlation coefficient first increased sharply with the increase of total precipitation and reached its first maximum value of over 0.7 when the total precipitation was ≥25 mm; then, it fluctuated downward until the total precipitation was ≥92 mm, and dropped to a minimum value of approximately 0.35; after that, it began to increase again as total precipitation increased further, reaching the maximum value of 0.92 when the total precipitation was ≥193 mm. In fact, the correlation coefficient starts to sustain a very high value when the accumulated precipitation exceeds 185 mm. During that phase, the correlation coefficient remained above 0.9 until 235 mm. Eventually, it oscillated downward. Nevertheless, the above result only reveals the effect of total precipitation on fatal landslides. To further determine how different precipitation durations affect local fatal landslides when total precipitation reaches 185 mm-235 mm, we calculated the correlation coefficients between continuous precipitation events of different durations with total precipitation of 185 mm-235 mm and fatal landslide events (Figure 5C). The correlation coefficient between fatal landslides and continuous precipitation of more than 6 days was high, reaching more than 0.5, when the accumulated precipitation reached 185 mm–235 mm. The correlation coefficient peaked at 0.89 when the duration reached 10 days, and then began to decline gradually after 14 days.

# 4.3 Changes of sensitive precipitation by anthropogenic effects

Based on the above analysis, we found that SDII has a high correlation with fatal landslides in both spatial and temporal distributions, therefore, we analyzed the spatial and temporal variation of SDII in the CLP under SSP1-2.6 and SSP5-8.5 scenarios, and then predicted future landslide events. Previously, we calculated  $R_s$ , RMSE,  $R_t$ , and Trend for 25 models with observations for the period 1980–2014 (Supplementary Table S1), and obtained the composite ranking for each model by ranking the four indicators individually and finally averaging the rankings of the four indicators (Figure 6A).

The simulation results for SDII showed that GFDL-ESM4, IPSL-CM6A-LR, KIOST-ESM, MPI-ESM2-0, and FGOAL-g3 performed the best and ranked in the top five. It shows that the above five models can better simulate the spatial and temporal distribution



characteristics of SDII. Therefore, we calculate the ensemble mean of the historical simulation results of the above five optimal models. The results of the ensemble averaging are better than those of the individual models, with Rs 0.92, RMSE 0.58, Rt 0.24, and Trend 0.003. Higher  $R_s$  and  $R_t$  mean that the model simulation results are more correlated with the observed results in space and time. Meanwhile, RMSE and Trend represent the absolute values of the root mean square error and the difference of trend between the model and the observed results, respectively, and the closer the two are to 0, the better the model simulation results are represented. From the results of ensemble averaging, the model is highly correlated in spatial distribution but underestimated in time for SDII. This may be attributed to the fact that the precipitation variability in climate models increases with global warming, enhancing the uncertainty in model projections. Moreover, the internal variability leading to precipitation variability has greater uncertainty on long time scales (Pendergrass et al., 2017; Bhatia and Ganguly, 2019; Ayar et al., 2021). 25% and 75% of the uncertainty range lies in the range of 5-7 mm/day, and both the observed and simulated results are in an increasing trend. In the SSP1-2.6 and SSP5-8.5 scenarios, the difference between them is not significant in

the early and mid-21st century, but in the late 21st century (2081–2100), with global warming, a greater upward trend of SSP5-8.5 can be found (Figure 6B). By 2100, the SDII increases to 7.5 mm/day in SSP5-8.5 scenario. However, the SDII shows an increasing and then decreasing trend in SSP1-2.6 scenario. It is certain that the model underestimates the trend of continuous precipitation as reflected by the historical simulation results, which means that in the future the frequency of continuous precipitation may increase more than we predicted, and the probability of fatal landslides due to continuous precipitation will be greater. Spatially (Figures 6C, D), the difference between SDII in the late 21st century and the early 21st century in SSP5-8.5 scenario is greater than that in SSP1-2.6 scenario, and this increase is mainly reflected in the central CLP.

Similarly, we investigated the future changes of R×5day. The ranking of the model simulations showed that the five optimal models for R×5day simulations were EC-Earth3-Veg, KIOST-ESM, EC-Earth3-Veg-LR, IPSL-CM6A-LR and MPI-ESM2-0 (Figure 7A; Supplementary Table S2). The performance of the ensemble averaging of the optimal model was better than that of a single model ( $R_s$  =0.94, RMSE=7.04,  $R_t$  =0.38, Trend=0.04). During the



historical period (1980–2014), the R×5day of the model simulations were close to the observations, which were within the uncertainty interval of the model simulations for each year (Figure 7B). Since 2015, SSP5-8.5 maintains a fluctuating upward trend, and by 2,100, the R×5day reaches 90 mm, which proves the positive effect of human activities on future precipitation. The gap between SSP5-8.5 and SSP1-2.6 further increases at the end of the 21st century. In terms of spatial distribution (Figures 7C, D), the difference in the late 21st century compared with the earlier period is mainly in the central and southeast CLP. R×5day increases from the northwest to the southwest, and in the southwest CLP, the annual average R×5day increases by more than 24 mm.

# 5 Discussion

In this paper, we consider the relationship between extreme precipitation (including continuous precipitation) and fatal landslides during 2004–2016; and find that SDII and R×5day are well correlated with fatal landslides, a finding that suggests that fatal landslides in the CLP are mainly caused by continuous precipitation events. Sun et al. (2021) stated that rainfall intensity affects the time

scale of development of slope deformation damage. When a heavy rainfall occurs suddenly, the safety factor of the slope decreases rapidly; as the rainfall continues, the safety factor decreases to 1.05 by the sixth day, and the rainfall penetrates into the interior of the slope, which is exacerbated by the initial rainfall, thus causing the slope to lose stability and resulting in landslide events (Zhou et al., 2019). By comparing the correlation between precipitation and landslides with different cumulative precipitation and duration, we find that the correlation was stable above 0.5 when the precipitation amount reached 185-235 mm and the duration of precipitation is 6 days or longer, which is consistent with previous findings. On 21 July 2013, the initial effective rainfall in 7 days for the landslide in Tianshui, Gansu Province was 239 mm, which caused significant casualties and losses (Peng et al., 2015). Moreover, the precumulative precipitation of the landslide event that caused casualties in the Nanxiaohegou Basin in 2018 also reached 232.2 mm with a duration of more than 10 days (Luo et al., 2023).

Under global warming, different regions of the world have experienced different degrees of increase in annual precipitation and, notably, in extreme precipitation events. As atmospheric water resources grow and the changes of dynamic circulation become stronger (Pendergrass et al., 2017), extreme precipitation events occur more frequently especially in the late 21st century. For such a unique terrain as the CLP, the increase in extreme and cumulative precipitation will significantly increase the frequency of fatal landslides, leading to a serious threat to the local economy and human life, especially in the central CLP. This is relatively consistent with the findings of previous studies. Lin et al. (2022) suggested that the extent of landslide occurrence would increase under the influence of climate change, and the frequency of reaching the precipitation threshold for landslides would increase significantly in the future, especially in the northwestern region.

Therefore, the results obtained in this study provide better insight into the link between heavy precipitation events and fatal landslides, which can help local governments adopt relevant policies to actively avoid disaster risks. However, more work is needed to study the response of global warming precipitation to landslides. The prediction of future precipitation relies on different climate models, and the uncertainty of model prediction needs to be further verified for the reliability of future precipitation trends. Human activities enhance the probability of occurrence of extreme precipitation, but it is still a challenge to quantify the contribution of anthropogenic emissions and urbanization. In addition, there are multiple factors triggering the occurrence of fatal landslides in the CLP; we can only predict landslides simply by using rainfall thresholds. In fact, precipitation is only one key factor leading to landslides. In recent years, landslide events due to loess excavation and irrigation deserve equal attention. With the implementation of policies such as the western development, many engineering projects in the CLP have caused serious soil erosion; and road construction and over-mining have further increased the risk for disasters in the area. According to Yang et al. (2020), reference evapotranspiration will increase in most regions of Northwest China in the future, which may exacerbate plant water stress and thus lead to more widespread anthropogenic irrigation and indirectly induce landslides. In addition, it is worth noting that another factor leading to the high incidence of landslides in the western CLP may be related to sparse vegetation and weak root protection in the CLP, which are actually indirectly regulated by low precipitation. These issues need further research.

## 6 Conclusion

The CLP as a landslide-prone area has landslides that cause casualties almost every year. Many studies pointed out the great influence of short, heavy precipitation and antecedent precipitation on landslide events over the region. In this study, we found that fatal landslides occurred mainly in the central and southwestern CLP (Shaanxi and Gansu). Fatal landslides showed an increasing trend of 0.6 events/year on average from 2004 to 2016.

It was found that the effect of extreme precipitation on fatal landslides was more significant compared to the annual mean precipitation. On the spatial scale, the multi-year average results of multiple extreme precipitation indices from 2004–2016 in the central CLP showed positive anomalies compared to the climatic average, reflecting that the key to the frequency of fatal landslides in the central CLP is the increase in extreme precipitation events. Meanwhile, in the southwestern CLP, R×5day and SDII have a better correspondence with fatal landslides. On the time scale, SDII, R95pTOT, R×5day, and R25mm have high correlations with fatal landslides, all reaching above 0.7. However, the correlation of R×1day was poor, indicating that continuous precipitation plays a key role in fatal landslides compared to short-duration high-intensity precipitation. It is shown that the threshold of continuous precipitation for inducing fatal landslides is continuous precipitation events with accumulated precipitation of 185 mm–235 mm and duration of 6 days or longer.

By analyzing the future changes of SDII and R×5day under SSP1-2.6 and SSP5-8.5 scenarios, we found that the risk of extreme precipitation events will further increase under the global warming, especially under the high emission scenario, which is mainly reflected in the central and southeastern CLP. This could assist in the prediction of future landslides.

## Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: http://www.mnr.gov.cn http://www.cigem.gov.cn https://ccrc.iap.ac.cn/resource/detail?id=228 https://esgf-node.llnl. gov/search/cmip6/.

## Author contributions

XG proposed the general idea of the manuscript and draft of manuscript. WS and XK: Methodology. WS: Wrote the first draft of the manuscript and visualization. XK performed the statistical analysis. YH: Supervised and edited the manuscript. FZ and JH gave the resources. All authors contributed to the article and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/feart.2023.1146724/ full#supplementary-material

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