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# 3D modelling of the impact of stellar activity on tidally locked terrestrial exoplanets: atmospheric composition and habitability

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## ABSTRACT

Stellar flares present challenges to the potential habitability of terrestrial planets orbiting M dwarf stars through inducing changes in the atmospheric composition and irradiating the planet’s surface in large amounts of ultraviolet light. To examine their impact, we have coupled a general circulation model with a photochemical kinetics scheme to examine the response and changes of an Earth-like atmosphere to stellar flares and coronal mass ejections. We find that stellar flares increase the amount of ozone in the atmosphere by a factor of 20 compared to a quiescent star. We find that coronal mass ejections abiotically generate significant levels of potential bio-signatures such as N<sub>2</sub>O. The changes in atmospheric composition cause a moderate decrease in the amount of ultraviolet light that reaches the planets surface, suggesting that while flares are potentially harmful to life, the changes in the atmosphere due to a stellar flare act to reduce the impact of the next stellar flare.

**Key words:** radiative transfer – planets and satellites: atmospheres – planets and satellites: composition – planets and satellites: terrestrial planets – planet–star interactions – stars: flare.

## 1 INTRODUCTION

The study of potentially habitable terrestrial exoplanets orbiting M dwarfs is likely to play a pivotal role in answering one of the most significant and long-standing questions facing humankind: whether life on Earth is a unique and singular occurrence. This major, overarching, goal encompasses and requires contributions from a wide range of research disciplines. Exoplanet research has an opportunity to make a vital contribution to help unravel this puzzle.

For the foreseeable future, terrestrial planets orbiting M dwarf stars represent our best opportunity of potentially identifying a habitable world beyond the Solar system. As we currently have evidence of only one inhabited planet, Earth, we are focusing efforts to identify potentially habitable worlds through the lens of life on Earth. However, although efforts are underway to identify targets amenable to follow-up characterization as similar to the Earth as possible in terms of host star, orbital parameters etc. (e.g. the Terra Hunting Experiment; Thompson et al. 2016),<sup>1</sup> currently our sample of potentially habitable planets, essentially defined as planets orbiting at a distance from their star such that liquid water could be present on their surface (i.e. in the ‘habitable zone’, or HZ; Kasting, Whitmire & Reynolds 1993), are dominated by those orbiting M dwarfs. Planets

orbiting M dwarfs in the HZ must have shorter periods than those orbiting sun-like G dwarfs, due to the lower luminosity of the central star. This shorter period combined with the ubiquity of M dwarfs and the more favourable planet-to-star radius and mass ratios make detection and atmospheric characterization much more feasible for these planets compared to their G dwarf counterparts. For some of these M dwarf hosted planets it may even be possible to obtain constraints on their atmospheric compositions in the near future, vital for determining potential climates (de Wit et al. 2018).

However, there are several difficult challenges to our ability to understand and interpret the climates of any particular target. M dwarfs are cooler, smaller and often much more prone to stellar activity than G dwarfs. The M dwarf HZ is so close to the star that tidal forces are expected to rapidly force the planet into a circular orbit and becoming tidally locked (the same side of the planet always faces the star) (Barnes 2017). Being tidally locked has significant consequences for the planetary climate, primarily a large contrast in the day–night irradiation. This contrast leads to, for example, planetary-scale circulation through a superrotating equatorial jet (Showman et al. 2013), and large day–night temperature contrasts.

Our understanding, and therefore predictive capability, of the basic climate of terrestrial planets hosted by M dwarfs is rapidly improving. Models of varying complexity have been applied to such planets, starting with the pioneering study of Joshi, Haberle & Reynolds (1997) and recently with the THAI model intercomparison project (TRAPPIST Habitable Atmospheres Intercomparison; Fauchez et al. 2021, 2022; Turbet et al. 2022; Sergeev et al. 2022). Due to being

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similar in size to Earth and orbiting their host stars in the HZ, many simulations have focused on two major targets of interest, Proxima Centauri b (ProxCen b; Anglada-Escudé et al. 2016) and the TRAPPIST-1 planets (Gillon et al. 2017) such as Turbet et al. (2016, 2018), respectively.

Our understanding of stellar activity of M dwarfs is also increasing. Günther et al. (2020) performed a study of the first data release from *TESS* (Transiting Exoplanet Survey Satellite) to look at the population of flaring stars. They found that the majority of flaring stars observed by *TESS* were M dwarfs. Hawley et al. (2014) and Davenport et al. (2014) found that flares on M dwarfs can occur over a wide range of durations and magnitudes. One of the largest solar flares ever observed, the 1859 Carrington event, was estimated to have released  $\approx 5 \times 10^{32}$  erg (Cliver & Dietrich 2013). Hawley et al. (2014) found that for active M dwarfs such as GJ 1243, flares of comparable magnitude can occur approximately once a month. Flares and stellar activity give rise to an increase in the high-energy and short-wavelength emission from the star, alongside releases of energetic particles known as a coronal mass ejection (CME; Yashiro et al. 2006). These particles are highly energetic, and are capable of inducing changes in the atmospheric composition of terrestrial planets. Yashiro et al. (2006) found that energetic solar flares are almost always accompanied by a CME.

The UV flux received by the surface of Earth has a significant impact on life, and is also believed to play a significant role in the early evolution of organic compounds. On Earth, ever since the Great Oxygenation Event, an event in Earth's history that occurred approximately 2 billion years ago, where the amount of molecular oxygen increased from negligible levels to a concentration similar to modern amounts, the presence of ozone ( $O_3$ ) (and potentially organic hazes in the case of the Archean Earth; Arney et al. 2016) in the upper atmosphere has acted to regulate the received surface UV flux (Gebauer et al. 2017). Understanding the potential surface UV flux for target M dwarf hosted planets with a similar atmospheric composition is therefore an important endeavour, linked to the presence of ozone.

UV radiation and energetic particles alter the chemistry, and therefore composition, of planetary atmospheres. For the case of modern Earth, most UV radiation is absorbed by ozone at medium–high altitudes, with ozone generated and recycled through an ozone–oxygen cycle commonly called the Chapman cycle (Chapman 1930). Ozone chemistry also depends on the generation of short-lived free radical species termed  $HO_x$  (H, OH, and  $HO_2$ ) and  $NO_x$  (N, NO, and  $NO_2$ ), which play an important role in regulating the abundance of ozone. Alongside the impacts of the UV flux, the energetic particles emitted from the star ionize the gases in the atmosphere, creating additional  $HO_x$  and  $NO_x$  species which contribute to the depletion of atmospheric ozone (Segura et al. 2010; Tilley et al. 2019).

The impact of stellar flares and CMEs on terrestrial exoplanets has been addressed in only a small number of studies. Such studies have, however, shown the significant changes they can cause in the chemical processes and composition. For example, Segura et al. (2010) found that according to their results from a 1D photochemical model, for an unmagnetized ‘Earth-like’ planet orbiting an M dwarf star the amount of ozone in the atmosphere was not significantly impacted by a single stellar flare, when only including the increase in electromagnetic radiation. However, they also showed that ozone was initially significantly depleted by the proton flux associated with the stellar flare and CME, before recovering to the original levels of ozone abundance. Tilley et al. (2019) extended on the work of Segura et al. (2010) using the same model but including multiple flares, suggesting that the recovery of the ozone after the period of activity

was unlikely. Quite recently, Louca et al. (2022) used a 1D model to examine the impact of stellar activity on a range of atmospheres, from hydrogen ( $H_2$ )-dominated to nitrogen ( $N_2$ )-dominated, and found potentially permanent changes in the atmospheric composition due to flares. Their  $N_2$  dominated atmosphere simulations showed that flares can cause a gradual increase in the amount of ozone.

The inherently 3D nature of planetary atmospheres are not necessarily captured by a 1D model. Extension from 1D to 3D is expected to give a more plausible description of the planet, especially given that the target planets are expected to be tidally locked, with one hemisphere constantly irradiated, and in the likely absence of a significant magnetic field (Christensen, Holzwarth & Reiners 2009), a hemisphere which is not directly impacted by any stellar activity.

Chen et al. (2021) performed a 3D study exploring the impact of stellar flares from a range of stellar types (M, K, G) on an Earth-like planet. They found that in the case of K/M dwarfs the planet retained a significantly perturbed atmospheric composition due to flaring (planets around a G dwarf quickly returned to their pre-flare atmospheric composition). This presents questions regarding the interpretation of exoplanetary atmospheres determined using atmospheric retrieval, as nitrous oxide ( $N_2O$ ) was found to be significantly enhanced compared to the same planet subject to a non-flaring star's irradiation. On the modern Earth, nitrous oxide's abundance is heavily controlled by biological activity (Syakila & Kroeze 2011) (although not entirely,  $N_2O$  can be created abiotically), and is thought to be a bio-signature (Des Marais et al. 2002). Finding a plausible abiotic source of significant amounts of nitrous oxide would raise doubts about the potential of  $N_2O$  as a bio-signature.

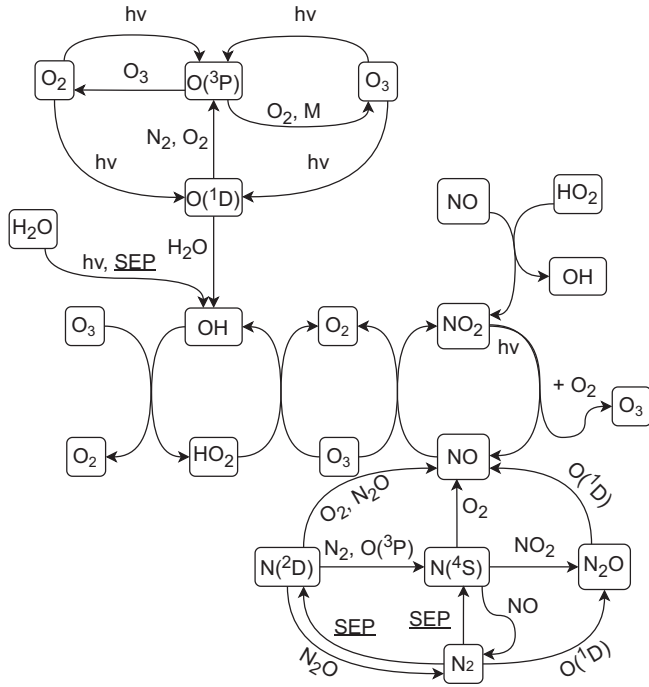
In this work, we apply the adapted Met Office unified model (UM), which is a 3D general circulation model (GCM) to study the impact of stellar activity on an example, potentially habitable M dwarf orbiting planet. We base this study on Proxima Centauri b (hereafter, ProxCen b), although our conclusions are generally applicable to other M dwarf hosted (e.g. the TRAPPIST planets), potentially habitable, planets. We include treatment of the relevant chemical processes controlling the ozone concentration and distribution, alongside other key species, and also include the impact of energetic particles. The rest of this paper is laid out as follows. In Section 2, we describe the major model components we have added, building on the setup described in Boutle et al. (2017), for this work, including details of the implementation of the photolysis and stellar protons (Sections 2.5.1 and 2.5.2, respectively). We present our results in Section 3, separating the analysis between an initial quiescent phase (Section 3.1) and subsequent, fine temporal resolution simulations including stellar flaring (Section 3.2). We then draw conclusions, and comment on opportunities for future work and development in Sections 4 and 4.1, respectively.

## 2 MODEL DESCRIPTION

In this section, we first describe our 3D model framework before detailing the stellar spectrum and processes affecting the atmospheric chemistry. Fig. 1 shows a schematic of the main processes and species represented in our simulation that are involved in ozone chemistry. The full list of reactions within our chemical network and the species involved in chemistry are given in Appendix A.

### 2.1 The unified model

The UM is a well verified Earth GCM used seamlessly for both weather and climate prediction (Walters et al. 2019). We have adapted the UM for the study of a wide range of exoplanets, beginning



**Figure 1.** Schematic of the main processes controlling ozone chemistry, and the major species involved, included in our model. *hν* denotes a photon and describes photolysis reactions. *M* denotes a termolecular reaction. *SEP* denotes a reaction caused by stellar energetic particles. For more information including a comprehensive list of all reactions in the chemical network, see Appendix A.

with testing and benchmarking for both terrestrial (Mayne et al. 2014a) and hot Jupiter type planets (gas giants in short period orbits; Mayne et al. 2014b; Amundsen et al. 2014, 2016). For the case of tidally locked terrestrial planets orbiting M dwarfs, the UM has been used before to explore the overall climate of an ‘Earth-like’ (by mass, ~23 per cent  $O_2$ , ~0.06 per cent  $CO_2$ , ~76 per cent  $N_2$ , and additional gases such as water vapour) atmospheric composition (Boutle et al. 2017), the impact of the surface on the overall climate (Lewis et al. 2018), the balance of radiation absorption between the surface and atmosphere (Eager et al. 2020), the importance of the treatments of convection to the planetary conditions (Sergeev et al. 2022), and the impacts of dust (Boutle et al. 2020).

The UM has already been used to study the impact of the quiescent spectrum of an M dwarf on the atmospheric ozone distribution of a planet with an initial idealized Earth atmospheric composition (Yates et al. 2020). Yates et al. (2020) used the UM, coupled to the UKCA<sup>2</sup> chemical framework, to model ozone chemistry on a tidally locked planet (based on ProxCen b). They assumed the planet orbits a quiescent M dwarf, with a pre-industrial Earth-like atmosphere, with atmospheric chemistry consisting of the Chapman cycle and the  $HO_x$  (in their case defined as OH,  $HO_2$ , without any H) chemistry. In parallel, we have developed an idealized chemistry framework (Drummond et al. 2016), coupled to the UM, designed to be flexible in terms of both the input chemical network and the level of sophistication ranging from simple equilibrium chemistry (Drummond et al. 2018a), to ‘chemical relaxation’ (Drummond et al. 2018b, c) and on to full chemical kinetics (Drummond et al. 2020;

**Table 1.** The planetary and orbital parameters used in this work. The planet is assumed to be tidally locked.

Planet Constants	Proxima Centauri b
Planet radius (km)	7160
Solar constant ( $W m^{-2}$ )	2.07
Rotation rate (radians/s)	$6.501 \times 10^{-6}$
Semimajor axis (au)	0.0485
Surface gravity ( $m s^{-2}$ )	10.9
Eccentricity	0
Obliquity	0

Zamyatina et al., in preparation). However, this framework has, thus far, been applied only to hot Jupiter planets.

In this work, we adapt our chemical kinetics framework (Drummond et al. 2020) and radiative transfer scheme (Suite Of Community RAdiative Transfer codes based on Edwards & Slingo 1996, SOCRATES) for the study of the Chapman cycle,  $HO_x$  and  $NO_x$  chemistry, alongside including a treatment of the ionization caused by energetic particles (see Manners et al. 2022, for a technical description of SOCRATES). Our implementation, and this study are focused on the stratospheric ozone distribution on an ‘Earth-like’ planet with an initial atmospheric composition similar to modern Earth in a tidally locked orbit of a host M dwarf star, based on ProxCen b. This work has been performed alongside and in close collaboration with that of Braam et al. (2022) who further adapted the UKCA framework, building on the work of Yates et al. (2020), to study the impact of lightning on the tropospheric ozone composition of ProxCen b also using the UM. As we have been using the same GCM and the same planetary configuration, but different chemistry schemes, this has provided a useful environment for testing and developing both of our models.

Our setup is built upon that presented in Boutle et al. (2017). We have adopted their planetary parameters (Table 1), and their ‘Earth-like’ initial atmospheric composition (see table 2 of Boutle et al. 2017). The orbital parameters are the values measured by Anglada-Escudé et al. (2016). The planet is assumed to be tidally locked. The quiescent stellar constant is calculated using the same inputs and methodology as Boutle et al. (2017). The planetary radius and surface gravity are those estimated by Turbet et al. (2016) assuming a mass of 1.4 Earth masses and a density similar to Earth of  $5500 kg m^{-3}$ . The atmosphere is assumed to be  $N_2 - O_2$  dominated, with  $CO_2$ , and an active water cycle generating water vapour. However, in order to capture the impacts of stellar activity we have updated the treatment of radiative transfer and included atmospheric chemistry and photolysis to respond to a new time-dependent stellar spectrum.

## 2.2 Radiative transfer

The SOCRATES configuration files (also known as spectral files) were updated from the files used by Boutle et al. (2017) and have been adapted to account for short-wavelength radiative transfer and the inclusion of photolysis. In this work, we use 16 ‘shortwave’ (stellar radiation) wavelength bands ranging from 0.5 nm–10  $\mu m$ , and are listed in Table 2. Nine ‘longwave’ (thermal emission) bands are used, ranging from 3.3  $\mu m$ –10 mm. We use the correlated-k technique. We include Rayleigh scattering, and scattering and absorption by liquid and ice clouds. Clouds are described using the PC2 scheme (Wilson et al. 2008), and are coupled to radiative transfer using the MCICA scheme (Pincus, Barker & Morcrette 2003). Photolysis is directly calculated alongside radiative heating within SOCRATES, and is described

<sup>2</sup><https://www.ukca.ac.uk/wiki/index.php/UKCA>



**Table 2.** The shortwave wavelength bands used by the radiation scheme for this work.

Band	Wavelength range (nm)
1	0.5–75
2	75–100
3	100–125
4	125–150
5	150–175
6	175–200
7	200–225
8	225–250
9	275–300
10	300–320
11	320–505
12	505–690
13	690–1190
15	1190–2380
16	2380–10 000

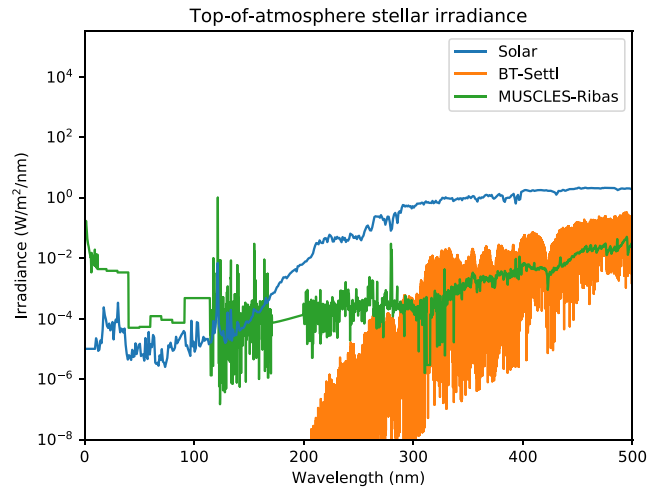
in Section 2.5.1. Changes in atmospheric composition due to the coupled chemistry framework are reflected in SOCRATES by changes in radiative heating and photolysis. For a list of all chemical species tracked in our model, and sources for their opacity, refer to Table A1.

The effects of atmospheric aerosols were ignored. In order to calculate the surface UV environment and transmission spectra (described in Sections 3.2.1 and 3.2.2, respectively) high resolution spectral files were created to describe their respective wavelength ranges.

### 2.3 Stellar spectrum

Boutle et al. (2017) and Yates et al. (2020) used a stellar spectrum for ProxCen from BT-Settl (a model of stellar atmospheres; Rajpurohit et al. 2013) assuming an effective temperature  $T_{\text{eff}} = 3000$  K, a stellar surface gravity of  $g = 1000 \text{ m s}^{-2}$ , and a metallicity of 0.3 dex. This spectrum includes essentially no UV light below 200 nm, as the BT-Settl models capture stellar photospheric emission but do not account for chromospheric emission. The study of Boutle et al. (2017) employed a fixed ozone layer, and focused on altitudes below those employed here, meaning the impacts of the missing very short wavelength flux would have been negligible in their study. However, with our focus on the ozone chemistry and higher altitude atmosphere, it is vital we improve on this aspect. Simulations of Earth-like planets over a range of M dwarfs for active and inactive stellar models (Rugheimer et al. 2015) show that models such as BT-Settl (an inactive stellar model) will produce significantly different ozone compositions than more active (models built to describe observations of active M dwarfs) stellar spectra models, and spectra derived from observations of M dwarf stars.

We have constructed a stellar spectrum from a combination of the MUSCLES survey (France et al. 2016; Loyd et al. 2016; Youngblood et al. 2016)<sup>3</sup> and Ribas et al. (2017) describing ProxCen. This spectrum has significantly higher fluxes in the UV to X-ray than the equivalent BT-Settl model, a significantly different radiation environment. Fig. 2 illustrates the differences in stellar spectra by showing the top-of-atmosphere stellar irradiance received by the Earth, the BT-Settl model of ProxCen b, and the spectrum used in this work. The combined spectrum has significantly higher UV radiation than the BT-Settl model below 300 nm, and in fact has



**Figure 2.** The top-of-atmosphere stellar irradiance for Earth, the BT-Settl spectrum for ProxCen b, and the combined MUSCLES-Ribas spectrum for ProxCen b. We note that the irradiance below 300 nm differs significantly between the two ProxCen b spectra.

higher levels of extreme UV/X-ray than the Solar spectrum below 120 nm. The enhancement of UV leads to increased  $\text{O}_2$  photolysis (which occurs below 242 nm). The increased rate of photolysis leads to significantly higher abundances of atomic oxygen, which leads to significantly faster growth in ozone through the three-body reaction  $\text{O}_2 + \text{O}(^3\text{P}) + \text{M} \rightarrow \text{O}_3 + \text{M}$ , where M denotes a third body. Braam et al. (2022) used the same spectrum as this work to simulate a similar ‘Earth-like’ planet as Yates et al. (2020), and found that when compared to Yates et al. (2020) (who used the BT-Settl spectrum) they had significantly higher amounts of ozone. This was due to two factors, the change in spectrum to one which has higher UV increases the amount of ozone significantly, and an improved calculation of photolysis rates as compared to the work done by Yates et al. (2020).

### 2.4 Flare generation

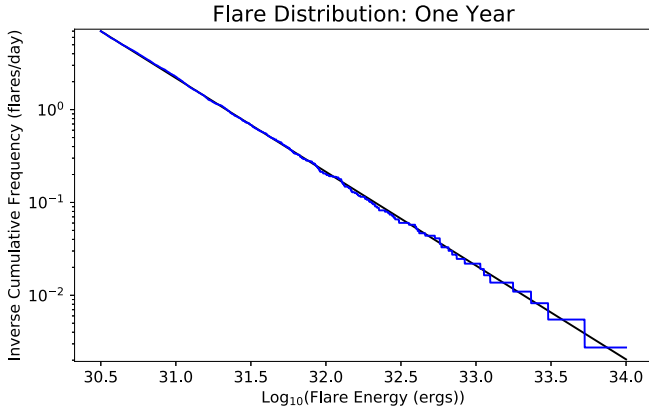
In order to capture the impact of repeated flares in our simulations we constructed a sample of flare events that occurred over the course of a year (a ‘year’ refers to an Earth year). Hawley et al. (2014) and Davenport et al. (2014) found that flares on M dwarfs range in duration from minutes to hours, and in magnitude of flare energy (the energy from the increased electromagnetic radiation) from  $10^{28-34}$  erg ( $1 \text{ erg} = 10^{-7} \text{ J}$ ). We used the occurrence-flare energy distribution from Tilley et al. (2019), derived from Kepler observations of the M dwarf GJ 1243 (Hawley et al. 2014) given as

$$\log_{10} \nu = -1.01 \log_{10} E + 31.65, \quad (1)$$

where  $\nu$  is the inverse-cumulative frequency of the flares (flares/day) and  $E$  is the energy of the flare in erg. The increased temporal resolution (see Table 4) of simulations with flares<sup>4</sup> at this stage meant that simulations were only run for a single year. However, the sample of flares from a period of a single year could have significant inter-annual variability, and differ considerably from the analytic distribution. A sample of flares from a one year period was generated  $\approx 10^6$  times and the sample which best matched the distribution was

<sup>3</sup>The *adapt-const-res-sed.fits* version of the spectra

<sup>4</sup>The simulations run approximately  $50\times$  slower than those without flares.



**Figure 3.** The inverse-cumulative frequency (in flares/day) of flare energy from a one year sample (blue line) as compared to the analytic distribution (black line).

used in this work (i.e. the sample of flares with the minimum  $l^2$ -norm when comparing the occurrence-flare energy distribution from the sample to the analytic distribution) shown in Fig. 3. We note that choice was made to force the one year samples to include a  $10^{34}$  erg flare with an associated CME that occurs 60 d into the simulation. This was done in order to observe the impacts of a maximum strength flare and CME, the results of which are discussed in Section 3.2.

We constructed a time-varying stellar irradiance that represents a flare, that is applied whenever a flare occurs. We chose the flare template of Venot et al. (2016) representing the ‘great flare on April 12th 1985’ of AD Leonis (AD Leo), reported and characterized by Hawley & Pettersen (1991), and used this to alter the input stellar irradiance. The flare template used by Venot et al. (2016) modifies the irradiance between 100 and 444 nm only, in future this could be extended to longer wavelengths, however, the increased flux from the flaring decreases rapidly beyond 444 nm. The flare template was converted so the flare would represent a flare occurring on ProxCen. From the AD Leo flare template we obtained scaling factors of the irradiance for the duration of the flare using

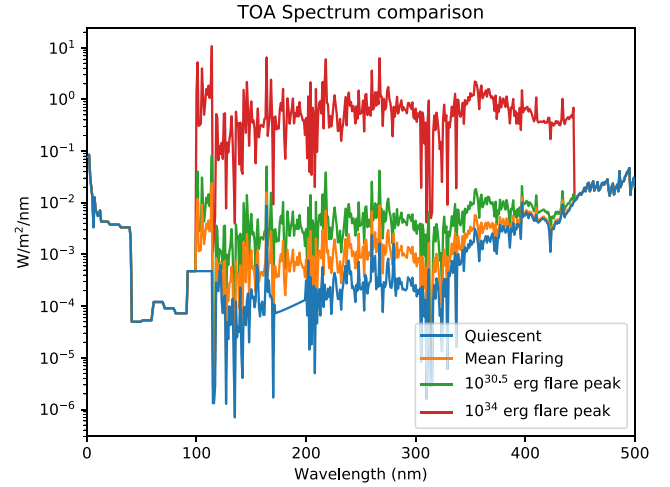
$$F_{\text{Prox,Flare}}(\lambda, t) = F_{\text{Prox,Qui}}(\lambda, t) \frac{F_{\text{ADLeo,Flare}}(\lambda, t)}{F_{\text{ADLeo,Qui}}(\lambda, t)}, \quad (2)$$

where  $F_{\text{Prox, Qui}}$  and  $F_{\text{Prox, Flare}}$  are the stellar irradiances of ProxCen during quiescent conditions and during a given stellar flare, respectively, and  $F_{\text{ADLeo, Qui}}$  and  $F_{\text{ADLeo, Flare}}$  are the stellar irradiances of AD Leo during quiescent conditions and during a given stellar flare, respectively.

The duration of the flares was assumed to follow the power law from Tilley et al. (2019), derived from the observations of flares reported by Hawley et al. (2014), namely

$$\log_{10} t = 0.395 \log_{10} E - 9.269, \quad (3)$$

where  $t$  is the duration of the flare in seconds and  $E$  is the energy released by the flare in erg. This was applied by scaling the template spectrum in duration and magnitude. The magnitude of the flare was scaled so that the energy released during the flare was consistent with that indicated by our flare distribution. Fig. 4 shows the quiescent spectrum, the spectra at the peak of a  $10^{30.5}$  and  $10^{34}$  erg flare, and a ‘mean flaring’ spectrum calculated as the time mean over the flaring period. The CME profile, and impact probability are discussed in Section 2.5.2. As Fig. 4 shows the quiescent and flaring spectra diverge significantly between 100 and 444 nm. The ‘mean flaring’ spectrum is much weaker than the peak  $10^{34}$  erg flare spectrum, as



**Figure 4.** The top-of-atmosphere ‘mean flaring’ stellar spectrum compared to the quiescent stellar spectrum and the spectrum at the peak of a  $10^{30.5}$  and  $10^{34}$  erg flare. The ‘mean flaring’ spectrum was created by calculating the mean spectrum over the year of simulated flares.

expected. This tells us that the atmosphere’s response to a ‘mean flaring’ spectrum will diverge from the peak spectrum significantly, due to the much higher UV radiation driving higher photolysis rates. The impacts of the resolved flares are examined in Section 3.2.

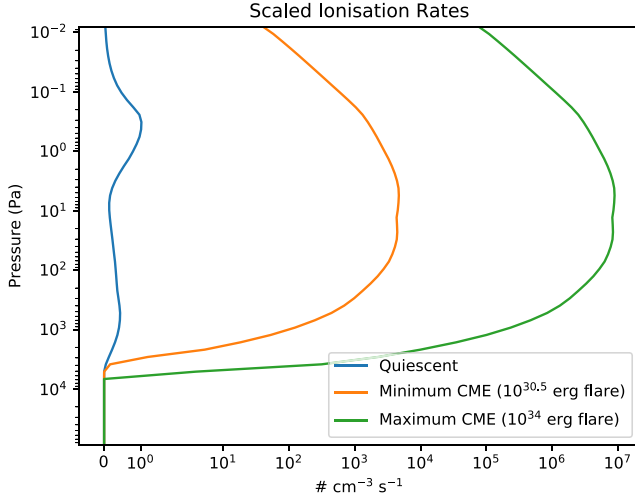
## 2.5 Chemistry

This section describes the extension of the chemical kinetics framework used in Drummond et al. (2020) and the SOCRATES radiative transfer scheme to include photolysis, a parametrization of the stellar proton flux (and the reactions that the protons cause in the atmosphere), chemical feedback of water vapour, and dry deposition. Finally, we describe the networks of chemical reactions used in the simulations.

### 2.5.1 Photolysis

Photolysis rates are calculated using a treatment developed for the UM’s radiative transfer scheme SOCRATES as part of efforts to model the effects of space weather, such as geomagnetic storms, solar flares, and CMEs (see Jackson et al. 2020, for more details). This implementation includes a treatment of the spherical geometry for the radiation, and the impacts of radiation at UV and X-ray wavelengths for heating and photolysis. We have also explored the impact of spherical geometry on the clouds on GJ 1214b, comparing results both with and without the spherical geometry treatment in Christie et al. (under review). In this study, photolysis includes photodissociation directly caused by radiation, and secondary dissociations caused by photoelectrons (free electrons released by photoionizations), for the wavelength range in this study, namely, 0.5 nm–10  $\mu$ m.

The Drummond et al. (2020) chemistry framework did not include photolysis. The SOCRATES photolysis scheme was coupled to the chemistry framework. During a chemical time-step, the photolysis scheme generates photolysis rates which are then used in the chemistry scheme to model changes in atmospheric composition due to photolysis. The modified molecular abundances are then input into the chemical kinetics solver (Drummond et al. 2020), aside from the amount of water vapour which is mostly controlled by the UM’s microphysics scheme (Wilson et al. 2008), but is impacted by  $\text{H}_2\text{O}$  photolysis and SEPs. This is described in Section 2.5.4. We



**Figure 5.** The ionization rates during quiescent conditions (blue), the ionization rates during a  $10^{30.5}$  erg flare (orange), and a  $10^{34}$  erg flare (green).

do not include any surface emissions and have no surface boundary conditions for the concentration of any chemical species. The updated atmospheric composition calculated by the chemistry scheme are then passed back to SOCRATES to control atmospheric heating and photolysis. A full list of the photolysis reactions, and species we track, is included in Appendix A.

### 2.5.2 Stellar proton forcing

To parametrize the effects of stellar protons (or stellar energetic particles, SEPs), we used ionization rates observed in Earth's atmosphere, and scaled them with ProxCen flare strength. We take a similar approach to previous work (Chen et al. 2021), using the solar proton data provided for CMIP6.<sup>5</sup> These data consist of proton fluxes measured from various space-based instruments and provides ionization rates in the atmosphere due to solar protons. The rates are used to determine the reaction rates of the following reactions:



where  $\text{N}(^4\text{S})$  is ground state atomic nitrogen, and  $\text{N}(^2\text{D})$  is an excited state of atomic nitrogen. Following Solomon et al. (1981) and Porter, Jackman & Green (1976), we assume that 2  $\text{HO}_x$  molecules (one H and one OH molecule) and 1.25 nitrogen atoms (0.7  $\text{N}(^2\text{D})$  and 0.55  $\text{N}(^4\text{S})$  atoms) are created per ionization. The full list of reactions included in our model are given in Appendix A.

Fig. 5 shows examples for the ionization rates under quiescent conditions, a minimum strength CME (from a  $10^{30.5}$  erg flare), and a maximum strength CME (from a  $10^{34}$  erg flare), used in our model. Two ionization profiles are used in this work, a quiescent profile used when a CME is not impacting the planet (this can be thought to represent the stellar wind) and a scalable CME profile used when a CME is impacting the planet. The quiescent profile was created from the mean of ionization data from 2009 (around the time of

solar minimum). The CME profile was created using data from the peak of the 2003 solar storm. To determine how the rates change with flare strength, we use the relation of flare strength to proton flux from Belov et al. (2005) which relates proton flux to the peak X-ray intensity during the flare. As described in Tilley et al. (2019) the proton flux (in proton flux units, or pfu) is given by

$$I_p(> 10\text{MeV}) = k_0 \left( \frac{\phi^{1.08}}{a^2} \right)^{1.14}, \quad (4)$$

where  $\phi$  is the relative flux increase in the Johnson  $U$  band,  $a$  is the semimajor axis in astronomical units. We represent the transient nature of CMEs by only applying the scalable CME profile during the flare (if a CME impacts the planet), otherwise the planet only receives the quiescent profile. This is applied as a constant for the duration of the flare, with no correction for a delay between the onset of the flare and the onset of the CME. Solar CMEs range in velocities from  $\sim 30$  to  $2600 \text{ km s}^{-1}$ , with an average velocity of  $428 \text{ km s}^{-1}$  (Yashiro 2004). For our simulations assuming the planet is orbiting at a distance of 0.0485 au, that would mean an average delay of  $\sim 4.7$  h, ranging from  $\sim 0.77$  to  $67.2$  h. The response of the atmosphere when the CMEs are delayed is a topic for future research.

In order to determine whether protons from a given CME impact the day-side of our planet, we have calculated the impact probability,  $P$ , using the formula given by Khodachenko et al. (2007) which accounts for the geometry of the CME, the size of the CME, and where on the star the CME originated. The formula is

$$P = \frac{(\Delta_{\text{CME}} + \delta_{\text{pl}}) \sin[(\Delta_{\text{CME}} + \delta_{\text{pl}})/2]}{2\pi \sin(\Theta)}, \quad (5)$$

where  $\Delta_{\text{CME}}$  is the angular width of the CME,  $\delta_{\text{pl}}$  is the angular size of the planet, and  $\Theta$  is the interval of stellar latitudes (from  $-\Theta$ , to  $\Theta$ ) where CMEs can originate from. Assuming values of  $\Delta_{\text{CME}} = 47^\circ$  (Gopalswamy 2004),  $\Theta = 60^\circ$  (Gopalswamy et al. 2008), and  $\delta_{\text{pl}} \approx 10^{-3}$  (the angular size of a planet with a 7160 km radius seen from a distance of 0.0485 au), we find a CME impact probability of 0.06. This probability is applied to every flare to create the CMEs used in this simulation. This probability is quite low, but does result in the planet being hit by a CME every few days on average.

In this work, we assume that every flare has an associated CME. This is similar to Tilley et al. (2019) and Chen et al. (2021). However, recent work by Muheki et al. (2020) and Alvarado-Gómez et al. (2022) indicates that the relationship between solar flares and CMEs is not appropriate for M dwarf stars, where there is less CME activity. Therefore, our results will effectively overemphasize the effects of CMEs.

### 2.5.3 Dry deposition

In addition to the direct impacts of increased UV radiation and protons, species involved in ozone chemistry can also be removed from the atmosphere via 'dry' and 'wet' deposition. In this work, we have not included wet deposition (which will result in a high bias in the concentrations of species such as nitric acid ( $\text{HNO}_3$ ), dinitrogen pentoxide ( $\text{N}_2\text{O}_5$ ), and peroxyxynitric acid ( $\text{HO}_2\text{NO}_2$ )), but do include a treatment of dry deposition. Dry deposition is a process whereby particles and trace gases settle on to the planetary surface, either by turbulence, or through gravitational settling (although this is only relevant for large particles). This acts to remove the affected species from the atmosphere. In this work, dry deposition was implemented in the simple form described by Giannakopoulos et al. (1999) for the following seven species:  $\text{O}_3$ ,  $\text{NO}_2$ ,  $\text{NO}_3$ ,  $\text{N}_2\text{O}_5$ ,  $\text{HO}_2\text{NO}_2$ ,  $\text{HNO}_3$ , and  $\text{H}_2\text{O}_2$ . We chose to parametrize dry deposition through a single

<sup>5</sup>Obtainable from SOLARIS-HEPPA.  
<https://solarisheppa.geomar.de/solarprotonfluxes>

**Table 3.** The deposition velocities for the species affected by dry deposition in this work.

Species	$V_{\text{dep}}$ at 1 m from surface ( $\text{cm s}^{-1}$ )
$\text{O}_3$	0.05
$\text{NO}_2$	0.02
$\text{NO}_3$	0.02
$\text{N}_2\text{O}_5$	1.00
$\text{HO}_2\text{NO}_2$	1.00
$\text{HNO}_3$	1.00
$\text{H}_2\text{O}_2$	1.00

deposition velocity  $V_{\text{dep}}$ .  $V_{\text{dep}} \equiv F_c/n_i$ , is the ratio of the flux density of particles ( $F_c$ ) on to the surface to the number density of particles in the air above the surface ( $n_i$ ).  $V_{\text{dep}}$  varies for different terrains (Giannakopoulos et al. 1999), but as we are simulating an aquaplanet we adopt values of  $V_{\text{dep}}$  representing dry deposition on to an ocean which is used for the entire planetary surface. Table 3 lists the deposition velocities used for each species. From the definition of  $V_{\text{dep}}$  we can construct a first-order differential equation modelling the change in number density of affected species

$$\frac{\partial n_i}{\partial t} = -\frac{V_{\text{dep}} A}{V} n_i, \quad (6)$$

where  $V_{\text{dep}}$  is the deposition velocity of species  $i$ , and  $A$  and  $V$  are the surface area and volume of the bottom level grid cells used in the simulations. More advanced dry deposition schemes determine the deposition velocity using a resistance-based approach (Wesely 1989) accounting for several factors such as the winds near the surface and the effect of the type of surface (ocean, forests, urban environments, etc.) to accept the molecule. Incorporating this into our model is the aim of future work.

#### 2.5.4 Water vapour feedback

Water vapour plays a key role in  $\text{HO}_x$  chemistry and is a species destroyed by SEPs (see Reaction R1) which, in turn, has a significant impact on the ozone abundance. Water through direct opacity and the formation of clouds, also plays a key role in determining the overall climate of the planet. Therefore, in order to capture the water abundance more accurately, we have also incorporated water vapour feedback into the chemistry model. While the main production of water vapour is through evaporation from the surface (see Boutle et al. 2017, for details) and is still controlled by the climate model, we also include destruction and production of water vapour in the chemical network. Inclusion of this additional element of consistency in the water abundance, however, was not found to significantly change the amount of water vapour present in the atmosphere except at very high altitude (as a result of  $\text{H}_2\text{O}$  photolysis).

#### 2.5.5 Chemical networks

We constructed chemical networks (a list of chemical reactions) that describe the Chapman cycle, the  $\text{HO}_x$  catalytic cycle, and the  $\text{NO}_x$  catalytic cycle. The Chapman cycle consists of a series of reactions between molecular oxygen, atomic oxygen, and ozone, with the most important reactions summarized as



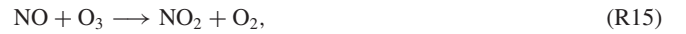
The chemical network describing the Chapman cycle has six chemical species, six bimolecular reactions, one termolecular reaction, and four photolysis reactions.

The  $\text{HO}_x$  catalytic cycle is started by the destruction of water vapour via several mechanisms, an interaction with excited atomic oxygen produced by oxygen/ozone photolysis, and through the photolysis of water vapour. This produces OH, which depletes ozone by the following reactions



The network describing the Chapman cycle and the  $\text{HO}_x$  cycle has every reaction and chemical species from the previous network, as well as five additional chemical species, 16 bimolecular reactions, three termolecular reactions, and seven photolysis reactions. In the presence of SEPs, additional  $\text{HO}_x$  is generated through  $\text{H}_2\text{O} \longrightarrow \text{H} + \text{OH}$ .

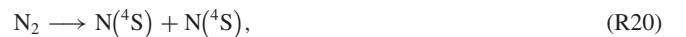
The  $\text{NO}_x$  cycle behaves similarly. The  $\text{NO}_x$  cycle depletes ozone via the following reactions:



Without any SEPs, NO is generated by



With SEPs, NO is modified by the generation of atomic nitrogen



The network describing the Chapman cycle, the  $\text{HO}_x$  cycle, and the  $\text{NO}_x$  cycle contains every previously described reaction and chemical species and an additional 10 chemical species, 24 bimolecular reactions, seven termolecular reactions, and 10 photolysis reactions. All networks also include the dry deposition of every possible species that is included in their network. Two additional networks were created that neglect SEPs, in order to test how important the



**Table 4.** All simulations performed for this work, with short names, description of the components included and the timesteps used. See text for details of the input stellar spectra. The full list chemical reactions are detailed in Appendix A and the profiles for the spectra and SEPs are described in Sections 2.3 and 2.5.2, respectively.

Phase	Name	Spectrum	Chemistry	SEPs (affected species)	Time-steps (min)		
					Dynamic	Radiation	Chemistry
Quiescent	Quiet_Ch	Quiescent	Chapman cycle	–			
	Quiet_Ch_HOx	Quiescent	Chapman cycle and HO <sub>x</sub>	–			
	Quiet_Ch_HOx_SEP	Quiescent	Chapman cycle and HO <sub>x</sub>	Quiescent (H <sub>2</sub> O)	10	60	60
	Quiet_Ch_HOx_NOx	Quiescent	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	–			
	Quiet_Full	Quiescent	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	Quiescent (H <sub>2</sub> O, N <sub>2</sub> )			
Flaring	Control	Quiescent	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	Quiescent (H <sub>2</sub> O, N <sub>2</sub> )			
	Flare_UV	Flaring	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	Quiescent (H <sub>2</sub> O, N <sub>2</sub> )	2	2	2
	Flare_Full	Flaring	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	Flaring (H <sub>2</sub> O, N <sub>2</sub> )			
	Mean_Flare	Mean flaring	Chapman cycle, HO <sub>x</sub> , and NO <sub>x</sub>	Quiescent (H <sub>2</sub> O, N <sub>2</sub> )			

quiescent stellar wind might be for the generation of NO<sub>x</sub> molecules. For more details listing all chemical reactions, all species involved in the chemistry, and the coefficients used for each reaction, see Appendix A3–A6.

### 3 RESULTS

In this work, we present results from nine simulations, which we separate into a ‘Quiescent’ phase (five simulations, discussed in Section 3.1) and a ‘Flaring’ phase (four simulations, discussed in Section 3.2). The impact of quiescent SEPs during the first 12 000 d of the simulations is discussed in Section 3.1, Table 4 lists all of the simulations we have performed for this work, the stellar irradiation and whether it includes flares, whether the SEP ionization is quiescent or includes CMEs and the time-steps for the dynamics, radiation and chemistry for each simulation. To verify that the simulations are stable for long periods of time, an initial simulation was run for 3000 Earth days without chemistry, and the fixed ‘Earth-like’ atmospheric composition as prescribed in table 2 of Boutle et al. (2017). The end point of this simulation, which was in a climatic steady-state (near constant mean surface temperatures and top-of-atmosphere radiative flux balance) was then used as the start point for the quiescent phase simulations with chemistry. Likewise, the end state of the quiescent simulation containing the full chemical network and quiescent SEPs was used as the start point for the flaring simulations.

For the quiescent phase, the planet was simulated for 12 000 d under quiescent conditions (green line Fig. 2) but adopting five different configurations, all employing the time-steps given in the last three columns of Table 4. These configurations covered a range of chemical networks, starting with only the Chapman cycle included (Quiet\_Ch), then adding the HO<sub>x</sub> chemistry both without (Quiet\_Ch\_HOx, differing from Yates et al. (2020) by the inclusion of H, H<sub>2</sub>O<sub>2</sub>, and H<sub>2</sub>O photolysis), and with (Quiet\_Ch\_HOx\_SEP) quiescent SEPs (as detailed in Section 2.5.2), and finally further adding NO<sub>x</sub> chemistry (i.e. the full chemical network, see Appendix A for details) again both without (Quiet\_Ch\_HOx\_NOx) and with (Quiet\_Full) a quiescent SEP profile. Unlike Drummond et al. (2020), we do not use Gibbs minimization to determine the initial atmospheric composition, but set the initial values manually. The species included in each quiescent simulation and their initial mass fractions are listed in Table 5.

The end point of the full quiescent version, i.e. our Quiet\_Full simulation including 12 000 d with the full chemistry and quiescent SEP profile included, was then used as the start point for four further simulations. All four of these simulations included the full

chemical network, and were run at much finer temporal resolution (the time-step is given in the last three columns of Table 4) for 1 yr (due to the very high computational cost associated with such simulations). These four simulations comprised of a Control which continued under quiescent irradiation and SEPs, then two further simulations irradiated with a flaring spectrum (constructed as discussed in Section 2.4), one omitting SEPs (Flare\_UV) and another including SEPs (Flare\_Full). Finally, we performed a Mean\_Flare simulation, where the stellar spectrum remains constant at the time-mean of the stellar spectrum over the flaring period used for the other flaring cases (described in Section 2.4, and Fig. 4), with the SEPs held at the quiescent rates. This was done so we could test the importance of the time-dependent spectra, as using a mean flare spectrum would present a significant increase in computation speed and allow us to examine the response to flares over a longer period of time.

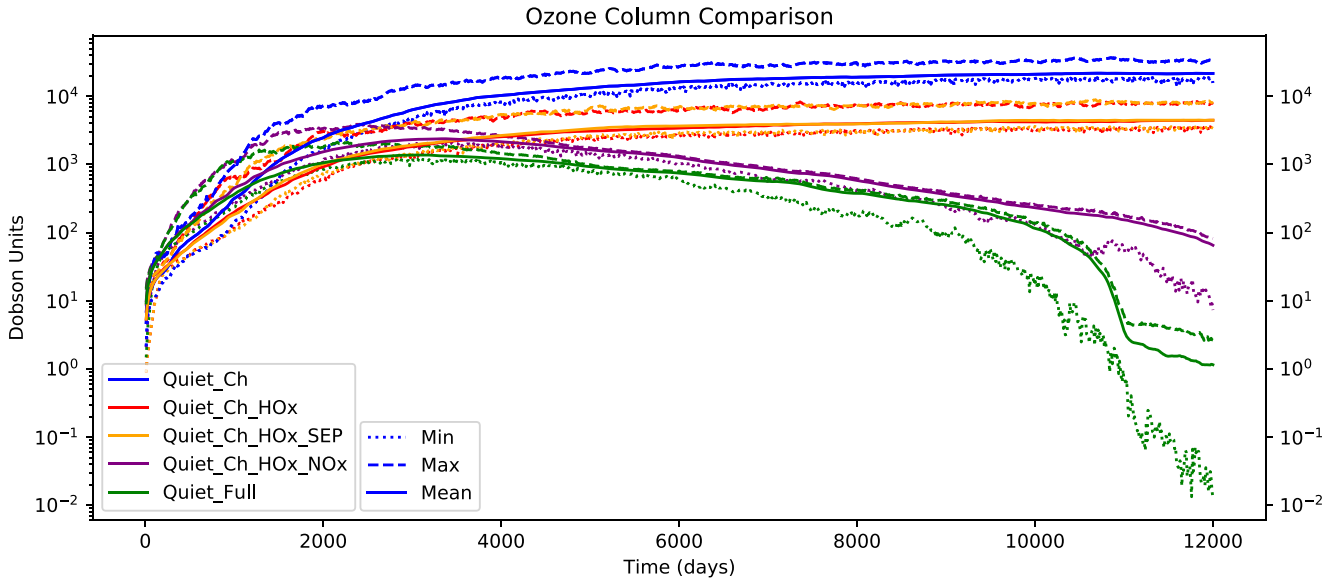
In Section 3.1, we first discuss the results of our simulations from the quiescent phase, particularly in comparison to Yates et al. (2020) who also used the UM, before moving on to the flaring simulations in Section 3.2.

#### 3.1 Quiescent

The Quiet\_Ch simulation allows us to isolate the ozone production through the Chapman cycle, and effectively test the model performance. Fig. 6 shows the globally averaged ozone column in Dobson Units (DU, 1 DU =  $2.69 \times 10^{20}$  molecules/m<sup>2</sup>) for the five different networks in quiescent conditions described in Table 4, as well as illustrating the range of values of the ozone column for each network. We find that the networks behaved as expected. The simplest network consisting only of the Chapman cycle has the largest ozone column ( $\approx 22\,000$  DU), and the introduction of HO<sub>x</sub> and NO<sub>x</sub> chemistry heavily diminishes the ozone column, particularly in the latter case. The introduction of HO<sub>x</sub> and NO<sub>x</sub> chemistry heavily depletes tropospheric and stratospheric ozone (through the reactions listed in Section 2.5.5), as Fig. 7 shows. The stratosphere is more heavily depleted than the troposphere, but due to the increased density of the air in the troposphere, the depletion of the troposphere contributes much more to the changes in the total ozone column. We also find that the inclusion of the quiescent SEP profile does not change the ozone column between the Quiet\_Ch\_HOx and Quiet\_Ch\_HOx\_SEP simulations appreciatively. We find that SEPs have an impact on the Quiet\_Ch\_HOx\_NOx and Quiet\_Full simulations, the introduction of SEPs reduces the ozone column from  $\approx 100$  DU to  $\approx 1$  DU.

**Table 5.** The species included in each of the quiescent simulations, and the initial mass fractions of each species. As the water mass fraction was controlled by the UM, its initial value was not constant but was a range of values. Due to this, and the differing number of species in the network, the initial mass fraction of  $N_2$  differs slightly between networks.

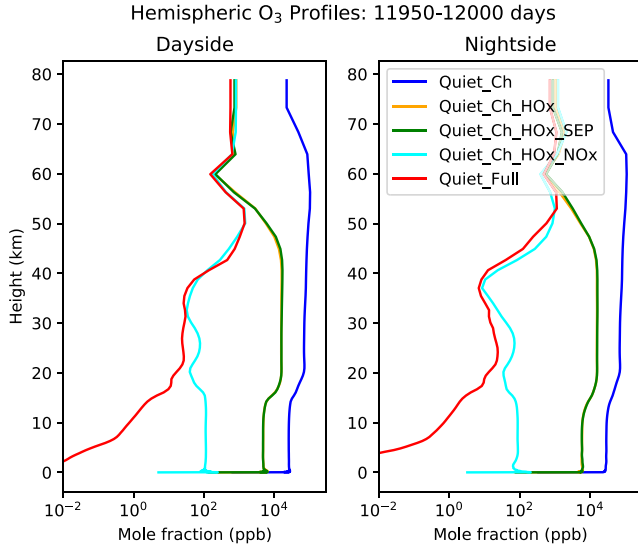
Species	Initial mass fraction (kg kg <sup>-1</sup> )	Quiet_Ch	Quiet_Ch_HOx	Quiet_Ch_HOx_SEP	Quiet_Ch_HOx_NOx	Quiet_Full
CO <sub>2</sub>	$5.941 \times 10^{-4}$	X	X	X	X	X
O <sub>2</sub>	0.2314	X	X	X	X	X
O( <sup>3</sup> P)	0	X	X	X	X	X
O( <sup>1</sup> D)	0	X	X	X	X	X
O <sub>3</sub>	$10^{-9}$	X	X	X	X	X
H <sub>2</sub> O	$10^{-6}$ to $10^{-2}$	X	X	X	X	X
N <sub>2</sub>	~0.76	X	X	X	X	X
OH	$10^{-12}$	–	X	X	X	X
HO <sub>2</sub>	$10^{-12}$	–	X	X	X	X
H	$10^{-12}$	–	X	X	X	X
H <sub>2</sub>	0	–	X	X	X	X
H <sub>2</sub> O <sub>2</sub>	$10^{-12}$	–	X	X	X	X
N( <sup>4</sup> S)	$10^{-12}$	–	–	–	X	X
N( <sup>2</sup> D)	$10^{-12}$	–	–	–	X	X
NO	$10^{-12}$	–	–	–	X	X
NO <sub>2</sub>	$10^{-12}$	–	–	–	X	X
NO <sub>3</sub>	$10^{-12}$	–	–	–	X	X
HNO <sub>3</sub>	$10^{-12}$	–	–	–	X	X
N <sub>2</sub> O	$10^{-12}$	–	–	–	X	X
N <sub>2</sub> O <sub>5</sub>	$10^{-12}$	–	–	–	X	X
HONO	$10^{-12}$	–	–	–	X	X
HO <sub>2</sub> NO <sub>2</sub>	$10^{-12}$	–	–	–	X	X



**Figure 6.** The globally averaged total ozone column measured in Dobson Units for the five chemical networks. Refer to Table 4 for the details of the different networks.

Fig. 7 shows the spatially averaged day-side and night-side vertical profiles of the ozone mole fraction for the five quiescent simulations. We see that Quiet\_Ch has a substantial amount of tropospheric ozone, ~25 parts-per-million (ppm) on the day-side and ~30 ppm on the night-side, and a large amount of ozone in the stratosphere, ranging between ~30 and 110 ppm. The introduction of HO<sub>x</sub> (Quiet\_Ch.HOx and Quiet\_Ch.HOx\_SEP) has depleted the tropospheric ozone by ~21 ppm. Stratospheric ozone has been heavily depleted, now consisting of a layer of ~17 ppm between 14 and 50 km. Above 55 km ozone is almost completely depleted, which is attributed to the introduction of HO<sub>x</sub> and O<sub>3</sub> photolysis being much stronger at

high altitudes. The SEPs do not have an appreciable impact on the amount of ozone. The additional HO<sub>x</sub> generated by Reaction R1 is quite small compared to the sources of HO<sub>x</sub> (H<sub>2</sub>O photolysis, H<sub>2</sub>O + O(<sup>1</sup>D)), and does not have a significant impact on the depletion of ozone. In contrast, the SEPs have a clearly noticeable impact when we include NO<sub>x</sub> chemistry (Quiet\_Ch.HOx.NOx and Quiet\_Full). The introduction of NO<sub>x</sub> chemistry has almost completely depleted ozone in the troposphere, Quiet\_Ch.HOx.NOx has been reduced to ~100 parts-per-billion (ppb), and Quiet\_Full has been almost completely depleted, declining from 10 ppb at 15 km to less than 10 parts-per-trillion near the surface. In the stratosphere we see a



**Figure 7.** The spatially averaged vertical profile of the ozone mole fractions from the planets day-side and night-side for the five chemical networks under quiescent conditions. The profiles for Quiet.Ch.HOx and Quiet.Ch.HOx.SEP almost completely overlap. Refer to Table 4 for the details of the different networks.

similarly large depletion, with only a thin layer of  $\sim 1$  ppm present between 45 and 55 km. The  $\text{HO}_x$  and  $\text{NO}_x$  created by the SEPs (Reactions R1–R3) do not have a large impact, but it is more apparent than the difference between Quiet.Ch.HOx and Quiet.Ch.HOx.SEP. We see that Quiet.Ch.HOx.NOx and Quiet.Full diverge drastically below 40 km. This divergence is linked to the change in chemistry between the two simulations, likely initiated by SEPs in the mid-stratosphere. Our lack of wet deposition (and heterogeneous chemistry) has resulted in a high bias in  $\text{NO}_x$  reservoirs ( $\text{HNO}_3$ ,  $\text{N}_2\text{O}_5$ , and  $\text{HO}_2\text{NO}_2$ ) in the lower atmosphere. This has heavily increased the amount of reactive nitrogen which was made available in larger amounts by the inclusion of SEPs. In future work, we plan to examine these differences in more detail.

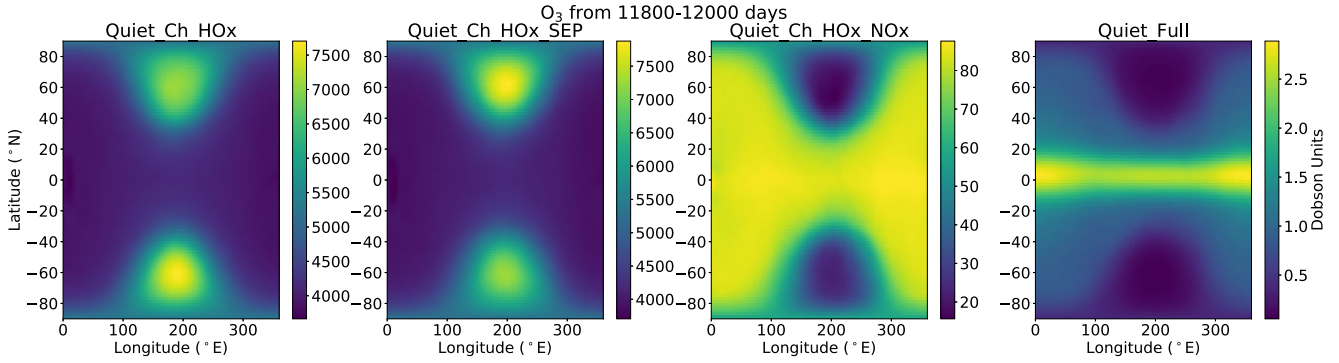
Fig. 8 shows the distribution of ozone (in DU) for our Quiet.Ch.HOx (left), Quiet.Ch.HOx.SEP (centre left), Quiet.Ch.HOx.NOx (centre right) and Quiet.Full (right) simulations. Similar to previous works our simulations show that the night-side cold traps can store significant volumes of ozone. Comparing the leftmost panel of Fig. 8 with fig. 4 (top left panel) of Yates et al. (2020) reveals the differences caused by updating the stellar spectrum and, to a lesser extent, the inclusion of shorter wavelengths in the treatment of photolysis, as these are the main differences between our treatment for the Quiet.Ch.HOx simulation and that of Yates et al. (2020). The update to the MUSCLES-Ribas stellar spectrum leads to much higher levels of ozone, however the change is mainly due to the increased UV flux as this result was not found when using the BT-Settl spectrum (not shown). This illustrates the importance of including low ( $< 200$  nm) wavelength fluxes into chemistry models. This effect occurs regardless of whether quiescent SEP forcing is included (left two panels). When including  $\text{NO}_x$  chemistry in our simulations (Quiet.Ch.HOx.NOx & Quiet.Full), the ozone distribution changes drastically (the right-hand panels of Fig. 8), reducing significantly across the entire planet. Ozone is further depleted by the inclusion of SEPs, reducing the global average ozone column from  $\sim 60$  DU to  $\sim 1$  DU. Ozone is further depleted in the polar regions, and the night-side gyres (cold traps at high latitudes).

### 3.2 Flaring

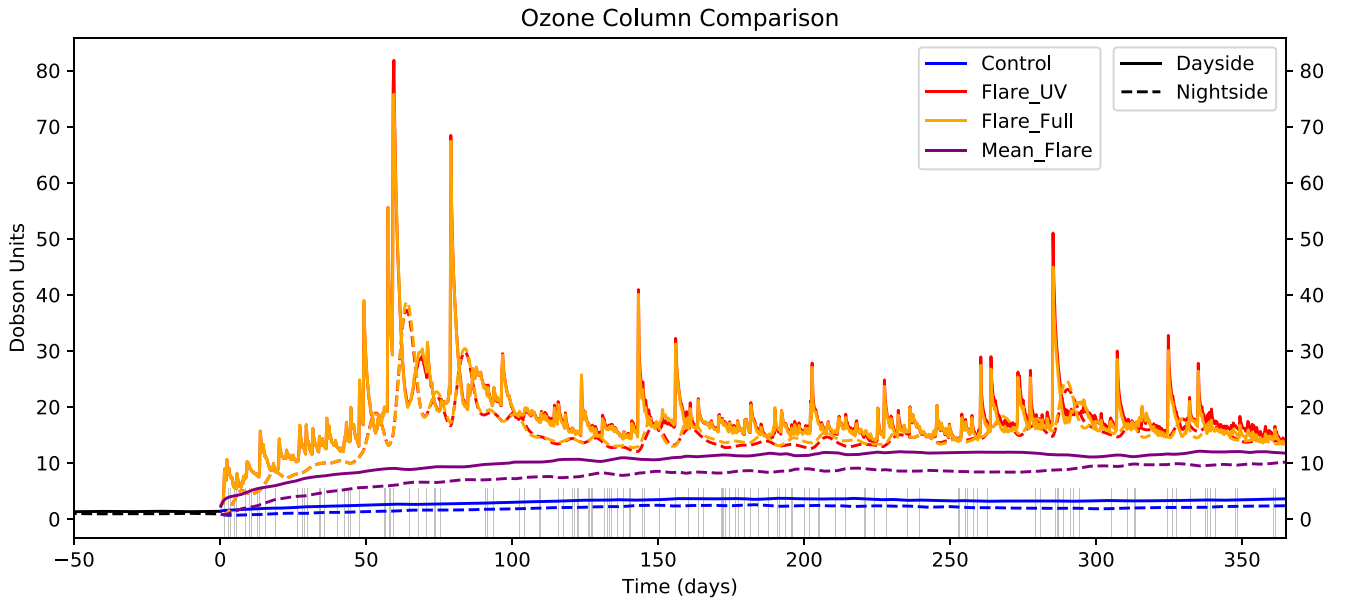
The main focus of this work is to explore the impact of a flaring M dwarf star on the climate of an ‘Earth-like’ terrestrial, tidally locked, exoplanet. Therefore, in this section we focus on our three simulations including flares, namely Flare.UV (full chemistry but omitting CMEs), Flare.Full (full chemistry and CMEs), and Mean.Flare (full chemistry, a constant mean flaring spectrum and quiescent levels of SEPs), using our Control (full chemistry but quiescent spectrum and SEP profile) as a reference.

Fig. 9 shows the day-side (solid) and night-side (dashed) mean ozone columns during the flaring section of the simulation for the four cases, as well as CME onset times. We find that the impact of the stellar flare irradiation we have constructed is to increase the global averaged total column of ozone in the atmosphere from  $\sim 1$  DU (at the end of Quiet.Full) to  $\sim 15$ – $20$  DU (the range of values over the last 50 d of the simulations with flares), as the day-side and night-side columns have similar values. During a flare the UV increases substantially and increases  $\text{O}_2$  photolysis generating additional atomic oxygen. The increase in atomic oxygen drives the growth of ozone via  $\text{O}_2 + \text{O}(^3\text{P}) + \text{M} \rightarrow \text{O}_3 + \text{M}$ . The destruction of ozone by photolysis or through additional  $\text{HO}_x$  and  $\text{NO}_x$  increases during a flare as well, but does not increase enough to offset the significant growth in the production of ozone. The net effect of the flare causes the amount of ozone on the day-side to increase rapidly (the largest flares capable of creating an ozone column of  $> 75$  DU), and slowly decrease once the flare has ended. This is due to ozone on the day-side being destroyed and being transported on to the night-side. The enhancement of night-side ozone is due to the advection of ozone from the day-side. The difference in the peak day-side ozone column and peak night-side ozone column (with the flare and CME on day 60 being a good example, where the day-side ozone column peaked at 75–80 DU, while the night-side column peaked at 40 DU) demonstrates that the majority of ozone created during a flare is destroyed quickly, before it can be transported on to the night-side. Both the Flare.UV and Flare.Full simulations exhibit very similar behaviour. The average ozone column is quite insensitive to the inclusion of CMEs, only reducing the average ozone column by a small amount. This is attributed to a lack of additional  $\text{HO}_x$  and  $\text{NO}_x$  created via Reactions R1–R3. The ionization rate profiles used in this work (see Fig. 5) rapidly declines below 35 km (which has a pressure on the day-side of  $\sim 100$  Pa), and are reduced to 0 below 15 km ( $\sim 7000$  Pa). As the ionization rate declines, the SEPs are less important and generate less  $\text{HO}_x$  and  $\text{NO}_x$  molecules, which results in ozone below 35 km not being heavily affected by CMEs, and only being strongly affected by the increased UV from the flare. Fig. 9 demonstrates that the ozone column is perturbed from a non-flaring state, and that the inclusion of CMEs does not produce a significant change in the global amount of ozone. A  $10^{34}$  erg flare with an accompanied CME occurred on day 60 of the simulation, and during the peak of this flare the global mean ozone column increased from 20 DU to 45 DU.

Fig. 10 shows the spatially averaged day and night-side mole fractions of ozone as a function of altitude for the Control, Flare.UV, and Flare.Full simulations, temporally averaged over the last 50 d of the simulation. The impact of the flares, and also the SEPs is clear. An ozone layer between 20 and 25 km (hereafter referred to as the lower ozone layer) has developed. This layer is also present in the quiescent simulation but is much smaller. The ozone layer between 45 and 55 km (hereafter referred to as the upper ozone layer) from the Flare.Full simulation has been depleted relative to the Flare.UV simulation. The depletion is due to the increased amount of NO which was generated by the CMEs. The impact of the CMEs on ozone con-



**Figure 8.** The spatial ozone distribution temporally averaged over the period of 11 800–12 000 d for the Quiet.Ch.HOx (left), Quiet.Ch.HOx.SEP (centre left), Quiet.Ch.HOx.NOx (centre right), and Quiet.Full (right) simulations from the quiescent phase in Dobson Units. See Table 4 for explanation of the simulation names. The ozone distribution is significantly reduced by the addition of NO<sub>x</sub> chemistry, and is further depleted by the inclusion of SEPs.



**Figure 9.** The hemispherically averaged mean ozone column of the day-side and night-side from the flaring simulations used in this work as described in Table 4. CME onset times have been marked in grey.

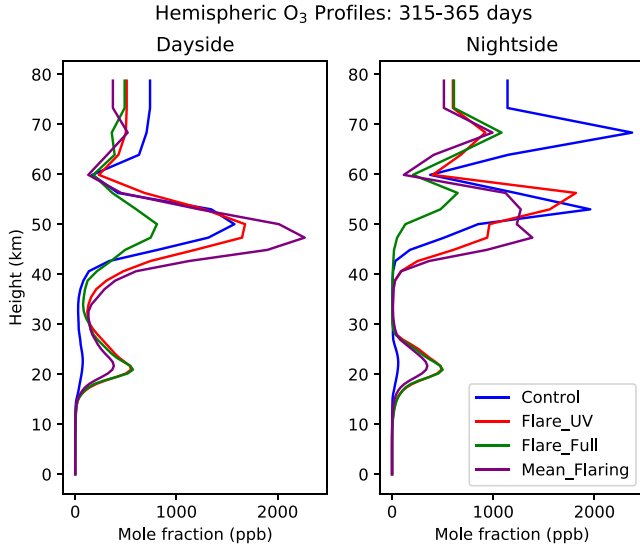
centration is found to have negligible long-term effects below 35 km, as the SEP-induced ionization rapidly declines in strength below 35 km and generates less HO<sub>x</sub> and NO<sub>x</sub> at those altitudes as a result.

Fig. 11 (top) shows the zonal (east–west) average of ozone mole fraction on the planets day-side and night-side, temporally averaged over the last 50 d of the simulation period as compared to the control simulation without flares (left). The impact of flares is readily seen in the enhancement of ozone around the equatorial jet between 20 and 25 km (the lower ozone layer), CMEs were found to have negligible effects at this altitude. In the upper ozone layer between 45 and 55 km, we see that flares extend the upper ozone layer into the polar regions. In contrast, we see that the CMEs deplete the upper ozone layer. This is also clear in the spatial distribution of the ozone column shown in Fig. 12 (which is also temporally averaged over the last 50 days), for the flaring and control simulations. Fig. 12 (centre and right columns) shows that the enhanced ozone column is largest in the tropics, due to oxygen photolysis being strongest in the substellar region and the ozone carried in the equatorial jet.

The results from this work differ significantly from the 1D simulations performed by Tilley et al. (2019). They found that ozone

only showed a small depletion in response to the electromagnetic portion of flares, and a much heavier depletion once the effects of CMEs were included. As in our work, they assume that every flare has an associated CME and account for the impact probability. There are likely differences in the results that are associated with adopting a 1D or 3D model. Their 1D model is a day–night average, and does not include any transport. Our results show enhanced ozone transport to the night-side, which is not captured in a 1D model. The storage of ozone in the night-side portion of the equatorial jet assists in the creation of an enhanced ozone layer. There are other differences with the components of the model. Our work uses ProxCen for the host star, whereas they used Ad Leonis for their host star. Tilley et al. (2019) also directly inject NO and NO<sub>2</sub> into the atmosphere instead of through the creation of atomic nitrogen, which may cause changes in several reactions. A full comparison of our stellar spectra, chemical networks (and reaction rate coefficients), photolysis calculations, and the modelling of flares and CMEs would be required to properly determine why we find that flares lead to an increase in the amount of ozone while Tilley et al. (2019) have the opposite conclusion.





**Figure 10.** The spatially averaged vertical profile of the ozone mole fractions from the planet’s day-side and night-side for the Control, Flare\_UV, Flare\_Full, and Mean\_Flaring simulations, averaged over the last 50 d of the simulations. The impacts of the stellar flares are seen in the generation of an ozone layer around 20–25 km. The impact of the CMEs is seen in the depletion of ozone above 35 km.

The flares also induce long-term changes in the concentrations of several N-containing species. The SEPs due to CMEs create a large enhancement of NO and N<sub>2</sub>O in the stratosphere. For NO, Fig. 11 (middle) shows that NO responds to flares and CMEs very differently at different altitudes. The CMEs have led to an increase in the amount of NO above 30 km by a factor of 3–4, from 1–2.3 ppm to 9.5 ppm. This increase is due to the following reactions, with the first one being controlled by the SEPs:

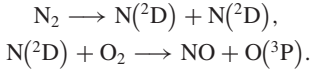
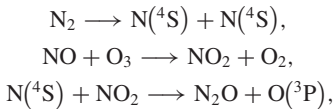


Fig. 11 (bottom) show the impacts of the stellar flares on N<sub>2</sub>O. These figures show that the UV irradiation causes minimal changes in the mole fraction of N<sub>2</sub>O, but the SEPs have induced a very large increase between 25 and 50 km. We attribute this to



as the SEPs cause significantly more N(<sup>4</sup>S) and NO<sub>2</sub> (via the creation of additional NO) to be generated, which would enhance this reaction and generate more N<sub>2</sub>O.

Fig. 13 shows the temporal evolution of the globally averaged mole fraction of ozone, NO, and N<sub>2</sub>O at several heights, chosen to sample three regions seen in Fig. 10; the upper ozone layer, the mid-stratosphere, and the lower ozone layer. We see that different regions of the atmosphere respond to flares and CMEs in very different ways in our simulations. The impact of flares and CMEs on each molecule will be discussed individually. Fig. 13 (left) shows the evolution of the globally averaged mole fraction of ozone. The lower ozone layer rapidly grows from ~25 ppb to a concentration of 400–500 ppb which is perturbed by stellar flares. The abundance of ozone in the mid-stratosphere region is quite sensitive to the flares and shows rapid increases and decreases in ozone concentration. The impact of the SEPs is also quite visible, but not long lasting, as the ozone

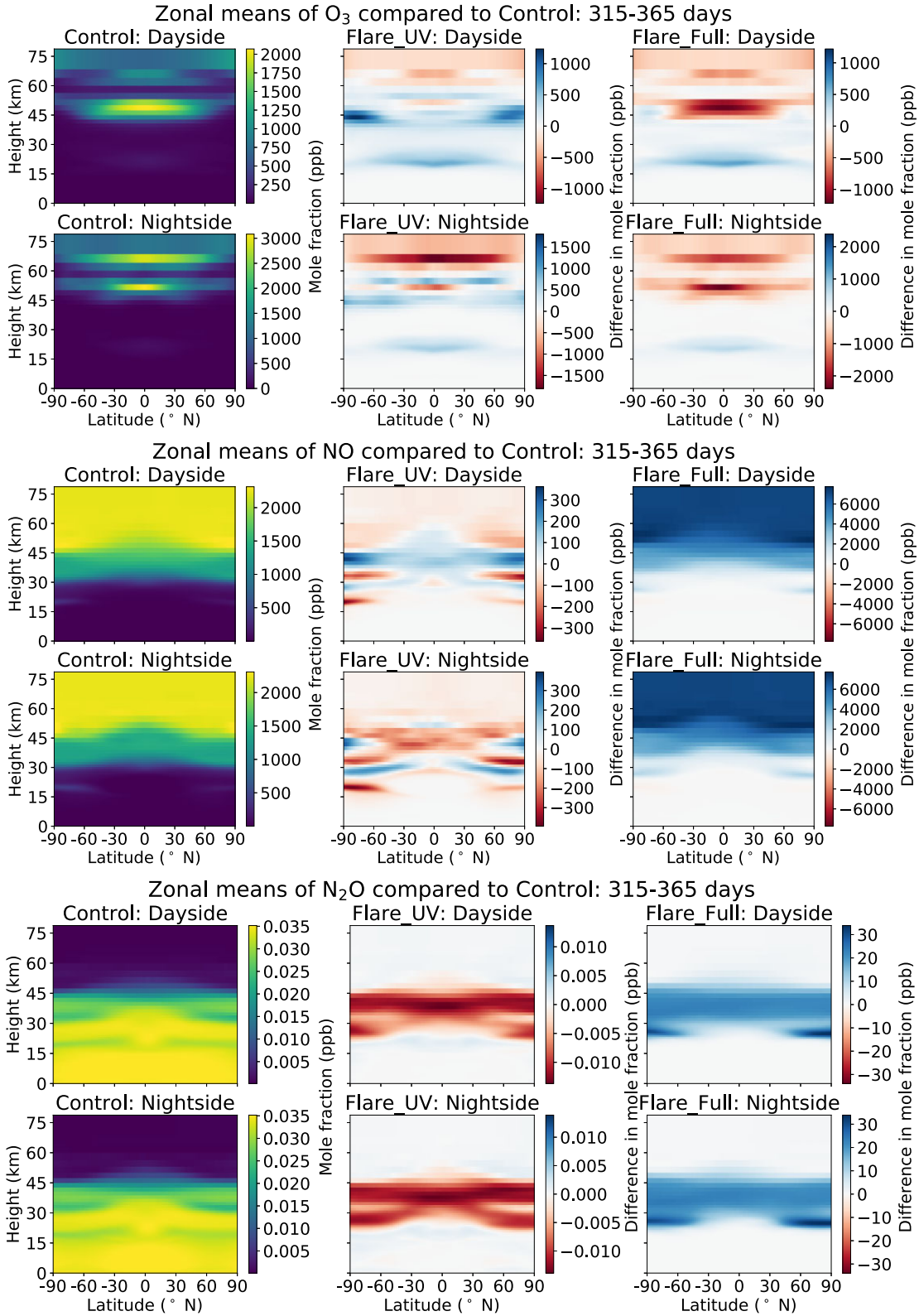
abundance rapidly decreases after the end of the flare. The abundance of ozone from the Mean\_flares simulation remains below the values observed in other flaring simulations, but the result at the end of all flaring simulations is similar.

The upper ozone layer shows little changes (compared to Control) in concentrations due to flares (aside from the 10<sup>34</sup> erg flare on day 60 of the simulation which has a short-lived increase of 750 ppb relative to Control), but does show a response to CMEs, causing the upper ozone layer to reduce in concentration from ~1250 to 500 ppb. A long-lived change in the ozone concentration is visible after the 10<sup>34</sup> erg flare and maximum strength CME impacts the planet on day 60 of the Flare\_Full simulation. We see that the upper ozone layer in the Flare\_Full simulation stays at a lower ozone concentration and does not recover towards the other simulations, even during periods of relatively quiet activity such as the period between days 340 and 365 of the simulation, at least over the duration of these simulations. The abundance of ozone from the Mean\_flares simulation is enhanced compared to Flare\_UV, telling us that while the short-term effects of flares do not individually change the upper ozone layer, the temporally resolved stellar flares are important to include to accurately model the evolution of the upper ozone layer. Comparing the results from the flaring simulations to those reported by Chen et al. (2021, fig. 3), we see qualitatively similar results for the long-term trend of ozone at this altitude, where both show a long-term depletion of ozone.

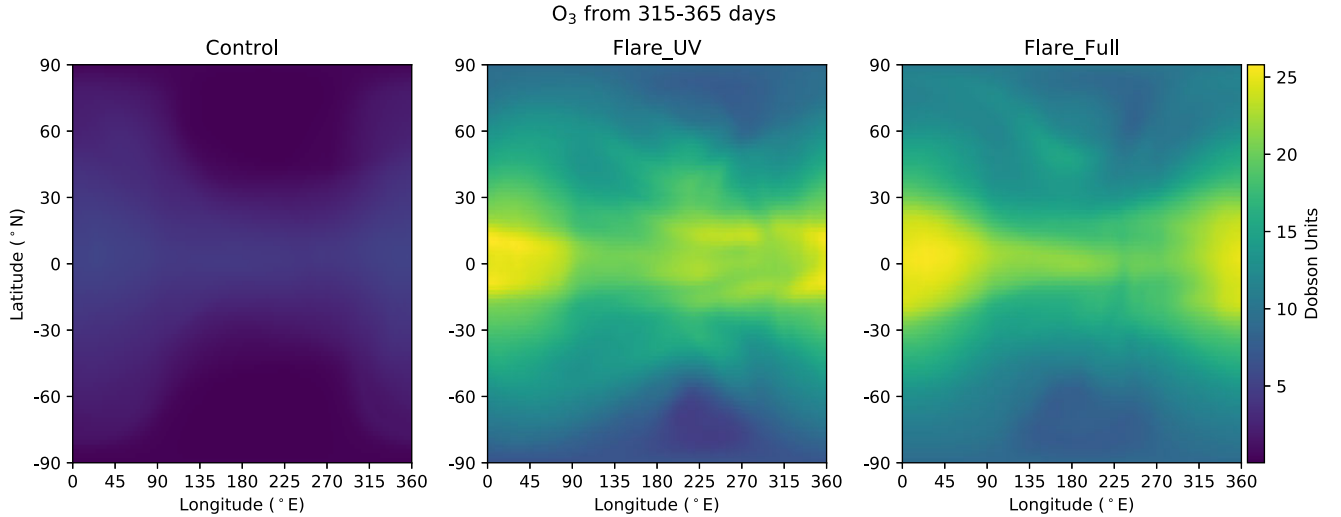
Our results are also similar to the recent work simulating the super-Earths GJ 832 c and GJ 581 c by Louca et al. (2022) who reported temporary enhancement of ozone at similar altitudes as our lower ozone layer and depletion of ozone at a similar height to our upper ozone layer.

As seen in Fig. 13 (bottom centre), in the lower stratosphere NO experiences temporary increases due to the flares (and only minimal responses to CMEs) and rapidly returns to the concentrations it was at before the flare began. At mid-altitudes, we see that NO shows a negative response to stellar flares (as it depletes during a flare and recovers afterward) but does have a positive response to CMEs, eventually leading to a long-term enhancement in concentration. We attribute this to the creation of NO at high altitudes, which is transported to lower altitudes. At high altitudes, we see that NO shows little to no response to flares, but shows a very strong response to CMEs. The peak enhancement increases the concentration by a factor of 9, reaching 18 ppm before decreasing to 8–9 ppm which is maintained for the rest of the simulation, albeit slowly decreasing. This was not solely caused by the 10<sup>34</sup> erg flare and CME that occurred on day 60 of the simulation (although the impact is clearly noticeable through a very rapid increase in concentration from 2 to 5 ppm on the bottom figure) but by a series of weaker flares. This indicates that the largest flares are not the major cause of changes in NO. Instead the cumulative impacts of the weaker flares and CMEs are the main driver in the changes of NO concentration. We do see that the results from the Mean\_flares do mostly agree with the Flare\_UV simulation.

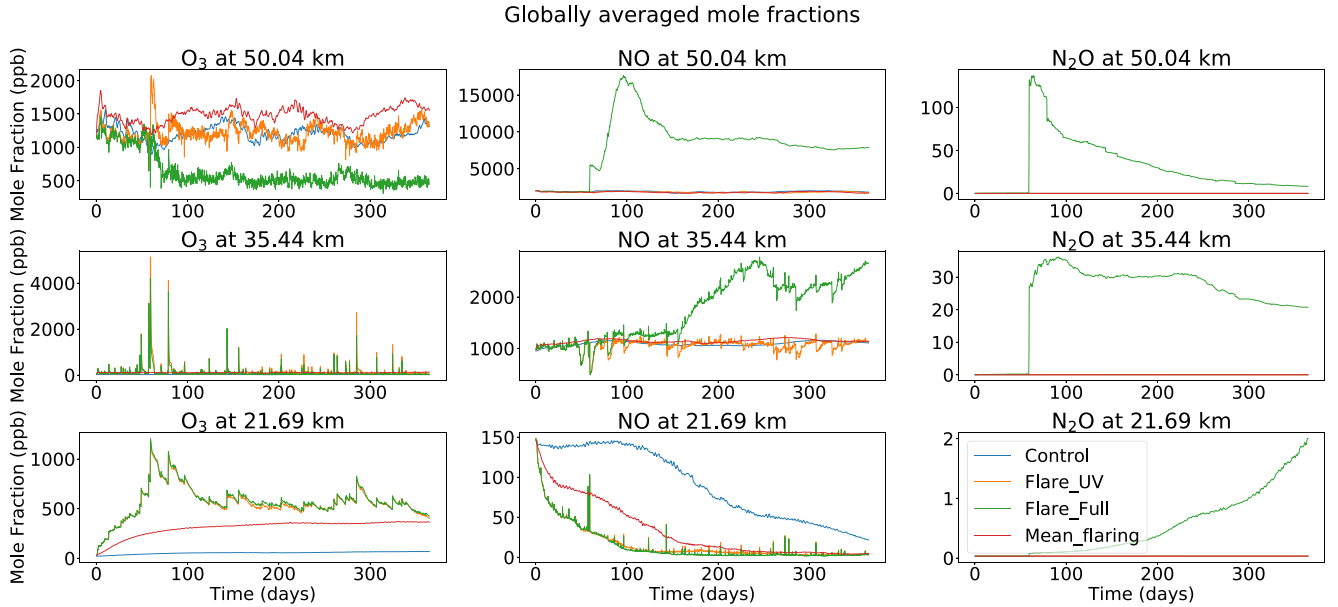
Fig. 13 (right-hand column) shows that the additional atomic nitrogen generated by CMEs is able to temporarily enhance N<sub>2</sub>O concentrations up to 100 ppb at high altitudes in our simulations. This is above the levels of N<sub>2</sub>O seen in Segura et al. (2003) in simulations of Earth-like atmospheres including surface fluxes of N<sub>2</sub>O. On Earth, N<sub>2</sub>O is mostly produced by biological activity and is thought to be a biosignature (Segura et al. 2003). Our results, however, show that care must be taken when interpreting enhanced N<sub>2</sub>O as an indicator of biotic processes. Comparing our results to Chen et al. (2021), we find that our results differ significantly. Chen et al. (2021) report N<sub>2</sub>O abundances significantly higher than found



**Figure 11.** Zonal means of the ozone (top), NO (centre), and  $N_2O$  (bottom) mole fraction on the day-side (top row for each molecule) and the night-side (bottom row for each molecule) of the planet for the quiescent Control (left), and differences in species mole fraction from the control from Flare\_UV (centre) and Flare\_Full (right), temporally averaged over the last 50 d of the runs.



**Figure 12.** The spatial distribution of the ozone column (DU) for Control, Flare\_UV, and Flare\_Full averaged over the last 50 d of the simulations. The addition of flares have significantly increased the amount of ozone in the atmosphere. Ozone is concentrated in the equatorial regions and has a larger presence on the day-side.



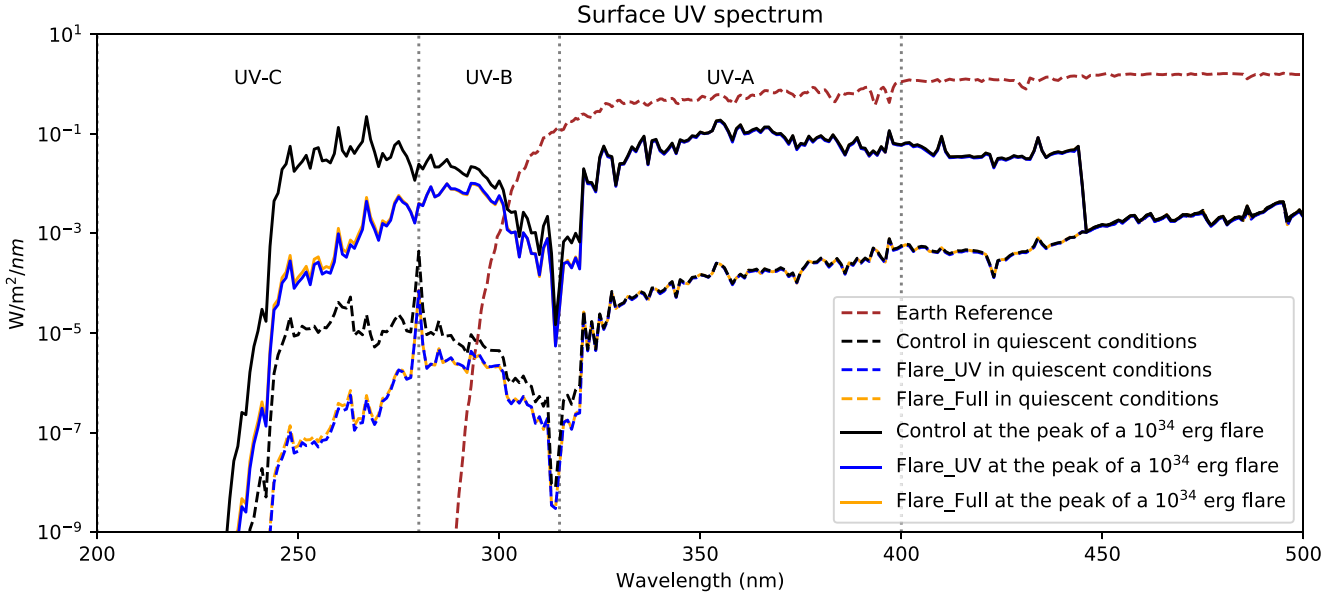
**Figure 13.** The globally averaged mole fraction of ozone (left column),  $N_2O$  (centre column), and  $NO$  (right column) in the lower ozone layer (bottom row), mid-stratosphere (middle row), and the upper ozone layer (top row).

in our results, with a peak in  $N_2O$  mixing ratio of  $10^4$  ppb during their flare peak, almost two orders of magnitude higher than our results. This, in part, may well be due to the pre-flare conditions also having a significantly higher abundance than in our case, and should be investigated in future work, beyond the scope of this initial study (see discussion in Section 4.1). Overall, however, our results and those of Chen et al. (2021) exhibit similar qualitative behaviour.

### 3.2.1 Planetary habitability

The surface UV radiation environment is a useful gauge of the impact that flares may have on a planet's habitability. However, the lack of wet deposition in this work creates a large concentration of  $HNO_3$

(approximately 60 ppm) throughout the troposphere, which acts as a strong UV absorber, heavily impacting the surface UV radiation environment. The contribution of  $HNO_3$  to photoabsorption was removed in order to crudely approximate the inclusion of wet deposition. A short test was conducted to determine how this removal of  $HNO_3$  would affect the atmospheric composition. While the details of the atmospheric composition do change quantitatively to some extent, the bulk composition does not, and the qualitative result of this work (flares generating ozone and CMEs having a limited impact on atmospheric composition) will remain unaffected. For completeness, versions of the Figures described in this section and Section 3.2.2 with the contribution of  $HNO_3$  are included in Appendix B. In future work, we plan to implement a proper wet deposition scheme but this requires development and exploration of the correct choices of controlling parameters.



**Figure 14.** The average day-side surface UV environment under quiescent conditions and during the peak of a  $10^{34}$  erg flare from the end of the spin-up simulation Quiet\_Full (black), a run containing only stellar flares (blue), and a simulation containing both stellar flares and CMEs (orange), using the atmospheric configurations from the end of their respective simulations. A reference for the Earth under quiescent conditions is also included. The changes in atmospheric composition due to stellar flares have caused additional screening of the surface from UV radiation.

Fig. 14 shows the average day-side surface radiation environment for the Control, Flare\_UV, and Flare\_Full simulations under quiescent conditions (dashed) and at the peak of a  $10^{34}$  erg flare (solid). The Control simulation is only run with quiescent conditions, but seeing what the surface UV environment in Control would have been if it was subjected to a strong flare is useful as a comparison to simulations which have already been subjected to many flares and CMEs. A reference spectrum for the Earth under quiescent conditions (American Society for Testing and Materials G-173-03 reference spectra)<sup>6</sup> is included as well, demonstrating that our simulations result in a significantly different surface UV environment.

Comparing Control under quiescent conditions to the quiescent Earth reference, we see that the planet receives significantly less UV-A and UV-B, but much more UV-C. The changes to Flare\_UV and Flare\_Full are apparent even during quiescent conditions. The changes in atmospheric composition due to stellar flares reduce the amount of UV radiation below 320 nm that reaches the planet's surface. The UV-A flux is relatively unaffected, but UV-B and UV-C have been significantly reduced. This occurs for quiescent conditions and during the flare peak, the changes in atmospheric composition have added additional screening of UV which has resulted in a relatively modest decrease in UV-A (315–400 nm) and UV-B (280–315 nm), and a much larger reduction in UV-C (200–280 nm). Given the dramatic increase in the flux emitted by the star at these wavelengths during flares that can reach the surface, as seen in the Control simulation at the flare peak, this implies a stabilizing feedback through the generation of a ‘shielding’ layer. This could have important implications for the existence of life on such planets. Interestingly, we see that the Flare\_UV simulation shows a greater decrease in the surface UV than that found in the Flare\_Full case. While this is most noticeable at shorter wavelengths where the surface fluxes are very low, this tells us that the species

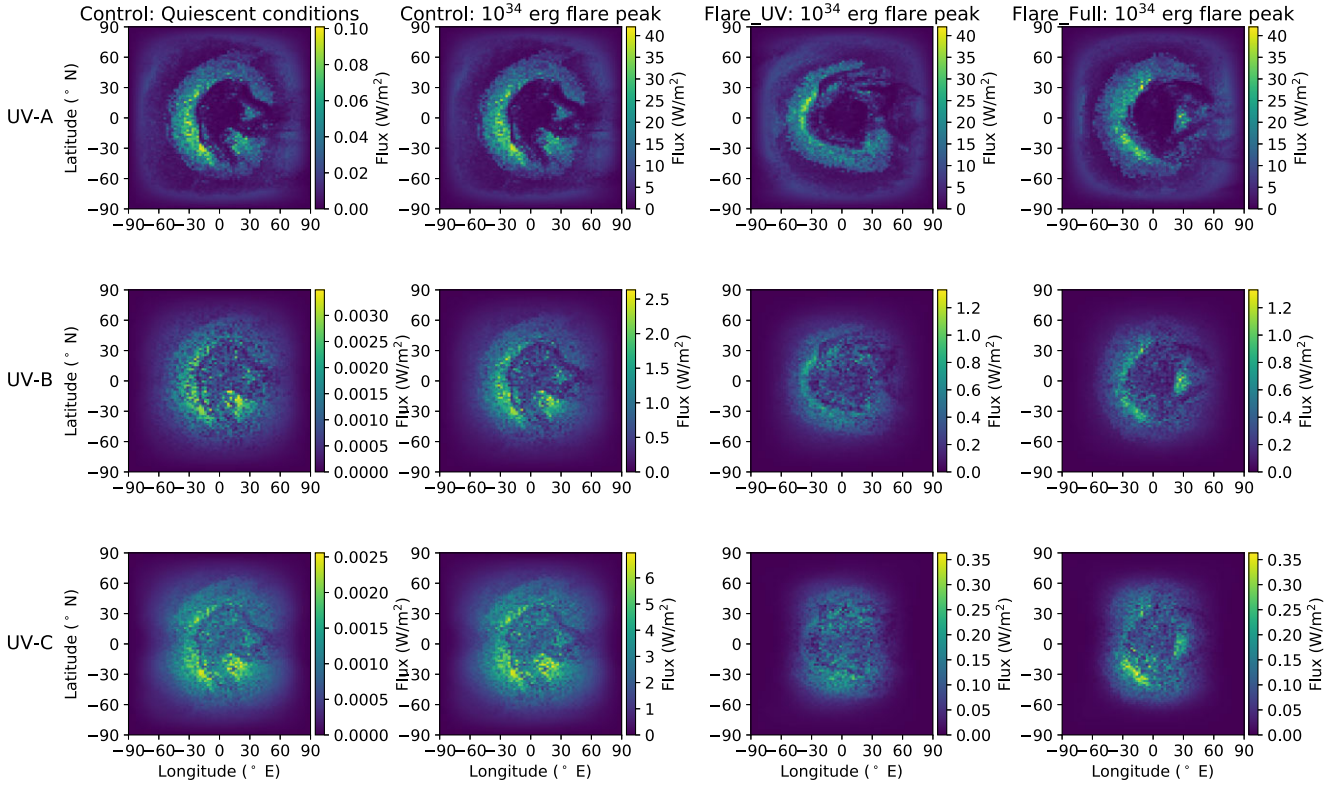
created by the CMEs cause an overall reduction in the amount of UV shielding. The amount of UV-B and UV-C which reaches the planet's surface during a flare are still much higher than that seen on Earth however, which has implications for habitability which are discussed in Section 3.2.1.

It should be noted that our simulations of ProxCen b have a very cloudy region around the substellar point which will reduce the average day-side surface UV drastically. Cloud formation is most prominent on the day-side around the substellar point. This is due to our simulation containing a global ocean, the presence of an ocean at the substellar point drives cloud formation (see Sergeev et al. 2020, for more details). Fig. 15 shows the spatial distribution of the surface UV flux on the day-side of the planet for three cases; Control, Flare\_UV, and Flare\_Full. This is separated into the UV-A (315–400 nm), UV-B (280–315 nm), and UV-C (200–280 nm) bands. As shown in Fig. 14, the surface UV-A flux is not meaningfully altered by the presence of flares or SEPs. There is, however, a 50 percent reduction in the maximum surface UV-B flux from a peak of  $2.6 \text{ W m}^{-2}$  to approximately  $1.3 \text{ W m}^{-2}$ , and a reduction in the maximum UV-C flux from  $6.95 \text{ W m}^{-2}$  to  $0.36 \text{ W m}^{-2}$ , a 94.77 percent reduction, both of which we attribute to the increased amount of ozone. The region around the substellar point receives less UV than the areas further away from the substellar point, suggesting that this region may be affected less by stellar flares due to the large amounts of cloud. A planet with a different land–ocean configuration may behave differently in this regard as changes in the hydrological cycle from a different configuration will affect the generation of clouds (Lewis et al. 2018). A planet without a large source of water (an ocean, sea, or series of lakes/swamps) in the warmer regions of the planet (in our planet's case the substellar point) will have significantly less cloud formation. Fewer clouds would mean that the amount of UV which reaches the surface would increase. Likewise, if the planet was warmer or colder (due to being closer or further from its star, or the star itself being hotter or colder), the regions of the planet where clouds could form would also change. As well, changes in the land–ocean configuration and hydrological cycle will result in

<sup>6</sup><https://www.astm.org/g0173-03.html>, spectra obtained from <https://www.nrel.gov/grid/solar-resource/spectra-am1.5.html>



## Surface UV



**Figure 15.** The surface UV radiation environment for the Control simulation under quiescent conditions, as well as Control, Flare\_UV, and Flare\_Full if they were to be subject to the peak of a  $10^{34}$  erg flare. As seen previously in Fig. 14, the changes in atmospheric composition due to flares have not significantly changed the UV-A flux, but have resulted in a significant reduction in the amount of UV-B and UV-C flux.

changes to dry deposition and wet deposition rates, further changing the atmospheric composition.

The high UV flux during a flare presents a danger for any life which may exist on the planets surface. As a proxy for examining the effects of flares on surface life, we can use the UV index. The UV index is used to measure the danger of sustained exposure to sunlight to human skin. It is calculated as

$$I_{UV} = k_{er} \int_{250 \text{ nm}}^{400 \text{ nm}} E(\lambda) S_{er}(\lambda) d\lambda, \quad (7)$$

where  $E(\lambda)$  is the solar spectral irradiance,  $S_{er}(\lambda)$  is the erythema action spectrum, which represents the relative effectiveness of UV radiation to damage human skin, and  $k_{er}$  is a constant of  $40 \text{ m}^2 \text{ W}^{-1}$  which was chosen so the standard range of the index is between 0 and 10 (the range of values typically seen on Earth). An idealized form of the erythema action spectrum (International Organization for Standardization standard *ISO 17166:1999*<sup>7</sup>) is described as

$$S_{er}(\lambda) = \begin{cases} 1 & 250 < \lambda < 298 \text{ nm}, \\ 10^{0.094(298-\lambda)} & 298 < \lambda < 328 \text{ nm}, \\ 10^{0.015(139-\lambda)} & 328 < \lambda < 400 \text{ nm}. \end{cases} \quad (8)$$

During quiescent conditions the UV index is very mild (less than 0.2 for the Control simulation, and less than 0.04 for Flare\_UV and Flare\_Full, due to the increased shielding from ozone as previously described), but the UV index reaches very high values during the peak of a maximum-strength flare, as shown in Fig. 16. The Control simu-

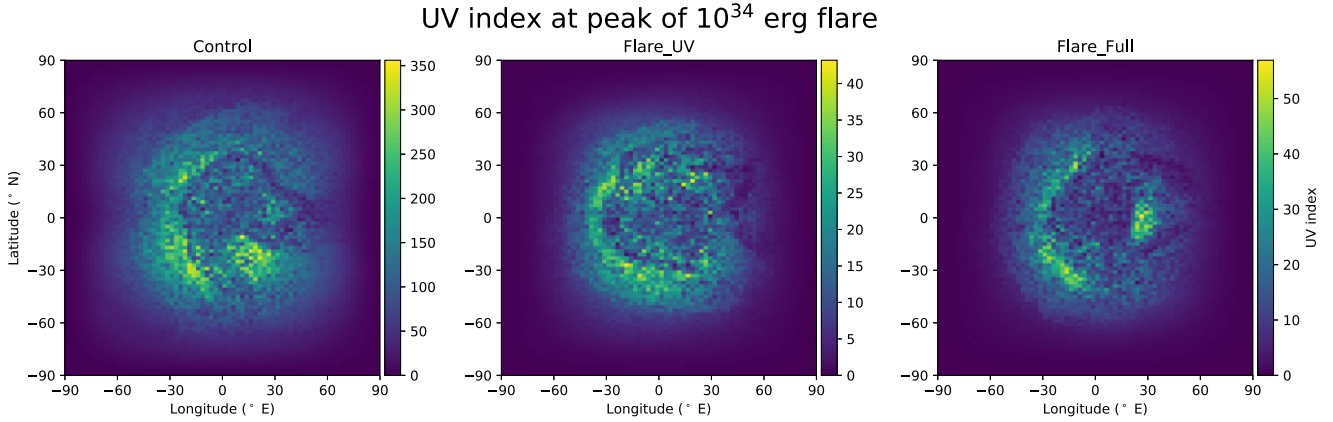
lation has a peak UV index of over 350. The ozone generated by previous flares has reduced the UV index to  $\sim 55$ , which is still extremely high, but is a reduction of  $\sim 85$  per cent from Control. Using equation (1), flares of this magnitude occur every 500 d on average. This presents a key danger for the surface habitability of this planet, even after a shielding layer of ozone has been generated. Future research on whether life could adapt to these conditions should be conducted.

### 3.2.2 Potential observability

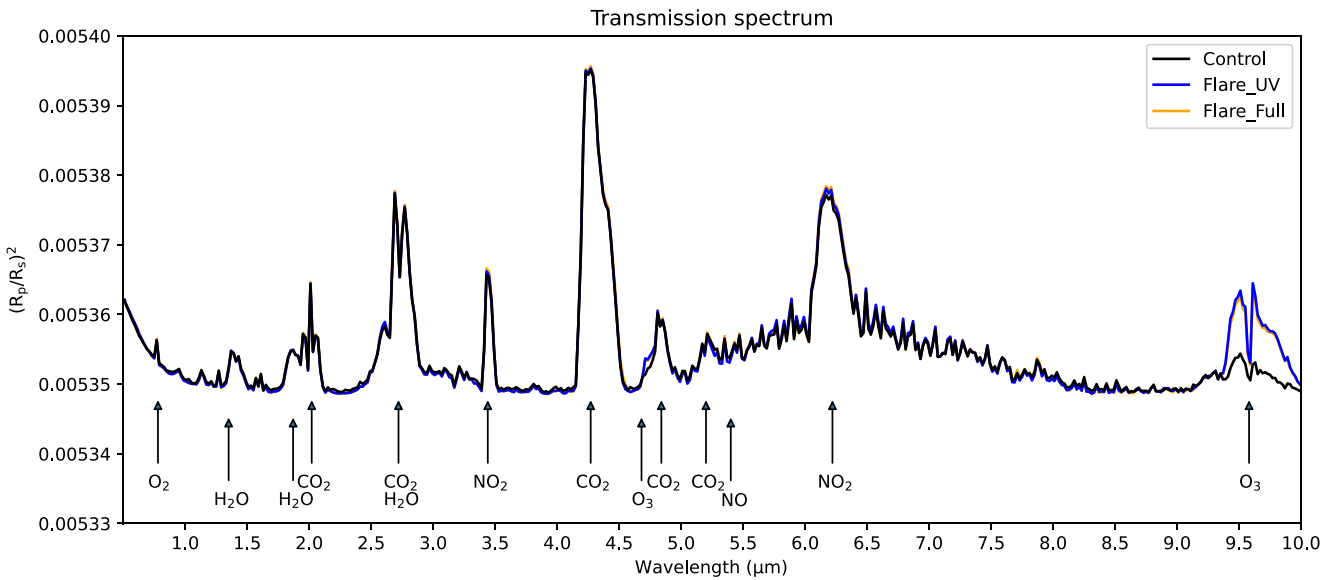
To determine whether the impacts of stellar flares could be observable for our representation of ProxCen b, we generated a synthetic transmission spectra for several simulations. The UM is able to output a synthetic transmission spectrum, using the method described in Lines et al. (2018) and recently updated by Christie et al. (2021). It should be noted that ProxCen b is not thought to transit (Jenkins et al. 2019). The planet we simulate is merely a planet based on the parameters of ProxCen b, with the results indicative for M dwarf hosted planets with ‘Earth-like’ atmospheres. As stated in Section 3.2.1, we have removed the contribution of  $\text{HNO}_3$  to the transmission spectrum. See Appendix B for a discussion of the results with the contribution of  $\text{HNO}_3$ .

Fig. 17 shows the transmission spectra (between 500 nm and  $10 \mu\text{m}$ ) from the previously described simulations (Control, Flare\_UV, and Flare\_Full). Changes in the transmission spectrum are caused by changes in atmospheric composition, temperature, and pressure. Fig. 17 shows that the transmission spectrum is rather unchanged by the changes in the atmospheric composition due to

<sup>7</sup><https://www.iso.org/obp/ui/#iso:std:iso:17166:ed-1:v2>



**Figure 16.** Maps of the UV index for Control, Flare\_UV, and Flare\_Full if they were to be subject to the peak of a  $10^{34}$  erg flare. While the UV index is extremely high for all simulations, changes in atmospheric composition due to previous flares result in the peak UV index being reduced by  $\sim 85$  per cent.



**Figure 17.** The transmission spectra for the simulated planets for 500 nm–10  $\mu$ m at the end of their respective simulations. The colours refer to the same simulations as Fig. 14.

flares or SEPs. We observe strong absorption features for  $\text{NO}_2$  and ozone. The ozone absorption peaks at 9.5 microns are the only features altered in a noticeable fashion. The differences in these features are relatively small and are not expected to be readily discernible with current-generation instrumentation.

The atmospheric composition changes due to the SEPs (a reduction of the upper ozone layer, and a significant increase in  $\text{NO}$  and  $\text{N}_2\text{O}$ ) cause very small changes in the transmission spectrum, namely a slight increase in the continuum absorption in several wavelength regions, and a small increase in the  $\text{NO}_2$  absorption feature at 6.3 microns.

#### 4 CONCLUSIONS

In this work, we have coupled the UM, a 3D general circulation model, a chemical kinetics scheme, and a photolysis scheme to create a self-consistent photochemical scheme capable of describing the interplay between atmospheric chemistry and planetary dynamics.

In this first application of our model, we simulated Proxima Centauri b as an indicative terrestrial aquaplanet, although our qualitative

results should hold for similar targets. The simulated planet is tidally locked with an Earth-like atmosphere orbiting an M dwarf star. We incorporate Earth-based observations of ionization caused by stellar protons to create an approximation of a quiescent stellar wind, as well as a representation of the ionization caused by a CME which is used to approximate the effects of CMEs which result in SEPs impacting the planet's atmosphere through the creation of short-lived radical species, which induce significant changes in the atmosphere. We find that under quiescent conditions the planet maintains an extremely thin upper ozone layer at 45–55 km resulting in an average ozone column which is hundreds of times thinner than seen on Earth. We find that the introduction of  $\text{HO}_x$  and  $\text{NO}_x$  chemistry results in the depletion of ozone globally as expected, but the depletion is strongest through the inclusion of  $\text{NO}_x$  chemistry. The depletion of ozone due to the introduction of  $\text{NO}_x$  chemistry is strongest within the night-side gyres, removing a night-side reservoir of ozone.

We find that the effect of stellar flares on the planet's atmosphere is to increase in the amount of ozone present in the atmosphere. A lower ozone layer is developed between 20 and 25 km and is carried to the night-side through the equatorial jet. SEPs are found

to cause minimal changes in the total amount of ozone, but do cause a reduction in the upper ozone layer.

The increased UV radiation due to the stellar flares causes a 20 DU increase in the amount of ozone present. The ozone concentration enters a punctuated equilibrium state which is perturbed by flares to temporarily increase the ozone concentration. CMEs were found to have a limited impact on the amount of ozone. The impact is dependent on altitude. At low altitudes the ozone concentration increases from  $\sim 10$  ppb to hundreds of ppb. At mid-altitudes we see a rapid increase and decrease in ozone concentration, with the increases ranging from hundreds to thousands of ppb. At high altitudes we do not see a response due to flaring. We see a response due to CMEs which reduces the ozone concentrations by  $\sim 600$  ppb. This reduction indicates a long-term divergence in the chemical evolution of ozone in the upper atmosphere due to CMEs.

Simulating the planet with a constant spectrum consisting of the time-averaged stellar spectra from the year-long simulation shows a mixture of agreement and disagreement with the simulation only containing flares. While the concentrations of species such as  $\text{N}_2\text{O}$  and  $\text{NO}$  broadly match, species that are more sensitive to flares (such as  $\text{O}_3$ ) are quite different. This tells us that while such a spectrum can be used to model changes in atmospheric composition due to stellar flares without needing a high-resolution time-varying stellar irradiance model, it cannot be used without caution, as it is not accurate for every species and will not capture any short-term behaviours.

The introduction of CMEs causes a significant increase in the concentration of the biosignature  $\text{N}_2\text{O}$  in the stratosphere. While this increase is not readily discernible by the current generation of instrumentation, it does highlight the need for caution if  $\text{N}_2\text{O}$  is detected in significant quantities, as we have found that stellar flares and CMEs can plausibly act as an abiotic source of  $\text{N}_2\text{O}$ .

The changes in the atmospheric composition have heavily reduced the amount of UV-B and UV-C which reaches the planets surface. While rare, during the peak of the strongest flares in this model, the surface UV-A and UV-B fluxes increase by a factor of 400 and the UV-C by a factor of 145. These results were obtained after removing the contribution of  $\text{HNO}_3$  to radiative absorption, mimicking perfect wet deposition.

To summarize, we find that the stellar flares are inducing changes in the atmosphere to create additional shielding from UV. Essentially, the atmosphere is responding in such a way that makes the next flare less impactful, with the most significant, and potentially irreversible impacts being caused by the largest flares which are relatively common for M dwarf stars.

#### 4.1 Future work

The question of whether M dwarf hosted planets are habitable is a challenging one. In general, for 3D exoplanet climate modelling studies can adapt complex and more ‘complete’ treatments developed for Earth, or develop more simplified treatments. For the former case the studies are less likely to miss important elements, and could be more accurate, however the latter approach is more amenable to interpretation and less subject to Earth-centric assumptions. In reality, to make progress we need a range of approaches. In this work we introduce a new model, complementary in its approach to that of Chen et al. (2021) and Braam et al. (2022) in examining ozone chemistry in 3D, but are aware that this first step motivates extensive follow-up both in terms of more extensive application of this model, and further development.

First, for this study, improvements in computational efficiency would allow us to perform longer simulations including a larger

number of flares, and studying the longer term behaviour of the atmosphere. The evolution of the ozone distribution is not completely understood and initial conditions for a flaring simulation are not well constrained. Work is underway to adapt the next-generation climate model of the Met Office, termed LFRic (Adams et al. 2019) to exoplanets (Sergeev et al., in preparation), which will open up much larger scale computations allowing the inclusion of more physical process, improvement to higher spatial and temporal resolution and longer simulation times. In this work, we have focused on a few important species, but as detailed in Appendix A1 we track the abundances of many more species which we could explore in more detail. Additionally, we have assumed an unmagnetized planet, but could implement a spatial dependence of the SEP impacts to mimic a magnetic field topology. We have also assumed an ‘Earth-like’ atmospheric composition for this initial study, but varying compositions could also be studied through adaptations of the chemistry. Additionally, it is clear that ‘M dwarfs’ are not a uniform population, but actually a diverse set of objects so studies should be expanded to cover the spectral range more completely.

For our current model, the treatment of dry deposition should be improved beyond the simple model of Giannakopoulos et al. (1999) and wet deposition added. This would act to alter the abundance of the species listed in Section 2.5.3 including ozone. The inclusion of wet deposition would impact the chemistry in the troposphere, and heavily deplete  $\text{NO}_x$  reservoirs such as  $\text{HNO}_3$ . Additionally, non-LTE effects in the upper atmosphere should be included to more accurately capture the high altitude heating, something which is essentially omitted in this work. SOCRATES is currently being upgraded to include such a treatment (Jackson et al. 2020). Furthermore, ions and aqueous interactions could be included in the chemistry, which would lead to a more complete description of the impact of the stellar activity. The generation of hazes in the upper atmosphere would also likely impact the UV budget so should also be included. Hazes are likely to play a key role in, for example, the Archean Earth and analogue exoplanets (Arney et al. 2016). Finally, additional sources and sinks into the atmosphere could be included such as atmospheric escape and influx from the surface (e.g. volcanic activity).

The long-term changes in the abundances in several species as a result of the flares or SEPs suggest that the occurrence and timing of large flares or CMEs might be quite important in determining the state of the planet at the end of the simulations. This could be tested in several ways, such as increasing the length of the simulation to eliminate short-term effects, or by subjecting the planet to the same sampling of flares, but in a different order.

This model could also be adapted to the study of the Archean Earth, as mentioned with the inclusion of hazes, as well as being applied to hot Jupiters with adaptations to the chemistry and model setup (as the UM is already routinely applied to hot Jupiters to study the chemistry, e.g. Drummond et al. 2020; Zamyatina et al., submitted). In particular, we plan to adapt this model to the Archean Earth, and study exoplanet analogues of this stage in Earth’s history, where the first evidence of life is found (Nisbet & Sleep 2001).

Clearly there is much to be done to improve our understanding of the interaction between ‘active’ stars and the planets they host.

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## DATA AVAILABILITY

The research data supporting this publication are openly available from the University of Exeter's institutional repository at: <https://doi.org/10.24378/exe.4244>.

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## APPENDIX A: CHEMICAL NETWORK

In this appendix section, we first list the 22 chemical species that we actively track in our model (Section A1), followed by the reactions included in our chemistry and radiative transfer schemes (Section A2).

### A1 Chemical species

Table A1 lists the tracked species which are advected through the atmosphere, impact the radiative transfer calculation (alongside the other, constant abundance, background atmospheric gases, Boutle et al. 2017) and take part in the various chemical and photochemical reactions. The majority of the molecular opacities were sourced from the high-resolution transmission molecular absorption data base (HITRAN, Gordon et al. 2022). Other sources include Burkholder et al. (2015) hereafter referred to as JPL2015, the MPI-Mainz

UV/VIS Spectral Atlas (Keller-Rudek et al. 2013), and data from the South–West Research Institute (SWRI, Huebner & Mukherjee 2015).

Our underlying chemistry framework (Drummond et al. 2016) has previously been coupled to the dynamics and radiative transfer, and tested, at various levels of sophistication for performance such as conservation (see Drummond et al. 2020; Zamyatina et al., submitted).

### A2 Reactions

In this work, we include several different forms of reactions which we class as bimolecular, termolecular and photolysis detailed in Sections A3, A4, and A5, respectively. Additionally, we separately detail those reactions caused by SEPs in Section A6.

The parameters for the reactions are obtained from the following sources: Burkholder et al. (2015) (JPL2015), Burkholder et al. (2019) hereafter referred to as JPL2019, Atkinson et al. (2004) hereafter referred to as IUPAC, and for reactions involving atomic nitrogen Herron (1999).

### A3 Bimolecular reactions

Bimolecular reactions are chemical reactions containing two reactants. The reaction rate ( $k_f$ , molecule cm<sup>-3</sup> s<sup>-1</sup>) of a bimolecular chemical reaction (with reactants A and B) is calculated as

$$k_f = k[A][B], \quad (\text{A1})$$

where  $k$  is the reaction rate coefficient (cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) and  $[A]$  is the number density of species A, and  $[B]$  the number density of species B (both expressed in molecule cm<sup>-3</sup>). The reaction rate coefficient is calculated using the modified Arrhenius equation

$$k = A(T/300)^\alpha \exp(-E_a/RT), \quad (\text{A2})$$

**Table A1.** The species tracked in our model and involved in the ozone chemistry, and the source(s) for their opacity if the species is involved with the radiative transfer.

Species	Formula	Opacity data source
Molecular oxygen	O <sub>2</sub>	HITRAN, recommended sources for wavelengths below 294 nm in Burkholder et al. (2015)
Ozone	O <sub>3</sub>	HITRAN, JPL2015, SWRI
Molecular nitrogen	N <sub>2</sub>	HITRAN, Fennelly & Torr (1992), Henke, Gullikson & Davis (1993)
Carbon dioxide	CO <sub>2</sub>	HITRAN, MPI-Mainz UV/VIS Spectral Atlas, SWRI
Atomic oxygen (ground state)	O( <sup>3</sup> P)	–
Atomic oxygen (first excited state)	O( <sup>1</sup> D)	–
Water	H <sub>2</sub> O	HITRAN, MPI-Mainz UV/VIS Spectral Atlas, SWRI
Hydroxyl radical	OH	–
Hydroperoxyl radical	HO <sub>2</sub>	JPL2015, SWRI
Molecular hydrogen	H <sub>2</sub>	–
Atomic hydrogen	H	–
Hydrogen peroxide	H <sub>2</sub> O <sub>2</sub>	JPL2015, SWRI
Nitric oxide	NO	–
Nitrogen dioxide	NO <sub>2</sub>	MPI-Mainz UV/VIS Spectral Atlas, SWRI
Nitrate radical	NO <sub>3</sub>	MPI-Mainz UV/VIS Spectral Atlas, SWRI
Dinitrogen pentoxide	N <sub>2</sub> O <sub>5</sub>	MPI-Mainz UV/VIS Spectral Atlas, SWRI
Peroxynitric acid	HO <sub>2</sub> NO <sub>2</sub>	JPL2015, SWRI
Nitrous acid	HONO	JPL2015, SWRI
Nitric acid	HNO <sub>3</sub>	JPL2015, SWRI
Nitrous oxide	N <sub>2</sub> O	HITRAN, MPI-Mainz UV/VIS Spectral Atlas
Atomic nitrogen (ground state)	N( <sup>4</sup> S)	–
Atomic nitrogen (excited state)	N( <sup>2</sup> D)	–

**Table A2.** The bimolecular reactions included in the chemical network, and their coefficients.

Reaction	A (cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> )	$\alpha$	$E_a/R$ (K)	$T$ range (K)	Source
O( <sup>3</sup> P) + O <sub>3</sub> → O <sub>2</sub> + O <sub>2</sub>	8.00 × 10 <sup>-12</sup>	0	2060	220–409	JPL2019
O( <sup>1</sup> D) + O <sub>2</sub> → O( <sup>3</sup> P) + O <sub>2</sub>	3.3 × 10 <sup>-11</sup>	0	−55	104–424	JPL2019
O( <sup>1</sup> D) + N <sub>2</sub> → O( <sup>3</sup> P) + N <sub>2</sub>	2.15 × 10 <sup>-11</sup>	0	−110	103–673	JPL2019
O( <sup>1</sup> D) + CO <sub>2</sub> → O( <sup>3</sup> P) + CO <sub>2</sub>	7.5 × 10 <sup>-12</sup>	0	−115	195–370	JPL2019
O( <sup>1</sup> D) + O <sub>3</sub> → O <sub>2</sub> + O <sub>2</sub>	1.20 × 10 <sup>-10</sup>	0	0.0	103–393	JPL2019
O( <sup>1</sup> D) + O <sub>3</sub> → O <sub>2</sub> + O( <sup>3</sup> P) + O( <sup>3</sup> P)	1.20 × 10 <sup>-10</sup>	0	0.0	103–393	JPL2019
O( <sup>1</sup> D) + H <sub>2</sub> O → OH + OH	1.63 × 10 <sup>-10</sup>	0	−60	217–453	JPL2019
O( <sup>1</sup> D) + H <sub>2</sub> → OH + H	1.20 × 10 <sup>-10</sup>	0	0	204–4210	JPL2019
O( <sup>3</sup> P) + OH → O <sub>2</sub> + H	1.8 × 10 <sup>-11</sup>	0	−180	136–515	JPL2019
O( <sup>3</sup> P) + HO <sub>2</sub> → O <sub>2</sub> + OH	3.0 × 10 <sup>-11</sup>	0	−200	229–391	JPL2019
O( <sup>3</sup> P) + H <sub>2</sub> O <sub>2</sub> → HO <sub>2</sub> + OH	1.40 × 10 <sup>-12</sup>	0	2000	283–386	JPL2019
H + O <sub>3</sub> → OH + O <sub>2</sub>	1.40 × 10 <sup>-10</sup>	0	470	196–424	JPL2019
H + HO <sub>2</sub> → OH + OH	7.2 × 10 <sup>-11</sup>	0	0	245–300	JPL2019
H + HO <sub>2</sub> → O( <sup>3</sup> P) + H <sub>2</sub> O	1.6 × 10 <sup>-12</sup>	0	0	245–300	JPL2019
H + HO <sub>2</sub> → H <sub>2</sub> + O <sub>2</sub>	6.9 × 10 <sup>-12</sup>	0	0	245–300	JPL2019
OH + O <sub>3</sub> → HO <sub>2</sub> + O <sub>2</sub>	1.7 × 10 <sup>-12</sup>	0	940	190–357	JPL2019
OH + H <sub>2</sub> → H <sub>2</sub> O + H	2.8 × 10 <sup>-12</sup>	0	1800	200–1050	JPL2019
OH + OH → H <sub>2</sub> O + O( <sup>3</sup> P)	1.8 × 10 <sup>-12</sup>	0	0	233–580	JPL2019
OH + HO <sub>2</sub> → H <sub>2</sub> O + O <sub>2</sub>	4.8 × 10 <sup>-11</sup>	0	−250	252–420	JPL2019
OH + H <sub>2</sub> O <sub>2</sub> → H <sub>2</sub> O + HO <sub>2</sub>	1.8 × 10 <sup>-12</sup>	0	0	200–300	JPL2019
HO <sub>2</sub> + O <sub>3</sub> → OH + O <sub>2</sub> + O <sub>2</sub>	1.0 × 10 <sup>-14</sup>	0	490	197–413	JPL2019
HO <sub>2</sub> + HO <sub>2</sub> → H <sub>2</sub> O <sub>2</sub> + O <sub>2</sub>	3.0 × 10 <sup>-13</sup> <sup>a</sup>	0	−460	222–1120	JPL2019
O( <sup>1</sup> D) + N <sub>2</sub> O → N <sub>2</sub> + O <sub>2</sub>	4.641 × 10 <sup>-11</sup>	0	−20	195–719	JPL2019
O( <sup>1</sup> D) + N <sub>2</sub> O → NO + NO	7.259 × 10 <sup>-11</sup>	0	−20	195–719	JPL2019
O( <sup>3</sup> P) + NO <sub>2</sub> → NO + O <sub>2</sub>	5.1 × 10 <sup>-12</sup> <sup>b</sup>	0	−210	199–2300	JPL2015
O( <sup>3</sup> P) + NO <sub>3</sub> → NO <sub>2</sub> + O <sub>2</sub>	1.3 × 10 <sup>-11</sup>	0	0	298–329	JPL2019
H + NO <sub>2</sub> → OH + NO	1.35 × 10 <sup>-10</sup>	0	0	195–2000	JPL2019
OH + NO <sub>3</sub> → HO <sub>2</sub> + NO <sub>2</sub>	2.0 × 10 <sup>-11</sup>	0	0	298	JPL2019
OH + HONO → H <sub>2</sub> O + NO <sub>2</sub>	3.0 × 10 <sup>-12</sup>	0	−250	276–1400	JPL2019
OH + HNO <sub>3</sub> → H <sub>2</sub> O + NO <sub>3</sub>	2.4 × 10 <sup>-14</sup>	0	−460	–	IUPAC <sup>3</sup>
OH + HO <sub>2</sub> NO <sub>2</sub> → H <sub>2</sub> O + NO <sub>2</sub> + O <sub>2</sub>	4.5 × 10 <sup>-13</sup>	0	−610	218–335	JPL2019
HO <sub>2</sub> + NO → NO <sub>2</sub> + OH	3.44 × 10 <sup>-12</sup>	0	−260	182–1270	JPL2019
HO <sub>2</sub> + NO <sub>3</sub> → OH + NO <sub>2</sub> + O <sub>2</sub>	3.5 × 10 <sup>-12</sup>	0	0	263–338	JPL2019
N( <sup>4</sup> S) + O <sub>2</sub> → NO + O( <sup>3</sup> P)	3.3 × 10 <sup>-12</sup>	0	3150	280–1220	JPL2019
N( <sup>4</sup> S) + NO → N <sub>2</sub> + O( <sup>3</sup> P)	2.1 × 10 <sup>-11</sup>	0	−100	196–3660	JPL2019
N( <sup>4</sup> S) + NO <sub>2</sub> → N <sub>2</sub> O + O( <sup>3</sup> P)	5.8 × 10 <sup>-12</sup>	0	−220	223–700	JPL2019
NO + O <sub>3</sub> → NO <sub>2</sub> + O <sub>2</sub>	3.0 × 10 <sup>-12</sup>	0	1500	195–443	JPL2019
NO + NO <sub>3</sub> → NO <sub>2</sub> + NO <sub>2</sub>	1.7 × 10 <sup>-11</sup>	0	−125	209–703	JPL2019
NO <sub>2</sub> + O <sub>3</sub> → NO <sub>3</sub> + O <sub>2</sub>	1.2 × 10 <sup>-13</sup>	0	2450	231–362	JPL2019
NO <sub>2</sub> + NO <sub>3</sub> → NO + NO <sub>2</sub> + O <sub>2</sub>	4.35 × 10 <sup>-14</sup>	0	1335	236–538	JPL2019
NO <sub>3</sub> + NO <sub>3</sub> → NO <sub>2</sub> + NO <sub>2</sub> + O <sub>2</sub>	8.5 × 10 <sup>-13</sup>	0	2450	298–1100	JPL2019
N <sub>2</sub> O <sub>5</sub> + H <sub>2</sub> O → HNO <sub>3</sub> + HNO <sub>3</sub>	2 × 10 <sup>-21</sup>	0	0	290–298	JPL2019
N( <sup>2</sup> D) + O( <sup>3</sup> P) → N( <sup>4</sup> S) + O( <sup>3</sup> P)	3.3 × 10 <sup>-12</sup>	0	260	300–400	Herron (1999)
N( <sup>2</sup> D) + O <sub>2</sub> → NO + O( <sup>3</sup> P)	9.7 × 10 <sup>-12</sup>	0	185	200–500	Herron (1999)
N( <sup>2</sup> D) + N <sub>2</sub> O → N <sub>2</sub> + NO	1.5 × 10 <sup>-11</sup>	0	570	200–400	Herron (1999)
N( <sup>2</sup> D) + N <sub>2</sub> → N( <sup>4</sup> S) + N <sub>2</sub>	1.7 × 10 <sup>-14</sup>	0	0	298	Herron (1999)

Notes. <sup>a</sup>In the presence of water there is an extra corrective factor of  $1 + 1.4 \times 10^{-21} [\text{H}_2\text{O}] \exp(2200/T)$ .

<sup>b</sup>Integrated rate constant for both association and dissociation.

<sup>c</sup>We only use  $k_1$  from the expanded rate coefficient to account for temperature dependence.

where  $A$  is a pre-exponential factor (cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>),  $\alpha$  is a parameter which controls temperature dependence,  $E_a$  is the activation energy of the reaction (J mol<sup>-1</sup>),  $R$  is the universal gas constant (8.3144 J K<sup>-1</sup> mol<sup>-1</sup>), and  $T$  is the temperature. The parameters for every bimolecular reaction included in our model are included in Table A2.

#### A4 Termolecular reactions

A termolecular reaction is a reaction which involves three reactants. In this work, our termolecular reactions involve two main reactants

and a third molecule ( $M$ ) which symbolizes a range of possible third-body molecules. The third-body facilitates the reaction and stabilizes the products. The reaction rate ( $k_f$ ) for a termolecular reaction is

$$k_f = k[A][B][M], \quad (\text{A3})$$

where  $k$  is the reaction rate coefficient (cm<sup>6</sup> molecule<sup>-2</sup> s<sup>-1</sup>) and  $[A]$  is the number density of species A,  $[B]$  is the number density of species B, and  $[M]$  is the combined number density of all possible third-body molecules  $M$  (all expressed in molecule cm<sup>-3</sup>). As such, the reaction rate coefficients of termolecular reactions are generally dependent on pressure. The low-pressure coefficient  $k_0$  and the high-

**Table A3.** The termolecular reactions included in the chemical network, and their coefficients (see Table A4 for decomposition reactions). Note: 1. In the presence of water there is an extra corrective factor of  $1 + 1.4 \times 10^{-21} [\text{H}_2\text{O}] \exp(2200/T)$ .

Reaction	$k_1$ ( $\text{cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ )	$\alpha_1$	$\beta_1$ (K)	$k_2$ ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )	$\alpha_2$	$\beta_2$ (K)	Source
$\text{O}(^3\text{P}) + \text{O}_2 + \text{M} \rightarrow \text{O}_3 + \text{M}$	$6.1 \times 10^{-34}$	-2.4	0	—	—	—	JPL2019
$\text{HO}_2 + \text{HO}_2 + \text{M} \rightarrow \text{H}_2\text{O}_2 + \text{O}_2 + \text{M}$	$2.1 \times 10^{-33},^1$	0	-920	—	—	—	JPL2019
$\text{H} + \text{O}_2 + \text{M} \rightarrow \text{HO}_2 + \text{M}$	$5.3 \times 10^{-32}$	-1.8	0	$9.5 \times 10^{-11}$	0.4	0	JPL2019
$\text{OH} + \text{OH} + \text{M} \rightarrow \text{H}_2\text{O}_2 + \text{M}$	$6.9 \times 10^{-31}$	-1	0	$2.6 \times 10^{-11}$	0	0	JPL2019
$\text{O}(^1\text{D}) + \text{N}_2 + \text{M} \rightarrow \text{N}_2\text{O} + \text{M}$	$2.8 \times 10^{-36}$	-0.9	0	—	—	—	JPL2019
$\text{O}(^3\text{P}) + \text{NO} + \text{M} \rightarrow \text{NO}_2 + \text{M}$	$9.1 \times 10^{-32}$	-1.5	0	$3.0 \times 10^{-11}$	0	0	JPL2019
$\text{O}(^3\text{P}) + \text{NO}_2 + \text{M} \rightarrow \text{NO}_3 + \text{M}$	$3.4 \times 10^{-31}$	-1.6	0	$2.3 \times 10^{-11}$	-0.2	0	JPL2019
$\text{OH} + \text{NO} + \text{M} \rightarrow \text{HONO} + \text{M}$	$7.1 \times 10^{-31}$	-2.6	0	$3.6 \times 10^{-11}$	-0.1	0	JPL2019
$\text{OH} + \text{NO}_2 + \text{M} \rightarrow \text{HNO}_3 + \text{M}$	$1.8 \times 10^{-30}$	-3	0	$2.8 \times 10^{-11}$	0	0	JPL2019
$\text{HO}_2 + \text{NO}_2 + \text{M} \rightarrow \text{HO}_2\text{NO}_2 + \text{M}$	$1.9 \times 10^{-31}$	-3.4	0	$4 \times 10^{-12}$	-0.3	0	JPL2019
$\text{NO}_2 + \text{NO}_3 + \text{M} \rightarrow \text{N}_2\text{O}_5 + \text{M}$	$2.4 \times 10^{-30}$	-3	0	$1.6 \times 10^{-12}$	0.1	0	JPL2019

**Table A4.** The termolecular decomposition reactions included in the chemical network, and their coefficients.

Reaction	$k_1$ ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )	$\alpha_1$	$\beta_1$ (K)	$k_2$ ( $\text{s}^{-1}$ )	$\alpha_2$	$\beta_2$ (K)	Source
$\text{N}_2\text{O}_5 + \text{M} \rightarrow \text{NO}_2 + \text{NO}_3 + \text{M}$	$1.3 \times 10^{-3}$	-3.5	11000	$9.7 \times 10^{14}$	0.1	11080	IUPAC
$\text{HO}_2\text{NO}_2 + \text{M} \rightarrow \text{HO}_2 + \text{NO}_2 + \text{M}$	$4.1 \times 10^{-5}$	0	10650	$6.0 \times 10^{15}$	0	11170	IUPAC

**Table A5.** The list of photolysis reactions (channels) used in the chemical network, and the threshold wavelength for each reaction.

Photolysis	Threshold wavelength (nm)	Quantum yield source
$\text{O}_2 + h\nu \rightarrow \text{O}(^3\text{P}) + \text{O}(^3\text{P})$	242.3	JPL2019
$\text{O}_2 + h\nu \rightarrow \text{O}(^3\text{P}) + \text{O}(^1\text{D})$	175	JPL2019
$\text{O}_3 + h\nu \rightarrow \text{O}(^3\text{P}) + \text{O}_2$	1180	JPL2019
$\text{O}_3 + h\nu \rightarrow \text{O}(^1\text{D}) + \text{O}_2$	411	JPL2019
$\text{HO}_2 + h\nu \rightarrow \text{OH} + \text{O}(^3\text{P})$	438	Assumed to be 1
$\text{HO}_2 + h\nu \rightarrow \text{OH} + \text{O}(^1\text{D})$	259	Assumed to be 1
$\text{H}_2\text{O} + h\nu \rightarrow \text{H} + \text{OH}$	242	JPL2019
$\text{H}_2\text{O} + h\nu \rightarrow \text{H}_2 + \text{O}(^1\text{D})$	175	JPL2019
$\text{H}_2\text{O} + h\nu \rightarrow \text{H} + \text{H} + \text{O}(^3\text{P})$	129	JPL2019
$\text{H}_2\text{O}_2 + h\nu \rightarrow \text{OH} + \text{OH}$	557	JPL2019
$\text{H}_2\text{O}_2 + h\nu \rightarrow \text{H} + \text{HO}_2$	329	JPL2019
$\text{NO}_2 + h\nu \rightarrow \text{NO} + \text{O}(^3\text{P})$	422	JPL2019
$\text{NO}_3 + h\nu \rightarrow \text{NO}_2 + \text{O}(^3\text{P})$	7320	JPL2019
$\text{NO}_3 + h\nu \rightarrow \text{NO} + \text{O}_2$	640	JPL2019
$\text{N}_2\text{O} + h\nu \rightarrow \text{N}_2 + \text{O}(^1\text{D})$	336	JPL2019
$\text{N}_2\text{O}_5 + h\nu \rightarrow \text{NO}_3 + \text{NO}_2$	1255	JPL2019
$\text{N}_2\text{O}_5 + h\nu \rightarrow \text{NO}_3 + \text{NO} + \text{O}(^3\text{P})$	298	JPL2019
$\text{HONO} + h\nu \rightarrow \text{OH} + \text{NO}$	579	Assumed to be 1
$\text{HNO}_3 + h\nu \rightarrow \text{NO}_2 + \text{OH}$	581	Assumed to be 1
$\text{HO}_2\text{NO}_2 + h\nu \rightarrow \text{HO}_2 + \text{NO}_2$	1207	JPL2019
$\text{HO}_2\text{NO}_2 + h\nu \rightarrow \text{OH} + \text{NO}_3$	726	JPL2019

**Table A6.** The list of reactions caused by stellar proton (or stellar energetic particles, SEPs) forcing used in the chemical network and the total amount of molecules produced per ion pair for each reaction.

Reaction	Production efficiency
$\text{H}_2\text{O} \rightarrow \text{H} + \text{OH}$	2
$\text{N}_2 \rightarrow \text{N}(^4\text{S}) + \text{N}(^4\text{S})$	0.55
$\text{N}_2 \rightarrow \text{N}(^2\text{D}) + \text{N}(^2\text{D})$	0.7

pressure coefficient  $k_\infty$  are defined as,

$$k_0 = k_1(T/300)^{\alpha_1} \exp(-\beta_1/T) \quad (\text{A4})$$

and

$$k_\infty = k_2(T/300)^{\alpha_2} \exp(-\beta_2/T), \quad (\text{A5})$$

respectively. We determine the overall rate coefficient  $k$  ( $\text{cm}^6 \text{ s}^{-1}$ ) using

$$k = k_0 \left( \frac{1}{1 + P_r} \right) F, \quad (\text{A6})$$

where  $P_r$  is the reduced pressure calculated using

$$P_r = \frac{k_0[M]}{k_\infty}, \quad (\text{A7})$$

where  $[M]$  is the number density of the third-body molecule.  $F$  is a broadening factor determined by

$$F = F_c^{1/\left(1 + \left(\frac{\log_{10}(P_r) + c}{N - d(\log_{10}(P_r) + c)}\right)^2\right)}, \quad (\text{A8})$$

where  $c = -0.4 - 0.67\log_{10}(F_c)$ ,  $N = 0.75 - 1.27\log_{10}(F_c)$  and  $d = 0.14$  and  $F_c$  is calculated using

$$F_c = (1 - a)\exp(-T/T^*) + a\exp(-T/T^{**}) + \exp(-T^{***}/T), \quad (\text{A9})$$

where  $a$ ,  $T^*$ ,  $T^{**}$ , and  $T^{***}$  are parameters from the Troe formalism (Troe 1983). In the case where all the Troe parameters are 0,  $F = 1$ , which is the Lindemann formalism (Lindemann et al. 1922).

Tables A3 and A4 present the complete list of all the termolecular reactions included in our model, and the relevant parameters. For Table A3, some reactions are adequately described by the low pressure limit only therefore  $k = k_0$  for these reactions and only the parameters for this value are listed. Decomposition reactions, where one reactant decomposes into two products, require significantly different values of the parameters so we present these separately in Table A4.

### A5 Photolysis

The reaction rate ( $k_f$ ) for a photolysis reaction is

$$k_f = J[rmA], \quad (\text{A10})$$

where  $J$  is the reaction rate coefficient (molecule s<sup>-1</sup>) and  $[A]$  is the number density of species A in molecule cm<sup>-3</sup>. The reaction rate coefficients of photolysis reactions (or channels) are determined using

$$J = \int_0^\infty Q(\lambda)\sigma(\lambda)F(\lambda)d\lambda, \quad (\text{A11})$$

where  $Q(\lambda)$  is the wavelength-dependent quantum yield for each photolysis channel,  $\sigma(\lambda)$  is the wavelength-dependent cross-section of the dissociating species, and  $F(\lambda)$  is the actinic flux. These rates are calculated by the SOCRATES radiative transfer code (Jackson et al. 2020; Manners et al. 2022), and passed to our chemical solver (Drummond et al. 2016). Table A5 lists all the photolysis channels captured in our model, some of which are featured in the main paper text, but are repeated here for completeness. The threshold wavelength (corresponding to a photon with the minimum

energy needed to dissociate the molecule), and the sources for the quantum yields are also included. Recommended quantum yields from JPL2019 (Burkholder et al. 2019) were the primary source used in this work. When there were not recommended quantum yields, we assumed a quantum yield of 1 for all wavelengths.

### A6 Stellar proton forcing

The impact of SEPs is discussed in Section 2.5.2, and the complete list of reactions we include in our model is given in Table A6. The reactions caused by SEPs are described in the main paper, but repeated here for completeness.

## APPENDIX B: PLANETARY HABITABILITY AND OBSERVABILITY INCLUDING THE CONTRIBUTION OF NITRIC ACID

This appendix section shows versions of Figs 14–17 from Sections 3.2.1 and 3.2.2 with the contribution of nitric acid (HNO<sub>3</sub>) included in the calculations.

### B1 Habitability

The large amount of HNO<sub>3</sub> in our simulations causes significant changes in the surface UV radiation environment. Fig. B1 shows significantly smaller UV-B and UV-C fluxes which reach the planet's surface. The amount of UV-A is unchanged. The reduction in UV-C is quite important due to the potential harm to life.

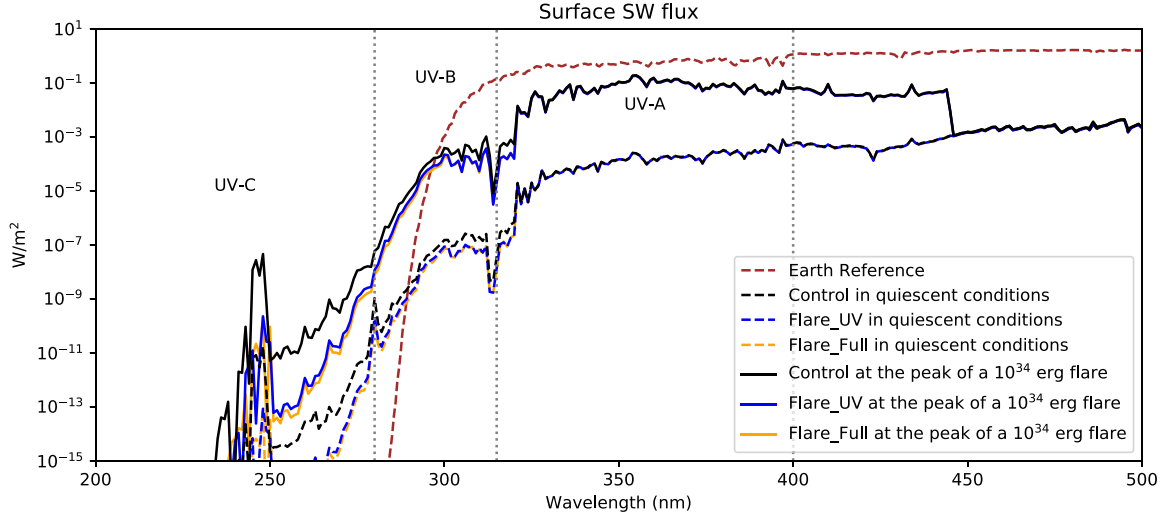
Fig. B2 shows the spatial distribution of the UV-A and UV-B fluxes. The flux levels of UV-C are very low in this case, where HNO<sub>3</sub> is not removed from the atmosphere. We observe that the additional screening caused by the changes in atmospheric composition now result in a small reduction in UV-B.

Fig. B3 shows the UV index at the peak of a 10<sup>34</sup> erg flare. The additional screening due to HNO<sub>3</sub>'s contribution causes the UV index to drop substantially. It is now very mild, and is not significantly reduced by the changes in atmospheric composition caused by flares.

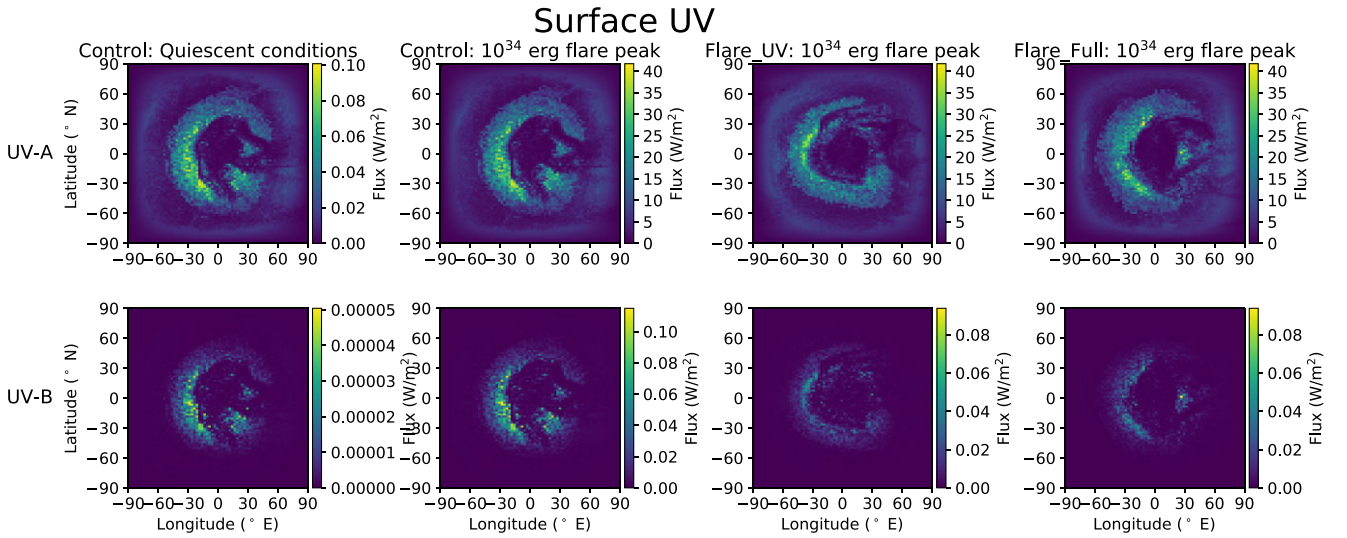
### B2 Observability

Fig. B4 shows the transmission spectra With the contribution of HNO<sub>3</sub>. We see that HNO<sub>3</sub> has absorption features at 5.8, 7.5, and 8.3 μm, but is otherwise quite similar to Fig. 17.

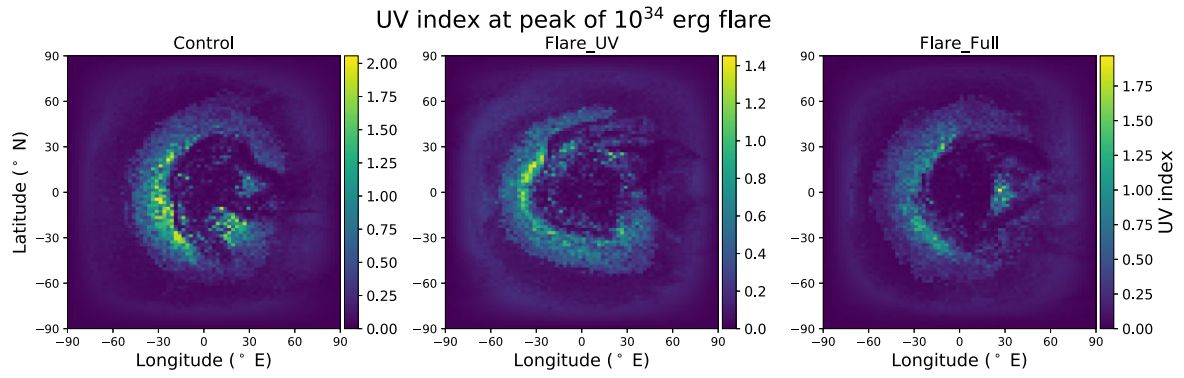




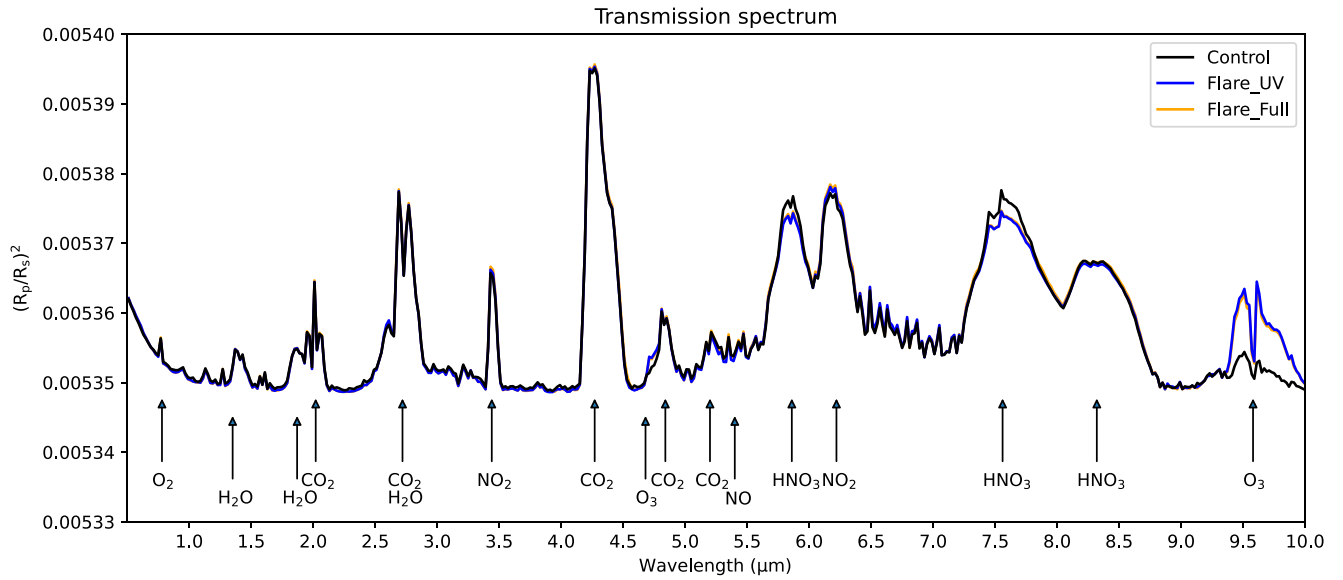
**Figure B1.** The average day-side surface UV environment under quiescent conditions and during the peak of a  $10^{34}$  erg flare from the end of the spin-up simulation Quiet\_Full (black), a run containing only stellar flares (blue), and a simulation containing both stellar flares and CMEs (orange), using the atmospheric configurations from the end of their respective simulations, including the contribution of  $\text{HNO}_3$ . The changes in atmospheric composition due to stellar flares have caused additional screening of the surface from UV radiation. The inclusion of  $\text{HNO}_3$  has caused a significant reduction in the UV-B and UV-C fluxes.



**Figure B2.** The surface UV radiation environment for the Control simulation under quiescent conditions, as well as Control, Flare\_UV, and Flare\_Full if they were to be subject to the peak of a  $10^{34}$  erg flare, including the contribution of  $\text{HNO}_3$  to radiative absorption. As seen previously in Fig. B1, the changes in atmospheric composition due to flares have not significantly changed the UV-A flux, but have resulted in a minor reduction in UV-B.



**Figure B3.** Maps of the UV index for Control, Flare\_UV, and Flare\_Full if they were to be subject to the peak of a  $10^{34}$  erg flare, including the contribution of  $\text{HNO}_3$ .



**Figure B4.** The transmission spectra for the simulated planets for 500 nm–10  $\mu\text{m}$  at the end of their respective simulations including the contribution of  $\text{HNO}_3$ . The colours refer to the same simulations as Fig. 14.

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