1	A preliminary investigation into a qualitative
2	assessment tool to identify athletes with high knee
3	abduction moments during cutting: Cutting
4	Movement Assessment Score (CMAS).
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23 Summary

25	Given the limited accessibility of 3D motion analysis for injury screening of athletes, there is
26	a need to develop a field-based screening tool to identify athletes with 'at-risk' cutting
27	mechanics. The aim of this preliminary study was to assess the validity of a qualitative
28	assessment tool for cutting (CMAS) to estimate the magnitude of peak knee abduction
29	moments (KAM) against 'Gold Standard' 3D motion analysis. The presented CMAS was
30	able to rank cutting trials based on the magnitude of KAM. Thus, is a potential method to
31	identify athletes who generate high KAM during cutting.
32	Keywords: Anterior Cruciate Ligament; Knee Abduction Moments; Injury Screening
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51 Introduction

52 Cutting is an action often associated with non-contact ACL injuries in field and court based sports such as soccer [4] and handball [23]. This is due to the propensity of generating 53 high knee abduction (valgus) and rotational moments when the foot is planted [17], which 54 could lead to increased ACL strain [24, 25]. Whilst the efficacy of screening tests to identify 55 'at-risk' athletes for specific injuries is debated [1], it is important as strength and 56 conditioning coaches and sports rehabilitators to have a battery of assessments to provide an 57 58 'injury profile' of an athlete. If an athlete underachieves in certain related qualities, steps can be taken in training to address these deficiencies to provide an overall more rounded and 59 robust athlete. It is unlikely that one single factor can predict injury alone [1]. Part of such a 60 61 battery of assessments with regard to non-contact ACL injuries, should include some assessment of movement quality during relevant sports actions. In regard to non-contact ACL 62 injuries, identifying athletes with poor lower limb mechanics in sports where there are large 63 64 weight acceptance (braking) forces can be considered important.

65 To date, most literature has examined landing tasks such as the drop jump to identify 66 'at-risk' athletes despite some sports (i.e., soccer) reporting cutting or changing direction to be the most common action associated with non-contact ACL injury in females[4]. Hewitt et 67 al., [6] using 3D motion analysis prospectively found that females who went on to injure their 68 ACL had significantly greater knee abduction angles and moments during a drop jump than 69 non-injured volleyball players. Although more recent research [15] found such an approach 70 71 was unable to identify at-risk athletes for ACL injury in elite soccer and handball players; which questions the efficacy of the approach to find 'at-risk' athletes, but may also suggest 72 that the screening task needs to reflect the movement demands of the sport. Nevertheless, the 73 74 accessibility, time and financial costs will limit the widespread application of 3-Dimensional analysis to find athletes with poor movement quality, which has led authors to suggest the use 75

76 of simplified 2D analysis of drop jumps focusing on estimates of frontal plane knee motion 77 [19, 29]. Moreover, Padua et al. [20] have developed and validated a gualitative analysis tool for a drop jump involving 2D video capture in the frontal and sagittal planes. Although, 78 79 mixed evidence has been reported with regard to the efficacy of the Landing Error Scoring System (LESS) [26, 21] to prospectively predict ACL injury. This may suggest that the use of 80 landing tasks may fail to identify athletes with at-risk cutting mechanics. Furthermore, there 81 82 is also mixed evidence available to suggest whether examination of landing mechanics could identify athletes with poor cutting mechanics [9,13]. For instance, it is suggested that landing 83 84 tasks maybe better at identifying athletes with poor knee control during cutting, but the ability to identify athletes with high KAMs during cutting from landing is more difficult due 85 to the differing technical demands of each task [9]. Thus, it is likely that assessment of 86 87 movement quality of cutting alongside landing mechanics is needed to further develop the injury profile of an athlete in cutting and landing sports. 88

Field-based measures evaluating cutting mechanics have also relied on 2D estimates 89 of frontal plane knee motion. McLean et al. [18] investigated whether a 2D assessment of 90 knee valgus motion relates to knee valgus motion identified from 3D analysis during a 35-60° 91 92 side-step, side-jump and shuttle-run (180° turn). 2D estimates correlated well with 3D data for the side-step ($R^2 = 0.58$) and side-jump ($R^2 = 0.64$), but did not correlate with the shuttle-93 94 run, highlighting the difficulty in assessing knee valgus motion 2-dimensionally in the frontal 95 plane with more vigorous horizontal changes of direction. Furthermore, such a method only examines knee valgus motion and does not evaluate the range of technical factors that are 96 associated with high KAM [3, 8,10,11,12, 17, 27]. Hence, a qualitative screening tool that 97 98 examines many aspects of poor cutting mechanics maybe more informative for practitioners. Therefore, the aim of this preliminary study is to assess the validity of a qualitative screening 99

tool for cutting (Cutting Movement Assessment Score) to estimate the potential magnitude ofKAMs against the 'Gold Standard' 3D motion analysis.

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103 Methods

104 *Participants*

With institutional ethical approval, 8 University level team (mean \pm SD; age: 20.1 \pm 1.1 105 years, height: 1.63 ± 0.09 m, mass: 54.0 ± 6.9 kg) sport female athletes participated in this 106 107 study. For inclusion in the study, all athletes had played their respective sport for a minimum of 5 years and regularly performed 1 game and 2 structured skill based sessions per week. All 108 players were right leg dominant. All players were free from injury during the course of the 109 study and none of the player's had suffered prior traumatic knee injury such as anterior 110 cruciate ligament injury. Data collection took place during the players pre-season. Written 111 112 informed consent was provided by all subjects.

113 Cutting Movement Assessment Score

Table 1 presents a qualitative technique analysis tool to estimate the magnitude of
KAMs during cutting (Cutting Movement Assessment Score - CMAS) based on research
pertaining to technique determinants of KAM during 45-90° cutting. If an athlete during
cutting exhibits any of the characteristics in Table 1 they are awarded a score. It is
hypothesised that the greater the total score the greater the potential magnitude of KAM.

Table 1. A qualitative technique analysis tool to determine the magnitude of knee abduction moments during cutting – Cutting Movement Assessment Score (CMAS).

Variable	Observation	Score
Penultimate contact		I
Backward inclination of the trunk	Y/N	Y=0/ N=1
Final Contact		I
Wide lateral leg plant	Y/N	Y=2/N=0
Hip in an initial internally rotated position	Y/N	Y=1/N=0
Initial knee 'valgus' position	Y/N	Y=1/N=0
Inwardly rotated foot position	Y/N	Y=1/N=0
Frontal plane trunk position relative to intended	L/U/M	L=2/U = 1/M=0
direction; Lateral (L), Upright (U) or Medial (M).		
Trunk upright or leaning back throughout contact	Y/N	Y=1/N=0
Limited Knee Flexion during final contact	Y/N	Y=1/N=0
Excessive Knee 'valgus' motion during contact	Y/N	Y=1/N=0
	Total Score	/11

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127 The CMAS examines both the penultimate and final contact during the cutting tasks. For penultimate contact a 'backward inclination of the trunk relative to the planted foot' is 128 considered in order to increase horizontal braking forces during penultimate contact, based on 129 research [11] that has found an association between average horizontal ground reaction forces 130 (GRF) during penultimate contact and KAMs during final contact. For the final contact, 131 'wide lateral leg plant' and 'frontal plane trunk position' are considered major determinants 132 of KAMs [3, 8, 12, 10]; and thus, are given a greater weighting. Previous research has found 133 that a wide-lateral foot plant is associated with high KAMs [3, 27, 12, 10] as such a technical 134 135 characteristic may create a GRF vector acting laterally outside the knee with greater distances of foot plant creating a greater moment arm and thus, KAM. Lateral trunk flexion has also 136 been associated with increasing KAMs during cutting [3, 8, 12, 10], as a laterally flexed trunk 137

towards the planted leg side shifts the athletes weight laterally creating a laterally directed

139 force vector, increasing the moment arm relative to the knee joint and thus, KAMs.

Other considerations for the final foot contact include 'initial knee valgus position', which 140 has been found in several studies to be associated with KAMs [17, 12, 10]. An increased knee 141 abduction angle at initial contact has an effect of placing the knee more medial to the 142 resultant GRF vector and thus, increases the lever arm of the resultant GRF vector relative to 143 144 the knee joint leading to an increased KAM. Furthermore, Sigward and Powers [27] found both initial foot progression angle and initial hip internal rotation angle were significantly 145 146 related to KAMs, as such a position could lead to a more medially positioned knee in relation to the GRF vector [27] and thus, are both considered within the tool. Finally, overall knee 147 valgus motion during final contact and trunk inclination throughout final contact, with the 148 149 latter considered to potentially increase the overall knee joint load due an increased lever arm 150 of the trunk relative to the knee.

151 Experimental Procedures

The procedures are similar to the methods of Jones et al. [10] and are summarised here. Prior to data collection, reflective markers (14 mm spheres) were placed on bony landmarks of each athlete [10], along with 4 marker 'cluster sets' (lightweight plastic shell) placed on the upper back, both thighs and shanks, which approximated the motion of the segments during dynamic trials.

Following a static trial, each athlete performed 5 trials of a between 60-90° cutting task (Figure 1) which involved sprinting through a set of timing gates (Brower, Draper, UT) positioned at hip height 5 m from the centre of the plate and then after contacting the centre of the force platform with the right foot cut to the left through a second set of timing gates positioned 3 m away. The performance times were used to monitor performance between

162 trials. For each trial, three-dimensional motion data using 10 Qualisys Oqus 7 infrared cameras (240 Hz) operating through Qualisys Track Manager Software v2.8 and ground 163 reaction force (GRF) data from two AMTI force platforms (sampling at 1200 Hz) were 164 collected. This arrangement allowed data to be collected for both penultimate and final 165 contact. Simultaneously, 2 Casio EXF-1 cameras (Casio, Tokyo, Japan) sampling at 30 Hz 166 were positioned 5 m away from the force platforms in frontal and sagittal planes. Greater 167 video sampling rates could not be used as floodlights would have been required to enhance 168 lighting, which would have then impacted on 3D motion data collection. Video footage was 169 170 subsequently viewed in Quintic Biomechanics v26 (Coventry, UK) for qualitative analysis using the CMAS (Table 1). 171

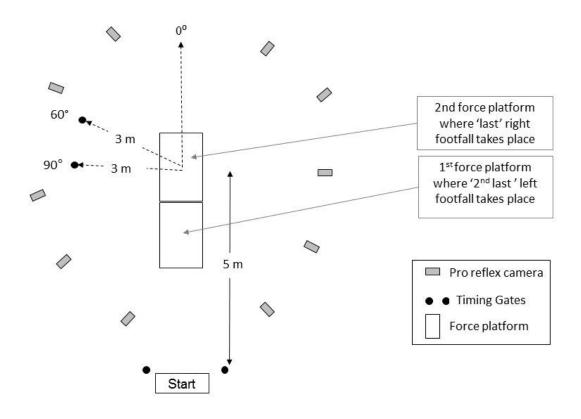


Figure 1. Plan view of the experimental set-up. The task involves subjects approaching 5
m towards a turning point on the 2nd of 2 force platforms. At the turning point, subjects
cut to the left between timing cells positioned 3 m away and 60 to 90° from the original
direction of travel.

A lower extremity and trunk 6 degrees of freedom kinematic model was created for 177 each participant from the static trial. This model included the trunk, pelvis, thighs, shanks and 178 feet using Visual 3D software (C-motion, version 3.90.21, Gothenburg, Sweden) and is 179 180 described in more detail elsewhere [10]. The local coordinate system was defined at the proximal joint centre for each segment. The static trial position was designated as the 181 participant's neutral (anatomical zero) alignment, and subsequent kinematic measures were 182 183 related back to this position. KAMs were calculated using an inverse dynamics approach [30] through Visual3d software (C-motion, version 3.90.21) and represented as external moments. 184 185 Trials were disqualified if the subjects slid or missed the force platform that went unnoticed during data collection. This resulted in a total of 36 trials considered acceptable for 186 both 3D and qualitative video analysis. Trials were time normalised for each participant, with 187 188 respect to ground contact time. Initial contact was defined as the instant after ground contact that the vertical GRF was higher than 20 N and end of contact was defined as the point where 189 the vertical GRF subsided past 20 N. Joint coordinate and force data were smoothed with a 190 Butterworth low pass digital filter with cut-off frequencies of 12Hz and 25Hz, respectively. 191 Cut off frequencies were selected based on a residual analysis [30] and visual inspection of 192 193 the data.

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195 Statistical Analysis

To determine inter and intra-rater reliability, 8 trials (1 from each subject) were randomly selected by one experimenter. One lead researcher (TD) viewed and graded each trial on two separate occasions and compared (intra-rater reliability), whilst another lead researcher (PJ) viewed and graded each trial once and compared to the other lead researcher (inter-rater reliability). Intra-class correlation co-efficients (ICC) for total score were determined. For each item within the CMAS and total score, percentage agreements

202	(agreements / agreements + disagreements × 100) and Kappa co-efficients were calculated.
203	Kappa co-efficients were calculated using the formula; $K = Pr(a) - Pr(e) / 1 - Pr(e)$, where
204	Pr(a) = relative observed agreement between raters; $Pr(e)$ = hypothetic probability of chance
205	agreement, using the observed data to calculate the probabilities of each observer randomly
206	saying each category [5]. The kappa co-efficient was interpreted based on the following scale
207	of Landis and Koch [16]: 0.01-0.2 (slight); 0.21-0.4 (fair); 0.41-0.6 (moderate), 0.61-0.8
208	(good) and 0.81-1.0 (excellent).
209	The relationship between CMAS and the 'gold standard' determination of peak KAM
210	during the final contact of the cutting task from 3D motion analysis for all available trials was
211	explored using Spearman's rank correlation due to the non-parametric nature of the
212	qualitative data. Correlations were evaluated as follows: trivial (0.0-0.09), small (0.10 -
213	(0.29), moderate $(0.30 - 0.49)$, large $(0.50 - 0.69)$, very large $(0.70 - 0.89)$, nearly perfect
214	(0.90 - 0.99), and perfect (1.0) [7].
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216	Results
217	Moderate to excellent intra- and inter-rater agreement was observed (Table 2). Excellent
218	intra- and inter-rater ICC for total score was also observed (Intra-rater = 0.922; Inter-rater =
219	0.913).
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227 T a	able 2. Intra and	inter-rater agreement for	r CMAS criteria and total score.
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Variable/ screening tool criteria	Intra-rater reliability		Inter-rater reliability	
	% Agreement	K	% Agreement	K
Backward inclination of the trunk (penultimate contact)	100	1.00	100	1.00
Wide lateral leg plant	87.5	0.60	100	1.00
Hip in an initial internally rotated position	87.5	0.75	87.5	0.75
Initial knee 'valgus' position	87.5	0.60	100	1.00
Inwardly rotated foot position	100	1.00	100	1.00
Frontal plane trunk position relative to intended direction; Lateral (L), Upright (U) or Medial (M).	75	0.62	62.5	0.40
Trunk upright or leaning back throughout final contact	100	1.00	87.5	0.75
Limited knee flexion during final contact	100	1.00	100	1.00
Excessive knee 'valgus' motion during final contact	100	1.00	87.5	0.71
Total	93	0.87	92	0.85

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Figure 2 shows a linear relationship between CMAS and KAM's. Mean \pm SD KAM from each trial of all 8 subjects and the respective CMAS were 0.80 ± 0.52 Nm·kg⁻¹ and 4.5 \pm 2.1, respectively. Spearman's correlation revealed a significant large association between CMAS and KAMs ($\rho = 0.633$; p < 0.001).

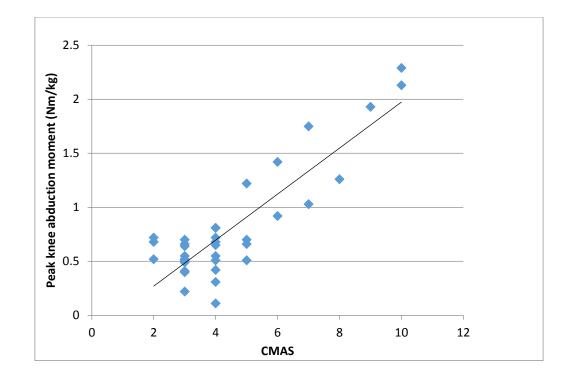


Figure 2. Scatter plot for the relationship between CMAS with peak knee abduction moments.

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237 Discussion

The aim of this preliminary study was to assess the validity of a qualitative movement 238 239 assessment tool for cutting (CMAS) to estimate the potential magnitude of KAMs against the 'Gold Standard' 3D motion analysis. The preliminary results suggest that the presented 240 CMAS was able to rank cutting trials based on the magnitude of KAM. Thus, the CMAS can 241 242 be considered a potential method to identify 'at-risk' athletes who generate high KAM during cutting and could be used in a battery of assessments for an athlete from 'cutting' sports to 243 develop an injury profile of the athlete. The CMAS also demonstrated excellent inter and 244 intra-rater reliability and agreement. 245

The efficacy and efficiency of injury prevention protocols could be improved
considerably if they are designed specifically for predetermined at-risk athletes, with defined
neuromuscular control deficits. Whilst screening for specific injury is difficult [1],

249 practitioners require a battery of tests to develop an athlete profile that provides an assessment of risk factors that could inform training prescription. Central to such a battery of 250 tests is an assessment of movement quality that relates to common actions in the sport 251 252 associated with non-contact injury. Mixed evidence has been reported regarding the efficacy of using 3D motion analysis of drop jumping [6,15] to prospectively predict ACL injured 253 athletes and may be partly explained by the need to assess athletes performing common 254 actions that are associated with injury and occur frequently in change of directions sports, 255 rather than just purely focus on landing tasks. Furthermore, 3D motion analysis is difficult to 256 257 apply for widespread evaluation of athletes. Whilst relationships have been found with regard to knee motion between landing and changing direction [9, 13], when considering knee joint 258 259 loads, lower or absent relationships have been observed [9, 13]; highlighting the need for 260 field-based assessments of cutting or change of direction mechanics. Current field-based 261 measures evaluating change of direction mechanics from 2D video analysis can approximate frontal plane knee motion for shallow angles of direction change only and have not been 262 shown to predict knee joint loads [18]. The results of the present study suggest that the 263 CMAS has potential to identify athletes with 'at-risk' cutting mechanics and could be used in 264 a battery of assessments for an athlete from 'cutting' sports to develop an injury profile of the 265 athlete. The use of the CMAS can specifically identify biomechanical or neuromuscular 266 267 control deficits in athletes, which can then be targeted via appropriate training and 268 conditioning.

One benefit of CMAS proposed in this study is that it evaluates an action (cutting) that is common in many sports such as soccer [2] and netball [28], whereas the drop jump is seldom performed in isolation during sport, as this action is effectively an assessment of an athlete's reactive strength. Furthermore, cutting and change of direction actions have been associated with non-contact ACL injuries in soccer [4] and handball [23], whereas bilateral

274 landings are associated with non-contact ACL injury in basketball [14]. Thus, the CMAS proposed in this study may serve well for athlete assessment in sports where cutting and 275 change of direction actions are common. Further work is required to develop the CMAS 276 particularly with a greater sample of athletes to determine whether the tool is capable of 277 discriminating between athletes exhibiting poor to excellent cutting technique. Previous 278 research using the LESS [21] found that 5 was an optimal cut-off score to identify at-risk 279 athletes for non-contact ACL injury with 86% sensitivity and 64% specificity. Therefore, a 280 longitudinal study is required to identify a potential cut-off score for the CMAS to identify 281 282 'at-risk' athletes and whether the tool can subsequently predict injury.

The present study involved team sport athletes with a range of ability levels, 283 therefore, research is required to establish whether the tool can discriminate between athletes 284 285 of different ability levels. In terms of the method of data collection, the intra- and inter-rater agreements revealed lower percentage agreements for frontal plane trunk position. This was 286 partially due to the difficulty in viewing this variable in the frontal plane when athletes have a 287 slightly rotated trunk or pelvis into the intended direction of travel. The authors recommend 288 placing an additional camera 45° to the original direction of travel in order to improve the 289 290 view of variables in the frontal plane when some level of rotation prior to or at initial contact 291 of final footfall takes place. A further limitation of this study was that due to the need for 292 additional lighting and to avoid this impacting the 3D motion capture only 30 Hz video 293 recordings were gathered. Use of greater sampling rates (>100 Hz) would enable more precise identification of key instances during cutting manoeuvres and therefore, further 294 enhance validity and reliability of the CMAS. The authors recommend using greater 295 296 sampling frequencies (if available) in practice.

Finally, another limitation of the present study is that the intra- and inter-rater reliability and agreements were based on Biomechanics researchers carrying out the investigation. Further work is required to quantify intra- and inter-rater reliability with a
range of applied practitioners such as strength and conditioning coaches, sports rehabilitators
and physiotherapists to be able to apply the CMAS in the field.

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303 Practical Applications for Strength & Conditioning

304 The large association between KAMs from 3D motion analysis and CMAS found in the present study support the association of the technique characteristics identified in the 305 306 CMAS (Table 1) to KAMs during cutting, and therefore, could also act as a guide for technique development for athletes where the goal is for injury prevention. A unique aspect 307 of this study is that technical guidelines for safer cutting are provided where currently there 308 are no guidelines available on how to safely cut. This tool offers a template to enable 309 practitioners to coach safer cutting technique. However, it should be highlighted that some of 310 311 these technique aspects may be detrimental to performance. For instance, a wide lateral foot plant may facilitate the direction change by helping to generate medial GRF's, but would 312 result in an initial increase in KAM. Further research is required to better understand the 313 conflict between performance and injury risk for cutting, which may further inform the 314 CMAS presented here. 315

A note of caution in using the CMAS is that practitioners should not only focus on total score but the actual criteria where the athlete scored points. A low score doesn't necessarily mean that a player has perfect and safe technique. For example, an athlete may only score two points on the CMAS, however, this score maybe for lateral trunk flexion, which has been stated as one of the theories of increased risk of ACL injury [22], as such this deficit in trunk control displayed by an athlete should not be ignored and the athlete should still receive specific training and conditioning.

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