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Association of Chronic Kidney Disease With Plasma NfL and Other Biomarkers of Neurodegeneration:
The H70 Birth Cohort Study in Gothenburg

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#### Abstract

Background and objectives: Studies associate chronic kidney disease (CKD) with neurodegeneration. This study investigated the relation between kidney function, blood, cerebrospinal fluid (CSF), and structural brain MRI markers of neurodegeneration, in a sample including individuals with and without CKD.

Methods: Participants from the Gothenburg H70 Birth Cohort Study, with data on plasma-neurofilament light (P-NfL), estimated glomerular filtration rate (eGFR) and structural brain MRI were included. Participants were invited to also have CSF collected. The primary endpoint of the present study was to determine any

association between CKD and P-NfL. Secondary endpoints included cross-sectional associations between CKD, eGFR and cerebrospinal fluid (CSF)- and MRI-derived markers of neurodegeneration and Alzheimer's disease (AD) pathology (MRI: cortical thickness, hippocampal volume, lateral ventricle volume, white matter lesion volume; CSF:  $\beta$ -amyloid (A $\beta$ ) 42, A $\beta$ 42/40, A $\beta$ 42/p-tau, t-tau, p-tau, NfL). Participants with P-NfL and eGFR at baseline were re-examined on eGFR, 5.5 (5.3; 6.1) years (median; IQR) after the first visit, and the predictive value of P-NfL levels on incident CKD was estimated longitudinally, using a Cox proportional hazards model.

Results: We included 744 participants, 668 without CKD (Age 71 (70; 71) years, 50% males) and 76 with CKD (age 71 (70;71) years, 39% males). Biomarkers from cerebrospinal fluid (CSF) were analysed in 313 participants. 558 individuals returned for a re-examination of eGFR (75% response rate, age 76 (76; 77), 48% males, 76 new cases of CKD). Participants with CKD had higher P-NfL levels than those with normal kidney function (median; 18.8 versus 14.0 pg/mL, p<0.001), while MRI and CSF markers were similar between the groups. P-NfL was independently associated with CKD after adjustment for confounding variables, including hypertension and diabetes (OR; 3.231, p<0.001), in a logistic regression model. eGFR, and CSF Aβ 42/40: R=0.23, p=0.004 correlated in participants with Aβ42 pathology. P-NfL levels in the highest quartile were associated with incident CKD at follow-up (HR; 2.08 (1.14: 4.50)).

Discussion: In a community-based cohort of 70-year olds, P-NfL was associated with both prevalent and incident CKD, while CSF and/or imaging measures did not differ by CKD status. Participants with CKD and dementia presented similar levels of P-NfL.

# Glossary

**Aβ** = β-amyloid; **AD** = Alzheimer's disease; **BMI** = body mass index; **CDR** = clinical dementia rating; **CSF** = cerebrospinal fluid; **CKD** = Chronic kidney disease; **COPD** = chronic obstructive pulmonary disease; **CRP** = C-reactive protein; **eGFR** = estimated glomerular filtration rate, **HDL** = high-density lipoprotein, **LDL** = low-density lipoprotein; **LP** = lumbar puncture; **MRI** = magnetic resonance imaging; **NfL** = neurofilament light protein; **P** = plasma; **p** = phosphorylated; **t** = total

# Introduction

Decline in renal function and an increasing prevalence of neurodegenerative conditions, including Alzheimer's disease (AD), cerebrovascular disease and polyneuropathy, are all related with ageing <sup>1, 2</sup>. Chronic kidney disease (CKD) has a prevalence of around 11% in the western world <sup>3</sup> and is one of the fastest growing causes of death globally alongside dementia <sup>4</sup>. Dementia had an estimated global prevalence of 57 million people in 2019, a number expected to increase to 153 million by 2050 <sup>1</sup>.

CKD has previously been associated with blood-based biomarkers of neurodegeneration (phosphorylated tau (p-tau), amyloid beta 42/40(Aβ42/40) and neurofilament light protein (NfL)) <sup>5-9</sup> and different neurodegenerative conditions including dementia <sup>10</sup> and polyneuropathy <sup>11</sup>. This association has previously been examined in the clinical context of different patient groups, including patients from memory clinics <sup>12-14</sup>. As the kidney has a function in amino-acid recycling, and clearance of circulating peptides. Smaller proteins, such as insulin (5.8 kDa) and glucagon (3.5 kDa) pass the glomeruli pores and are long-known to be cleared in the

kidney tubuli to a significant degree <sup>15</sup>. Larger proteins such as albumin (67 kDa), are not normally filtered out by the glomeruli, but can leak to the urine in a state of albuminuria<sup>16</sup>. This occurs in a smaller fraction of patients with mild CKD, but is more frequent as the disease progresses <sup>17</sup>. The rapid advances in the development of blood-based biomarkers of neurodegeneration also brings a need for understanding what comorbidities influence the measurements. Some authors suggest that CKD and kidney function could alter a biomarkers normal reference range, and should be considered when utilized in clinical screening and diagnosis in cognitively healthy populations, or in clinical studies of neurodegeneration <sup>6, 7, 18, 19</sup>.

P-NfL is one promising biomarker of neurodegeneration for use in a primary care setting, as it is analysed from blood and not CSF. P-NfL segregates depression from dementia in elderly, and while only mildly elevated in patients with AD, it is an efficient marker for ruling out underlying neurodegeneration <sup>20, 21</sup>. P-NfL is also high in ALS, atypical parkinsonian disorders, frontotemporal dementia and in Down syndrome patients with AD, providing evidence of an underlying neurodegenerative cause of a patient's symptoms <sup>21-23</sup>.

Studies on the influence of several comorbidities and different blood-based biomarkers have reported an influence of kidney function on P-NfL <sup>6, 7, 9</sup>. However, studies specifically focused on the interaction between kidney function and different neurodegenerative markers in a community-based setting of elderly with CKD and normal kidney function are currently few. The Alzheimer's Association has specifically highlighted the need for studies on the influence of kidney disease on the diagnostic performance of P-NfL as one of the top research priorities in its recent statement paper on the use of blood-based biomarkers <sup>24</sup>. The aim of this study was

to investigate kidney function associations with P-NfL and several other markers of neurodegeneration, in individuals with and without CKD.

#### **Methods**

Study design and population using data from The Gothenburg H70 Birth Cohort Study.

This study was conducted in participants from the Gothenburg H70 Birth Cohort 1944 <sup>25</sup> with data available on plasma neurofilament light protein (P-NfL), estimated glomerular filtration rate (eGFR) and established structural magnetic resonance imaging (MRI) variables in studies of dementia; mean cortical thickness <sup>26</sup>, mean lateral ventricle volume <sup>26</sup>, mean hippocampal volume <sup>26</sup>, total white matter lesion volume <sup>27</sup> (n=744) (Figure 1). The cohort is derived from a population-based study which invited all citizens of Gothenburg, born on specific birthdates in 1944, to attend a health examination the year they turned 70. In total, 1203 accepted the invitation (response rate 72.2%), and the examinations were conducted between 2014 and 2016, previously described in detail <sup>25</sup>. The primary endpoint of the present study was to determine any association between CKD and P-NfL. Secondary endpoints include associations between CKD and other markers of neurodegeneration, as well as any association between kidney function measured as eGFR and cerebrospinal fluid (CSF)- and MRI-markers of neurodegeneration.

Beside health interviews, blood sampling and physical examinations, all participants who did not present with any contraindications were also invited to a brain MRI examination and a lumbar puncture. Due to the limited participation rate for CSF sampling, associations determined with CSF biomarkers were conducted as a sub-

study of participants with available CSF and complete data on fluid-based biomarkers of neurodegeneration (n=313). Blood samples were collected at the first study visit, brain MRI was conducted within 3 months of the initial study visit and lumbar puncture was performed within 2 months of the MRI examination.

# Standard protocol approvals, registrations, and patient consents

This study was conducted according to the Helsinki Declaration approved by the Regional Ethical Review Board in Gothenburg (869-13, T076-14, T166-14, 976-13, 127-14, T936-15, 006-14, T703-14, 006-14, T201-17, T915-14, 959-15, T139-15). All the participants and/or their close relatives gave written consent before any study related procedures were done.

# Data availability statement

Anonymized data can be obtained by reasonable request from any qualified investigator.

#### Baseline health interview and medical examination

All participants attended a health examination at the Neuropsychiatric Clinic at Sahlgrenska University Hospital in Gothenburg, Sweden, conducted by the Gothenburg H70 Birth Cohort Study team. Participants underwent a health interview covering social and medical aspects. Anthropometric variables were determined, including weight, height and blood pressure. BMI was calculated as weight (kg) /

height<sup>2</sup> (m), eGFR was calculated according to CKD-epi <sup>28</sup>, using the formula below. CKD was defined as an eGFR below 60 mL/min/1.73 m<sup>2 29</sup>.

$$eGFR = 141*min\left(\frac{Creatinine}{Kappa}, or\ 1\right)^{alpha}*max\left(\frac{Creatinine}{Kappa}, or\ 1\right)^{-1.209}*0.993^{Age}*1.018\ (if\ female)$$

Kappa = 0.7 (females) or 0.9 (males)

Alpha = -0.329 (females) or -0.411 (males)

Medical comorbidities were determined through a combination of health interviews, data in the Swedish National In-patient register and collected clinical variables. CDR was assessed by research nurses with specific training, dementia was diagnosed according to the Diagnostic and Statistical Manual of Mental Disorders, 3rd edition, revised criteria. CDR score and dementia diagnoses were also verified by study physicians in consensus conferences. Hypertension was defined as a systolic blood pressure >140 mmHg, a diastolic blood pressure >90 mmHg, or a history of hypertension with ongoing medication reported by the participant.

A stroke was determined if the participant or a close relative reported the diagnosis, if it was diagnosed in the Swedish National In-patient register, or there were stroke-specific findings on the MRI scan. A history of transitory ischaemic attacks was not classified as a stroke. Diabetes was defined as a previous diagnosis of diabetes, or if the participant presented a fP-glucose ≥ 7.0 mmol/L at the study visit.

# Fluid-based biomarker analysis

Blood samples were collected in the morning after overnight fasting. Creatinine, fasting plasma glucose (fP-glucose), homocysteine, c-reactive protein and LDL-cholesterol were analysed at the Sahlgrenska Clinical Chemistry laboratory. P-NfL

was analysed using the NF-Light kit on a Simoa HD-X Analyser (Quanterix, Billerica, MA) at the Neurochemistry Laboratory at Sahlgrenska University Hospital, Mölndal, according to the manufacturer's instructions. Quality control samples presented a 7.6% repeatability and 8% intermediate precision (at 6.6 pg/mL) and a 7.2% repeatability and 7.8% intermediate precision (at 50.5 pg/mL).

Blood was collected for *APOE* genotyping with the KASPar PCR SNP genotyping system (LGC Genomics, Hoddesdon, Herts, UK). *APOE* ε2, ε3, and ε4 alleles were defined by single nucleotide polymorphisms rs7412 and rs429358 (n=744).

Lumbar puncture was performed on a separate day by a medical doctor specialised in psychiatry or neurology <sup>25</sup>. CSF samples were centrifuged, gently mixed, and stored at -80°C until analysis.

T-tau and p-tau in CSF were analysed using commercial enzyme-linked immunosorbent assays (ELISA) (INNOTEST htau Ag and PHOSPHO\_TAU [181P], Fujirebio [formerly Innogenetics], Ghent, Belgium)  $^{30, 31}$ , while INNOTEST® A $\beta$ 1-42 was used to measure the 42 amino acid-long version of A $\beta$  (A $\beta$ 42)  $^{32}$ . The V-PLEX A $\beta$  Peptide Panel 1 (6E10) Kit (MesoScale Discovery, Rockville, MD) was used to measure the CSF A $\beta$ 42/40 ratio  $^{33}$ . A $\beta$  pathology status was defined as A $\beta$ 42 levels below 530pg/mL in accordance with a previously conducted longitudinal study predicting incident AD  $^{34}$ .

CSF NfL was measured with an ELISA developed at the Mölndal Clinical Neurochemistry Laboratory <sup>35, 36</sup>. All assays are used in routine clinical analyses at the Mölndal Clinical Neurochemistry laboratory. <sup>37</sup> Analytical runs passed quality control criteria for the calibrators and internal quality control samples were approved as described in detail previously <sup>37</sup>.

# MRI

Brain MRI was conducted at the Aleris Clinic in Gothenburg using a 3.0T Philips

Achieva system as previously described in detail <sup>25</sup>. Mean cortical thickness, mean lateral ventricular and hippocampal volumes were quantified using FreeSurfer 6.0.0 (http://surfer.nmr.mgh.harvard.edu/) through the TheHiveDB <sup>38</sup>, and the mean volume between left and right side was calculated for ventricles and hippocampus <sup>6</sup>. Total white matter lesion volumes were measured using the open-source segmentation toolbox LST 2.0.15 implemented in the SPM software

(https://www.fil.ion.ucl.ac.uk/spm/) as previously described <sup>39</sup>. All volumes were normalised by ratio to total intracranial volume, as described previously <sup>6</sup>.

# Follow-up examination

Participants in H70 Birth Cohort 1944 were invited for a re-examination after the age of 75. Individuals without CKD and with P-NfL and eGFR measured at baseline were included in the study for a longitudinal evaluation on incident CKD, defined as a follow-up eGFR<60 mL/min/1.73 m<sup>2</sup>.

# Statistical methods

Categorical variables are presented as n (%) and continuous variables as median [interquartile range (IQR)] unless otherwise specified. Categorical variables were compared between groups with Chi<sup>2</sup> or Fisher's exact test as appropriate. For groupwise comparisons of continuous variables, Mann-Whitney U test or Kruskal-Wallis tests were used. Correlations were determined using Spearman correlations. For the

cross-sectional logistic regression analysis with CKD as the dependent variable, and P-NfL as the independent, continuous variable, three models were constructed with age, sex and years of education as covariates in model I. Model II included additional adjustments for medical history of hypertension or diabetes, and model III with additional adjustments for smoking, BMI, fP-glucose, S-LDL cholesterol, P-CRP and P-homocysteine. Non-normally distributed variables were log-transformed prior to analysis. For P-NfL, one outlying sample (more than 10 SD higher than the mean) was identified, and statistical tests were performed with and without this individual as a sensitivity analysis. The analyses of the association between P-NfL and eGFR was repeated in the full H70 Birth Cohort of 1151 individuals, with data on eGFR and P-NfL. Cross-sectional linear regression analysis was also performed with P-NfL as the independent continuous variable, and eGFR as the dependent variable, adjusted for the same variables as in the logistic regression model. For longitudinal statistical analysis of the predictive value of P-NfL on incident CKD, a Cox proportional hazards model was used, adjusting for the same variables as in the other regression models. P-NfL divided by quartiles was used as a categorical variable, in the Cox proportional hazards model. The proportional hazards assumption was tested through a hierarchical regression strategy, where each regression model was followed up by the addition of time-dependent interaction terms. No model was significantly improved through this addition. GraphPad Prism (version 9.0.0, GraphPad Software) was used for the plots and statistics therein, and SPSS (version 26, IBM) was used for all other statistical analysis.

# Results

### **Characteristics**

From the Gothenburg H70 Birth Cohort 1944 (N=1203, 559 men, 644 women, 96.5% born in Europe), 744 individuals met the inclusion criteria for this study. The characteristics of the participants are provided in Table 1, with additional information on medications as supplementary material (eTable 1 in the Supplement) (n=744). Seventy-six of the 744 individuals (10.2 %) included in the study had CKD. Comparing participants with and without CKD, there were no statistically significant differences in sex distribution, age, and years of education (Table 1). Considering medical history, the prevalence of hypertension was higher in participants with CKD, as was their BMI. There was no difference in the distribution of CDR=0 and 0.5 between participants with or without CKD, and the prevalence of dementia was overall low (<2%). The prevalence of APOE ε4 genotype, stroke and diabetes, as well as fasting levels of glucose did not differ between the two groups. By definition, eGFR was lower in participants with CKD, and creatinine levels were higher. As expected, the vitamin-B deficiency marker homocysteine, as well as the inflammatory marker CRP and S-triglycerides, were higher in participants with CKD, while B-Hb and S-HDL-cholesterol were lower <sup>40, 41</sup>. P-NfL levels were also higher in participants with CKD (Table 1).

# Imaging-based measurements of neurodegeneration

Measurements of mean cortical thickness (Figure 2A, p=0.2591), mean lateral ventricular volume (Figure 2B, p=0.7806, mean hippocampal volume (Figure 2C, p=0.9012) and total volume of white matter lesions (Figure 2D, p=0.0790) were

comparable between participants with and without CKD. One participant with dementia presented very small volumetric measurements. Exclusion of the participant did not change statistical outcomes in a sensitivity analysis and was therefore kept in the sample. Correlation analysis of eGFR and these markers of neurodegeneration did not reveal any significant correlations (eTable 2 in the Supplement).

# **CSF-based measurements of neurodegeneration**

The CSF concentrations of several different markers of neurodegeneration were analysed in the 313 participants who accepted CSF-sampling. Of these, 26 (8.3%) had CKD. Both groups presented levels of CSF A $\beta$ 42/40 (Figure 2E, p=0.2715), t-tau (Figure 2F, p=0.7404), p-tau (Figure 2G, p=0.9412) and NfL (Figure 2H, p=0.2854) in the same magnitude, comparing participants with or without CKD.

# Associations between P-NfL and kidney function

P-NfL increased with lower kidney function measured as eGFR (Figure 3A). Stratified linear regressions revealed a steeper slope in participants with CKD (beta=-0.496, *p*<0.001) compared with participants without CKD (beta=-0.091, *p*<0.137, Figure 3A). To disentangle the potential confounding effects of CKD and dementia on the association between eGFR and P-NfL levels, these variables were compared in three distinct subgroups: participants with CDR=0 and no CKD (n=540), participants with CDR=0 and CKD (n=62), as well as participants with dementia, but no CKD (n=9). Participants with CDR=0 and participants with dementia, both without CKD, presented similar eGFR levels (p>0.999) (Figure 3B) while P-NfL levels were

significantly higher in those with dementia (p=0.0016). As expected, in participants with CKD and CDR=0, eGFR was significantly lower than in those without CKD (Figure 3B) (p<0.001). P-NfL levels were also significantly higher in participants with normal cognitive function and CKD compared to those without CKD (p<0.001, both groups CDR=0) (Figure 3C). In a sensitivity analysis, the results from these analyses were replicated in all 1151 participants with data on eGFR and P-NfL in the full H70 Birth Cohort Study (eFigure 1 in the Supplement).

# Logistic and linear regression analyses between CKD, eGFR and P-NfL

A logistic regression analysis of the relation between P-NfL and CKD, adjusted for age, sex and education was performed (Model I) demonstrating a statistically significant association between P-NfL and CKD (Table 2). Additional adjustments for other risk factors of CKD and neurodegeneration, including hypertension and diabetes (Model II), as well as smoking, BMI, fasting plasma glucose, LDL-cholesterol, CRP and homocysteine (Model III) did not alter the statistical significance (Table 2). Excluding participants with dementia (n=9), did not alter the statistical significance of any model, although the OR was slightly higher (eTable 3 in the Supplement). One individual without CKD presented with extreme levels of P-NfL and the analyses were therefore repeated without this individual, showing slightly higher OR and similar *p*-values (eTable 4). There was also a statistically significant association between P-NfL and eGFR, assessed using a linear regression models adjusted for the same confounders as the logistic regression (Table 2).

# Cox proportional hazards regression model predicting incident CKD by P-NfL levels

Participants were re-examined for eGFR, 5.5 (5.3; 6.1) years (median; IQR) after the first visit. 558 individuals without CKD at baseline had re-examination data for eGFR (75% response rate, age 76 (76; 77), 48% males, 76 new cases of CKD).

Participants were stratified into quartiles by P-NfL levels from low to high, and the predictive value of P-NfL levels on incident CKD was estimated through a Cox proportional hazards model. P-NfL levels in the highest quartile were found to be a significant predictor of incident CKD after adjustment for age, sex, education, hypertension, diabetes (Model II), as well as additional adjustments for smoking, BMI, fasting plasma glucose, LDL-cholesterol, CRP and homocysteine (Model III) (Table 3). However, additional adjustment for baseline eGFR, completely removed the longitudinal association.

# Stratification by Aβ pathology

Participants with available CSF data were stratified based on A $\beta$  status, and correlation analyses between fluid biomarkers of neurodegeneration and eGFR were performed (Table 4). In total, 142 (46.9%) of the 313 participants were classified as positive for A $\beta$ -pathology. In A $\beta$ -positive participants, eGFR correlated positively with A $\beta$ 42 (R=0.25, p=0.003), A $\beta$ 42/40 (R=0.23, p=0.004), and A $\beta$ 42/p-tau (R=0.23, p=0.005) and inversely with t-tau (R=-0.16, p=0.048). There was no significant correlation with p-tau, although the R-coefficient and p-values were similar to the eGFR/t-tau association (R=-0.15 and p=0.071). In A $\beta$ -negative participants, no correlations were seen for eGFR with any CSF-biomarker. In contrast, eGFR

correlated inversely with P-NfL in both A $\beta$ -positive and negative participants with a similar R coefficient (R=-0.27 and R=-0.31, respectively) and p-values (p<0.001 for both correlations). The 5 participants with dementia and CSF data were excluded in a sensitivity analysis, without any significant influence on R-coefficients or p-values (eTable 5 in the Supplement). The correlation between t-tau and eGFR changed from p=0.048 to p=0.070 resulting in a shift over the p-value threshold of 0.05, while R-coefficients were still similar (R=-0.16 to R=-0.15).

# **Discussion**

In this study, we assessed several different markers of neurodegeneration in 744 individuals from a population-based cohort of 70-year-olds, in relation to the presence or absence of CKD. We found that P-NfL was higher in cognitively healthy individuals with CKD, and presented similarly high levels as seen in participants with mild dementia. The association between CKD, eGFR and P-NfL was still significant after adjustment for several confounding variables in a logistic regression model. P-NfL was an independent predictor of incident CKD in a Cox proportional hazards model. Furthermore, the linear regression coefficient for eGFR with P-NfL appeared steeper in participants with CKD compared with participants with normal kidney function. We found that CKD did not influence any MRI-measurement of neurodegeneration, and no MRI-variable correlated with eGFR. In a sub-study including a smaller sample of 313 participants with CSF data, Alzheimer's related biomarkers only correlated with eGFR in Aβ42-positive participants after stratification for Aβ42 pathology.

CKD has previously been associated with markers in Alzheimer's pathology <sup>5-7, 9, 18, 42, 43</sup>, but as several risk factors and comorbidities are shared between the two conditions, it is difficult to determine the specific underlying mechanism. Here, we did not observe any differences in structural MRI-measurements between participants with or without CKD. Furthermore, we did not observe any difference in the established CSF biomarkers, between the two groups in the smaller sample of participants contributing with CSF. The presence of CKD did therefore not appear to have any major confounding influence on CNS integrity in any aspect in this community-based sample. However, there was an association between P-NfL and both CKD and eGFR, indicating that kidney function is associated with some form of neurodegenerative pathology<sup>6, 7, 19</sup>.

The association between P-NfL and eGFR has been reported in several independent studies previously, including cognitively healthy Mexican Americans, non-Hispanic Whites, and participants from the Mayo Clinic Study of Aging <sup>8, 12, 18, 44, 45</sup>. Studies in patients with diabetes and in children with congenital CKD have discussed potential links to NfL released from the CNS <sup>5, 12</sup>, while a study of patients with end-stage renal disease did not find any correlation between P-NfL and cognitive function measured through Mini-mental State Examination <sup>46</sup>. Support for a causal influence of CKD on neurodegeneration in CNS is found in children with congenital CKD, where P-NfL is elevated, and cognitive impairment can be observed, possibly through shared genetic drivers <sup>5</sup>. In contrast, a recent study including patients from French memory clinics did not find any influence of CKD status on the predictive value of circulating NfL on incident dementia <sup>47</sup>. This could be explained by differences in study design and participant characteristics, as the study was conducted in a clinical sample of

patients with memory symptoms, and measured longitudinal outcomes on incident dementia. Furthermore, P-NfL is elevated in polyneuropathy, a condition often seen in patients with CKD <sup>11, 48</sup>. Other community-based studies have previously reported observations on the influence of comorbidities on plasma-based biomarkers and reported an association between P-NfL and CKD 6, 7, 19. We extended these observations with measurements in a large Scandinavian community-based sample selected by birth date and find an association between CKD, eGFR and P-NfL, using logistic- and linear regression models adjusted for somatic comorbidities including diabetes and hypertension. We also find that P-NfL is a predictor of incident CKD. Furthermore, using stratified linear regression analysis, we observe that the association between P-NfL and eGFR is mainly manifest in participants with CKD. This indicates that the integrity of P-NfL as a marker to rule out underlying neurodegeneration in a general population should not be confounded to any larger degree in individuals with normal kidney function. While there was an association between P-NfL and eGFR, the levels of eGFR were similar between individuals with and without dementia in the range >60 mL/min/1.73m<sup>2</sup>, suggesting that eGFR per se may not be considered an indicator of underlying neurodegenerative disease.

Memory impairment is sometimes caused by conditions which are not related to neurodegeneration e.g. depression. It is therefore a medical challenge in primary care, and in clinical interventions studies to segregate groups with these symptoms by underlying etiology. P-NfL has shown great promise in identifying individuals who present cognitive symptoms without any underlying neurodegenerative condition, providing support on which patients to refer to a memory clinic <sup>21</sup>. It is also feasible for this purpose as it is a blood-based biomarker. Our observations of a similar

elevation of P-NfL in individuals with CKD as in dementia indicate that P-NfL may not be useful for this purpose in individuals with CKD. As our study is based on a community-based sample of elderly, our participants are often found in the primary care setting.

We also measured CSF biomarkers in a smaller sample of individuals and did not find any influence of CKD status. While a previous study has shown that CSF NfL is associated with several other comorbidities, it does not appear to present any strong association with CKD <sup>49</sup>. However, we observed a correlation between A $\beta$ 42, t-tau and eGFR specifically in participants with A $\beta$ -pathology. CKD has previously been proposed to increase the risk of AD through several mechanisms, including increased levels of uremic toxins, calcium metabolism and an altered haemodynamic regulation <sup>42</sup>. Noteworthy, studies consistently propose a causal direction where CKD increases the risk of AD <sup>42</sup>. In fact, CKD is one of the strongest risk factors of dementia <sup>50</sup>. Considering previous reports, our results indicate that even a moderate decline in kidney function is associated with elevated markers of AD pathology. In contrast, the correlation between P-NfL and eGFR was independent of A $\beta$ -pathology status, indicating that the mechanisms previously discussed for P-NfL are not shared with the correlations to AD pathology.

# Limitations

There are some limitations to consider in this study. Almost all participants included in the study were born in Europe, and studies in other parts of the world may find different results due to variations in environment, life style and genetics. This study

does not provide any causal evidence regarding the association between NfL, CKD and eGFR. In the longitudinal analysis, high P-NfL was associated with an increased risk of CKD. However, it should be considered that the Cox-regression model was not significant after adjustment for baseline eGFR and it is possible that the association found is mainly caused by a collinearity between eGFR and P-NfL. It is possible that the elevated levels of P-NfL are a consequence of impaired renal clearance, as proposed by others <sup>7</sup>. However, the similarity in size between NfL (68 kDa<sup>16</sup>) and albumin (67 kDa) indicates that clearance should mainly be altered in patients with albuminuria and not all patients with CKD, as proteins of this size do not normally pass the glomeruli. Studies with arterio-venous samples close to the kidney could provide the answer to this question. Regarding other limitations, the number of participants with dementia is low in this population-based sample and the results are not directly transferrable to clinical settings of patients with dementia. Furthermore, we did not have information on participant status of peripheral neuropathy, which limits the possibility to determine the main contributor of NfL in plasma. However, as there was no correlation between CSF-NfL and eGFR, it is also possible the periphery significantly contributes to plasma concentrations of NfL. Our observations on the correlation between CSF markers specific for AD and eGFR in participants with Aß pathology were made in a limited sample and should be replicated in larger studies. Nonetheless, previous studies have reported similar observations, indicating that this observation in a population-based sample is valid.

# **Future directions**

Future longitudinal primary care studies are warranted to evaluate the added value of measuring P-NfL in detecting individuals with a higher specificity and predictive performance which will be the basis for modification of existing clinical practices. The precision of P-NfL as a predictor of neurodegeneration in individuals with CKD should also be determined in larger community-based and clinical studies. Although more studies are needed, it would be wise for clinicians to take CKD status into account when interpreting P-NfL measurements. The predictive role of P-NfL on incident CKD should also be validated in other settings, as it could indicate that some neurodegenerative conditions may lead to an impaired renal function over time.

# Conclusion

In a community-based cohort of 70-year olds, P-NfL was associated with both prevalent and incident CKD, while CSF and/or imaging measures did not differ by CKD status. Participants with CKD and dementia presented similar levels of P-NfL which should be considered when using P-NfL as a neurodegeneration biomarker. Our observed correlations between Aβ42 and eGFR could indicate an association between kidney function and AD.

WNL-2023-000257\_sup --- <u>http://links.lww.com/WNL/C849</u>

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Table 1 Characteristics of the 744 with data on P-NfL and MRI.

	No CKD (n=668)	CKD (n=76)	p value
Demographic variables			
Male	332 (50)	30 (39)	0.091
Age, years	71 (70: 71)	71 (70: 71)	0.343
Education, years	13 (10: 16)	12 (10: 15)	0.059
Smoking	177 (27)	25 (33)	0.241
Excercise > 1 day/week	268 (41)	15 (20)	<0.001
Alcohol intake, g/week	69 (20: 137)	46 (5: 151)	0.237
Medical history			
CDR=0	540 (81)	62 (82)	0.896
CDR=0.5	119 (18)	14 (18)	0.896
Dementia	9 (1)	0 (0)	0.609
APOE4 ε4	229 (34)	19 (25)	0.104
Major or minor depression	52 (7,8)	8 (10,5)	0.406
Hypertension	455 (68)	63 (83)	0.008
Myocardial infarction	32 (4,8)	8 (10,5)	0.054
Angina pectoris	36 (5,4)	7 (9,2)	0.176
Heart failure	8 (1,2)	3 (3,9)	0.093
Atrial fibrillation	45 (6,7)	8 (10,5)	0.224
Stroke	30 (4)	4 (5)	0.770
Claudicatio intermittens	19 (2,8)	3 (3,9)	0.484
Diabetes	90 (13)	13 (17)	0.385
In dialysis	0 (0)	1 (1,3)	0.103
Elevated liver enzymes	18 (2,7)	2 (2,6)	1.000
COPD	81 (12,2)	16 (21,1)	0.030
Asthma	46 (6,9)	5 (6,6)	0.912
Cancer	119 (18,1)	13 (17,1)	0.838
Clinical variables			
Waist, cm <sup>a</sup>	95 (86: 103)	96 (90: 104)	0.111
$BMI^a$	25.2 (22.9: 27.9)	26.3 (24.1: 29.4)	0.011
Systolic blood pressure, mmHg <sup>a</sup>	140 (125: 150)	140 (130: 160)	0.124

Diastolic blood pressure, mmHg <sup>a</sup>	80 (74: 85)	80 (70: 87)	0.909
Heart rate, bpm <sup>a</sup>	68 (62: 76)	68 (62: 77)	0.951
Creatinine, umol/L	74 (66: 85)	112 (89: 124)	<0.001
eGFR	80 (73: 89)	53 (46: 58)	<0.001
fP-Glucose, mmol/L <sup>a</sup>	5.7 (5.4: 6.2)	5.7 (5.3: 6.3)	0.687
S-Cholesterol, mmol/L	5.4 (4.7: 6.3)	5.4 (4.4: 6.2)	0.315
S-HDL-cholesterol, mmol/L	1.6 (1.4: 2.1)	1.6 (1.2: 1.8)	0.018
S-LDL-cholesterol, mmol/L	3.5 (2.7: 4.2)	3.3 (2.6: 4.1)	0.335
S-Triglycerides	1.1 (0.8: 1.4)	1.4 (1.0: 1.9)	<0.001
B-Hemoglobin, g/L <sup>a</sup>	144 (137: 152)	139 (130: 149)	<0.001
B-Platelets, x109/L <sup>a</sup>	226 (195: 267)	221 (198: 265)	0.660
Homocysteine <sup>a</sup>	12 (10: 14)	16 (13: 20)	<0.001
CRP, mg/L <sup>a</sup>	1 (0.5: 3)	2 (0.8: 4)	0.004
P-NfL, pg/mL	14.1 (10.9: 17.9)	18.8 (14.2: 27.4)	<0.001

Data presented as n (%) for categorical variables, and median (IQR) for continous variables.

Abbreviations: BMI = body mass index; CDR = clinical dementia rating; CKD = chronic kidney disease; COPD = chronic obstructive pulmonary disease; CRP = C-reactive protein; eGFR = estimated glomerular filtration rate; HDL = high-density lipoprotein, LDL = low-density lipoprotein; MRI = magnetic resonance imaging; P-NfL = plasma neurofilament light protein.



<sup>&</sup>lt;sup>a</sup>Missing values for: waist (n=4), BMI (n=9), Systolic blood pressure (n=1), Diastolic blood pressure (n=1), Heart rate (n=3), fP-glucose (n=27), Homocysteine (n=13), CRP (n=2), Hb (n=3), Platelets (n=6).

**Table 2** Cross-sectional logistic regression model of CKD and linear regression model of eGFR, with ln P-NfL as the independent continuous variable, n=744.

CKD	OR (95% CI)	<i>p</i> -value	n
Model I <sup>a</sup>	5.190 (2.945: 9.148)	<0.001	744
Model II <sup>b</sup>	5.125 (2.918: 9.001)	<0.001	744
Model III <sup>c</sup>	3.231 (1.743: 5.990)	<0.001	699 <sup>d</sup>
eGFR	B (95% CI)		
Model I <sup>a</sup>	-8.83 (-11.00; -6.66)	<0.001	744
Model II <sup>b</sup>	-8.81 (-10.98; -6.64)	<0.001	744
Model III <sup>c</sup>	-6.47 (-8.66; -4.28)	<0.001	699 <sup>d</sup>

<sup>&</sup>lt;sup>a</sup> adjusted for ln age, sex and ln education

Abbreviations: CI = confidence interval; CKD = chronic kidney disease; eGFR = estimated glomerular filtration rate; OR = odds ratio; P-NfL = plasma neurofilament light protein.

<sup>&</sup>lt;sup>b</sup> adjusted for <sup>a</sup>, plus hypertension and diabetes<sup>.</sup>

<sup>&</sup>lt;sup>c</sup> adjusted for <sup>a</sup> and <sup>b</sup>, plus smoking, ln BMI, ln fP-glucose, S-LDL cholesterol, ln P-CRP, ln P-Homocystein

<sup>&</sup>lt;sup>d</sup> missing data on any variable in model III, n=45.

**Table 3** Longitudinal association of quartiles (Q) of P-NfL at baseline with incident CKD, n=558<sup>a</sup>.

	Q1	Q2	Q3	Q4
Model I <sup>b</sup>	ref.	0.92 (0.40: 2.08)	1.28 (0.61: 2.73)	2.39 (1.21: 4.72)
Model II <sup>c</sup>	ref.	1.03 (0.45: 2.36)	1.30 (0.61: 2.77)	2.58 (1.30: 5.12)
Model III <sup>d</sup>	ref.	0.82 (0.35: 1.92)	0.96 (0.44: 2.11)	2.27 (1.09: 4.73)
Model IV <sup>e</sup>	ref.	0.70 (0.30: 1.62)	0.70 (0.32: 1.54)	0.94 (0.44: 2.02)

<sup>&</sup>lt;sup>a</sup>n=530 in model III & IV due to missing values on confounding variables.

Abbreviations: CKD = chronic kidney disease; P-NfL = plasma neurofilament light protein; Q = quartile.

<sup>&</sup>lt;sup>b</sup>Hazard ratios from a Cox proportional hazards regression model with follow-up time (years) until re-examination 2019-2022 as the timescale (n=558).

<sup>&</sup>lt;sup>c</sup>Model described in footnote b, with additional adjustments for ln age, sex and ln years of education.

<sup>&</sup>lt;sup>d</sup>Model described in footnote c, with additional adjustments for smoking, hypertension, diabetes, ln BMI, fP-glucose, ln homocysteine, ln crp and LDL.

<sup>&</sup>lt;sup>e</sup>Model described in footnote d, with additional adjustments for baseline eGFR.

**Table 4** Correlations between eGFR and biofluid biomarkers of neurodegeneration in the participants volunteering for CSF sampling, separated by A $\beta$  pathology status (n=313).

	Amyloid-positive (n=147)		Amyloid-n	egative (n=166)
CSF	R	<i>p</i> -value	R	<i>p</i> -value
β-Amyloid 42, pg/mL	0.25	0.003	-0.05	0.520
t-Tau, pg/mL	-0.16	0.048	0.01	0.890
p-Tau, pg/mL	015	0.071	0.04	0.632
β-Amyloid 42/40	0.23	0.004	-0.07	0.359
β-Amyloid 42/p-Tau	0.23	0.005	-0.11	0.165
NfL, pg/mL	0.00	0.967	0.00	0.961
Plasma				
NfL, pg/mL	027	0.001	-0.31	<0.001

Abbreviations:  $A\beta = \beta$ -amyloid; CSF = cerebrospinal fluid; eGFR = estimated glomerular filtration rate; NfL = neurofilament light protein; p = phosphorylated; P = plasma; t = total.

# Figure legends

Figure 1 Flowchart of the inclusion process for the study.

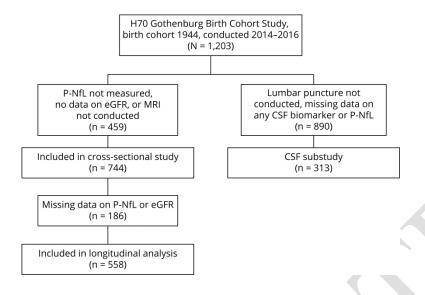
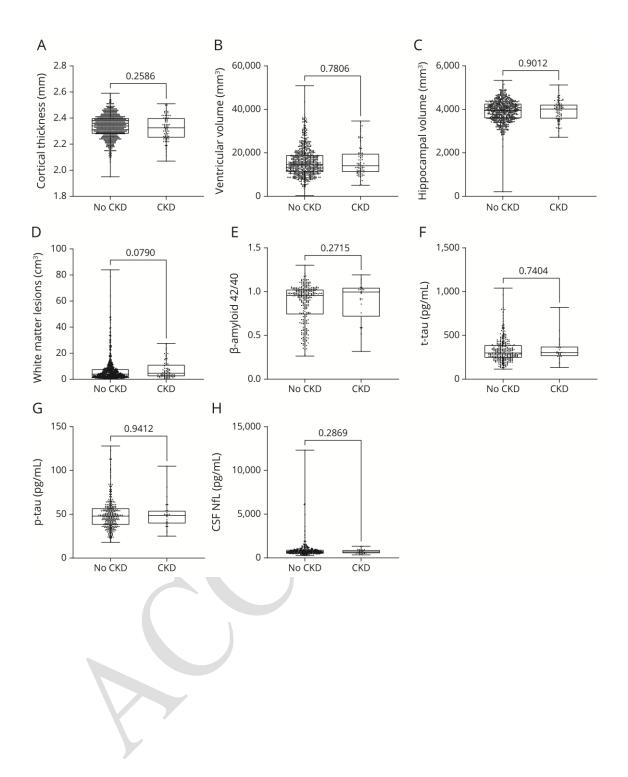


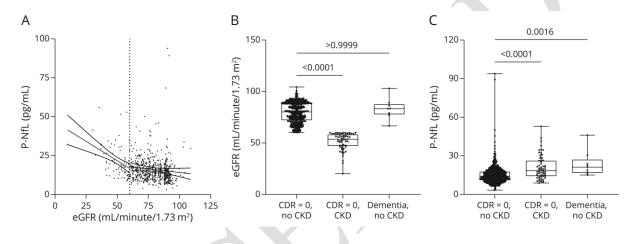
Figure 2 Structural MRI measurements and CSF biomarkers in participants without and with CKD.

Structural MRI measurements of cerebral regions related to neurodegeneration presented for participants without and with CKD (A-D). Mean cortical thickness (A), mean lateral ventricular volume (B), mean hippocampal volume (C) and total white matter lesion volume (D) were measured. CSF biomarkers related to neurodegeneration were also analysed (E-H). Aβ42/40-ratio (E), t-tau (F), p-tau (G) and NfL (H) in CSF were measured in participants without and with CKD. n=744 in panel A-D (No CKD=668, CKD=76), and n=313 in panel E-H (No CKD=287, CKD=26). Mann-Whitney U-test was used for group wise comparisons. CSF = cerebrospinal fluid; NfL = neurofilament light protein; p-tau = phosphorylated tau; t-tau = total tau.



# Figure 3 Associations between P-NfL and kidney function, stratified by CKD and dementia.

Scatterplot of P-NfL and eGFR in the study participants (n=743, one outlier was not included in the figure, but was included in the statistics, n=744), with linear regression curves stratified by CKD-status (no CKD, n=668 and CKD, n=76) (A). Kidney function measured as eGFR (B), and P-NfL levels (C), in participants without cognitive impairment (CDR=0), stratified by CKD status (no CKD, n=540 and CKD, n=62), as well as in participants with dementia and normal kidney function (n=9). Linear regression lines in figure A were performed on untransformed P-NfL values to allow for the presentation of clinically relevant data in the panel. Group-wise comparisons were performed with Kruskal-Wallis test. eGFR = estimated glomerular filtration rate; NfL = neurofilament light protein; P = plasma.





# Association of Chronic Kidney Disease With Plasma NfL and Other Biomarkers of Neurodegeneration: The H70 Birth Cohort Study in Gothenburg

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