

# A Study on Centralizing Monoids with Majority Operation Witnesses<sup>1</sup>

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## Abstract

A centralizing monoid  $M$  is a set of unary operations which commute with some set  $F$  of operations. Here,  $F$  is called a witness of  $M$ . On a 3-element set, a centralizing monoid is maximal if and only if it has a constant operation or a majority minimal operation as its witness.

In this paper, we take one such majority operation, which corresponds to a maximal centralizing monoid, on a 3-element set and obtain its generalization, called  $m_b$ , on a  $k$ -element set for any  $k \geq 3$ . We explicitly describe the centralizing monoid  $M(m_b)$  with  $m_b$  as its witness and then prove that it is not maximal if  $k > 3$ , contrary to the case for  $k = 3$ .

**Keywords:** clone; centralizer; centralizing monoid; majority operation; minimal operation

## 1 Introduction

### 1.1 Overview

Let  $A$  be a finite set with  $|A| > 2$ , and  $\mathcal{O}_A$  be the set of operations on  $A$ . A *majority operation*  $m \in \mathcal{O}_A$  is a ternary operation, i.e.,  $m : A^3 \rightarrow A$ , which

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takes the majority value among the elements in the argument, i.e.,  $m(x, x, y) = m(x, y, x) = m(y, x, x) = x$  holds for all  $x, y \in A$ .

A centralizer  $C (\subseteq \mathcal{O}_A)$  is the set of operations which commute with all members of some set  $F \subseteq \mathcal{O}_A$ , and a centralizing monoid  $M$  is the unary part of a centralizer  $C$ . We call  $F$  a witness of the centralizing monoid  $M$ . (For the precise definition of some terms, refer to the next subsection.)

A remarkable fact on a 3-element set  $A$  is that a centralizing monoid is maximal if and only if it has a constant operation or a majority minimal operation as its witness [3].

Up to conjugacy, there are three majority operations on a 3-element set which are minimal and serve as witnesses of maximal centralizing monoids. In this article, they are called  $m_1$ ,  $m_2$  and  $m_3$ .

The aim of our study is to know how these properties can be generalized from a 3-element set to a  $k$ -element set with  $3 \leq k < \omega$ . For  $m_1$  and  $m_3$ , generalizations were presented in [4], which are summarized in Section 3.

The main part of this paper is Section 4 where we generalize the remaining majority operation  $m_2$  on a 3-element set to a majority operation on a  $k$ -element set for any  $3 \leq k < \omega$ . A generalization is successfully achieved, but it fails to inherit the property of maximality from a 3-element case.

## 1.2 Basic Terminology

Let  $k > 1$  be a fixed integer and  $E_k$  be the initial segment of  $\mathbb{N}$  with  $k$  elements, i.e.,  $E_k = \{0, 1, \dots, k-1\}$ . Denote by  $\mathcal{O}_k^{(n)}$ ,  $n > 0$ , the set of  $n$ -ary operations on  $E_k$ , that is, functions from  $E_k^n$  into  $E_k$ , and by  $\mathcal{O}_k$  the set of all operations on  $E_k$ , i.e.,  $\mathcal{O}_k = \bigcup_{n=1}^{\infty} \mathcal{O}_k^{(n)}$ .

The  $n$ -ary  $i$ -th projection  $e_i^n$  on  $E_k$ , for  $1 \leq i \leq n$ , is an operation in  $\mathcal{O}_k^{(n)}$  which is defined by  $e_i^n(x_1, \dots, x_n) = x_i$  for  $x_1, \dots, x_n \in E_k$ . Denote by  $\mathcal{J}_k$  the set of projections on  $E_k$ .

Let  $\text{CONST}_k (\subseteq \mathcal{O}_k^{(1)})$  (or simply  $\text{CONST}$ ) be the set of unary constant operations on  $E_k$  and  $\mathcal{S}_k$  be the symmetric group on  $E_k$ .

For  $f, g \in \mathcal{O}_k^{(n)}$ ,  $g$  is *conjugate* to  $f$  if there exists a permutation  $\sigma$  on  $A$  for which  $g(x_1, \dots, x_n) = \sigma^{-1}(f(\sigma(x_1), \dots, \sigma(x_n)))$  holds for all  $x_1, \dots, x_n \in A$ . In other words,  $g$  is conjugate to  $f$  if  $g$  is obtained from  $f$  by renaming the elements of the base set  $E_k$ .

A subset  $C$  of  $\mathcal{O}_k$  is a *clone* on  $E_k$  if  $C$  contains all the projections, i.e.,  $\mathcal{J}_k \subseteq C$ , and is closed under (functional) composition. The set of clones on  $E_k$  forms a lattice with respect to set inclusion and is denoted by  $\mathcal{L}_k$ . For

$F \subseteq \mathcal{O}_k$ ,  $\langle F \rangle$  denotes the smallest clone containing  $F$ . We say  $F$  generates a clone  $C$  if  $C = \langle F \rangle$ . When  $F = \{f\}$ , we often write  $\langle f \rangle$  instead of  $\langle F \rangle$ .

An atom of  $\mathcal{L}_k$  is called a *minimal clone*. In other words,  $C (\in \mathcal{L}_k)$  is a minimal clone if  $\mathcal{J}_k \subset C' \subseteq C$  implies  $C = C'$  for any  $C'$  in  $\mathcal{L}_k$ . Clearly, a minimal clone is generated by a singleton set. An operation  $f (\in \mathcal{O}_k)$  is called a *minimal operation* if  $f$  generates a minimal clone  $C$  and its arity is minimum among the arities of all operations which generate  $C$ .

For  $n$ -ary operation  $f \in \mathcal{O}_k^{(n)}$  and  $m$ -ary operation  $g \in \mathcal{O}_k^{(m)}$  for any  $m, n \geq 1$ , we say that  $f$  commutes with  $g$ , or  $f$  and  $g$  commute, if

$$\begin{aligned} & g(f(x_{11}, x_{12}, \dots, x_{1n}), \dots, f(x_{m1}, \dots, x_{mn})) \\ &= f(g(x_{11}, x_{21}, \dots, x_{m1}), \dots, g(x_{1n}, \dots, x_{mn})) \end{aligned}$$

holds for all  $x_{ij} \in E_k$  where  $1 \leq i \leq m$  and  $1 \leq j \leq n$ . We write  $f \perp g$  when  $f$  commutes with  $g$ .

In particular, for  $m = 1$ ,  $f \perp g$  means that

$$f(g(x_1), \dots, g(x_n)) = g(f(x_1, \dots, x_n))$$

holds for all  $x_1, \dots, x_n \in E_k$ .

For any subset  $F$  of  $\mathcal{O}_k$ , let  $F^*$  be the set of operations which commute with all members of  $F$ , i.e.,

$$F^* = \{g \in \mathcal{O}_k \mid g \perp f \text{ for all } f \in F\}.$$

A subset  $C$  of  $\mathcal{O}_k$  is a *centralizer* if  $C = F^*$  for some  $F \subseteq \mathcal{O}_k$ . We also say that  $F^*$  is the centralizer of  $F$ . It is easy to see that  $F^*$  is a clone for any subset  $F$  of  $\mathcal{O}_k$ . When  $F = \{f\}$ , we write  $f^*$  for  $F^*$ .

As extreme examples,  $\mathcal{O}_k$  is a centralizer since  $\mathcal{J}_k^* = \mathcal{O}_k$  and  $\mathcal{J}_k$  is a centralizer since  $(\mathcal{O}_k)^* = \mathcal{J}_k$ .

A subset  $M$  of  $\mathcal{O}_k^{(1)}$  is a *centralizing monoid* on  $E_k$  if

$$M = F^* \cap \mathcal{O}_k^{(1)}$$

for some  $F \subseteq \mathcal{O}_k$ . Thus a centralizing monoid is the unary part of a centralizer. Since the centralizer  $F^*$  is a clone, the set  $M$  defined above is a monoid.

In the above definition, a subset  $F$  of  $\mathcal{O}_k$  is called a *witness* of  $M$ . The centralizing monoid  $M$  with  $F$  as its witness is denoted by  $\mathbf{M}(F)$ , i.e.,

$M(F) = F^* \cap \mathcal{O}_k^{(1)}$ . When  $F$  is a singleton, i.e.,  $F = \{f\}$ , we write  $M(f)$  for  $M(F)$ .

The “top” elements of the set of centralizing monoids are of special interest. A centralizing monoid  $M$  is *maximal* if  $\mathcal{O}_k^{(1)}$  is the only centralizing monoid properly containing  $M$ . Maximal centralizing monoids have a strong connection to minimal clones.

**Proposition 1 ([3])** *For every maximal centralizing monoid  $M$  there exists a minimal operation  $f$  such that  $M = M(f)$ .*

On the 3-element set the result is more striking, as is shown below.

## 2 Results on $E_3$

There are 84 minimal clones on  $E_3$  [1]. Among them, three are generated by constant operations and seven by majority operations. A striking fact is the following.

**Proposition 2 ([3])** *For a centralizing monoid  $M$  on  $E_3$ , the following are equivalent.*

- (1)  $M$  is a maximal centralizing monoid.
- (2)  $M = M(f)$  for some  $f \in \mathcal{O}_k$  which is a constant operation or a majority minimal operation.

Thus, there are 10 maximal centralizing monoids on  $E_3$ . Three of them have unary constant operations as their witnesses and seven have majority minimal operations as their witnesses.

Below are majority minimal operations on  $E_3$ . Only the values on  $(x, y, z)$  for mutually distinct  $x, y, z \in E_3$  need to be specified.

- $m_1(x, y, z) = 0$  if  $|\{x, y, z\}| = 3$
- $m_2(x, y, z) = \begin{cases} 0 & \text{if } (x, y, z) \in \sigma \\ 1 & \text{if } (x, y, z) \in \tau \end{cases}$
- $m_3(x, y, z) = x$  if  $|\{x, y, z\}| = 3$

Here  $\sigma$  and  $\tau$  are the sets defined by  $\sigma = \{(0, 1, 2), (1, 2, 0), (2, 0, 1)\}$  and  $\tau = \{(0, 2, 1), (1, 0, 2), (2, 1, 0)\}$ .

Among seven majority minimal operations on  $E_3$ , two are conjugate to  $m_1$  and the other two are conjugate to  $m_2$ .

As  $m_2$  is the main target of this article, we explicitly present the centralizing monoid  $M(m_2)$  having  $m_2$  as its witness:

$$M(m_2) = \text{CONST} \cup \{s \in \mathcal{O}_3^{(1)} \mid s(0) = s(1) \neq s(2)\} \\ \cup \{s \in \mathcal{S}_3 \mid s(2) = 2\}.$$

Naturally, we are lead to investigate how much the above results on  $E_3$  can be generalized to  $E_k$  for  $3 \leq k < \omega$ .

### 3 Generalization of Majority Operations

Hereafter we assume  $3 \leq k < \omega$ , unless otherwise stated.

Regarding the generalization, we have already obtained the results for  $m_1$  and  $m_3$  (submitted; [4]), which we shall present here without proof.

Let  $W (\subset E_k^3)$  be the set of triples on  $E_k$  whose components are mutually distinct, i.e.,

$$W = \{(a, b, c) \in E_k^3 \mid |\{a, b, c\}| = 3\}.$$

By definition, a majority operation  $m$  is completely determined by the values of  $m$  on  $W$ .

For a unary operation  $s \in \mathcal{O}_k^{(1)}$ ,  $\ker(s)$  is defined by

$$\ker(s) = \{(x, y) \in E_k^2 \mid s(x) = s(y)\}.$$

Clearly,  $\ker(s)$  is an equivalence relation on  $E_k$ . An equivalence class containing  $x \in E_k$  will be denoted by  $[x]_{\ker(s)}$ .

#### 3.1 Constant-like Majority

A generalization of  $m_1$  is trivial. Let  $m_c \in \mathcal{O}_k^{(3)}$ ,  $k \geq 3$ , be a majority operation on  $E_k$  which takes the constant value 0 on  $W$ :

$$m_c(x, y, z) = 0 \quad \text{for all } (x, y, z) \in W.$$

It is known that  $m_c$  is a minimal operation for any  $k \geq 3$  [5]. The centralizing monoid  $M(m_c)$  is characterized as follows.

**Lemma 1** ([4])  *$M(m_c)$  is exactly the set of unary operations  $s \in \mathcal{O}_k^{(1)}$  satisfying one of the following:*

- (1)  $|\text{Im}(s)| = 1$  (i.e.,  $s \in \text{CONST}$ )
- (2)  $|\text{Im}(s)| = 2$  and  $|[0]_{\ker(s)}| = k - 1$
- (3)  $|\text{Im}(s)| \geq 3$ ,  $s(0) = 0$  and  $|[x]_{\ker(s)}| = 1$  for any  $x \notin [0]_{\ker(s)}$

After a bit of elaborate discussion, we get:

**Proposition 3** ([4])  $M(m_c)$  is a maximal centralizing monoid.

### 3.2 Projection-like Majority

A generalization of  $m_3$  on  $E_3$  to  $E_k$ ,  $k \geq 3$ , is also straightforward. Let  $m_p \in \mathcal{O}_k^{(3)}$ ,  $k \geq 3$ , be a majority operation which behaves like a projection  $e_i^3$  ( $1 \leq i \leq 3$ ) on  $W$ . Here, let us assume  $i = 1$ :

$$m_p(x, y, z) = x \quad \text{for all } (x, y, z) \in W.$$

The centralizing monoid  $M(m_p)$  is easily obtained (e.g., [4]).

**Lemma 2**  $M(m_p) = S_k \cup \text{CONST}$ .

Regarding the maximality,  $M(m_p)$  is maximal in most cases, but not always, as shown below.

**Proposition 4** ([4])

- (1) For  $k = 3$  or  $k \geq 5$ ,  $M(m_p)$  is a maximal centralizing monoid.
- (2) For  $k = 4$ ,  $M(m_p)$  is not a maximal centralizing monoid.

For the case of  $k = 4$ , we shall see further what is happening there.

Let  $M_2 (\subset \mathcal{O}_4^{(1)})$  be the monoid which consists of unary operations  $s$  satisfying one of the following: (1)  $|E_4/\ker s| = 4$  (i.e., permutation), (2)  $|E_4/\ker s| = 1$  (i.e., constant) and (3)  $|E_4/\ker s| = 2$  with two blocks of size 2. The following fact is well-known.

**Lemma 3**  $M_2$  is a centralizing monoid.

**Proof:** Let  $g \in \mathcal{O}_4^{(2)}$  be the binary operation on  $E_4$  defined by the following Cayley table.

$x \backslash y$	0	1	2	3
0	0	1	2	3
1	1	0	3	2
2	2	3	0	1
3	3	2	1	0

Notice that  $g$  is commutative and associative. Denote  $g(x, y)$  by  $x \oplus y$ . (Note:  $(E_4; \oplus)$  is an elementary 2-group.) Let  $f \in \mathcal{O}_4^{(3)}$  be defined by  $f(x, y, z) = x \oplus y \oplus z$ . Then,  $M_2$  is proved to be a centralizing monoid with  $f$  as its witness, i.e.,  $M_2 = \mathbf{M}(f)$ . The proof is carried out by elementary calculations which verify  $s \perp f$  for all  $s \in M_2$  while  $s \not\perp f$  for all  $s \in \mathcal{O}_4^{(1)} \setminus M_2$ . The details are omitted. (Clearly,  $s \in \mathcal{O}_4^{(1)} \setminus M_2$  if and only if  $|E_4 / \ker s| = 3$  or  $|E_4 / \ker s| = 2$  with a block of size 1 and a block of size 3.)  $\square$

Apparently,  $\mathbf{M}(m_p) \subset M_2$  is a proper inclusion (Lemma 2) and, therefore,  $\mathbf{M}(m_p)$  is not a maximal centralizing monoid.

## 4 Balanced Majority

Now we shall make an attempt to generalize the majority operation  $m_2$  on  $E_3$  to a majority operation on  $E_k$ ,  $k \geq 3$ . A generalization of  $m_2$  is less obvious than that of  $m_1$  and  $m_3$ .

### 4.1 Definition of $m_b$

Let  $\sigma$  be the operation on  $W$ , i.e.,

$$\sigma : W \longrightarrow W$$

defined by  $\sigma(x, y, z) = (y, z, x)$  for  $(x, y, z)$  in  $W$ . By convention, we write  $\sigma(x, y, z)$  in place of  $\sigma((x, y, z))$ . Clearly, the order of  $\sigma$  is 3.

Let a binary relation  $\sim$  on  $W$  be defined as follows:

For any  $(a, b, c), (a', b', c') \in W$ ,  $(a, b, c) \sim (a', b', c')$  if and only if  $(a', b', c') = \sigma^i(a, b, c)$  for some  $0 \leq i \leq 2$ . It is clear that the relation  $\sim$  is an equivalence relation on  $W$  and the equivalence class of  $(a, b, c)$ , denoted by  $[(a, b, c)]_{\sim}$ , consists of 3 triples in  $W$ .

$E_k$  is considered as the initial segment of  $\mathbb{N}$ . Let the order  $\leq$  on  $\mathbb{N}$  be naturally introduced into  $E_k$ , i.e.,  $0 < 1 < \dots < k - 1$ .

A triple  $(a, b, c) \in W$  will be called *even* if  $a < b < c$  and *odd* if  $a < c < b$ . Let  $W_{\text{even}}$  and  $W_{\text{odd}}$  be subsets of  $W$  defined by

$$W_{\text{even}} = \bigcup \{ [(a, b, c)]_{\sim} \mid (a, b, c) : \text{even} \}$$

and

$$W_{\text{odd}} = \bigcup \{ [(a, b, c)]_{\sim} \mid (a, b, c) : \text{odd} \}.$$

We shall extend the meaning of even and odd and call any element  $(a, b, c) \in W$  *even* if it is in  $W_{\text{even}}$  and *odd* if it is in  $W_{\text{odd}}$ .

$W_{\text{even}}$  and  $W_{\text{odd}}$  can be characterized in the following way. For  $\mathbf{a} = (a_1, a_2, a_3) \in W$  the number of *reversed pairs* in  $\mathbf{a}$ , denoted by  $r(\mathbf{a})$ , is given by

$$r(\mathbf{a}) = |\{(i, j) \mid i, j \in \{1, 2, 3\}, i < j, a_i > a_j\}|.$$

The following property is easy to see, from which the terms even and odd stem.

**Lemma 4** *For any  $\mathbf{a} \in W$ ,*

$$(1) \mathbf{a} \in W_{\text{even}} \iff r(\mathbf{a}) \text{ is even.}$$

$$(2) \mathbf{a} \in W_{\text{odd}} \iff r(\mathbf{a}) \text{ is odd.}$$

**Proof:** For  $\mathbf{a} = (a, b, c) \in W$ , if  $a < b < c$  then  $\mathbf{a} \in W_{\text{even}}$  by definition and, also,  $r(\mathbf{a})$  is even as  $r(\mathbf{a}) = 0$ . Similarly, if  $a < c < b$  then  $\mathbf{a} \in W_{\text{odd}}$  and, also,  $r(\mathbf{a})$  is odd as  $r(\mathbf{a}) = 1$ . Then the proof follows from an observation that the process of applying  $\sigma$  to  $\mathbf{x} \in W$  does not alter the parity, i.e.,  $r(\sigma(\mathbf{x})) = r(\mathbf{x})$ .  $\square$

The following is essential in defining the majority operation  $m_b$ , a generalization of  $m_2$ .

**Lemma 5**  $\{W_{\text{even}}, W_{\text{odd}}\}$  *is a partition of  $W$ , i.e.,*

$$(1) W = W_{\text{even}} \cup W_{\text{odd}}, \text{ and}$$

$$(2) W_{\text{even}} \cap W_{\text{odd}} = \emptyset.$$

**Proof:** Obvious from Lemma 4.  $\square$

**Definition 1** *The majority operation  $m_b$  on  $E_k$  is defined as follows:*

$$m_b(x, y, z) = \begin{cases} 0 & \text{if } (x, y, z) \in W_{\text{even}} \\ 1 & \text{if } (x, y, z) \in W_{\text{odd}} \end{cases}$$

The subscript ‘b’ stands for *balanced*. As is easily seen,  $m_b$  is a generalization of the majority operation  $m_2$  on  $E_3$ .



## 4.2 Centralizing Monoid of $m_b$

We shall determine the centralizing monoid  $M(m_b)$  which has  $m_b$  as its witness.

For an equivalence relation  $\theta$  on  $E_k$ , we call an equivalence class  $U$  of  $\theta$  a *cluster block* (or, simply a *cluster*) of  $\theta$  if it contains two or more elements, i.e.,  $|U| \geq 2$ . For a unary operation  $s \in \mathcal{O}_k^{(1)}$ , we abuse the term and say that  $s$  has a cluster if there exists a cluster of  $\ker(s)$ .

**Lemma 6** *Let  $s \in \mathcal{O}_k^{(1)}$ . If  $s \perp m_b$  then  $s$  satisfies one of the following:*

- (1)  $s$  has a cluster  $U$  of size  $k$  (i.e.,  $U = E_k$ ),
- (2)  $s$  has a cluster  $U$  of size  $k - 1$  which contains  $0$  and  $1$ , i.e.,  $\{0, 1\} \subseteq U$ ,
- (3)  $s$  has no cluster.

Note that (1) is equivalent to saying that  $s$  is a constant operation, i.e.,  $s \in \text{CONST}$ , while (3) is equivalent to saying that  $s$  is a permutation, i.e.,  $s \in \mathcal{S}_k$ .

**Proof:** The proof follows from three Claims.

**Claim 1.** If  $U$  is a cluster of  $s$ , then  $\{0, 1\} \subseteq U$ .

*Proof of Claim 1.* Let  $\alpha \in E_k$  be the value of  $s$  on  $U$ , i.e.,  $s(U) = \{\alpha\}$ .

Suppose  $0 \notin U$ . Let  $a, b \in U$  be elements such that  $a < b$ . Since  $(0, a, b) \in W$  and  $0 < a < b$ , we have  $m_b(0, a, b) = 0$ . Hence, by  $0 \notin U$ ,  $s(m_b(0, a, b)) = s(0) \neq \alpha$ , whereas  $m_b(s(0), s(a), s(b)) = m_b(s(0), \alpha, \alpha) = \alpha$ , implying  $s \not\perp m_b$ . Therefore,  $0 \in U$ .

Next, suppose  $1 \notin U$ . Take  $a \in U \setminus \{0\}$ . Since  $(0, 1, a) \in W$  and  $0 < 1 < a$ , we have  $m_b(1, 0, a) = 1$ . The rest is analogous to the above. By  $1 \notin U$ ,  $s(m_b(1, 0, a)) \neq \alpha$ , whereas  $m_b(s(1), s(0), s(a)) = m_b(s(1), \alpha, \alpha) = \alpha$  and we get  $s \not\perp m_b$ . Therefore,  $1 \in U$ .  $\diamond$

As an immediate consequence of Claim 1, we obtain:

**Claim 2.** For any  $s \in \mathcal{O}_k^{(1)}$ , there exists *at most* one cluster of  $s$ .  $\diamond$

Next, we discuss the size of a cluster.

**Claim 3.** A cluster  $U$  of  $s$  satisfies  $|E_k \setminus U| < 2$ .

*Proof of Claim 3.* Again, we let  $\alpha \in E_k$  satisfy  $s(U) = \{\alpha\}$ . In particular,  $s(0) = s(1) = \alpha$  by Claim 1. Assume to the contrary that there exist two

elements  $c, d$  ( $c \neq d$ ) in  $E_k \setminus U$ . Let  $s(c) = \gamma$  and  $s(d) = \delta$  for  $\gamma, \delta \in E_k$ . Since  $U$  is a unique cluster and  $c, d \notin U$ , we have  $\gamma \neq \delta$ .

Since both  $(0, c, d)$  and  $(0, d, c)$  are in  $W$  and the values of  $m_b$  on  $W$  are in  $\{0, 1\}$ , we have

$$s(m_b(0, c, d)) = s(m_b(0, d, c)) (= \alpha).$$

On the other hand, we have

$$\begin{aligned} m_b(s(0), s(c), s(d)) &= m_b(\alpha, \gamma, \delta) \\ &\neq m_b(\alpha, \delta, \gamma) \\ &= m_b(s(0), s(d), s(c)). \end{aligned}$$

It follows that  $s$  and  $m_b$  do not commute, i.e.,  $s \not\perp m_b$ , against the assumption.  $\diamond$

Evidently, Claims 1, 2, 3 together prove the lemma.  $\square$

We shall take a closer look at the cases (2) and (3) in Lemma 6. First, the case (2).

For any  $U \in \mathcal{P}(E_k)$  and  $c \in E_k \setminus U$  such that  $\{0, 1\} \subseteq U$  and  $E_k = U \cup \{c\}$  and any  $\alpha, \beta \in E_k$  such that  $\alpha \neq \beta$ , we define a unary operation  $s_{\triangleright}(c; \alpha, \beta) \in \mathcal{O}_k^{(1)}$  by

$$s_{\triangleright}(c; \alpha, \beta)(x) = \begin{cases} \alpha & \text{if } x \in U, \\ \beta & \text{if } x = c. \end{cases}$$

We often write  $s_{\triangleright}$  in place of  $s_{\triangleright}(c; \alpha, \beta)$  when  $c, \alpha, \beta$  are understood.

**Remark 1** Any  $s \in \mathcal{O}_k^{(1)}$  satisfying (2) in Lemma 6 can be expressed as  $s_{\triangleright}(c; \alpha, \beta)$  for some  $c \in E_k \setminus \{0, 1\}$  and  $\alpha, \beta \in E_k$  with  $\alpha \neq \beta$ .

**Lemma 7** For any  $U \in \mathcal{P}(E_k)$ ,  $c \in E_k \setminus U$  and  $\alpha, \beta \in E_k$  as above,  $s_{\triangleright}(c; \alpha, \beta)$  and  $m_b$  commute, i.e.,  $s_{\triangleright}(c; \alpha, \beta) \perp m_b$ .

**Proof:** We are to show that  $s_{\triangleright}(m_b(x, y, z)) = m_b(s_{\triangleright}(x), s_{\triangleright}(y), s_{\triangleright}(z))$  holds for all  $(x, y, z)$  in  $W$ , which easily follows from (i) and (ii) below. (i) Because of  $m_b(x, y, z) \in \{0, 1\}$  by definition and  $\{0, 1\} \subseteq U$  by assumption, it is immediate to see that  $s_{\triangleright}(m_b(x, y, z)) = \alpha$ . (ii) Among  $x, y, z$  for which  $(x, y, z) \in W$ , at least two of them are in  $U$  and, hence, at least two of  $s_{\triangleright}(x), s_{\triangleright}(y), s_{\triangleright}(z)$  are  $\alpha$ , which implies  $m_b(s_{\triangleright}(x), s_{\triangleright}(y), s_{\triangleright}(z)) = \alpha$ .  $\square$

Denote by  $\Sigma_{\triangleright}$  the set of  $s_{\triangleright}(c; \alpha, \beta) (\in \mathcal{O}_k^{(1)})$  over all  $c \in E_k \setminus \{0, 1\}$  and  $\alpha, \beta \in E_k$  with  $\alpha \neq \beta$ .

Next, we move to the case (3) in Lemma 6. Let  $\widehat{s} \in \mathcal{O}_k^{(1)}$  be the permutation defined by

$$\widehat{s}(x) = \begin{cases} 1 & \text{if } x = 0, \\ 0 & \text{if } x = 1, \\ k + 1 - x & \text{if } 2 \leq x < k. \end{cases}$$

**Lemma 8** *It holds that  $\widehat{s} \perp m_b$ .*

**Proof:** The assertion is easy to check for  $k = 3$ . Assume  $k > 3$ . Then  $\widehat{s}$  is (strictly) decreasing on  $E_k \setminus \{0, 1\}$ , that is,  $a < b$  implies  $\widehat{s}(a) > \widehat{s}(b)$  for any  $a, b \in E_k \setminus \{0, 1\}$ .

We are to show  $\widehat{s}(m_b(x, y, z)) = m_b(\widehat{s}(x), \widehat{s}(y), \widehat{s}(z))$  for all  $(x, y, z) \in W$ .

First, take the case of  $\{x, y, z\} \cap \{0, 1\} = \emptyset$ . In this case,  $(\widehat{s}(x), \widehat{s}(y), \widehat{s}(z)) = (k + 1 - x, k + 1 - y, k + 1 - z)$  and it is readily checked that the parity (i.e., even or odd) of  $(x, y, z)$  and  $(\widehat{s}(x), \widehat{s}(y), \widehat{s}(z))$  are different. On the other hand, the parity of  $\widehat{s}$  on  $\{0, 1\}$  is also switched (i.e.,  $\widehat{s}(0) = 1$  and  $\widehat{s}(1) = 0$ ). Hence, we obtain  $\widehat{s}(m_b(x, y, z)) = m_b(\widehat{s}(x), \widehat{s}(y), \widehat{s}(z))$ .

The remaining case of  $\{x, y, z\} \cap \{0, 1\} \neq \emptyset$  is easy and left to the reader.  $\square$

**Lemma 9** *Let  $s \in \mathcal{O}_k^{(1)}$  be a permutation. Then,  $s \perp m_b$  if and only if  $s$  is  $\varepsilon (= \text{id})$  or  $\widehat{s}$ .*

**Proof:** Sufficiency follows from Lemma 8.

Necessity: Assume  $s \perp m_b$ . Since  $m_b(W) = \{0, 1\}$  by the definition of  $m_b$ , it follows from  $s \perp m_b$  that  $s(\{0, 1\}) \subseteq \{0, 1\}$ . Since  $s$  is a permutation, this implies that either  $s(x) = x$  or  $s(x) = 1 - x$  holds on  $\{0, 1\}$ . Note that, if  $k = 3$ , then  $s(2) = 2$  and, hence,  $s = \varepsilon$  or  $\widehat{s}$ . Hereafter, we assume  $k > 3$ .

**Case 1.**  $s(x) = x$  on  $\{0, 1\}$ : Let  $a, b$  be any elements in  $E_k \setminus \{0, 1\}$  such that  $a < b$ . Since  $s$  is a permutation, we have  $s(a) \neq s(b)$  and  $s(a), s(b) \in E_k \setminus \{0, 1\}$ . Clearly, we have  $s(m_b(0, a, b)) = s(0) = 0$  on the one hand and  $m_b(s(0), s(a), s(b)) = m_b(0, s(a), s(b))$  on the other hand. Hence, the assumption  $s \perp m_b$  implies  $m_b(0, s(a), s(b)) = 0$ , which means  $s(a) < s(b)$ . Thus, it is verified that the restriction of  $s$  to  $E_k \setminus \{0, 1\}$  is a permutation and strictly *increasing*. Therefore, together with the assumption that  $s(x) = x$  on  $\{0, 1\}$ ,  $s$  must be the identity  $\varepsilon$  on  $E_k$ .

**Case 2.**  $s(x) = 1 - x$  on  $\{0, 1\}$ : A similar argument as above works. Let  $a, b$  be in  $E_k \setminus \{0, 1\}$  such that  $a < b$ . Since  $s$  is a permutation, we have  $s(a) \neq s(b)$  and  $s(a), s(b) \in E_k \setminus \{0, 1\}$ . Now, we have  $s(m_b(0, a, b)) = s(0) = 1$  and  $m_b(s(0), s(a), s(b)) = m_b(1, s(a), s(b))$ . Hence,  $s \perp m_b$  implies  $m_b(1, s(a), s(b)) = 1$ , which means  $s(a) > s(b)$ . Thus, the restriction of  $s$  to  $E_k \setminus \{0, 1\}$  is a permutation and strictly *decreasing*. In other words, we have a sequence  $s(2) > s(3) > \cdots > s(k-1)$ . This property, combined with  $s(0) = 1$  and  $s(1) = 0$ , can be satisfied only by  $\widehat{s}$ . The proof is complete.  $\square$

**Proposition 5** *The centralizing monoid  $M(m_b)$  which has  $m_b$  as its witness is:*

$$M(m_b) = \text{CONST} \cup \Sigma_{\triangleright} \cup \{\varepsilon, \widehat{s}\}$$

**Proof:** Since all constant operations commute with  $m_b$ , the proof follows from Lemma 6, Lemma 7 (together with Remark 1) and Lemma 9.  $\square$

### 4.3 Is It Maximal?

Is  $M(m_b)$  a maximal centralizing monoid? Or, does there exist an operation  $f \in \mathcal{O}_k^{(n)}$ ,  $n \geq 1$ , which satisfies  $M(m_b) \subset M(f) \subset \mathcal{O}_k^{(1)}$ ?

To begin with, we ask if there exists a monoid (not necessarily a centralizing monoid)  $M$  which sits strictly between  $M(m_b)$  and  $\mathcal{O}_k^{(1)}$ , i.e.,  $M(m_b) \subset M \subset \mathcal{O}_k^{(1)}$ . The answer is yes. In fact, there are many.

We take one such example, which happens to be minimal among those  $M$ 's concerned.

Define two permutations  $\widehat{s}_1, \widehat{s}_2 \in \mathcal{O}_k^{(1)}$  by:

$$(i) \quad \widehat{s}_1 = (01), \text{ i.e., } \widehat{s}_1(0) = 1, \widehat{s}_1(1) = 0 \text{ and } \widehat{s}_1(x) = x \text{ otherwise,}$$

$$(ii) \quad \widehat{s}_2(x) = \begin{cases} x & \text{if } x = 0, 1, \\ k+1-x & \text{if } 2 \leq x < k. \end{cases}$$

Note that  $\widehat{s} = \widehat{s}_1 \circ \widehat{s}_2 = \widehat{s}_2 \circ \widehat{s}_1$  where  $\circ$  denotes the composition of unary operations. In fact,  $\{\varepsilon, \widehat{s}, \widehat{s}_1, \widehat{s}_2\}$  is a subgroup of  $\mathcal{S}_k$ .

Let  $\widetilde{M}$  be a subset of  $\mathcal{O}_k^{(1)}$  defined by

$$\widetilde{M} = \text{CONST} \cup \Sigma_{\triangleright} \cup \{\varepsilon, \widehat{s}, \widehat{s}_1, \widehat{s}_2\}.$$

Clearly,  $\widetilde{M} = M(m_b) \cup \{\widehat{s}_1, \widehat{s}_2\}$ . For  $k > 3$ , we have  $M(m_b) \subset \widetilde{M}$  since  $\widehat{s}_1, \widehat{s}_2 \notin M(m_b)$ . It should be noted, however, that in case of  $k = 3$  we have  $\widehat{s}_1 = \widehat{s}$  and  $\widehat{s}_2 = \varepsilon$  and, accordingly,  $\widetilde{M} = M(m_b)$ .

The next lemma is straightforward. (The second inclusion is proper because many permutations, for example, are not in  $\widetilde{M}$ .)

**Lemma 10** *Let  $k > 3$ .  $\widetilde{M}$  is a monoid and  $M(m_b) \subset \widetilde{M} \subset \mathcal{O}_k^{(1)}$ .*

An operation  $f \in \mathcal{O}_k^{(n)}$ ,  $n \geq 3$ , is a *semiprojection* if there exists  $1 \leq i \leq n$  such that  $f(a_1, \dots, a_n) = a_i$  whenever  $|\{a_1, \dots, a_n\}| < n$ .

Let  $\widetilde{p} \in \mathcal{O}_k^{(3)}$  be the semiprojection defined by  $\widetilde{p}(x, y, z) = x$  for  $(x, y, z) \in E_k^3 \setminus W$  and

$$\widetilde{p}(x, y, z) = \begin{cases} 1 & \text{if } x = 0, \\ 0 & \text{if } x = 1, \\ x & \text{if } x \notin \{0, 1\}. \end{cases}$$

for  $(x, y, z) \in W$ .

**Lemma 11** *The semiprojection  $\widetilde{p}$  commutes with all members of  $\widetilde{M}$ , i.e.,  $\widetilde{p} \perp \widetilde{M}$ . Hence,  $\widetilde{M} \subseteq M(\widetilde{p})$ .*

**Proof:** First,  $\widetilde{p} \perp \text{CONST}$  is trivial. To show  $\widetilde{p} \perp \Sigma_{\triangleright}$ , it is sufficient to observe that  $s(\{0, 1\}) = \alpha$  for any  $c \in E_k \setminus \{0, 1\}$  and  $s = s_{\triangleright}(c; \alpha, \beta)$ . Next, since  $\widehat{s}_1$  (resp.,  $\widehat{s}_2$ ) is a permutation,  $(x, y, z) \in W$  implies  $(\widehat{s}_1(x), \widehat{s}_1(y), \widehat{s}_1(z)) \in W$  (resp.,  $(\widehat{s}_2(x), \widehat{s}_2(y), \widehat{s}_2(z)) \in W$ ). Then it is easy to see that  $\widetilde{p} \perp \{\widehat{s}_1, \widehat{s}_2\}$ . Finally,  $\widetilde{p} \perp \widehat{s}$  is clear as  $\widehat{s} = \widehat{s}_1 \circ \widehat{s}_2$ .  $\square$

**Corollary 1** *Let  $k > 3$ .  $M(m_b)$  is not a maximal centralizing monoid.*

The proof follows from Lemmas 10 and 11. (Note that  $M(\widetilde{p}) \subset \mathcal{O}_k^{(1)}$  is assured by the existence of, for example,  $t \in \mathcal{O}_k^{(1)}$  which is defined by  $t(0) = 1$  and  $t(x) = 0$  if  $x > 0$ .)

**Remark 2** *One might argue that  $m_b$  is not a proper generalization of  $m_2$  and a proper one would yield a maximal centralizing monoid. We do not deny such possibility. However, we assert the properness of  $m_b$  since it is defined in such a natural way.*

A remaining question on  $m_b$  is whether it is a minimal operation. At the current stage we do not know the answer to it.

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