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NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

THE CHAIRMAN

May 2, 1984

The Honorable Sidney Yates
U.S. House of Representatives
Washington, D. C. 20515

Dear Mr. Yates:

I am writing in response to your request at our recent fiscal 1985 appropriation hearing for information indicating how the Endowment would distribute funds totaling \$130 million, \$140 million, and \$150 million in FY 1985.

I am enclosing two tables which show how we would distribute these additional funds:

- Table 1 shows how additional funds would be allocated on a division and account basis. (As I stated at the hearing and in my opening statement, this is the appropriation structure we request, whatever the final appropriation level.) This structure would provide the Endowment the necessary flexibility to respond to high quality applications within any of the programs of a given division.
- Table 2 shows how additional funds would be allocated at the individual program level; this table corresponds to Table B of our budget submission. This table includes, as you requested, a rough estimate of the number of grants that would be awarded in each program at each alternative funding level.

Please note that in the Division of General Programs under the Special Projects subdivision, we would set aside funds for Humanities Projects in Libraries at the three alternative funding levels. Although Libraries grants would be supported through Humanities Programs for Adults, the sums shown in the table indicate the amounts which would be earmarked to support high quality projects in Libraries for the general public. Please also note that funds are earmarked for our Bicentennial of the U.S. Constitution initiative at the additional levels.

As you will note, the distribution of Definite funds among the Endowment's various program divisions is for the most part equivalent to the proportions we requested in our budget submission for FY 1985. In our Indefinite funds accounts, the dollar amount for Treasury Funds and their percentage share of the total budget would increase at all levels; the dollar amount for Challenge Grants would also increase. At \$22 million, \$7.5 million would be awarded in new Challenge Grants. While the Challenge Grants program remains one of the Endowment's major program initiatives for FY 1985, however, any increase beyond the \$22 million would create extreme pressures on NEH funds in future years in the form of continuing commitments. It would also be more than could profitably be used by the field, in our judgment.


In closing, I must stress that in providing this information to you the Endowment is not seeking additional funds above the FY 1985 request to Congress of \$125.475 million. Our official budget request is sufficient to support all the high quality applications we anticipate. Also, because of time constraints we have not been able to discuss these alternative FY 1985 levels with the National Council on the Humanities. The figures shown on the enclosed tables represent my and my staff's best judgment at the moment of the important concerns and interests in the humanities nationwide and our most recent application and grant experiences. Should an appropriation be made above the requested level, some slight shifts in the distribution of funds among programs might be necessary.

Finally, I want to reiterate that the Endowment requests the appropriation structure provided on Table 1, that is, an allocation of funds by division. I make this request because experience proves that we need the flexibility such a structure provides to be able to respond to high quality projects in various categories within a division. The more detailed appropriation structure of recent years has been administratively inefficient and complicated, has made planning more difficult, and has necessitated reprogramming requests each time. Should we receive an appropriation structure by division, as we request, I am committed to making a good faith effort to use whatever funds are ultimately appropriated as shown on Table 2.

We would be happy to provide any further information you would like concerning the enclosed tables.

As is customary with appropriation-related material, I am sending of copy of this letter to Senator McClure.

Sincerely,



William J. Bennett
Chairman

Enclosures

TABLE 1

NATIONAL ENDOWMENT FOR THE HUMANITIES
 Alternate Budget Levels (\$000)
 FY 1985
 Estimates as of April, 1984

	FY 1985 Request	Est. No. of Grants	Alternate Budget Levels					
			Level 1 \$130 Million	Est. No. of Grants	Level 2 \$140 Million	Est. No. of Grants	Level 3 \$150 Million	Est. No. of Grants
EDUCATION PROGRAMS	\$16,085	173	\$ 16,800	181	\$ 18,500	199	\$ 20,100	217
FELLOWSHIPS AND SEMINARS	13,965	611	14,600	621	16,000	664	17,100	719
RESEARCH PROGRAMS	16,500	846	17,200	860	19,000	1,049	20,750	1,253
GENERAL PROGRAMS	16,700	302	17,400	314	19,300	379	21,200	418
STATE PROGRAMS	16,000	84	16,700	84	18,400	84	20,000	84
PLANNING AND ASSESSMENT STUDIES	750	20	800	21	800	21	850	23
CHALLENGE GRANTS	21,000	75	21,500	77	21,500	77	22,000	78
TREASURY FUNDS	11,000	--	11,460	--	12,830	--	14,200	--
ADMINISTRATIVE FUNDS	<u>13,475</u>	<u>--</u>	<u>13,540</u>	<u>--</u>	<u>13,670</u>	<u>--</u>	<u>13,800</u>	<u>--</u>
TOTAL	\$125,475	2,111	\$130,000	2,158	\$140,000	2,473	\$150,000	2,782

NATIONAL ENDOWMENT FOR THE HUMANITIES

Alternate Budget Levels (\$'000)

FY 1985

Estimates as of April, 1984

	FY 1985 Request	Est. No. of Grants	Alternate Budget Levels					
			Level 1 \$130 Million	Est. No. of Grants	Level 2 \$140 Million	Est. No. of Grants	Level 3 \$150 Million	Est. No. of Grants
EDUCATION PROGRAMS	\$16,085	173	\$16,800	181	\$18,500	199	\$20,100	217
Humanities Instruction in Elementary and Secondary Schools	6,435	72	6,735	75	7,400	83	8,000	91
Exemplary Projects and Humanities Programs for Nontraditional Learners	4,180	41	4,365	43	4,800	47	5,200	51
Central Disciplines in Undergraduate Education	5,470	60	5,700	63	6,300	69	6,900	76
FELLOWSHIPS AND SEMINARS	13,965	611	14,600	621	16,000	664	17,100	712
NEH Fellowships	5,750	250	5,750	250	5,750	250	5,750	250
Fellowships for Independent Study and Research	(2,875)	(125)	(2,875)	(125)	(2,875)	(125)	(2,875)	(125)
Fellowships for College Teachers	(2,875)	(125)	(2,875)	(125)	(2,875)	(125)	(2,875)	(125)
Summer Stipends	700	233	700	233	750	250	850	283
Summer Seminars	6,815	111	7,350	119	8,500	140	9,000	150
Summer Seminars for College Teachers	(3,315)	(51)	(3,850)	(59)	(4,000)	(62)	(4,000)	(62)
Summer Seminars for Secondary School Teachers	(3,500)	(60)	(3,500)	(60)	(4,000)	(68)	(4,000)	(68)
Undergraduate Fellowships	--	--	--	--	(500)	(10)	(1,000)	(20)
Fellowships at Centers for Advanced Study	700	17	800	19	1,000	24	1,500	36
RESEARCH PROGRAMS	16,500	846	17,200	860	19,000	1,049	20,750	1,253
Basic Research	6,250	611	6,600	616	6,985	775	7,500	945
Project Research	(2,600)	(40)	(2,950)	(45)	(3,135)	(48)	(3,350)	(52)
Intercultural Research	(2,300)	(8)	(2,300)	(8)	(2,300)	(8)	(2,300)	(8)
Humanities, Science, & Technology	(700)	(21)	(700)	(21)	(800)	(24)	(900)	(27)
Research Conferences	(400)	(42)	(400)	(42)	(425)	(45)	(550)	(58)
Travel to Collections	(250)	(500)	(250)	(500)	(325)	(650)	(400)	(800)
Reference Works	5,600	95	5,775	101	7,035	120	7,350	124
Tools	(2,575)	(33)	(2,600)	(34)	(3,325)	(43)	(3,500)	(45)
Editions	(2,175)	(34)	(2,175)	(34)	(2,660)	(42)	(2,750)	(43)
Translations	(850)	(28)	(1,000)	(33)	(1,050)	(35)	(1,100)	(36)
Resources	4,650	140	4,825	143	4,980	154	5,900	184
Access	(3,000)	(43)	(3,000)	(43)	(3,040)	(44)	(3,300)	(50)
U.S. Newspapers	(750)	(20)	(775)	(21)	(790)	(22)	(1,100)	(29)
Preservation	(450)	(5)	(600)	(7)	(650)	(8)	(700)	(9)
Publications	(450)	(72)	(450)	(72)	(500)	(80)	(600)	(96)

NATIONAL ENDOWMENT FOR THE HUMANITIES
 Alternate Budget Levels (\$'000) - (Cont'd.)

FY 1985

Estimates as of April, 1984

	FY 1985 Request	Est. No. of Grants	Alternate Budget Levels					
			Level 1 \$130 Million	Est. No. of Grants	Level 2 \$140 Million	Est. No. of Grants	Level 3 \$150 Million	Est. of Gra
GENERAL PROGRAMS	16,700	302	17,400	314	19,300	379	21,200	408
Humanities Projects in Media	7,710	57	7,750	58	8,000	59	8,000	59
Humanities Projects in Museums and Historical Organizations	5,250	102	5,500	107	6,000	117	6,700	131
Special Projects	3,740	143	4,150	149	5,300	203	6,500	219
Humanities Programs for Youth	(750)	(108)	(750)	(108)	(1,000)	(144)	(1,000)	(144)
Humanities Programs for Adults ^{1/}	(2,990)	(35)	(3,400)	(41)	(4,300)	(59)	(5,500)	(75)
Humanities Projects in Libraries	(2/)	(2/)	[1,275]	[13]	[1,600]	[20]	[2,100]	[26]
Bicentennial	(2/)	(2/)	[850]	[11]	[1,100]	[17]	[1,375]	[17]
STATE PROGRAMS	16,000	84	16,700	84	18,400	84	20,000	84
PLANNING AND ASSESSMENT STUDIES	750	20	800	21	800	21	850	23
CHALLENGE GRANTS	21,000	75	21,500	77	21,500	77	22,000	78
TREASURY FUNDS ^{3/}	11,000	--	11,460	--	12,830	--	14,200	--
ADMINISTRATIVE FUNDS	13,475	--	13,540	--	13,670	--	13,800	--
TOTAL	\$125,475	2,111	\$130,000	2,158	\$140,000	2,473	\$150,000	2,704

^{1/} Funds will be set aside in Humanities Programs for Adults, as indicated by brackets, for Bicentennial Projects and Humanities Projects in Libraries.

^{2/} Included in Humanities Programs for Adults in FY 1985.

^{3/} Treasury Funds are used to match projects in various Endowment programs.