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1 **Resistance Factors for Ductile FRP-Reinforced Concrete Flexural Members**

2
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4
5 **ABSTRACT**

6 To prevent damage caused by corroding reinforcement, fiber reinforced polymer (FRP)
7 reinforcing bars have been used in place of steel in a relatively small but increasing number of
8 structures in the civil infrastructure. A concern with the use of traditional FRP bars, however, is
9 the resulting lack of ductility. This problem has been overcome with the development of a new
10 generation of composite reinforcement, ductile hybrid FRP (DHFRP) bars. However, standards
11 that address the design of DHFRP bars are unavailable, and appropriate resistance factors for the
12 use of DHFRP reinforcement are unknown. In this study, a reliability analysis is conducted on
13 tension-controlled concrete flexural members reinforced with DHFRP, with the intent to estimate
14 potential strength reduction factors. Flexural members considered include a selection of
15 representative bridge decks and building beams designed to meet AASHTO LRFD and ACI-318
16 strength requirements and target reliability levels. Nominal moment capacity is calculated from
17 standard analytical models and is taken as first DHFRP material failure. Statistical parameters
18 for load and resistance random variables in the reliability model are consistent with previous
19 code calibration efforts. The resulting resistance factors ranged from 0.61 to 0.64 for tension-
20 controlled sections, which indicates a potential increase in allowed strength over flexural
21 members using non-ductile bars.

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22 **Introduction**

23 The damage caused by corroding reinforcement is a prevailing problem in the civil
24 infrastructure, where approximately 30% of bridges in the United States have significantly
25 deteriorated due to reinforcement corrosion, requiring over \$8 billion to repair (Won et al. 2007;
26 FHWA 2001). Different approaches have been employed to limit corrosion and the resulting
27 concrete damage, including increasing cover, utilizing epoxy-covered bars, changing mix
28 porosities and adding admixtures to prevent chloride penetration, and implementing active
29 cathodic protection systems. Although these methods have been shown to reduce corrosion in
30 some cases, the problem remains prevalent, particularly in colder climates where chloride-
31 containing deicing materials are used, as well as on concrete structures exposed to seawater
32 (FHWA 2001; Smith and Virmani 1996).

33 The use of fiber-reinforced polymer (FRP) composites represents an alternative approach,
34 where rather than attempt to protect the steel, it is partially or completely replaced with a non-
35 corrosive, FRP alternative. In recent years, various bridges in North America have successfully
36 used FRP reinforcement, and these structures can be found throughout the US from Michigan to
37 Texas (Eamon et al. 2012). Although FRP reinforcement has been commercially available for
38 more than a decade, FRP-reinforced structures represent a small portion of new and renovated
39 concrete bridges. The small use of FRP relative to steel can be explained by various factors,
40 including higher initial costs and designer unfamiliarity with the material and its design process,
41 although design guidelines for FRP-reinforced structural members can be found in the *AASHTO*
42 *LFRD Bridge Design Guide Specifications for GFRP-Reinforced Concrete Bridge Decks and*
43 *Traffic Railings* (AASHTO 2009) and the *ACI Guide for the Design and Construction of*
44 *Structural Concrete Reinforced with FRP Bars, ACI-440.1R* (ACI 2006). Other reasons for lack

45 of use include performance concerns such as low elastic modulus, potential degradation in
46 alkaline environments, and lack of reinforcement ductility. With appropriate selection of FRP
47 materials, however, many of these drawbacks can be reduced or eliminated (Cheung and Tsang
48 2010).

49 The high initial cost of FRP remains a concern, although long term benefits can be
50 significant. A recent life-cycle cost analysis of FRP-reinforced bridges revealed that, while FRP
51 bars may be on the order of 6-8 times more expensive than steel, and the resulting cost of a
52 typical bridge superstructure reinforced with FRP may range from 25-75% higher than its steel-
53 reinforced equivalent, reduced maintenance costs can be expected to result in a net cost savings
54 near 20 years of service life. Moreover, considering a 50 to 75 year time span, total life-cycle
55 costs for typical FRP-reinforced bridges were generally predicted to be one-half or less of their
56 steel-reinforced alternatives (Eamon et al. 2012).

57 Although the use of FRP reinforcement may have long-term economic advantages, the
58 brittle tensile failures associated with traditional FRP bars and the resulting relatively non-ductile
59 response of concrete flexural members reinforced with them is clearly undesirable from a safety
60 perspective. Fundamental advancements have been made by various researchers in the last two
61 decades in the area, however, and numerous FRP bar designs with ductility rivaling that of steel
62 have been achieved (Tamuzs and Tepfers 1995; Harris et al. 1998; Bakis et al. 2001; Belarbi et
63 al. 2001; Cheung and Tsang 2010; Won et al. 2007; Cui and Tao 2009; Wierschem and
64 Andrawes 2010). In each of these cases, ductility is achieved by use of the hybrid concept.
65 Here, the reinforcing bars are made of not one, but multiple fiber types, where each type of fiber
66 has a different ultimate failure strain. When the bar is overloaded in tension, the fibers with the
67 lowest ultimate strain (generally the most stiff) rupture first. As load is further increased, the

68 fibers with the next lowest ultimate strain rupture, and so on, until all materials in the bar fail.
69 This incremental failure reduces stiffness as the materials rupture, and if material properties and
70 their volume fractions are selected properly, the remaining fibers will maintain the applied load
71 until the desired level of ductility is reached. Figure 1 presents analytical stress-strain curves
72 generated for a set of ductile FRP bar designs. The general response shown in the figure closely
73 resembles the discontinuous stress-strain behavior experimentally determined by the various
74 researchers noted above. Experimental results have similarly shown that a significant ductile
75 response similar to, and sometimes exceeding that of, a steel-reinforced concrete flexural
76 member can be achieved with ductile FRP reinforcement (Harris et al. 1998; Cheung and Tsang
77 2010).

78 Ductile hybrid FRP (DHFRP) reinforced members have the potential to eliminate
79 corrosion damage, decrease structure life cycle costs, as well as provide significant ductility.
80 However, it is currently unclear what, if any, design advantage DHFRP reinforcement may have
81 over traditional FRP bars, as resistance factors (ϕ) for the use of these bars in flexural members
82 have not been developed. The importance of this concern can be illustrated by comparing steel-
83 reinforced concrete flexural member design strength requirements per *ACI 318-11* (2011) (or
84 *AASHTO LRFD* (2010)), to that required for FRP-reinforced sections, per *ACI 440.1R-06* (2006)
85 (or *AASHTO GRFP* (2009)).

86 For the steel-reinforced section, to provide a sufficiently ductile failure, *ACI 318* requires
87 that flexural members are under-reinforced to prevent a much less ductile failure caused by
88 concrete crushing. When such members are designed to an adequate level of ductility, as
89 specified by strain in the extreme layer of tension steel equaling a value of 0.005 or greater while
90 maximum compressive strain in the concrete is 0.003, the resistance factor is taken as 0.90.

91 To account for uncertainties affecting flexural capacity such the yield strength of steel
92 reinforcement, compressive strength of concrete, as well as geometric section properties, the
93 strength reduction factor was derived by considering random variable statistical parameters
94 specifically determined for steel-reinforced flexural members, in order to provide the target level
95 of safety (Szerszen and Nowak 2003).

96 In contrast, for a concrete flexural member reinforced with FRP, *ACI 440.1R* is
97 considered, where both under-reinforced as well as over-reinforced flexural failure modes are
98 permitted. For the former, moment capacity is governed by FRP rupture in tension, while for
99 the latter, capacity is governed by crushing of the concrete compressive block. The
100 corresponding resistance factor varies with failure mode, and is linearly interpolated between
101 0.55 (for tension-controlled sections) and 0.65 (compression-controlled), as a function of
102 reinforcement ratio. However, the resistance factor of 0.55 given for tension-controlled sections
103 is lower than that actually needed to provide the target reliability level with regard to flexural
104 capacity; it was lowered further to account for the lack of ductility associated with FRP bar
105 failure (Shield et al. 2011).

106 Using *ACI 440.1R* as a guide for the design of FRP-reinforced sections, the two allowed
107 failure modes (tension- and compression-controlled) theoretically apply to DHFRP as well. If
108 DHFRP bars are used in an over-reinforced design, the resistance factor is appropriately taken as
109 0.65, just as for the case of an over-reinforced beam with brittle FRP or steel, as the uncertainties
110 associated with the concrete, rather than the reinforcement, control the flexural failure and hence
111 reliability. However, it only makes sense to use DHFRP in an under-reinforced member, where
112 bar ductility could actually be utilized in the case of an overload. As *ACI 440.1R* does not
113 provide a resistance factor specifically for DHFRP-reinforced sections, and the existing tension-

114 controlled resistance factor of 0.55 was set assuming brittle bar behavior, the design strength of a
115 DHFRP-reinforced section may be unnecessarily penalized. It would be similarly inappropriate
116 to adopt the resistance factor of 0.9 found in *ACI 318* for steel-reinforced, tension-controlled
117 members, as that factor was derived based on random variable statistical parameters only
118 applicable to steel reinforcement, such as uncertainties in yield strength and bar size.

119 Given this concern, the objective of this study is to determine potential resistance factors
120 for tension-controlled, DHFRP-reinforced concrete flexural members. The results may be used
121 to estimate what design advantage, in terms of potential increase in resistance factor, might be
122 obtained by using DHFRP in the place of non-ductile FRP bars. Appropriate resistance factors
123 can be determined with a reliability-based calibration process, where resistance factors are
124 determined such that tension-controlled, DHFRP-reinforced flexural members meet the existing
125 target reliability level established for ductile (i.e. steel-reinforced) flexural members, as designed
126 according to *ACI 318* or *AASHTO LRFD*. In this process, appropriate constraints on section
127 behavior are imposed such that a sufficient level of ductility is maintained

128 Reliability analysis as well as reliability-based calibration has been conducted for non-
129 ductile FRP bars in reinforced concrete members (Shield et al. 2011; Ribeiro and Diniz 2012),
130 and a significant body of work exists examining the reliability of externally-bonded, non-ductile
131 FRP used to strengthen reinforced concrete beams (Plevris et al. 1995; Okeil et al. 2002; Monti
132 and Santini 2002; Zureick et al. 2006; Atadero and Karbhari 2008; Wang et al. 2010; Wieghaus
133 and Atadero 2011; Ceci et al. 2012).

134 The typical process used for reliability-based calibration is well established. This
135 involves selecting representative designs for consideration; establishing a probabilistic model by
136 identifying the limit state function, the relevant random variables, and their statistical parameters;

137 selecting a target reliability index; evaluating the reliability of the cases considered; and
138 adjusting resistance factors such that the target level is met, which is often an iterative process.
139 Each of these tasks is described below.

140

141 **DHFRP bars considered**

142 Numerous DHFRP schemes have been developed, with regard to constituent material properties,
143 number of materials, and construction technique. A conceptual diagram of a DHFRP bar with
144 four materials is given in Figure 2, where the materials, each composed of a different fiber type,
145 are separated into concentric layers.

146 Although many material combinations are feasible for strength, once other practical
147 design constraints are imposed on bar performance, particularly to ensure adequate ductility, the
148 range of possible arrangements decreases considerably. In this study, five generic bar layouts
149 were considered, for a representative range of configurations that can achieve the required
150 performance results in terms of strength and ductility. Here, 2, 3, and 4-material continuous
151 fiber bars are considered (designated *B1*, *B2*, and *B3*, respectively), the most prevalent type, as
152 well as less common alternative schemes including a bar of 2 continuous and 2 randomly-
153 dispersed, chopped-fiber materials (*B4*), as well as a 4-material bar with 1 continuous layer, 2
154 chopped fiber layers, and a small steel core (8mm diameter), as proposed by Cheung and Tsang
155 (2010) (*B5*).

156 Material volume fractions for each bar are given in Table 1, while pertinent material
157 properties, Young's modulus (E) and ultimate strain (ϵ_u), are given in Table 2. For schemes *B4*
158 and *B5*, bars that include randomly dispersed chopped fiber layers, fiber length is taken as 6 mm,
159 with 65% fiber and 35% resin in the layer. Although other DHFRP bar configurations are

160 possible, the generic configurations considered in this study are meant to represent a selection of
 161 reasonable possibilities guided by suggestions in the literature, and adjusted to minimize bar
 162 costs. In general, once bar material types and number of layers were chosen, the required
 163 volume fractions were then determined in order to meet both strength and ductility requirements,
 164 as described below.

165

166 **Deterministic Analysis**

167 For bars composed of fiber and resin only (i.e. no steel), as with *B1-B4*, DHFRP-
 168 reinforced concrete flexural member moment capacity can be determined as:

$$169 \quad M_c = \left[d - K_2 \frac{\varepsilon_{f_1}}{K_1 \cdot f'_c \cdot b} \left(\sum_{i=1}^n v_{f_i} E_{f_i} + v_m E_m \right) \left(\sum_{i=1}^n v_{f_i} + v_m \right) A_T \right] \cdot$$

$$170 \quad \left[\varepsilon_{f_1} \left(\sum_{i=1}^n v_{f_i} E_{f_i} + v_{f_m} E_{f_m} \right) \left(\sum_{i=1}^n v_{f_i} + v_m \right) A_T \right] \quad (1)$$

171 Equation (1) provides the moment capacity at the point where the first FRP material in the bar
 172 fails, as governed by the lowest ultimate fiber strain. As shown in Tables 1 and 2, for the
 173 schemes considered, this first material failure is IMCF-II for *B1-B3* and IMCF-I for *B4* and *B5*.
 174 For design as well as capacity analysis, M_c is taken as the nominal moment capacity M_n . The
 175 contribution to flexural capacity from the concrete tensile strength is ignored in the expression,
 176 which is insignificant for the schemes considered.

177 In eq. (1), the first term in square brackets is the distance between the tensile
 178 reinforcement centroid and that of the concrete compressive block. The second term in square
 179 brackets represents the tensile force in the reinforcement at first material failure. In both terms,

$$180 \quad \sum_{i=1}^n v_{f_i} E_{f_i} = v_{f_1} E_{f_1} + v_{f_2} E_{f_2} + \dots + v_{f_n} E_{f_n}, \text{ where } n \text{ is the number of material layers; } v_{f_i} \text{ and } E_{f_i}$$

181 are the volume fraction and Young's modulus of fiber in layer i , respectively; f'_c is the concrete
 182 compressive strength; E_m and v_m are the Young's modulus and volume fraction of the resin; ε_{f_i}
 183 is the failure strain of the first fiber layer to fail; K_1 and K_2 define the shape of the concrete
 184 compression block in Hognestad's parabolic stress-strain model (Hognestad 1952), where K_1 is
 185 the ratio of average concrete stress to maximum stress in the block and K_2 defines the location of
 186 the compressive block centroid; A_T is the total tensile reinforcement area; d is the distance from
 187 the centroid of tension reinforcement to the extreme compression fiber in the beam; and b is the
 188 width of the concrete compression block. For scheme *B5*, with a steel core, the resistance
 189 moment can be similarly developed as:

$$\begin{aligned}
 190 \quad M_c &= \left[d - K_2 \frac{\left(\sum_{i=1}^n v_{f_i} + v_s + v_m \right) A_T}{K_1 \cdot f'_c \cdot b} \left\{ \left(\sum_{i=1}^n v_{f_i} E_{f_i} + v_m E_m \right) \varepsilon_{f_i} + v_s \cdot f_y \right\} \right] \cdot \\
 191 \quad & K_1 \cdot f'_c \cdot b \left[\frac{\left(\sum_{i=1}^n v_{f_i} + v_s + v_m \right) A_T}{K_1 \cdot f'_c \cdot b} \left\{ \left(\sum_{i=1}^n v_{f_i} E_{f_i} + v_m E_m \right) \varepsilon_{f_i} + v_s \cdot f_y \right\} \right] \quad (2)
 \end{aligned}$$

192 where the second square bracketed term represents the compressive force in the concrete at first
 193 material failure; v_s is the volume fraction of steel; and f_y is the yield stress of steel. For
 194 schemes where chopped fiber layers are used (*B4*, *B5*), the effective modulus of the fibers, $E_{\bar{f}_i}$,
 195 must be reduced to account for non-continuity. This can be calculated as $E_{\bar{f}_i} = \eta_{LE} \cdot \eta_{OE} \cdot E_f \cdot v_f$,
 196 where η_{LE} accounts for reductions due to fiber length and η_{OE} accounts for fibers that are
 197 misaligned with the direction of load (i.e. fibers not oriented parallel to the bar). Various fiber
 198 properties affect η_{LE} , including fiber length and diameter, mean distance between fibers, packing

199 geometry, fiber modulus, and resin shear modulus (Cox 1952). The chopped fibers considered
 200 have a resulting η_{LE} from 0.98-0.99. η_{OE} is a function of the distribution of fiber orientation,
 201 and can be shown to be $\frac{3}{8}$ for randomly dispersed, in-plane fibers (Krenchel 1964). Note that it
 202 is assumed that the bars are sand-coated or ribbed for adequate bond (Bank 2006). Using
 203 Whitney's stress block to determine ultimate capacity would result in no significant difference
 204 from that found with the nonlinear Hognestad model considered. However, the Hognestad
 205 model was used as it allows evaluation of section moment-curvature behavior at load levels
 206 below ultimate, which is needed to evaluate section ductility.

207 In this study, ductility index μ_ϕ is evaluated from the moment-curvature response with
 208 (Naaman and Jeong, 1995):

$$209 \quad \mu_\phi = \frac{\phi_u}{\phi_y} = \frac{1}{2} \left(\frac{E_{total}}{E_{elastic}} + 1 \right) \quad (3)$$

210 where ϕ_u is ultimate curvature and ϕ_y is yield curvature (i.e. curvature at first DHFRP
 211 material failure), while E_{total} is computed as the area under the load displacement or moment-
 212 curvature diagram and $E_{elastic}$ is the area corresponding to the elastic deformation.

213 In this study, a minimum ductility index of 3.0 is specified for flexural member
 214 performance, which represents a lower limit similar to that of corresponding steel-reinforced
 215 sections (Maghsoudi and Bengar 2010; Shin et al. 2010). As noted earlier, the source of DHFRP
 216 bar ductility results from non-simultaneous material failures, such that after a material fails, the
 217 remaining materials have the capacity to carry the tension force until the final material fails, to
 218 produce the desired ductility level. Correspondingly, before the desired level of ductility is
 219 reached, each bar material must fail before the concrete crushes in compression, which is

220 assumed to occur at an ultimate strain of $\varepsilon_{cu} = 0.003$. Enforcing these constraints results in bar
221 performance such that subsequent peaks on the stress-strain diagram do not decrease as bar strain
222 increases (Figure 1), as well as DHFRP-reinforced concrete sections that have reinforcement
223 strain ε_t significantly higher (approximately $0.02 < \varepsilon_t < 0.04$) when the concrete compressive
224 block crushes than that required for tension controlled, steel-reinforced sections ($\varepsilon_t \geq 0.005$)
225 according to *ACI 318*.

226 To evaluate the ductility of a DHFRP-reinforced section, the load deflection or moment-
227 curvature function is needed; in this study, moment-curvature is considered. Before the section
228 cracks, moment capacity is calculated based on elastic section properties with $M_{cr} = \frac{f_r I_g}{y_t}$,
229 where f_r is the modulus of rupture of the concrete, I_g is the uncracked section moment of inertia,
230 and y_t is the distance from the centroid of the section to the extreme tension fiber. The concrete
231 stress-strain behavior for cracked sections is developed based on the modified Hognestad model
232 [33], and the corresponding resisting moment is then determined from: $M = C_c(d - K_2 c)$, where
233 C_c is the compressive force in the concrete; d is the distance from the top of the concrete
234 compression block to the reinforcement centroid; and c is the distance from the top of the
235 concrete compression block to the section neutral axis. Curvature ϕ_c is then calculated from
236 $\phi_c = \frac{\varepsilon_c}{c}$, where ε_c is the concrete strain at the top of the compression block. For development of
237 the moment-curvature diagram, it is assumed that once the failure strain of a particular bar layer
238 is reached, the entire layer throughout the bar length immediately loses all force carrying
239 capability. This conservative assumption results in non-smooth moment-curvature diagrams as
240 shown in Figure 3. At peak moments on the diagrams, two different capacity values are
241 theoretically associated with the same curvature. This occurs because once the stiffest existing

242 material in the bar fails, the stiffness of the cracked section decreases and less moment is
243 required to deform the beam the same amount. Experimental results of DHFRP-reinforced
244 beams have developed somewhat smoother curves, closer to that found by drawing a line
245 between the moment peaks and excluding the capacity drops as shown in Figure 3 (Cheung and
246 Tsang 2010; Harris et al. 1998). However, including the theoretically low-capacity points
247 produces conservative ductility indices, and thus this method is used to enforce the ductility
248 constraint imposed in the analysis.

249

250 **Flexural Members Considered**

251 Resistance factors for flexural components in two typical reinforced concrete member
252 applications are considered; a bridge deck and a building floor beam. For the bridge deck, three
253 continuous slab geometries with $f_c' = 31$ MPa (4500 psi) spanning over girder spacings of 1.8,
254 2.7, and 3 m (6, 9, and 10 ft), were considered, as shown in Figure 4. Corresponding slab
255 thicknesses were from 200-250 mm (8-10 in), with a 13 mm (0.5 in) wearing surface and
256 allowance for a 65 mm (2.5 in) future wearing surface. The DHFRP bars were placed in the top
257 and bottom of the slab, with diameters of 22 mm (7/8 in) for bars *B1-B4* and 19 mm (3/4 in) for
258 bar *B5*. Although *AASHTO GFRP* (2009) allows a minimum of 19 mm (3/4 in) cover for a slab
259 reinforced with composite bars, cover was taken as 25 mm (1 in), as used in two FRP-reinforced
260 bridge decks built in Wisconsin (Berg et al., 2006; Bank et al., 2006). The deck is designed as
261 tension-controlled for positive and negative moments using the equivalent strip method
262 according to the *AASHTO LRFD Specifications* (2010), where the governing design equation is:
263 $\phi M_n = \gamma_{DC} M_{DC} + \gamma_{DW} M_{DW} + 1.75 M_{LL+IM}$. Here, M_{DC} and M_{DW} are moments caused by the
264 weight of the slab and wearing surface, respectively; γ_{DC} and γ_{DW} are load factors that may vary

265 from 1.25 to 0.9, and 1.5 to 0.65, respectively, to maximize load effect; and M_{LL+IM} is the live
266 load moment caused by two 72 kN (16 kip) truck wheel loads on the slab, in addition to a design
267 impact factor of 1.33. The selection of resistance factor ϕ used for design is discussed in the next
268 section. An environmental factor to account for material degradation is taken as $C_E = 0.9$, as
269 recommended in *ACI 440.1R* for carbon FRP bars, as the outer material of the DHFRP bars
270 considered is carbon. The reinforcement ratios for the slabs varied from 0.003-0.009, with the
271 highest values associated with the greatest girder spacings as well as the bars with chopped fiber
272 layers (*B4*, *B5*), as expected. Slab ductility indices varied from approximately 3-4 when
273 reinforced with bars *B1*, *B2*, and *B5*, and from 5-6 when reinforced with bars *B3* and *B4*. The
274 upper range of these ductility indices are higher than equivalent slabs reinforced with steel,
275 which is a result of the large post ‘yield’ (i.e. after first material failure) deformations of
276 DHFRP-reinforced slabs, which are typically greater than those of corresponding steel-
277 reinforced sections.

278 For the building beam, three span lengths of 6, 7.6, and 9.1 m (20, 25, and 30 ft), were
279 considered, with $f_c' = 38$ MPa (5500 psi). A rectangular, simple-span beam was considered for
280 analysis; T-beams and continuous members were found to have no significant effect on
281 reliability results. The beam width was approximately 405 mm (16 in) for the 6 m span and 510
282 mm (20 in) for the longer spans. Beam height was selected to satisfy the minimum
283 recommendation given in *ACI-440.1R* for non-prestressed FRP-reinforced beams (1/10 of span
284 length for simply supported beams). The beam is designed to satisfy the same strength
285 requirements for tension-controlled members reinforced with steel bars. The relevant flexural
286 design equation is $\phi M_n = 1.2M_{DL} + 1.6M_{LL}$, where M_{DL} and M_{LL} are the dead and live load
287 moments, respectively. The beam was loaded with a dead load to total load ($D/(D+L)$) ratio of

288 approximately 0.5. Decreasing this ratio did not change results, while increasing this ratio
289 beyond 0.5 generally resulted in slight decreases in reliability, as similar to the results found by
290 Szerszen and Nowak (2003) for steel-reinforced beams. Resulting DHFRP reinforcement ratios
291 were from 0.004-0.005, with the same bar diameters used as for the bridge deck. Ductility
292 indices ranged from approximately 3-3.4 for beams reinforced with bars *B1* and *B2*, and from
293 approximately 5-6 when reinforced with bars *B3*, *B4*, and *B5*.

294

295 **Reliability Analysis**

296 Resistance random variables (RVs) pertinent for moment capacity analysis of DHFRP-
297 reinforced concrete members include those that account for variations in material and resin
298 properties such as volume fractions (v), moduli of elasticity (E), and failure strains (ε_{f1});
299 concrete compressive strength (f_c'); reinforcement depth (d); and the professional factor (P),
300 which is the ratio of actual section capacity to the capacity predicted by analysis. Additional
301 RVs specific to select cases include beam width (b) for building beams, and steel core yield
302 strength (σ_y) for cases that consider bar *B5*. RV statistical parameters relevant to this study are
303 coefficient of variation, V , bias factor λ (ratio of mean to nominal value), and distribution type,
304 and are given in Table 3. To maintain consistency with previous code calibration efforts, load
305 and resistance RVs for building beam cases are taken from Nowak and Szerszen (2003), as used
306 to calibrate the *ACI 318* Code; bridge deck RVs are taken from Nowak (1999), as used for the
307 *AASHTO LRFD* Code calibration; and FRP RV data are taken from Shield et al. (2011), as used
308 for the *ACI 440.1R* calibration, as well as from Eamon and Rais-Rohani (2008). For the bridge
309 slab, load RVs include dead load of the slab (DS), wearing surface (DW), and parapets (DP), and

310 truck wheel live load (*LL*); while for the building beam, load RVs are dead load (*DL*) and
311 transient live load (50-year maximum). These values are shown in Table 4.

312 For reliability analysis, the relevant limit state is: $g = M - M_a$. Failure is defined when
313 flexural member moment capacity M exceeds maximum applied moment on the flexural member
314 M_a (i.e. when $g \leq 0$). M is defined as $M_c P$, where M_c is the moment capacity of the section, as
315 given by eqs. 1 or 2, as appropriate for the DHFRP bar type used, and corresponds to the first
316 peak of the moment-curvature diagram in Figure 3. M is a function of the resistance RVs given
317 in Table 3, while P is the professional factor given in Table 3. M_a is the applied moment effect,
318 as a function of the dead and live load RVs given in Table 4. Note for consistency with existing
319 code calibration efforts, the reliability analysis in this study is similarly based on strength,
320 although serviceability limits typically govern design. Probability of failure p_f of the limit state
321 for each case considered was calculated with Monte Carlo simulation, then transformed to
322 reliability index β with $\beta = -\Phi^{-1}(p_f)$. As the MCS procedure progressed, the number of
323 simulations was increased until β converged, which occurred close to 2×10^6 simulations for most
324 cases.

325 For each flexural member considered, a resistance factor ϕ is determined for design that
326 is required for the reliability index of the member to meet the minimum target of 3.5, as used for
327 the *AASHTO LRFD* as well as *ACI-318* Code calibrations (Nowak 1999; Szerszen and Nowak
328 2003). It was found that designs with DHFRP bars using the resistance factor of 0.55 specified
329 by *ACI 440.1R* for tension controlled sections resulted in reliability indices near 3.9, an
330 overdesign from the target of 3.5 for ductile sections. This finding is close to that found for
331 tension-controlled designs considering non-ductile FRP, for which Shield et al. (2011) estimated

332 reliability indices from 3.5 to 4.8 when using $\phi = 0.55$. For *ACI 440.1R*, however, ϕ was not
333 increased due to the non-ductile failures anticipated with traditional FRP.

334

335 **Results**

336 The results are presented in Tables 5 and 6, which show the final reliability indices and
337 corresponding resistance factors needed. For both the bridge deck as well as the building beam,
338 to achieve the target reliability index of 3.5, it appears that ϕ can be increased to approximately
339 0.61-0.64, above the current value of 0.55 specified for non-ductile FRP bars. For comparison,
340 the calculations were repeated to determine resistance factors needed to obtain higher reliability
341 indices of 3.75 and 4.0. Similar results were found to those in Tables 5 and 6, but with a typical
342 ϕ of 0.58 (with a range of 0.57-0.60 for all cases) required for a reliability index of 3.75, and a ϕ
343 of 0.52 (with a range of 0.51-0.53 for all cases) required for a reliability index of 4.0.

344 Although the resistance factor range of 0.61-0.64 is above that specified for non-ductile
345 bars, it is still much below the 0.90 for tension controlled, steel-reinforced members needed to
346 produce the same target reliability index of 3.5. Clearly then, if designed with the same
347 reduction factor, a steel-reinforced member would be significantly more safe than one using
348 FRP. It should be note that this discrepancy in safety level is not related to ductility, and is
349 observed only from consideration of moment capacity. It is a direct result of the different levels
350 of uncertainty inherent in steel as opposed to DHFRP (or FRP) reinforcement, as described by
351 the different statistical parameters of critical reinforcement random variables.

352 Here there are three important differences in variability: reinforcement geometry,
353 reinforcement stiffness, and analytical prediction of moment capacity. With regard to
354 reinforcement geometry, the variation in cross-sectional area of steel reinforcement is negligible,

355 and is generally taken as deterministic in reliability analysis (Nowak and Szerszen 2003).
356 However, for DHFRP bars, variation in material volume fractions has a significant effect on
357 reliability. Similarly, as variations in reinforcement elastic modulus do not affect the capacity
358 calculation of steel-reinforced sections, these uncertainties do affect DHFRP-reinforced section
359 moment capacity, as per eqs. 1 and 2. Finally, the professional factor P considered for FRP
360 reinforced sections ($\bar{P} = 0.89$, $V_P = 0.16$) not only has a lower mean value but much greater
361 variability than P used for steel-reinforced members ($\bar{P} = 1.02$, $V_P = 0.06$) (Shield et al. 2011;
362 Nowak and Szerszen 2003). Each of these changes in RV statistical parameters serves to lower
363 the reliability of DHFRP-reinforced sections.

364

365 **Conclusions**

366 Resistance factors needed for tension controlled DHFRP-reinforced flexural members to
367 meet *ACI 318* and *AAASTHO LFRD* target reliability levels were estimated with a reliability
368 analysis and calibration process. Using the models considered, it was found that the resistance
369 factor has the potential to be increased above the currently specified value of 0.55 for tension
370 controlled members reinforced with non-ductile FRP bars. Recall that the range of resistance
371 factors specified by *ACI 440.1R* for non-ductile FRP bars (0.55-0.65) varies due to the expected
372 failure mode, where compression controlled failures correspond to $\phi=0.65$ and tension-
373 controlled FRP-reinforced beams are given $\phi=0.55$. The use of DHFRP bars, however,
374 practically only concerns tension-controlled failures. That is, it is appealing to use DHFRP bars
375 only in tension-controlled members, where bar ductility could be taken advantage of in a failure.
376 In this case, rather than specifying a resistance factor of 0.55, the findings of this study suggest
377 that ϕ might be reasonably increased to a value between 0.61-0.64. This is not a large increase,

378 but is a significant difference, particularly given the relatively high cost of FRP. If DHFRP bars
379 are used in compression-controlled sections, the properties of the DHFRP bars do not
380 significantly affect the reliability analysis, and it can be demonstrated that ϕ should
381 appropriately remain unaltered at 0.65, as specified for all concrete-controlled failures. Thus,
382 using DHFRP bars, a linear interpolation might be made between 0.65 for compression
383 controlled failures to a value between (0.61-0.64) for tension-controlled failures, based on
384 reinforcement ratio, rather than from 0.65 to 0.55 as for non-ductile bars. The specific choice of
385 an appropriate ϕ value for a tension-controlled condition (i.e. perhaps between 0.61-0.64) is an
386 issue in need of further study, as this directly effects the target reliability index. In this study, the
387 target index of 3.5 for steel-reinforced beams was considered as a baseline for comparison due to
388 the ability of DHFRP-reinforced sections to meet ductility indices and tensile strains similar to
389 steel-reinforced beams at section ultimate capacity. However, it can be argued that due to other
390 performance differences between DHFRP and steel (such as, the inability of the DHFRP-
391 reinforced section to behave in a ductile manner for more than a single overload, which is clearly
392 disadvantageous for cyclic forces), a different target level may be deemed appropriate. Raising
393 this target level would provide the need for a lower range of resistance factors.

394 Although strength and ductility requirements can be addressed, an additional
395 consideration with the use of DHFRP, as well as non-ductile FRP bars, is cracked section
396 stiffness for cost-effective bar configurations. As the effective elastic modulus of DHFRP
397 reinforcement is lower than that of steel, deeper sections as well as higher concrete strengths are
398 generally required to simultaneously meet strength, ductility, as well as deflection constraints.
399 For the girder spacings considered, this required minimum bridge deck thicknesses from 200-

400 250 mm (8-10 in). For building beams, it is suggested that *ACI 440.1R* recommendations are
401 used to establish minimum depths.

402 The results of this study suggest that an increase in resistance factor for tension
403 controlled, DHFRP-reinforced flexural members may be warranted. However, for better
404 statistical quantification, additional experimental research data is desirable for DHFRP-specific
405 random variables, including fabrication and manufacturing variations as well as professional
406 factor. A larger database of experimental results would allow greater refinement of the results
407 found in this study.

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543 **Table 1. Material Volume Fractions in Considered DHFRP Bars**

Bar Number:	<i>B1</i>	<i>B2</i>	<i>B3</i>	<i>B4</i>	<i>B5</i>
No. of Layers:	2	3	4	4	4
IMCF-I*	-	-	-	0.189	0.147
IMCF- II	0.29	0.20	0.20	-	-
SMCF-I	-	0.06	0.07	-	-
SMCF-II*	-	-	-	0.0875	0.063
AKF-I	-	-	-	0.04	0.10
AKF-II	0.29	0.25	0.10	-	-
EGF	-	-	0.17	0.06	-
Steel	-	-	-	-	0.20
Resin	0.42	0.49	0.64	0.6235	0.49

544 *Chopped fiber layers

545 **Table 2. DHFRP Bar Material Properties**

Label	Material	E GPa (ksi)	ϵ_u
IMCF-I	IM-Carbon Fiber Type I	650 (94000)	0.0045
IMCF-II	IM-Carbon Fiber Type II	400 (58000)	0.0050
SMCF-I	SM-Carbon Fiber Type I	238 (34500)	0.0150
SMCF-II	SM-Carbon Fiber Type II	230 (33400)	0.0150
AKF-I	Aramid Kevlar-49 Fiber Type I	125 (18000)	0.0250
AKF-II	Aramid Kevlar-49 Fiber Type II	102 (15000)	0.0250
EGF	E-Glass fiber	74 (11000)	0.0440
Steel	Steel, Grade 60	200 (29000)	0.0021*
Resin	Epoxy	3.5 (540)	0.0600

546 *Yield strain is given for steel, not ultimate.

547 **Table 3. Resistance Random Variables**

RV*	Description	V	λ
$v_{IM-Carbon}$	Volume fraction of IM-Carbon	0.05	1.00
$v_{SM-Carbon}$	Volume fraction of SM-Carbon	0.05	1.00
$v_{Kevlar-49}$	Volume fraction of Kevlar-49	0.05	1.00
$v_{E-Glass}$	Volume fraction of E-Glass	0.05	1.00
v_{Steel}	Volume fraction of steel	0.05	1.00
v_{resin}	Volume fraction of resin	0.05	1.00
$E_{IM-Carbon}$	Modulus of elasticity of IM-Carbon	0.08	1.04
$E_{SM-Carbon}$	Modulus of elasticity of SM-Carbon	0.08	1.04
$E_{Kevlar-49}$	Modulus of elasticity of Kevlar-49	0.08	1.04
$E_{E-glass}$	Modulus of elasticity of E-glass	0.08	1.04
E_{resin}	Modulus of elasticity of resin	0.08	1.04
σ_y	Yield strength of steel	0.06	1.14
ϵ_{f_1}	Failure Strain of IM-Carbon	0.05	1.20
f'_c	Compressive strength of concrete	0.10	1.14
d	Depth of reinforcement	0.04	0.99
b	Building beam width	0.04	1.01
P	Professional factor	0.16	0.89

548 *All distributions are normal except steel yield strength, which is lognormal.

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551 **Table 4. Load Random Variables**

RV*	Description	V	λ
Bridge Slab			
<i>DS</i>	Dead load, slab	0.10	1.05
<i>DW</i>	Dead load, wearing surface	0.25	1.00
<i>DP</i>	Dead load, parapet	0.10	1.05
<i>LL</i>	Truck wheel load	0.18	1.20
Building Beam			
<i>DL</i>	Dead load	0.10	1.00
<i>LL</i>	Live load	0.18	1.00

552 *All RVs are normal except building live load, which is extreme type I.

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560 **Table 5. Resistance Factors for Bridge Deck**

Beam Spacing (m)	<i>B1</i>		<i>B2</i>		<i>B3</i>		<i>B4</i>		<i>B5</i>	
+/- Moment	β	ϕ	β	ϕ	β	ϕ	β	ϕ	β	ϕ
1.8 (+M)	3.50	0.64	3.51	0.63	3.51	0.63	3.52	0.63	3.50	0.63
1.8 (- M)	3.50	0.63	3.50	0.61	3.50	0.61	3.51	0.64	3.50	0.61
2.7 (+M)	3.50	0.64	3.51	0.63	3.50	0.63	3.52	0.62	3.50	0.61
2.7 (- M)	3.51	0.63	3.50	0.63	3.50	0.61	3.50	0.61	3.51	0.62
3.0 (+M)	3.52	0.63	3.50	0.62	3.50	0.62	3.51	0.61	3.50	0.63
3.0 (- M)	3.50	0.63	3.52	0.63	3.50	0.62	3.52	0.64	3.51	0.62

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565 **Table 6. Resistance Factors for Building Beam**

span (m)	<i>B1</i>		<i>B2</i>		<i>B3</i>		<i>B4</i>		<i>B5</i>	
	β	ϕ	β	ϕ	β	ϕ	β	ϕ	β	ϕ
6.0	3.50	0.60	3.50	0.61	3.50	0.61	3.50	0.62	3.52	0.62
7.6	3.50	0.62	3.50	0.63	3.52	0.63	3.51	0.63	3.50	0.65
9.1	3.51	0.64	3.50	0.63	3.50	0.64	3.50	0.63	3.52	0.63

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