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Determinants of implementation of primary preventive interventions on patient handling in healthcare: a systematic review

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ABSTRACT

Objective: This systematic review aims (1) to identify barriers and facilitators during implementation of primary preventive interventions on patient handling in healthcare, and (2) to assess their influence on the effectiveness of these interventions.

Methods: PubMed and Web of Science were searched from January 1988 to July 2007. Study inclusion criteria included evaluation of a primary preventive intervention on patient handling, quantitative assessment of the effect of the intervention on physical load or musculoskeletal disorders or sick leave, and information on barriers or facilitators in the implementation of the intervention. 19 studies were included, comprising engineering (n = 10), personal (n = 6) and multiple interventions (n = 3). Barriers and facilitators were classified into individual and environmental categories of factors that hampered or enhanced the appropriate implementation of the intervention.

Results: 16 individual and 45 environmental barriers and facilitators were identified. The most important environmental categories were "convenience and easy accessibility" (56%), "supportive management climate" (18%) and "patient-related factors" (11%). An important individual category was motivation (63%). None of the studies quantified their impact on effectiveness nor on compliance and adherence to the intervention.

Conclusion: Various factors may influence the appropriate implementation of primary preventive interventions, but their impact on the effectiveness of the interventions was not evaluated. Since barriers in implementation are often acknowledged as the cause of the ineffectiveness of patient handling devices, there is a clear need to quantify the influence of these barriers on the effectiveness of primary preventive interventions in healthcare.

Among healthcare staff the prevalence of musculoskeletal disorders (MSDs) is higher than in most other occupations.¹ Patient handling activities are a major cause of MSDs among nursing personnel.² The high occurrence of MSDs has important consequences due to substantial health care utilisation, sickness absence and permanent disability.³ A wide range of primary preventive interventions have been developed in the past to reduce physical load related to patient handling and therefore decrease the occurrence of MSDs. Conflicting results have been found for engineering interventions such as lifting devices.^{4,5} There is strong evidence that personal interventions alone, such as training on preferred patient handling techniques, are not effective.^{6,7} Either these techniques did not reduce the risk of back injury or the training did

not lead to adequate change in lifting and handling techniques.⁷ Administrative interventions, targeting work practices and policies, are often an integral part of a more comprehensive intervention. There is moderate evidence for the effectiveness of multidimensional interventions, which are being applied more often recently.^{4,6} Nelson and Baptiste described several barriers in the implementation of patient handling devices, such as patient aversion, difficulty in use, time constraints, and insufficient numbers of available lifting devices.⁵ Dawson *et al* reported poor compliance as a possible cause of the ineffectiveness of the implementation of a personal intervention in home care.⁴ The actual influence of such barriers on the effectiveness of interventions is, however, seldom taken into account.

The results of interventions will depend not only on the effectiveness of the intervention itself but also on appropriate implementation in the actual work situation.⁸ Groel and Grimshaw have emphasised the importance of the different steps which need to be taken in the implementation stage of an intervention.⁹ An important procedure in the implementation process is the identification of obstacles to change work practices, which may arise at the level of the individual as well as in the wider environment.⁹ Individual factors refer to variables within the person, such as motivation, attitude and a person's belief in his or her ability to use the intervention.¹⁰ Environmental factors refer to the social and physical context in which a person needs to function.¹¹⁻¹⁵ Although several barriers to effective implementation of patient handling devices have been identified in intervention studies, there is little insight into their impact on the effectiveness of these interventions.^{8, 14, 15}

Therefore, the aims of this systematic review are (1) to identify barriers and facilitators during the implementation of primary preventive interventions aimed at patient handling in healthcare, and (2) to assess the influence of these barriers and facilitators on the effectiveness of these interventions.

METHODS

Identification and selection of articles

PubMed and Web of Science were searched from January 1988 to July 2007 to identify relevant articles. The following keywords were used in the search strategy: (1) patient handling or patient transfer AND intervention or prevent* or ergo*; and (2) physical load or physical exposure or

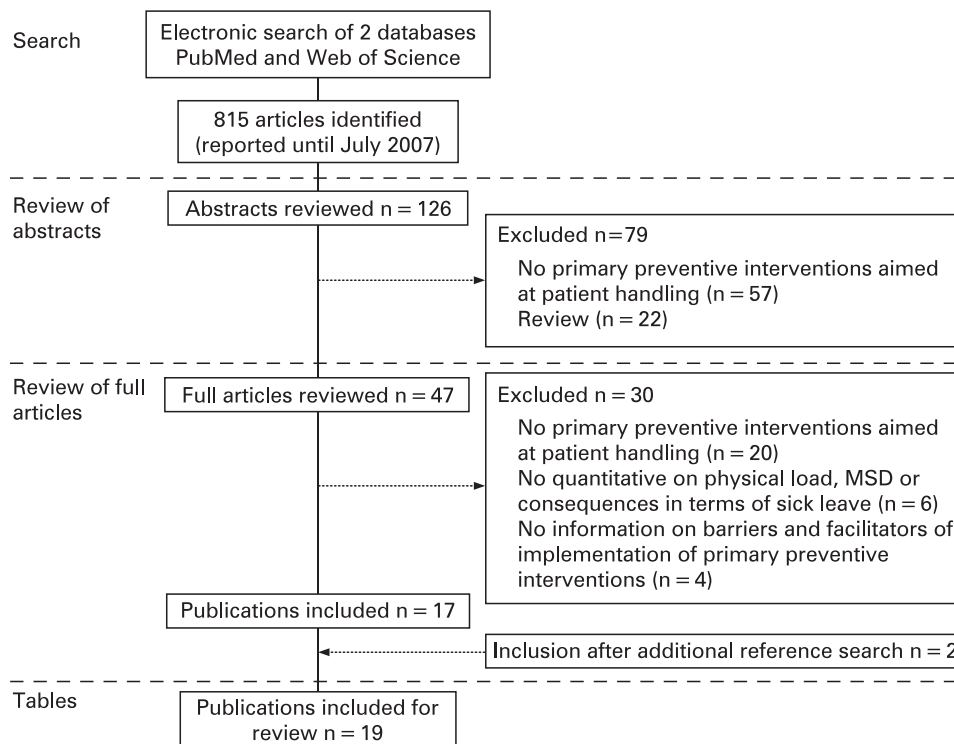


Figure 1 Overview of the literature search and review strategy.

mechanical exposure or musculoskeletal disorder or musculoskeletal injury.

An article was included if the following inclusion criteria were met: (1) it was a study on a primary preventive intervention aimed at preventing or reducing physical load related to patient handling, as characterised by a reduction in awkward postures, strenuous movements and forceful exertions; (2) it provided quantitative information on one of the following outcome measures: physical load, musculoskeletal disorders or musculoskeletal sick leave (lost working time); (3) it provided information on barriers or facilitators in the implementation of a primary preventive intervention; and (4) it was written in English.

The selection of articles was conducted in two steps. First, all abstracts or titles found by the electronic searches were checked by two authors (EK and AB). Second, after obtaining copies of eligible articles, two authors (EK and JJK) independently assessed the articles for inclusion criteria. Disagreements were solved by consensus and, if necessary, by third party (AB) adjudication. The electronic search identified 126 abstracts of potential interest and the articles of 47 of these were considered for full review. Seventy nine abstracts were not eligible for further scrutiny, primarily because they failed to meet the first inclusion criterion (fig 1).

After full review, 17 of the 47 potentially relevant articles were included. The main reasons for excluding 30 articles were: no primary preventive interventions aimed at patient handling ($n = 20$); no quantitative information on physical load, MSD or their consequences in terms of sick leave (lost working time) ($n = 6$); and no information on barriers and facilitators in the implementation of primary preventive interventions ($n = 4$). Some articles were excluded for several reasons.

The search was extended by screening the reference lists of the 17 articles included and this resulted in two further articles

being selected. Thus, 19 articles in total were included in this systematic review.

Data extraction

Two authors (EK and JJK) performed the data extraction independently of each other according to a standardised format. Information was collected on study population, study design, study duration, outcome measures, type of primary preventive intervention, barriers and facilitators of the implementation of the intervention, and their effects with regard to the outcome measures. The studies included were categorised into four types of intervention¹⁵:

1. Engineering intervention (intervention targeting the physical work environment)
2. Personal intervention (intervention addressing personal behaviour through education and training)
3. Administrative intervention (intervention focusing primarily on organisational strategies targeting work practices and policies)
4. Multiple interventions (a combination of two or more of the above interventions).

Barriers and facilitators

Barriers were defined as factors that hampered the implementation of primary preventive interventions. Facilitators were defined as factors that enhanced the implementation of primary preventive interventions.

Two intertwined approaches were used to identify individual and environmental barriers and facilitators (table 1). The approach of Rothschild¹⁶ is oriented towards individual factors, whereas the approach of Shain and Kramer¹⁷ primarily focuses on the environmental context (table 1). Rothschild has defined three categories: motivation, ability and opportunity.¹⁶ Motivation is the willingness of individuals to undertake the

necessary actions to commit to the intervention. Ability refers to the capability of individuals to do something that requires specific skills, knowledge, experience and attitude. Opportunity relates to the environment in which the intervention is implemented and was further specified by the approach of Shain and Kramer. Shain and Kramer have distinguished the categories social support, management support, supportive management climate, convenience and easy accessibility, interactivity, wide appeal, employee participation and self-efficacy.¹⁷ Employee participation and self-efficacy belong to the individual factors category and were also included in the categories of Rothschild. Social support embraces the supportiveness of family, friends, co-workers and others for the intervention. Convenience of use and easy accessibility relates to the availability of resources such as enough time to transfer patients, sufficient lifting devices, and trained staff. Management support includes the commitment of employers to the intervention. Supportive management climate refers to a work situation where the intervention is being promoted rather than hindered. Wide appeal is the attractiveness of the intervention to a broad variety of workers. Interactivity covers the reinforcement of an intervention by other work practices. In healthcare, the patient is an additional important environmental factor, encompassing the physical and cognitive capabilities of the patients, as well as the attitudes of the patients towards the intervention.¹² Within each category multiple factors can be reported as barriers or facilitators.

Data analysis

The barriers and facilitators were classified as individual or environmental factors. When possible, the qualitative and quantitative effect of the barrier or facilitator on the effectiveness of the intervention was established.

RESULTS

Table 1 describes the 45 environmental (B = 27, F = 18) and 16 individual (B = 9, F = 7) barriers (B) and facilitators (F) reported in 19 studies. The most important environmental categories were "convenience and easy accessibility" (56%), "supportive management climate" (18%) and "patient-related factors" (11%). The individual category "motivation" was mentioned most often (10 times in eight studies).

The selected studies are presented in tables 2–4 according to type of intervention. Ten studies were classified as engineering

interventions,^{12 13 18–25} six as personal interventions,^{11 26–30} and three as multiple interventions.^{31–33} Nine of the 19 studies described both individual and environmental barriers and facilitators.^{11–13 18 25 26 28 30 33} Eight studies described only environmental barriers and facilitators^{19–22 24 29 31 32} and two studies^{23 27} described only individual barriers and facilitators. Overall, 42% of the studies (n = 8) described one or two barriers or facilitators, 42% of the studies (n = 8) three to five barriers or facilitators, and 16% of the studies (n = 3) more than five barriers or facilitators.

Engineering interventions

Table 2 describes 10 interventions introducing lifting equipment: three studies showed a significant reduction in the occurrence of MSDs, five studies reported positive but not statistically significant effects on MSDs, one study was inconclusive, and one study had contradictory results. In total, 31 barriers and facilitators were reported, of which 74% (23 of 31) were classified as environmental factors. Overall, 52% (16 of 31) of these environmental barriers and facilitators could be placed in the category "convenience and easy accessibility", such as time to transfer patient with lifting device (n = 5), time required to implement intervention (n = 2) and availability of the lifting devices (n = 2). Other environmental factors were "patient" (n = 4) and "supportive management climate" (n = 2). The individual category "motivation" was described in three studies and "ability" in five studies.

Personal interventions

Table 3 presents six interventions on training and education on patient handling techniques, use of engineering devices, and identification of workplace design problems. Five of the six studies showed no effect on the occurrence of MSDs. Two studies described training in the use of available transfer devices at the worksite, one of which showed a reduction in the occurrence of MSDs. In spite of the fact that transfer devices were available in the hospitals, the studies were categorised as personal intervention because evaluation of the intervention was specifically aimed at the training programme.

In total, 20 barriers and facilitators were described, of which 65% (13 of 20) were classified as environmental factors, most notably the category "convenience and easy accessibility" (n = 4). In addition, 86% (6 of 7) of the individual barriers and facilitators were categorised into "motivation", often referring

Table 1 Classification and summary of barriers and facilitators in the implementation of primary preventive interventions aimed at patient handling in health care

Type of barrier and facilitator and source	Category	No of studies	No of barriers (B)	No of facilitators (F)
1. Individual (Rothschild <i>et al</i> 1999) ¹⁶	A. Motivation: willingness of individuals to undertake the necessary actions to commit to the intervention	8	6	4
	B. Ability: capability of individuals to do something that requires specific skills, knowledge, experience and attitude	6	3	3
2. Environment (Shain and Kramer 2004) ¹⁷	A. Social support: supportiveness of family, friends, co-workers and others towards the intervention	3	1	2
	B. Convenience and easy accessibility: availability of resources such as enough time to transfer patients, enough lifting devices, trained staff, etc	14	18	7
	C. Management support: commitment of employers to the intervention	1	–	2
	D. Supportive management climate: organisation of work in ways that promote rather than hinder the intervention	5	4	4
	E. Wide appeal: attractiveness of the intervention to a wide variety of workers	1	1	–
	F. Interactivity: reinforcement of the intervention by other work practices	1	–	1
Evanoff <i>et al</i> , 2003 ¹²	G. Patient-related factors	4	3	2

Table 2 Studies with barriers and facilitators in the implementation of engineering interventions aimed at patient handling in health care

Study	Design (duration)	Population (setting)	Intervention	Outcomes	Type of barrier (B) or facilitator (F)
Chhokar <i>et al</i> (2005) ¹⁹	OBS (3 years)	All staff who handle patients (nursing home)	65 ceiling lifts and education on use	Significant reduction in MSI claims, claims costs and days lost	Time required to fully implement intervention (B-2B) Time required to alter work culture (B-2B)
Engst <i>et al</i> (2005) ²⁰	CT (1 year)	34 care staff INT, 16 care staff CON (hospital)	(1) Ceiling lifts and training session to introduce lifts; (2) no intervention	Decreased total claim costs, but increased claim costs associated with repositioning patients	Ceiling lifts require more time than manually repositioning residents (B-2B)
Evanoff <i>et al</i> (2003) ¹²	OBS (2–3 years)	36 nursing units (hospital and nursing home)	25 full-body and 22 stand-up lifts and instructional course on lift operation	Significant decrease in MSI, lost workday injuries and total lost days due to injury	Think they do not need lifting devices (B-1A) Lack of knowledge (B-1B) Too time consuming (B-2B) Devices misplaced or not enough available (B-2B) Patients in isolation/connected to too many lines (B-2B) Aides or patient care technicians use lifting devices (F-2B) Policy of mandatory lift usage (F-2D) Patients do not like lifting devices/feels unstable (B-2G) Established care activities and patient characteristics (F-2G)
Fujishiro <i>et al</i> (2005) ²¹	OBS (2 years)	100 work units (nursing home and hospital)	Financial support and ergonomic consultation for installing ergonomic devices	Significant decrease in MSD	Lower employee-to-ergonomic device ratio (F-2B)
Garg and Owen (1992) ²²	OBS (1–10 months)	57 nursing assistants in 2 units (nursing home)	Hoist, walking belt, shower chairs and training in use of devices	Reduction in back injuries	Adequate staffing (F-2B) Saves time to perform transfer with 1 nursing assistant (F-2B) Reduction in number of patient transfers compensated for longer transfer times associated with devices (F-2B)
Li <i>et al</i> (2004) ¹⁸	OBS (7 months)	138 nurses in 3 nursing units (hospital)	1 portable full-body sling lift, 2 stand-up sling lifts and a single hands-on training session on lift usage	Number of injuries and lost-day injuries decreased	Lack of perceived need (B-1A) Inexperience in lift use (B1B) Lack of time (B-2B) Lack of manoeuvring space (B-2B) Staff turnover (B-2B)
Miller <i>et al</i> (2006) ²³	CT (1 year)	45 nurses INT and 29 nurses CON (nursing home)	(1) Portable ceiling lifts and training with regard to the ceiling lift; (2) no intervention	Decreased MSI claims and claim costs	Ceiling lifts preferred method for lifting and transferring (F-1B)
Owen <i>et al</i> (2002) ²⁴	CT (5 years)	37 nurses INT and 20 nurses CON (hospital)	(1) 5 assistive devices and training in use; (2) traditionally scheduled in-service training	Decreased number of back injuries, lost work and restricted days	Patient more comfortable and secure when assistive devices used (F-2G)
Ronald <i>et al</i> (2002) ¹³	OBS (5 years)	34 RNs and 95 aides (hospital)	62 ceiling lifts and training in use	No significant reduction in total MSI. Significant decline in MSI due to lifting and transferring	Preference by staff for mechanical options (F-1B) Ceiling lifts not used for repositioning due to problems with slings (B-2B) Incompatibility with pre-existing structures of older building (B-2B)
Yassi <i>et al</i> (2001) ²⁵	RCT (1 year)	103 nurses INT, 116 nurses INT and 127 nurses CON (hospital)	(1) Training in back care, patient assessment, and handling techniques using manual equipment; (2) training in back care, patient assessment, and handling techniques using mechanical and other assistive equipment; (3) no intervention	Number of injuries did not change significantly	Increased perception of safety among staff (F-1A) More comfortable performing patient-handling tasks (F-1B) Increasing demand by staff for mechanical equipment (F-2A) Other workplace dynamics than patient population (B-2D) Changing patient population (B-2G)

CON, control group; CT, controlled trial; INT, intervention group; MSD, musculoskeletal disorders; MSI, musculoskeletal injuries; OBS, observational study; RCT, randomised controlled trial. Type of barrier: B-2B represents a barrier (B), within environment (2), category B (convenience and easy accessibility).

to attitudes towards intervention ($n = 2$) and working techniques seen as good methods ($n = 2$). All other individual and environmental categories were mentioned at least once as a barrier or facilitator, except for patient-related factors.

Multiple interventions

Table 4 describes three multidimensional interventions which resulted in a significant reduction in MSDs. All three interventions involved lifting devices and peer leader roles or committees

as part of the multidimensional intervention. In total, 10 barriers and facilitators were described, of which 90% (nine of 10) were classified as environmental factors. Overall, 65% (five of nine) of the environmental barriers and facilitators were in the category “convenience and easy accessibility”, such as high staff turnover rates and initial investment not easily allocated. Other environmental categories were “supportive management climate” ($n = 3$) and “patient” ($n = 1$). The individual category “motivation” was described in one study where patient handling equipment was well accepted by staff.

Table 3 Studies with barriers and facilitators in the implementation of personal interventions aimed at patient handling in health care

Study	Design (duration)	Population (setting)	Intervention	Outcomes	Type of barrier (B) or facilitator (F)
Best (1997) ¹¹	RCT (1 year)	18 staff INT and 37 staff CON (nursing home)	(1) 32 h training in techniques to decrease lifting using semi-squat posture and weight transfer techniques such as bracing, pivoting, lunging and counterbalancing the load; (2,3) in-house orientation training	No significant difference in back pain after 12 months	Influenced attitude of staff through delay in opening nursing homes (B-1B) Nurses wanting to transfer the patient "the old way" (B-2A) Variety of skill and knowledge levels due to staff turnover (B-2B)
Feldstein <i>et al</i> (1993) ²⁶	CT (1 month)	50 subjects INT and 25 subjects CON (hospital)	(1) 2 h teaching session in correct body mechanics, patient transfer techniques, one-on-one assistance, reinforcement of proper use of equipment, and problem identification regarding environmental hazards, and 8 h of practical time on units over 2 weeks; (2) no intervention	No significant change in back pain and back fatigue.	Nurses put patients first (B-1A) Nurses concerned over loss of continuity of care to patients during program participation (B-1A) Low moral after nursing strike ending shortly before study began (B-1A) Items taught on the course go against the work culture of the nurses (B-2E)
Johnsson <i>et al</i> (2002) ²⁷	OBS (6 months)	51 nursing assistants (hospital and primary care)	Training in patient handling methods and moving skills, physical and psychosocial risk factors, balance between patient's need for rehabilitation and use of lifting aids and workplace design, and awareness of body movements; 2 learning models	No decrease in musculoskeletal problems	Patient handling methods seen as good (F-1A)
Lagerstrom <i>et al</i> (1998) ²⁸	OBS (3 years)	348 participants (hospital)	Education and training program in patient transfer technique and how and when to use lifting devices, physical fitness exercise and stress management	No significant reduction in musculoskeletal symptoms	Working technique was appreciated by nurses (F-1A) Need for common work technique emphasised by different actors, such as the occupational health care department, the labour unions and nursing personnel (F-2A) All nursing personnel educated and trained at the same time (F-2B) Management's detailed knowledge of personnel working conditions and needs (F-2C) Need for common work technique according to management (F-2C) Management applied for money to carry out the program (F-2D) Hospital already well-equipped with transfer devices (F-2D) Permanent component of competence training for nursing staff by continuous follow-up (F-2F) Lack of availability of mechanical devices (B-2B)
Lynch and Freund (2000) ²⁹	CT (30–60 days)	Pretest 164 nurses, post-test 59 trained nurses and 45 controls (hospital)	(1) Back injury training program in back injury risk factors, risky activities, control strategies including engineering controls, administrative controls, use of proper body mechanics when handling patients; (2) staff not attending to training	Reduction in number of reported lost-time back injuries from 7 in the first three quarters of 1996 to 1 in the fourth quarter of 1996	
Peterson <i>et al</i> (2004) ³⁰	CT (1 month)	2 units INT and 1 unit CON (nursing home)	Training in correct ergonomic work practices, administrative strategies, and use of engineering controls: (1) only NAs trained, reinforced by RA; (2) all nurses trained, training reinforced by daily supervision from the registered nurses and licensed practical nurses; (3) no training	No significant difference in pain/discomfort survey	NA not wanting to participate because of other priorities (B-1A) Lack of time to reinforce training on the floor (B-2B) NA not wanting to participate because of high turnover rate (B-2D)

CON, control group; CT, controlled trial; INT, intervention group; NA, nursing assistant; OBS, observational study; RA, research assistant; RCT, randomised controlled trial. Type of barrier: B-1B represents a barrier (B), within individual (1), category B (ability).

Influence of barriers and facilitators on effectiveness

None of the studies presented a quantitative evaluation of the influence of the barriers and facilitators during implementation on the effectiveness of the interventions. One study included the assessment of barriers for usage of lifting devices in the study design by interviewing nurses during the intervention period.¹² The influence of these barriers on the effectiveness of the intervention was, however, not evaluated. In five studies barriers and facilitators were assigned retrospectively by the researcher as possible factors having influenced the effectiveness of the intervention.^{12 13 19 21 31 33}

DISCUSSION

This review showed that various individual and environmental factors were of importance when implementing primary preventive interventions in the actual work situation. A key issue in the implementation of primary preventive interventions appeared to be the environmental category "convenience and easy accessibility", for example, time required to transfer patients, staff situation, and availability of lifting devices. Barriers and facilitators in the studies were identified retrospectively and their importance was described in qualitative terms. None of the studies carried out a quantitative evaluation

Table 4 Studies with barriers and facilitators in the implementation of multiple interventions aimed at patient handling in health care

Study	Design (duration)	Population (setting)	Intervention	Outcomes	Type of barrier (B) or facilitator (F)
Charney <i>et al</i> (2006) ³¹	OBS (1–4 years, average 2 years)	31 hospitals (hospital)	Zero-lift program: (1) replace manual lifting with mechanical lifting; (2) written policy and procedures supporting mechanisation of lifting; (3) training; (4) zero-lift committee; and (5) patient screening procedure to determine ambulatory level of new patients	Significant reduction in time-lost injuries and frequency of injuries	Initial investment not easily allocated in some hospitals (B-2B) Initiated with less equipment and later augmented when funds were available (B-2B) High staff turnover rates (B-2B) Mandatory use of equipment (F-2D) No standardised assessment of patient ambulatory status (B-2D) Each hospital put their individual stamp on the zero-lift model (B-2D)
Knibbe and Friele (1999) ³²	CT (1 year)	139 subjects INT and 239 subjects CON (home care)	(1) Patient hoists (40); (2) training; (3) introduction of 12 specially trained lifting coordinators; (4) no intervention	Significant reduction in back pain prevalence and in total number of transfers	Relatives able to care for patients with use of hoist without presence of nurse (F-2B)
Nelson <i>et al</i> (2006) ³³	OBS (9 months)	23 high risk units in 7 facilities (home care and hospital)	6 program elements: (1) ergonomic assessment protocol; (2) patient handling assessment criteria and decision algorithms; (3) peer leader role, "back injury resource nurses"; (4) state-of-the-art equipment; (5) after action reviews; and (6) no lift policy	Significant reduction in injury rates and modified duty days	Patient handling equipment well accepted by staff (F-1A) No viable technology solutions for high-risk, high-volume patient handling tasks, eg repositioning patient in bed or chair (B-2B) Patients less likely to embrace new patient handling technologies and practices at the onset of the program (B-2G)

CON, control group; CT, controlled trial; INT, intervention group; OBS, observational study; RCT, randomised controlled trial. Type of barrier: B-2B represents a barrier (B), within environment (2), category B (convenience and easy accessibility).

of the influence of relevant barriers and facilitators during the implementation on the effectiveness of the primary preventive intervention.

This review has some limitations. First, the literature search may not have been complete since the review was restricted to studies published in English and available in two different electronic databases. The second electronic database provided nine (19%) unique titles being considered for full review and resulted in three out of 19 studies included. Due to the possible incompleteness of reports, the importance of the current study lies in the identification of various factors that may hamper or facilitate the effectiveness of a primary preventive intervention rather than in the presentation of the exact distribution of individual and environmental factors that affect the effectiveness of patient handling interventions. Second, an essential inclusion criterion of this review was that a study should describe the effects of a primary preventive intervention on reduction in physical load, MSDs or musculoskeletal sick leave and report on relevant barriers and facilitators during the implementation of the intervention. This was decided because we wanted to assess which factors influence the implementation of primary interventions in healthcare and what the actual influence of these barriers and facilitators was on the effectiveness of the primary interventions. Thus, qualitative publications primarily focusing on barriers and facilitators in the appropriate implementation of interventions without addressing the intervention effects itself were not selected for this systematic review. Such publications may shed more light on the planning and processing of the implementation of interventions.⁸ It is expected, however, that the barriers and facilitators identified in this review will also be addressed to some extent in qualitative studies. Furthermore, this review was constricted to studies on primary preventive interventions aimed at patient handling in healthcare. Other interventions in healthcare organisations may involve other barriers and facilitators. Third, this systematic review refrained from assessing the methodological quality of the articles selected. This review does not address the effectiveness of interventions or exposure–response relationships for which the quality of the study may be a critical issue.

It could be hypothesised that better quality of the implementation process will result in higher effectiveness, but so far we lack the instruments to evaluate this. Finally, the chosen approaches of Rothschild¹⁶ and Shain and Kramer¹⁷ may have influenced the classification of the barriers and facilitators. For example, most barriers and facilitators were reported within the category "convenience and easy accessibility" (25 out of 61), which suggests a more detailed classification is needed. Nevertheless, the analysis presented shows the importance of both environmental as well as individual factors in the (successful) introduction of primary preventive interventions in the workplace and, thus, these factors need to be taken into account in studies evaluating the effectiveness of primary preventive interventions.

Overview of barriers and facilitators

The majority of the selected studies in this review identified several factors that could have interfered with the effective implementation of primary preventive interventions on patient handling in healthcare. Environmental factors seemed to be more important than individual factors, independent of the type of intervention. For the engineering interventions, almost 80% of the reported barriers and facilitators were categorised into environmental factors and for the multiple interventions this was approximately 90%. Rather surprisingly, in evaluation studies on personal interventions through education and training, environmental factors (65%) were more often reported than individual factors (35%). Thus, it appears that the social and physical context in which the primary preventive intervention is implemented is of paramount importance.

Influence of barriers and facilitators on effectiveness

Only articles with quantitative data on physical load, MSD or their consequences in terms of sick leave were included in this review, anticipating that a quantitative analysis of the influence of barriers and facilitators on the effectiveness of primary preventive interventions in the workplace would be possible. However, it is remarkable that, considering the reported importance of these factors in the evaluation studies, only one

Main messages

- ▶ Various barriers and facilitators in the appropriate implementation of primary preventive interventions were identified were only in qualitative terms, usually not included in the design of the study but collected afterwards, and not evaluated with respect to the effectiveness of the intervention.
- ▶ There is a clear need to evaluate the influence of barriers and facilitators on the effectiveness of primary preventive interventions.

study explicitly included the assessment of barriers in lift usage in the design of the study and none of the studies quantitatively evaluated the influence of these factors on the effectiveness of the primary intervention.¹² Therefore, a quantitative analysis of the relative importance of personal and environmental factors in the effectiveness of interventions was unfortunately not possible in this review.

In five studies the barriers and facilitators were assigned retrospectively by the researcher as having possibly influenced the effectiveness of the primary intervention. Chhokar *et al* mentioned that the time required to alter work culture and to fully implement changes in patient handling practices may have prolonged the latency period between introducing ceiling lifts and the observed change in compensation claims.¹⁹ Evanoff *et al* reported that the larger reduction in injuries observed in some facilities was likely due to a policy of mandatory lift usage and established care activities and patient characteristics.¹² It is, however, difficult to determine the actual influence of these barriers and facilitators on the effectiveness of the primary intervention when researchers and stakeholders involved only provide a qualitative assessment. Fourteen studies identified barriers and facilitators in the implementation process of the primary intervention but did not report on their potential impact on the effectiveness of the intervention. The drawback of this approach is that the effectiveness of a primary intervention is separated from the implementation process. Theories on implementation in healthcare emphasise the importance of identifying obstacles to changing work practices and argue that their influence on the implementation process and the effectiveness of an intervention need to be assessed.³⁴ There is still little guidance regarding the quantification of barriers and facilitators, but a necessary first step will be to rate the quality of the implementation.³⁵ A second step will be more detailed assessment of individual and environmental factors, for example, the number of lifting aids available relative to the number of patients, the proportion of patient protocols with requirements on lifting procedures, and the percentage of nurses trained in the use of lifting aids.

The adoption and implementation of primary preventive interventions in healthcare require comprehensive approaches at different levels.⁹ Barriers that hamper the appropriate implementation of primary preventive interventions are complex, multifunctional and influenced at many levels of the healthcare system, including the individual, patient, social, organisational, economical and political.³⁶ This requires the adaptation of implementation models, as in the approaches of Rothschild and Shain and Kramer.^{16, 17} In evaluation studies on the effectiveness of these primary interventions, it remains a challenge to incorporate important barriers and facilitators into the study design so as to enable a quantitative evaluation of their influence on the effectiveness of the interventions.

Policy implications

Workplace policies should target factors that hamper compliance and adherence to the appropriate implementation of primary preventive interventions.

In conclusion, various individual as well as environmental factors may influence the appropriate implementation of primary preventive interventions and, thus, the effectiveness of these interventions. Environmental factors were far more often reported than individual factors, independent of the type of intervention. The identified barriers and facilitators were only described in qualitative terms and were usually not included in the design of the study but collected afterwards. None of the studies presented a quantitative evaluation of the influence of relevant barriers and facilitators on the effectiveness of the primary intervention. Since many barriers and facilitators have been acknowledged as causing failure of the effective implementation of primary interventions on patient handling, there is a clear need to quantify the impact of these barriers and facilitators on the effectiveness of primary preventive interventions.

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Review

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