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# Multilevel converter to access maximum power from distributed energy source based smart grids

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The distributed power generation is increasing rapidly, and its integration into the power system is a critical issue for the existing power network. Therefore, a threelevel converter is developed to access and control the medium voltage DC generated from a photovoltaic system in a smart grid. A conventional threelevel neutral point clamped circuit is incorporated into the conventional inverter. The conventional inverter is a pulse width modulation-based inverter that achieves zero switching currents and supplies power to the load. This technique suppresses the switching power loss up to a large extent. Additionally, switches conduct half of the input voltage; therefore, the output voltage is significantly similar to the voltage of the output filter. Moreover, in the proposed converter, the stress of voltage on diodes is minimal, which increases the input range of voltage in smart grids. The overall efficiency of converter is around 97.9% and voltage gain is around 42. In addition to these, a detailed design description and analysis are carried out in this paper. In the end, a prototype is developed for experimental analysis to validate the operating principle and characteristics of the proposed converter.

#### KEYWORDS

DC-DC converter, medium voltage converter, renewable power sources, zero voltage, current switching

## **1** Introduction

The stocks of fossil fuels have been depleted significantly and are unable to meet the needs of the sustainable development of human society. The power generation from fossil fuels causes pollution, and the extraction of these fuels damages the earth's environment (Cheema, 2020). On the other hand, renewable energy resources based on distributed generation are widely distributed, flexible, and pollution-free. Power generation from a photovoltaic system is a form of distributed power generation, and it is pretty suitable for sustainable development of a smart grid system (Cheema and Mehmood, 2020). In recent years, a large number of photovoltaic farms have been integrated into conventional power systems (Hassan et al., 2020; Cheema et al., 2021a).

The DGs consisting of photovoltaic systems are electronically interfaced with a power system or grid and demonstrate different characteristics than conventional power generating units (Cheema et al., 2021b). In electronically inter-faced DGs, the power generated at the

primary side of DGs is supplied to the power system either as DC or AC (Hu and Liu, 2018). The DC converters are widely used to supply and control power to the grid with different configurations (Yao and Lu, 2020). However, the conventional converters possess the characteristic of switching losses (Quan and Li, 2018). In order to minimize the switching losses, the resonance method is applied by implementing zero-volt switching (ZVS) and zero current switchings (ZCS) technique which achieve the zero voltage and current (Yin et al., 2016; Chou et al., 2019). Additionally, these converters possess two different types of filter techniques which are series and parallel LC resonant filters (Nouri et al., 2021).

Moreover, the DGs consisting of photovoltaic systems supply DC power, and it is quite easy to couple different DC sources via a medium voltage DC collection system (Zheng et al., 2021). Additionally, these developed system does not suffer from frequency, power angle, and active/reactive power instability issues. Due to these advantages, it is considered that the medium voltage DC collection system is the future of the power system (Bagherian et al., 2021). However, the terminal voltage of a photovoltaic panel is quite low; therefore, the high gain DC-DC converters are incorporated to transfer the generated power to the medium voltage DC collection system (Mohseni et al., 2021).

A significant number of researchers developed different types of DC-DC converter (Hasanpour et al., 2020; Upadhyay and Kumar, 2020; Alagu et al., 2021). For example, in (Srinivasan and Kwasinski, 2020), researchers presented a DC-DC converter based on switched capacitor method. The authors concluded that the switched capacitor method-based converters possess low output capacitance, and their component power consumption is on the lower side too. On the other side, in (Wang et al., 2020), the authors introduced the resonant switched capacitor-based converter. The switching losses are quite low in this type of converter due to the soft switching approach. However, besides such advantages, these converters experience significantly high voltage stress due to their no isolated nature. To overcome this issue, transformers are incorporated into converters. Transformers provide the isolation characteristic and high gain even in low voltage applications. Considering the isolated characteristic, various type of modified converters is developed (Baek and Park, 2012; Faraji et al., 2019; de Souza et al., 2021). Moreover, in (Raziq et al., 2023), authors proposed the zero-sequence control for balance power production during unstable input parameters. The zero-sequence voltage is fed to each phase of the converter for accurate balance power in all phases. Consequently, in (Yadav and Singh, 2023), researchers proposed a solar multilevel converter with closed-loop control to integrate solar PV arrays with medium voltage grid. The multilevel converter produces higher number of levels in the output voltage with less usage of semiconductor switches. The key focus of their research is to minimize the number of switches which lessens the sum of driver circuits for a power converter. Furthermore, in (Mishra et al., 2023), authors introduce the fifteen-level packed U cell converter for singlephase grid connected applications. The detailed analysis depicts that the total harmonic distortion of grid current is under 5%. The converter voltage total harmonic distortion is 7.8%, which is quite low at 500 Hz switching frequency. A less complex, active reactive power control with a balanced floating capacitor voltage is implemented. The balancing of capacitor voltages is achieved for both the capacitors under the dynamic solar photovoltaic environment. The unity power factor operation is achieved with a compact and efficient fifteen-level PUC converter-based grid-tied system. In addition to these, in (Alotaibi et al., 2023) the scientist presents a new three-phase modular inverter which is based on a novel dual-isolated SEPIC/CUK converter for large-scale PV power plants. The proposed three-phase modular inverter is produced from series DISC submodules to reduce the size and improve the performance of the energy conversion system. Employing high-frequency transformers into the submodules can provide the required galvanic isolation and voltage boosting in addition to reducing the size compared with line-frequency step-up transformers. The chosen DISC converter reduces the required filtering capacitances, resulting in improved lifetime, scalability, and resilience of the inverter.

The phase shift full-bridge converter is postposed in (Ye et al., 2018; Haneda and Akagi, 2020). The advantage of the phase shift full bridge converter is that it can attain zero voltage switching. However, it has to compromise on the switching loss and high circulating current. To overcome these issues, various researchers incorporated modifications into the fundamental structure of converters, such as the addition of active/passive elements. Additionally, the state-of-the-art review for multilevel inverter are presented in (Bughneda et al., 2021a; Alatai et al., 2021; Bughneda et al., 2022) and briefly outlined the aspects of multilevel inverters to highlight the need to produce new inverters or modified combinations of inverters for grid-connected and PV systems. A modified five level inverter in presented in (Bughneda et al., 2021b) and the proposed modified five level cascaded H-bridge inverter uses the fewer switches in comparison to conventional cascaded H-bridge inverter. Therefore, its efficiency and cost are better which makes it suitable for industrial uses. Similar to that, five level cascaded H-bridge LLC resonant converter for battery charger is presented in (Alatai et al., 2022). This converter is designed to obtain high power density, high efficiency, and less magnetic components to ensure the reduction on factors of size and cost. However, the efficacy of this converter is around 96.9%.

Consequently, in (Dworakowski et al., 2020), the authors presented the single active bridge converter and it is concluded that the interleaving technique can reduce the overall output current, but this technique has a severe disadvantage of high turnoff switching current. This high turnoff switching current destroys the efficiency of the converter significantly. In order to develop and maintain a highly efficient medium voltage DC collection system, a new technique is proposed in this research paper, which is significantly better with high efficiency. The key points of this research and inverter are as follows:

- 1. It consists of a dual transformer for isolation characteristics in a three-level zero current switching DC converter that consists of a neutral point clamped circuit.
- 2. A simple pulse width modulator is used to achieve the voltage and power regulation of the proposed converter to minimize the complexity.
- 3. The implementation of a simple pulse width modulator gives two advantages; 1) the circuit complexity is significantly reduced; 2) the switching pattern of converts switches can be easily adjusted.
- 4. Consequently, by incorporating the elements mentioned earlier, the proposed converter attains zero-current switching under the minimum to maximum load conditions.
- 5. Furthermore, this technique minimizes the converter switching losses.





Besides this, the remainder of this paper is organized as follows; Section 2 describes the proposed converter circuit and operational sequence. Section 3 explains the theoretical analysis, and the selection of proposed converter parameters is discussed in Section 4. Section 5 presented the simulation results followed by the experimental results in Section 6. Section 7 concludes the current study.

# 2 Proposed converter circuit and operation sequence

The schematic diagram of the proposed converter is depicted in Figure 1. The schematic diagram can be split into three divisions.



The first division consists of switches  $Q_1$ - $Q_4$ , resonant inductances  $L_1$ - $L_2$ , clamping diodes  $D_1$ - $D_2$ , Capacitor  $C_1$ - $C_2$ , and transformer T<sub>1</sub>. Consequently, the second division consists of switches  $Q_5$ - $Q_6$ , diodes  $D_3$ - $D_4$ , capacitor  $C_3$ - $C_4$  inductor  $L_1$ - $L_2$ and second transformer  $T_2$ . The third division consists of capacitor  $C_7$ - $C_8$  and diode  $D_5$ - $D_6$ . The detailed design development method is discussed in the next section.

The converter waveforms are depicted in Figure 2. The converter switch set  $Q_1$ - $Q_2$  and  $Q_3$ - $Q_4$  switch at the same time and keeping the

reasonable delay. Moreover, to analyze the system, the following assumptions are made.

- a. All the active and passive devices are ideal, including semiconductor devices.
- b. The capacitors rating is significantly large in all divisions of the converter.
- c. Transformers of the converter have negligible leakage inductance.

The switching sequence of the half-cycle of the converter is depicted in Figure 3.

- I.  $[t_0]$ : The switching cycle starts from  $t_0$ . At  $t_0$ , the switch  $Q_3$ - $Q_4$  is turned off and depicted in dotted red line; whereas, the turn-on section is depicted in solid line.
- II. Mode 1  $[t_0, t_1]$ : In this mode, the current  $i_1$  of  $T_1$  flows through the transformer windings  $Q_1, Q_2, L_1, T_1$ , and  $C_1$ , as can be seen in Figure 3A. Similarly, the  $i_2$  of  $T_2$  flows through the transformer windings of  $Q_1, Q_2, T_2, Q_5, C_4, D_2, L_2$  and  $C_1$ . In this mode, only  $L_1$  is the resonant inductor.
- III. Mode 2  $[t_1, t_2]$ : In this mode,  $i_2$  flows from switch  $Q_6$ , whereas  $i_1$  continues to flows from its previous path, as depicted in Figure 3B. Moreover,  $L_1$  and  $L_2$  resonate with  $C_3$ .
- IV. Mode 3  $[t_2, t_3]$ : In this mode,  $Q_5$  closes at time  $t_2$ . Moreover,  $i_2$  charges the  $C_4$  to achieve the zero-voltage switching. The  $C_4$  charges very quickly, and the current path is depicted in Figure 3C.
- V.  $t_4$ : The half-switching cycle ends at  $t_4$ . At the end of the halfcycle,  $Q_1$  and  $Q_2$  became turned off with zero current switching, whereas  $Q_3$ ,  $Q_4$ , and  $Q_6$  become turned on.

# 3 Theoretical analysis of proposed converter

The literature analysis shows that researchers consider different power and switching frequencies with a large input and output voltage range. Therefore, the resonant angular frequency and resonant impedance of the equivalent circuit can be expressed as:

$$\begin{cases} \omega = 1 / \sqrt{L_1 C_4 L_2} = 1 / \sqrt{N_a^2 L_1 C_4 L_2 / N_b^2} \\ Z = \sqrt{L_1 / C_4 L_2} = N_a N_b \sqrt{L_1 / C_4 L_2} \end{cases}$$
(1)

Furthermore, the  $[t_1, t_2]$  and  $[t_2, t_3]$  can be expressed as:

$$(0.5N_aV_i + 0.25N_bV_i) - 0.5V_o = N_a^2 L_1 \frac{C_4 L_2}{N_b^2} \frac{d^2 (v_{C_4} + v_{L_2})}{dt^2} + (v_{C_4} + v_{L_2})$$
  
$$0.5N_aV_i - 0.5V_o = N_a^2 L_1 \frac{C_4 L_2}{N_b^2} \frac{d^2 (v_{C_4} + v_{L_2})}{dt^2} + (v_{C_4} + v_{L_2})$$
  
(2)

The steady-state route of the converter is shown in Figure 4. The circular line  $Y_a \rightarrow W_a$  depicts the  $[t_0, t_1]$  sequence. In contrast, the  $t \rightarrow Y_b$  depicts the  $[t_1, t_2]$  sequence. Similarly, the  $Y_b \rightarrow W_b$  represents the  $t_2, t_3$  sequence during the second half cycle.

Considering Figure 4, the radius of red color curve  $r_{da}$  and radius of black curve  $r_{db}$  can be stated as:



$$\begin{cases} r_{da} = |Y_a O_a| = (0.5N_a + 0.25N_b)V_i - 0.5V_o - (V_{C_4} + V_{L_2}) \\ r_{db} = |Y_b O_b| = (V_{C_4} + V_{L_2}) - 0.5(N_a V_i + V_o) \end{cases}$$
(3)

Furthermore, the angle  $\theta_a$  and  $\theta_b$  can be stated as:

$$\begin{cases} \theta_a = \arccos\left(B_a - 0.5N_aV_i + 0.5V_o\right)\\ \theta_b = \arccos\left(\frac{0.5N_aV_i + 0.25N_bV_i - 0.5V_o - B_a}{r_{db}}\right) \end{cases}$$
(4)

Additionally, in this paper, the following parameters are considered for the proposed converter for theoretical analysis.

Rated power = 1000 W. Input voltage = 300 and 600 V. Transformer 1 turn ratio = 0.21. Transformer 2 turn ratio = 0.29. Switching frequency = 10, 000 Hz.

# 4 Proposed converter parameters selection

### 4.1 Selection of transformer turns ratio

The proposed converter is incorporated with two transformers. Therefore, selecting the suitable turn ratio for both transformers is critical to transfer maximum power without losses. In order to select the suitable turn ratio, it is necessary to develop a hypothesis that the converter is ideal and convert power completely without losses. Therefore, the overall power of the first half cycle can be expressed as:

$$P_t = 2f(0.5V_o) \int_{t_0}^{t_3} i_{D_5} dt = V_o f \int_{t_0}^{t_2} i_{D_5} dt$$
(5)

Similarly, the transmission power of  $T_1$  is





$$P_1 = 2f(0.5V_i) \int_{t_0}^{t_3} i_{T_1} dt = V_i f \int_{t_0}^{t_2} i_{T_1} dt$$
(6)

By Eq. 5 and simplified terms of Eq. 6, we can write

$$\frac{P_1}{P_t} = \frac{N_a V_i}{V_o} \tag{7}$$

Furthermore, the transmission power of the second transformer can be written as:

$$P_2 = P_t - P_1 \tag{8}$$

Now incorporating (Eqs 7, 8) together, the resultant expression can be stated as:

$$\frac{P_1}{P_2} = \frac{N_a V_i}{V_o - N_a V_i} \tag{9}$$







The relationship of total power, power of transformer 1 and transformer 2 is depicted in Figure 5. According to Figure 5, transformer 1 turn ratio and  $P_a/P$  are directly proportional to each other; whereas, transformer 1 turn ratio and  $P_b/P_b$  is inversely proportional.

### 4.2 Selection of resonant capacitor

In order to select the optimal performing resonant capacitor, it is assumed that the converter and its component are ideal without losses. Therefore, during the first half cycle, the output power of the converter can be stated as:

$$P_o = 2f(0.5V_o) \int_{t_0}^{t_3} i(t)dt$$
 (10)



#### FIGURE 9

Relative slop among (A) change in voltage of capacitor  $C_4$ , transformer 2 turn ratio and capacitor  $C_4$  (B) resonant inductors, transformer 2 turn ratio and capacitor  $C_4$ .



#### TABLE 1 Main platform parameters.

Parameters	Values
MOSFET	25A/700 V
IGBT	80A/700 V
Series diode	20A/150 V
Freewheeling diode	35A/700 V
Rectifier diode	35A/600 V
Output filter inductance	15 μH
Blocking capacitor	0.5 μF
Transformer T1 turn ratio	0.21
Transformer T2 turn ratio	0.29
Switching frequency	97 kHz

FIGURE 10 Input Voltage and current waveform.







If we substitute (6) into (10), the resultant expression can be stated as:

$$P = 2fV_oC_4 \left(\Delta v_{L_1} + \Delta v_{C_4} + \Delta v_{L_2}\right) / N_b^2$$
(11)

According to the state analysis in mode 4, we should know that  $V_{L1}$ ,  $V_{C4}$ , and  $V_{L2}$  should be satisfied.

### 4.3 Selection of resonant inductor

The same assumption of an ideal converter is considered while selecting the optimal performing resonant inductors. In the proposed converter, two resonant inductors are incorporated along with a single capacitor. For ease of analysis, the value of resonant inductors is chosen to be the same in the entire theoretical and experimental analysis. Therefore, for the first half cycle, the expression for resonant inductor can be stated as:

$$L_{1} = L_{2} < \frac{N_{b}^{2}}{2f^{2}N_{a}^{2}C_{4}\left(\theta_{a} + \theta_{a}\right)^{2}}$$
(12)

The value for optimal performing should be greater, as observed from Eq. 12.

The frequency response of the LC resonant circuit for various values of  $Q_F$  is illustrated in Figure 6. It can be observed that the parallel-loaded resonant circuit shows high-voltage gain for higher

values of  $Q_F$ . Moreover, considering the certain operating frequency, the voltage gain is around 42.

# 4.4 Selection and designing of transformer turn ratio and resonant capacitor

For an optimal performance converter, it is necessary to be selective and design the optimal transformer turn ratio and resonant capacitor. Considering the given parameters in Section 3 and formulas, we get Figure 7, which depicts that the increment in transformer turn ratio is inversely proportional to turn off current in the converter. Therefore, it is concluded that the lower value of the transformer turn ratio decreases the switching losses. Similarly, Figure 8 depicts the relationship among transformer turn ratio, resonant capacitor, and peak current of switches. It can be observed from Figure 8 that the peak current of switches decreases smoothly with increasing the value of the resonant capacitor. However, the peak current of switches decreases rapidly by increasing the value of the transformer turn ratio. Therefore, to reduce the peak current to facilitate switching selection, the lower transformer turn ratio must be selected.

The change in the difference of voltage of resonant capacitor and inductors is dependent on the values of transformer turn ratio and resonant capacitor. Therefore, Figures 9A, B depict the change in the difference of voltage of resonant capacitor and inductors, respectively. In Figure 9A, it can be observed that the





value of change in the difference of voltage of resonant capacitor decreases when the value of transformer turns ratio or resonant capacitance decreases. Subsequently, the change in the difference of voltage of resonant inductors is depicted in Figure 9B. It canx be observed in Figure 9B that when the value of the transformer turns ratio decreases, the change in the difference of voltage of resonant inductors decreases. Whereas, when the value of resonant inductors decreases, the change in the difference of voltage of resonant inductors increases.

## 5 Simulation result

The theoretical analysis is performed in the previous section, and it is observed that the proposed converter design is efficient. To strengthen the theoretical analysis, simulations are performed by using the equations and parameters defined in the previous section. The input and the output of the simulation is shown in Figures 10, 11. The resonant circuit input waveforms voltage, and the input current are plotted as shown in Figure 10. It can be observed that the converter operates above the resonant frequency of resonant circuit; the current  $i_{in}$  lags the vAB.

In contrast, it can be observed in Figure 11 that when the turn ratio is increased, the peak current 1 and Peak current 2 increase, too, along with the turnoff current. In contrast, change in voltage decreases significantly. It can be seen in Figure 11 that the value of peak current 1 is 20 A and the value of peak current 2 is 10 A. Whereas the theoretical values for peak current 1 and 2 is 20.15 and 10.18, respectively. Consequently, simulation results endorse the theoretical analysis of the proposed system.



## 6 Experiment results and discussion

In order to validate the performance of the proposed converter, a three-level 1000 W prototype circuit operating at 10,000 Hz is developed. The proposed and developed circuit tested on low and medium input voltage in two different cases. For Case I, the input voltage set at 300 v and for Case II, the input voltage set at 600 V. The parameters and components specification of the prototype are shown in Table 1.

The resultant output of the experiment is depicted in Figure 12. It can be observed that Figure 12 is a three-level converter because  $VC_4$  is representing the combined voltage of transformer 1 and transformer 2.

When a small load is applied to the converter while the input voltage is at lower end, it works in two-level modes, whereas for medium to heavy load and with medium voltage, converter operates in three-level mode as shown in Figure 13.

Figure 13A shows the output result when a load is applied with 300v input voltage. The switch  $Q_1$  achieves the zerovoltage switching. Similarly, it can be observed in Figure 13B, the  $Q_2$  achieve zero current switching. Moreover, Figure 13C depicts that peak current 2 reaches zero prior to the turning off of  $Q_5$ , and in this way,  $Q_5$  achieves the zero-current turnoff. Additionally, an abrupt fluctuation in current can be seen, which is the result of  $Q_5$  output capacitance. Thus, the results from the experiment setup support the theoretical analysis of the previous section.

Subsequently, the input of converter is shifted from low end to medium end at the resultant waveforms of  $V_{CE}$ ,  $V_{CE}$ , and current of  $Q_1$  are shown in Figure 14. It can be observed that the input voltage is 300V, and the zero-voltage switching is achieved when the antiparallel diode is still on. Moreover, it has no follow-up or tails current from other switches due to efficient zero current switching. Similarly, Figures 14B, C show the values of  $V_{GE}$ ,  $V_{CE}$ , and current of  $Q_2$  and  $Q_5$ , respectively. It can be observed that the value of peak voltage of  $Q_2$  and  $Q_5$  is around a quarter of the input voltage. Consequently, the voltage remains zero prior to the



conduction of  $Q_5$ ; therefore,  $Q_5$  realizes the zero voltage and zero current switching.

Figure 15 represents the voltage across the tank as a function of the input voltage of inverter. The simulation results illustrates that the tank voltage fluctuates nearly linearly with the input of the inverter. Though, experimental and prototype result is slightly different because the corresponding series resistance of  $L_3$  is not involved in the simulation model, which results in a voltage drop in the output values. Moreover, for the experimental results, the increase in the tank voltage is not linear because of the fact that saturation occurs in the tank.

Moreover, Figure 16 depicts the breakdown of power losses in the proposed converter. It can be observed that IGBTs and output diodes are the major sources of power losses for both cases. The proposed converter is designed for medium voltage; therefore, the power losses for Case I are higher in comparison to Case II power losses. Lastly, Figure 17 represents the proposed converters prototype efficiency curve. The green curve depicts the efficiency for Case I and it can be observed that at low voltage and low load condition efficiency of converter is around 97%. In contrast, for Case II, medium voltage and load the converter efficiency is around 98%. This curve depicts that the proposed converter works effectively on medium input voltage.

## 7 Conclusion

In this paper, a converter is proposed and its design and operating principle is explained in detail. The proposed converter utilizes full-bridge converters in a special way to reduce the power losses and the output filter inductance. The proposed converter is expected to be highly beneficial for a wide power range application. Moreover, the proposed converter consists of a dual transformer and has the advantage of zero current switching and zero voltage switching such as wide range of power application and wide range of input voltage. The voltage and power regulation are achieved by a simple pulse width modulator to avoid the complexity and switching pattern of semiconductor switches can be adjust without any complication. The lower number of devices and simple circuit minimizes the converter switching losses. Additionally, the output filter inductance and the voltage stress on rectifier diodes are reduced. At end, to verify the effectiveness of the converter a prototype is built and tested and it is observed that the converter is around 97.9% efficient. The zero current and zero voltage switching overcomes the huge spikes of current and voltage of conventional converter and provides a technically viable soft-switching. Finally, the experimental results verify the performance of the proposed converter.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

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Conceptualization, KA and ZE; methodology, KA, ZE, and KC; software, KA, KC, and ZE; validation, KC, W-HP, and AE-S; formal analysis, KA and KC; investigation, ZE. and W-HP; resources, W-HP; data curation, AE-S; writing—original draft preparation, KA, KC, and ZE; writing—review and editing, W-HP and AE-S; visualization, AE-S; supervision, KA; project administration, W-HP; funding acquisition, KA and AE-S. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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