Polymers and Polymer Composites

Barrier properties, migration into the food simulants and antimicrobial activity of paper-based materials with functionalized surface

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Abstract

The study investigates four paper-based materials designed for short-time wrapping of meat products by determining morpho-structure, capillary-hydroscopic, barrier and antibacterial properties, wettability and migration into food simulants. The paper-based materials are coded as RO, SP, IT and SLO. RO and SLO samples exhibit the best barrier properties against water vapors. The low solubility and contact angles of RO, IT and SLO in A simulant (distilled water) make them suitable for aqueous food storage. The extremely high solubility of SP and SLO in simulant B (acetic acid) shows that wax and hydrophobized starch, respectively are carried by the acidic media, thus these agents are unlikely to coat the paper designed to package acidic food. SLO inhibits E. coli, Salmonella enterica, Lysteria monocytogenes, Pseudomonas aeruginosa and fluorescens. Polyethylene coated on RO and IT surface and wax impregnated on SP have a lower antimicrobial activity in comparison with hydrophobized starch coated on SLO.

Keywords

paper, barrier properties, wettability, migration in food simulants, antimicrobial activity

Introduction

Paper-based materials, especially those intended for food packaging, must meet certain conditions of mechanical resistance and should provide protection against gases, water vapors and light.¹ Paper is a fibrous material which may require treatment with various auxiliary materials to render it suitable for service. The structure of the paper has the following particularities: the heterogeneous composition of the structural elements determined by the presence of fibers with different lengths and provenances and of the auxiliary materials; the anisotropic distribution of the structural elements on the three dimensions as a result of the different orientation and dimensions of the auxiliary fibers and materials; the capillary - porous character of the structure, which conditions certain characteristics of the paper, such as: absorption capacity, air permeability, hygroscopic, deformation due to the variation of ambient air humidity, irreversible modification of some properties as a result of drying, etc..; the presence of the connecting forces between the structural elements that determine the mechanical strengths of the paper.²

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Paper is mainly made from cellulose fibers consisting of alternative crystalline and amorphous cellulose phases. Cellulose macromolecules contain free hydroxyl groups which confer a hydrophilic character of the surface and reduces the barrier properties against water vapor and other polar substances. In this regard, the coating of paper surface with different materials in order to enhance the barrier properties and the antimicrobial activity is of great interest.³ Currently, polyolefins,^{4,5,6} ethylene-vinyl alcohol copolymer (EVOH), polyesters and polyamides are used to reinforce the paper, in order to increase the hydrophobicity.⁴ Zhang et al.⁴ have prepared superhydrophobic paper with "Lotus" effect by coating a wax mixture of beeswax and carnauba wax onto paper surface. Tambe et al.⁵ obtained kraft paper coated with silylated soybean oil with superior barrier against water vapor.

Currently, the modification of paper with biodegradable materials is intensely studied. There are such compounds that are deposited on the surface of the paper which gives them antimicrobial activity and barrier properties superior to the unmodified paper. Polysaccharides, proteins, and lipids are biopolymer-based materials that offer environmental-friendly, recycling, and renewal capabilities, compared to conventional petroleum-based polymers .¹ Chitosan and its derivatives are widely used for paper coating for improving the barrier against water vapors, gases and flavors and in order to achieve antimicrobial activity .^{1,7-1}

The aim of this study was to evaluate the barrier properties, the migration into the food simulants and the antimicrobial activity of four types of functionalized papers and to establish their suitability for aqueous food packaging.

Materials and methods

Silica gel granules with moisture indicator, Escherichia coli (ATCC 25,922, Enterobacteriaceae), Pseudomonas fluorescens (ATCC 13,525), Pseudomonas aeruginosa (ATCC 10,145), Salmonella enterica (ATCC 13,076), as well as gram-pozitive bacteria Listeria monocytogenes (ATCC 19,114) and phosphate buffer 0.01 M were supplied from Merck (Germany). Acetic acid and ethanol 96% were purchased from Chemical Company, Romania.

Experimental

Four types of functionalized papers were characterized. They are coded as RO, SP, IT and SLO and are commonly used in Romania, Spain, Italy and Slovenia for short-time packaging of meat and meta products from store to home. The samples were selected randomly. Prior to analysis, the samples did not undergo any pre-treatment. The dimensional, morpho-structural, mechanical and chemical characterization of the four paper-based materials currently in use in Romania (RO), Italy (IT), Spain (SP) and Slovenia (SLO) was performed in our previous study.¹²

Capillary-hygroscopic characterization

Water vapor permeability (WVP) was gravimetrically determined according to the method described by Sobral et al.¹³ adapted for this study and is detailed in the Supplementary material S1.

Wettability (contact angle) and migration into food simulants

The contact angle of food simulants on the package's surface was determined. The distilled water (simulant A) mimics the aqueous foodstuffs, 3% acetic acid solution (Chemical Company, Romania) (stimulant B) mimics the acidic foodstuffs and 96% ethanol solution (Chemical Company, Romania) (simulant C) was used as fatty foodstuffs according to EU legislation 10/2011. Small portions of package were placed on a piece of glass by employing the sessile drop method.¹⁴ The detailed procedure is described in Supplementary material S2.

Scanning electron microscopy

Small piece of paper-sample was prepared and top and food-facing surfaces were analyzed. A double-beam FIB (focused ion beam) instrument (FEI Helios Nanolab 650) was used.

Fourier-transform infrared spectroscopy - FTIR

A FTIR-BX Perkin Elmer spectrometer was used. The wavenumber ranged between 600 and 4000 cm⁻¹. The resolution was 4 cm⁻¹ and 8 scans were overlapped at each measurement.¹⁵

Antimicrobial activity

The procedure was described by Zhang and Xiao¹⁶ and by Liu et al.,¹⁷ with some changes abd is described in Supplementary material S3.

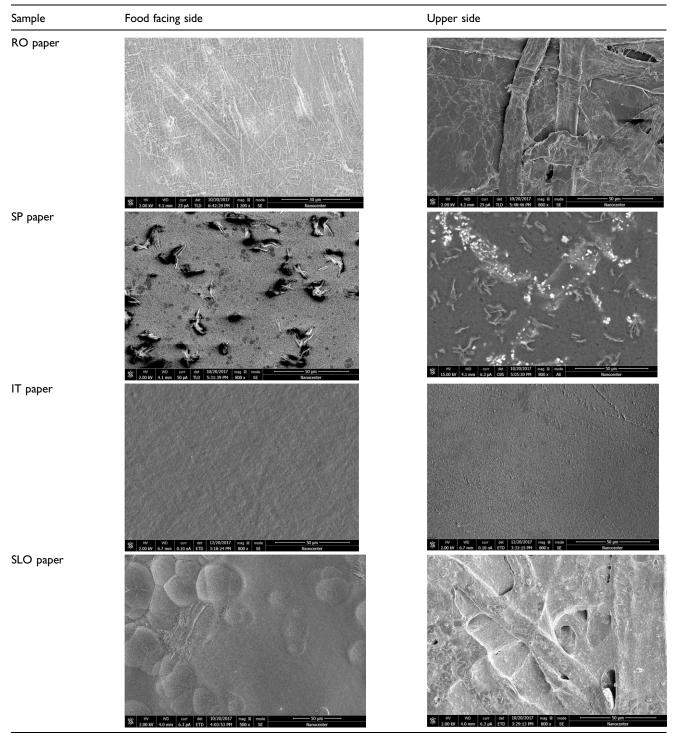
Results and discussion

SEM and FTIR results

The SEM images of the papers are displayed in Table 1. The SEM images of RO food-facing side and IT both sides show the presence of a homogeneous organic film coated on the paper sheet, that could be polyethylene, taking into consideration that this polymer is widely used for the paper proofing. Both SEM images of SP reveal the presence of an organic binder that holds together the cellulose fibers, suggesting that SP is waxed on both surfaces. The SEM image of the SLO food-facing side show the presence of starch molecules and that corresponding to upper side is a typical image of the cellulose fibers. Moreover, the information obtained by analyzing the SEM images was corroborated with the signals recorded by the FTIR spectra. The results of the FTIR investigation are included in Table 2.

The FTIR signals recorded in the FTIR spectrum of RO show the presence of a homogeneous polymeric film coated on the paper surface. Similar polymeric structure is coated on both sides of the paper in the IT sample. Specific peaks at 1731-

Table 1.	SEM	images	of	papers	used	in	the	present	study
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		Sample where this signal appears							
	Description			SP		IT		SLO	
Wavenumber (cm ⁻¹)			u	ff	u	ff	u	ff	u
3300–3335	OH stretching ^{4,7,10}		-	🖌 small	✓ small		-		-
2925–2850	Stretching bands $v(CH_2)$ and $v(CH)^7$	-		-		-		-	
2850–2920	C-H stretching from methyl and methylene groups		-		-		-		-
73 - 738	Asymmetrical stretching of C=O bonds from ester groups	-	-			-	-		
1641	Asymmetrical stretching C=O bond from carboxyl, aldehydes and ketones		-	-	-		-	-	-
1650-1653	Typical H_2O bending specific to starch and its derivative ^{4,7}	-	-	-	-	-	-		-
1460–1465	$\delta(CH_2)$ deformation vibrations due to the cellulose crystallinity ^{3,4,7,10}	-		-		-		-	
1376	δ (CH) in plane deformation vibrations corresponding to amorphous cellulose ^{7,10}	-		-		-	-	-	
1392 and 1325	Stretching of C-H from alkanes ³		-		-		-		-
880–905	Vibration of buthyl and hexyl branches from polymeric chain of the polymeric film ⁷		-	-			-		-
724–727	Vibration of methylene band ¹⁰	-		-		-		-	

Table 2. Signals recorded in the FTIR spectra of the papers (ff - food-facing side, u-upper side).

 1738 cm^{-1} appear exclusively in the FTIR spectra of SP and SLO and show the presence of an organic material. Moreover, the peak 1650-1653 cm⁻¹ from the FTIR spectrum of the SLO food-facing side corresponds to hydrophobized starch, result confirmed also by the SEM image.

Capillary-hygroscopic characterization

The capillary properties of the papers are displayed in Table 3. All values are significantly different ($p \le 0.05$, Tukey's test). The moisture of the papers is in the range 2.57–4.74%. These values are lower than the standard (between 5 and 8%, according to Romanian SR EN ISO 287:2009), thus revealing that the samples papers are not regular papers. The highest Cobb₆₀ index was measured for IT and SP samples, while the most reduced capacity for water absorption was expressed by SLO and RO. At 20° C as well at 4°C, WVP increases in the following order: SLO<IT≈RO<SP. WVP of RO, IT and SLO was about 65% lower than of SP. The permeability to oxygen was high for all the investigated papers, but the samples expressed a relative high permeability to grease.

Wettability and migration in food simulants

Table 4 displays the contact angle of food simulants on the surface of investigated papers and the average values of contact angles. The correlation coefficients values are in range of 0.9543–0.9916. All values are significantly different ($p \le 0.05$, Tukey's test). The values of contact angle obtained when the A simulant (distilled water) is dispersed on the coated surface of the papers were in the range 75.6–64.1°. The contact angle obtained by the distilled water on the IT surface was the lowest (64.1%) while the contact angle formed on SP was 75.6%. The contact angles formed by B simulant on the paper's surface were in the range 67.7–75.2° and varied as follows: SLO>RO≈IT>SP. The highest reduction in the contact angles was obtained in the case of C simulant (ethanol 96%) due to its highly non-polar nature. Thus, the contact angle was reduced 2.68-fold in the case of RO, 2.80-fold in the case of SLO and 2.10-fold in the case of SP, when compared with the values corresponding to A simulant.

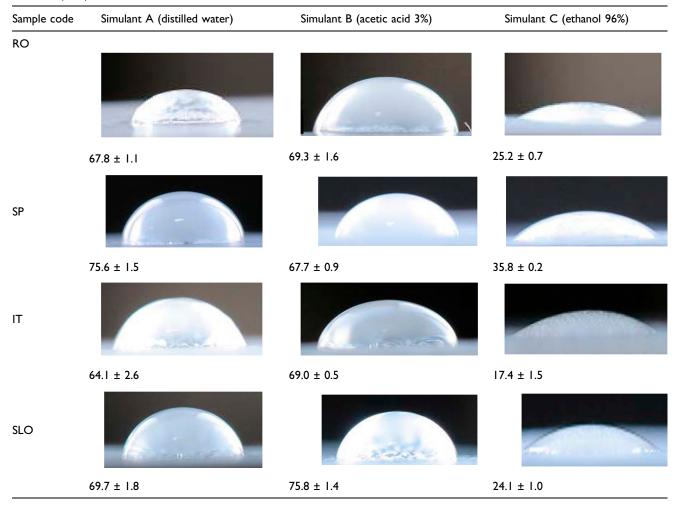
The solubility of the papers in food simulants is shown in the Figure 1. The values significantly different in the statistical sense ($p \le 0.05$, Tukey's test) and the correlation coefficients are in the range 0.9157–0.9835. The highest solubility at 20°C is exhibited in A simulant by SLO (3.70%), followed by SP (3.55%), RO (1.54%) and IT (1.45%). In comparison with the values for A simulant, the solubility in B simulant increases 4.43-fold for SP, 2.61-fold for SLO and 1.90-fold for RO and IT as compared with that in simulant A. The low-polar nature of C simulant (ethanol 96%) stimulates the solubility of the RO, IT and SLO packages by ~2.52-fold and 1.34-fold, respectively and slightly decreases the solubility of SP by 1.03-fold, in comparison with those obtained for A simulant. At 4°C, the increase of the overall migration with increasing non-polar nature of the simulants is apparent (Figure 1). Higher values of the solubility are obtained for all packages in the case of C simulant (ethanol 96%), excepting SP. By reducing the temperature, an interesting aspect can be noticed in the evolution of solubility as compared with those obtained at 20°C. Although we expected a reduction of the paper components dissolution an increase in the solubility occurred. Thus, the solubility of RO and IT into A simulant at 4°C increased by 1.05 times and decreases by 1.27 times in the case of SP and by 1.04 times in the case of SLO, in comparison with the values in the same simulant at 20°C. In B simulant, the solubility of paper-based packages decreases under refrigerated conditions for RO, IT and SLO by 1.05, 1.05 and 1.14 times, respectively and for SP an increase by 2.07 times was noticed.

Moisture			Water vapo permeability (g s ⁻¹ m ⁻¹ F	(WVP)	Permeability to oxygen	Grease and oil resistance (units	
Sample		$Cobb_{60}$ index (g/m ²) f/r ^a	20°C	4°C	(cm ³ /m ² day)	from KIT model 3M)	
RO	3.94 ± 0.15	18 ± 2/0.2 ± 0.005	3.6 ± 0.14	1.4 ± 0.08	>9570 ± 150	<3 ± 0.05	
SP	2.57 ± 0.09	42.5 ± 2.6/1.3 ± 0.09	10.3 ± 0.6	4.0 ± 0.04			
IT	4.74 ± 0.16	48 ± 3.4/1.2 ± 0.01	3.5 ± 0.24	1.4 ± 0.1			
SLO	3.57 ± 0.13	25 ± 1.8/0.1 ± 0.0005	2.6 ± 0.24	1.2 ± 0.08			

 Table 3. Capillary-hygroscopic characteristics of the papers. Each value is the average of three replicates. The standard deviation (RSD) was lower than 5%.

^af - side in contact with the machine sieve/r - opposite side.

Table 4. Contact angle (°) of different simulants on paper based packages. Each value is the average of three replicates. The standard deviation (RSD) was lower than 5%.



The highest Cobb₆₀ water absorption index was measured for IT and SP samples, while the lowest values were obtained for RO and SLO. A low water absorption value indicates the suitability of the paper for food packaging. In the case of SLO paper, however, it can be seen that the modified starch surface leads to low absorption values, due to its hydrophobic character, caused by mineral ions that crosslink sites in adjacent macromolecules forming interstitial spaces to trap water.²³ These values could be reached only when a highly advanced paper treatment is used. Normally, according to Romanian SR EN 535:2014, a Cobb₆₀ around 20 g/m² is the appropriate value for a good quality packaging paper. This value is reached by RO and SLO. In contrast, the Cobb index of IT and SP is ~2-fold higher than that of RO sample. The values of Cobb₆₀ index are in good agreement with those obtained for water vapor permeability (WVP). The lowest value of WVP at 4°C as well at 20°C was determined for SLO sample, for which a relatively low Cobb index was obtained, indicating that this type of paper is an efficient barrier against water vapor. A way to improve the characteristics is presented by Akter et al.¹⁸ that applied a chitosan coating onto kraft paper and obtained a material with lower water vapor permeability. Moreover, they showed that the water vapor permeability increased as the chitosan concentration was raised from 1% to 3%. It was shown that the paper samples

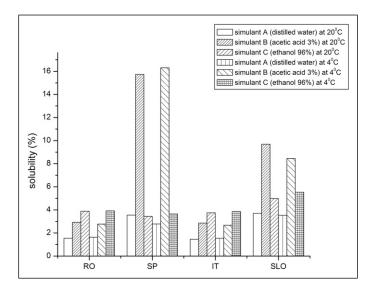


Figure 1. Overall migration from the paper-based packages into the food simulants.

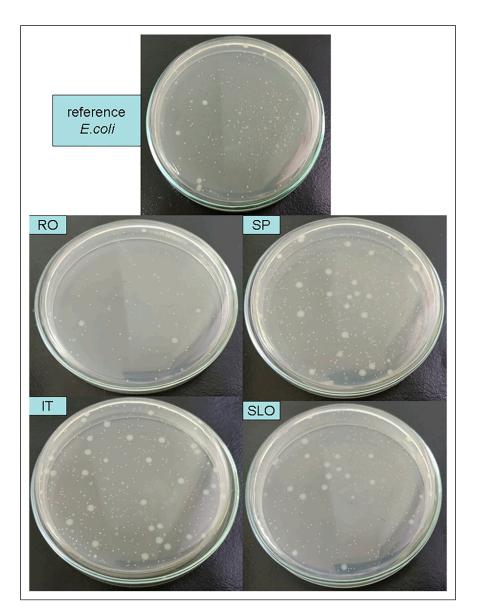


Figure 2. Development of E. coli in nutritional medium non-modified (reference) and modified with aqueous extract of papers.

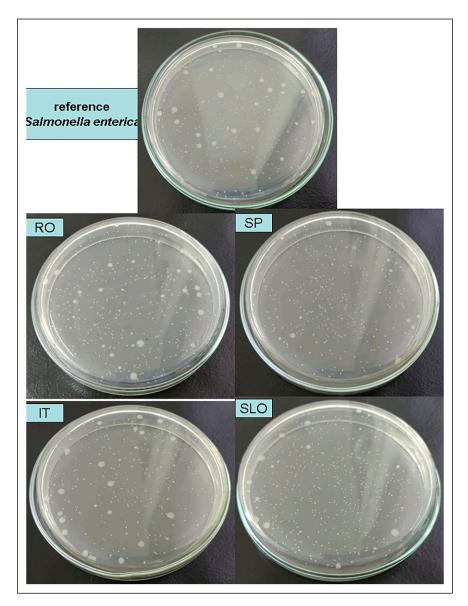


Figure 3. Development of Salmonella enterica in nutritional medium non-modified (reference) and modified with aqueous extract of papers.

modified with chitosan derivatives and alkyldimerketene emulsion exhibit lower water absorption index, but this index increases by thermal treatment due to alkyldimerketene degradation.⁷ Not all the chitosan derivatives have the ability to significantly reduce the Cobb index. For example, alkyl chitosan reduced the water absorption capacity of microfibrillated cellulose to a greater extent than quaternary chitosan and carboxymethyl chitosan, respectively.

A very important aspect is that none of the support paper was impregnated with greaseproof agent (by adding a specially designed additive for this barrier property). KIT values are below 3, indicating their resistance to grease and, in case of high-fat foods, the fact that the fat cannot penetrate the pack or become the suitable environment for the migration of the components from the package into the food. Good adhesion of the packaging to the food surface reduces the amount of oxygen from the inner space which decreases the intensity of the oxidation. On the other hand, tight food-packaging contact induces migration of the packaging components into the food.

The contact angle of all food simulants is lower than 90° and denotes a spontaneous partial or extended wetting of paper surface (Table 4). The composition and topography of papers significantly influences the values of the contact angle. High values of the contact angle were obtained when the A simulant (distilled water) is dropped on the coated surface of the papers, suggesting their different hydrophobic character. The most intense corresponds to SP, followed by SLO, RO and IT. This behaviour is confirmed by the Cobb₆₀ index. IT sample also has the highest capacity for water absorption (Cobb₆₀ index). The contact angle made by distilled water on the coated IT surface was the lowest, suggesting that this sample has the most reduced hydrophobic character. In contrast, SLO sample has reduced Cobb₆₀ index and WVP value as well as high contact angle also suggesting the pronounced hydrophobic character. Sample SP forms a water drop with the highest contact angle as a result of its most pronounced hydrophobic character. This observation demonstrates that the wax coated on the both sides of cellulose (case of SP sample) has a pronounced hydrophobic character than the other coatings (polyethylene or modified starch). There is a contrast between the wettability and the WVP of the SP paper. Wettability is low, while water vapour permeability is high

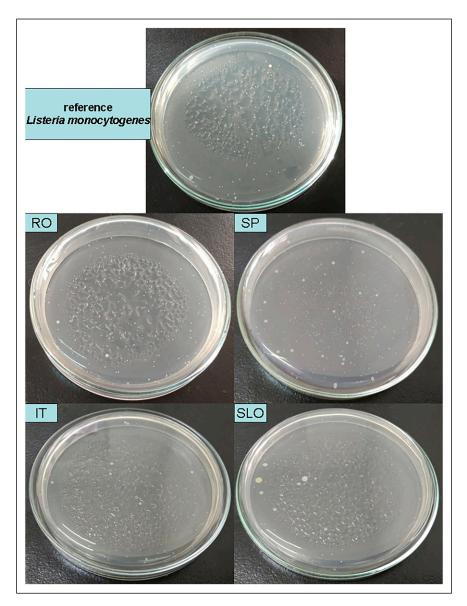


Figure 4. Development of Listeria monocytogenes in nutritional medium non-modified (reference) and modified with aqueous extract of papers.

for this sample. Chevalier et al.¹⁹ and Rauch²⁰ mentioned that, the water vapour permeability (WVP) is affected by various parameters. It was noticed that the materials present poor water barrier by wax addition, as a function of extruder configurations, screw speed, feed rate and operating conditions.¹⁹ They have investigated different types of edible films made with various types of waxes, have obtained a high value of WVP for the edible film made with Candelilla wax as compared to that containing beeswax and carnauba wax, thus demonstrating that the waxes have different water vapor barriers as a result of the morphology and structure of the matrix. This affects the tortuous migration path length of water molecules diffusing through the composite material. Shih et al.²¹ also showed that the WVP remained unchanged by modifying the edible film with 15.5 (wt)% rice wax. Based on these findings, we can establish that the amount of wax coated on the double side of SP paper is relatively low and didn't assign an efficient barrier against water vapours. Literature data noticed that hydrophobized starch used for coating applications^{22,23} and synthesized as anionic,²⁴ cationic²⁵ or non-ionic²⁶ derivative ensures a good protection against water.

By increasing the non-polar character of the simulant, the paper surface – simulant compatibility is enhanced and the dispersion of the simulant is improved. The highest reduction in the contact angles was obtained in the case of simulant C (ethanol 96%) due to its highly non-polar nature. Thus, the contact angle was reduced in the case of RO, SLO and SP. The packaging of fatty foodstuffs seems to have favorable effect due to tight adhesion of the package to the foodstuffs surface which reduces the amount of incorporated oxygen and decreases the rate of the oxidation processes. According to Oliver and Mason,²⁷ the spreading is enhanced by the rough surfaces for liquids that forms contact angle lower than 90°.

Overall migration (solubility) gives important information about the packages' integrity and the migration of packages components into the foodstuffs.²⁸ The highest solubility at 20°C in A simulant is exhibited by SLO, followed by SP, RO and IT. A detailed analysis shows that the increased solubility of SLO paper could be explained by the ionic character of the hydrophobic starch derivative deposited on the cellulosic paper surface,²² resulting in the reduction of cohesive and adhesive

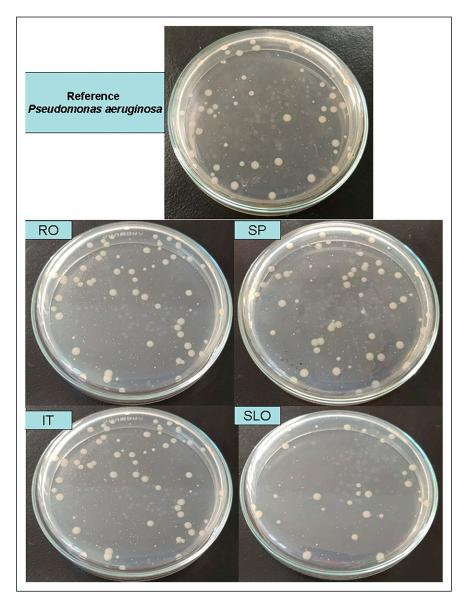


Figure 5. Development of Pseudomonas aeruginosa in nutritional medium non-modified (reference) and modified with aqueous extract of papers.

strengths in the presence of A simulant (distilled water) that enhances the paper dissolution. The wax covering both sides of SP paper has also a certain hydrophilic nature and favors the solubility of the paper. The most resistant to A simulant seems to be RO and IT papers, due to the presence of polyethylene layer coated on one side of the paper which acts as a barrier against cellulose-simulant contact. The polarity of simulants significantly influences the solubility of papers. By adding acetic acid, the polarity of distilled water is reduced, the paper-simulant compatibility is enhanced and the solubility is facilitated. Thus, the SP solubility in B simulant increases in comparison with SLO, RO and IT. The low-polar nature of C simulant (ethanol 96%) stimulates the solubility of the RO, IT and SLO papers and slightly decreases the solubility of SP. At 4°C, the increasing of the overall migration with the non-polar nature of the simulants is also observed. Higher values of solubility were obtained for all papers in the case of B and C simulant (acetic acid 3% and ethanol 96%, respectively), while the lowest were noticed in the case of A simulant (distilled water). By analyzing the temperature influence, an interesting aspect can be noticed in the solubility of all four materials into A simulant (distilled water) at 20°C is similar with that determined at 4°C. The solubility of all four types of materials in B simulant (acetic acid 3%) is slightly higher at 20°C than at 4°C, excepting SLO sample. The chemical aggression of simulant C (ethanol 96%) over the materials was more intensive at 4°C that at 20°C in the case of SP and SLO samples. This behavior could be due to the more intensive shrinkage of the material as a result of the paper coating that allows a more advanced paper-simulant contact and favors the dissolution.

Antimicrobial activity

The images of the Petri dishes with the development of the used bacteria strains are illustrated in Figure 2 to 6. The growth of E. coli strains is displayed in Figure 2. It can be seen that the number of colonies developed in the presence of RO and SLO extracts is lower than that of the control plate. By analyzing the development of S. enterica (Figure 3), a higher number of

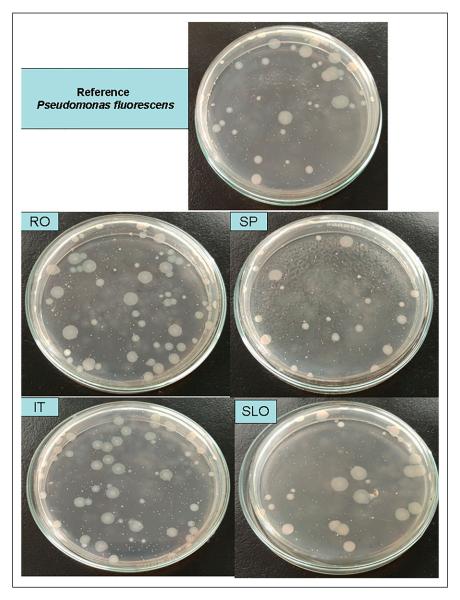


Figure 6. Development of Pseudomonas fluorescens in nutritional medium non-modified (reference) and modified with aqueous extract of papers.

Table 5. Values of inhibition index (GIB) of the papers against bacteria. Each value is the average of three replicates. The standard deviation(RSD) was lower than 5%.

	Sample							
	RO	SP	IT	SLO				
Microorganism	Inhibition level of bacteria growth (GIB) (%)							
E. coli	15.12 ± 1.5	-128.77 ± 5.4	4.10 ± 0.7	10.95 ± 2.4				
Salmonella enterica	1.35 ± 0.4	2.54 ± 0.4	0.57 ± 0.04	1.38 ± 0.07				
Listeria monocytogenes	11.30 ± 1.9	15.01 ± 1.5	5.75 ± 0.9	16.90 ± 1.5				
Pseudomonas aeruginosa	-39.92 ± 2.6	-44.27 ± 2.8	-40.15 ± 3.4	12.53 ± 3.7				
Pseudomonas fluorescens	-10.46 ± 1.4	10.72 ± 1.7	-0.57 ± 0.01	16.20 ± 4.1				

colonies in Petri plate with RO, SP and SLO was observed. In contrast, the development was inhibited in the presence of IT sample. The growth of L. monocytogenes strains (Figure 4) was negatively affected by RO and SLO, while IT and SP samples showed a negative activity. All the papers have stimulated the development of P. aeruginosa bacteria (Figure 5), excepting SLO paper, that expressed an inhibitory activity. In the case of the P. fluorescens bacteria (Figure 6), RO and IT papers showed negative inhibitory activity, while SP and SLO exhibited moderate antibacterial activity.

11

The values of inhibition level of the used bacteria are given in Table 5. All values are significantly different ($p \le 0.05$, Tukey's test). A negative value of the inhibition level means that the number of colonies developed in the presence of the paper extract is higher than those growths on the non-modified nutritional medium. A positive value exhibits an antibacterial activity of the paper. E. coli was inactivated with the highest extent by RO (15.12%) and SLO (10.95%), while SP and IT papers did not exhibit antibacterial activity against E. coli. The antimicrobial activity against S. enterica was poor in the case of all investigated papers. Listeria monocytogenes is inhibited in the highest extent by RO, SP and SLO. SLO paper presented the highest antimicrobial activity against P. aeruginosa and P. fluorescens, which although is inhibited also by SP paper. Our previous study consisting in the morpho-structural, dimensional, fibrous and mechanical resistance of the four types of paper-based materials¹² showed that RO paper is coated with polyethylene film, SP is a waxed paper and SLO sample is paper modified with hydrophobized starch. Their structure explains the different antimicrobial activity of the samples. It is known that polyethylene has no antimicrobial activity. Wax²⁹ and starch³⁰ present antimicrobial activity.

All the papers were found to comply with the limit of the total number of germs. The Romanian legislation imposes a limit of 1 CFU/cm² package. In all the papers, the CFU was $<1/cm^2$, which proves that they can be used for food packaging. The positive values of GIB parameter showed that SLO paper is able to inactivate all types of tested bacterial strains, due to the modified starch coated on the surface. Probably, the starch treatment gave rise to compounds with a superior antimicrobial activity than PE and wax. The negative values of GIB observed for RO, SP and IT papers reveal that polyethylene coated on RO and IT surface and wax impregnated on SP paper have a lower antimicrobial activity in comparison with hydrophobized starch coated on SLO paper.

Conclusions

Four types of functionalized papers (RO, SP, IT and SLO) were investigated by determining capillary-hydroscopic, barrier and antibacterial properties, wettability and migration into food simulants. Morphological and structural investigations showed that RO and IT are polyethylene-paper structures, SP is waxed-paper and SLO is paper coated with hydrophobized starch. RO and SLO exhibit the best barrier properties against water vapors. The low solubility and higher contact angles of RO, IT and SLO in A simulant (distilled water) make them suitable for packaging food with high content of water. The extremely high solubility of SP and SLO in simulant B (acetic acid) is explained by dissolution of wax and modified starch, respectively supported on paper's surface and shows that the coating the paper with these two agents is not recommended for the storage of the acidic foodstuffs. SLO paper is able to inactivate E. coli, S. enterica, Lysteria monocytogenes, P. aeruginosa and fluorescens. Polyethylene coated on RO and IT surface and wax impregnated on SP paper have a lower antimicrobial activity in comparison with hydrophobized starch coated on SLO paper.

Declaration of conflicting interests

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Supplemental Material

Supplemental material for this article is available online.

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