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1	Effects of soil properties and geomorphic parameters on the
2	breach mechanisms of landslide dams and prediction of
3	peak discharge
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11	

12 Abstract

Breaching of a dam depends on the complex interaction between the dam and the 13 backwater lake. Here, we conduct a series of experiments to investigate the failure 14 mechanisms of landslide dams by considering debris composition and geomorphic 15 parameters (dam height and lake volume), discern the failure mode and predict peak 16 outflow rates of landslide dams in the field and in model tests. The failure modes of 17 landslide dams are regulated by soil properties such as the shear strength and seepage. 18 Failures of fine-grained and widely graded dams are induced by overtopping along 19 20 with seepage instability and headcutting, respectively. Coarse-grained dams remain stable. Geomorphic parameters of dams govern the infilling time and affect the failure 21 modes by the seepage. Seepage significantly reduces the stability of fine-grained 22 23 dams and changes breach evolution and duration, while its effect on widely graded and coarse-grained dams is weak. Peak outflow rates of fine-grained dams are larger 24 than those of widely graded dams with the same dam height due to larger breach 25 depths and erosion rates. The peak outflow rate and breach duration are more related 26 to the breach depth than the dam height because the influence of soil properties is 27 considered in the former. Peak outflow rates of landslide dams are well predicted by a 28 regression analysis with the lake volume, dam height and soil properties. Our results 29 facilitate the understanding of breach mechanisms of landslide dams and prediction of 30 peak outflow rates based on dam parameters. 31

Keywords: Landslide dams, failure mode, soil properties, geomorphic parameters,
peak outflow rate

34 1. Introduction

Landslide dams develop when rivers are blocked by debris material from landslides, avalanches, and debris flows [31, 13, 39, 41, 42]. Landslide dams are often induced by strong earthquakes, cloudburst and snowmelt caused by climate warming, threatening life and property downstream [19, 27, 29]. For example, the Baige landslide dam breached on 12 November 2018 and the peak discharge was up to 33,900 m³/s [40].

The failures of landslide dams depend on complex interactions between dam 41 42 characteristics such as debris composition and dam geometry, and the hydrological parameters of the river like lake volume and inflow rate [13, 2]. The research shows 43 that dams usually breach by overtopping and headcutting [7, 46, 8, 43]. Dam failures 44 45 directly caused by seepage occur relatively infrequently. Landslide dams are usually composed of weakly sorted debris with a wide grading (micrometers to meters), 46 constraining the development of seepage channels [27]. The longevity of a dam is 47 often smaller than the time for seepage channels through the dam to develop due to a 48 large dam width [29]. The failure mode is regulated by debris strength and its 49 permeability [9, 26]. The influence of debris type on the failure mode for landslide 50 dams has been investigated through a series of laboratory tests [16, 17]. The infilling 51 52 stage from dam formation to breaching largely depends on the geomorphic parameters of a dam and backwater alters debris strength by the seepage. However, the effect of 53 geomorphic parameters and dam composition on the failure mode is rarely explored. 54 Landslide dam stability is significantly affected by the backwater lake, dam 55

geometry and the debris composition [12]. At present, the dam's stability is evaluated 56 by the use of the geomorphic parameters of the river and dam [19]. The blockage 57 index proposed by Canuti et al. [4] indicates the correlations of the basin area and 58 landslide volume to the landslide dam stability. The dam height is incorporated in the 59 blockage index proposed by Ermini and Casagli [12] and Korup [19] because 60 overtopping and seepage gradient in a piping passage are closely related to the dam 61 height. The hydromorphological stability index presented by Stefanelli et al. [33] is 62 based on destabilization of the dam by the flowing river. Nevertheless, the potential 63 correlations between debris composition and the stability of a dam are rarely 64 considered. 65

Prediction of peak outflow rate is crucial to evaluate the risk posed by a 66 67 breaching flood. Costa and Schuster [11] conducted the first widely known estimation by means of an empirical model considering the drop of water level or lake volume 68 and dam height. Subsequently, lake volume, dam height and dam erodibility have 69 70 been used to calculate the peak outflow rate by regression analysis for landslide dams in the field [22]. In addition, physically based models employ the theories of 71 hydraulics and soil mechanics to obtain the development process of a breach and the 72 corresponding discharge hydrograph. For instance, Chang and Zhang [5] propose a 73 74 DABA model and Zhong et al. [44] present a DB-IWHR model which is applied to the breach simulation of landslide dams. The erosion rate of the dam debris and rate 75 76 of breach development are commonly obtained using empirical equations in these physical models. Prediction of peak breach discharge based on available parameters is 77

78 still challenging.

A series of tests were conducted to analyze the failure mechanisms of landslide 79 dams with different debris compositions and geomorphic parameters and investigate 80 the failure modes and outflow rates. The structure of this study is as follows. The 81 experimental equipment, debris composition and experimental objective are first 82 presented. Subsequently, we analyze the breach processes and failure modes of 83 landslide dams. Correlations between the breach parameters and dam parameters 84 obtained from tested dams and landslide dams in the field are presented. Finally, we 85 compare the longitudinal evolutions of fine-grained and widely graded dams, the 86 potential breach risk of the residual dams, and the effects of debris composition and 87 dam height on the peak outflow rate. 88

89 2. Materials and Methods

90 **2.1 Experimental equipment**

The experimental flume includes an impounding reservoir, a water injection 91 92 pump and a drainage pump (both with maximum flow rates of $0.1 \text{ m}^3/\text{s}$), and straight chutes. The drainage pump was used to discharge the water at the downstream of the 93 dam. Two chutes were adopted in these tests: a bigger chute of 42 m length, 0.80 m 94 width and 1.25 m height; a smaller chute of 5.0 m length, 0.40 m width and 0.4 m 95 height. The sidewalls of both chutes were made of transparent tempered glass and 96 thus breach processes of landslide dams can be recorded. The bottoms of both flumes 97 were horizontal, considering the Tangjiashan landslide dam had a low longitudinal 98 grade (0.006) during breaching [23]. An electromagnetic flowmeter was utilized to 99

100 control the inflow rate of the upstream reservoir.

101 The breach process and the upstream water level of the dam were recorded by a 102 camera (EOS550D, Canon, 3456 x 5184 pixel) and a video camera, respectively, at 103 the side of each chute (Fig. 1). The breach discharge was calculated using 104 photographs taken by the camera combined with a steel tape. The overflow process of 105 a dam-break flood was recorded by two video cameras (GZ-R10BAC, JVC, 1080 x 106 1920 pixel) above and downstream of the dam in each flume.



107

Fig. 1. Experimental apparatus: (a) schematic diagram of the model dam in the larger
flume with a length of 42 m; (b) photograph of the fine-grained dam (test F1). The
grid in red was used to delineate the section development of a dam.

111 **2.2 Dam materials**

As shown in Fig. 2, grain distributions of the model dams were derived from landslide dams in the field. Three debris compositions termed widely graded, fine-grained, and coarse-grained were obtained based on the Tangjiashan, Hsiaolin and Xiaogangjian landslide dams, respectively [5, 20]. The definition of debris types was based on the dam material which is inconsistent with the standard engineering 117 classification of soils [32]. The median particle sizes d_{50} of the widely graded and 118 fine-grained debris were significantly smaller than the values for the coarse-grained 119 debris (Table 1).



120

121

Fig. 2. Grading curves of debris material in tested dams.

Each tested dam had a dry density ρ_d of 1780 kg/m³, matching the drillhole data of in-field dams [5]. gravel (2–60 mm), sand (0.075–2 mm) and Silt (0.005–0.075 mm) were mixed to prepare the dam debris, as shown in Fig. S1. The maximum particle size was 60 mm (coarse gravel) for coarse-grained debris whereas the value was 2 mm (coarse sand) for fine-grained debris as recommended in the current specification of soil test [32]. The mass of the model dam was calculated by the dry density and dam volume. Then, the masses of the different debris fractions were obtained.

129 Geotechnical properties of the dam debris were evaluated. The hydraulic 130 conductivities k of the debris were obtained by constant-head permeability tests at the 131 same dry density as the tested dams (Table 1). The values of k for widely graded and 132 fine-grained debris were significantly smaller than that of coarse-grained debris. The 133 shear strengths of debris materials were obtained by large-scale triaxial tests (GCTS, 134 600 mm x 300 mm). All debris was cohesionless. The internal friction angles φ of

135 widely graded and fine-grained debris were smaller than that of coarse-grained debris.

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Table 1. Geotechnical properties of dam debris

Dam material	$ ho_d$ (g/cm ³)	<i>d</i> ₅₀ (mm)	C_u	C_c	<i>c</i> (Pa)	φ (°)	<i>k</i> (10 ⁻⁴ m/s)
Fine-grained	1.78	0.8	5.5	1.2	0	26.8	2.0
Widely graded	1.78	3.7	61.3	0.7	0	37.8	3.3
Coarse-grained	1.78	20.7	28.7	2.5	0	43.1	248.0

137 Note: C_c and C_u are the curvature coefficient and uniformity coefficient of the grading curve, 138 respectively. φ and c are the internal friction angle and cohesion, respectively.

139 **2.3 Experimental objective**

The tests aimed to investigate the failure mechanisms of landslide dams, discern the failure modes of the dams with different debris, and analyze the effects of the dam and lake parameters on the breach process and peak outflow rate. The relevant parameters used in the tests were debris composition (widely graded, fine-grained and coarse-grained), dam height, and volume of the upstream lake (Table 2). A total of eleven tests were conducted.

Table 2 Characteristics of the different tests

Test	Debris material	h_d (m)	$b_l(\mathbf{m})$	V_u (m ³)	C _d	Cl
F1	F	0.90	26.5	19.1	1.3	3.0
F2	F	0.40	26.5	8.5	1.7	5.1
F3	F	0.24	2.0	0.2	1.6	2.4
F4	F	0.90	11.9	8.5	1.3	2.3
F5	F	0.90	11.9	8.5	1.3	2.3

W1	W	0.90	26.5	19.1	1.3	3.0
W2	W	0.40	26.5	8.5	1.7	5.1
W3	W	0.24	2.0	0.2	1.6	2.4
C1	С	0.90	26.5	19.1	1.3	3.0
C2	С	0.40	26.5	8.5	1.7	5.1
C3	С	0.24	2.0	0.2	1.6	2.4

147 Note: C, F, and W represent the coarse-grained, widely graded and fine-grained dams, respectively. 148 h_d is the dam height. b_l and V_u are the length and volume of the backwater lake, respectively. c_d is 149 the dam shape coefficient which is equal to the cubic root of the dam volume divided by height. c_l 150 is the lake shape coefficient which is equal to the cubic root of lake volume divided by dam height. 151 F4 and F5 are repeated tests with the same experimental parameters.

The debris grading in tests F1-F5, W1-W3 and C1-C3 were fine-grained, 152 widely graded and coarse-grained debris, respectively. The dams with heights of 0.90 153 m and 0.40 m were tested in the larger flume. The lengths of the upstream reservoirs 154 were determined to be 26.5 m and 11.9 m for varying lake volumes by comparing F1-155 F2 and F4–F5. The dams with heights of 0.24 m were tested in the smaller flume with 156 a fixed length of the upstream reservoir of 2.0 m. The aim was to analyze the effect of 157 infilling time before overflow on the failure mode by comparing dams in the larger 158 and smaller flumes. The inflow rate was 1 L/s for all tests. 159

160 Natural dams commonly have geometrical parameters varying over a wide range 161 [40]. Thus, a prototype dam was not selected in this study. The length of trapezoidal 162 dams was equal to the flume width. For a natural landslide dam, the upstream and

downstream slopes vary from 11°-45° [40]. Here, the slope angles of the dams were 163 determined to be 30° for all tests. The crest widths were 0.50 m for dams with a 164 height of 0.9 m. The crest widths of dams with heights of 0.40 m and 0.24 m were 165 reduced in proportion to the dam height, compared to the dams with heights of 0.9 m. 166 The dam shape coefficients c_d of 1.3–1.7 and lake shape coefficients c_l of 2.3–5.1 of 167 tested dams matched the values for natural landslide dams [45]. This indicated that the 168 dam geometries satisfied scaling laws. A rectangular groove with a width and depth of 169 0.05 m was excavated on the dam crest for the simulation of the artificial spillway that 170 is usually used to reduce the peak outflow rate of a landslide dam (Fig. 1). 171

172

2.4 Experimental procedure

173 The test process is as follows:

174 (1) The outline of each dam was depicted on the flume sidewall by use of a175 grease pencil.

(2) Dams were prepared in layers. The thickness of each layer was 0.1 m for
dams with heights of 0.9 m or 0.4 m; the equivalent value was 0.08 m for dams with
heights of 0.24 m.

(3) The inflow rate was 1 L/s throughout the experiment. The outflow water from
the dam was discharged by the dewatering pump. Snapshots from still and video
cameras were automatically stored.

(4) The failure mode of a tested dam was obtained from the recorded pictures.
The stage hydrograph was delineated from the snapshots and the outflow rate was
obtained from the stage hydrograph based on the continuity equation [43]. The

185 geometry of the deposition after dam breaching was measured with a ruler.

186 **3. Breach processes and failure modes of landslide dams**

Firstly, the failure processes of landslide dams are introduced in this section. The influence of seepage on the failure modes and stability of landslide dams is then evaluated. Finally, the deposition characteristics of landslide dams after breaching are presented.

191 **3.1 Breach processes of landslide dams**

192 **3.1.1 Breach processes of fine-grained dams**

The fine-grained dam in test F1 had a height h_d of 0.9 m (Table 2). With the 193 upstream water level $h_u = 0.07$ m, the water seeped through the downstream slope 194 face of the dam. Affected by the seepage flow, the debris close to the downstream toe 195 was eroded away when $h_u = 0.14$ m. As shown in Fig. 3, the dam slope in the 196 downstream direction below the phreatic line was partly liquefied and increasingly 197 entrained and its slope angle reduced to 15-20°. The reason was the shear strength of 198 fine-grained debris (Table 1) was lower than the sum of the sliding stress generated by 199 gravity and seepage stress. The dam slope above the phreatic line continually slid and 200 collapsed, forming an almost-vertical free face (Fig. 3a). This reduced the width of the 201 dam crest before outflow. Thereafter, the water flowed through the breach and a turbid 202 hyperconcentrated flow was developed by entraining the fine-grained debris. An 203 overtopping failure of the dam was thus initiated. This breach quickly widened in both 204 the cross-stream and stream-flow directions, lowering the dam height. The breach 205 retained its trapezoidal shape with an angle of 45–60° during overtopping. This slope 206

angle was greater than the φ value of fine-grained debris because of the lateral pressure applied by the overflow. Finally, clear water flowed over the dam site, indicating the residual dam was not entrained by the overtopping flow.

The dam-breaching processes in tests F2–F5 were the same as in test F1 (Fig. S2). The heights of the almost-vertical free faces in tests F1 and F2 were larger than that in test F3. This is because the dams in tests F1 and F2 had larger lake volumes (Table 2) and sufficient time to form the free face before dam breaching. Regardless of the lake volume and dam height, the fine-grained dams in tests F1–F5 failed by overtopping along with seepage instability.



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Fig. 3. Breach process of the fine-grained dam in test F1. The width and depth of the trapezoidal breach rapidly increased and the dam height decreased due to the outburst flood.

220 3.1.2 Breach processes of widely graded dams

As shown in Fig. 4, the fine debris around the dam toe was eroded away by

seepage and the coarse debris was stable for the widely graded dam in test W1. As a result, a piping channel was not observed. The angle of the downstream dam slope was approximately its initial value (30°). This process was different from that of fine-grained dams because widely graded debris had a larger φ value (Table 1).

When water overflowed through the breach, headcutting occurred when the 226 critical shear stress with respect to debris initiation was exceeded on some part of the 227 downstream dam slope. Fine sand and silt were entrained by the flood, indicated by 228 the milky outflow, while few coarse gravel grains were eroded. A channelized flow 229 230 occurred which conversely enlarged the depth and width of the breach. The channelized outflow rate was increased by this positive feedback. The channel head 231 progressively migrated toward the dam crest with a consequent entrainment of debris 232 233 material. Some coarse debris was first initiated and then deposited around the dam toe, leading to the deflection of the channel. A waterfall and stepwise structure, termed a 234 'cascading step' by Wang et al. [34], was developed because coarse gravel was more 235 236 difficult to carry away than finer debris due to a large uniformity coefficient of debris material (Table 1). The cascading-step structure is observed for the Yujunmen and 237 Tiger-leaping Gorge landslide dams [34, 35]. During headcutting migration, the 238 breach depth and dam height remained nearly unchanged. The side slope of the breach 239 240 collapsed when the channel head arrived at the upstream dam's slope face and the dam height significantly decreased. The lateral slope had a smaller expansion rate 241 than the vertical slope, leading to a growth of the breach angle. The breach was nearly 242 vertical in the cross-stream direction and even toppling failure occurred. 243

The time from the overflow to the breach termination (550 s) in test F1 was 244 significantly lower than the value (2540 s) in test W1 due to the migration of the 245 246 headcutting. Here, dam breaching was terminated when the dam was not entrained by the outburst flood. The shape of the channel in the stream direction was analogous to 247 an hourglass. This breach behavior was also observed for the Tangjiashan landslide 248 dam [5]. The failure processes of the dams in tests W2–W3 in Fig. S3 were the same 249 as in test W1. The dam toe was carried away in tests W1-W2 before overflowing. 250 However, this phenomenon did not occur in test W3 considering that time for the 251 252 seepage is relatively limited. Regardless of the dam height and lake volume, widely graded dams failed by headcutting. 253



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Fig. 4. Breach process of the widely graded dam in test W1. Headcutting occurred on
the downstream slope face of the dam. The depth and width of the breach and the dam
height remained nearly unchanged during headcutting migration.

258 **3.1.3 Stable dams composed of coarse-grained debris**

As shown in Fig. 5, the inflow rate amounted to the seepage rate for the 259 coarse-grained dam in test C1 when the upstream water level rose to 0.34 m because 260 of a high hydraulic conductivity (Table 1). Overflow was not observed in contrast to 261 the processes of fine-grained and widely graded dams. Affected by a smaller dam 262 height and seepage rate for tests C2 and C3, overtopping was developed (Fig. S4). 263 The dams remained stable for more than 4 hours although fine debris on the 264 downstream slope of the dams was carried away. This is because the maximum 265 266 outflow rate was nearly 0.5 L/s and coarse debris could not be eroded by the shear stress applied by the overflow. 267

When the inflow rate increased to 2 L/s, dams in tests C2 and C3 failed by overtopping. By contrast, the upstream water depth increased to 0.48 m for the dam in test C1, after which the dam remained stable. This is consistent with the Xiaogangjian landslide dam composed of coarse-grained debris [10] that remained stable for nearly a month until a spillway was excavated by blasting. The Usoi landslide dam composed of coarse-grained debris triggered in 1911 in the Bartang River is still stable with a seepage rate of 46–47 m³/s [25].



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Fig. 5. Stable dam composed of coarse-grained debris in test C1. The inflow rate and
seepage rate were balanced at an upstream water level of 0.34 m. White dotted lines
denote the phreatic lines through the dam.

279 **3.2 Effects of seepage on the stability of landslide dams**

With increasing upstream water level, phreatic lines rose from the dam 280 foundation to the dam crest (Fig. 6). The phreatic lines were nearly linear in the 281 stream flow direction for fine-grained and coarse-grained dams. By contrast, they 282 were stepwise for widely graded dams, possibly caused by the large uniformity 283 coefficient of debris material. The phreatic lines through the dams gradually 284 steepened due to the decrease of infiltration length and increase of water level. The 285 average angles of the phreatic lines increased up to 20° for fine-grained and widely 286 graded dams, while they were nearly horizontal for coarse-grained dams (0.05°) due 287 to a high hydraulic conductivity. 288

The breach process of a landslide dam can be instigated by the seepage stress because the stability of a downstream dam slope is reduced. An equilibrium analysis was conducted to assess the stability coefficient *s* of a dam slope affected by seepage stress f_s (Fig. S5).

$$s = \frac{R_s}{F_s + f_s} \tag{1}$$

294 where F_s is the sliding stress expressed as

$$F_s = \rho_d g h \sin \alpha \tag{2}$$

 $R_{\rm s} = c + \sigma \tan \varphi$

(3)

where α is the slope angle and *h* is the slide thickness; *g* is the acceleration of gravity;

297 R_s is the resistance stress

295

299 where σ is the effective stress in the normal direction. f_s is obtained by

$$f_s = \rho_w ghi \tag{4}$$

301 where ρ_w is the water density and *i* is the hydraulic gradient.



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Fig. 6. Phreatic lines and stability coefficients *s* of downstream dam slopes in tests F1, W1 and C1. The hydraulic gradient *i* is the average slope of the phreatic line. The downstream slope angles of the dam α decreased to 15° for test F1 and $\alpha = 30°$ for tests W1 and C1 before overtopping.

The failure modes of landslide dams were regulated by the debris strength and 307 seepage. The stability coefficient s of the dam in test F1 decreased from 1.9 to 0.9 308 with the increase of hydraulic gradient *i* (Fig. 6d). The downstream dam slope reached 309 a critical state for instability before overtopping. Fine-grained debris was prone to be 310 carried away by the outflow. The size of the breach rapidly broadened and dam height 311 was reduced due to the low shear strength and slope stability (Fig. 7a). The 312 overtopping failure along with seepage instability was thus induced. s slightly 313 decreased for the dam composed of widely graded debris in test W1. Due to a high 314 shear strength, finer debris was washed away to leave coarse gravel behind on the 315 dam slope face, leading to the cascading-step structure (Fig. 7b). The stability 316 coefficient is suitable for relatively uniform fine-grained dams rather than widely 317 graded dams with partial failure. Dam height quickly decreased after the headcutting 318 reached the upstream slope of the dam. As a result, widely graded dams failed by 319 headcutting. Due to a high hydraulic conductivity, seepage stress had a negligible 320 321 effect on the coarse-grained dam in test C1 and s remained at 1.6. The dams were stable although overflow occurred for tests C2 and C3. 322





17

along with seepage for fine-grained dams; (b) headcutting failure for widely gradeddams.

327 **3.3 Deposition of landslide dams after breaching**

A residual dam usually existed after dam breaching due to a large dam volume 328 [40]. The deposit thickness of the residual dam in test F1 slowly reduced at an angle 329 of 2.6° in the direction of flow while it showed a stepwise decrease for the dam in test 330 W1 (Fig. 8). The overall slope angle of the residual dam in test W1 (4.5°) was larger 331 than for test F1. The debris grains deposited in test F1 were uniformly distributed due 332 to a low uniformity coefficient (Table 1). However, an armoring layer composed of 333 coarse gravel developed on the surface of the deposition in test W1 due to the grain 334 segregation induced by the outburst flood. These observations were also applicable 335 336 for the depositions in tests W2-W3 and C2-C3. This armoring layer first occurred near the dam toe and then gradually extended in the stream direction. This armoring 337 layer, termed a 'boulder bar', was observed near the river bank after the Yigong 338 339 landslide dam breached [36].





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views of residual dams in test F1; (b) and (d) Side and vertical views of residual dams in test W1. The stream direction in the pictures is to the right.

The height of the residual dam h_r , defined as the maximum deposit thickness, 344 was nearly one-third of the dam height h_d in test F1 (Figs. 9a and b). It was similar for 345 the dams in tests F2 and F3. Widely graded dams had a larger h_r than fine-grained 346 dams with the same h_d because of a high shear strength of widely graded debris. h_r 347 were approximately half of the dam height for tests W1–W3. This is consistent with 348 the Tangjiashan landslide dam composed of widely graded debris with $h_d = 82$ m and 349 $h_r = 37$ m [5]. The length of residual dam l_d increased with the increase of h_d for 350 fine-grained and widely graded debris due to the increase in dam volume. l_d of 351 fine-grained dams were longer than those of widely graded dams (Figs. 9c and d). The 352 geometric parameters of residual dams were regulated by critical erosive shear stress 353 τ_c . Widely graded debris had a higher τ_c than fine-grained debris, as indicated by 354 the empirical equations proposed by Neill [21] $\tau_c = 0.7609 \gamma_w (G_s - 1) d_{50}^{2/3} h_w^{1/3}$ and 355 Annandale $[1]\tau_c = \frac{2}{3}gd(\rho_s - \rho_w)\tan\varphi$, where γ_w is the unit weight of water, G_s is 356 the specific gravity, h_w is water depth, and ρ_s is the grain density. The ratio of l_d to 357 h_d increased first with dam height and then decreased for fine-grained and widely 358 graded dams. The reason is that the lake shape coefficients c_l in tests F2 and W2 359 (Table 2) were larger than those in tests F1 and F3, W1 and W3 and the stream power 360 used to transport debris grains by the outburst flood was higher. 361



Fig. 9. Relationships between the length l_d and height h_r of the residual dam and the dam height h_d in tests F1–F3 and W1–W3. l_d is defined the distance between the toe of the downstream dam slope and the deposition end. h_r is defined as the maximum deposit thickness.

367 **4. Breach parameters of landslide dams**

362

368 4.1 Discharge hydrographs of landslide dams

The discharge hydrograph of a landslide dam changed with breach development 369 (Fig. 10). When overtopping flow passed through the breach in the initial stage (Fig. 370 S6), the flow velocity and depth were small (Figs. 3 and 4). The breach slowly 371 broadened, leading to a low growth in outflow rate. The time for breach initiation of 372 fine-grained dams varied in the range of 15–19 s for different dam heights. The reason 373 is that dam slope failure caused by seepage was obvious for dams with $h_d=0.4$ m or 374 0.9 m. In contrast, the initial stage durations of widely graded dams significantly 375 376 increased with the increase of the dam height by comparing tests W1–W3. Widely graded dams had a longer initiation time than fine-grained dams with the same dam 377

height due to headcutting migration. In the development stage, the breach was rapidly
enlarged in both lateral and vertical directions when the height at the upstream dam
slope decreased. The outflow rate sharply increased and then decreased, displaying an
approximately symmetrical hydrograph (Fig. 10).



Fig. 10. Discharge hydrographs of landslide dams. The outflow rate in test C1 remained at zero. The outflow rates in tests C2 and C3 were smaller than the inflow rates because these dams remained stable.

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The peak outflow rate q_b of the dam in test F1 (135.1 L/s) was the highest; by contrast, q_b was zero for test C1. The discharge hydrographs and breach process of the dams in tests F4 and F5 were highly consistent, indicating the repeatability of the test results. q_b of fine-grained dams were larger than those of widely graded dams with the same h_d . This is because the residual dam heights of fine-grained dams were smaller and much of the lake volume was released during breaching (Fig. 9). Furthermore, the mean erosion rates *E* of the fine-grained dams in the breach development stage were higher than those of widely graded dams (Fig. 11). The peak outflow rate for the fine-grained dam in test F2 was 56.7 L/s, matching the values for the dams in tests F4 and F5 (59.1 L/s and 52.5 L/s) due to the same lake volumes (Table 2). The outflow rates were smaller than the inflow rates in tests C1–C3 because coarse-grained dams remained stable.



398

Fig. 11. Mean erosion rate E of fine-grained and widely graded dams in the development stage versus the dam height h_d .

Widely graded dams had multiple peaks in the discharge hydrographs (Fig. 10). This is because a cascading-step structure developed for a widely graded dam. The small debris on the breach bottom was carried away and the coarse gravel was left over. Thus, the outflow rate increased first and then decreased, leading to a peak in the discharge hydrograph. After the coarse gravel was scattered and washed away, the breach was undercut by the overflow and then another peak outflow rate appeared. Multiple peaks can also occur in the discharge hydrographs of fine-grained dams. The 408 reason is the breach was intermittently blocked by the collapse of the side slope. 409 Compared with widely graded dams, fine-grained dams had a lower φ value and thus 410 a higher trend to slide into the breach, leading to multiple peaks.

411

4.2 Relationship between dam parameters and breach parameters

The breach of a landslide dam is regulated by the interaction between the dam 412 and the backwater lake [13]. The correlations between the breach parameters and dam 413 parameters were explored by comparing parameter values of dams in the field and in 414 model tests. For this purpose, 106 natural landslide dams for which detailed 415 parameters were available have been assembled to provide breach information (Table 416 S1). Dam parameters include debris composition, lake volume and dam height; breach 417 parameters are the peak outflow rate and the breach duration. The breach duration b_d 418 419 is defined as the period from overflow initiation to dam-breaching termination [29].

As shown in Fig. 12, the peak outflow rate q_b of landslide dams in the field 420 generally increases with dam height h_d and lake volume V_u . q_b is more related to V_u 421 422 than h_d , indicated by the coefficient of determination R^2 . This is because the volume of water released during breaching is directly determined by the lake volume. The 423 effect of the dam height on the peak outflow rate is affected by the lake volume and 424 debris composition. For example, the Shiratani River dam with a height of 190 m had 425 a peak outflow rate of only 580 m³/s because the dam debris was resistant to erosion 426 [29]. By contrast, the Hsiaolin landslide dam caused by Morakot typhoon had a height 427 of 40 m and the peak outflow rate reached 70649 m³/s due to a fine-grained debris 428 [20]. In addition, there is no obvious relationship between the breach duration and 429

430 dam height. This is because the breach duration is affected by the debris composition.

431 Moreover, the breach duration generally increases with the increase of the lake





433

Fig. 12. Relationship between the breach parameters and dam parameters of landslide dams in the field: (a) peak outflow rate q_b and dam height h_d ; (b) q_b and lake volume V_u ; (c) breach duration b_d and h_d ; (d) b_d and V_u . N is the number of landslide dam cases.

The peak outflow rates of tested dams increase with dam height and lake volume. This is in accord with the observations of natural landslide dams (compare Figs. 12 and 13). The quality of regression fit for the tested dams is higher because fine-grained and widely graded dams are considered separately. In addition, compared with the dam height, the peak outflow rate is more related to the breach depth d_b , defined as the difference between dam height and residual dam height. The breach
depth is approximately two-thirds of the dam height for fine-grained dams and it is
approximately half of the dam height for widely graded dams (Fig. 9). Thus, dam
material is incorporated in the correlation between the breach depth and peak outflow
rate.

The breach duration of tested dams with the same debris composition increases 448 with increasing dam height (Fig. 13d) in contrast to the tendency for natural landslide 449 dams. This is because the time for breach initiation is significantly affected by the 450 debris composition. For example, the breach initiation time was nearly 72 hours, 451 taking up 80% of the breach duration, for the Tangjiashan landslide dam due to the 452 headcutting migration [5]. By contrast, the breach initiation time was nearly 5 minutes 453 454 for the Hsiaolin landslide dam composed of fine-grained debris [20]. Furthermore, the breach duration is more relevant to the breach depth than the dam height affected by 455 the debris composition. 456

The breach duration increases with increasing lake volume, matching field observations. In addition, the slopes of the regressions between the peak outflow rate and dam height as well as peak outflow rate and lake volume are similar for fine-grained and widely graded dams (Figs. 13a and 13c). However, the slopes of the regressions between the breach duration and dam height as well as the breach duration and lake volume are smaller for fine-grained dams than for widely graded dams due to a high shear strength and a low erosion rate (Figs. 13d and 13f).



Fig. 13. Relationship between the breach parameters and dam parameters of tested dams: (a) q_b and h_d ; (b) q_b and d_b ; (c) q_b and V_u ; (d) b_d and h_d ; (e) b_d and d_b ; (f) b_d and V_u . R_f^2 and R_w^2 are the coefficients of determination of fine-grained and widely graded dams, respectively.

469 **4.3 Prediction of peak outflow rate**

464

470 Regression analysis in a multiplicative form is used to establish an empirical471 relationship for the peak outflow rate [38]

472 $Y = b_0 X_1^{b_1} X_2^{b_2} X_3^{b_3}$ (5)

where *Y* is the predicted peak discharge and X_i are the control variables described as dam height, lake volume and dam erodibility; e^{α} indicates the erodibility coefficient of the dam [22]; b_i are regression coefficients. An additive form for Equation 5 is expressed through a logarithmic transformation:

477
$$\operatorname{In} Y = \operatorname{In} b_0 + b_1 \operatorname{In} X_1 + b_2 \operatorname{In} X_2 + b_3 \operatorname{In} X_3 \tag{6}$$

478 The regression quality is evaluated by the coefficient, R^2 ,

479
$$R^{2} = 1 - \frac{\sum (Y_{j} - \overline{Y}_{j})^{2}}{\sum (Y_{j} - Y_{ave})^{2}}$$
(7)

480 where Y_{ave} and \overline{Y}_{j} are the mean value and predicted value of dependent variable Y_{i} , 481 respectively.

482 After carrying out the regression analysis of q_b with h_d , V_u , and e^{α} , an equation 483 can be obtained

484
$$q_b = 910 h_d^{-0.25} V_u^{0.56} e^{\alpha}$$
(8)

where $\alpha=0$ or $\alpha=1.38$ for the landslide dams with low or high erodibility, respectively. 485 In total, 46 landslide dams with detailed parameters are used for the regression 486 analysis. Widely graded and fine-grained dams in the model tests are regarded as 487 dams with low and high erodibility, respectively, due to significant differences in their 488 erosion rates (Fig. 11). Values of R^2 are 0.82 and 0.97 for landslide dams in the field 489 490 and in laboratory tests (Fig. 14), respectively, indicating a reasonable prediction. For instance, the 1985 Bairaman landslide dam triggered by the earthquake in Papua New 491 Guinea had a height h_d of 200 m and a lake volume V_u of 5×10⁷ m³ [29]. The debris 492 493 comprising this landslide dam was highly erodible (highly weathered limestone). The predicted q_b is 8462 m³/s from Equation 8: close to the recorded estimate (8000 m³/s). 494 The 2000 Yigong landslide dam induced by the excessive melt water and rainfall had 495 a super peak discharge (q=12400 m³/s, h_d =60 m, V_u = 3×10⁹ m³) due to large lake 496 volume and high erodibility [28]. The predicted q_b is 113752 m³/s which matches the 497 observed value. 498



Fig. 14. Comparison between measured and predicted peak outflow rates with theregression analysis: (a) landslide dams in the field; (b) tested dams in this study.

502 Prediction models of peak discharge based on dam height, lake volume and dam erodibility have been established by Froehlich [14] and Peng and Zhang [22]. Dam 503 erodibility is classified as high, medium or low depending on dam formation, 504 landslide structure and movement distance. Six parameters are included in these 505 prediction models. The evaluation of dam erodibility is complex because detailed dam 506 information is difficult to collect in the short term. Dam erodibility is determined to 507 be low or high based on the grain composition in the model presented here. The 508 prediction accuracy of this simplified model is reasonable as shown in Fig. 14. In 509 510 addition, coarse-grained dams remain stable and outflow rate is regarded as the inflow rate (Figs. 5 and S4). 511

512 **5. Discussion**

499

513 The longitudinal evolution of fine-grained and widely graded dams is first 514 discussed. The slopes of residual dams are then analyzed. Finally, the effects of debris 515 composition and dam height on the peak outflow rate are described.

516 **5.1 Longitudinal evolution of landslide dams**

For dams in tests F1-F5, the downstream slope angle above the phreatic line 517 quickly decreased when the dam debris was entrained downstream by the outburst 518 flood (Figs. 15a and S7). A flat angle varying from 14.4–17.2° was then maintained in 519 the breach process. This longitudinal evolution of fine-grained dams is in agreement 520 with the theoretical model presented by Powledge et al. [24]. This model assumes that 521 the downstream slope angle quickly varies until a constant angle of critical soil 522 friction is reached, after which this slope angle is kept to the dam-breaching end [24]. 523 However, the φ value (26.8°) of fine-grained debris is larger than the constant slope 524 angle for the dams according to the equilibrium of energy loss. This is because the 525 influence of seepage on the dam slope is not incorporated in the theoretical model. 526 527 Based on an equilibrium analysis with Equations 1-4, the slope angle of a fine-grained dam is about 15° when the hydraulic gradient increases to 0.35 before 528 overtopping (Fig. 6). This slope angle matches the values of the longitudinal slope 529 530 during breaching.

A cascading-step structure is formed for widely graded dams (Figs. 15b and S8) which is in accordance with the observation of the Tangjiashan dam [5]. However, it does not occur for fine-grained dams. The critical velocity v_c for debris movement of the material dominated by sand and gravel was estimated by Briaud [3]:

535
$$v_c = 0.35 (d_{50})^{0.45}$$
(9)

536 v_c values are 0.3 m/s and 0.6 m/s for fine-grained and widely graded debris, 537 respectively. The flow velocities u of fine-grained and widely graded dams during the breach process are 0.1–1.1 m/s and 0.1–0.7 m/s, respectively, calculated by the outflow rate and water depth. Fine-grained debris is apt to be washed away and headcutting is not developed because of a significant difference between u and v_c . By contrast, fines in a widely graded dam are first carried away and leave coarse gravel behind due to the limited flow velocity. Coarse gravel without surrounding fines is then entrained by the outburst flood.



544

Fig. 15. Longitudinal evolution of landslide dams during breach: (a) fine-graineddams; (b) widely graded dams.

Piping did not develop for all tested dams, although the fine debris at the toe of the dams in tests W1–W3 and C1–C3 was carried away by the seepage. This is consistent with field observations that only 8% of landslide dams fail by piping [40, 22]. The corresponding value for man-made rockfill and earth dams is up to 37%. The

reason is the widths of landslide dams are usually large and piping channels are not 551 completely formed before overtopping. In addition, landslide dam debris is weakly 552 sorted and the dams remain internally stable. According to stability criteria provided 553 by Chang and Zhang [6], debris material with less than 5% fines content is internally 554 stable when $(H/F)_{\min} > 1.0$ is satisfied where F is the mass fraction of debris smaller 555 than grain diameter d, and H is the mass fraction of debris within the range d-4d. 556 Based on this criterion, fine-grained and widely graded dams are stable under seepage 557 and coarse-grained dams are unstable (Fig. 2). However, coarse-grained dams in our 558 tests are stable due to the skeletal support effect of the coarse grains. The effective 559 stress of the dams exists although local seepage failure may develop in the dam body. 560 This process has been simulated by coupled discrete element method and 561 computational fluid dynamics [31]. 562

563 5.2 Residual dams

Compared with rockfill and earth dams, the final breach of landslide dams often cannot reach the original river bed and incomplete erosion is likely to occur. This is because the widths and volumes of landslide dams are larger and the dams can't be completely carried away. In addition, coarse cobbles may be present at the bottom or side walls of the breach of landslide dams because of their heterogeneity [44]. These cobbles inhibit the undercut of the breach by the outburst flood.

In general, slope angles of residual dams are higher than the longitudinal gradient of the river (Fig. 16). The residual dams can be gradually carried downstream by the seasonal flood and the deposition thickness is lowered accordingly [37].

However, the residual dams may breach again when the breach is blocked. For 573 example, the residual dam of the Tangjiashan landslide dam was blocked by the debris 574 575 flow in the Dashui gully on 24 September 2008 and the water depth of the backwater lake increased by 8 m [15]. The breach was again undercut and broadened by the 576 overtopping flow. The Baige landslide dam with a height of 61 m failed by 577 overtopping on 11 October 2018. The peak outflow rate was 10000 m³/s and the 578 breach depth was 32 m. Subsequently, the breach was blocked by a second landslide 579 on 3 November 2018 and the dam height increased to 96 m. The dam failed again 580 with a peak outflow rate of $33900 \text{ m}^3/\text{s}$ and a depth of 61 m [40]. 581

The slope angle θ of residual dams in the stream direction decreases first with dam height and then increases (Fig. 16). This is because the lake shape coefficients of the dams with heights of 0.40 m were higher than those of the other dams (Table 2). In addition, the slope angle and deposition thickness of residual dams composed of fine-grained debris are smaller than those of widely graded dams (Figs. 9 and 16). The potential breach risk caused by residual dams composed of widely graded debris is larger, although their peak outflow rates during breach are smaller.



Fig. 16. Relationship between the dam height h_d and slope angle θ of the residual dam in tests F1–F3 and W1–W3. Regardless of the dam height, the slope angles of fine-grained dams are smaller than those of widely graded dams.

593

5.3 Effects of debris composition and dam height on the peak outflow rates

Peak outflow rates of landslide dams increase with increasing dam height (Figs. 12a and 13a). However, lake volume is more closely related to the peak outflow rate (Figs. 12b and 13c). Since the dam height is related to the lake volume, only the lake volume was used by Costa and Schuster [11] in an empirical equation for estimating the peak outflow rate. Our tests also show that peak outflow rate for the fine-grained dam in test F2 matches the values in tests F4 and F5 due to the same lake volumes (Fig. 10).

Peak outflow rates of landslide dams are significantly affected by the debris 601 gradation. Peak outflow rates of widely graded dams were 0.4-0.6 times those of 602 fine-grained dams with the same dam height (Fig. 10). The breach depths of 603 fine-grained dams are two-thirds of the dam height compared to half the dam height 604 for widely graded dams (Fig. 9). The debris composition of landslide dams can be 605 rapidly assessed by remote sensing images with high precision [40]. As a result, peak 606 outflow rates of landslide dams can be further predicted by physically based 607 numerical models like DABA [30] if the breach depth is estimated. Furthermore, the 608 peak outflow rate can be estimated from the regression analysis of the dam height, 609 lake volume and erodibility coefficient using Equations 5-8. 610

611 **5.4 Implications and limitations**

The armoring layer in Fig. 8 is termed a 'boulder bar' by Jiang et al. [16]. The 612 boulder bar was formed after peak discharge because the breach discharge gradually 613 reduced. This bar first occurred near the dam toe and then gradually extended in the 614 stream direction. These phenomena are consistent with the observations of laboratory 615 tests and the Yigong and Tangjiashan dams in the field [16, 36]. It shows that the 616 results are credible and can provide a reference for the evolution of river morphology. 617 The effect of river bed slope θ_b on dam failure is not considered in this research. 618 Dams with $d_{50}=0.85$ mm, $\theta_b = 12^\circ$ fail by overtopping as indicated by Zhou et al. [45]; 619 this is in line with the failure mode of fine-grained dams with $d_{50}=0.80$ mm presented 620 here. Dams with fine sand fail by overtopping to the slope failure and then 621 overtopping with the increase of the bed slope by Jiang et al. [18]. The sliding stress is 622 623 increased in Eq. (2) by an increase in channel bed slope and thus results in the slope failure of dam downstream: similar to the seepage instability of fine-grained dams. 624

625 Our experimental results are applicable to landslide dams with a gentle river slope.

626 **6.** Conclusions

We experimentally analyzed the failure processes of landslide dams by varying debris composition and geomorphic parameters (dam height and lake volume). In particular, we identified the failure mode and predicted peak outflow rates based on 106 landslide dams in the field and model tests.

(1) The failure modes of landslide dams were regulated by the shear strength and
seepage. Fine-grained dams failed by overtopping along with seepage instability and
widely graded dams failed by headcutting. However, coarse-grained dams remained

stable, regardless of the dam height or lake volume. Affected by the seepage, a flat
angle was maintained in the longitudinal section during breaching of fine-grained
dams. By contrast, a cascading-step structure was formed for the widely graded dams.

(2) The time for breach initiation of fine-grained dams is nearly the same for 637 different dam heights due to slope failure caused by seepage. By contrast, the 638 initiation time for widely graded dams significantly increased with increasing dam 639 height. The breach durations of fine-grained dams were significantly shorter than 640 those of widely graded dams because of headcutting migration and a low erosion rate 641 642 for widely graded debris. The peak outflow rate and breach duration were more closely related to the breach depth than the dam height because the debris 643 composition was considered in the former. 644

(3) Lake volume was more relevant to the peak outflow rate than the dam height.
The peak outflow rate can be reasonably predicted by a regression analysis with the
dam height, lake volume and debris composition.

(4) Debris grains were uniformly distributed for fine-grained dams after
breaching but an armoring layer formed on the surface of widely graded dams due to
grain segregation. The slope angle of residual dams in the stream direction decreased
first with dam height and then increased, affected by the lake shape coefficient. In
addition, the slope angle and thickness of residual dams composed of fine-grained
debris were smaller than those of widely graded dams.

The test results show that the breach process and failure mode of landslide dams is regulated by the interaction between the dam and the backwater lake, posing a

- challenge to predict the peak discharge. The effect of river bed slope on the landslide
- 657 dam failure will be considered in further research.

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662 **Conflicts of Interests**

663 The authors declare that they do not have any conflict of interest.

664 Notation

665	b_d	breach duration of a dam
666	b_l	length of backwater lake
667	С	cohesion of dam material
668	\mathcal{C}_d	dam shape coefficient
669	C_l	lake shape coefficient
670	C_c	curvature coefficient of the grading curve
671	C_u	uniformity coefficient of the grading curve
672	d	grain size
673	d_{50}	median grain size of a dam material
674	Ε	mean erosion rate of a dam
675	f_s	seepage stress
676	F_s	sliding stress generated by gravity
677	g	gravitational acceleration

678	G_s	specific gravity
679	h	slide thickness
680	h_d	dam height
681	h_r	the maximum residual dam height
682	h_u	upstream water level
683	h_w	water depth
684	i	hydraulic gradient
685	k	saturated permeability coefficient of dam material
686	ld	length of residual dam
687	q_b	peak outflow rate of a dam
688	R_s	resistance stress
689	R^2	coefficient of determination
690	S	stability coefficient of a downstream dam slope
691	Vc	critical velocity for grain initiation
692	V_u	volume of backwater lake
693	α	angle of downstream dam slope
694	θ	slope angle of a residual dam
695	$ heta_b$	slope angle of a river bed
696	$ ho_d$	dry density of a dam material
697	$ ho_s$	grain density
698	$ ho_w$	water density
699	σ	effective normal stress

- φ internal friction angle of a dam material
- γ_w unit weight of water

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Supplementary Information for "Effects of soil properties and geomorphic parameters on the breach mechanisms of landslide dams and prediction of peak discharge"

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- Fig. S3: Breach processes of the widely graded dams in tests W2 and W3
- Fig. S4: Stability of dams with coarse-grained debris in tests C2 and C3
- Fig. S5: Stability analysis of seepage stress on a dam downstream
- Fig. S6: Breach development of a landslide dam: (a) original dam; (b) initiation stage;
- (c) development stage; (d) finish stage
- Fig. S7: Longitudinal evolution of the landslide dam during breaching in test F1
- Fig. S8: Longitudinal evolution of the landslide dam during breaching in test W1

Table S1: Landslide dams used for analysis (106 cases)



Fig. S1. Experimental dam debris in various components



Fig. S2. Breach processes of the fine-grained dams in tests F2 and F3. These processes were similar to those of test F1 in Fig. 3.



Fig. S3. Breach processes of the widely graded dams in tests W2 and W3. These processes were similar to those of test W1 in Fig. 4.



Fig. S4. Stability of dams with coarse-grained debris in tests C2 and C3. These processes were similar to those of test C1 in Fig. 5.



Fig. S5. Stability analysis of seepage stress on a dam downstream. h is the slide depth, α is the slope angle, F_s is the sliding stress generated by gravity, R_s is the resistance stress and f_s is the seepage stress. The shear stress exerted by the breach flood is not considered for a simplified calculation.



Fig. S6. Breach development of a landslide dam: (a) original dam; (b) initiation stage;(c) development stage; (d) finish stage.



Fig. S7. Longitudinal evolution of the landslide dam during breaching in test F1. T is the time elapsed from the first picture. The definition of T is the same for the following Fig. S8. A flat angle was maintained for fine-grained dams during breaching.



Fig. S8. Longitudinal evolution of the landslide dam during breaching in test W1. A

step-pool structure was developed for widely graded dams.

Number	Name	Region	Time	$h_{d}\left(\mathrm{m} ight)$	$d_{w}(\mathbf{m})$	$V_d (10^6 \text{ m}^3)$	$V_u (10^6 \mathrm{m^3})$	е	b_d	$q_b (\mathrm{m^{3/s}})$
									(h)	
1	Donghekou	CN	2008	20	750	12	6	L	-	800~1000
2	Fengmingqiao	CN	2008	10	300	0.14	1.8	L	-	500
3	Hongshi River	CN	2008	50	500	18	4	L	-	400~600
4	Jiadanwan	CN	2008	60	220	8.2	6.1	L	-	-
5	Jiaozigou	CN	2008	60	250	1.8	6.2	L	-	-
6	Liudinggou	CN	2008	60	500	1.5	3	L	-	-
7	Maanshi	CN	2008	67.6	275	5.8	1.15	Н	-	2200
8	Miduigou	CN	1988	100	20	-	1.95	Н	-	1270
9	Muguaping	CN	2008	15	20	0.2	0.04	Н	-	-
10	Shibangou	CN	2008	30	800	15	11	L	-	-

Table S1 Landslide dams used for analysis (106 cases)

11	Tanggudong	CN	1967	175	3000	68	680	Н	6	53000
12	Tangjiashan	CN	2008	82	611.8	20.37	316	L	14	6500
13	Xiaogangjian	CN	2008	70	300	2	12	Н	-	3950
14	Xiaojiaqiao	CN	2008	57	200	2.42	20	L	6.5	1000
15	Yanziyan	CN	2008	10	20	0.006	0.03	Н	-	-
16	Yibadao	CN	2008	25	140~180	0.15	3.79	L	-	-
17	Yigong	CN	2000	60	2200~2500	300	3000	Н	9.25	124000
18	Zhebozu	CN	1965	51	650	29	2.7	L	4	560
19	Xiaolincun	TW	2009	44	1500	15.4	9.9	-	0.14	70649
20	Longquanxi	TW	2006	40	64	0.6	1	L	-	-
21	Tamari Creek	TW	2009	10	1200	-	5.33	Н	-	-
22	Tsatichuu	Bhutan	2003	110	700	5	1.5	Н	-	-
23	Rio Toro River	Costa	1992	70	600	3	0.5	L	-	400

24	La Josefina	Ecuado	or 1993	100	1100	20	200	L 6	10000
25	Pisque	Ecuado	or 1990	58	450	1	2.5	L -	700
26	Rio Paute	Ecuado	or 1993	112	800	25	210	L 4	~6 8250
27	Birehi Ganga	India	1893	274	2750	286	460	Н -	56650
28	Buonamico	Italy	1973	90	700	21	7.5	Н -	-
29	Tegermach (La	ake Soviet	1835	120	60	20	6.6	Н -	4960
	Yashinkul)	Union							
30	Mantaro	Peru	1945	133	580	3.5	301	Н -	35400
31	Mantaro	Peru	1974	175	3800	1300	670	L 1	2 10000
32	Bairaman	Papua	1985	200	3000	200	50	Н 3	8000
		New							

Guinea

33	Karli stream	Pakistan	2005	130	450	-	86	L	-	5500
34	Tunawaea	NZ	1991	70	550	4	0.9	L	1	250
35	Mt. Adams	NZ	1999	80~100	700	10~15	5~7	Н	5.5	2000~3000
36	Ram Creek	NZ	1968	40	1200	2.8	1.1	-	-	1000
37	Cedar Creek	America	1988	3	150	1.7	0.053	Η	-	-
38	East Fork Hood River	America	1980	10.7	225	0.07~01	0.105	-	-	850
39	Gros Ventre River	America	1925	70	3000	-	80	L	-	-
40	Jackson Creek Lake	America	1980	4.5	180	0.77	2.47	L	-	477
41	Wyoming	America	1925	70~75	30000	38	80	L	-	-
42	Jishixia	CN	8000BP	200	1500	45	11.71	-	-	8.71
43	Arida	JP	1953	10	150	0.18	0.047	L	-	890
44	Arida	JP	1953	60	500	2.6	17	L	-	750
45	Asahi	JP	1889	25	300	0.45	0.92	L	-	790

46	Azusa	JP	1915	4.5	600	0.9	0.53	-	-	850
47	Azusa	JP	1926	10	330	2	1.2	-	-	850
48	Banjo	JP	1943	80	250	1.5	14	-	-	160
49	Hime	JP	1911	60	500	1.9	16	L	-	1800
50	Iketsu	JP	1889	140	180	3.4	26	L	-	480
51	Imanishi	JP	1889	60	250	1.1	6.4	-	-	230
52	Imanishi	JP	1889	75	125	1.1	9	-	-	150
53	Kaifu	JP	1892	45	350	2	14	-	-	73
54	Kaminirau	JP	1788	36	500	2	2.2	-	-	440
55	Kano	JP	1889	15	130	0.094	1.3	Н	-	1600
56	Kano	JP	1889	20	120	0.1	0.6	-	-	1300
57	Kano	JP	1889	20	100	0.15	1	Н	-	1500
58	Kano	JP	1889	25	200	0.44	1.8	Н	-	1400

59	Kawarabitsu	JP	1889	80	700	13	40	-	-	2000
60	Koshibu	JP	1961	6	800	2.4	0.4	-	-	850
61	Matsu	JP	1891	55	230	3.2	3.1	-	-	170
62	Nakaya	JP	1953	40	200	0.4	0.27	-	-	86
63	Nishi	JP	1889	20	250	0.6	1.3	L	-	980
64	Nishi	JP	1889	20	120	0.63	0.4	Η	-	1100
65	Nishi	JP	1889	25	250	0.63	1.8	Η	-	1200
66	Nishi	JP	1889	25	250	0.93	0.11	-	-	20
67	Niu	JP	1982	15	150	0.18	1.3	L	-	490
68	Oi	JP	1889	100	150	2.6	2.3	-	-	10
69	Ojika	JP	1683	70	400	3.3	64	-	-	620
70	Oshiro	JP	1586	60	300	1.2	6.4	L	-	270
71	Oshiro	JP	1586	60	250	1	6	L	-	320

72	Sai	JP	1847	65-100	650	21	350	-	-	3700
73	Sakauchi	JP	1895	38	350	0.96	2	-	-	76
74	Shinsei	JP	1923	10	200	0.18	0.037	-	-	2
75	Shiratani	JP	1889	190	500	10	38	-	-	580
76	Shiratani	JP	1953	25	100	0.09	0.06	-	-	12
77	Sho River	JP	1586	100	600	19	150	-	-	1900
78	Susobana	JP	1847	54	300	1.2	16	-	-	510
79	Totsu	JP	1889	18	450	0.036	0.78	-	-	3400
80	Totsu	JP	1889	7	250	0.073	0.65	-	-	6900
81	Totsu	JP	1889	10	150	0.15	0.56	-	-	5800
82	Totsu	JP	1889	10	380	0.23	0.93	-	-	3500
83	Totsu	JP	1889	80	350	2.5	17	L	-	2400
84	Totsu	JP	1889	110	690	3.1	42	-	-	4800

85	Totsu	JP	1889	50	300	0.85	1.6	-	-	5900
86	Totsu	JP	1889	28	500	1.7	3.2	-	-	5900
87	Totsu	JP	1889	10	220	0.28	0.52	-	-	6500
88	Totsu	JP	1889	12	250	0.27	0.72	-	-	3900
89	Yamate	JP	1889	80	350	4.2	12	-	-	170
90	Yanagikubo	JP	1847	35	250	0.65	1.4	-	-	24
91	Nagano Prefecture	JP	1984	40	3300	26	3.7	L	-	960
92	Deshanbaigu	JP	1965	65	260	0.98	2	L	-	72
93	Yoda kawawa	JP	1953	10	80	0.017	0.03	-	-	830
94	Tegermach	USSR	1835	120	60	20	6.6	-	-	4960
95	Nakawa	JP	1892	80	330	3.3	75	-	-	5600
96	Torn	JP	1858	150	200	0.4	3.8	L	-	687
97	Torn	JP	1858	20	700	12	4.1	-	-	157

98	Dahechuan	JP	1932	15	170	0.91	10	L	-	3500
99	Houei	JP	1707	30	650	4	4.7	-	-	200
100	Shijinchuan	JP	1889	20	300	0.6	1.3	-	-	980
101	Baige#1(Jinsha River)	CN	2018	61	3000	22	290	-	19.2	10000
102	Poerua	NZ	1999	120	700	12.5	6	Η	-	3000
103	hongsong	CN	2008	37	100	0.26	1	-	-	0.5
104	Jiala#1(yaluzangbujiang)	CN	2018	79	2400	50	490	-	21.6	32000
105	Baige#2(Jinsha River)	CN	2018	96	580	30.2	750	Η	48	33900
106	Jiala#2(yaluzangbujiang)	CN	2018	77	3500	30	326	L	31.2	18000

Note: CN = China, NZ = New Zealand, JP = Japan, TW = Taiwan, L = Low, H = High.

 h_d and d_w are the dam height and dam width, respectively. V_d and V_u are the dam volume and lake volume, respectively. e is the erodibility of the dam material. b_d is the breach duration and q_b is the peak outflow rate.