Portland State University

PDXScholar

OHSU-PSU School of Public Health Faculty Publications and Presentations

OHSU-PSU School of Public Health

2-16-2023

High PrEP uptake and objective longitudinal adherence among HIV-exposed women with personal or partner plans for pregnancy in rural Uganda: A cohort study

Lynn T. Matthews Center for Global Health & Division of Infectious Disease

Esther Atukunda Mbarara University of Science and Technology

Moran Owembabazi Mbarara University of Science and Technology

Christina Psaros Massachusetts General Hospital

David Bangsberg Follow this and additional works at: https://pdxscholar.library.pdx.edu/sph_facpub OHSU-PSU School of Public Health, dba2@pdx.edu Part of the Medicine and Health Sciences Commons

Let us know how access to this document benefits you.

Citation Details

Matthews LT, Atukunda EC, Owembabazi M, Kalyebera KP, Psaros C, Chitneni P, et al. (2023) High PrEP uptake and objective longitudinal adherence among HIV-exposed women with personal or partner plans for pregnancy in rural Uganda: A cohort study. PLoS Med 20(2): e1004088. https://doi.org/10.1371/journal. pmed.1004088

This Article is brought to you for free and open access. It has been accepted for inclusion in OHSU-PSU School of Public Health Faculty Publications and Presentations by an authorized administrator of PDXScholar. Please contact us if we can make this document more accessible: pdxscholar@pdx.edu.

Authors

Lynn T. Matthews, Esther Atukunda, Moran Owembabazi, Christina Psaros, David Bangsberg, and multiple additional authors



G OPEN ACCESS

Citation: Matthews LT, Atukunda EC, Owembabazi M, Kalyebera KP, Psaros C, Chitneni P, et al. (2023) High PrEP uptake and objective longitudinal adherence among HIV-exposed women with personal or partner plans for pregnancy in rural Uganda: A cohort study. PLoS Med 20(2): e1004088. https://doi.org/10.1371/journal.pmed.1004088

Academic Editor: Sydney Rosen, Boston University School of Public Health, UNITED STATES

Received: August 3, 2022

Accepted: January 23, 2023

Published: February 16, 2023

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: https://doi.org/10.1371/journal.pmed.1004088

Copyright: © 2023 Matthews et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

RESEARCH ARTICLE

High PrEP uptake and objective longitudinal adherence among HIV-exposed women with personal or partner plans for pregnancy in rural Uganda: A cohort study

Lynn T. Matthews¹*, Esther C. Atukunda², Moran Owembabazi², Kato Paul Kalyebera^{2,3}, Christina Psaros^{4,5}, Pooja Chitneni^{5,6}, Craig W. Hendrix⁷, Mark A. Marzinke^{7,8}, Peter L. Anderson⁹, Oluwaseyi O. Isehunwa¹, Kathleen E. Hurwitz¹⁰, Kara Bennett¹¹, Winnie Muyindike^{2,3}, David R. Bangsberg¹², Jessica E. Haberer^{5,13}, Jeanne M. Marrazzo^{1‡}, Mwebesa Bosco Bwana^{3†‡}

 Division of Infectious Diseases, University of Alabama at Birmingham, Birmingham, Alabama, United States of America, 2 Mbarara University of Science and Technology, Mbarara, Uganda, 3 Mbarara Regional Referral Hospital, Mbarara, Uganda, 4 Behavioral Medicine Program, Department of Psychiatry, Massachusetts General Hospital, Massachusetts, United States of America, 5 Harvard Medical School, Boston, Massachusetts, United States of America, 6 Division of Infectious Diseases and General Internal Medicine, Brigham and Women's Hospital, Boston, Massachusetts, United States of America, 7 Department of Medicine, Johns Hopkins University School of Medicine, Baltimore, Maryland, United States of America, 8 Department of Pathology, Johns Hopkins University School of Medicine, Baltimore, Maryland, United States of America, 9 Department of Pharmaceutical Sciences, Skaggs School of Pharmacy and Pharmaceutical Sciences, University of Colorado, Aurora, Colorado, United States of America, 10 NoviSci, Inc., a Target RWE company, Durham, North Carolina, United States of America, 11 Bennett Statistical Consulting Inc., Ballston Lake, New York, United States of America, 12 School of Public Health, Oregon Health Sciences University–Portland State University, Portland, Oregon, United States of America, 13 Center for Global Health, Massachusetts General Hospital, Boston, Boston, Massachusetts, United States of America

† Deceased.

‡ These authors are co-senior authors on this work.

* lynnmatthews@uabmc.edu

Abstract

Background

In Uganda, fertility rates and adult HIV prevalence are high, and many women conceive with partners living with HIV. Pre-exposure prophylaxis (PrEP) reduces HIV acquisition for women and, therefore, infants. We developed the Healthy Families-PrEP intervention to support PrEP use as part of HIV prevention during periconception and pregnancy periods. We conducted a longitudinal cohort study to evaluate oral PrEP use among women participating in the intervention.

Methods and findings

We enrolled HIV–negative women with plans for pregnancy with a partner living, or thought to be living, with HIV (2017 to 2020) to evaluate PrEP use among women participating in the Healthy Families-PrEP intervention. Quarterly study visits through 9 months included HIV and pregnancy testing and HIV prevention counseling. PrEP was provided

Data Availability Statement: The full dataset is available in the Harvard Dataverse at https://doi.org/10.7910/DVN/4U4TTL.

Funding: This work was supported by the Doris Duke Clinician Scientist Development Award (LTM) and AMC21 at the University of Alabama at Birmingham (LTM). Gilead Sciences provided study drug and reviewed the final manuscript. These entities had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: LTM has received clinical research funding from Gilead Sciences. CWH has received clinical research funding from Gilead Sciences and Merck and, holds patents related to HIV prevention products licensed by Johns Hopkins to Prionde Biopharma, LLC, which he founded. PLA has received consultant fees from Merck, ViiV, and Gilead and research support from Gilead. JEH has received consultant fees from Merck and Natera. KEH is an employee and a shareholder of NoviSci/Target RWE, which has received fees from Janssen Research & Development (Janssen R&D) and Amgen Inc. outside the submitted work.

Abbreviations: ART, antiretroviral therapy; DBS, dried blood spot; GEE, generalized estimating equation; MoH, Ministry of Health; PK, pharmacokinetic; PrEP, pre-exposure prophylaxis; STI, sexually transmitted infection; TDF, tenofovir disoproxil fumarate; TFV, tenofovir; TFV-DP, TFVdiphosphate. in electronic pillboxes, providing the primary adherence measure ("high" adherence when pillbox was opened \geq 80% of days). Enrollment questionnaires assessed factors associated with PrEP use. Plasma tenofovir (TFV) and intraerythrocytic TFV-diphosphate (TFV-DP) concentrations were determined quarterly for women who acquired HIV and a randomly selected subset of those who did not; concentrations TFV \geq 40 ng/mL and TFV-DP \geq 600 fmol/punch were categorized as "high." Women who became pregnant were initially exited from the cohort by design; from March 2019, women with incident pregnancy remained in the study with quarterly follow-up until pregnancy outcome. Primary outcomes included (1) PrEP uptake (proportion who initiated PrEP); and (2) PrEP adherence (proportion of days with pillbox openings during the first 3 months following PrEP initiation). We used univariable and multivariable-adjusted linear regression to evaluate baseline predictors selected based on our conceptual framework of mean adherence over 3 months. We also assessed mean monthly adherence over 9 months of follow-up and during pregnancy.

We enrolled 131 women with mean age 28.7 years (95% CI: 27.8 to 29.5). Ninety-seven (74%) reported a partner with HIV and 79 (60%) reported condomless sex. Most women (N = 118; 90%) initiated PrEP. Mean electronic adherence during the 3 months following initiation was 87% (95% CI: 83%, 90%). No covariates were associated with 3-month pill-taking behavior. Concentrations of plasma TFV and TFV-DP were high among 66% and 47%, 56% and 41%, and 45% and 45% at months 3, 6, and 9, respectively. We observed 53 pregnancies among 131 women (1-year cumulative incidence 53% [95% CI: 43%, 62%]) and 1 HIV-seroconversion in a non-pregnant woman. Mean pillcap adherence for PrEP users with pregnancy follow-up (N = 17) was 98% (95% CI: 97%, 99%). Study design limitations include lack of a control group.

Conclusions

Women in Uganda with PrEP indications and planning for pregnancy chose to use PrEP. By electronic pillcap, most were able to sustain high adherence to daily oral PrEP prior to and during pregnancy. Differences in adherence measures highlight challenges with adherence assessment; serial measures of TFV-DP in whole blood suggest 41% to 47% of women took sufficient periconception PrEP to prevent HIV. These data suggest that women planning for and with pregnancy should be prioritized for PrEP implementation, particularly in settings with high fertility rates and generalized HIV epidemics. Future iterations of this work should compare the outcomes to current standard of care.

Trial registration

ClinicalTrials.gov Identifier: NCT03832530 https://clinicaltrials.gov/ct2/show/ NCT03832530?term=lynn+matthews&cond=hiv&cntry=UG&draw=2&rank=1.

Author summary

Why was this study done?

- Tenofovir (TFV) disoproxil fumarate/emtricitabine as pre-exposure prophylaxis (PrEP) prevents HIV, is safe during pregnancy and breastfeeding, and is recommended by the WHO, CDC, and the Uganda Ministry of Health (MOH) for people exposed to HIV, including during periconception, pregnancy, and postpartum.
- Many women choose to conceive with partners who may be living with HIV but adherence to daily oral PrEP can be challenging.
- We designed and tested a 3-session Healthy Families-PrEP intervention in a rural Ugandan hospital HIV clinic and evaluated adherence to PrEP during periconception and pregnancy.

What did the researchers do and find?

- Women planning pregnancy were counseled on ways to have a child while avoiding HIV acquisition, offered PrEP, and, for those choosing PrEP, provided with quarterly adherence support.
- Approximately 131 women enrolled, 90% initiated PrEP and, among those, 85% took at least 80% of doses (measured via electronic pillcap) over 9 months. For women continuing PrEP in pregnancy, adherence persisted, by pillcap, over 9 months.
- Plasma TFV concentrations were high among 66%, 56%, and 45% at months 3, 6, and 9, respectively. TFV-DP concentrations were high among 47%, 41%, and 45% of women at months 3, 6, and 9, respectively.

What do these findings mean?

- Women in Uganda with PrEP indications and planning for pregnancy chose to use PrEP and most were able to sustain high adherence to daily oral PrEP prior to and during pregnancy.
- These findings suggest that women planning for and with pregnancy should be prioritized for PrEP implementation, particularly in settings with high fertility rates and generalized HIV epidemics.

Introduction

Despite declining overall HIV prevalence in Uganda, median antenatal HIV prevalence remains high at 6% to 7% [1]. Uganda has one of the highest total fertility rates in the world at 4.7 children per woman [2], and while services to prevent perinatal transmission are robust for pregnant women with HIV, HIV prevention prior to a desired pregnancy is rarely addressed. However, at least 30% to 50% of men living with HIV in Uganda desire children [3–7] and nearly half have a stable, HIV–negative partner [8]. Women risk condomless sex to meet

important personal and sociocultural goals to have children [3,7,9–11]. In 2019, over 20,000 women of reproductive age and 5,700 children were newly diagnosed with HIV in Uganda, with perinatal transmission accounting for most infections [12]. Integrating HIV prevention into reproductive health programs presents an opportunity to reduce HIV incidence among women and infants in settings where fertility rates and HIV prevalence are high. Indeed, prevention in this context is relevant for many women across sub-Saharan Africa where the average fertility rate is 4.6 [13,14].

Effective HIV prevention strategies are available to women who want to conceive with a partner living with HIV including delaying condomless sex until the partner achieves viral load suppression by taking antiretroviral therapy (ART), treating sexually transmitted infections (STIs) in both partners, limiting condomless sex to peak fertility, and semen processing [15–17]. However, in settings where gender power imbalances make it challenging for a woman to insist that her partner participate in strategies to reduce sexual HIV transmission and where many men are not aware of their HIV-serostatus, pre-exposure prophylaxis (PrEP) is an important safer conception strategy. Data suggest that tenofovir disoproxil fumarate (TDF) and emtricitabine (FTC) are safe to use during early pregnancy, and with high adherence, PrEP can nearly eliminate HIV acquisition risks [18–20].

The World Health Organization and the Ugandan Ministry of Health (MoH) recommend PrEP as a preventive approach for HIV–negative individuals at high-risk of acquiring HIV, including women partnered with someone living with HIV [21,22]. PrEP implementation in periconception and antenatal settings has been low [23], and data on PrEP uptake and adherence among women planning for pregnancy are limited [24,25]. The few observational studies and clinical trials exploring PrEP use and adherence among specific populations of women, including adolescent girls, young women, and those in HIV-serodifferent couples, in East and Southern Africa document varying acceptability and uptake of PrEP ranging from 8% among adolescent girls to 100% among sexually active women without stated plans to become pregnant [26–30]. PrEP adherence studies of women at risk for HIV during pregnancy also observe variable adherence rates based on pharmacy pick-up as well as drug levels, ranging from 22% to 62% at 3 months [28,31,32]. Understanding how women initiate and adhere to PrEP as periconception risk reduction is an important step towards developing comprehensive HIV prevention care for women of reproductive age [33,34].

Based on formative work and informed by a conceptual framework for periconception HIV-exposure behavior [35], we developed a counseling support intervention, Healthy Families-PrEP, for HIV-negative women of reproductive age in Uganda with personal or partner plans for a pregnancy in the next year. Healthy Families-PrEP leverages individual- and couple-level reproductive goals to promote uptake and use of HIV prevention strategies, including TDF/FTC PrEP. In prior publications, we described high client- and provider-level acceptability of integrating the counseling program into routine HIV care [36]. Here, we show how women participating in this combination counseling intervention used PrEP during periconception and pregnancy periods.

Methods

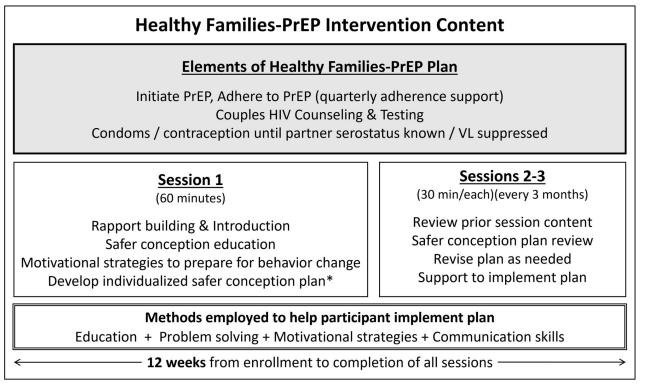
Study design and population

Women enrolled between June 2017 and January 2019. Recruitment took place in rural, southwestern Uganda from a safer conception pilot program located within the Mbarara Regional Referral Hospital [36], HIV counseling and testing sites in the district, and via referrals from local healthcare providers. Women also approached the program after hearing about it via flyers, community testing events, and informational radio spots. Eligible women were aged 18 to 40 years, tested negative for HIV (rapid test), not currently pregnant (urine β -HCG testing), likely to be fertile (based on reproductive health history) [37], and reported personal or partner desire to have a child in the next year [38–41]. Additionally, an eligible woman either knew her pregnancy partner was living with HIV or felt she was at risk for acquiring HIV based on the Perceived Risk of HIV Scale [42]. All enrolled women provided written informed consent and felt able to attend study visits for the duration of the study.

Study procedures

The protocol and analysis procedures were defined prior to participant enrollment [43]. Participants received a package of HIV prevention (or safer conception) counseling for women who want to conceive a child while exposed to HIV, Healthy-Families PrEP (Fig 1). Study visits occurred quarterly and included HIV testing, pregnancy testing, PrEP adherence counseling, and safer conception counseling sessions.

The Healthy Families-PrEP intervention was informed by a socio-ecological framework to understand women's PrEP use [44,45] and our periconception risk behavior conceptual framework [35]. Healthy Families-PrEP leveraged individual- and couple-level reproductive goals to promote uptake and use of HIV prevention strategies through education, problem-solving, communication skills training, and adherence support. Counselors completed a 2-day in-person training (together with a research team in South Africa [46]) and quarterly supervision calls with members of the study team (CP and postdoctoral fellows). Trained counselors



*Women who chose to use PrEP received quarterly adherence support based on the Lifesteps (Psaros et al., 2014) intervention through the end of study follow up.

Fig 1. Intervention content from the Healthy Families-PrEP Program.

https://doi.org/10.1371/journal.pmed.1004088.g001

used an intervention guide and were directed to complete a 60-min introductory session followed by 30-min follow-up sessions in which they worked with participants to develop and implement a safer conception plan. For women who did not know their partner's HIV status, this plan included how to encourage their partners to test and disclose their status. For those with partners living with HIV, counseling included how to encourage partners to initiate ART, to delay condomless sex until partner achieved either ART coverage for 6 months or HIV viral load suppression, and to limit sex without condoms to peak fertility. Oral combination TDF (300 mg)/FTC (200 mg) as Truvada was offered as PrEP and additional adherence counseling support was included at quarterly visits as long as the participant chose to use PrEP based on methods developed by Dr. Psaros and colleagues and included in the CDC compendium of evidence-based interventions [47,48].

Support for each of these strategies was available at the clinic study site including couplesbased counseling and testing, condoms, ART, and contraception. Ovulation prediction kits were offered by the study. In addition, participants were counseled regarding opportunities for sperm washing, donor sperm, and adoption as alternatives available in other parts of Uganda.

At baseline, women completed a questionnaire that included measures on sociodemographic, health status, reproductive history, HIV knowledge, safer conception behaviors, and other constructs expected to impact PrEP uptake and adherence based on our periconception risk reduction conceptual framework [35]. Women were eligible to initiate TDF/FTC as PrEP at any time during study follow-up. Through April 2019, women who became pregnant during follow-up had final study evaluations at the time of first positive pregnancy test. They were exited from the study with referrals for antenatal and routine PrEP care. Based on ethical concerns about pregnant women not wanting to access PrEP through the public sector, the protocol was updated. From March 2019, women who became pregnant during study follow-up remained in the study (where PrEP was provided to women choosing to use it) and were followed every 3 months until a pregnancy outcome occurred. For women with incident pregnancies after March 2019, the final visit was conducted after the pregnancy outcome. At all final visits, women were referred for PrEP care in the public sector if desired. Women who tested positive for HIV during study follow up completed exit activities at the time of first positive HIV test and were referred to appropriate HIV follow-up care. Women were categorized as lost-to-follow-up after missing 2 or more consecutive scheduled study visits and/or failure to attend further study visits after at least 2 documented attempts to contact or locate the participant.

All participants completed quarterly urine pregnancy tests (beta-HCG), rapid HIV1/2 antibody screening (and confirmation as indicated) per Ugandan standard of care, and syndromic screening for STIs. A subset of women was screened for asymptomatic STI including *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, and *Trichomonas vaginalis* via GeneXpert testing and syphilis via treponemal and non-treponemal antibody testing at baseline and 6 months follow-up. Participants who screened or tested positive for STIs received treatment per local guidelines; STI prevalence and incidence findings are reported elsewhere [49]. Blood was drawn at baseline for creatinine and hepatitis B assessment to ensure no contraindications to PrEP use. Creatinine concentrations were assessed during quarterly follow-up. Women with abnormal renal function (serum creatinine >89 µmol/L and/or GFR <60 mL/min estimated using the Cockcroft–Gault equation) or active hepatitis B infection (HBV surface antigen positive) were subsequently instructed to discontinue PrEP.

Measures

As part of questionnaires, data were collected on sociodemographic characteristics (age, education, and socioeconomic status), reproductive health history (number of prior pregnancies, live births and living children), sexual behavior (number of sexual partners, condom use, and sexual encounters), and HIV disclosure within the pregnancy partnership. Questionnaire responses were collected to construct the following scores: knowledge of HIV [50] and of safer conception behaviors [51], HIV risk perception [42], PrEP optimism (adapted) [52], parent-hood motivation [53], reproductive autonomy [54], sexual relationship power [55], partner communication [56], functional social support [57], and depression [58,59]. Summary scores were derived using cited methods (S1 Text).

Assessment of PrEP uptake and adherence

To measure daily pill-taking behavior, women were provided with an electronic pillbox (Wisepill Technologies, South Africa) that stored PrEP tablets and recorded when the device was opened, providing a reliable, objective assessment of day-to-day adherence behavior [60]. We assessed 2 primary outcomes: (1) uptake of PrEP defined as the proportion of enrolled women who ever initiated PrEP; and (2) objective adherence to PrEP as measured by the proportion of days with pillbox opening during the first 3 months of active PrEP follow-up among PrEP initiators. Adherence was defined as the number of days with a time-stamped record of a device opening divided by the number of days the participant was in active PrEP follow-up (defined as PrEP initiation through to the earlier of reported PrEP discontinuation or study exit) and capped at 1 opening per day. We chose to focus on 3-month adherence given high pregnancy incidence resulting in meaningful changes in the cohort over time. We also report on mean monthly adherence over time and the proportion of PrEP initiators with monthly adherence \geq 80%, categorized as "high" adherence [61,62]. These data are also described for women with pregnancy as secondary analyses. (We did not analyze frequency of or factors associated with 72 h gaps as per the analysis plan given low frequency of 72 h gaps in our data and evolution in the understandings of PrEP to recognize that these gaps may not be as meaningful for PrEP use adherence.)

We used multiple approaches to estimate PrEP adherence given that all adherence measures have advantages and limitations. Specifically, electronic monitoring provides highly informative day-to-day patterns of adherence; however, it may under or overestimate adherence due to device non-use or curiosity openings of the pillbox without dosing, respectively. Biomedical assessments of PrEP adherence provide documentation of pill ingestion, yet they can only be collected at periodic time points due to resource and logistical constraints, and interpretation of drug concentrations may be complicated by variable metabolism, drug interactions, and/or laboratory variation. Notably, the prior time period reflected by each measure varies (i.e., approximately 7 days for plasma, approximately 8 weeks for erythrocytes after achievement of steady state). We therefore determined plasma TFV and dried blood spot (DBS) intraerythrocytic TFV-DP concentrations for a subset of participants.

Plasma TFV concentrations \geq 40 ng/mL indicate dosing in the last 24 h (categorized as "high" adherence), between 10 and 40 ng/mL indicates dosing in the last 3 days (categorized as "moderate" adherence), and between >0.31 and 10 ng/mL indicates dosing in the last week (categorized as "low" adherence) [20,63]. TFV concentrations below 0.31 ng/mL are below the limits of detection. In contrast to data from men [64–67], data on how to assign categories of TFV-DP concentrations to pill-taking behavior for women who are not pregnant nor postpartum women in African settings is evolving [66,68]. Based on the available data and the expertise on this authorship team, \geq 600 fmol/punch was categorized as indicating approximately \geq 4 doses per week (categorized as high adherence), 450 to 600 fmol/punch indicates about 3 doses per week (categorized as low adherence) [20,60,67,69]. TFV-DP concentrations below <31.3 fmol/punch are below limits of detection.

Power and sample size

We proposed to enroll 150 women to have an evaluable sample of 112 women initiating PrEP. Our estimated evaluable sample size was based on preliminary data suggesting that 75% of women will choose to initiate PrEP. However, challenges with recruitment related to national stock-outs of HIV testing supplies resulted in halting enrollment prior to reaching this sample size. However, due to higher than anticipated PrEP use, we had sufficient sample size to evaluate factors associated with PrEP adherence using the continuous outcome measure of electronic pillcap adherence.

Statistical analysis

We conducted univariable and multivariable-adjusted analyses to assess predictors of mean PrEP adherence during the first 3 months following PrEP initiation. Given our smaller than expected sample size, we chose to model factors associated with adherence by electronic pillcap (rather than plasma TFV > = 40 ng/mL as per original analysis plan) given more complete data, a continuous outcome, and good correlation between plasma TFV and electronic pillcap data (see Results). Baseline covariates were selected based on our periconception HIV risk conceptual framework [35] and included age, education, number of live births, depression, parenthood motivation (as related to social control), sexual relationship power scale, reproductive autonomy (decision-making subscale), and perceived HIV risk. For each baseline predictor (relative to the adherence outcome), we separately constructed multivariable-adjusted linear regression models with generalized estimating equations (GEEs) using a change-in-estimate approach [70]. Specifically, relative to a fully adjusted model (i.e., all known and/or hypothesized confounding factors identified by subject matter knowledge of the underlying causal structure included), we removed, one by one, each factor and recorded the estimated change in percentage adherence for the predictor-outcome association of interest. If the removal of the factor changed the change in percentage adherence by $\geq 10\%$, it was retained in the final multivariable model. Given the large number of results only the final adjusted change in percentage adherence (and 95% CI) for each predictor of interest is presented. A complete description of each multivariable-adjusted model is included in S2 Text. We note that our original analysis plan specified log-binomial regression with GEE for estimation of relative risks, respectively. We used linear regression with GEE as is appropriate for a continuous outcome.

For secondary analyses of adherence over 6 and 9 months of follow-up, we fit intercept only linear regression models with GEE stratified by month to estimate mean monthly adherence and 95% CI. Overall, adherence over 6 and 9 months was calculated by taking an inverse variance weighted mean of monthly estimates to account for variable amounts of person-time contributing to each monthly estimate. We performed Spearman correlation analysis to assess the relationship between plasma TFV and whole blood drug TFV-DP concentrations and electronic pillcap adherence at each of the 3-month follow-up visits (through 9 months) where data was available. Lastly, we performed exploratory analyses to assess adherence to PrEP before and after date of first positive pregnancy test until the reported date of pregnancy outcome. All statistical analyses were conducted using SAS software version 9.4 (SAS Institute, Cary, North Carolina, United States of America).

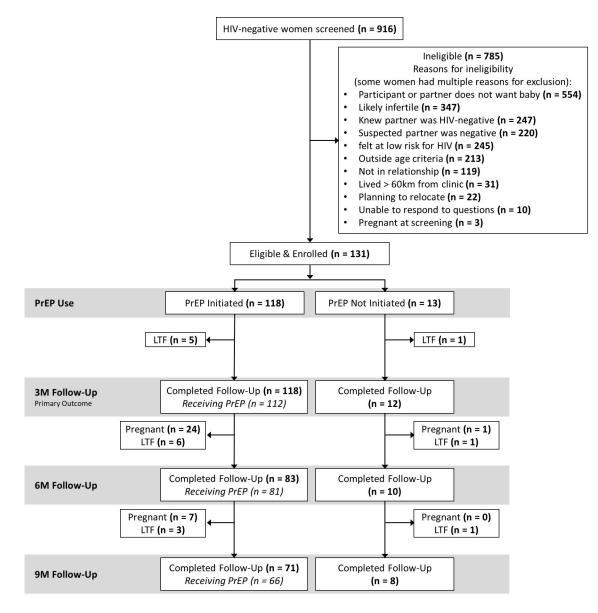
Ethics statement

Ethics approvals were secured from the Partners HealthCare Institutional Review Board (IRB), Mbarara University of Science and Technology Research Ethics Committee, and University of Alabama at Birmingham IRB. Regulatory approvals were also secured from Uganda's Office of the President and the National Council of Science and Technology.

Results

Of the 916 women who were screened, 131 (14%) met study criteria and enrolled. The study was designed to enroll 150 women, but accrual took longer than expected in part due to recurrent stock-outs of HIV testing supplies in Uganda. Reasons for ineligibility are shown in Fig 2.

<u>Table 1</u> presents the baseline characteristics of enrolled participants. Median (25th to 75th percentile) age was 28.7 (27.8 to 29.5) years with nearly all women (92%) reporting a prior pregnancy. Among women with a prior pregnancy, median (25th to 75th percentile) number of pregnancies was 2.9 (2.7 to 3.2). Most participants had completed primary school (68%), were currently employed (73%), married or living as married (94%), and reported that their partner or spouse was living with HIV (N = 97; 74%). Seventy-nine (60%) reported



LTF: Lost to Follow-Up

Fig 2. Summary of screening, eligibility, enrollment, PrEP uptake, and follow-up.

https://doi.org/10.1371/journal.pmed.1004088.g002

Table 1. Baseline characteristics of N = 131 participants in the Uganda Healthy Family Study, overall and according to PrEP uptake.

Participant characteristics	PrEP uptake				
	Overall (N = 131) N (%)	Initiated PrEP (N = 118) N (%)	Did not initiate PrEP $(N = 13)$ N (%)		
Age, years (<i>n</i> = 131)					
17.4 < 25.9	43 (33%)	37 (31%)	6 (46%)		
25.9 < 30.9	43 (33%)	38 (32%)	5 (38%)		
30.9–39.8	45 (34%)	43 (36%)	2 (15%)		
Education (<i>n</i> = 131)					
None to some primary	40 (31%)	37 (31%)	3 (23%)		
Completed primary	24 (18%)	23 (19%)	1 (8%)		
Early secondary (S1–S3)	24 (18%)	21 (18%)	3 (23%)		
Later to completed secondary (S4 or S6)	32 (24%)	27 (23%)	5 (38%)		
Tertiary/vocation, university	11 (8%)	10 (8%)	1 (8%)		
Currently employed (<i>n</i> = 131)	96 (73%)	86 (73%)	10 (77%)		
Income, past 3 months (n = 131)					
0–140,000 UGX	65 (50%)	59 (50%)	6 (46%)		
150,000–290,000 UGX	26 (20%)	24 (20%)	2 (15%)		
300,000-490,000 UGX	20 (15%)	16 (14%)	4 (31%)		
500,000-3,000,000 UGX	20 (15%)	19 (16%)	1 (8%)		
Participant (or member of household) owns					
a house $(n = 131)$	58 (44%)	53 (45%)	5 (38%)		
any land $(n = 131)$	84 (64%)	76 (64%)	8 (62%)		
any livestock ($n = 131$)	50 (38%)	44 (37%)	6 (46%)		
Reproductive history					
Ever pregnant (<i>n</i> = 131)	121 (92%)	110 (93%)	11 (85%)		
Number of pregnancies (<i>n</i> = 131)					
0	10 (8%)	8 (7%)	2 (15%)		
1	21 (16%)	20 (17%)	1 (8%)		
2	34 (26%)	27 (23%)	7 (54%)		
3	30 (23%)	29 (25%)	1 (8%)		
4 or more	36 (27%)	34 (29%)	2 (15%)		
Number of live births ¹ $(n = 121)$					
0	7 (6%)	7 (6%)	0 (0%)		
1	32 (26%)	29 (26%)	3 (27%)		
2	44 (36%)	38 (35%)	6 (55%)		
3	21 (17%)	20 (18%)	1 (9%)		
4 or more	17 (14%)	16 (15%)	1 (9%)		
Problematic drinking past year ² ($n = 130$)	14 (11%)	13 (11%)	1 (8%)		
Depression (>1.75) $(n = 131)$	46 (35%)	42 (36%)	4 (31%)		
Number of sexual partners, past 3 months ($n = 131$)	10 (0070)	12 (0070)	1(01/0)		
0	4 (3%)	4 (3%)	0 (0%)		
1	115 (88%)	102 (86%)	13 (100%)		
2 or more	113 (88%)	12 (10%)	0 (0%)		
Marital status ($n = 127$)	12 (7/0)	12 (10/0)			
Spouse/legal partner	98 (77%)	86 (75%)	12 (92%)		
Living as married	22 (17%)	22 (19%)	0 (0%)		
Living as married Long-term partner	7 (6%)	6 (5%)	1 (8%)		
Long-term partiter	/ (070)	0 (370)	1 (070)		

(Continued)

Table 1. (Continued)

Participant characteristics		PrEP uptake			
	Overall (N = 131) N (%)	Initiated PrEP (N = 118) N (%)	Did not initiate PrEP $(N = 13)$ N(%)		
Any condom use with main partner $(n = 127)$					
No	97 (76%)	87 (76%)	10 (77%)		
Yes	30 (24%)	27 (24%)	3 (23%)		
HIV status of main pregnancy partner $(n = 131)$					
No partner	4 (3%)	4 (3%)	0 (0%)		
Unknown partner	29 (22%)	23 (19%)	6 (46%)		
HIV-negative	1 (1%)	0 (0%)	1 (8%)		
HIV-positive	97 (74%)	91 (77%)	6 (46%)		
Parenthood motivation, mean $(95\% \text{ CI})^3$ (<i>n</i> = 131)					
Parenthood motivation subscale: Happiness	8.6 (8.5, 8.7)	8.6 (8.5, 8.7)	8.6 (8.1, 9.1)		
Parenthood motivation subscale: Well-being	8.4 (8.3, 8.6)	8.4 (8.3, 8.6)	8.5 (8.0, 8.9)		
Parenthood motivation subscale: Identity	8.1 (7.9, 8.4)	8.1 (7.9, 8.4)	8.3 (7.8, 8.8)		
Parenthood motivation subscale: Parenthood	7.9 (7.6, 8.1)	7.9 (7.6, 8.1)	7.9 (7.3, 8.5)		
Parenthood motivation subscale: Social control	7.1 (6.8, 7.5)	7.2 (6.8, 7.5)	7.0 (5.9, 8.1)		
Parenthood motivation subscale: Continuity	8.7 (8.6, 8.8)	8.7 (8.6, 8.8)	8.9 (8.8, 9.1)		
PrEP optimism, mean (95% CI) ⁴ ($n = 19$)	6.5 (5.7, 7.2)	6.6 (5.9, 7.4)	5.0 (1.1, 8.9)		
Sexual relationship power scale, mean (95% CI) ⁵ $(n = 131)$	2.3 (2.2, 2.4)	2.3 (2.2, 2.4)	1.9 (1.6, 2.3)		
Reproductive autonomy, mean $(95\% \text{ CI})^6$ ($n = 131$)					
Reproductive autonomy subscale: Free from coercion	2.7 (2.6, 2.7)	2.7 (2.6, 2.8)	2.4 (2.1, 2.7)		
Reproductive autonomy subscale: Communication	2.8 (2.7, 2.8)	2.8 (2.7, 2.8)	2.8 (2.6, 3.0)		
Reproductive autonomy subscale: Decision-making	2.0 (1.9, 2.1)	2.0 (1.9, 2.1)	1.9 (1.6, 2.1)		
Perceived HIV risk, mean $(95\% \text{ CI})^7$ $(n = 131)$	21.3 (20.9, 21.8)	21.3 (20.8, 21.8)	21.9 (20.3, 23.5)		
HIV knowledge, mean $(95\% \text{ CI})^8$ ($n = 131$)	15.2 (14.6, 15.8)	15.3 (14.7, 15.9)	14.2 (12.3, 16.0)		
Social support, mean $(95\% \text{ CI})^9$ ($n = 131$)	3.2 (3.1, 3.3)	3.2 (3.1, 3.3)	3.3 (3.0, 3.6)		

¹Number of live births reported among those who were pregnant at least once.

²Problematic drinking is defined as any who indicate at least one of the following: feel guilty after drinking, no memory of actions while drinking, failed to do what was expected due to drinking, and/or drink when first getting up.

³Responses related to parenthood motivation were assigned values of 1 (for "disagree"), 2 (for "partially agree"), or 3 (for "strongly agree") (S1 Text).

⁴Participants responded to 3 statements of PrEP optimism: (1) "PrEP reduces risk of getting HIV;" (2) "PrEP makes it easier to relax about sex without condoms;" and (3) "PrEP makes me worry less." Responses to these statements were assigned values of 0 (for "strongly disagree"), 1 (for "disagree"), 2 (for "agree"), 3 (for "strongly agree"), and summed to create a score. Higher scores indicate greater PrEP optimism.

⁵Higher score indicates more power in relationship including average of relationship control and decision-making dominance scores.

⁶Higher score indicates greater reproductive autonomy for participants, summing over the responses for 3 subscales (S1 Text).

⁷Sum of 6 individual questions related to perceived risk of acquiring HIV (higher score -> higher felt risk) (S1 Text).

⁸Based on series of "True/False" questions testing knowledge of HIV. Correct answers given score of 1; score based on sum of correct answers (out of 24 questions). Examples of these questions are "Coughing and sneezing DO NOT spread HIV" and "If a woman has HIV then her baby will always be born with HIV."

⁹There 10 statements related to social support with responses scored as (1) "Never," (2) "Much less than I would like," (3) "Less than I would like," and (4) "As much as I would like" were averaged to create a social support score. Higher scores correspond to higher felt support. Examples of these statements are "I get visits from friends and relatives" and "I get help with money in an emergency."

https://doi.org/10.1371/journal.pmed.1004088.t001

condomless sex at last encounter. Forty-five (35%) women screened positive for symptoms of depression, 14 (11%) reported problematic drinking within the past year, and perceived HIV risk score was high (21.3, 95% CI: 20.9 to 21.8).

Among 131 enrolled women, 17 (13%) moved or were otherwise lost to follow-up. A total of 53 pregnancies occurred among 131 women with 848 person-months of follow-up (median

8.8 months), resulting in an incidence of 75 pregnancies per 100 person-years (95% CI: 57, 98) for a 1-year cumulative incidence of 53% (95% CI: 43%, 62%) (Fig 2).

PrEP uptake

Of the 131 women enrolled, a total of 118 women (90%) initiated PrEP, all of whom chose to initiate at baseline. None had hepatitis B infection nor were ineligible based on serum creatinine. Those who initiated PrEP were older (mean age 29.0 versus 25.7 years) and more likely to report having \geq 3 lifetime pregnancies compared to those who did not initiate PrEP (54% versus 23%). A greater proportion of women who initiated PrEP reported their partner was living with HIV compared to the proportion of women who did not initiate PrEP (76% versus 46%). Mean perceived HIV risk score was lower among women who initiated PrEP compared to those who did not initiate PrEP (21.3 versus 21.9) and women who initiated PrEP in this study had higher PrEP optimistic beliefs compared to those that did not initiate PrEP (Table 1).

Periconception PrEP adherence

Among PrEP initiators, 101 (86% of N = 118) had electronic adherence data through the first 3 months (Fig 2). Among these women, average adherence was 87% (95% CI: 83%, 90%) and 86 (85%) women had high adherence (\geq 80% of expected doses taken) through 3 months. PrEP adherence was not significantly associated with covariates of interest in adjusted models (Table 2, full model details in S2 Text). Monthly adherence through 9 months was consistently high (Fig 3): in the first month, average adherence was 86% (N = 107; 95% CI: 83%, 90%) compared to the final month average adherence of 90% (N = 48; 95% CI: 85%, 95%). Longitudinal models showed that month on study was not associated with adherence (S3 Text). No women had provider-directed PrEP holds or stops for renal dysfunction or other clinical events.

Periconception drug concentrations

TFV concentrations were processed from 112 participants contributing 44 plasma and 104 DBS samples at 3 months. At 6 months, 25 plasma and 79 DBS samples collected from 81 participants were processed. At 9 months, 22 plasma and 65 DBS samples collected from 66 participants were processed. All processed samples were from women who were not pregnant at the time of collection.

Plasma TFV \geq 40 ng/mL was observed in 66%, 56%, and 45% of samples collected at 3, 6, and 9 months, respectively (Fig 4). Electronic adherence data from 3 and 30 days prior to sample collection were significantly correlated with plasma TFV levels at the 3-month visit ($\rho = 0.45$; P = 0.006 for 3 days and $\rho = 0.44$; P = 0.01 for 30 days). Correlations at 6 and 9 months were also moderate to high and statistically significant (S3 Text), suggesting that pill-taking behavior correlates well with objective adherence behavior over the past week. TFV-DP (indicative of use during past 6 to 8 weeks) concentrations of \geq 600 fmol/punch were detected among 47% of 3-month, 41% of 6-month, and 45% of 9-month samples (Fig 4). Electronic adherence data and 3-month TFV-DP levels did not correlate with pillcap data collected during the 30 ($\rho = 0.06$; P = 0.55) and 60 ($\rho = 0.17$; P = 0.11) days prior to sample collection. Correlations at 6 and 9 months were similarly low and not statistically significant (S3 Text). Fig 4 presents the proportion of participants with high, medium, low, and undetected adherence by pillcap, plasma, and DBS at 3, 6, and 9 months of follow-up.

	Univariable		Multivariable-adjusted ^b	
Covariate	change in percent adherence (95% CI)	P	Change in percent adherence (95% CI)	P
Age per 5 years	1.7% (-1.7%, 5.1%)	0.33	1.7% (-1.7%, 5.1%)	0.33
Some secondary education or higher (vs. none or primary education)	2.4% (-4.6%, 9.4%)	0.50	2.1% (-4.8%, 9.0%)	0.55
Number of live births (2+ vs. 0,1)	2.5% (-4.8%, 9.7%)	0.50	1.0% (-6.9%, 8.9%)	0.80
Depression score (>1.75 vs. $< = 1.75$)	-5.6% (-12.7%, 1.5%)	0.12	-5.6% (-12.7%, 1.5%)	0.12
Parenthood motivation subscale: Social control	0.04% (-1.8%, 1.9%)	0.97	0.04% (-1.9%, 2.0%)	0.97
Sexual relationship power scale	1.1% (-4.2%, 6.5%)	0.68	0.4% (-5.1%, 5.9%)	0.89
Reproductive autonomy subscale: Decision-making	-2.6% (-9.5%, 4.3%)	0.46	-3.2% (-10.2%, 3.7%)	0.36
Perceived HIV risk score	-0.1% (-1.3%, 1.2%)	0.90	0.04% (-1.2%, 1.3%)	0.95

Table 2. Univariable and multivariable-adjusted change in mean (95% CI) adherence^a to PrEP during 3 months following initiation.

^aAdherence measured as the percentage of time-stamped pillbox openings during active PrEP follow-up.

^bMultivariable-adjusted associations estimated using a covariate adjusted for confounders determined to be of interest using change-in-estimate methods considering all other covariates; final models for each covariate are included in the Supporting information.

https://doi.org/10.1371/journal.pmed.1004088.t002

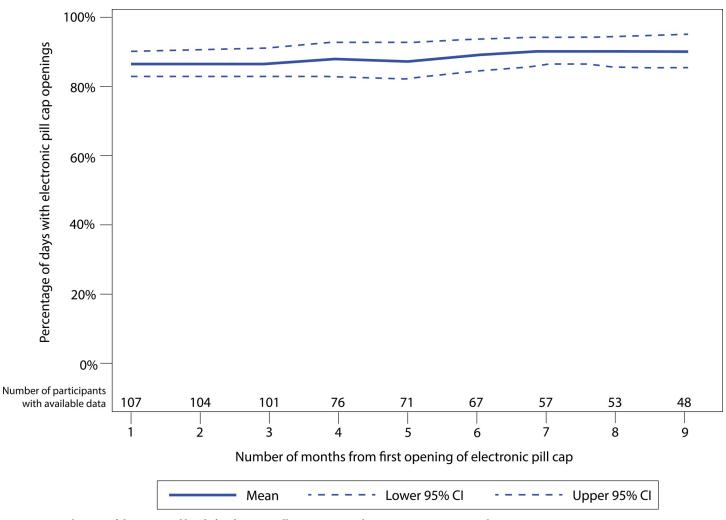


Fig 3. Mean and 95% confidence interval bands for electronic adherence to PrEP during periconception period over time.

https://doi.org/10.1371/journal.pmed.1004088.g003

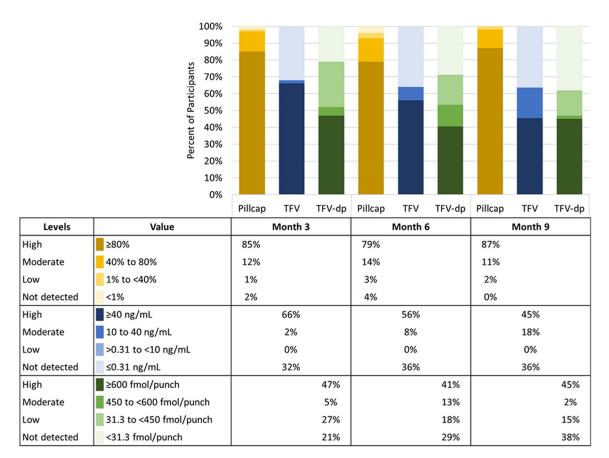


Fig 4. Periconception adherence at 3, 6, and 9 months using 3 assessment methods.

https://doi.org/10.1371/journal.pmed.1004088.g004

HIV incidence

One participant tested positive for HIV at 9 months. This participant was not pregnant. Although electronic adherence data suggested high PrEP adherence (>80% of doses all months), plasma TFV levels were undetectable at 3, 6, and 9 months of follow-up.

Pregnancy PrEP use

Forty-eight (91%) of 53 pregnant women ever used PrEP, of whom 35 (66%) completed exit procedures at the incident pregnancy visit, 17 (32%) were followed through pregnancy outcome. Overall mean adherence by electronic pillcap during the first 6 months of pregnancy was 98% (95% CI: 97%, 99%). Fig 5 highlights consistent adherence across periconception and pregnancy time periods. Of the 17 pregnancies with outcome information, 12 (71%) resulted in live births and 5 (29%) resulted in miscarriages or stillbirth or termination.

Discussion

We observed high PrEP uptake and use among periconception and pregnant women in Uganda participating in the Healthy Families-PrEP counseling intervention. These are the first data we are aware of showing high uptake and high, sustained adherence (by pillcap and plasma TFV) to daily, oral TDF/FTC as PrEP in a population of women with indications for HIV prevention in Uganda. Over 90% of participants chose PrEP as part of a safer conception

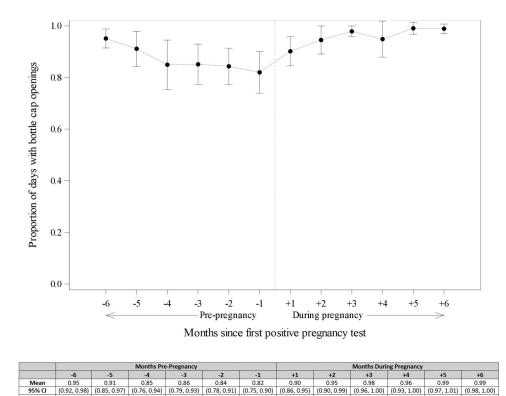


Fig 5. Adherence prior to and post-pregnancy among those women with incident pregnancy. https://doi.org/10.1371/journal.pmed.1004088.g005

strategy and mean pillcap adherence over 3 months was 87% (95% CI: 83%, 90%). In addition, electronic adherence demonstrated persistent adherence behavior over 9 months of periconception as well as pregnancy follow-up. Despite high pregnancy (35%) and STI (21%) incidence at 6 months [49], only a single HIV infection occurred (out of >70 person-years of follow-up). Our short-term (plasma TFV) and longer-term (intra-erythrocytic TFV-DP) data suggest that closer to half of women consistently took oral PrEP. This proportion is high compared to many other implementation studies of PrEP for women, as outlined in the introduction, and higher than observed in the TDF/FTC arm of the recent HPTN-084 trial in which 42% of women had high plasma TFV and 18% had high TFV-DP levels above 700 fmol/punch [71]; these findings highlight opportunities of PrEP for periconception and pregnancy HIV prevention. Differences observed across 3 objective measures of PrEP adherence in this cohort also emphasize the value of incorporating multiple adherence measurements that capture different time exposures while revealing gaps in our understanding of tenofovir drug-level data interpretation for cisgender African women who are neither pregnant nor postpartum.

The Healthy Families-PrEP combination intervention offered counseling delivered by local lay counselors to support HIV prevention strategies including daily PrEP use in the context of planning for or being pregnant (Fig 1). The 3 counseling sessions offered to all women were developed for individuals or couples, and we published qualitative data indicating high levels of acceptability and feasibility from the standpoint of clients and providers [36]. Quarterly adherence support was adapted from the Lifesteps intervention, an evidence-based intervention included in the CDC compendium of effective interventions for HIV prevention, and utilized education, problem solving, and motivational interviewing strategies to promote adherence. Lifesteps was first successfully adapted for PrEP among HIV-serodifferent couples

in Uganda [47]. Here, the same tenets were applied with an added emphasis on the opportunity to prevent HIV acquisition while meeting reproductive goals. We did not collect detailed process data, but counselors were trained to offer sessions lasting 30 to 60 min. Our team provided in-person training and every other month supervision support, where counselors discussed challenges. While this counseling approach was effective for most women in our cohort, some encountered ongoing adherence challenges. Future iterations of this work will aim to identify which women are most likely to experience adherence challenges, and identify what role adherence counseling may play in supporting prevention effective PrEP use, regardless of PrEP formulation (e.g., adaptive interventions [72]). For women who consistently use PrEP, adherence counseling support could be scaled down if desired. A future effectiveness trial and preliminary exploration of implementation strategies in collaboration with the Ugandan Ministry of Health will inform best approaches for public sector implementation and future scaling to meet the needs of women of reproductive age. In these next steps, we will evaluate the effectiveness and integration of this approach into routine care to improve PrEP uptake and adherence, including the cost, feasibility, scale-ability, and implementation process in Uganda.

Our screen to enroll ratio was high (916:131, 0.14) and may raise questions about the generalizability of the intervention. Over half of those excluded denied personal or partner pregnancy in the next year. Unfortunately, people who want to have children while exposed to HIV often face internalized, community, and healthcare stigmas around their choices given the risk of perinatal HIV acquisition [73–76]. Admitting being in an HIV-serodifferent partnership can also lead to stigma [77,78]. Thus, some eligible people may have screened out due to social desirability bias. In addition, most of our recruitment occurred through HIV testing and counseling sites. Further reach into the community and non-HIV focused clinical settings could enhance generalizability in future iterations [78]. Finally, many women do not plan for pregnancy and this may have led to limitations in recruitment. In future iterations of this work, we hope to adapt the intervention to also meet the HIV prevention needs of women with pregnancy and postpartum, thus expanding the reach and potential impact of the intervention. As opportunities to prevent HIV in the context of reproductive goals become more common, stigma may reduce.

Emerging studies of PrEP use among adolescent girls, and young women have mixed results, although most note high PrEP initiation and declining use over time [79-83]. The high uptake and sustained adherence observed in this study are consistent with a recent periconception study conducted among HIV-negative Kenyan women within a serodifferent partnership and desire to have a child [27]. In the Kenyan pilot study, PrEP was initiated by 100% of the HIV-negative partners (including 40 couples in which the woman was HIV-negative) and 81% took at least 80% of PrEP doses 1 month prior to pregnancy based on electronic pillcaps [27]. Another recent periconception study in the US observed that among 25 women taking PrEP while seeking conception with a male partner living with HIV, 87% had TFV-DP levels consistent with taking at least 4 doses/week [84]. Indeed, our qualitative sub-study with participants and partners suggested that the motivation to have a safe option to fulfill the cultural expectations of having children while maintaining serodifferent relationships encouraged PrEP adherence [78]. Conversely, a prospective cohort study in South Africa to assess the uptake and effectiveness of a safer conception intervention found lower PrEP initiation at 51% (22 of 43) among HIV-negative women in serodifferent or unknown serostatus relationships, but this study was conducted early in South Africa's PrEP roll-out [85]. Overall, our data and the literature suggest that the periconception time period, when women may be motivated to achieve reproductive goals and deliver an HIV-uninfected baby may help them to overcome oral PrEP adherence challenges [34].

More data have been collected from women using PrEP during pregnancy with conflicting results [31,32]. In an implementation project in Kenya, 22% of 9,376 pregnant and postpartum women and 79% of 193 pregnant and postpartum women with a partner known to be living with HIV initiated PrEP. Only 39% of these women continued to use PrEP after the first month [31]. In South Africa, in a cohort of 1,201 HIV-negative, pregnant women accessing care at a public sector clinic, 84% chose to use PrEP and 58% returned for 3-month follow-up [32]. Among those women, just 19% of pregnant women and 11% of postpartum women had drug concentrations consistent with taking 2 to 6 doses per week [86]. We observed ongoing high adherence, by pillcap, among women during pregnancy, suggesting that starting PrEP prior to pregnancy may provide an opportunity to overcome adherence barriers prior to onset of pregnancy symptoms or simply a selection bias that women who choose to use periconception PrEP are likely to have ongoing success. We have limited tenofovir concentration data from pregnant people in this study and will be conducting a future combined analysis with another dataset. While the periconception period is not routinely identified in general clinical care, we maintain that this may be a key opportunity to engage women in HIV prevention, including PrEP care.

Interpretation of our data varies to some extent by the adherence measure used and the degree to which a given adherence threshold correlates with PrEP effectiveness. We present various approaches to data interpretation to learn from the data, while recognizing these limitations. We categorized \geq 80% pillcap adherence as high based on trends in the literature and associations with protection [61,62]. In vitro pharmacokinetic (PK) data suggest that 6 to 7 doses per week may be required to achieve stable FTC and TFV drug concentrations in cervical and vaginal mucosal tissues (86% to 100% of doses); however, which compartment requires what drug concentration to achieve protection from HIV remains unclear for receptive vaginal intercourse [62]. We categorized concentrations of >40 ng/mL for plasma TFV as high informed by data that includes African women, suggesting protection from this level, which reflects dosing in the past 24 h [20,63]. Whole blood concentrations of TFV-DP associated with protection from HIV for women are not available. Twenty women from East and Southern Africa who were 6 to 12 weeks postpartum and taking directly observed oral PrEP 7 times per week had 25th percentile concentrations of TFV-DP of 1,053 fmol/punch [67], and the corresponding estimate for 4 doses per week was 600 fmol/punch. For 25 US women (5 of whom were black, none pregnant), the 25th percentile concentration for steady state dosing at 4 doses per week was approximately 700 fmol/punch [66]; these data, plus data from men, has informed use of a concentration of 700 fmol/punch to indicate high adherence [64-67]. However, because data suggest that this cutoff is not sensitive for adherence in African cisgender women [68], we used 600 fmol/punch.

Differences observed across the PrEP adherence measures in this cohort highlight the value of incorporating multiple adherence measurements and expose some gaps in our understandings of tenofovir drug-level data interpretation for cisgender women. Importantly, adherence by any one of these measures was higher than seen in many prior cohorts of women of reproductive age. Further, 1 HIV seroconversion was observed despite high pregnancy and STI incidence indicating that the intervention supported women to avoid HIV transmission over time [87]. Exploring possible reasons for discrepancies across measures is important for interpreting the effect of our intervention and informing future analyses. First, the categories of high are not equivalent across the measures in terms of dosing or time period: 80% of pills taken by electronic pillcap equates to 5 to 6 doses per week averaged over approximately 90 days, \geq 40 ng/mL represents dosing in the last 24 h, and \geq 600 fmol/punch TFV-DP represents 4 or more doses per week over 6 to 8 weeks. In addition, the samples represent different groups: pillcap data were available for 83%, plasma data were processed for 39%, and whole blood samples

were processed for 93% of women accessing PrEP at the 3-month (primary outcome) time point. High adherence by electronic device persisted, whereas the proportion with high levels waned over time with plasma and whole blood measures. Women may have persisted using the pillcap device without taking pills due to social desirability bias [88]. However, studies have shown that adhering to pillcap opening without taking medication is difficult to maintain over time [89] and PrEP use was not required to remain in the study. Plasma TFV measures pill taking in the past week and women may have been prompted to take doses by reminders about upcoming clinic visits thus explaining higher plasma levels even when TFV-DP concentrations were lower; however, correlations between plasma TFV and electronic pillcap data suggest that pillcap use over 30 days aligned with an objective, biologic measure in the week prior to blood draw. Correlations between electronic pillcap and whole blood (DBS) were low. In a secondary analysis of whole blood spots collected from men and women with electronic pillcap data in the Partners PrEP demonstration project, TFV-DP measurements were specific but not sensitive for electronic pillcap adherence [68]. Those authors speculate that the discrepancy may relate to interindividual differences in drug disposition [90-92]. While interindividual differences in PK are certain, intraindividual PK changes over time are less likely. Thus, the downward trends of DBS TFV-DP and plasma TFV values may at least partially reflect behavioral fatigue with daily pill taking and highlight the importance of longitudinal adherence support for daily PrEP use. The most conservative estimates of adherence also suggest that some women may require different adherence support than that provided in this study; ongoing work is needed to optimize adherence support for women [72,93]. Again, adherence by all measures was higher in this cohort than for most PrEP implementation cohorts among women.

We did not identify factors that predicted PrEP use over time in our models. Covariates were selected based on our conceptual framework and further refined by our qualitative data and the literature of factors associated with PrEP use in other cohorts of women. We may have been under-powered to detect associations, particularly because pillcap adherence was consistently high for most women (confidence intervals for associations were wide.). In terms of uptake, we observed that women who initiated PrEP in this study had higher PrEP optimistic beliefs compared to those that did not initiate PrEP (based on average PrEP optimism score). Our qualitative data also suggested a high perception of PrEP effectiveness among participants [78]. This finding aligns with a recent study conducted in central Uganda showing high acceptability and willingness to take PrEP if offered among high-risk populations in Uganda [94]. In their study, which included a 2-day training workshop on PrEP for healthcare workers, investigators observed an increase in PrEP interest and knowledge 9 months post intervention.

In many trials of PrEP for women, male partner engagement is associated with higher adherence [95–98]. Due to the challenges with HIV-serostatus disclosure globally and the desire to offer HIV prevention to women who may not know her partner's serostatus or be able to engage him in HIV prevention, our study was designed to enroll women as individuals. The absence of required partner involvement does not appear to have dampened enthusiasm for PrEP use. From our qualitative data, some participants reported that the safer conception program encouraged them to disclose their serostatus with partners, support each other to ensure daily medication adherence, and offered a sense of hope to "fight" the virus together [78]. Given the limited prevention options for women who choose to conceive with men with HIV, WHO guidelines identify serodifferent couples considering conception as a priority group for PrEP [99]. The FDA labeling information and the US Perinatal ART Guidelines support periconception PrEP use [34,100–104]. Similarly, WHO and CDC guidelines emphasize

that eliminating perinatal transmission requires pre-conception counseling to reduce transmission to the mother and therefore the child [104,105].

Strengths of this study include the unique population, use of prospective data, use of wellvalidated tools for evaluating potential social and behavioral factors that could influence PrEP use, and objective measurements of PrEP adherence using daily electronic monitoring device and plasma and whole blood drug levels. However, this study also has limitations. First, due to intermittent countrywide stock-outs of HIV testing kits, attendance at HIV testing centers was low during much of the recruitment period, resulting in slower than expected recruitment. Second, while PrEP was being rolled out in this clinic in Uganda at the time of our study, women could access enhanced counseling and personalized pharmacy services in our program. Therefore, women may have enrolled in our program regardless of pregnancy plans but to access PrEP outside of the ART pharmacy programs where stigma may impair uptake. Finally, due to funding restrictions and study design, we have limited pregnancy data followup.

In conclusion, we found that PrEP is a desirable (high uptake) tool for HIV prevention among women vulnerable to HIV during periconception and pregnancy periods. These data suggest that women planning for and with pregnancy are able to use PrEP effectively and should be prioritized for PrEP implementation and adherence support, particularly in settings with high fertility rates and generalized HIV epidemics. Future work will aim to evaluate implementation of the Healthy Families-PrEP intervention on periconception, pregnancy, and postpartum PrEP use in public sector clinics in Uganda.

Supporting information

S1 Text. <u>Table 1</u> scoring details. (DOCX)

S2 Text. Full models for Table 2. (DOCX)

S3 Text. Summary of plasma, DBS, Wisepill data at 3-, 6-, and 9-month visits. (DOCX)

S1 STROBE checklist. STROBE checklist. (DOCX)

S1 Protocol. Study protocol and analysis plan. (PDF)

Acknowledgments

We gratefully acknowledge the contributions of the participants, families, and the entire study team for their contributions. This work is dedicated to the memory of our dear colleague, mentor, and friend, Dr. Mwebesa Bosco Bwana, who led our Healthy Families team and this study with deep compassion for the patients he loved to serve.

Author Contributions

Conceptualization: Lynn T. Matthews, Christina Psaros, Craig W. Hendrix, David R. Bangsberg, Jessica E. Haberer, Mwebesa Bosco Bwana.

Data curation: Lynn T. Matthews, Kara Bennett.

Formal analysis: Lynn T. Matthews, Oluwaseyi O. Isehunwa, Kathleen E. Hurwitz, Kara Bennett.

Funding acquisition: Lynn T. Matthews, David R. Bangsberg.

- **Investigation:** Esther C. Atukunda, Moran Owembabazi, Kato Paul Kalyebera, Christina Psaros, Pooja Chitneni, Mark A. Marzinke, Peter L. Anderson, Winnie Muyindike.
- Methodology: Lynn T. Matthews, Craig W. Hendrix, Peter L. Anderson, Kathleen E. Hurwitz, Jessica E. Haberer.
- **Project administration:** Esther C. Atukunda, Moran Owembabazi, Winnie Muyindike, Mwebesa Bosco Bwana.
- Supervision: Lynn T. Matthews, Winnie Muyindike, David R. Bangsberg, Jeanne M. Marrazzo, Mwebesa Bosco Bwana.
- Writing original draft: Lynn T. Matthews, Oluwaseyi O. Isehunwa, Kara Bennett.
- Writing review & editing: Lynn T. Matthews, Oluwaseyi O. Isehunwa, Kathleen E. Hurwitz, Kara Bennett, Jessica E. Haberer.

References

- 1. The Republic of Uganda, Ministry of Health. The 2019 HIV Epidemiological Surveillance Report for Uganda. 2020.
- 2. The World Bank. Fertility rate, total (births per woman)–Uganda. 2020 [cited 2022 Nov 1]. Available from: https://data.worldbank.org/indicator/SP.DYN.TFRT.IN?locations=UG.
- Beyeza-Kashesya J, Ekstrom AM, Kaharuza F, Mirembe F, Neema S, Kulane A. My partner wants a child: a cross-sectional study of the determinants of the desire for children among mutually disclosed sero-discordant couples receiving care in Uganda. BMC Public Health. 2010; 10:247. doi: 1471-2458-10-247 [pii]. https://doi.org/10.1186/1471-2458-10-247 PMID: 20465794; PubMed Central PMCID: PMC2877675.
- Heys J, Kipp W, Jhangri GS, Alibhai A, Rubaale T. Fertility desires and infection with the HIV: results from a survey in rural Uganda. AIDS. 2009; 23(Suppl 1):S37–45. https://doi.org/10.1097/01.aids. 0000363776.76129.fd00002030-200911001-00005 [pii]. PMID: 20081387.
- Nakayiwa S, Abang B, Packel L, Lifshay J, Purcell DW, King R, et al. Desire for children and pregnancy risk behavior among HIV-infected men and women in Uganda. AIDS Behav. 2006; 10(4 Suppl):S95– 104. https://doi.org/10.1007/s10461-006-9126-2 PMID: 16715343.
- Wanyenze RK, Wagner GJ, Tumwesigye NM, Nannyonga M, Wabwire-Mangen F, Kamya MR. Fertility and contraceptive decision-making and support for HIV infected individuals: client and provider experiences and perceptions at two HIV clinics in Uganda. BMC Public Health. 2013; 13:98. Epub 2013/02/05. https://doi.org/10.1186/1471-2458-13-98 PMID: 23374175; PubMed Central PMCID: PMC3568663.
- Wagner GJ, Wanyenze R. Fertility Desires and Intentions and the Relationship to Consistent Condom Use and Provider Communication Regarding Childbearing Among HIV Clients in Uganda. ISRN. Infect Dis. 2013;2013. <u>https://doi.org/10.5402/2013/478192</u> PMID: 25379322; PubMed Central PMCID: PMC4219363.
- Case KK, Ghys PD, Gouws E, Eaton JW, Borquez A, Stover J, et al. Understanding the modes of transmission model of new HIV infection and its use in prevention planning. Bull World Health Organ. 2012; 90(11):831–8A. Epub 2012/12/12. https://doi.org/10.2471/BLT.12.102574 PMID: 23226895; PubMed Central PMCID: PMC3506404.
- Beyeza-Kashesya J, Kaharuza F, Mirembe F, Neema S, Ekstrom AM, Kulane A. The dilemma of safe sex and having children: challenges facing HIV sero-discordant couples in Uganda. Afr Health Sci. 2009; 9(1):2–12. PubMed Central PMCID: PMC2932519. PMID: 20842236
- Kastner J, Matthews LT, Flavia N, Bajunirwe F, Erikson S, Berry NS, et al. Antiretroviral Therapy Helps HIV-Positive Women Navigate Social Expectations for and Clinical Recommendations against Childbearing in Uganda. AIDS Res Treat. 2014; 2014:626120. https://doi.org/10.1155/2014/626120 PMID: 25328693; PubMed Central PMCID: PMC4189848.

- Matthews LT, Bajunirwe F, Kastner J, Sanyu N, Akatukwasa C, Ng C, et al. "I Always Worry about What Might Happen Ahead": Implementing Safer Conception Services in the Current Environment of Reproductive Counseling for HIV-Affected Men and Women in Uganda. Biomed Res Int. 2016; 2016:4195762. Epub 20160308. https://doi.org/10.1155/2016/4195762 PMID: 27051664; PubMed Central PMCID: PMC4802028.
- 12. UNAIDS. Data 2020: Eastern and South Africa. 2020 [cited 2022 Nov 1]. Available from: <u>https://www.unaids.org/sites/default/files/media_asset/2020_aids-data-book_en.pdf</u>.
- The World Bank. Fertility rate, total (births per woman)—Sub-Saharan Africa. 2020 [cited 2022 Nov 1]. Available from: https://data.worldbank.org/indicator/SP.DYN.TFRT.IN?locations=ZG.
- 14. World Health Organization. Breastfeeding Recommendations. [cited 2022 Nov 1]. Available from: https://www.who.int/health-topics/breastfeeding#tab=tab_2.
- Matthews LT, Smit JA, Cu-Uvin S, Cohan D. Antiretrovirals and safer conception for HIV-serodiscordant couples. Curr Opin HIV AIDS. 2012; 7(6):569–78. Epub 2012/10/04. <u>https://doi.org/10.1097/</u> COH.0b013e328358bac9 PMID: 23032734.
- 16. Bekker LG, Black V, Myer L, Rees H, Cooper D, Mall S, et al. Guideline on safer conception in fertile HIV-infected individuals and couples. South Afr J HIV Med. 2011; 12(2):31–44.
- Eke AC, Oragwu C. Sperm washing to prevent HIV transmission from HIV-infected men but allowing conception in sero-discordant couples. Cochrane Database Syst Rev. 2011;(1):CD008498. Epub 2011/01/21. https://doi.org/10.1002/14651858.CD008498.pub2 PMID: 21249711.
- Seidman DL, Weber S, Cohan D. Offering pre-exposure prophylaxis for HIV prevention to pregnant and postpartum women: a clinical approach. J Int AIDS Soc. 2017; 20(Suppl 1):21295. Epub 2017/04/01. https://doi.org/10.7448/IAS.20.2.21295 PMID: 28361503; PubMed Central PMCID: PMC5577744.
- Mofenson LM, Baggaley RC, Mameletzis I. Tenofovir disoproxil fumarate safety for women and their infants during pregnancy and breastfeeding. AIDS. 2017; 31(2):213–32. Epub 2016/11/11. <u>https://doi.org/10.1097/QAD.0000000001313</u> PMID: 27831952.
- Donnell D, Baeten JM, Bumpus NN, Brantley J, Bangsberg DR, Haberer JE, et al. HIV protective efficacy and correlates of tenofovir blood concentrations in a clinical trial of PrEP for HIV prevention. J Acquir Immune Defic Syndr. 2014; 66(3):340–8. <u>https://doi.org/10.1097/QAI.00000000000172</u> PMID: 24784763.
- **21.** World Health Organization. Consolidated guidelines on the use of antiretroviral drugs for treating and preventing HIV infection: recommendations for a public health approach. 2nd ed. Geneva, Switzerland; 2016.
- 22. Government of Uganda, Uganda Ministry of Health. Consolidated Guidelines for the Prevention and Treatment of HIV and AIDS in Uganda. 2020.
- 23. Pintye J, Davey DLJ, Wagner AD, John-Stewart G, Baggaley R, Bekker LG, et al. Defining gaps in pre-exposure prophylaxis delivery for pregnant and post-partum women in high-burden settings using an implementation science framework. Lancet HIV. 2020; 7(8):e582–e92. Epub 2020/08/09. https://doi.org/10.1016/S2352-3018(20)30102-8 PMID: 32763221; PubMed Central PMCID: PMC7587402.
- Koss CA, Charlebois ED, Ayieko J, Kwarisiima D, Kabami J, Balzer LB, et al. Uptake, engagement, and adherence to pre-exposure prophylaxis offered after population HIV testing in rural Kenya and Uganda: 72-week interim analysis of observational data from the SEARCH study. Lancet HIV. 2020; 7 (4):e249–e61. Epub 2020/02/23. https://doi.org/10.1016/S2352-3018(19)30433-3 PMID: 32087152; PubMed Central PMCID: PMC7208546.
- **25.** Uganda Ministry of Health. Uganda population-based HIV impact assessment (UPHIA) 2016–2017. United States Department of Health and Human Services; 2019.
- Ongwen P, Musau A, Were D, Mutegi J, Wakhutu B, Reed J. Adolescent girls on PrEP: Findings from Kenya's oral PrEP scale-up supported by Jilinde. International AIDS Society. 2019.
- Heffron R, Ngure K, Velloza J, Kiptinness C, Quame-Amalgo J, Oluch L, et al. Implementation of a comprehensive safer conception intervention for HIV-serodiscordant couples in Kenya: uptake, use and effectiveness. J Int AIDS Soc. 2019; 22(4):e25261. Epub 2019/04/09. https://doi.org/10.1002/jia2. 25261 PMID: 30957420; PubMed Central PMCID: PMC6452026.
- Mugwanya KK, Pintye J, Kinuthia J, Abuna F, Lagat H, Begnel ER, et al. Integrating preexposure prophylaxis delivery in routine family planning clinics: A feasibility programmatic evaluation in Kenya. PLoS Med. 2019; 16(9):e1002885. Epub 2019/09/04. https://doi.org/10.1371/journal.pmed.1002885 PMID: 31479452; PubMed Central PMCID: PMC6719826.
- Heffron R, Ngure K, Odoyo J, Bulya N, Tindimwebwa E, Hong T, et al. Pre-exposure prophylaxis for HIV-negative persons with partners living with HIV: uptake, use, and effectiveness in an open-label demonstration project in East Africa. Gates Open Res. 2017; 1:3. <u>https://doi.org/10.12688/gatesopenres.12752.2</u> PMID: 29355231.

- Baeten JM, Heffron R, Kidoguchi L, Mugo NR, Katabira E, Bukusi EA, et al. Integrated Delivery of Antiretroviral Treatment and Pre-exposure Prophylaxis to HIV-1-Serodiscordant Couples: A Prospective Implementation Study in Kenya and Uganda. PLoS Med. 2016; 13(8):e1002099. <u>https://doi.org/10.1371/journal.pmed.1002099</u> PMID: 27552090.
- 31. Kinuthia J, Pintye J, Abuna F, Mugwanya KK, Lagat H, Onyango D, et al. Pre-exposure prophylaxis uptake and early continuation among pregnant and post-partum women within maternal and child health clinics in Kenya: results from an implementation programme. Lancet HIV. 2020; 7(1):e38–e48. Epub 2019/12/10. https://doi.org/10.1016/S2352-3018(19)30335-2 PMID: 31813837.
- Joseph Davey DL, Mvududu R, Mashele N, Lesosky M, Khadka N, Bekker L-G, et al. Early pre-exposure prophylaxis (PrEP) initiation and continuation among pregnant and postpartum women in antenatal care in Cape Town, South Africa. J Int AIDS Soc. 2022; 25(2):e25866. https://doi.org/10.1002/jia2.25866
- 33. Matthews LT, Heffron R, Mugo N, Hendrix C, Cohen CR, Celum C, et al. Consistently high study medication adherence during periconception periods among HIV-1-uninfected women participating in a clinical trial of antiretroviral pre-exposure prophylaxis. JAIDS. 2014; 67(1):91–7.
- Matthews LT, Baeten JM, Celum C, Bangsberg DR. Periconception pre-exposure prophylaxis to prevent HIV transmission: benefits, risks, and challenges to implementation. AIDS. 2010; 24(13):1975– 82. https://doi.org/10.1097/QAD.0b013e32833bedeb PMID: 20679759
- Crankshaw TL, Matthews LT, Giddy J, Kaida A, Ware NC, Smit JA, et al. A conceptual framework for periconception HIV transmission risk reduction among HIV serodiscordant couples. Reprod Health Matters. 2012; 20(39):50–60. PubMed Central PMCID: PMC3608509.
- Young CR, Gill E, Bwana M, Muyindike W, Hock RS, Pratt MC, et al. Client and Provider Experiences in Uganda Suggest Demand for and Highlight the Importance of Addressing HIV Stigma and Gender Norms Within Safer Conception Care. AIDS Behav. United States; 2021. https://doi.org/10.1007/ s10461-021-03343-4 PMID: 34152530
- Bunting L, Boivin J. Development and preliminary validation of the fertility status awareness tool: Ferti-STAT. Hum Reprod. 2010; 25(7):1722–33. Epub 2010/04/23. <u>https://doi.org/10.1093/humrep/deq087</u> PMID: 20410218.
- **38.** PRAMS model surveillance protocol: Centers for Disease Control and Prevention (US). 2009 [cited 2022 Nov 1]. Available from: http://www.cdc.gov/prams.
- Ahluwalia IB, Johnson C, Rogers M, Melvin C. Pregnancy Risk Assessment Monitoring System (PRAMS): unintended pregnancy among women having a live birth. PRAMS Working Group. J Womens Health Gend Based Med. 1999; 8(5):587–9. Epub 2000/06/06. <u>https://doi.org/10.1089/jwh.1.</u> 1999.8.587 PMID: 10839641.
- Klerman LV. The intendedness of pregnancy: a concept in transition. Matern Child Health J. 2000; 4 (3):155–62. Epub 2000/11/30. https://doi.org/10.1023/a:1009534612388 PMID: 11097502.
- Rogers MM, Ahluwalia IB, Melvin CL. The pregnancy risk assessment monitoring system (PRAMS). J Womens Health. 1998; 7(7):799–801. Epub 1998/10/24. <u>https://doi.org/10.1089/jwh.1998.7.799</u> PMID: 9785304.
- Napper LE, Fisher DG, Reynolds GL. Development of the perceived risk of HIV scale. AIDS Behav. 2012; 16(4):1075–83. https://doi.org/10.1007/s10461-011-0003-2 PMID: 21785873; PubMed Central PMCID: PMC3338003.
- U.S. National Library of Medicine. Periconception PrEP for HIV-exposed Ugandan Women. Clinical-Trials.gov Identifier: NCT03832530 ClinicalTrials.gov: ClinicalTrials.gov; 2021 [cited 2022 Nov 1]. Available from: https://clinicaltrials.gov/ct2/show/NCT03832530.
- 44. Brofenbrenner U. The ecology of human development. Am Psychol. 1979; 32:513–31.
- 45. van der Straten A, Stadler J, Montgomery E, Hartmann M, Magazi B, Mathebula F, et al. Women's Experiences with Oral and Vaginal Pre-Exposure Prophylaxis: The VOICE-C Qualitative Study in Johannesburg, South Africa. PLoS ONE. 2014; 9(2):e89118. <u>https://doi.org/10.1371/journal.pone.</u> 0089118 PMID: 24586534
- 46. Matthews LT, Jaggernath M, Kriel Y, Smith PM, O'Neil K, Haberer JE, et al. Protocol for a longitudinal study to evaluate the use of tenofovir-based PrEP for safer conception and pregnancy among women in South Africa. BMJ Open. 2019; 9(7):e027227. https://doi.org/10.1136/bmjopen-2018-027227 PMID: 31350241
- Psaros C, Haberer JE, Katabira E, Ronald A, Tumwesigye E, Campbell JD, et al. An intervention to support HIV preexposure prophylaxis adherence in HIV-serodiscordant couples in Uganda. J Acquir Immune Defic Syndr. 2014; 66(5):522–9. Epub 2014/05/24. https://doi.org/10.1097/QAI. 00000000000212 PMID: 24853311; PubMed Central PMCID: PMC4191829.
- 48. Mayer KH, Safren SA, Elsesser SA, Psaros C, Tinsley JP, Marzinke M, et al. Optimizing Pre-Exposure Antiretroviral Prophylaxis Adherence in Men Who Have Sex with Men: Results of a Pilot Randomized

Controlled Trial of "Life-Steps for PrEP". AIDS Behav. 2017; 21(5):1350–60. https://doi.org/10.1007/s10461-016-1606-4 PMID: 27848089; PubMed Central PMCID: PMC5380582.

- 49. Chitneni P, Bwana MB, Owembabazi M, O'Neil K, Kalyebara PK, Muyindike W, et al. Sexually Transmitted Infection Prevalence Among Women at Risk for HIV Exposure Initiating Safer Conception Care in Rural, Southwestern Uganda. Sex Transm Dis. 2020; 47(8):e24–e8. Epub 2020/05/15. https://doi.org/10.1097/OLQ.0000000001197 PMID: 32404858; PubMed Central PMCID: PMC7363531.
- Paintsil E, Renner L, Antwi S, Dame J, Enimil A, Ofori-Atta A, et al. HIV knowledge, stigma, and illness beliefs among pediatric caregivers in Ghana who have not disclosed their child's HIV status. AIDS Care. 2015; 27(Suppl 1(sup1)):18–27. https://doi.org/10.1080/09540121.2015.1007116 PMID: 26616122.
- Matthews LT, Smit JA, Moore L, Milford C, Greener R, Mosery FN, et al. Periconception HIV Risk Behavior Among Men and Women Reporting HIV-Serodiscordant Partners in KwaZulu-Natal, South Africa. AIDS Behav. 2015; 19(12):2291–303. https://doi.org/10.1007/s10461-015-1050-x PMID: 26080688.
- Kalichman SC. Post-exposure prophylaxis for HIV infection in gay and bisexual men. Implications for the future of HIV prevention. Am J Prev Med. 1998; 15(2):120–7. Epub 1998/08/26. <u>https://doi.org/10. 1016/s0749-3797(98)00037-3 PMID: 9713667.</u>
- Dyer S, Mokoena N, Maritz J, van der Spuy Z. Motives for parenthood among couples attending a level 3 infertility clinic in the public health sector in South Africa. Hum Reprod. 2007; 23(2):352–7. https://doi.org/10.1093/humrep/dem279 PMID: 18063652
- Upadhyay UD, Dworkin SL, Weitz TA, Foster DG. Development and validation of a reproductive autonomy scale. Stud Fam Plann. 2014; 45(1):19–41. Epub 2014/03/13. <u>https://doi.org/10.1111/j.1728-4465.2014.00374.x</u> PMID: 24615573.
- 55. Pulerwitz J, Gortmaker SL, DeJong W. Measuring sexual relationship power in HIV/STD research. Sex Roles. 2000; 42(7/8):637–20.
- Milhausen RR, Sales JM, Wingood GM, Diclemente RJ, Salazar LF, Crosby RA. Validation of a partner sexual communiation scale for use in HIV/AIDS prevention interventions. J HIV/AIDS Prev Child Youth. 2007; 8(1).
- Broadhead WE, Gehlbach SH, de Gruy FV, Kaplan BH. The Duke-UNC Functional Social Support Questionnaire. Measurement of social support in family medicine patients. Med Care. 1988; 26 (7):709–23. Epub 1988/07/01. https://doi.org/10.1097/00005650-198807000-00006 PMID: 3393031.
- Derogatis LR, Lipman RS, Rickels K, Uhlenhuth EH, Covi L. The Hopkins Symptom Checklist (HSCL): A self-report symptom inventory. Behav Sci. 1974; 19(1):1–15. <u>https://doi.org/10.1002/bs.</u> 3830190102 PMID: 4808738
- Kiene SM, Dove M, Wanyenze RK. Depressive symptoms, disclosure, HIV-related stigma, and coping following HIV testing among outpatients in Uganda: a daily process analysis. AIDS Behav. 2018; 22 (5):1639–51. https://doi.org/10.1007/s10461-017-1953-9 PMID: 29081046
- Spinelli MA, Haberer JE, Chai PR, Castillo-Mancilla J, Anderson PL, Gandhi M. Approaches to Objectively Measure Antiretroviral Medication Adherence and Drive Adherence Interventions. Curr HIV/ AIDS Rep. 2020; 17(4):301–14. https://doi.org/10.1007/s11904-020-00502-5 PMID: 32424549
- Haberer JE, Baeten JM, Campbell J, Wangisi J, Katabira E, Ronald A, et al. Adherence to antiretroviral prophylaxis for HIV prevention: a substudy cohort within a clinical trial of serodiscordant couples in East Africa. PLoS Med. 2013; 10(9):e1001511. Epub 2013/09/24. https://doi.org/10.1371/journal. pmed.1001511 PMID: 24058300; PubMed Central PMCID: PMC3769210.
- Cottrell ML, Yang KH, Prince HM, Sykes C, White N, Malone S, et al. A Translational Pharmacology Approach to Predicting Outcomes of Preexposure Prophylaxis Against HIV in Men and Women Using Tenofovir Disoproxil Fumarate With or Without Emtricitabine. J Infect Dis. 2016; 214(1):55–64. Epub 2016/02/27. https://doi.org/10.1093/infdis/jiw077 PMID: <u>26917574</u>; PubMed Central PMCID: PMC4907409.
- Hendrix CW, Andrade A, Bumpus NN, Kashuba AD, Marzinke MA, Moore A, et al. Dose Frequency Ranging Pharmacokinetic Study of Tenofovir-Emtricitabine After Directly Observed Dosing in Healthy Volunteers to Establish Adherence Benchmarks (HPTN 066). AIDS Res Hum Retroviruses. 2016; 32 (1):32–43. https://doi.org/10.1089/AID.2015.0182 PMID: 26414912; PubMed Central PMCID: PMC4692123.
- **64.** Blumenthal J, Jain S, He F, Amico KR, Kofron R, Ellorin E, et al. Results from a PrEP Demonstration Project for At-Risk Cisgender Women in the United States. Clin Infect Dis. 2021. Epub 2021/04/18. https://doi.org/10.1093/cid/ciab328 PMID: 33864370.
- 65. Celum C, Hosek S, Tsholwana M, Kassim S, Mukaka S, Dye BJ, et al. PrEP uptake, persistence, adherence, and effect of retrospective drug level feedback on PrEP adherence among young women

in southern Africa: Results from HPTN 082, a randomized controlled trial. PLoS Med. 2021; 18(6): e1003670. https://doi.org/10.1371/journal.pmed.1003670 PMID: 34143779

- 66. Anderson PL, Liu AY, Castillo-Mancilla JR, Gardner EM, Seifert SM, McHugh C, et al. Intracellular Tenofovir-Diphosphate and Emtricitabine-Triphosphate in Dried Blood Spots following Directly Observed Therapy. Antimicrob Agents Chemother. 2018; 62(1). Epub 20171221. <u>https://doi.org/10.1128/AAC.01710-17 PMID: 29038282</u>; PubMed Central PMCID: PMC5740314.
- 67. Stranix-Chibanda L, Anderson PL, Kacanek D, Hosek S, Huang S, Nematadzira TG, et al. Tenofovir Diphosphate Concentrations in Dried Blood Spots From Pregnant and Postpartum Adolescent and Young Women Receiving Daily Observed Pre-exposure Prophylaxis in Sub-Saharan Africa. Clin Infect Dis. 2021; 73(7):e1893–e900. https://doi.org/10.1093/cid/ciaa1872 PMID: 33341883; PubMed Central PMCID: PMC8492211.
- Pyra M, Anderson P, Haberer JE, Heffron R, Celum C, Asiimwe S, et al. Tenofovir-Diphosphate as a Marker of HIV Pre-exposure Prophylaxis Use Among East African Men and Women. Front Pharmacol. 2019; 10(401). https://doi.org/10.3389/fphar.2019.00401 PMID: 31057407
- Mugwanya K, Anderson P. PrEP adherence-concentration thresholds associated with HIV protection among African women. Seattle, WA: NIAID; 2021.
- Greenland S. Modeling and variable selection in epidemiologic analysis. Am J Public Health. 1989; 79 (3):340–9. https://doi.org/10.2105/ajph.79.3.340 PMID: 2916724; PubMed Central PMCID: PMC1349563.
- Delany-Moretlwe S, Hughes JP, Bock P, Ouma SG, Hunidzarira P, Kalonji D, et al. Cabotegravir for the prevention of HIV-1 in women: results from HPTN 084, a phase 3, randomised clinical trial. Lancet. 2022; 399(10337):1779–89. Epub 20220401. https://doi.org/10.1016/S0140-6736(22)00538-4 PMID: 35378077; PubMed Central PMCID: PMC9077443.
- Velloza J, Poovan N, Ndlovu N, Khoza N, Morton JF, Omony J, et al. Adaptive HIV pre-exposure prophylaxis adherence interventions for young South African women: Study protocol for a sequential multiple assignment randomized trial. PLoS ONE. 2022; 17(4):e0266665. Epub 20220413. https://doi.org/ 10.1371/journal.pone.0266665 PMID: 35417485; PubMed Central PMCID: PMC9007385.
- Nattabi B, Li J, Thompson SC, Orach CG, Earnest J. A systematic review of factors influencing fertility desires and intentions among people living with HIV/AIDS: implications for policy and service delivery. AIDS Behav. 2009; 13(5):949–68. Epub 20090328. https://doi.org/10.1007/s10461-009-9537-y PMID: 19330443.
- 74. Beyeza-Kashesya J, Wanyenze RK, Goggin K, Finocchario-Kessler S, Woldetsadik MA, Mindry D, et al. Stigma gets in my way: Factors affecting client-provider communication regarding childbearing among people living with HIV in Uganda. PLoS ONE. 2018; 13(2):e0192902. Epub 20180220. https://doi.org/10.1371/journal.pone.0192902 PMID: 29462171; PubMed Central PMCID: PMC5819785.
- 75. Mindry D, Wanyenze RK, Beyeza-Kashesya J, Woldetsadik MA, Finocchario-Kessler S, Goggin K, et al. Safer Conception for Couples Affected by HIV: Structural and Cultural Considerations in the Delivery of Safer Conception Care in Uganda. AIDS Behav. 2017; 21(8):2488–96. https://doi.org/10. 1007/s10461-017-1816-4 PMID: 28597343.
- 76. Wagner GJ, Mindry D, Hurley EA, Beyeza-Kashesya J, Gwokyalya V, Finocchario-Kessler S, et al. Reproductive intentions and corresponding use of safer conception methods and contraception among Ugandan HIV clients in serodiscordant relationships. BMC Public Health. 2021; 21(1):156. Epub 20210119. https://doi.org/10.1186/s12889-021-10163-7 PMID: 33468072; PubMed Central PMCID: PMC7814634.
- 77. Pratt MC, Owembabazi M, Muyindike W, Kaida A, Marrazzo JM, Bangsberg DR, et al. "I still desire to have a child": a qualitative analysis of intersectional HIV- and childlessness-related stigma in rural southwestern Uganda. Cult Health Sex. 2022:1–16. Epub 20220111. https://doi.org/10.1080/ 13691058.2021.2023761 PMID: 35015604.
- 78. Atukunda EC, Owembabazi M, Pratt MC, Psaros C, Muyindike W, Chitneni P, et al. A qualitative exploration to understand barriers and facilitators to daily oral PrEP uptake and sustained adherence among HIV-negative women planning for or with pregnancy in rural Southwestern Uganda. J Int AIDS Soc. 2022; 25(3):e25894. https://doi.org/10.1002/jia2.25894 PMID: 35324081; PubMed Central PMCID: PMC8944216.
- 79. Cassidy T, Ntuli N, Kilani C, Malabi N, Rorwana B, Mutseyekwa T, et al. Delivering PrEP to Young Women in a Low-Income Setting in South Africa: Lessons for Providing Both Convenience and Support. AIDS Behav. 2021. Epub 2021/07/15. <u>https://doi.org/10.1007/s10461-021-03366-x</u> PMID: 34259963.
- Ngure K, Velloza J, Patel RC, Mugo NR, Bukusi EA, Haberer JE, et al. Alignment of PrEP use and effective contraceptive use among East African women in HIV serodiscordant partnerships. Int J STD AIDS. 2020; 31(13):1263–71. https://doi.org/10.1177/0956462420951501 PMID: 32998640.

- Pyra M, Anderson PL, Hendrix CW, Heffron R, Mugwanya K, Haberer JE, et al. Tenofovir and tenofovir-diphosphate concentrations during pregnancy among HIV-uninfected women using oral preexposure prophylaxis. AIDS. 2018; 32(13):1891–8. Epub 2018/06/13. https://doi.org/10.1097/QAD. 000000000001922 PMID: 29894385; PubMed Central PMCID: PMC6061961.
- Pyra M, Brown ER, Haberer JE, Heffron R, Celum C, Bukusi EA, et al. Patterns of Oral PrEP Adherence and HIV Risk Among Eastern African Women in HIV Serodiscordant Partnerships. AIDS Behav. 2018; 22(11):3718–25. https://doi.org/10.1007/s10461-018-2221-3 PMID: 30006791.
- Haberer JE, Bukusi EA, Mugo NR, Pyra M, Kiptinness C, Oware K, et al. Effect of SMS reminders on PrEP adherence in young Kenyan women (MPYA study): a randomised controlled trial. Lancet HIV. 2021; 8(3):e130–e7. https://doi.org/10.1016/S2352-3018(20)30307-6 PMID: 33662265.
- Leech AA, Biancarelli D, Aaron E, Miller ES, Coleman JS, Anderson PL, et al. HIV Pre-Exposure Prophylaxis for Conception Among HIV Serodiscordant Couples in the United States: A Cohort Study. AIDS Patient Care STDS. 2020; 34(7):295–302. Epub 2020/07/09. https://doi.org/10.1089/apc.2020. 0005 PMID: 32639209; PubMed Central PMCID: PMC7370981.
- Schwartz SR, Bassett J, Mutunga L, Yende N, Mudavanhu M, Phofa R, et al. HIV incidence, pregnancy, and implementation outcomes from the Sakh'umndeni safer conception project in South Africa: a prospective cohort study. Lancet HIV. 2019; 6(7):e438–e46. https://doi.org/10.1016/S2352-3018 (19)30144-4 PMID: 31160268
- **86.** Davey DJ, Nyemba DC, Mvududu R, Mashele N, Linda-Gail B, Gorbach P, et al. PrEP Continuation and Objective Adherence in Pregnant/Postpartum South African Women. Conference on Retroviruses and Opportunistice Infection; February 12–16; Virtual 2022.
- Ngure K, Thuo N, Ogello V, Kiptinness C, Kamolloh K, Burns B, et al. Dynamic Perceived HIV Risk and Sexual Behaviors Among Young Women Enrolled in a PrEP Trial in Kenya: A Qualitative Study. Front Reprod Health. 2021; 3:637869. https://doi.org/10.3389/frph.2021.637869 PMID: 36304002
- Podsadecki TJ, Vrijens BC, Tousset EP, Rode RA, Hanna GJ. "White coat compliance" limits the reliability of therapeutic drug monitoring in HIV-1-infected patients. HIV Clin Trials. 2008; 9(4):238–46. https://doi.org/10.1310/hct0904-238 PMID: 18753118.
- Deschamps AE, Van Wijngaerden E, Denhaerynck K, De Geest S, Vandamme AM. Use of electronic monitoring induces a 40-day intervention effect in HIV patients. J Acquir Immune Defic Syndr. 2006; 43(2):247–8. https://doi.org/10.1097/01.qai.0000246034.86135.89 PMID: 17003672.
- Ibrahim ME, Castillo-Mancilla JR, Yager J, Brooks KM, Bushman L, Saba L, et al. Individualized Adherence Benchmarks for HIV Pre-Exposure Prophylaxis. AIDS Res Hum Retroviruses. 2021; 37 (6):421–8. Epub 20201210. <u>https://doi.org/10.1089/AID.2020.0108</u> PMID: <u>33191774</u>; PubMed Central PMCID: PMC8213008.
- Weiser SD, Tsai AC, Gupta R, Frongillo EA, Kawuma A, Senkungu J, et al. Food insecurity is associated with morbidity and patterns of healthcare utilization among HIV-infected individuals in a resource-poor setting. AIDS. 2012; 26(1):67–75. https://doi.org/10.1097/QAD.0b013e32834cad37 PMID: 21904186; PubMed Central PMCID: PMC3606954.
- Tsai AC, Bangsberg DR, Emenyonu N, Senkungu JK, Martin JN, Weiser SD. The social context of food insecurity among persons living with HIV/AIDS in rural Uganda. Soc Sci Med. 2011; 73 (12):1717–24. Epub 20111010. https://doi.org/10.1016/j.socscimed.2011.09.026 PMID: 22019367; PubMed Central PMCID: PMC3221802.
- **93.** Garrison LE, Haberer JE. Pre-exposure Prophylaxis Uptake, Adherence, and Persistence: A Narrative Review of Interventions in the U.S. Am J Prev Med. 2021; 61(5 Suppl 1):S73–s86. <u>https://doi.org/10.1016/j.amepre.2021.04.036</u> PMID: 34686294.
- 94. Muwonge TR, Nsubuga R, Brown C, Nakyanzi A, Bagaya M, Bambia F, et al. Knowledge and barriers of PrEP delivery among diverse groups of potential PrEP users in Central Uganda. PLoS ONE. 2020; 15(10):e0241399-e. https://doi.org/10.1371/journal.pone.0241399 PMID: 33112907.
- 95. Montgomery ET, van der Straten A, Stadler J, Hartmann M, Magazi B, Mathebula F, et al. Male Partner Influence on Women's HIV Prevention Trial Participation and Use of Pre-exposure Prophylaxis: the Importance of "Understanding". AIDS Behav. 2015; 19(5):784–93. https://doi.org/10.1007/s10461-014-0950-5 PMID: 25416076; PubMed Central PMCID: PMC4416996.
- 96. Corneli A, Perry B, Agot K, Ahmed K, Malamatsho F, Van Damme L. Facilitators of adherence to the study pill in the FEM-PrEP clinical trial. PLoS ONE. 2015; 10(4):e0125458. Epub 20150413. https://doi.org/10.1371/journal.pone.0125458 PMID: 25867624; PubMed Central PMCID: PMC4395082.
- Moran A, Mashele N, Mvududu R, Gorbach P, Bekker LG, Coates TJ, et al. Maternal PrEP Use in HIV-Uninfected Pregnant Women in South Africa: Role of Stigma in PrEP Initiation, Retention and Adherence. AIDS Behav. 2022; 26(1):205–17. https://doi.org/10.1007/s10461-021-03374-x PMID: 34287756.
- 98. Ware NC, Wyatt MA, Haberer JE, Baeten JM, Kintu A, Psaros C, et al. What's love got to do with it? Explaining adherence to oral antiretroviral pre-exposure prophylaxis for HIV-serodiscordant couples. J

Acquir Immune Defic Syndr. 2012; 59(5):463–8. https://doi.org/10.1097/QAI.0b013e31824a060b PMID: 22267018; PubMed Central PMCID: PMC3826169.

- 99. World Health Organization. Guideline on when to start antiretroviral therapy and on pre-exposure prophylaxis for HIV. Geneva: W.H.O.; 2015. Contract No.: ISBN 978 924 1509565.
- 100. Lampe MA, Smith DK, Anderson GJ, Edwards AE, Nesheim SR. Achieving safe conception in HIV-discordant couples: the potential role of oral preexposure prophylaxis (PrEP) in the United States. Am J Obstet Gynecol. 2011; 204(6):e1–e8. Epub 2011/04/05. doi: S0002-9378(11)00189-X [pii]. https://doi. org/10.1016/j.ajog.2011.02.026 PMID: 21457911.
- Whetham J, Taylor S, Charlwood L, Keith T, Howell R, McInnes C, et al. Pre-exposure prophylaxis for conception (PrEP-C) as a risk reduction strategy in HIV-positive men and HIV-negative women in the UK. AIDS Care. 2013. Epub 2013/07/24. https://doi.org/10.1080/09540121.2013.819406 PMID: 23876052.
- 102. Vernazza PL, Graf I, Sonnenberg-Schwan U, Geit M, Meurer A. Preexposure prophylaxis and timed intercourse for HIV-discordant couples willing to conceive a child. AIDS. 2011; 25(16):2005–8. Epub 2011/07/01. https://doi.org/10.1097/QAD.0b013e32834a36d0 PMID: 21716070.
- 103. U.S. Public Health Service, Centers for Disease Control and Prevention, Department of Health and Human Services. Preexposure prophylaxis for the prevention of HIV infection in the United States— 2014. Clinical Providers' Supplement. 2014.
- **104.** Panel on Treatment of HIV-Infected Pregnant Women and Prevention of Perinatal Transmission. Recommendations for use of antiretroviral drugs in pregnant HIV-1-infected women for maternal health and interventions to reduce perinatal HIV transmission in the United States. 2014.
- 105. Centers for Disease Control and Prevention. Elimination of Mother-to-Child Transmission (EMTCT) in the United States. 2019 [cited 2022 Jul 30]. Available from: http://www.cdc.gov/hiv/group/gender/ pregnantwomen/emct.html.