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Blokhuis, E.M.; Giessen, A.E. van

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# Density Functional Theory of a Curved Liquid-Vapour Interface: Evaluation of the rigidity constants

Edgar M. Blokhuis<sup>1</sup> and A.E. van Giessen<sup>2</sup>

<sup>1</sup>*Colloid and Interface Science, Leiden Institute of Chemistry,  
Gorlaeus Laboratories, P.O. Box 9502, 2300 RA Leiden, The Netherlands*

<sup>2</sup>*Hobart & William Smith College, Department of Chemistry, Geneva, NY 14456, USA*

## Abstract

It is argued that to arrive at a quantitative description of the surface tension of a liquid drop as a function of its inverse radius, it is necessary to include the bending rigidity  $k$  and Gaussian rigidity  $\bar{k}$  in its description. New formulas for  $k$  and  $\bar{k}$  in the context of density functional theory with a non-local, integral expression for the interaction between molecules are presented. These expressions are used to investigate the influence of the choice of Gibbs dividing surface and it is shown that for a one-component system, the *equimolar* surface has a special status in the sense that both  $k$  and  $\bar{k}$  are then the *least* sensitive to a change in the location of the dividing surface. Furthermore, the equimolar value for  $k$  corresponds to its *maximum* value and the equimolar value for  $\bar{k}$  corresponds to its *minimum* value. An explicit evaluation using a short-ranged interaction potential between molecules, shows that  $k$  is *negative* with a value around minus 0.5-1.0  $k_B T$  and that  $\bar{k}$  is *positive* with a value which is a bit more than half the magnitude of  $k$ . Finally, for dispersion forces between molecules, we show that a term proportional to  $\log(R)/R^2$  replaces the rigidity constants and we determine the (universal) proportionality constants.

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## I. INTRODUCTION

The surface tension of a simple drop of liquid has captured the imagination of scientists dating back to the pioneering work of J. Williard Gibbs [1]. This interest continues with the main focus of attention directed towards the description of the deviation of the surface tension from its planar value when the radius of the liquid droplet becomes smaller. Such a deviation is especially important in the theoretical

description of nucleation phenomena [2]. The homogeneous nucleation of a liquid from a supersaturated vapour follows via the formation of small liquid droplets and the nucleation time and energy depend sensitively on the precise value of the droplet's surface tension.

A key quantity in quantifying the extent by which the surface tension of a liquid drop deviates from its planar value is the *Tolman length* introduced by Tolman in 1949 [3]. It can be defined in two equivalent ways. In the first way, one considers the radial dependence of the surface tension of a (spherical) liquid droplet defined as the excess grand free energy per unit area:

$$\Omega = -p_\ell V_\ell - p_v V_v + \sigma_s(R) A. \quad (1)$$

When the radius  $R$  of the droplet is large, the surface tension may be expanded in the inverse radius:

$$\sigma_s(R) = \sigma - \frac{2\delta\sigma}{R} + \dots, \quad (2)$$

where  $\sigma$  is the surface tension of the *planar interface* and where the leading order correction defines the Tolman length  $\delta$ . In the second route to define the Tolman length, one considers the pressure difference  $\Delta p = p_\ell - p_v$  between the pressure of the liquid inside and the pressure of the vapour outside the droplet. For large radii of curvature,  $\Delta p$  is expanded in  $1/R$ :

$$\Delta p = \frac{2\sigma}{R} - \frac{2\delta\sigma}{R^2} + \dots. \quad (3)$$

The first term on the right hand side is the familiar Laplace equation [4] with the leading order correction giving Tolman's original definition of the Tolman length [3]. It is important to note that this correction only takes on the form in Eq.(3) when the *equimolar* radius [1] is taken as the radius of the liquid drop, i.e.  $R = R_e$ . Furthermore, with this choice of the (Gibbs) dividing surface, terms of order  $\mathcal{O}(1/R^3)$  are absent and the dots represent terms of order  $\mathcal{O}(1/R^4)$ . When the location of the droplet radius is chosen *away* from the equimolar radius, the Tolman length correction to the Laplace equation has a form different than that shown in Eq.(3). For instance, the radius corresponding to the so-called *surface of tension* ( $R = R_s$ ) is defined such that Eq.(3) appears as  $\Delta p = 2\sigma(R_s)/R_s$ .

The determination of the value of the Tolman length for a simple drop of liquid has proved to be not without controversy (recent reviews are given in refs. [5, 6]).

This is mainly due to two reasons: first, one of the first microscopic expressions for the Tolman length was formulated in the context of a *mechanical approach* which lead to an expression for the Tolman length in terms of the first moment of the excess tangential pressure profile of a planar interface [7]. However, it was pointed out by Henderson and Schofield in 1982 that such an expression depends on the form of the pressure tensor used and is therefore not well-defined [8–11]. Furthermore, even the evaluation of the Tolman length using the usual Irving-Kirkwood [12] form for the pressure tensor leads to *incorrect* results [13] and the use of the mechanical expression is now (mostly) abandoned.

A second origin of controversy is simply due to the fact that for a regular liquid-vapour interface the Tolman length is *small* (a fraction of the molecular diameter), since it measures the subtle asymmetry between the liquid and vapour phase. Straightforward squared-gradient theory with the familiar *tanh*-profile for the density profile, leads to a *zero* value of the Tolman length [14, 15] and it remains a challenge to distinguish its value from zero in computer simulations [16–20]. Nowadays, those computer simulations that have succeeded in obtaining a value different from zero indicate that its value is *negative* with its magnitude around one tenth of a molecular diameter [21–26] and error bars usually somewhat less than half that number.

The sign and magnitude of the Tolman length for a regular liquid-vapour interface are corroborated by a large number of different versions of density functional theory (DFT), which has proved to be an invaluable tool in the theoretical description of inhomogeneous systems [27–30]. Quite surprisingly, the details of the density functional theory at hand do not seem to matter that much [6, 31] and one ubiquitously finds that the Tolman length is *negative* with a magnitude comparable to that obtained in simulations. This includes results for the Tolman length from van der Waals squared-gradient theory [32, 33], density functional theory with a non-local, integral expression for the interaction between molecules (DFT-LDA) [6, 34–37], density functional theory with weighted densities (DFT-WDA) [31] and density functional theory using Rosenfeld’s [38] fundamental measure theory for the hard-sphere free energy (DFT-FMT) [23–25, 39].

All in all, there now seems to be the same level of agreement between simulations and DFT for the Tolman length as it exists for the surface tension, with the exception of one particular type of simulation result. In refs. [21–26] the Tolman length is

determined in computer simulations of liquid droplets for various (large) radii of curvature, but in a different set of simulations the Tolman length is extracted from computer simulations of a *planar interface* [40, 41], using a virial expression for the Tolman length [42]. The simulations of the planar interface lead to a Tolman length that has the same order of magnitude as the simulations of the liquid droplets but now with the *opposite* sign. It has been suggested that, since the interfacial area is much larger in the simulations of the planar interface, the presence of *capillary waves* might play an important role [21]. However, it is difficult to imagine that this would change the sign of the Tolman length so that the resolution to this problem remains uncertain.

Another feature that ubiquitously results from the computer simulations and DFT calculations of liquid droplets is that the surface tension is *not monotonous* as a function of the (inverse) radius (for a recent review, see ref. [6]). A *maximum* in the surface tension of a liquid droplet occurs which suggests that the surface tension is qualitatively better approximated by a *parabola* rather than by a straight line with its slope given by the Tolman length. This means that one needs to include higher order terms, going beyond the level of the Tolman length, in the expansion of the surface tension in Eq.(2). Such an expansion was first provided in the ground-breaking work by Helfrich in 1973 [43]. The form for the free energy suggested by Helfrich is the most general form for the surface free energy of an isotropic surface expanded to second order in the surface's curvature [43]:

$$\Omega_{\text{H}} = \int dA \left[ \sigma - \delta\sigma J + \frac{k}{2} J^2 + \bar{k} K + \dots \right], \quad (4)$$

where  $J = 1/R_1 + 1/R_2$  is the total curvature,  $K = 1/(R_1 R_2)$  is the Gaussian curvature and  $R_1, R_2$  are the principal radii of curvature at a certain point on the surface. The expansion defines four curvature coefficients:  $\sigma$ , the surface tension of the planar interface,  $\delta$ , the Tolman length [3],  $k$ , the bending rigidity, and  $\bar{k}$ , the rigidity constant associated with Gaussian curvature. The original expression proposed by Helfrich [43] features the radius of spontaneous curvature  $R_0$  as the linear curvature term ( $\delta\sigma \rightarrow 2k/R_0$  [5, 13]), but in honour of Tolman we stick to the notation in Eq.(4).

For surfaces for which the curvatures  $J$  and  $K$  are constant, the Helfrich free energy per unit area reduces to:

$$\Omega_{\text{H}}/A \equiv \sigma(J, K) = \sigma - \delta\sigma J + \frac{k}{2} J^2 + \bar{k} K + \dots, \quad (5)$$

which for a spherically or cylindrically shaped surface takes the form:

$$\sigma_s(R) = \sigma - \frac{2\delta\sigma}{R} + \frac{(2k + \bar{k})}{R^2} + \dots \quad (\text{sphere}) \quad (6)$$

$$\sigma_c(R) = \sigma - \frac{\delta\sigma}{R} + \frac{k}{2R^2} + \dots \quad (\text{cylinder}) \quad (7)$$

These expressions indicate that the second order coefficients, which express the non-monotonicity of the surface tension as observed in simulations and DFT calculations of liquid drops, are given by the combination of the rigidity constants  $2k + \bar{k}$  and the bending rigidity  $k$ . Our goal in this article is to provide general formulas for the bending rigidities  $k$  and  $\bar{k}$  using density functional theory (DFT-LDA). This work extends previous work by us [34], by Koga and Zeng [44], by Barrett [45] and by Baidakov *et al.* [46]. Our formulas are subsequently applied to explicitly evaluate the bending rigidities and it is determined how well they can be used to describe the surface tension of a liquid drop (or vapour bubble).

The expansion of the surface tension of a liquid drop to second order in  $1/R$  has not been without controversy [47–49]. Two issues have played a role here. The first issue concerns the fact that when the interaction between molecules is sufficiently long-ranged, the expansion in  $1/R$  may not be analytic beyond some term [42, 50, 51]. In particular, for *dispersion forces* the second order correction has the form  $\log(R)/R^2$  rather than  $1/R^2$  and one could argue that the rigidity constants are “infinite”. Nowadays, this point is well-appreciated and no longer source of controversy. In this article we come back to this issue and provide explicit expressions for the second order correction to replace the expansion in Eq.(6) or (7) for dispersion forces.

A second issue argues that *even for short-ranged interactions*, which are mostly considered in simulations and DFT calculations, the second order term might pick up a logarithmic correction of the form  $\log(R)/R^2$  [47–49]. The reasoning behind this focuses on the fact that for a *spherical* droplet, the second order contribution to the free energy, i.e. the expression in Eq.(6) multiplied by the area  $A = 4\pi R^2$  is *independent* of  $R$ , which might be an indication that it should be replaced by a logarithmic term. The most compelling argument *against* this reasoning lies in the fact that the same argument applied to a *cylindrical* interface would lead to the conclusion that already the linear term in  $1/R$  (Tolman length) would pick up logarithmic corrections. Although the issue is not completely settled, the presence

of a logarithmic correction for short-ranged interaction has not been observed in simulations or demonstrated in calculations either in mean-field theory (DFT) or in Statistical Mechanics [42]. Also in this article, we inspect (numerically) the possible presence of a logarithmic correction to the second order term in the expansion of the free energy of a liquid drop and find no evidence for its presence.

Our article is organized as follows: in the next section we discuss the density functional theory that is considered (DFT-LDA) and use it to determine the surface tension  $\sigma_s(R)$  of a liquid drop and vapour bubble. In Section III, the free energy is expanded to second order in  $1/R$  for a spherical and cylindrical interface which allows the formulation of new, closed expressions for the rigidity constants  $k$  and  $\bar{k}$  [34, 45]. An important feature addressed is the consequence of the choice made for the location of the dividing surface (the value of  $R$ ) on the value of the bending rigidities. The formulas for  $k$  and  $\bar{k}$  are explicitly evaluated using a cut-off and shifted Lennard-Jones potential for the attractive part of the interaction potential. Since the evaluation of these expressions requires numerical determination of the density profile, we supply in Section IV an accurate approximation based on squared-gradient theory to evaluate  $\delta$ ,  $k$  and  $\bar{k}$  from the parameters of the phase diagram only. In Section V we consider the full Lennard-Jones interaction potential and determine its consequences for the expansion of the free energy in  $1/R$ . We end with a discussion of results.

## II. DENSITY FUNCTIONAL THEORY

The expression for the (grand) free energy in density functional theory is based on the division into a hard-sphere reference system plus attractive forces described by an interaction potential  $U_{\text{att}}(r)$ . It is the following functional of the density  $\vec{r}$  [27–30]:

$$\Omega[\rho] = \int d\vec{r} [ f_{\text{hs}}(\rho) - \mu\rho(\vec{r}) ] + \frac{1}{2} \int d\vec{r}_1 \int d\vec{r}_{12} U_{\text{att}}(r) \rho(\vec{r}_1)\rho(\vec{r}_2), \quad (8)$$

where  $\mu$  is the chemical potential. For the free energy of the hard-sphere reference system  $f_{\text{hs}}(\rho)$ , we take the well-known Carnahan-Starling form [52]:

$$f_{\text{hs}}(\rho) = k_{\text{B}}T \rho \ln(\rho) + k_{\text{B}}T \rho \frac{(4\eta - 3\eta^2)}{(1 - \eta)^2}, \quad (9)$$

where  $\eta \equiv (\pi/6) \rho d^3$  with  $d$  the molecular diameter. The Euler-Lagrange equation that minimizes the free energy in Eq.(8) is given by:

$$\mu = f'_{\text{hs}}(\rho) + \int d\vec{r}_{12} U_{\text{att}}(r) \rho(\vec{r}_2). \quad (10)$$

For a *uniform* system, the Euler-Lagrange equation becomes:

$$\mu = f'_{\text{hs}}(\rho) - 2a\rho, \quad (11)$$

with the van der Waals parameter  $a$  explicitly expressed in terms of the interaction potential as

$$a \equiv -\frac{1}{2} \int d\vec{r}_{12} U_{\text{att}}(r). \quad (12)$$

Using the expression for the chemical potential in Eq.(11), the bulk pressure is obtained from  $\Omega = -pV$  leading to the following equation of state:

$$p = \frac{k_{\text{B}}T \rho (1 + \eta + \eta^2 - \eta^3)}{(1 - \eta)^3} - a\rho^2. \quad (13)$$

Next, we consider the implementation of DFT in planar and spherical geometry.

### Planar interface

When the chemical potential is chosen such that a liquid and vapour phase coexist,  $\mu = \mu_{\text{coex}}$ , a planar interface forms between the two phases. The density profile is then a function of the coordinate normal to the interface,  $\rho(\vec{r}) = \rho_0(z)$ . In planar geometry, the Euler-Lagrange equation in Eq.(10) becomes:

$$\mu_{\text{coex}} = f'_{\text{hs}}(\rho_0) + \int d\vec{r}_{12} U_{\text{att}}(r) \rho_0(z_2). \quad (14)$$

The surface tension of the planar interface is the surface free energy per unit area ( $\sigma = (\Omega + pV)/A$  [4]):

$$\sigma = -\frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1 - s^2) \rho'_0(z_1) \rho'_0(z_2), \quad (15)$$

where  $z_2 = z_1 + sr$  and  $s = \cos \theta_{12}$ .

### A Spherical Drop of Liquid

When the chemical potential  $\mu$  is varied to a value *off-coexistence*, spherically shaped liquid droplets in *metastable* equilibrium with a bulk vapour phase may form. Such droplets are termed *critical* droplets. The radius of the liquid droplet is taken to be equal to the *equimolar* radius,  $R = R_e$  [1], which depends on the value of the chemical potential chosen, and is defined as:

$$4\pi \int_0^{\infty} dr r^2 [\rho_s(r) - \rho_v] = \frac{4\pi}{3} R_e^3 (\rho_\ell - \rho_v). \quad (16)$$



The (grand) free energy for the formation of the critical droplet is given by:

$$\frac{\Delta\Omega}{A} \equiv \frac{\Omega + p_v V}{A} = -\frac{\Delta p R}{3} + \sigma_s(R), \quad (17)$$

with  $p_v$  the vapour pressure outside the droplet and  $p_\ell = p_v + \Delta p$  is the liquid pressure inside (see the remark below, however). The surface tension of the critical droplet is the quantity that we wish to study and this equation provides a way to determine it from  $\Delta\Omega$ .

In spherical geometry, the free energy density functional in Eq.(8) is given by:

$$\begin{aligned} \frac{\Delta\Omega[\rho_s]}{A} &= \int_0^\infty dr_1 \left(\frac{r_1}{R}\right)^2 [ f_{\text{hs}}(\rho_s) - \mu\rho_s(r_1) ] \\ &+ \frac{1}{2} \int_0^\infty dr_1 \left(\frac{r_1}{R}\right)^2 \int d\vec{r}_{12} U_{\text{att}}(r) \rho_s(r_1)\rho_s(r_2), \end{aligned} \quad (18)$$

with the Euler-Lagrange equation that minimizes the above free energy equal to:

$$\mu = f'_{\text{hs}}(\rho_s) + \int d\vec{r}_{12} U_{\text{att}}(r) \rho_s(r_2). \quad (19)$$

The procedure to determine  $\sigma_s(R)$  as a function of  $R$  is as follows:

(1) First, the bulk densities  $\rho_{0,\ell}$  and  $\rho_{0,v}$  and the chemical potential at two-phase coexistence,  $\mu_{\text{coex}}$ , are determined by solving the following set of equations:

$$f'(\rho_{0,v}) = \mu_{\text{coex}}, \quad f'(\rho_{0,\ell}) = \mu_{\text{coex}}, \quad f(\rho_{0,v}) - \mu_{\text{coex}}\rho_{0,v} = f(\rho_{0,\ell}) - \mu_{\text{coex}}\rho_{0,\ell}, \quad (20)$$

where we have defined  $f(\rho) \equiv f_{\text{hs}}(\rho) - a\rho^2$ . The bulk density difference is denoted as  $\Delta\rho \equiv \rho_{0,\ell} - \rho_{0,v}$  and the pressure at coexistence is simply  $p_{\text{coex}} = -f(\rho_{0,\ell/v}) + \mu_{\text{coex}}\rho_{0,\ell/v}$ .

(2) Next, the chemical potential  $\mu$  is varied to a value *off-coexistence*. For  $\mu > \mu_{\text{coex}}$  liquid droplets are formed ( $R > 0$ ) and when  $\mu < \mu_{\text{coex}}$  we obtain bubbles of vapour ( $R < 0$ ). For given temperature and chemical potential  $\mu$  the liquid and vapour densities  $\rho_\ell$  and  $\rho_v$  are then determined from solving the following two equations

$$f'(\rho_v) = \mu, \quad f'(\rho_\ell) = \mu, \quad (21)$$

with the corresponding bulk pressures calculated from

$$p_v = -f(\rho_v) + \mu\rho_v, \quad p_\ell = -f(\rho_\ell) + \mu\rho_\ell. \quad (22)$$

It should be remarked that far outside the droplet ( $r \rightarrow \infty$ ), the density (or pressure) is equal to that of the bulk,  $\rho_s(\infty) = \rho_v$ , but that only for large droplets is the density *inside* the droplet ( $\rho_s(r=0)$ ) equal to its bulk value ( $\rho_\ell$ ).

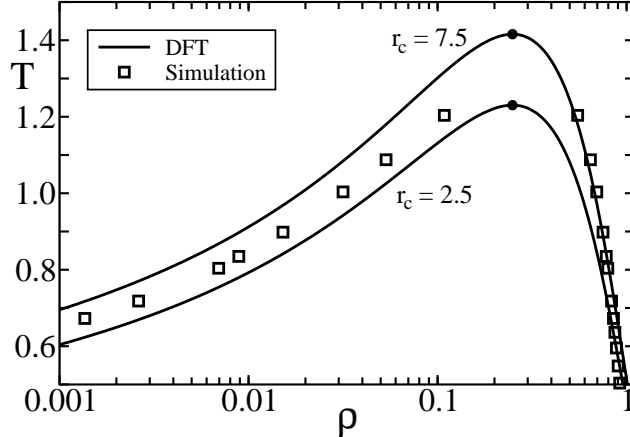


FIG. 1: Phase diagram as a function of reduced temperature and density. The solid lines are the liquid-vapour densities at two values of the reduced LJ cut-off radius (solid circles indicate the location of the critical points). Square symbols are simulation results from ref. [53].

**(3)** Finally, the Euler-Lagrange equation for  $\rho_s(r)$  in Eq.(19) is solved numerically with the boundary condition  $\rho_s(\infty) = \rho_v$ . The resulting density profile  $\rho_s(r)$  is inserted into Eq.(16) to determine the equimolar radius  $R = R_e$  and into Eq.(18) to determine  $\Delta\Omega$  and thus  $\sigma_s(R)$ .

This procedure is carried out using a cut-off and shifted Lennard-Jones potential for the attractive part of the interaction potential:

$$U_{\text{att}}(r) = \begin{cases} U_{\text{LJ}}(r_{\min}) - U_{\text{LJ}}(r_c) & 0 < r < r_{\min} \\ U_{\text{LJ}}(r) - U_{\text{LJ}}(r_c) & r_{\min} < r < r_c \\ 0 & r > r_c \end{cases} \quad (23)$$

where  $U_{\text{LJ}}(r) = 4\epsilon [(d/r)^{12} - (d/r)^6]$  and  $r_{\min} = 2^{\frac{1}{6}} d$ . Figure 1 shows the resulting phase diagram as a function of reduced density  $\rho^* \equiv \rho d^3$  and reduced temperature  $T^* \equiv k_B T / \epsilon$ . The solid lines are the liquid-vapour densities for two values of the LJ cut-off radius; the square symbols are recent computer simulation results taken from ref. [53].

In Figure 2, we show the pressure difference multiplied by  $R/2\sigma$  as a function of the reciprocal radius. The circular symbols are previous simulation results [21] that were used to determine the Tolman length from (minus) the slope at  $1/R = 0$  ( $\delta \approx -0.10 d$  [21]). For comparison, we show the result of DFT calculations as the solid line, where we have taken the pressure at the center of the droplet as the liquid pressure.

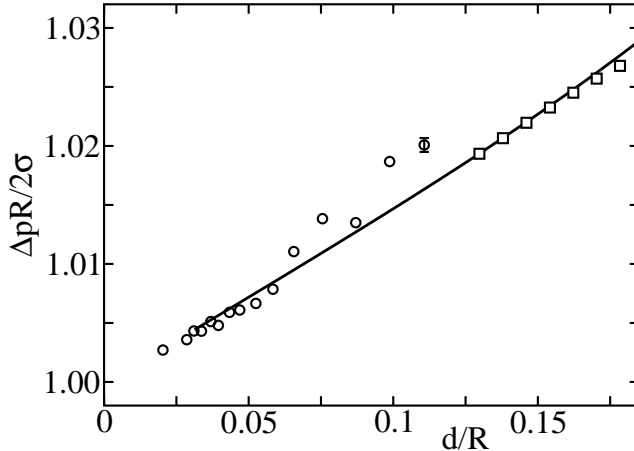


FIG. 2: Pressure difference multiplied by  $R/2\sigma$  as a function of the reciprocal *equimolar* radius  $d/R$ . Circular symbols are simulation results from ref. [21]. DFT calculations are shown as the solid line ( $\Delta p = p(0) - p_v$ ) and square symbols ( $\Delta p = p_\ell - p_v$ ). For the DFT calculations we have set the reduced temperature  $T^* = 0.911297$  and reduced LJ cut-off  $r_c = 2.5$ . The value for the reduced temperature is chosen such that the liquid-vapour density difference at coexistence matches the value in the computer simulations [21].

The excellent agreement in Figure 2 is somewhat misleading since the corresponding values of the surface tension differ by as much as 50 %. As square symbols, the results of DFT calculations using  $p_\ell$  from Eq.(22) as the liquid pressure are plotted to show that the slight difference between  $p(0)$  and  $p_\ell$  for small droplets has no consequences for the determination of  $\delta$ .

In Figure 3, a typical example of the surface tension of a spherical liquid drop (and vapour bubble) is shown as a function of  $1/R$ , with  $R$  the equimolar radius of the droplet. The symbols are the values for  $\sigma_s(R)$  calculated using DFT. The solid line is the parabolic approximation in Eq.(6) with values for the coefficients  $\sigma$ ,  $\delta$ , and  $2k + \bar{k}$  calculated from formulas presented in the next Section. The behaviour of the surface tension is characterized by a positive first derivative at  $1/R = 0$ , which indicates that the Tolman length is *negative*, and a negative *second* derivative which indicates that the combination  $2k + \bar{k}$  is also *negative*. It is concluded that the parabolic approximation gives a *quantitatively* accurate description for the surface tension for a large range of reciprocal radii. The determination of the full  $\sigma_s(R)$  is usually quite elaborate and it therefore seems sufficient to only determine the coefficients in the parabolic approximation to  $\sigma_s(R)$  as a function of  $1/R$ . This is done in the next

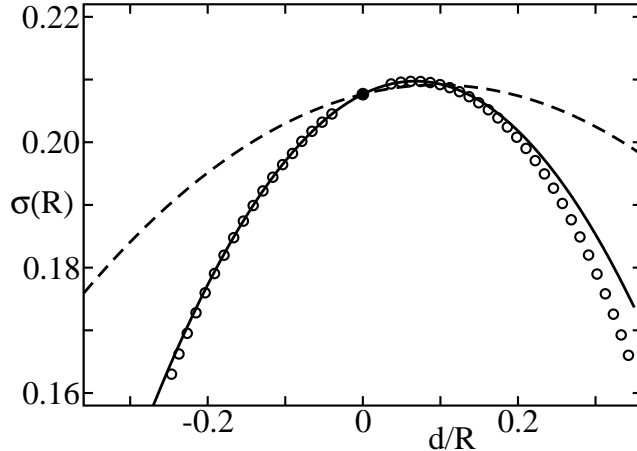


FIG. 3: Droplet surface tension (in units of  $k_B T/d^2$ ) as a function of the reciprocal *equimolar* radius  $d/R$ ; vapour bubbles are formed for  $R < 0$  and liquid droplets for  $R > 0$ . The solid line is the parabolic approximation to  $\sigma_s(R)$  determined from the expansion in Section III. As a comparison, the parabolic approximation to the cylindrical surface tension  $\sigma_c(R)$  is shown as the dashed line. We have set the reduced temperature  $T^* = 1.0$  and reduced LJ cut-off  $r_c = 2.5$ .

Section.

### III. CURVATURE EXPANSION

In this section, we consider spherically and cylindrically shaped liquid droplets and expand the free energy and density profile systematically to second order in  $1/R$ . An important feature of our analysis will be to not restrict ourselves to a particular choice of the dividing surface, but to instead leave the radius  $R$  unspecified. This will allow us to derive new, more general expressions and will allow for a new investigation of the consequences of varying the choice for the location of the dividing surface.

To second order in  $1/R$ , the expansion of the density profile of the spherical droplet reads:

$$\rho_s(r) = \rho_0(z) + \frac{1}{R} \rho_{s,1}(z) + \frac{1}{R^2} \rho_{s,2}(z) + \dots, \quad (24)$$

where  $z = r - R$ . The leading order correction to the density profile of the spherical interface is twice that of the cylindrical interface, so it is convenient to define  $\rho_1(z) \equiv \rho_{s,1}(z) = 2 \rho_{c,1}(z)$ . We shall consider the expansion of the free energy of the spherical and cylindrical droplet separately.

## Spherical interface

The coefficients in the curvature expansion of the density are determined from the curvature expansion of the Euler-Lagrange equation in Eq.(19). The result is that the (planar) density profile  $\rho_0(z)$  is determined from Eq.(14) and  $\rho_1(z)$  follows from solving:

$$\mu_1 = f''_{\text{hs}}(\rho_0) \rho_1(z_1) + \int d\vec{r}_{12} U_{\text{att}}(r) \left[ \rho_1(z_2) + \frac{r^2}{2}(1-s^2) \rho'_0(z_2) \right], \quad (25)$$

where  $\mu_1 = 2\sigma/\Delta\rho$  [5, 15]. For the evaluation of the curvature coefficients it turns out to be sufficient to determine the density profiles  $\rho_0(z)$  and  $\rho_1(z)$  only.

The expansion for  $\rho_s(r)$  is inserted into the expression for the free energy in Eq.(18). Performing a systematic expansion to second order in  $1/R$ , using the Euler-Lagrange equations in Eqs.(14) and (25), one ultimately obtains expressions for the curvature coefficients by comparing the free energy to the curvature expansion in Eq.(6). For the surface tension of the planar interface the result in Eq.(15) is recovered:

$$\sigma = -\frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) \rho'_0(z_1) \rho'_0(z_2). \quad (26)$$

For the Tolman length one obtains the following expression [34]

$$\delta\sigma = \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) z_1 \rho'_0(z_1) \rho'_0(z_2) - \frac{\mu_1}{2} \int_{-\infty}^{\infty} dz z \rho'_0(z). \quad (27)$$

For the combination of the rigidity constants,  $2k + \bar{k}$ , we have:

$$\begin{aligned} 2k + \bar{k} &= \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) \rho'_0(z_1) \rho_1(z_2) \\ &\quad - \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) z_1^2 \rho'_0(z_1) \rho'_0(z_2) \\ &\quad + \frac{1}{48} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4 (1-s^4) \rho'_0(z_1) \rho'_0(z_2) \\ &\quad + \int_{-\infty}^{\infty} dz \left[ \frac{\mu_1}{2} z \rho'_1(z) + \mu_1 z^2 \rho'_0(z) + \mu_{s,2} z \rho'_0(z) \right], \end{aligned} \quad (28)$$

where  $\mu_{s,2} = -\sigma \Delta\rho_1/(\Delta\rho)^2 - 2\delta\sigma/\Delta\rho$  [5, 15] with  $\Delta\rho_1 \equiv \rho_{1,\ell} - \rho_{1,v}$ .

## Cylindrical interface

The analysis for the cylindrical interface is analogous to that of the spherical interface. Following the same procedure as for the spherical interface, the expressions for  $\sigma$  and

$\delta\sigma$  in Eqs.(26) and (27) are recovered and one obtains as an expression for the bending rigidity  $k$ :

$$\begin{aligned}
k &= \frac{1}{8} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) \rho'_0(z_1) \rho_1(z_2) \\
&+ \frac{1}{64} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4 (1-s^2)^2 \rho'_0(z_1) \rho'_0(z_2) \\
&+ \int_{-\infty}^{\infty} dz \left[ \frac{\mu_1}{4} z \rho'_1(z) + \frac{\mu_1}{2} z^2 \rho'_0(z) + 2\mu_{c,2} z \rho'_0(z) \right],
\end{aligned} \tag{29}$$

where  $\mu_{c,2} = -\sigma \Delta\rho_1 / (2 \Delta\rho)^2$  [5, 15]. An expression for the rigidity constant associated with Gaussian curvature is then obtained by combining Eqs.(28) and (29):

$$\begin{aligned}
\bar{k} &= -\frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1-s^2) z_1^2 \rho'_0(z_1) \rho'_0(z_2) \\
&- \frac{1}{96} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4 (1-s^2) (1-5s^2) \rho'_0(z_1) \rho'_0(z_2) \\
&+ (\mu_{s,2} - 4\mu_{c,2}) \int_{-\infty}^{\infty} dz z \rho'_0(z).
\end{aligned} \tag{30}$$

The expressions for  $k$  and  $\bar{k}$  differ in two ways somewhat from previous expressions derived by us in ref. [34]. First, they are rewritten in a more compact form with a printing error in ref. [34] corrected (as noted by Barrett [45]). Second, these expressions are derived without reference to a particular choice for the location of the dividing surface, i.e. for the location of the  $z=0$  plane. This feature allows us to investigate the influence of the *choice* for the location of the dividing surface. As already known, the surface tension and Tolman length are *independent* of this choice but  $k$  and  $\bar{k}$  *do* depend on it.

### Choice for the location of the dividing surface

We first consider the density profile of the *planar* interface, obtained by solving the differential equation in Eq.(14), to investigate the consequences of the choice for the location of the dividing surface for  $\delta$  and  $\bar{k}$ . One may verify that when  $\rho_0(z)$  is a particular solution of the differential equation in Eq.(14), then the *shifted* density profile

$$\rho_0(z) \longrightarrow \rho_0(z - z_0), \tag{31}$$

is also a solution for arbitrary value of the integration constant  $z_0$ . However, since the expressions for  $\delta$  and  $\bar{k}$  feature  $z$  (or  $z_1$ ) in the integrand, such a shift has consequences for the different contributions to  $\delta$  and  $\bar{k}$ . To investigate this in more detail, we first place the dividing surface of the planar system at the equimolar surface,  $z = z_e$ , which is defined such that the excess density is zero [1]:

$$\int_{-\infty}^{\infty} dz [\rho_0(z) - \rho_{0,\ell} \Theta(z_e - z) - \rho_{0,v} \Theta(z - z_e)] = - \int_{-\infty}^{\infty} dz (z - z_e) \rho'_0(z) = 0, \quad (32)$$

where  $\Theta(z)$  is the Heaviside function. When all distances to the surface are measured with respect to the equimolar plane, we need to replace  $z$  by  $z - z_e$  in the expressions for  $\delta$  and  $\bar{k}$ . For the Tolman length in Eq.(27) we then find that:

$$\delta\sigma = \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1 - s^2) (z_1 - z_e) \rho'_0(z_1) \rho'_0(z_2), \quad (33)$$

where we have used Eq.(32). Now, to investigate the consequences of shifting the dividing surface away from the equimolar surface by a distance  $\Delta$ , we replace  $z \rightarrow z - (z_e + \Delta)$  in the expression for the Tolman length in Eq.(27). One may easily verify that on account of the fact that  $\mu_1 = 2\sigma/\Delta\rho$  the Tolman length then again reduces to the expression in Eq.(33) which proves that the Tolman length is *independent* of the choice for the location of the dividing surface.

Replacing  $z \rightarrow z - z_e$  in the expression for the rigidity constant associated with Gaussian curvature in Eq.(30), we find that  $\bar{k}$  simplifies to

$$\begin{aligned} \bar{k}_{\text{equimolar}} &= -\frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1 - s^2) (z_1 - z_e)^2 \rho'_0(z_1) \rho'_0(z_2) \\ &\quad - \frac{1}{96} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4 (1 - s^2) (1 - 5s^2) \rho'_0(z_1) \rho'_0(z_2). \end{aligned} \quad (34)$$

Again, we may investigate the consequence of shifting the dividing surface by replacing  $z \rightarrow z - (z_e + \Delta)$  in the expression for  $\bar{k}$  in Eq.(30). We then find that

$$\bar{k} = \bar{k}_{\text{equimolar}} + \sigma \Delta^2. \quad (35)$$

This equation shows that  $\bar{k}$  *does* depend on the choice for the location of the dividing surface. It also shows that  $\bar{k}$  evaluated for the *equimolar* surface ( $\Delta=0$ ), corresponds to the *lowest* possible value for  $\bar{k}$  and is the *least* sensitive to a shift in the location of the dividing surface.

To address the influence of the dividing surface on the value of the *bending rigidity*  $k$ , we need to consider the properties of the density profile  $\rho_1(z)$  as well. One may verify that when  $\rho_1(z)$  is a particular solution of Eq.(25) then also

$$\rho_1(z) \longrightarrow \rho_1(z) + \alpha \rho'_0(z), \quad (36)$$

is a solution for arbitrary value of the integration constant  $\alpha$ . Now, one may easily verify by inserting Eq.(36) into Eq.(29) that  $k$  is *independent* of the value of the integration constant. This means that just like  $\delta$  and  $\bar{k}$  we only need to consider the influence of the choice for the location of the dividing surface of the *planar* density profile  $\rho_0(z)$ . For the *equimolar* surface, the expression for the bending rigidity in Eq.(29) reduces to:

$$\begin{aligned} k_{\text{equimolar}} &= \frac{1}{8} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1 - s^2) \rho'_0(z_1) \rho_1(z_2) \\ &+ \frac{1}{64} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4 (1 - s^2)^2 \rho'_0(z_1) \rho'_0(z_2) \\ &+ \frac{\mu_1}{4} \int_{-\infty}^{\infty} dz \left[ (z - z_e) \rho'_1(z) + 2(z - z_e)^2 \rho'_0(z) \right]. \end{aligned} \quad (37)$$

Shifting the dividing surface by replacing  $z \rightarrow z - (z_e + \Delta)$  in the expression for  $k$  in Eq.(29), we then find that

$$k = k_{\text{equimolar}} - \sigma \Delta^2. \quad (38)$$

It is concluded that also the bending rigidity  $k$  *does* depend on the choice for the location of the dividing surface. The bending rigidity evaluated for the *equimolar* surface ( $\Delta=0$ ), now corresponds to the *largest* possible value for  $k$  but it is again the *least* sensitive to a shift in the location of the dividing surface.

The procedure to determine the curvature coefficients  $\sigma$ ,  $\delta$ ,  $k$  and  $\bar{k}$  is now as follows. The planar profile  $\rho_0(z)$  is first determined from the differential equation in Eq.(14) with  $\rho_{0,\ell}$ ,  $\rho_{0,v}$ ,  $\mu_{\text{coex}}$  and  $p_{\text{coex}}$  derived from solving the set of equations in Eq.(20). From  $\rho_0(z)$ , the location of the equimolar plane  $z = z_e$  is determined from Eq.(32) and the curvature coefficients  $\sigma$ ,  $\delta$  and  $\bar{k}$  are evaluated from the integrals in Eq.(26), (33) and (34), respectively. The constant  $\mu_1$  is subsequently determined from  $\mu_1 = 2\sigma/\Delta\rho$  which allows us to determine the bulk density values  $\rho_{1,\ell/v}$  from  $\rho_{1,\ell/v} = \mu_1/f''(\rho_{0,\ell/v})$ . For given  $\rho_0(z)$  and  $\mu_1$ , the differential equation for  $\rho_1(z)$  in Eq.(25) is solved with the



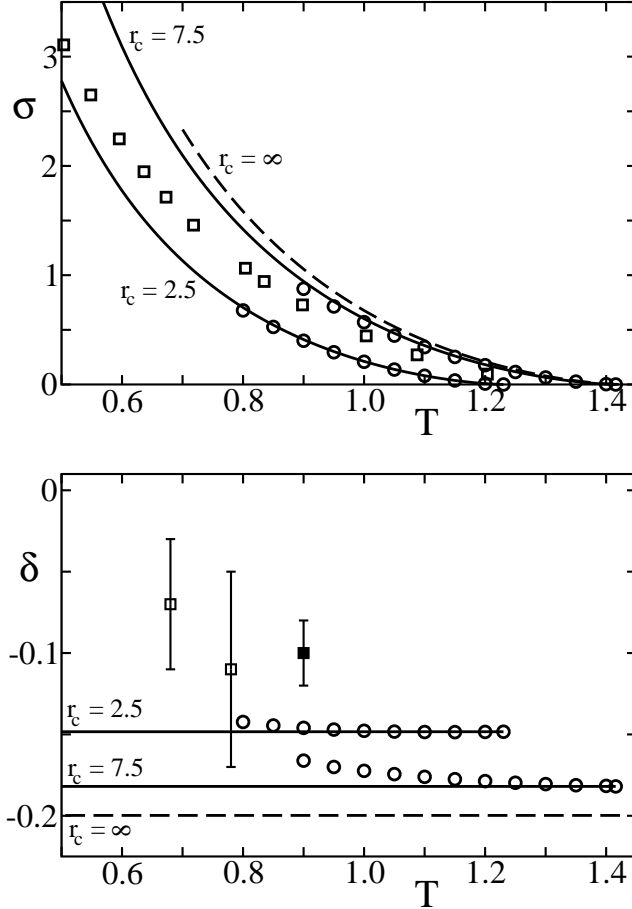


FIG. 4: Surface tension  $\sigma$  (in units of  $k_B T/d^2$ ) and Tolman length  $\delta$  (in units of  $d$ ) as a function of reduced temperature. Circular symbols are the results of the full DFT calculations in Eqs.(26) and (27). The solid lines are the squared-gradient approximations of Section IV. Square symbols are simulation results for  $\sigma$  from ref. [53] and for  $\delta$  from ref. [21] (solid square) and ref. [24] (two open squares).

boundary conditions  $\rho_1(-\infty) = \rho_{1,\ell}$  and  $\rho_1(\infty) = \rho_{1,v}$ . Finally, with  $\rho_1(z)$  determined,  $k$  can be evaluated from the integral in Eq.(37).

This procedure is carried out (again) using the cut-off and shifted Lennard-Jones potential in Eq.(23) for the attractive part of the interaction potential. Figure 4 shows the surface tension and Tolman length as a function of temperature. The circular symbols are the values for  $\sigma$  and  $\delta$  calculated using DFT for two values of the LJ cut-off radius  $r_c$ . The solid lines are the squared-gradient approximations in Section IV for  $r_c = 2.5, 7.5$ , and  $\infty$ . As square symbols, we show computer simulation results for  $\sigma$  from ref. [53], the single simulation result for  $\delta$  from ref. [21] (solid square) and results for  $\delta$  from simulations by the group of Binder [24] (open squares).

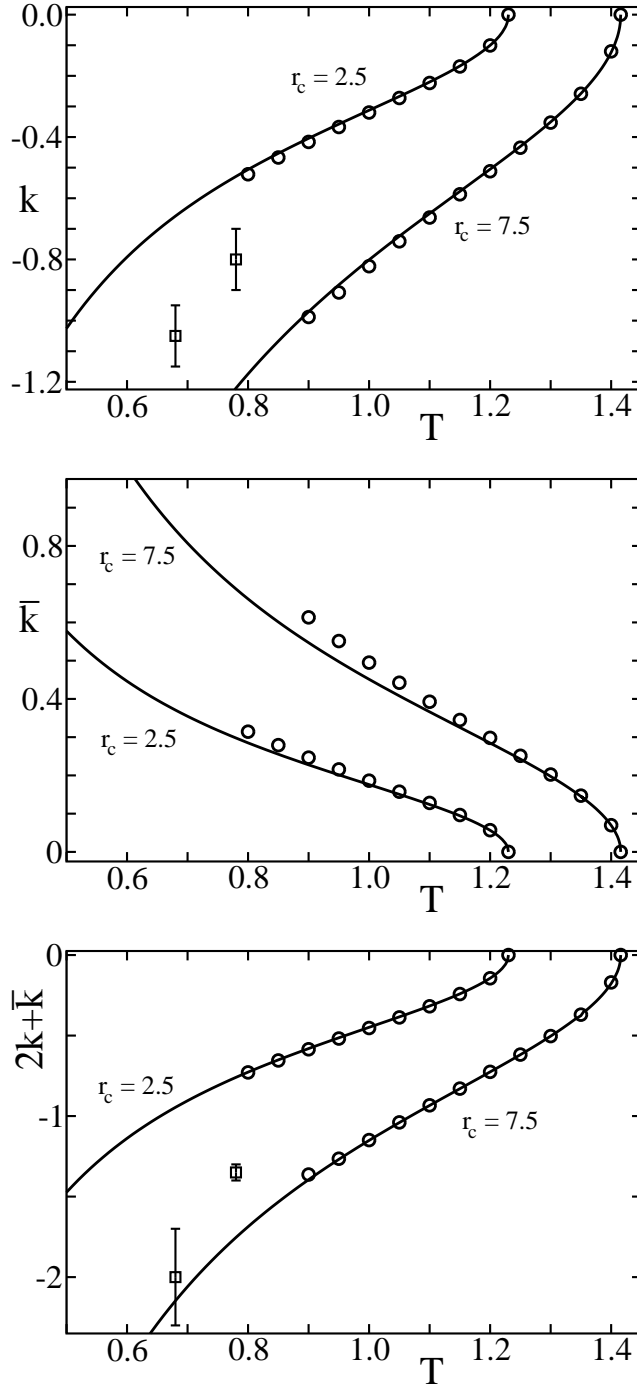


FIG. 5: Bending rigidity  $k$ , Gaussian rigidity  $\bar{k}$ , and the combination  $2k + \bar{k}$  (in units of  $k_B T$ ) as a function of temperature. The rigidity constants are evaluated using the *equimolar* surface as the dividing surface. Circular symbols are the results of the full DFT calculations in Eqs.(34) and (37). The solid lines are the squared-gradient approximations of Section IV. Square symbols are simulation results from ref. [24].

In Figure 5, the bending rigidity  $k$ , Gaussian rigidity  $\bar{k}$ , and the combination  $2k + \bar{k}$  are shown as a function of temperature. The rigidity constants are evaluated using the *equimolar* surface for the location of the dividing surface. The circular symbols are the values for  $k$  and  $\bar{k}$  calculated using DFT for two values of the reduced LJ cut-off radius  $r_c = 2.5$  and  $7.5$ , with the solid lines the corresponding squared-gradient approximations determined in the next Section. Also shown are simulation results by the group of Binder [24]. Although a detailed comparison of the DFT and simulation results is not really appropriate due to a difference in cut-off used, the agreement in sign and order of magnitude is rather satisfactory.

#### IV. SQUARED-GRADIENT EXPRESSIONS

The evaluation of  $\delta$ ,  $k$  and  $\bar{k}$  requires the full numerical evaluation of the density profiles  $\rho_0(z)$  and  $\rho_1(z)$  from the differential equations in Eqs.(14) and (25). This procedure is quite elaborate, prompting a need for simple formulas that provide (approximate) numbers for the various coefficients. In this section we provide a rather accurate approximation scheme based on the squared-gradient approximation which only requires the calculation of the phase diagram as input.

The squared-gradient theory for surfaces dates back to the work of van der Waals in 1893 [54]. Its free energy functional is derived from Eq.(8) by assuming that gradients in the density are small so that  $\rho(\vec{r}_2)$  may be expanded around  $\rho(\vec{r}_1)$ . This leads to:

$$\Omega[\rho] = \int d\vec{r} \left[ m |\vec{\nabla}\rho(\vec{r})|^2 + f(\rho) - \mu\rho(\vec{r}) \right], \quad (39)$$

where the squared-gradient coefficient  $m$  is given by

$$m \equiv -\frac{1}{12} \int d\vec{r}_{12} r^2 U_{\text{att}}(r). \quad (40)$$

Expressions for the curvature coefficients in squared-gradient theory were formulated some time ago. For the surface tension of the planar interface, we have the familiar expression given by van der Waals [54]:

$$\sigma = 2m \int_{-\infty}^{\infty} dz \rho'_0(z)^2. \quad (41)$$

For the Tolman length, Fisher and Wortis derived the following expression [14]:

$$\delta\sigma = -2m \int_{-\infty}^{\infty} dz (z - z_e) \rho'_0(z)^2. \quad (42)$$

For the bending and Gaussian rigidity, one has [15]:

$$\begin{aligned}
k &= -m \int_{-\infty}^{\infty} dz \rho_0(z) \rho_1'(z) + \int_{-\infty}^{\infty} dz \left[ \frac{\mu_1}{4} z \rho_1'(z) + \frac{\mu_1}{2} z^2 \rho_0'(z) + 2\mu_{c,2} z \rho_0'(z) \right], \\
\bar{k} &= 2m \int_{-\infty}^{\infty} dz z^2 \rho_0'(z)^2 + (\mu_{s,2} - 4\mu_{c,2}) \int_{-\infty}^{\infty} dz z \rho_0'(z),
\end{aligned} \tag{43}$$

which, evaluated using the equimolar surface for the location of the dividing surface, reduce to:

$$\begin{aligned}
k_{\text{equimolar}} &= -m \int_{-\infty}^{\infty} dz \rho_0(z) \rho_1'(z) + \frac{\mu_1}{4} \int_{-\infty}^{\infty} dz \left[ (z - z_e) \rho_1'(z) + 2(z - z_e)^2 \rho_0'(z) \right], \\
\bar{k}_{\text{equimolar}} &= 2m \int_{-\infty}^{\infty} dz (z - z_e)^2 \rho_0'(z)^2.
\end{aligned} \tag{44}$$

To evaluate these expressions, the density profiles  $\rho_0(z)$  and  $\rho_1(z)$  still need to be determined from the expanded Euler-Lagrange equation:

$$f'(\rho_0) = \mu_{\text{coex}} + 2m \rho_0''(z), \tag{45}$$

$$f''(\rho_0) \rho_1(z) = \mu_1 + 2m \rho_1''(z) + 4m \rho_0'(z). \tag{46}$$

In order to solve these equations, it is useful to assume proximity to the critical point so that the free energy density may be approximated by the usual double-well form:

$$f(\rho) - \mu_{\text{coex}}\rho + p_{\text{coex}} = \frac{m}{(\Delta\rho)^2 \xi^2} (\rho - \rho_{0,\ell})^2 (\rho - \rho_{0,v})^2, \tag{47}$$

where the bulk correlation length  $\xi$  is related to the second derivative of  $f(\rho)$  evaluated at either bulk density. Solving the Euler-Lagrange equation in Eq.(45) then leads to the usual *tanh*-form for the planar density profile [4]:

$$\rho_0(z) = \frac{1}{2}(\rho_{0,\ell} + \rho_{0,v}) - \frac{\Delta\rho}{2} \tanh((z - z_e)/2\xi). \tag{48}$$

One may verify that solving the Euler-Lagrange equation in Eq.(46) gives the following general solution for  $\rho_1(z)$  [15]:

$$\rho_1(z) = \frac{1}{3} m (\Delta\rho)^2 \xi + \alpha \rho_0'(z). \tag{49}$$

As already discussed, the rigidity constant is *independent* of the integration constant  $\alpha$ . Inserting these profiles into the expressions for  $\sigma$ ,  $k$  and  $\bar{k}$  in Eqs.(41) and (44),

one finds [15]:

$$\begin{aligned}\sigma &= \frac{m (\Delta\rho)^2}{3 \xi}, \\ k_{\text{equimolar}} &= -\frac{1}{9}(\pi^2 - 3) m (\Delta\rho)^2 \xi, \\ \bar{k}_{\text{equimolar}} &= \frac{1}{9}(\pi^2 - 6) m (\Delta\rho)^2 \xi.\end{aligned}\tag{50}$$

For the symmetric double-well form for  $f(\rho)$ , the Tolman length is identically zero. To obtain an estimate for  $\delta$  it is therefore necessary to consider leading order corrections to the double-well form for  $f(\rho)$  in Eq.(47) [14, 34]. This leads to the following (constant) value for the Tolman length [34]:

$$\delta = -0.286565 \sqrt{m/a}.\tag{51}$$

The prefactor depends on the precise form for  $f(\rho)$  and the number quoted is specific to the Carnahan-Starling equation of state [55].

All these formulas are derived assuming proximity to the critical point, but it turns out that they also provide a good approximation in a wide temperature range when the value of  $\xi$  is chosen judiciously. This is done by using the fact that in squared-gradient theory the surface tension  $\sigma$  may be determined from  $f(\rho)$  *directly* without the necessity to determine the density profile  $\rho_0(z)$  [4]:

$$\sigma = 2 \sqrt{m} \int_{\rho_{0,v}}^{\rho_{0,\ell}} d\rho \sqrt{f(\rho) - \mu_{\text{coex}} \rho + p_{\text{coex}}}.\tag{52}$$

An effective value for  $\xi$  may now be chosen such that the two expressions for the surface tension in Eqs.(50) and (52) are equal. This gives for  $\xi$ :

$$\xi \longrightarrow \xi_{\text{eff}} \equiv \frac{m (\Delta\rho)^2}{3 \sigma}.\tag{53}$$

with  $\sigma$  given by Eq.(52).

The procedure to determine the solid lines in Figures 4 and 5 is now as follows. For a certain interaction potential, such as the Lennard-Jones potential in Eq.(23), the interaction parameters  $a$  and  $m$  are calculated. Next, as a function of temperature, the bulk thermodynamic variables  $\rho_{0,\ell}$ ,  $\rho_{0,v}$ ,  $\mu_{\text{coex}}$  and  $p_{\text{coex}}$  are derived from solving the set of equations in Eq.(20). The surface tension is then calculated from Eq.(52) and  $\xi$  from Eq.(53). With all parameters known, the curvature coefficients are finally calculated from Eqs.(50) and (51).

## V. LONG-RANGED INTERACTIONS: DISPERSION FORCES

The surface tension, Tolman length and rigidity constants have all been explicitly evaluated using a Lennard-Jones potential that is cut-off beyond a certain distance  $r_c$ . In this section we address the consequences of using the *full* Lennard-Jones potential. It is easily verified that the phase diagram in Figure 1 remains essentially the same when the cut-off is changed from  $r_c = 7.5$  to  $r_c = \infty$ , but that the shift in surface tension and Tolman length is increasingly noticeable (see Figure 4). An inspection of the explicit expressions for the rigidity constants in Eqs.(29) and (30) teaches us that both  $k$  and  $\bar{k}$  *diverge* when  $r_c$  increases to infinity [42, 51]. This divergence is an indication that the expansion of the free energy is no longer of the form in Eq.(6) or (7), and it has to be replaced by

$$\sigma_s(R) = \sigma - \frac{2\delta\sigma}{R} + (2k_s + \bar{k}_s) \frac{\log(d/R)}{R^2} + \dots \quad (54)$$

$$\sigma_c(R) = \sigma - \frac{\delta\sigma}{R} + k_s \frac{\log(d/R)}{2R^2} + \dots \quad (55)$$

where the dots represent terms of  $\mathcal{O}(1/R^2)$ . The coefficients of the logarithmic terms may be extracted from the expressions for  $k$  and  $\bar{k}$  in Eqs.(29) and (30). They depend on the tail of the interaction potential, but are otherwise quite universal:

$$k_s = \frac{\pi}{8} \varepsilon d^6 (\Delta\rho)^2, \quad (56)$$

$$\bar{k}_s = -\frac{\pi}{12} \varepsilon d^6 (\Delta\rho)^2. \quad (57)$$

This expression for  $k_s$  is equal to that obtained in a DFT analysis of the singular part of the wave vector dependent surface tension of the fluctuating interface [56]. These expressions can also be derived from virial expressions for the rigidity constants when a sharp-kink approximation [51] is made for the density profile [57]. The form for  $2k_s + \bar{k}_s$  obtained by combining Eqs.(56) and (57) was first derived by Hooper and Nordholm in ref. [50].

To demonstrate the divergence of the second order term in Eq.(54), the surface tension of a spherical liquid droplet as a function of the radius is determined for three values of the reduced LJ cut-off radius  $r_c = 2.5, 7.5$  and  $r_c = \infty$ . The regular contributions to  $\sigma_s(R)$  from  $\sigma$  and  $\delta$  are subtracted, so that we may define

$$(2k + \bar{k})(R) \equiv (\sigma_s(R) - \sigma) R^2 + 2\delta\sigma R. \quad (58)$$

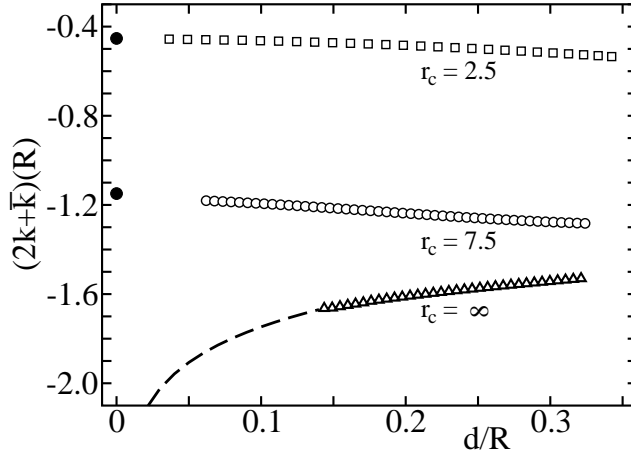


FIG. 6: The combination  $(2k + \bar{k})(R)$  (in units of  $k_B T$ ) as defined by Eq.(58) as a function of the reciprocal equimolar radius  $d/R$ . The symbols are the results of DFT calculations at reduced temperature  $T^* = 1.0$  and three values of the reduced LJ cut-off  $r_c = 2.5, 7.5$  and  $\infty$ . Solid circles are the corresponding values for  $2k + \bar{k}$  calculated from Eqs.(34) and (37). The dashed line is the curve  $\pi/(6T^*)(\Delta\rho^*)^2 \log(R_0/R)$  with  $R_0 \simeq 0.005 d$ .

This quantity is defined such that when the expansion in Eq.(6) for short-ranged forces is inserted, it reduces to  $2k + \bar{k}$  in the limit that  $R \rightarrow \infty$ . For long-ranged forces ( $r_c = \infty$ ), insertion of Eq.(54) into Eq.(58) gives a logarithmic divergence in this limit. This is verified by the DFT calculations shown in Figure 6 as the various symbols. For  $r_c = 2.5$  and  $r_c = 7.5$ , the results indeed tend to the values obtained from the direct evaluation of  $2k + \bar{k}$  using Eqs.(34) and (37) (solid circles). For  $r_c = \infty$  (triangular symbols) a slight divergence can be made out. This divergence is consistent with the dashed line, which is the divergence as described by combining the coefficients in Eqs.(56) and (57).

## VI. DISCUSSION

In the context of density functional theory, we have shown that the surface tension of a spherical liquid droplet as a function of its inverse radius is well-represented by a parabola with its second derivative related to the rigidity constants  $k$  and  $\bar{k}$ . Compact formulas for the evaluation of  $k$  and  $\bar{k}$  are derived in terms of the density profiles  $\rho_0(z)$  and  $\rho_1(z)$ , which are in line with previous formulas presented by us [34] and by Barrett [45]. A number of conclusions can be made with regard to these

formulas:

- The rigidity constants  $k$  and  $\bar{k}$  depend on the choice for the location of the dividing surface of the planar density profile  $\rho_0(z)$ . This dependency reflects the fact that when the location of the radius  $R$  is chosen differently, the curve of  $\sigma_s(R)$  versus  $1/R$  changes somewhat and the second derivative  $(2k + \bar{k})$  naturally needs to be amended.
- The most natural choice for a one-component system, is to locate the dividing surface of the planar interface according to the *equimolar surface*. For this choice both  $k$  and  $\bar{k}$  are the *least* sensitive to a change in the location of the dividing surface. Furthermore, the equimolar value for  $k$  corresponds to its *maximum* value and the equimolar value for  $\bar{k}$  corresponds to its *minimum* value.
- The bending rigidity  $k$  depends on the density profile  $\rho_1(z)$ , which measures the extent by which molecules rearrange themselves when the interface is curved. The bending rigidity is, however, *independent* of the choice made for the location of the dividing surface of  $\rho_1(z)$  (value of  $\alpha$  in Eq.(36)) [58].

Using a cut-off and shifted Lennard-Jones potential for the attractive part of the interaction potential, the Tolman length and rigidity constants have been calculated with the result that  $\delta$  is negative with a value of minus 0.1-0.2  $d$ ,  $k$  is also negative with a value around minus 0.5-1.0  $k_B T$ , and  $\bar{k}$  is positive with a value of a bit more than half the magnitude of  $k$ . It is not expected that these results depend sensitively on the type of density functional theory used and we have shown that even an approximation scheme based on squared-gradient theory is *quantitatively* accurate.

Our DFT results are expected to give an accurate *qualitative* description of the rigidity constants determined in experiments or computer simulations. First results of computer simulations by the group of Binder [24] shown in Figure 5, seem to support this expectation, but further computer simulations are necessary. The agreement should cease to exist close to the critical point, however. Since the DFT calculations are all mean-field in character, the critical exponents obtained for both rigidity constants are the mean-field values of 1/2, which indicates that both  $k$  and  $\bar{k}$  are zero at  $T_c$ . Although it has not been proved rigorously, one expects that in reality the rigidity constants are finite at the critical point  $k, \bar{k} \propto k_B T_c$ . The situation is somewhat more



subtle for the rigidity constant associated with the description of *surface fluctuations*. Then, the bending rigidity is again negative but it vanishes on approach to the critical point with the same exponent as the surface tension [56].

The inspection of the explicit expressions presented for the rigidity constants is the most convincing method to investigate the possible presence of logarithmic corrections [47–49], to replace the rigidity constants. For short-ranged interactions between molecules, the rigidity constants are definitely *finite*, but for an interaction potential that falls off as  $1/r^6$  for large intermolecular distances (dispersion forces), the rigidity constants are *infinite* indicating that the  $1/R^2$  term in the expansion of the surface tension needs to be replaced by a logarithmic term proportional to  $\log(R)/R^2$ . The proportionality constants of the logarithmic corrections are found to be quite universal since they probe the systems long-distance behaviour and are in agreement with previous analyses [42, 50, 51, 57].

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### Appendix A: Alternative DFT expressions

It may be useful to re-express the curvature coefficients  $\delta$ ,  $k$ , and  $\bar{k}$  such that any reference to the chemical potential is absent. For the Tolman length the expression for  $\mu_1$  in Eq.(25) may be used to rewrite Eq.(27) as:

$$\delta\sigma = \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2 (1 - s^2) \rho'_0(z_1) \rho'_1(z_2). \quad (\text{A1})$$

This expression is quite useful since it can be used to verify that the density profile  $\rho_1(z)$  determined numerically by solving the differential equation in Eq.(25), leads to the same value for the Tolman length when evaluated using Eq.(27).

In order to transform the rigidity constants in a similar manner, we first need to expand the Euler-Lagrange equation in Eq.(19) to *second order* in  $1/R$ . For the spherical interface, one finds:

$$\mu_{s,2} = f''_{\text{hs}}(\rho_0) \rho_{s,2}(z_1) + \frac{1}{2} f'''_{\text{hs}}(\rho_0) \rho_1(z_1)^2 + \int d\vec{r}_{12} U_{\text{att}}(r) [\rho_{s,2}(z_2)] \quad (\text{A2})$$

$$+ \frac{r^2}{2}(1-s^2)\rho'_1(z_2) - \frac{r^2}{2}(1-s^2)z_2\rho'_0(z_2) + \frac{r^4}{8}(1-s^2)^2\rho''_0(z_2)].$$

The analogous expansion for the cylindrical interface gives:

$$\begin{aligned} \mu_{c,2} = & f''_{\text{hs}}(\rho_0)\rho_{c,2}(z_1) + \frac{1}{8}f'''_{\text{hs}}(\rho_0)\rho_1(z_1)^2 + \int d\vec{r}_{12} U_{\text{att}}(r) [\rho_{c,2}(z_2) \\ & + \frac{r^2}{8}(1-s^2)\rho'_1(z_2) - \frac{r^2}{4}(1-s^2)z_2\rho'_0(z_2) + \frac{3r^4}{64}(1-s^2)^2\rho''_0(z_2)]. \end{aligned} \quad (\text{A3})$$

Inserting these expressions for  $\mu_{s,2}$  and  $\mu_{c,2}$  into Eqs.(29) and (30), one finds:

$$k = - \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2(1-s^2)\rho'_0(z_1)\rho'_{c,2}(z_2) \quad (\text{A4})$$

$$- \frac{1}{8} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2(1-s^2)\rho'_1(z_1)\rho'_1(z_2)$$

$$- \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2(1-s^2)^2 z_1^2 \rho'_0(z_1)\rho'_0(z_2)$$

$$+ \frac{1}{64} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4(1-s^2)(1+3s^2)\rho'_0(z_1)\rho'_0(z_2),$$

$$\bar{k} = \frac{1}{2} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2(1-s^2)\rho'_0(z_1) [4\rho'_{c,2}(z_2) - \rho'_{s,2}(z_2)] \quad (\text{A5})$$

$$+ \frac{1}{4} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^2(1-s^2)z_1^2 \rho'_0(z_1)\rho'_0(z_2)$$

$$- \frac{1}{96} \int_{-\infty}^{\infty} dz_1 \int d\vec{r}_{12} U_{\text{att}}(r) r^4(1-s^2)(1+7s^2)\rho'_0(z_1)\rho'_0(z_2).$$

These expressions have the advantage that no reference is made to the external field used to change the curvature. It might therefore be expected that these expressions are independent of the way the interfacial curvature is varied. An important disadvantage, however, is that these expressions can only be evaluated when the second order corrections to the density profiles,  $\rho_{s,2}(z)$  and  $\rho_{c,2}(z)$ , are determined as well.

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