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parasite eggs : Leukocyte profiles and heat-shock protein
Hsp70 levels

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2022-07

Ruiz-Raya , F , Abaurrea , T , Vigo , R & Soler , M 2022 , ' Physiological stress responses to nonmimetic model brood parasite eggs : Leukocyte profiles and heat-shock protein Hsp70 levels ' , Journal of experimental zoology. Part A, Ecological and integrative physiology , vol. 337 , no. 6 , pp. 587-593 . <https://doi.org/10.1002/jez.2593>

<http://hdl.handle.net/10138/356386>

<https://doi.org/10.1002/jez.2593>

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1 **Physiological stress responses to non-mimetic model brood parasite eggs: leukocyte**
2 **profiles and heat-shock protein Hsp70 levels**

3 **Running Head:** Avian brood parasite eggs impact host's immune function

4

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21

22 **Abstract**

23 Obligate avian brood parasites lay their eggs in the nest of other bird species, known as
24 hosts. Brood parasitism often imposes severe fitness costs on hosts, selecting for the
25 evolution of effective anti-parasitic defences such as recognition and rejection of brood
26 parasite eggs. Glucocorticoids have been recently found to mediate host physiological
27 and behavioural adjustments in response to brood parasite eggs; however, it remains
28 unclear whether brood parasitism triggers a general response involving multiple
29 physiological elements. In this study, we experimentally investigated whether a salient
30 brood parasitic stimulus (the presence of a non-mimetic model egg in the nest) causes
31 physiological adjustments in adult Eurasian blackbirds (*Turdus merula*) at immune
32 (leukocyte profiles) and cellular (heat-shock protein Hsp70 synthesis) level. Also, we
33 explored whether these physiological changes are mediated by variations in
34 corticosterone levels. We found that experimental brood parasitism caused an increase in
35 heterophils and a decrease in lymphocytes, leading to higher H/L ratios in parasitized
36 birds. Nevertheless, we did not find trade-offs between immune function and
37 corticosterone levels. Hsp70 synthesis was not affected by our experimental
38 manipulation. Our findings provide evidence that brood parasite eggs trigger a general
39 stress response in egg-rejecter hosts, including changes in cellular immune profiles.

40

41 **Keywords:** Avian brood parasitism, corticosterone, Eurasian blackbird, H/L ratio.

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45 INTRODUCTION

46 Obligate avian brood parasites, which account for approximately 1% of bird species
47 (Mann, 2017), lay their eggs in the nests of heterospecific birds (hosts), taking advantage
48 of the parental care that hosts provide to their young (Payne, 1977). Interspecific brood
49 parasitism imposes significant fitness costs on hosts, which selects for the evolution of
50 anti-parasitic host defences (e.g., the recognition and rejection of parasitic eggs; Feeney
51 et al., 2014; Soler, 2014) and ultimately can lead to co-evolutionary arms races between
52 brood parasites and hosts (Rothstein, 1990). Over the past decades, much research has
53 focused on the ecological and behavioural aspects of avian brood parasite-host
54 interactions (Soler, 2017). However, the physiological mechanisms underlying host
55 responses to brood parasitism have received comparatively little attention despite the fact
56 that brood parasitism may potentially trigger significant adjustments in host physiology,
57 which can have important consequences for the expression and evolution of key anti-
58 parasitic defences such as egg rejection (Abolins-Abols and Hauber, 2018; Avilés, 2018;
59 Ruiz-Raya, 2021).

60 Previous studies on the physiological responses of adult hosts to brood parasitism have
61 focused mainly on the endocrinology of stress. Glucocorticoid hormones are known to
62 mediate allostasis in vertebrates, triggering physiological and behavioural changes that
63 help individuals to cope with environmental challenges (Breuner et al., 2008; Wingfield
64 et al., 1998). Brood parasitism stimuli lead to increased corticosterone (CORT) levels in
65 adult hosts during incubation (Ruiz-Raya *et al.* 2018; but see Scharf *et al.* 2021) and
66 nestling stages (Antonson et al., 2020). Parasitized parents show elevated CORT
67 responsiveness to stressors during the fledgling period, which can lead to detrimental
68 long-term consequences (Mark and Rubenstein, 2013). Importantly, changes in adult host
69 endocrine profiles mediate hosts' anti-parasitic responses (Abolins-Abols and Hauber,

70 2020a, 2020b; Ruiz-Raya et al., 2021). Theory predicts that physiological responses to
71 stress will operate at different levels and include multiple physiological systems working
72 together (Wingfield and Romero, 2015). Thus, characterizing the stress response to avian
73 brood parasitism will require assessing different physiological biomarkers that provide
74 supplementary information on the nature of these physiological adjustments (Breuner et
75 al., 2013; MacDougall-Shackleton et al., 2019; O'dell et al., 2014).

76 A crucial aspect of host physiology that could be affected by brood parasitism is immune
77 function. Environmental stressors may cause changes in the relative proportion of white
78 blood cell types (i.e. leukocytes; Davis et al., 2008), a highly conserved physiological
79 response in vertebrates. This parameter has become a widely applied tool in
80 ecophysiology to assess individual responses to stress (Davis et al., 2008; O'dell et al.,
81 2014). The relative proportion of heterophils and lymphocytes (H/L ratio), the two most
82 abundant white cell types in birds, increases in response to external stressors such as
83 climatic conditions, parasites or social challenges (Davis et al., 2008; Minias, 2019;
84 Minias et al., 2018). These stress-induced changes in leukocyte number are typically
85 slower and last longer (from one hour to days) than rapid CORT responses, making
86 leukocyte biomarkers particularly informative for obtaining measures of chronic
87 environmental stress (Davis and Maney, 2018; O'dell et al., 2014). Rearing brood
88 parasitic nestlings causes reduced humoral immune responses in adult hosts (Antonson et
89 al., 2020), yet the effects of brood parasitism on the components of cell-mediated
90 immunity are still unknown. Short-term changes in H/L ratios are thought to be mediated
91 by glucocorticoids (Sapolsky et al., 2000), although previous studies have found no trade-
92 off between immune responses and CORT levels in nestling (Scharf et al., 2021b) and
93 adult hosts (Antonson et al., 2020). Thus, stress hormones and leukocyte profiles may not

94 be always correlated and provide different pieces of information on individual responses
95 to stress (Davis and Maney, 2018).

96 Other biomarkers, such as heat-shock proteins (Hsp), in particular the Hsp60 and Hsp70
97 families, have been widely used to assess long-term chronic stress in wild bird
98 populations (Herring and Gawlik, 2007; O'dell et al., 2014). Hsp are molecular
99 chaperones involved in cellular 'house-keeping' functions, whose expression is induced
100 to protect cells from damage caused by a wide range of stressors associated with parasites,
101 environmental or social challenges (Martínez-Padilla et al., 2004; O'dell et al., 2014;
102 Sørensen et al., 2003). This provides valuable supplementary information to hormonal
103 and immune indicators (O'dell et al., 2014). Hsp expression is thought to be part of a
104 general stress response (Asea and Kaur, 2018), and may be associated with glucocorticoid
105 levels (Asea and Kaur, 2018; Mahmoud et al., 2004). The combined use of different
106 biomarkers may therefore help to elucidate the nature and timing of host stress responses;
107 however, there is still little information on the effect of avian brood parasitism on
108 leukocyte profiles and stress protein expression in adult hosts.

109 Here, we investigate whether a salient brood parasitism stimulus (the presence of one
110 parasitic egg in the nest) triggers significant adjustments in adult host physiology.
111 Specifically, we evaluated different biomarkers of physiological stress at the immune
112 (leukocyte profile) and cellular level (Hsp expression) in experimentally parasitized and
113 non-parasitized adult hosts. We predict that if the presence of a non-mimetic brood
114 parasite egg induces a general stress response in adult hosts, then we will find elevated
115 H/L ratios and increased Hsp70 expression caused by experimental parasitism.
116 Additionally, we take advantage of our own data on the glucocorticoid response to
117 experimental brood parasitism (from the same individuals, Ruiz-Raya et al., 2018) to
118 explore, through structural equation modelling, whether the effects of experimental brood

119 parasitism on H/L ratios and Hsp70 expression are mediated indirectly by variations in
120 plasma CORT (O'dell et al., 2014).

121

122 **MATERIAL AND METHODS**

123 *Study system*

124 Our study was conducted in a Eurasian blackbird (*Turdus merula*) population located in
125 the Valley of Lecrín, Spain, from March to May 2015. The Eurasian blackbird (*Turdus*
126 *merula*, hereafter blackbird) is an occasional common cuckoo (*Cuculus canorus*) host
127 frequently used in brood parasitism studies (see e.g., Grim et al., 2011; Roncalli et al.,
128 2019; Ruiz-Raya et al., 2015; Samas et al., 2011; Soler et al., 2015; Soler et al., 2017).
129 Female blackbirds, the sex responsible for egg rejection in this species (Ruiz-Raya et al.,
130 2019), show fine-tuned egg-recognition abilities (see references above).

131 *Field procedure*

132 From the beginning of the breeding season, we located active blackbird nests, which were
133 visited every two days to obtain data on laying date and clutch size. The day after clutch
134 completion, breeding pairs were randomly selected to incubate clutches either with
135 (parasitized group, n = 18) or without non-mimetic parasitic model eggs (non-parasitized
136 control group, n = 16). Following a previously established methodology, parasitic models
137 eggs were painted red to simulate non-mimetic eggs (Avilés et al., 2004; Martín-Vivaldi
138 et al., 2012; Roncalli et al., 2017; Soler and Møller, 1990), which are easily detected by
139 blackbirds (Ruiz-Raya et al., 2019, 2015; Soler et al., 2015). As model eggs, we used
140 natural (commercial) common quail (*Coturnix coturnix*) eggs ($32.6 \pm 0.1 \times 25.3 \pm 0.1$
141 mm; n = 49) slightly larger than blackbird eggs ($30.4 \pm 0.2 \times 21.1 \pm 0.1$ mm; n = 40), a

142 type of model egg previously used to elicit egg recognition in blackbirds (Ruiz-Raya et
143 al., 2018; Soler et al., 2017). In our study population, blackbird clutch size varies from 2
144 to 5 eggs (Ibáñez-Álamo and Soler 2010), but we only used nests containing 2 or 3 eggs
145 to avoid exceeding the maximum natural clutch size after experimental parasitism. No
146 blackbird ejected the parasitic model egg or deserted the nests by the end of brood
147 parasitism trials.

148 72 hours after the introduction of the parasitic model egg, all focal females were captured
149 (6:00 – 8:00 am) by using a mist net placed near the focal nest (1 - 5 m). Such 72-hours
150 period is a time frame suitable to assess sustained physiological changes in response to
151 experimental brood parasitism (Ruiz-Raya et al., 2018). Immediately after capture (< 3
152 min), a blood sample (400-500 µl) was collected from the brachial vein with a 25-gauge
153 needle and 80 µl heparinized microhematocrit tubes. Additionally, a drop of blood was
154 transferred to a slide to make one-cell-layer blood smears from both parasitized and
155 control females. Smears were air-dried and stored in darkness until methanol fixation. All
156 females were marked with individual rings and released near the nest 5-15 minutes after
157 blood sampling. In all cases, experimental females returned to the focal nest to resume
158 incubation within the next hour (as revealed by warm clutches). Blood samples were kept
159 cold and, once in the lab, centrifuged at 4500 RCF for 3 min (max. 4 hours after
160 collection). Plasma and red blood cells (RBC) were separated and stored at –20 °C until
161 laboratory assays. Blood smears were fixed in methanol (Houwen, 2002; O'dell et al.,
162 2014).

163 *Laboratory analyses*

164 Blood smears were stained by using the Giemsa method and scanned, blind to the
165 treatment, at 1000× magnification under a light microscope. Following a general protocol

166 for leukocyte characterization is slides (O'dell et al., 2014), we counted a random sample
167 of 100 leukocytes from each blood smear, and classified them into heterophils (H),
168 lymphocytes (L), and other leukocyte types (i.e., basophils, eosinophils and monocytes)
169 according to the criteria of Hawkey et al., (1989). Then, the H/L ratio was then calculated
170 for each individual by dividing the number of heterophils by the number of lymphocytes.
171 All blood smears were assessed by the same researcher (RV) to reduce variability.
172 Additionally, twenty-five randomly chosen smears were assessed twice to estimate
173 repeatability of H/L ratio measurements, confirming that leukocyte count was highly
174 repeatable (intra-class correlation coefficient, ICC = 0.86, $p < 0.001$). Hsp70 expression
175 was quantified from red blood cells at the Ecophysiology Laboratory of the Estación
176 Biológica de Doñana (Spanish National Research Council, Spain) using a commercial
177 ELISA kit (ADI-EKS-700B, ENZO Biochem Inc., Farmengdale, New York) by
178 following the manufacturer instructions. Total proteins were measured using the Bradford
179 method (Kruger, 1994) and Hsp70 values were corrected according to total protein
180 concentration in the samples. CORT levels were measured from plasma samples by
181 heterologous radioimmunoassay (RIA) following a protocol previously validated for
182 blackbirds (see Ruiz-Raya *et al.* 2018 for additional details on CORT assays).

183 *Statistical analyses*

184 All analyses and graphs were performed using R version 3.6.1 (R Core Team, 2019). We
185 used linear models (LMs) to assess between-groups differences in four response
186 variables: heterophil (Box-Cox transformed), lymphocyte, H/L ratio (Box-Cox
187 transformed) and Hsp70 levels. As predictors, these models included brood parasitism
188 treatment, clutch size (two/three) and the two-way interaction between these terms.

189 Structural equation modeling was used to examine direct and indirect causal relationships
190 between our brood parasitism treatment, the main biomarker of the leukocyte response to

191 stress (the H/L ratio; O'dell et al., 2014), and Hsp70 expression by using the
192 *piecewiseSEM* package (Lefcheck, 2016). We initially explored direct links between
193 experimental brood parasitism and heterophils, lymphocytes and Hsp70 expression, as
194 well as indirect paths through the links with plasma corticosterone concentration
195 (saturated model). Competing nested models were ranked and the optimally data-
196 supported model (best model) was selected according to the Shipley's extension for the
197 Akaike Information Criteria (AIC; Shipley, 2013). The goodness of fit was evaluated
198 using the Fisher's *C* statistic (Lefcheck, 2016). All models satisfied the linearity and
199 homoscedasticity criteria.

200

201 **RESULTS**

202 Experimentally parasitized females showed a higher number of heterophiles ($F_{1,30} = 8.25$,
203 $p = 0.007$, Fig. 1a), and a lower number of lymphocytes ($F_{1,30} = 10.60$, $p = 0.004$. Fig.
204 1b), compared to non-parasitized control females. As expected, parasitized females
205 showed a higher H/L ratio than non-parasitized control females ($F_{1,30} = 9.11$, $p = 0.005$,
206 Figure 1c). Neither the clutch size nor its interaction with the experimental treatment had
207 an effect on the components of the cellular immunity (i.e., heterophil and lymphocyte
208 counts) or the H/L ratio ($p > 0.27$ in all cases). Contrary to our prediction, Hsp70
209 expression was not affected by our brood parasitism manipulation ($F_{1,30} = 0.01$, $p = 0.84$,
210 Fig. 1d), independently of clutch size ($F_{1,30} = 0.25$, $p = 0.62$).

211 Structural equation modelling confirmed that experimental brood parasitism had a large
212 direct positive effect on the H/L ratio (Fig. 2, Table S1), but no indirect effects via CORT
213 were detected (Fig.2, Table S1). As expected, we found a direct positive effect of the

214 brood parasite stimulus (i.e., the presence of a non-mimetic egg in the nest) on plasma
215 CORT concentration (Fig. 2, Table S1).

216

217 **DISCUSSION**

218 We provide evidence that brood parasitic egg stimulus caused significant changes in host
219 leukocyte profiles and, as a result, experimentally parasitized birds showed a higher H/L
220 ratio compared to non-parasitized control individuals. Importantly, these effects were not
221 mediated by plasma glucocorticoid concentration. At the cellular level, our experimental
222 manipulation did not affect the expression of stress proteins. To our knowledge, this is
223 the first evidence of the effects of brood parasitism on the immune status of adult hosts
224 during the incubation phase.

225 We found that the presence of a non-mimetic model egg in the nest caused a significant
226 increase in heterophils and a decrease in lymphocytes, resulting in higher H/L ratios in
227 parasitized birds (Fig. 1). These physiological adjustments could be caused by different
228 factors related to the presence of parasitic eggs in the nest. First, given the high
229 recognition abilities shown by female blackbirds (see e.g., Samas et al., 2011; Ruiz-Raya
230 et al., 2019), changes in immune function could be part of a general stress response
231 triggered by the recognition of foreign eggs. Changes in host physiology in response to
232 brood parasitism may also include variations in glucocorticoid levels (Ruiz-Raya et al.,
233 2018), which can promote anti-parasitic responses (Abolins-Abols and Hauber, 2020a).
234 Indeed, it has recently been shown that experimental brood parasitism either with mimetic
235 or non-mimetic eggs does not lead to changes in the physiology of the prothonotary
236 warbler (*Protonotaria citrea*), an egg-accepter host of the brown-headed cowbird

237 (*Molothrus ater*) (Scharf et al., 2021). This reinforces the idea that these physiological
238 adjustments are, at least partially, triggered by egg recognition.

239 However, it is also possible that changes in the H/L ratio are related to increased
240 incubation demands associated with increased clutch size (Davis and Maney, 2018;
241 Hanssen et al., 2005). In our study, the effects of experimental brood parasitism on
242 immune biomarkers were not dependent on clutch size, and previous studies have
243 reported that other indicators of physiological stress, such as CORT levels, remain
244 unaffected in hosts naturally parasitized with mimetic eggs (Mark and Rubenstein, 2013).
245 The results described above suggest that incubation demands associated with an
246 additional (parasitic) egg would cause negligible physiological changes in adult hosts *per*
247 *se*. On the other hand, physiological adjustments triggered by egg recognition and brood
248 enlargement would be expected to act simultaneously during natural brood parasitism
249 events, although some brood parasites may occasionally remove host eggs when visiting
250 target nests (Reboreda et al., 2017). Our study design was unable to assess the separate
251 effects of these factors, so future experimental designs will need to consider alternative
252 manipulations to elucidate the relative importance of egg recognition and brood
253 enlargement in triggering physiological stress responses to brood parasitism, especially
254 in egg-rejecter species with finely tuned egg-recognition abilities.

255 Regarding the link between immune function and glucocorticoids, our findings confirmed
256 previously published data on the direct positive effects of non-mimetic eggs on plasma
257 CORT of adult hosts (Ruiz-Raya et al., 2018). However, variation in plasma CORT did
258 not mediate an indirect effect of brood parasitism on leukocyte profiles (Fig. 2). This is
259 consistent with previous studies showing that glucocorticoid levels (CORT or cortisol)
260 and leukocyte profiles (H/L ratio) are not always correlated in wild vertebrates (reviewed
261 in Davis and Maney, 2018). Individual trade-offs between CORT and immune responses

262 (humoral immunity) also appear to be absent in cowbird hosts rearing parasite chicks
263 (Antonson et al., 2020). The lack of correlation between these two measures of
264 physiological stress may be due to differences in the timing of CORT and leukocyte
265 responses to chronic stressors (Davis and Maney, 2018). Thus, it may be plausible that,
266 while leukocyte responses to brood parasite model eggs may persist for relatively long
267 periods, CORT response decline over time in some individuals.

268 Finally, experimental brood parasitism did not elicit differential physiological responses
269 in terms of Hsp70 levels within three days, and Hsp concentration was not related to
270 variation in CORT levels. The short-term stress associated with brood parasite model
271 eggs during this period of time does not appear to cause rapid up-regulation of stress
272 proteins, whose synthesis is a reliable indicator of chronic stress (O'dell et al., 2014).
273 Nevertheless, we cannot rule out that the expression of Hsp proteins may be affected in
274 scenarios where brood parasitism is expected to involve sustained stress for adult hosts,
275 for example, during rearing of brood parasite nestlings or fledglings.

276 In conclusion, our results show that the presence of a non-mimetic brood parasite egg in
277 the nest causes significant changes in the cellular immune profiles of adult hosts. These
278 results, together with previous studies on the glucocorticoid response to brood parasite
279 eggs (Ruiz-Raya et al., 2018), as well as evidence from the nestling and fledgling periods
280 (Antonson et al., 2020; Mark and Rubenstein, 2013), indicate that parasitism triggers a
281 generalized stress response affecting multiple physiological components in adult hosts.
282 We encourage the use of different physiological biomarkers in order to gain a
283 comprehensive view of the host physiological response to avian brood parasitism.

284

285 **Ethical approval**

286 We performed the study following all relevant Spanish national (Decreto 105/2011, 19
287 de Abril) and regional guidelines. No female deserted their nest during the 3 days after to
288 our experimental manipulation and none exhibited any long-term effects of the study.

289 **Acknowledgments**

290 We thank to Jordi Figuerola and Francisco Miranda for their work with Hsp70 analyses,
291 and Olivier Chastel and Charline Parenteau for their work with corticosterone assays. We
292 also thank Gianluca Roncalli and Juan Diego Ibáñez-Álamo for their help during the
293 fieldwork.

294 **Funding**

295 This research project was funded by MINECO (research project A-BIO-26-UGR20)

296 **Conflict of interest**

297 The authors declare no competing interests.

298 **Data availability statement**

299 The data that support the findings of this study are available from the corresponding
300 author upon reasonable request.

301 **Author contribution**

302 FRR and MS conceived and designed the study. FRR and TA conducted the field work.
303 FRR and RV performed the laboratory work (leukocyte characterization). FRR conducted
304 the data analysis and wrote the first draft. All authors critically contributed to drafts and
305 gave final approval for publication.

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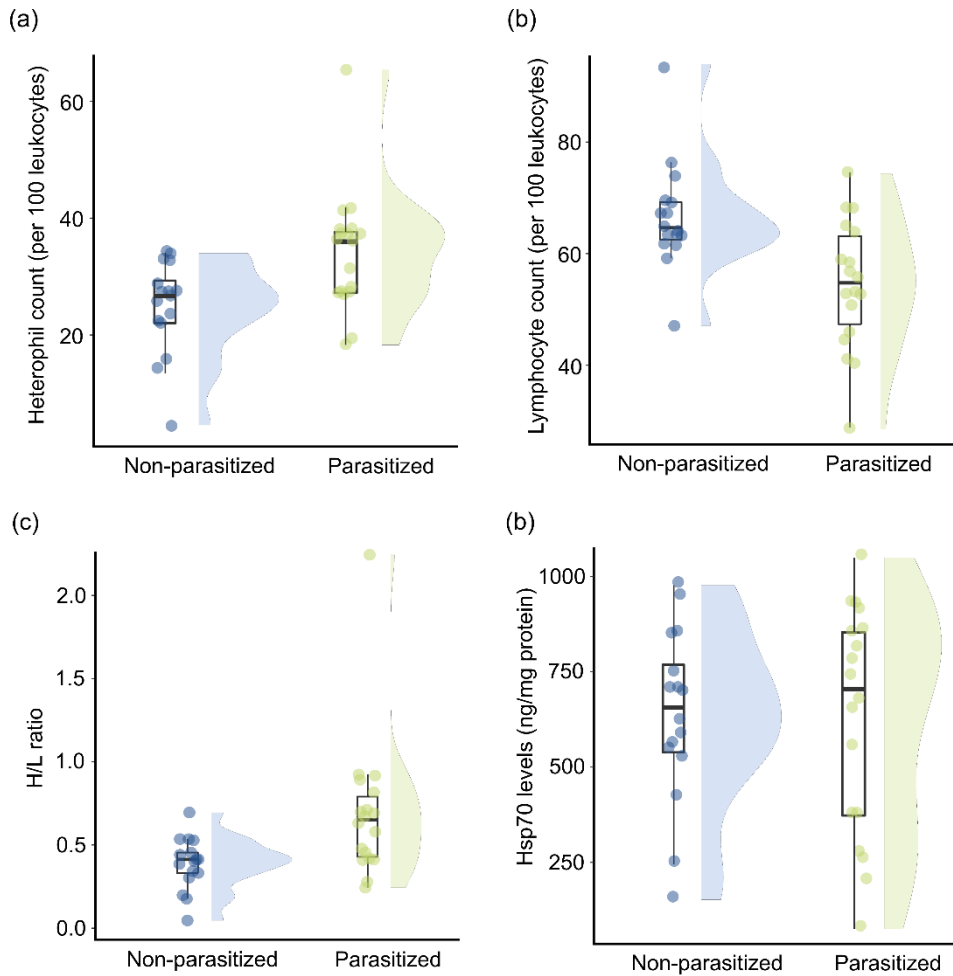
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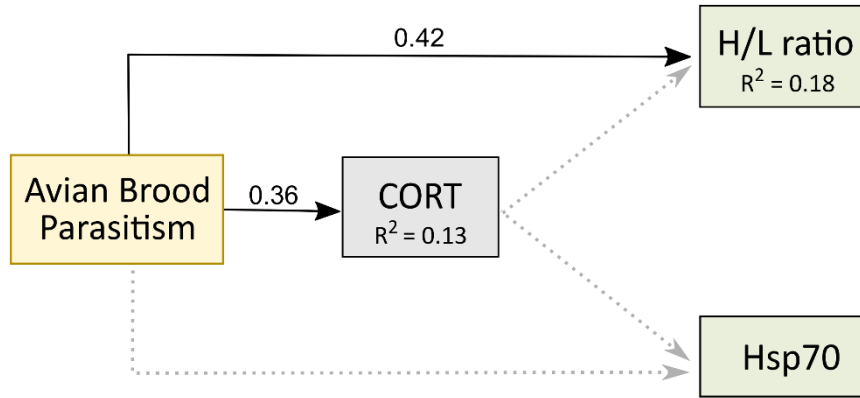
474 **Figure 1.** Differences in **(a)** heterophils, **(b)** lymphocytes, **(c)** heterophils/lymphocytes ratio (H/L ratio) and
 475 **(d)** heat-shock protein Hsp70 levels between parasitized and non-parasitized control females. Boxplots show
 476 the median (bold line), and 25th and 75th percentiles (coloured boxes), with whiskers denoting the 5th and
 477 95th percentiles. The violin plot outlines illustrate the probability density of data, i.e. the width of the shaded
 478 area indicates the proportion of the data located there.

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484 **Figure 2. Optimal structural equation model assessing for direct and indirect paths between**
 485 **experimental brood parasitism and the H/L ratio and Hsp70 levels.** Plasma corticosterone
 486 concentration was included as an indirect path. Grey dotted arrows represent those paths that were tested
 487 in the full model but not included in the final model, of which paths are indicated by black arrows.
 488 Standardized effects are provided for those paths included in the optimal model (unstandardized
 489 coefficients are reported Table S1).

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498 **Table S1.** Structural equation models testing indirect paths between avian brood parasitism and H/L ratio
 499 and Hsp70 levels. Unstandardized coefficients are reported for each path (see Fig. 2 for standardized
 500 coefficients). Significant *p*-values are highlighted in bold.

Response variable	Path tested	Saturated model		Optimal model	
		Estimate ± se	<i>p</i> -value	Estimate ± se	<i>p</i> -value
H/L ratio	Brood parasitism	0.25 ± 0.13	0.052	0.31 ± 0.12	0.013
	Corticosterone	0.04 ± 0.03	0.194		
Hsp70	Brood parasitism	31.20 ± 97.40	0.751		
	Corticosterone	-21.67 ± 20.97	0.309		
Corticosterone	Brood parasitism	1.68 ± 0.77	0.036	1.68 ± 0.77	0.035
			AIC 23.26; Fisher's C = 1.27	AIC 21.98; Fisher's C = 5.11	

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