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2 **Imaging the crust and uppermost mantle structure of Portugal (West Iberia) with**  
3 **seismic ambient noise**

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18 **An abbreviated title suitable for page heading:** Tomography of Portugal

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20 Friday, September 17, 2021

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26 **SUMMARY**

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28 We present a new high-resolution three-dimensional (3D) shear wave velocity ( $V_s$ ) model of  
29 the crust and uppermost mantle beneath Portugal, inferred from ambient seismic noise  
30 tomography. We use broadband seismic data from a dense temporary deployment covering  
31 the entire Portuguese mainland between 2010 and 2012 in the scope of the WILAS project.  
32 Vertical component data are processed using phase correlation and phase weighted stack to  
33 obtain Empirical Green functions (EGF) for 3900 station pairs. Further, we use a random  
34 sampling and subset stacking strategy to measure robust Rayleigh wave group velocities in  
35 the period range 7-30 s and associated uncertainties. The tomographic inversion is performed  
36 in 2 steps: First, we determine group velocity lateral variations for each period. Next, we invert  
37 them at each grid point using a new trans-dimensional inversion scheme to obtain the 3D  
38 shear wave velocity model. The final 3D model extends from the upper crust (5 km) down to  
39 the uppermost mantle (60 km) and has a lateral resolution of  $\sim 50$  km. In the upper and middle  
40 crust, the  $V_s$  anomaly pattern matches the tectonic units of the variscan massif and alpine  
41 basins. The transition between the Lusitanian Basin and the Ossa Morena Zone is marked by  
42 a contrast between moderate and high velocity anomalies, in addition to two arched  
43 earthquake lineations. Some faults, namely the Manteigas-Vilariça-Bragança fault and the  
44 Porto-Tomar-Ferreira do Alentejo fault, have a clear signature from the upper crust down to  
45 the uppermost mantle (60 km). Our 3D shear wave velocity model offers new insights into the  
46 continuation of the main tectonic units at depth and contributes to better understanding the  
47 seismicity of Portugal.

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49 **Key words:** Seismic interferometry, Surface waves and free oscillations, Seismic tomography,  
50 Crustal imaging, Crustal structure

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## 52 **1. INTRODUCTION**

53 The crustal structure of the Iberian Peninsula (cf. Fig.1) is the result of several major geological  
54 events of amalgamation and breakup, the most relevant of which are the Variscan Orogeny in  
55 the Late Paleozoic, when the collision of Gondwana and Laurussia formed Pangea (e.g. Arenas  
56 et al., 2016a; Matte, 2001, 1991, 1986; Ribeiro et al., 2007), and the Mesozoic extensional  
57 tectonic activity that led to the opening of the North Atlantic Ocean (e.g. De Vicente et al.,  
58 2011; Jeannot et al., 2016; Pereira and Alves, 2013; Pereira et al., 2016; Pinheiro et al. 1996;  
59 Ribeiro et al., 1990).

60 Portugal, in Western Iberia, comprises several blocks of the Variscan orogen in SW Europe (cf.  
61 Fig. 1a). Most of Portugal is part the Iberian Massif (cf. Fig. 1b), composed of variscan rocks  
62 with ages ranging 380-280 My (Arenas et al., 2016a, Simancas et al., 2013) and a few outcrops  
63 dating back to the Neoproterozoic Cadomian Orogeny (660-540 My) (Linnemann et al., 2008;  
64 Ribeiro et al., 2009). The subsidence of the western and southern margins of Iberia, in  
65 response to the opening of the North Atlantic Ocean, created several basins of deep crustal  
66 signature, with rocks dating back to 125-37 My, which were later uplifted during the Alpine  
67 orogeny (Jeannot et al., 2016; Pereira et al., 2016; Pereira and Alves, 2013).

68 As a result of this complex geological past, several important tectonic contacts or faults can  
69 be observed inland, even though some are partially covered by recent Cenozoic basins. Based  
70 on tectonostratigraphic criteria, the Iberian Massif that outcrops in Portugal is usually divided  
71 into four main tectonic units. From the internal to the external domains of the Ibero-  
72 Armorican Arc and from north to south (cf. Fig. 1), we have: (1) the Galicia-Trás-os-Montes  
73 Zone (**GTMZ**), which consists of a pile of allochthonous thrust sheets, overlying (2) the  
74 autochthonous Central Iberian Zone (**CIZ**), (3) the para-autochthonous Ossa-Morena Zone  
75 (**OMZ**) and (4) the allochthonous South Portuguese Zone (**SPZ**).

76 The western and southern coasts of Iberia are dominated by the Lusitanian (**LB**) and Algarve  
 77 (**AB**) basins, with a deep crustal signature, composed of uplifted Mesozoic rocks and Cenozoic  
 78 sedimentary sequences (Arenas et al., 2016; Ribeiro et al., 2007; Veludo et al., 2017), and by  
 79 the Cenozoic Lower-Tagus and Sado Sedimentary Basin (LTSB).  
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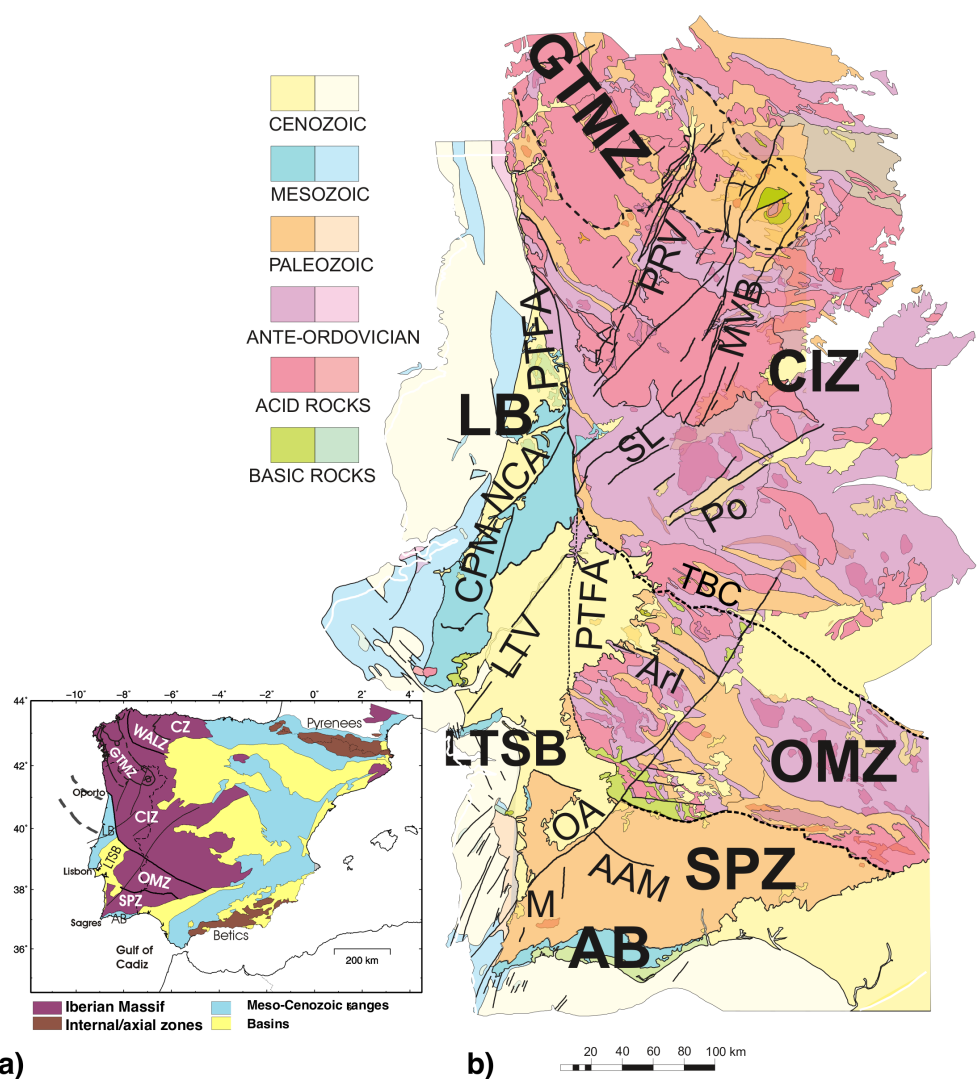


Figure 1 - (a) Simplified structural map showing the main tectonic units of the Iberian Peninsula. Iberian Massif: Cantabrian Zone (CZ), West-Asturian-Leonese Zone (WALZ), Galicia-Trás-os-Montes Zone (GTMZ); Central Iberian Zone (CIZ), Ossa Morena Zone (OMZ), South Portuguese Zone (SPZ). The western and southern limits of the Massif are defined by

several basins: Lusitanian Basin (LB), Lower-Tagus and Sado Rivers Basin (LTSB), Algarve Basin (AB). (b) Simplified geological map of Portugal, showing the inner structure of the Portuguese Iberian Massif and main fault systems (adapted from Veludo et al., 2017): Porto-Tomar-Ferreira do Alentejo shear zone (PTFA); Tomar-Badajoz-Córdoba shear zone (TBC); Penacova-Régua-Verin Fault system (PRV); Manteigas-Vilariça-Bragança fault system (MVB); Seia-Lousã fault (SL); Ponsul fault (Po); Nazaré-Condeixa-Alvaiázere fault (NCA); Candeeiros-Porto de Mós fault (CPM); Lower-Tagus Valley fault system (LTV); Arraiolos-Ciborro fault (ArI); Odemira-Ávila fault (OA); Albornoa-Aljustrel-Messejana Alignment (AAM); Monchique sienitic intrusion (M).

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82 Some of the faults inherited from the complex tectonic history of western Iberia have been  
83 reactivated since the Miocene (*c* 20 My) (Pinheiro et al., 1996), in response to the NW-SE  
84 Africa-Eurasia convergence (4.5–5.6 mm/yr) (Fernandes et al., 2003). Currently, mainland  
85 Portugal displays a medium seismicity rate, with several destructive earthquakes documented  
86 in the historical period (Custódio et al., 2015).

87 The first studies that characterized the seismic properties of the crust and upper mantle  
88 beneath Portugal, in the 1970-1980's, used controlled sources and provided mainly 1D or 2D  
89 P-wave velocity ( $V_p$ ) profiles (Afilhado et al., 2008, Carbonell et al., 2004, Díaz and Gallart,  
90 2009, Flecha et al., 2009, Matias 1996, Palomeras et al., 2009, Sousa Moreira et al., 1983,  
91 Tellez et al., 1998, Victor et al., 1980). Over the last decade, several new studies took  
92 advantage of the increasing coverage provided by seismic networks to infer more detailed  
93 information. The first work to uniformly cover mainland Portugal was carried out by Silveira  
94 et al. (2013), who obtained Rayleigh-wave dispersion maps using ambient-noise techniques.  
95 Although not inverting for  $V_s$  structure, the group velocity maps showed a clear correlation  
96 with the major structural units of western Iberia. Using  $P_s$  receiver-functions, DüNDAR et al.  
97 (2016) obtained a first image of the average crustal  $V_p/V_s$  ratio, together with a Moho

98 topography that also showed some correlation with tectonic units. Veludo et al. (2017), using  
99 local earthquake tomography, obtained the first 3D maps of Vp and Vp/Vs beneath Portugal.  
100 They achieved a high-resolution imaging for most of the tectonic contacts, but were limited  
101 to the upper 20 km of the crust. Attanayake et al., (2017), based on Rayleigh wave ellipticity,  
102 built a Vs model of the crust using 33 permanent and temporary stations in Portugal. Their  
103 model showed low shear wave speeds in the sedimentary basins and in some sectors of the  
104 Central Iberian Zone. Higher seismic velocities were imaged in the Galicia-Trás-os-Montes  
105 Zone. Corela et al. (2017) computed a regional ambient noise tomographic model integrating  
106 seafloor- and land-based data, focusing in the southwest Portuguese margin. Using teleseism  
107 body-wave tomography, Civiero et al. (2018, 2019) extended the imaging of the region,  
108 obtaining P- and S-wave 3D models from 70 km down to 800 km depth. However, the regional  
109 scale analysis of the entire Ibero-Western Maghreb Region resulted in models with only crude  
110 details of the structure of the lithosphere beneath Portugal, starting at 70 km depth and  
111 extending downward into the mantle.

112 Despite these different studies at different scales, several questions remain unanswered,  
113 namely: What is the relation between the current surface topography and the deep  
114 crustal/lithospheric structure? How was it influenced by the past tectonic events, namely the  
115 several units composing the W Iberian Terrane, CIZ, OMZ and SPZ? Is the anomalous  
116 concentration of seismicity in the interior of the Iberian micro-plate, namely in northern  
117 Alentejo (Arraiolos-Portel), western edge (Estremadura), northern Portugal (Vilariça, Chaves),  
118 in some measure due to an inherited structure from past orogenies? If so, how far has past  
119 subduction history influences the subduction dynamics observed on the southern margin of  
120 Iberia?

121 In this work, we provide the missing link between previous crustal- and mantle-scale studies,  
122 presenting a new upper lithospheric-scale high-resolution 3D seismic model of Portugal. To  
123 this end, we use a state-of-the-art methodology of ambient noise tomography. Empirical

124 Green functions are computed using phase correlation and phase weighted stack (Schimmel  
125 et al., 2011). Robust group velocities and their uncertainties are measured using the S-  
126 transform, combined with a random sampling and subset stacking method. Regionalized  
127 group velocities are then inverted on a 2D grid using a novel trans-dimensional inversion  
128 scheme, resulting in a new high-resolution S-wave velocity model of the Portuguese crust and  
129 upper mantle down to 60 km. The model has a lateral resolution of 50 km, allowing to  
130 investigate the signature at depth of the geological structures observed at the surface.

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## 133 **2. DATA PROCESSING**

134 The data used in this study was recorded continuously during 24 months, from June 2010 to  
135 June 2012, by a network of 54 broadband stations. This network had an average interstation  
136 distance of ~50 km and was designed in the framework of project WILAS (Dias et al., 2010).  
137 Data from the DOCTAR experiment (2011 to 2012) were also included, resulting in a  
138 densification of the seismic network in the Alentejo region (Matos et al., 2018) and increasing  
139 the total number of stations to 64 (Fig. 2). Overall, we used data from networks PM (Instituto  
140 Português do Mar e da Atmosfera, I.P. 2006), LX (Instituto Dom Luiz (IDL)-Faculdade De  
141 Ciências Da Universidade De Lisboa 2003) , WM (San Fernando Royal Naval Observatory(ROA)  
142 1996) , IP, GE (GEOFON Data Centre 1993), SS, 8A (Dias et al., 2010), Y7.

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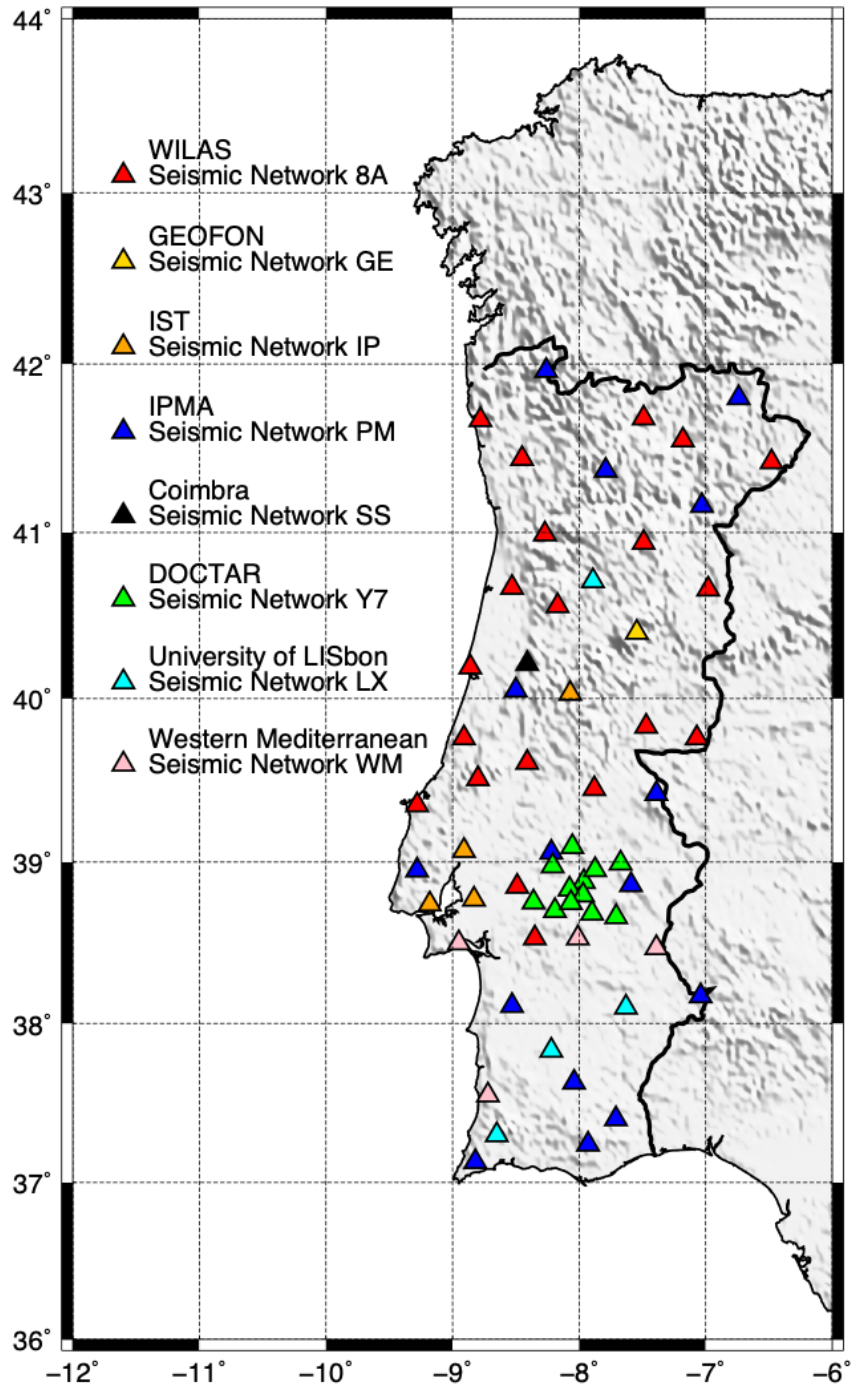


Figure 2 - Location of the broadband seismic stations used in this study. The colours mark the different seismic networks. Temporary networks operated between 2010-2012 (WILAS 8A) and 2011-2012 (DOCTAR Y7).



145 The 64 seismic stations were equipped with a variety of broadband seismometers, with corner  
146 frequencies ranging from 30 to 120 s (Guralp CMG-40T, Guralp CMG-3T, Guralp CMG-3ESP,  
147 Streckeisen STS-2), and several types of data loggers (Earth Data PR6-24, Reftek, Quanterra).  
148 Data was recorded continuously at 40, 50, 80 and 100 samples per second. More detailed  
149 information on the permanent networks and on the WILAS temporary network (Dias et al.,  
150 2010) can be found in Carrilho et al. (2021) and Custódio et al. (2014). The DOCTAR  
151 deployment is described in Matos et al. (2018).

152 The estimation of Rayleigh-wave empirical Green's functions (EGF) from ambient noise cross-  
153 correlations was made in three main steps: (1) pre-processing; (2) cross-correlation for each  
154 inter-station pair and (3) stack of correlograms to improve the signal-to-noise ratio. The first  
155 step (pre-processing) comprises decimation to one sample per second, instrumental response  
156 removal and data conversion to true ground velocity, mean removal and detrending.

157 We are interested in the period range that includes the primary and secondary microseisms,  
158 where ambient-noise energy is highest and consists mainly of surface waves. Also, due to the  
159 inter-station spacing (Fig. S3) and network aperture (Fig. 2), the optimal period band ranges  
160 from 5 to 30 s. Therefore, we apply a fourth-order zero-phase band-pass Butterworth filter in  
161 the period range between 2 and 50 s that eliminates energy outside our range of interest.  
162 Finally, we divide the entire dataset into 24-hour-length time-series.

163 As shown in previous studies (see for e.g. Bensen et al., 2007; Bensen et al., 2008; Silveira et  
164 al., 2013), the use of the classical cross-correlation and linear stack methods requires  
165 preliminary time-domain normalization and spectral whitening to reduce the influence of  
166 other large-amplitude events such as earthquakes. In this study, we apply the Phase Cross-  
167 Correlation method (PCC), followed by a time-frequency Phase-Weighted Stack (tf-PWS), built  
168 by Schimmel and Gallart (2007) upon the PWS developed by Schimmel and Paulssen (1997)  
169 (Schimmel et al., 2011). As shown by Schimmel et al. (2011, 2018), PCC is amplitude unbiased  
170 and needs no further pre-processing (e.g., time and frequency domain normalizations).

171 Another advantage of using PCC and tf-PWS is their higher ability to attenuate incoherent  
172 noise, thus facilitating the extraction of EGFs from cross-correlograms. A detailed description  
173 of the method can be found in Schimmel et al. (2011).

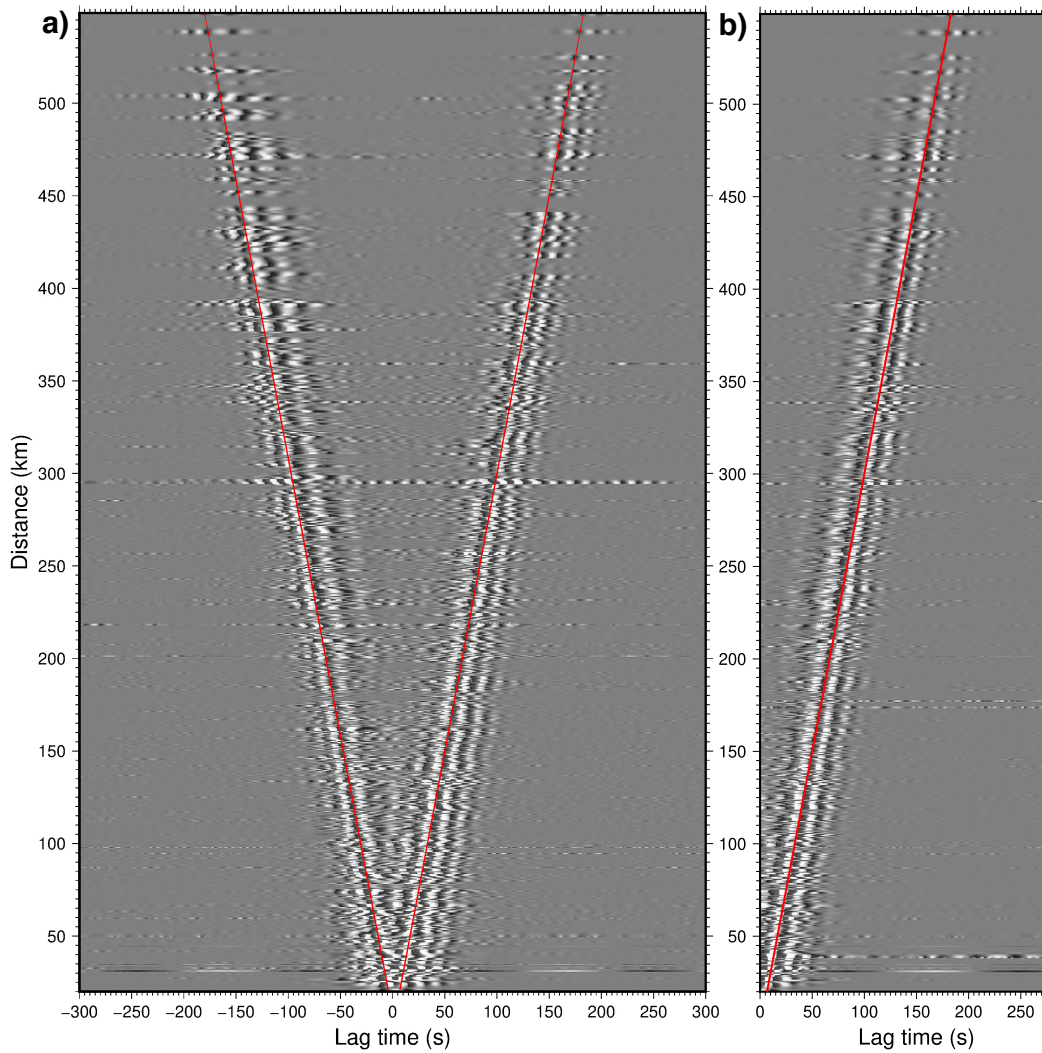


Figure 3 - Plot of the Empirical Green Functions for the entire data set as a function of inter-station distance and time. The red lines mark a Rayleigh wave arrival with a velocity of 3 km/s. (a) Both causal and acausal lags are displayed. (b) Empirical Green Functions obtained by phase weighted stack of both causal and acausal phase correlograms.

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175 Fig. 3 shows a plot of the resulting EGFs, obtained from the two years of data, displaying  
176 interstation distance versus time lag. In the period band investigated (5 – 30 s), we see that  
177 the EGFs are dominated by the Rayleigh-wave fundamental mode. In Fig. 3a, dispersive  
178 Rayleigh wave trains are visible in both causal and acausal branches. We clearly identify the  
179 move-out of the wave trains as a function of distance, with an average apparent velocity of  
180  $\sim 3.0$  km/s. To obtain the final EGFs (Fig. 3b), we phase-weighted stacked the causal and  
181 acausal cross-correlograms using tf-PWS.

182 Finally, we measured the Rayleigh-wave fundamental-mode group velocities on the EGFs  
183 following the approach developed by Schimmel et al. (2017). This technique uses the S-  
184 transform (Stockwell et al., 1996) and is equivalent to filtering the EGFs using narrow-band  
185 frequency-centered Gaussian filters, as originally proposed by Dziewonski et al. (1969). Group  
186 velocity dispersion curves are then obtained by picking the maximum energy in the time-  
187 frequency diagrams (see Supplementary material Fig. S1). The frequency higher limit is  
188 dictated by energy scattering at high frequencies, whereas the interstation distance controls  
189 the lowest analyzed frequencies. Empirical practice recommends that interstation distances  
190 longer than two/three wavelengths be used to obtain reliable dispersion curves for far-field  
191 propagating surface waves. However, Luo et al. (2015) showed that cross-correlations with  
192 shorter interstation distances, up to only one wavelength, can also be reliable and consistent  
193 with those computed for interstation distances longer than three wavelengths. Accordingly,  
194 in this study we limited the dispersion curve analysis to the period range between 5.0 and  
195 30.0 s.

196 Group velocity uncertainties are estimated using a random sampling and subset tf-PWS  
197 approach (Schimmel et al., 2017). For each interstation path, several stacks with 50% of all  
198 available daily cross-correlations are randomly selected and the group velocity estimated.  
199 These sub-sampled group-velocity dispersion curves are then compared with the reference  
200 group-velocity obtained from the stack of the entire dataset. This technique provides robust

201 measurements of Rayleigh wave fundamental mode group velocities and associated  
202 uncertainties. Fig. S1 shows an example of an energy diagram and group velocity selection. All  
203 energy diagrams were visually inspected and inconsistent measurements discarded (see  
204 example in Fig. S2). The outliers removed corresponded to ~20% of all dispersion curves. The  
205 final dataset consists of 1034 dispersion curves, whose distribution by period and inter-station  
206 distance is shown in Fig. S3. Figure 4 shows all final group velocities as a function of period,  
207 together with the average group velocity. Data uncertainties are in the range 0.01 – 0.2 km/s.

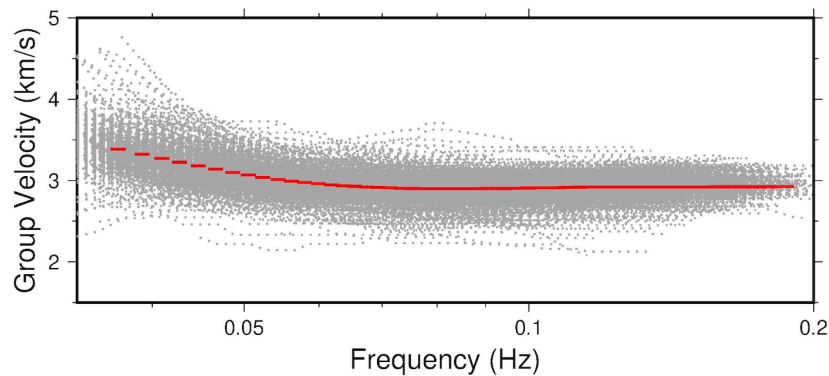


Figure 4 — The 1034 group-velocity measurements (grey) corresponding to all selected station pairs as a function of frequency. The average group velocity is plotted in red for comparison.

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### 211 3. SURFACE-WAVE TOMOGRAPHY

212

#### 213 3.1 Methodology

214 The 3-D tomographic maps were obtained from the dispersion curves in two steps. In the first

215 step, we performed a 2D inversion to obtain laterally varying group velocities for 22 periods

216 between 7 and 30 s. We discarded dispersion measurements below 7s due to the low number

217 of interstation paths between 5 and 7s. In the second step, we inverted the Rayleigh wave  
218 local group velocities to obtain the S-wave velocities as a function of depth.

219 To quickly evaluate the resolving power of our dataset, we conducted a checkerboard test,  
220 using the Fast Marching Surface Tomography (FMST) method (Rawlinson and Sambridge,  
221 2005). The network geometry provides a dense and azimuthally well-distributed ray path  
222 coverage, which results in tomographic images with good resolution (Fig. S4 in Supplementary  
223 material).

224 We used the 2D inversion method proposed by Montagner (1986), which is based on the  
225 continuous formulation of the inverse problem proposed by Tarantola and Valette (1982), to  
226 invert inter-station dispersion measurements. Further details on the 2D inversion method can  
227 be found in the Supplementary Material. Fig. S5 shows examples of the resulting lateral  
228 distribution of group velocities at three chosen periods. In order to quantify the sensitivity of  
229 the group velocity of the different periods, we calculated the sensitivity kernels (see  
230 Supplementary Fig. S6). Different wave periods are sensitive to different depths, with the  
231 longer periods allowing to sample the structure until a depth of  
232 60 km.

233 Finally, we inverted the group velocities on a grid of  $0.25^\circ \times 0.25^\circ$  in latitude and longitude, to  
234 obtain the 3D  $V_s$  model. Because there is a trade-off between crustal velocity and Moho  
235 depth, we fixed the Moho depth at each grid point. We used the Moho depths given by Díaz  
236 & Gallart (2009) and Dündar et al. (2016), smoothed to the lateral resolution of 50 km of our  
237 group velocity maps.

238 The 3D inversion scheme that we used follows a novel approach proposed by Haned et al.  
239 (2016). For a given S-wave velocity model as a function of depth  $z$ ,  $V_s(z)$ , synthetic group  
240 velocities,  $U_{syn}(T_n)$ , for periods  $T_n$  are computed using the approach of Saito (1988). The S-  
241 wave velocity model that explains the observed group velocities  $U_{obs}(T_n)$  is determined by

242 minimizing the misfit function between observations ( $U_{obs}(T_n)$ ) and model predictions  
 243 ( $U_{syn}(T_n)$ ):

$$\chi_d^2 = \frac{1}{N} \sum_{n=1}^N [U_{obs}(T_n) - U_{syn}(T_n)]^2 / \sigma_d^2(T_n), \quad (3)$$

244

245 where  $\sigma_d$  is the measurement error.

246 This inverse problem is non-unique and therefore a condition of smoothness is imposed on  
 247  $V_S(z)$ . On the other hand, the Moho discontinuity must be taken into account. In order to  
 248 consider both the model smoothness and the Moho discontinuity,  $V_S(z)$  is represented as a  
 249 sum of two terms, as proposed by Haned et al. (2016):

$$V_S(z) = V_S^0(z) + \sum_{k=0}^{M-1} V_k N_{k,2}(z), \quad (4)$$

250 where  $V_S^0(z)$  is the a priori model with discontinuities and the second term is a continuous  
 251 and smooth curve expanded into a series of B-spline basis functions  $N_{k,2}(z)$  with weight  
 252 coefficients  $V_k$ . These weight coefficients  $V_k$  are the model parameters.

253 The a priori model in the mantle is PREM (Dziewonski & Anderson, 1981). For each grid point,  
 254 the local Moho depth is fixed as explained previously. The local uniform a priori velocity  $V_S^0(z)$   
 255 in the crust can vary. In order to determine it, for a given  $V_S^0(z)$ , we perform the inversion  
 256 (described later) and the homogeneity of the obtained solution  $V_S(z)$  is estimated by the  
 257 equation:

258

$$\|V_S'(z)\| = \int [V_S'(z)]^2 dz, \quad (5)$$

259 where  $V_S'(z) = dV_S(z)/dz$  is the depth derivative of the S-wave velocity. This integration is  
 260 performed over the mantle part of the model down to 80 km depth, excluding the Moho  
 261 discontinuity. The process is then repeated with different crustal  $V_S^0(z)$  in the empirical  
 262 interval from 2.8 to 4.3 km/s until a minimum of  $\|V_S'(z)\|$  is achieved. Thus, the crustal a priori

263 model is determined by a condition of homogeneity of the inverted model. Note that because  
264 the inversion procedure varies  $V_s(z)$  by adding splines according to equation (2), the  
265 optimization of the a priori velocity  $V_s^0(z)$  in the crust means in fact changing only the value  
266 of the velocity discontinuity at the Moho depth.

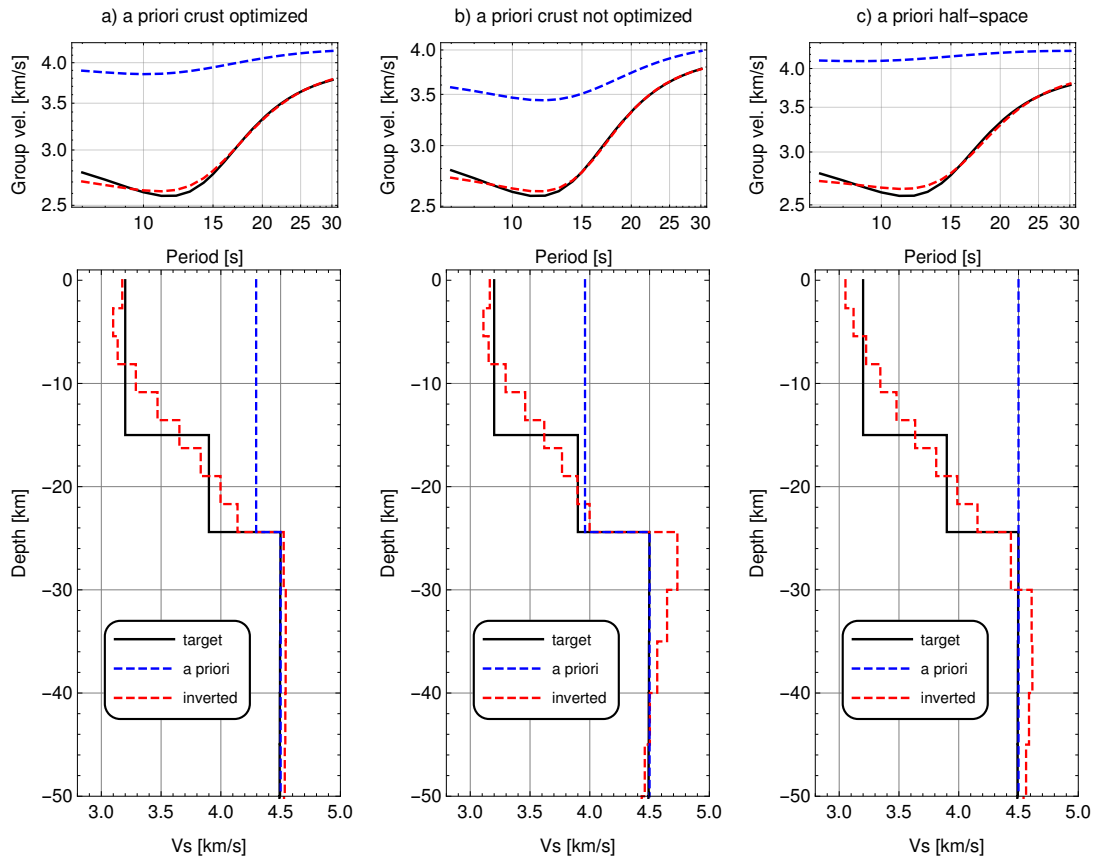
267 The inversion procedure is a composition of two nested loops (Haned et al., 2016): the inner  
268 loop computes for a given spline basis  $\{N_{k,2}\}$  the optimum model weight coefficients  $V_k$ , and  
269 the outer loop determines the optimum spline basis which can be defined using a single  
270 parameter (M), as described below. The inner loop uses a simulated annealing optimization  
271 algorithm [Press (2007), chapter 10.9] to minimize the misfit function (3). The outer loop uses  
272 the golden section search in one dimension [Press (2007), chapter 10.1] to minimize a  
273 posteriori model variance  $\chi_m^2$  jointly with the misfit function  $\chi_d^2$ . Thus, it provides an optimal  
274 level of regularization and enables to determine the single parameter M of the spline basis.

275 The parameter M is a continuous variable that enables to describe the spline basis. Each spline  
276 is defined by 4 knots along the depth axis and there is an overlap of three knots between two  
277 adjacent splines. For a given M we compute d, the distance along the depth axis between the  
278 knots of each spline, using equation  $d=D/(M+2)$ , where D is the maximum depth of the model  
279 (here 85 km). The integer part of M gives the number of splines and the integer of (M+3) gives  
280 the total number of knots. The non-zero fractional part of M gives the compression of the  
281 knots toward the surface with the lowest knot being above D.

282 For any value of M (integer or not), the spline basis thus defined has equidistant knots which  
283 are separated by the distance d. But the inversion program uses non-equidistant knots for  
284 better performance. The described equidistant knots are converted into the non-equidistant  
285 ones through the transformation  $y(x) = bx + (1 - b)x^a$ , where x is the normalized depth (when  
286  $D=1$ ), a and b are the parameters in the intervals of  $3 < a < 4$ ,  $0.2 < b < 0.4$  as described in  
287 Haned et al. (2016, see their figure B1).

288 When the optimal  $V_s$  model has been obtained, the *a posteriori* model variance  $\chi_m^2$  is  
289 estimated as in Haned et al., (2016). To illustrate the effect of the a priori crustal model  
290 optimization, Fig. 5 shows examples of synthetic data inversion. Synthetic group velocity is  
291 calculated for a target model shown by a black line. The panel (a) represents a result of  
292 inversion  $V_s(z)$  shown by the red line when the crustal optimization is used. We observe almost  
293 perfect recovery in the mantle and a smoothed version of two-layered crust since no inter-  
294 crustal discontinuities are assumed. The optimal a priori model  $V_s^0(z)$ , shown by the blue line,  
295 coincides with the target model below the Moho.  
296 In the panels (b) and (c) the crustal optimization is not used. The inversion procedure alone  
297 requires specifying a crustal a priori velocity  $V_s^0(z)$ . Panels (b) and (c) demonstrate the result  
298 of the inversion when the a priori  $V_s^0(z)$  is underestimated (b) or overestimated (c). In both  
299 cases the result of the inversion  $V_s(z)$  is distorted, but in a complementary way, i.e. with  
300  $V_s'(z) < 0$  and  $V_s'(z) > 0$  in the mantle right below Moho for (b) and (c) respectively. In all  
301 cases shown, the Moho depth is fixed and known independently.





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Figure 5 – Synthetic inversions of group velocities. The target model and the result of inversion are shown by black and red lines, respectively. The blue line shows the *a priori* model used. (a) Using an *a priori* crustal model that was optimized. (b) Using a non-optimized underestimated *a priori* velocity  $V_s^0(z)$ . The result is distorted in the uppermost mantle and  $V_s'(z) < 0$ . (c) Using a non-optimized overestimated *a priori* velocity  $V_s^0(z)$ . The result is also distorted but with  $V_s'(z) > 0$  in the uppermost mantle (24-40 km).

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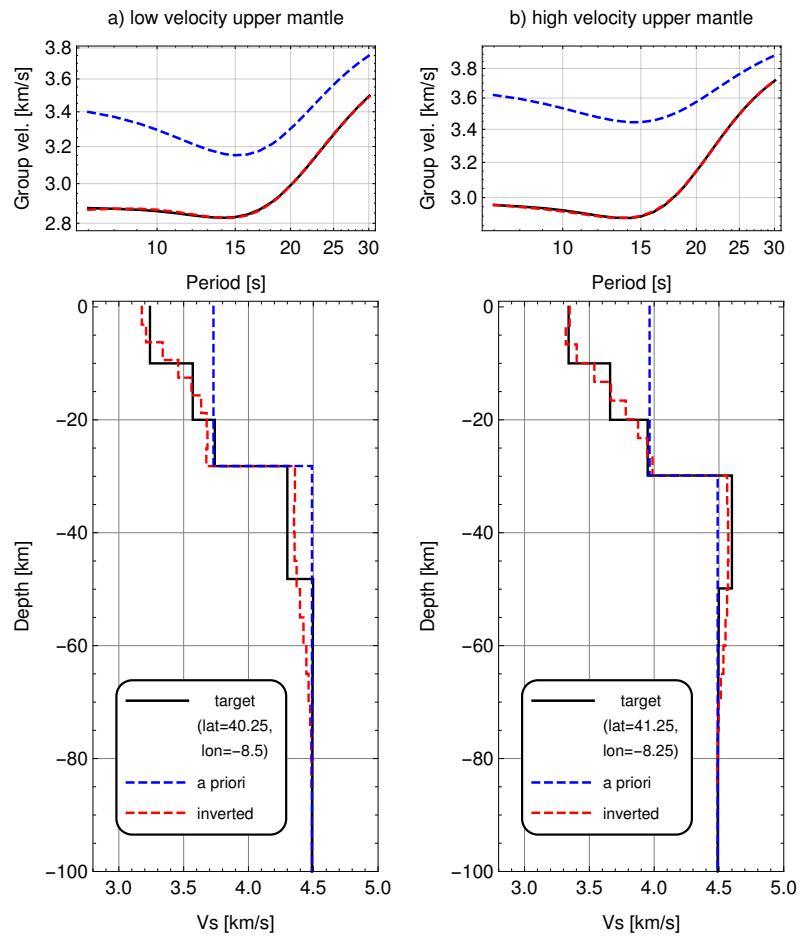


Figure 6 - (a) Synthetic tests with a mantle anomaly. The target model (black) with a low-velocity uppermost mantle is taken at latitude  $40.25^\circ$  and longitude  $-8.5^\circ$ . The number of layers is reduced to 3 in crust and 2 in mantle. The result of inversion is shown in red. (b) The same as (a) but for a model at latitude  $41.25^\circ$  and longitude  $-8.25^\circ$  with a high-velocity uppermost mantle.

305

306 Fig. 6 shows more realistic synthetic tests that consider models with mantle anomalies. The  
 307 models obtained by inversion are approximated by a small number of layers, which makes  
 308 them less smooth and more difficult to retrieve. Nonetheless, the inverted Vs models  
 309 approximate well the target models, both in the case of the low- and high-velocity anomalies  
 310 in the uppermost mantle.

311

312 **3.2 Results**

313 Figures 7, 8 and 9 present the 3D S-wave model, displayed on selected horizontal planes and  
314 vertical profiles. To facilitate the joint interpretation of lithospheric Vs structure, topography  
315 and seismicity we also show topographic profiles and the seismicity recorded between 1995  
316 and 2013 (Custódio et al., 2015, Veludo et al., 2017) on a selected volume around each  
317 plane/profile.

318 Fig. 7 shows the Vs model at different depths, ranging from 5 to 60 km, together with a  
319 topographic map and the main tectonic features from Fig. 1a superimposed. In particular, the  
320 limits of the main tectonic units are plotted as grey dashed lines. Velocity perturbations are  
321 presented in percentage with respect to the average Vs at each depth. The laterally variable  
322 Vs increase at the Moho may therefore introduce contrasts in the velocities at a given depth.  
323 As such, at 25 and 30 km depths, we computed the Vs perturbations by taking into account  
324 whether each cell was still in the crust or already in the mantle, according to the predicted  
325 Moho depth. The crustal thickness ranges between 24 and 34 km, with an average of 30 km;  
326 therefore, the first 4 subplots (b-e) reflect the crustal structure whereas the last two (40 and  
327 60 km depth) (h-i) show the uppermost mantle.

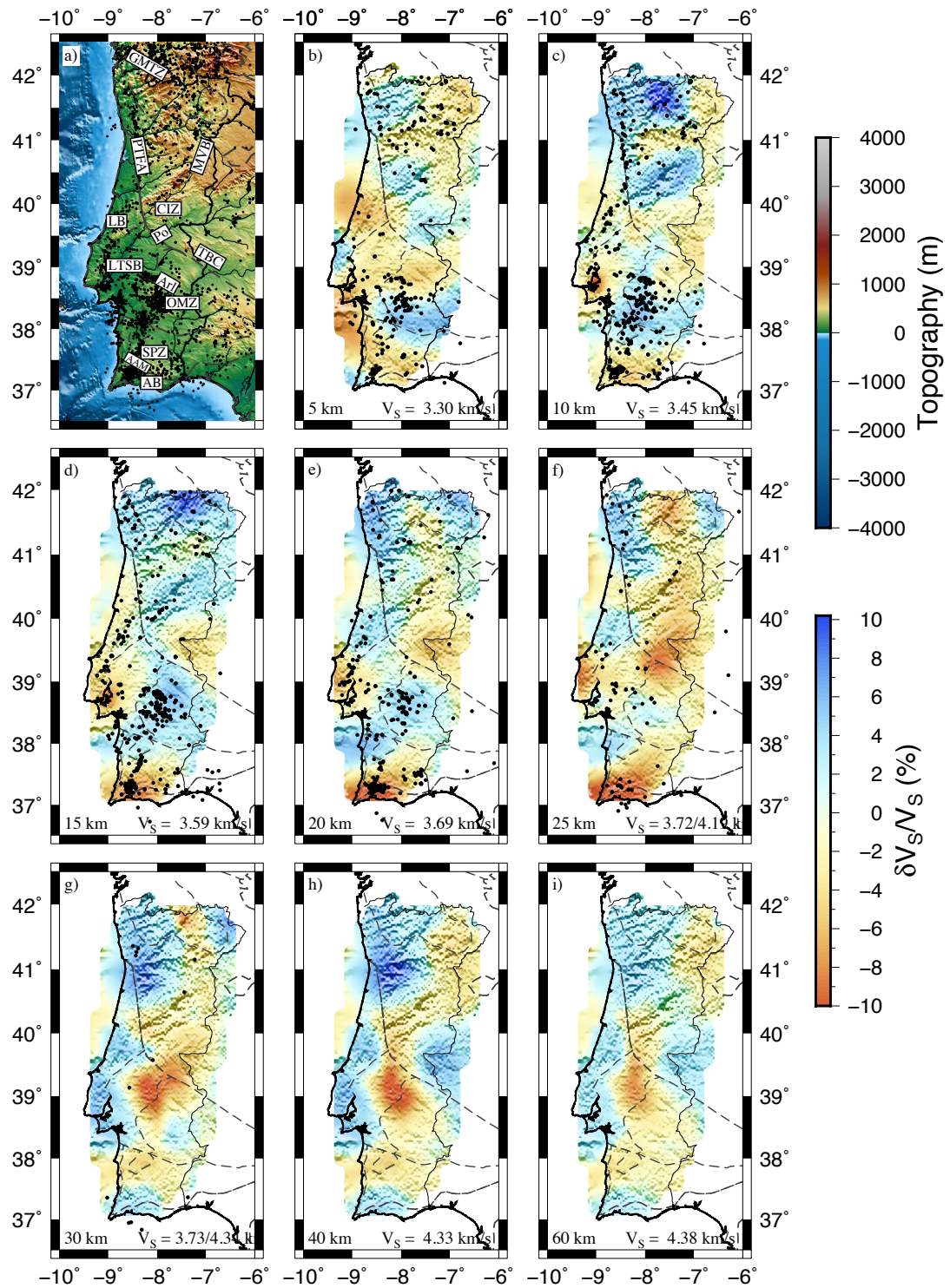


Figure 7 - a) - Topographic map, limits of the main tectonic units (grey dashed lines), and seismicity recorded between 2000 and 2014 relocated by Veludo et al. 2017 (black dots). b) to i) S-wave

velocity maps at different depths. Velocity perturbations are displayed in percentage with respect to the average model. Depth and the Vs average are indicated at the bottom of each map. At 25 and 30 km the average was computed separately for cells above and beneath the Moho (Díaz and Gallart, 2009, DüNDAR et al., 2015). Earthquakes are plotted in a volume of +/- 2 km around each depth.

328 At most depths the velocity anomalies are relatively smooth, as would be expected from a  
329 surface-wave tomography, and vary in the interval between -10% to +10%. At 5 km, most  
330 anomalies follow the limits of the variscan contacts associated with the Ibero-Armorican Arc  
331 and their interception with the more recent alpine structures (LB and AB basins). In the crust,  
332 most positive anomalies are located in the variscan domain, with some extending down to 60  
333 km, namely in the north of Portugal. The Alpine inverted basins correspond to negative  
334 anomalies with a shallower expression.

335 Fig. 8 and Fig. 9 present several vertical profiles that extend from 5 km to 60 km depth,  
336 together with the corresponding topographic profile (with vertical exaggeration). For  
337 reference, the Moho depths from Díaz et al. (2015) and DüNDAR et al. (2016) are plotted on  
338 the vertical profiles as grey dashed lines.

339 In supplementary material we further show the characteristic dispersion curves for the  
340 different tectonic units (Figure S7). The curves exhibit a clear regional variation, with those of  
341 the sedimentary basins and of the South Portuguese Zone (SPZ) presenting lower group  
342 velocities at short periods.

343

344

#### 345 **4. DISCUSSION**

346 The comparison between surface features (Fig.1) and Vs at depth (Fig.7) shows that the  
347 surface features seem to extend into the upper crust, roughly down to 15 km depth. However,

348 this good association changes significantly for the lower crust and uppermost mantle. In the  
349 upper-middle crust, down to ~20km depth, the Vs model is consistent with the results of the  
350 local earthquake tomography of Veludo et al. (2017).

351

### 352 ***Galícia Trás-os-Montes Zone***

353 To the North, in the area corresponding to the Galícia Trás-os-Montes Zone (around 41.5°N,  
354 **GTMZ** in Fig. 7a), we image a shallow strong positive anomaly that extends down to 15 km  
355 depth. This positive anomaly is roughly limited by the Penacova-Régua-Verín Fault system  
356 (PRV in Fig. 1b). The southeast of the GTMZ sector presents a negative anomaly down to 10  
357 km, followed by a positive anomaly below and then another negative anomaly in the lower  
358 crust. This positive anomaly with a thin overlying low-velocity layer, also shown in Profile E-E'  
359 in Fig. 9, is consistent with the pile of allochthonous thrust sheets that compose the peculiar  
360 tectonic unit called Morais and Bragança massifs, overlying the autochthonous Central Iberian  
361 Zone (CIZ) (Arenas et al., 2016b, Dias and Ribeiro, 1995, Ribeiro et al., 2007, Simancas et al.,  
362 2001). Further, profiles E-E' and G-G' (Fig. 9) are also consistent also with a crustal thickening  
363 to the NE sector of Portugal, as previously suggested by receiver function results (Dündar et  
364 al., 2016).

365 In sum, most positive velocities anomalies in the GMTZ seem to be confined to the upper  
366 crust, consistent with previous results (e.g. Attanayake et al., 2017 or Veludo et al., 2017), and  
367 in agreement with the presence of a thin shell, composed of allochthonous thrust sheets  
368 overlying the CIZ. As an exception to this result, we image only a low-velocity anomaly roughly  
369 cantered around the PRV fault system.

370

### 371 ***Central Iberian Zone***

372 The Central Iberian Zone (**CIZ**) presents a weak gradient between areas of low and high  
373 velocities, pointing to a relatively homogenous velocity structure. Its western sector has

374 higher Vs values than the eastern sector, and the limit between the two roughly coincides with  
375 the Manteigas-Vilariça-Bragança fault system (MVB in Fig. 1b). This observation is consistent  
376 with the Vp values of Veludo et al. (2017) for the upper and middle crust. Newly imaged in our  
377 tomography is the extension of that velocity contrast into the upper mantle, suggesting that  
378 the NNE-SSW MVB fault system is a lithospheric-scale feature. It should be noted that the MVB  
379 fault is marked by instrumental seismicity at crustal level. On the other hand, the NE-SW Seia-  
380 Lousã and Ponsul faults (SL and Po in fig.1) correspond to only minor structural contrasts in  
381 our model.

382 The vertical profiles of Fig. 8 and 9 also show that the upper mantle structure beneath the CIZ  
383 is relatively homogenous, as expected from the variscan core unit, with exception of the lower  
384 crust anomaly located in the CIZ-OMZ, south of the Po fault and discussed below.

385 The contrast between the CIZ (fast Vs) and the adjacent tectonic units (low Vs) – OMZ to the  
386 south and LB to the west – is very clear at a shallow level (5 km – Fig.7b). The OMZ-CIZ is  
387 roughly coincident with the Tomar-Badajoz-Córdoba shear zone (TBC in Fig. 1b). At depths of  
388 10-20 km, the pattern across the OMZ-CIZ is inverted highlighting a contact between a  
389 relatively slow CIZ to the north and a relatively fast OMZ to the south (Fig.7e).

390

### 391 ***Ossa Morena Zone***

392 The Ossa Morena Zone (**OMZ**) is one of the most distinguished tectonic features in our  
393 tomographic model, marked by a strong fast Vs anomaly over most of the crust (5 to 25 km,  
394 Fig.7b-f). However, Fig. 7 also shows that the OMZ is segmented into two sectors, the limit of  
395 which is roughly parallel to the CIZ-OMZ contact, along the Ciborro-Serra da Ossa alignments,  
396 and marked by a relatively intense seismic alignment, previously noted by Veludo et al. (2017)  
397 and Matos et al. (2018), who called it the Arraiolos Seismic Zone (Arl in Fig. 1b). At upper-  
398 middle crustal levels (5 km to 25 km depth), Vs changes from slow to the north of this

399 alignment to fast to its south, consistent with results from local earthquake tomography  
400 (Veludo et al., 2017) and magnetotelluric 2D profiles (Almeida et al., 2005).

401 Deeper, in the middle-lower crust and extending into the upper mantle (25-60 km depth, Fig.  
402 7 f-i), our tomographic model shows a previously unknown low-velocity anomaly, located at  
403  $\sim 39.3^\circ\text{N}$ , roughly where the CIZ-OMZ-LTSB contacts intersect. This strong low-velocity  
404 anomaly, seems to start at the base of the crust and to increasing in amplitude into the  
405 uppermost mantle, where it becomes a dominant signal. The vertical profiles C-C' (Fig. 8) and  
406 H-G' (Fig. 9) display the lateral variation across this well-marked transition ( $\sim 38.8\text{-}39^\circ\text{N}$ ),  
407 extending into the mantle, where the velocity contrast increases.

408 The analysis of profiles B-B', C-C' and D-D' in Fig. 8 and H-G' in Fig. 9, suggests the presence of  
409 a low-velocity body, maybe of lenticular shape, located at the base of the crust roughly at the  
410 contact between the CIZ and the OMZ, and limited to the south by something akin to a low-  
411 velocity wedge that extends into the mantle. This negative velocity anomaly may correspond  
412 to an anomaly identified in S-wave models obtained from teleseismic tomography, located  
413 roughly beneath the OMZ (Monna et al., 2013; Civiero et al., 2019) and which extends down  
414 to 190 km depth. Attanayake et al. (2017) also obtained low velocities in this region at 25 km  
415 depth, the deepest level in their study. The model proposed by Palomeras et al. (2017) for the  
416 entire Iberian Peninsula does not exhibit a clear low-velocity anomaly in this region. However,  
417 their dataset had a much sparser coverage in Portugal compared to the rest of the peninsula.  
418 Simancas et al. (2013) already reported the presence of anomalous bodies in the deep crust  
419 in this region, albeit associated with high  $V_p$  velocities, which they associated with structurally  
420 layered mafic/ultramafic bodies that intruded along a midcrustal decollement.

421 The nature of the OMZ as a variscan accretionary wedge between the CIZ and the SPZ may  
422 explain the observed  $V_s$  structure, with lower velocities to its north associated with subducted  
423 material with stronger sedimentary content, and a southern part composed of harder, more  
424 brittle and faster material, also explaining the concentration of ongoing seismic activity. The



425 strong low-velocity anomaly in the lower crust beneath the OMZ-CIZ limit suggest a complex  
426 structure associated with the past tectonic processes. For depths larger than 10 km and down  
427 to 30 km, the OMZ high velocity anomaly seems to extend further to the west coast, while  
428 receding from the east.

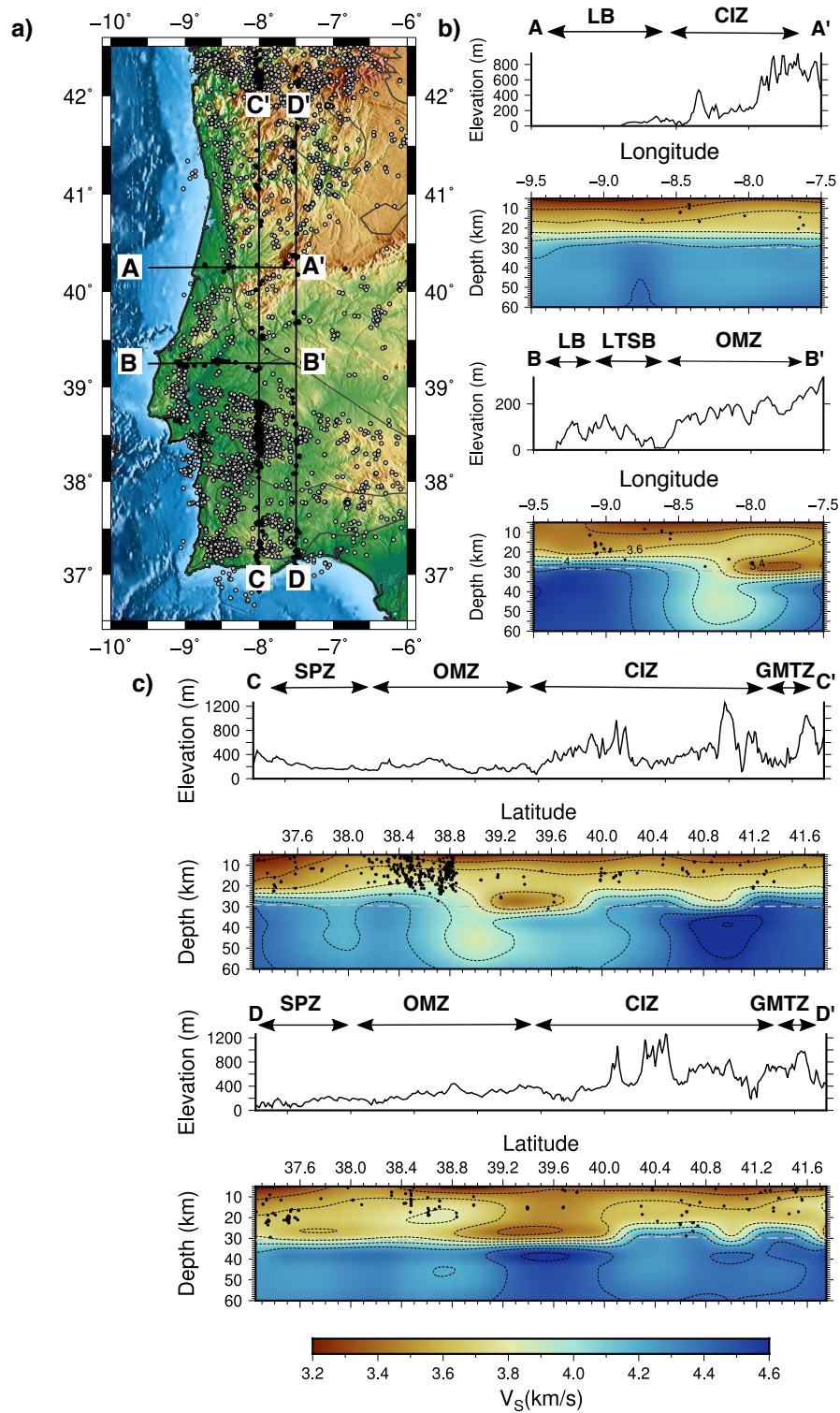
429 We note that the regions with low Vs anomalies in the southern CIZ and northern OMZ are  
430 devoid of earthquakes. This suggests that seismic deformation concentrates in the regions of  
431 faster seismic velocities, eventually corresponding to more brittle rocks.

432

433

#### 434 ***South Portuguese Zone***

435 The South Portuguese Zone (**SPZ**) is mostly characterized by a persistent low-velocity anomaly  
436 that extends into the mantle. The OMZ-SPZ contact is very sharp from 5 km down to 20 km  
437 depth, remaining visible around 30 km depth, and shows fast velocities to the north (OMZ)  
438 and slow velocities to the south (SPZ) (Fig. 7). However, at upper levels (5-10 km), this velocity  
439 contrast seems to match better the Albornoa-Aljustrel-Messejana Alignment (AAM in Fig.1b),  
440 i.e, the southern limit of the Iberian Pyrite Belt, than the OMZ-SPZ contact itself,  
441 corresponding to the Beja ophiolitic complex. These results are consistent with those  
442 obtained in the Vp model of Veludo et al. (2017). Inside the SPZ there is a hint of a W-E increase  
443 in Vs velocities also present in their Vp model. The Southwestern tip of the Algarve, roughly  
444 starting at the Monchique Massif (M in Fig.1b) appears as a distinct feature from the rest of  
445 the SPZ, either marked by strong low velocities at shallow levels or by high velocity anomalies  
446 at depth. This sector has been recognised as a piece of anomalous crust in several studies (see  
447 Arenas et al., 2016b; Dias and Ribeiro, 1995; Ribeiro et al., 2007; Simancas et al., 2001, Veludo  
448 et al, 2017). Being at the edge of our model, we cannot discriminate its exact nature.



449

Figure 8 - Vertical profiles through the 3D S-wave velocity model. a) Topographic map with the position of four vertical profiles. Earthquakes recorded between 1995 and 2013 are plotted as grey dots or as black dots if they are close do the selected profiles. b) Two W-E

profiles crossing the LB and c) two N-S profiles crossing the Arroiolos seismic zone. All profiles are coincident with vertical node-planes. S-wave velocities are plotted as absolute values. Earthquakes, relocated by Veludo et al. 2017, are plotted around the latitude (b) and longitude (c) of the profiles within an interval of  $\pm 0.05^\circ$ .

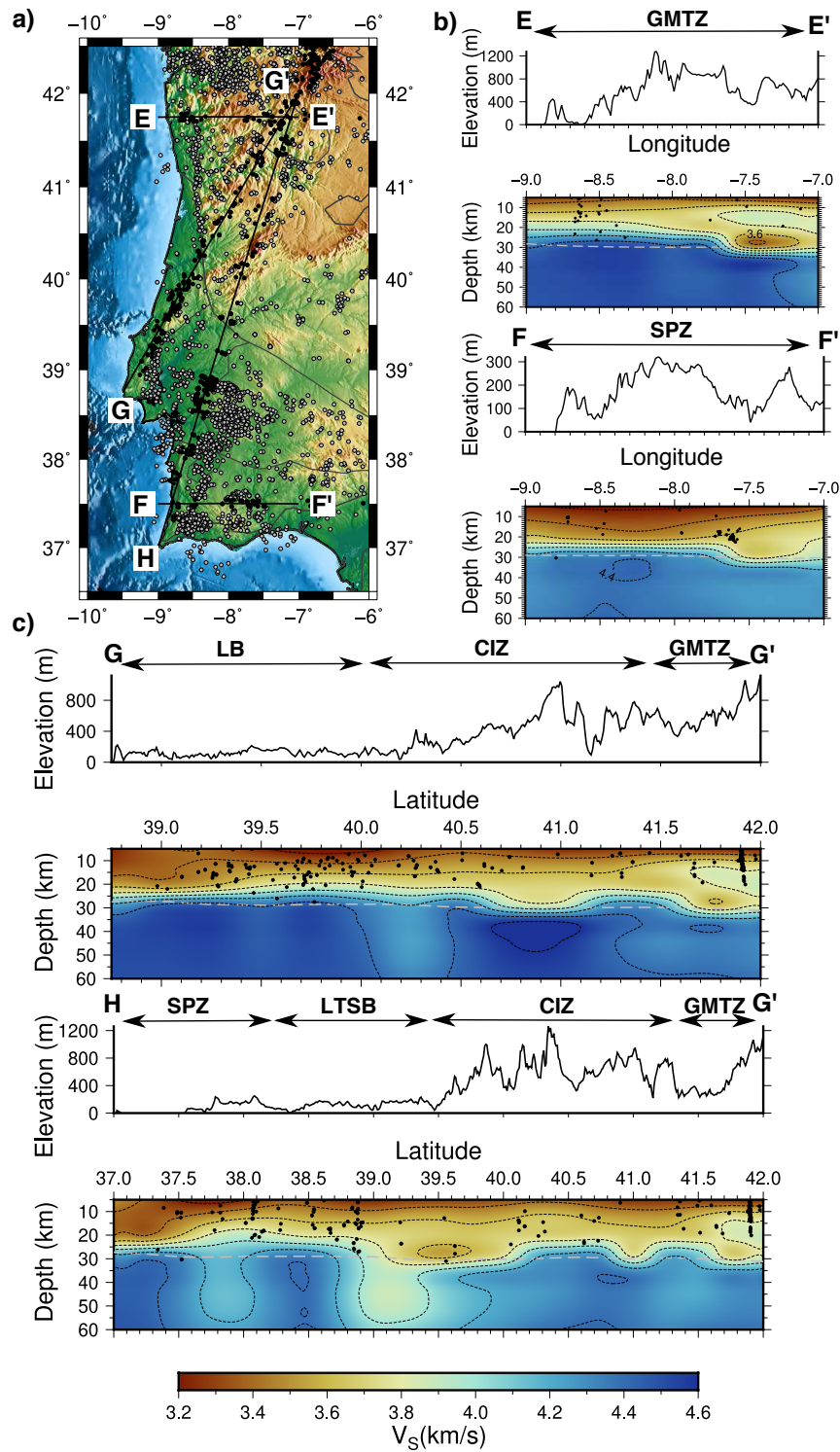


Figure 9 - Vertical profiles through the 3D S-wave velocity model. a) Topographic map with the position of the four vertical profiles. Earthquakes recorded between 1995 and 2013 are plotted in grey or black dots if they are close to the selected profiles. b) Profiles crossing the GMTZ (E-E') and the SPZ (F-F') zones. c) Profiles along the contact between the LB and LTSB basins (G-G') and crossing all of Portugal from Southwest to Northeast (H-G'). S-wave velocities are plotted as absolute values. Earthquakes, relocated by Veludo et al. 2017, are plotted around the plotted profiles within an interval of  $\pm 0.05^\circ$ .

450

#### 451 ***The Mesocenozoic Basins***

452 In the Mesocenozoic basins (Fig. 1a), at shallow depths, the Lusitanian Basin (**LB**), the Lower-  
453 Tagus and Sado Basins (**LTSB**) and the Algarve Basin (**AB**) all display low S-wave velocities, as  
454 expected, corresponding to sedimentary rocks.

455 The Lusitanian Basin (**LB**) is clearly marked in the upper crust by a low-velocity anomaly in the  
456 upper 5 km (Fig.7b). Its eastern border is marked by a low-high velocity contact that coincides  
457 with the Porto-Tomar-Ferreira do Alentejo shear zone (PTFA in fig.1b). Unlike in previous  
458 results (e.g. Veludo et al., 2017), it is not possible to access the dip of the PTFA fault or the  
459 exact depth extension of the basin. However, the imaged higher velocities in the mantle  
460 (profile A-A',  $\sim 8.7^\circ\text{W}$ , Fig. 8) suggest a lithospheric-scale nature of this contact. This contact,  
461 well imaged near the surface and at deeper mantle levels, fades at mid-crustal levels,  
462 eventually due to the inclination of the contact and/or to the increase in velocities of the  
463 Estremadura Limestone Massif, limited by the Nazaré-Condeixa-Alvaiázere fault system (NCA  
464 in Fig.1b).

465 The Lower-Tagus and Sado Basin (**LTSB**) corresponds to a strong low  $V_s$  anomaly, which  
466 appears to vanish at mid-crustal levels  $\sim 15\text{-}20$  km in Fig. 7.

467 The Algarve Basin (**AB**) is located on the southernmost part of the model, with few rays  
468 crossing it, therefore poorly imaged in our model. However, its low-velocity anomaly is visible  
469 in the entire crust, until 25 km depth. Still, it could be a smearing effect from the structure  
470 beneath Monchique.

471

## 472 **5. CONCLUSIONS**

473 Phase cross-correlation and phase weighted stack of 24 months of continuous seismic data,  
474 recorded at 64 stations, enabled us to retrieve high quality empirical Green functions. We  
475 were thus able to infer a high-resolution S-wave tomographic model of Portugal, particularly  
476 in the area of the WILAS project. We adapted the trans-dimensional inversion method  
477 presented in Haned et al. (2016) to optimize the a priori crustal model within the inversion  
478 scheme to obtain the shear wave velocity. The 3D inversion enabled to obtain the crustal and  
479 uppermost mantle structure across Portugal.

480 We found a good correlation with surface geology, in particular at upper and middle crust  
481 levels. The different tectonic units of the variscan massif and mesocenozoic basins, as well as  
482 their contacts, in general match the observed Vs anomaly pattern. Some important fault  
483 systems, like the MVB or the PTFA, have expression down to the mantle whereas others seem  
484 to be limited to the upper crust. In general, our results support a smoothly varying crust-  
485 mantle transition, as observed in Dundar et al. (2016), in particular beneath the CIZ and SPZ.  
486 In the NE Portugal, the Vs model revealed the presence of a middle crust high velocity anomaly  
487 associated with a pile of allochthonous thrust sheets that compose the peculiar tectonic unit  
488 of the Morais and Bragança massifs overlying the autochthonous Central Iberian Zone (CIZ).  
489 In the OMZ, the accretionary wedge nature associated with the Variscan suture is clear at  
490 upper crustal levels and is characterized by a strong lateral velocity variation across the CIZ-  
491 OMZ contact and with a low Vs anomaly extending into the uppermost mantle.

492 The strongest signal in our 3D tomographic model is a previously unknown low-velocity  
493 anomaly, roughly cylindrical in shape and located below the CIZ-OMZ transition. This anomaly  
494 is very strong in the upper mantle and lower crust but fades into the middle crust. This  
495 anomaly may be due to low-velocity material, probably of sedimentary origin, subducted  
496 along the OMZ-CIZ contact, concentrating in the lower crust. This low-velocity anomaly  
497 coincides with a region of seismic quiescence and may act as an aseismic wedge between two  
498 different deformation sectors, one to the south and the other to the north.

499 Our shear wave velocity model for the crust and uppermost mantle contributes to  
500 constraining the main tectonic units at depth, filling the gap between the crustal-scale local  
501 earthquake tomography and the mantle scale body-wave tomographic models. In the future,  
502 we intend to include both crustal azimuthal and radial anisotropy in our 3D model, which will  
503 provide a better insight into the crustal stress in the various tectonic units. Future  
504 deployments of regularly spaced seismic stations will allow to invert for azimuthal anisotropy.  
505 Cross-correlation of the horizontal components will also allow to compute Love waves, which  
506 jointly with Rayleigh waves can provide the radial anisotropy.

507

508

#### 509 **ACKNOWLEDGMENTS**

510 We acknowledge all institutions providing seismic data used in this research: FCT project  
511 WILAS-West Iberia Lithosphere and Asthenosphere Structure (doi:10.14470/3n7565750319),  
512 Instituto Português do Mar e da Atmosfera (IPMA) Seismic Network, University of Lisbon  
513 Seismic Network and Deep Ocean Test Array (DOCTAR) project (Hannemann et al., 2013, 2016,  
514 2017). WILAS temporary stations and DOCTAR stations were provided by the Geophysical  
515 Instrument Pool Potsdam (GIPP). MS thanks SANIMS (RTI2018-095594-B-I00), Generalitat de  
516 Catalunya (2017SGR1022). This publication is a contribution to different FCT projects SIGHT  
517 (PTDC/CTA-GEF/30264/2017), RESTLESS (PTDC/CTA-GEF/6674/2020), WILAS (PTDC/CTE-

518 GIX/097946/2008), AQUAREL (PTDC/CTE-GIX/116819/2010) and SPIDER (PTDC/GEO-  
519 FIQ/2590/2014). This work is also developed in the framework of FCT UIDB/50029/2020 -  
520 Instituto Dom Luiz. Most figures were plotted using the GMT - Generic Mapping Tools  
521 software (Wessel et al., 2013). Data pre-processing was done using SAC – Seismic Analysis  
522 code (Goldstein and Snoke, 2005).

523

#### 524 **DATA AVAILABILITY**

525 Data from WILAS temporary network (code 8A) are available in GEOFON at  
526 doi:10.14470/3N7565750319. Data from IPMA permanent stations are available in IPMA at  
527 <http://ceida.ipma.pt>. Data from the DOCTAR array (code Y7) are in GEOFON (URL:  
528 <https://geofon.gfz-potsdam.de/waveform/archive/network.php?ncode=Y7&year=2011>)  
529 under restricted access.

530

#### 531 **SUPPORTING INFORMATION**

532 Additional Supporting Information may be found, as supplementary material, in the online  
533 version of this paper:

534 S1. Group velocity measurements

535 S2. Checkerboard Test

536 S3. 2D Inversion of Group Velocities

537 S4. Group Velocity Sensitivity Kernels

538 S5. Characteristic Dispersion Curves at the Different Tectonic Units

539

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