In vitro assessment of oral and respiratory bioaccesibility of trace elements of environmental concern in Greek fly ashes: assessing health risk via ingestion and inhalation

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29	ABSTRACT
30	Fly ash engender significant environmental and human health problems due to enhanced contents of
31	potentially harmful trace elements (TrElems). This study aims to evaluate human exposure to TrElems
32	via a combined ingestion (i.e., oral bioaccessibility) and inhalation (i.e., respiratory bioaccessibility)
33	pathway. Five fly ash samples were collected from power plants operating in the main lignite basins of
34	Greece, while the ingestible ($<250\mu m$) and inhalable ($<10\mu m$) particle size fractions were utilized. The
35	Unified Bioaccessibility Method (UBM) was utilized to assess the oral bioaccessibility, while the
36	respiratory bioaccessible fractions were extracted using the Artificial Lysosomal Fluid (ALF). All
37	studied FAs exhibited significantly higher contents in Ba, Cr, Ni, V and Zn. Cadmium was presented
38	relative enriched in the finer size fraction (<10 μ m), while Ba, Co, Cr, Cu, Mn, Ni and V were depleted.
39	The UBM-extractable concentrations fluctuated greatly among the studied FAs, while notably lower
40	bioaccessible contents were recorded in the gastrointestinal phase. On the other hand, ALF-extractable
41	concentrations were surprisingly higher than the corresponding UBM-extractable ones in the gastric
42	phase. The oral bioaccessibility of the studied TrElems ranged from 12.5 to 100%, while respiratory
43	bioaccessibility presented high values exceeding 45% on average. A significant effect of fly ash type on
44	human bioaccessibility was revealed. Thus, high-Ca FAs exhibited significantly higher bioaccessibility
45	of the studied TrElems via ingestion, while a relatively higher bioaccessibility via inhalation was
46	observed for high-Si FAs. Regarding non-carcinogenic health risk via ingestion and inhalation, Cr and
47	Co exhibited the highest HQ_{ing} and HQ_{inh} values, however there were significantly lower than safe level
48	(HQ < 1). On the contrary, Cr was the dominant contributor to carcinogenic risk with CR values being
49	well above threshold or even tolerable risk levels.
50	Keywords: human bioaccessibility; fly ash; trace element; health risk; cancer risk

51 1. INTRODUCTION

52 Coal-fired power plants are still predominant energy suppliers worldwide trying to fulfill the growing global energy demands (Izquierdo et al. 2013). Fly ash (FA) as the primary by-product 53 54 of coal-based energy supply, present increased production rates which reach 100 Mt in the European Union every year (ECOBA, 2016). Fly ash suitability in a variety of engineering and 55 industrial applications has been proposed through continuous research efforts, however under 56 50% of world's fly ash production is presently utilized (ECOBA, 2016). Thus, large volumes 57 58 of fly ash are still stockpiled, dumped in landfills sites or lagooned around the world causing 59 potential environmental hazards.

60 Fly ash is considered by many researchers (Izquierdo and Querol 2012; Jankowski et al. 2006; Ram et al. 2015; Singh et al. 2011) as extremely contaminating due to enhanced levels of 61 potentially harmful or health-hazardous trace elements (TrElems). The content/distribution of 62 63 TrElems in fly ash depends on several factors such as elements volatilization, the modes of 64 occurrence of TrElems in coal, the coal source(s), the combustion conditions i.e. operation 65 parameters of boilers, the particle size of the ash, and pollution control equipment (Gong et al. 66 2018; Mardon et al., 2008; Mokhtar et al., 2014; Vassilev and Vassileva, 2007). The 67 determination of TrElems in FAs is of great importance in consideration of their hazards and 68 thereby a substantial volume of bibliographic data report on the physicochemical properties of fly ash (Dai et al., 2011; Georgakopoulos et al. 1994; Gong et al. 2018; Kostakis et al. 2009; 69 Kostova et al. 2016; Koukouzas et al. 2006; Medina et al. 2010; Meij and Winkel, 2009; Tian 70 et al. 2013; Vassilev and Vassileva 1996; Vassilev 1994; Zhao et al. 2018). On the other hand, 71 72 the total TrElems contents are not a reliable indicator of the potential environmental hazards providing overestimated predictions of the risk levels. Thus, leaching characteristics of FAs 73 provide a more realistic estimation of TrElems availability and mobility and has attracted 74 substantial attention (Belviso et al. 2015; Flues et al. 2013; Georgakopoulos et al. 2002a, b; 75 Ibrahim 2015; Izquierdo et al. 2011; Jankowski et al. 2006; Nyale et al. 2014; Sandeep et al. 76 77 2016; Yilmaz 2015).

78 On the other hand, human exposure to fly ash particles is a health concern being linked with 79 pulmonary and cardiovascular diseases (Borm 1997; Huang et al. 2006; Sichletidis et al. 2005; 80 Smith et al. 2006). Although particulate matter emissions from coal-fired power plants are well 81 controlled through the operation of electrostatic precipitators, fly ash emissions stay, in a 82 regional-scale, an important proportion of the total particulate exposure for employees in power 83 plants and residents of significantly affected adjacent areas. Moreover, in the absence of specific occupational limit for fly ash emissions, exposure to fly ash particles is not properly 84 regulated. Thereby, accurate calculations of exposure to potentially harmful or health-85 86 hazardous TrElems via ingestion and inhalation of fly ash are required.

87 While the traditional approaches in exposure assessment is based on the determination of intake 88 dose (the amount of a chemical ingested or inhaled by an individual) based on total elemental 89 contents, the trend in currently shifting towards the adsorbed dose which result in more adverse 90 health effects. Thus, information regarding bioaccessible fractions i.e. the fractions soluble in 91 the conditions of the target compartment, i.e., the gastrointestinal tract for oral bioaccessibility (Oomen et al. 2002; Ruby et al. 1996) and respiratory tract for respiratory bioaccessibility 92 93 (Kastury et al. 2017), after coming into contact with simulated biological fluids are crucial in health risk assessment. 94

Several in vitro methods have been developed which mimic the solubilization process during 95 96 digestion and inhalation, however to the best of our knowledge the literature contains only 97 limited data related to TrElems released from various fly ash materials in simulated gastric and lung fluids (Bourliva et al. 2017; Jin et al. 2013; Lokeshappa et al. 2014; Twining et al. 2005). 98 99 For example, Twining et al. (2005) reported that bioaccessibility of metals was ranging between 7 % for Pb to 100 % for Cu in fly ash from coal-fired power plants, as well as fly ash from tire 100 101 and coal co-combustion. Moreover, Lokeshappa et al. (2014) determined the oral bioaccessible fractions of As, Cr, Pb, Se and Zn in Ca-rich and Si-rich fly ashes using a physiologically based 102 extraction test (PBET). As reported, more than 40 % of As was in bioaccessible form, while Se 103 104 was very bioaccessible in Ca-rich fly ashes. Meanwhile, Pb was found to be insignificantly 105 bioaccessible in the studied fly ashes. On the contrary, Jin et al. (2013) focused on As 106 bioaccessibility in simulated gastric and intestinal solutions and reported that 33-61% of the 107 total As was in bioaccessible form. Finally, our previous investigation (Bourliva et al. 2017) investigated oral bioaccessibility of fly ash-derived magnetic components where TrElems 108 exhibited enhanced bioaccessibility varying between 11.3 (for Cr) and 83.6 % (for As) in the 109 fly ash magnetic fractions. Following on from our prior studies and taking into consideration 110 111 that little data is available on the bioaccessibility of TrElems in the particle size-associated fractions of fly ash and on human exposure to TrElems through ingestion (i.e., oral 112 bioaccessibility) and inhalation (i.e., respiratory bioaccessibility) pathways, the specific 113 objectives of this study was to (i) investigate the TrElems distribution in ingestible and 114 inhalable size fractions of fly ashes, (ii) assess the bioaccessibility of selected TrElems via 115 ingestion and inhalation of fly ash, (iii) evaluate the influence of fly ash type on bioaccessibility, 116 117 and (iv) calculate the potential health risk for on-site workers and people living around fly ash 118 disposal sites.

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121

120 2. MATERIALS AND METHODS

2.1. Materials and sample preparation

Sample selection was aiming to cover different FA chemical types. Thus, five fly ash (FA)samples produced in coal-fired power plants operating in the main lignite basins of Greece,

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124 were selected. In particular, three FA samples (AD, KD, AF) were from power plants installed 125 in the Ptolemais-Amynteo lignite basin, while the rest samples (MG1, MG2) originated from 126 power plants operating in the Megalopolis basin. All fly ash samples were composite samples of three different subsamples from each power plant. Sample pretreatment included 127 homogenization, air-drying and grain/particle sizing by dry sieving. The particle sizes utilized 128 were representative of the fraction that could be ingested (size fraction <250um) or inhaled 129 (<10um). Fly ash samples were sealed in plastic zip locks bags, and stored at 4 °C until 130 extraction and analysis. Details of the power plants and the main physicochemical 131 characteristics of the bulk FAs are presented elsewhere (Bourliva et al. 2017). In brief, FAs 132 originating from Kozani-Ptolemais power plants (samples AD and KD) exhibited a large 133 proportion of CaO (>35%) and simultaneously relatively lower SiO₂ (around 20%) contents. 134 Inversely, FAs originating from Megalopolis power plants showed low CaO contents (11.79-135 136 13.56%) in combination with enhanced SiO₂ (>35%) and Fe₂O₃ (almost 10%) contents. In 137 contrast, Amynteo FA (AM) exhibited elevated CaO and SiO₂ contents. In any case, the studied FAs did not meet exactly the Class C and Class F classification requirements and therefore they 138 139 are mentioned as high-Ca (AD, KD and AM) and high-Si (AM, MG1 and MG2) FAs, hereafter.

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2.2. Analytical Methods

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2.2.1. Mineralogical and morphological characterization

Mineralogical composition of bulk FAs was identified by X-ray powder diffraction (XRPD) 142 using a water-cooled Rigaku Ultima+ diffractometer with CuKa radiation, a step size of 0.05° 143 and a step time of 3 s, operating at 40 kV and 30 mA. The size and the morphology of the fly 144 145 ash particles were examined by scanning electron microscopy (SEM) on a JEOL JSM-840A microscope operating at 20 kV connected with an X-ray energy dispersive spectrometer-EDX 146 (INCA 300). SEM observations (randomly selected fields of view) were made on carbon-coated 147 representative portions of each grain size fraction onto a double-sided aluminum tape mounted 148 on a SEM stub. 149

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2.2.2. Elemental analysis

Near-total elemental contents of the examined FA samples were determined, after an aqua regia 151 152 digestion, using inductively coupled plasma mass spectrometry (ICP-MS) at ActLabs 153 Analytical Laboratories Ltd., Canada (for ingested size fraction <250µm) and GeoBioTec 154 Laboratory, University of Aveiro, Portugal (for inhaled size fraction $<10\mu$ m). Quality assurance 155 and quality control (QA/QC) included reagent blanks, analytical replicates, sample duplicates 156 and analyses of in house reference materials. The results of the blanks were always below detection limits. The recovery rates were estimated within ± 10 % of the certified value, and 157 analytical precision (expressed as RSD%) was <10 % for all elements. 158

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2.2.3.In vitro oral and respiratory bioaccessibility

160 The oral and respiratory bioaccessibility determinations of trace elements of environmental 161 concern were carried out in GeoBioTec, University of Aveiro, Portugal. Oral bioaccessibility 162 was determined in the $< 250 \mu$ m fraction, using the Unified Bioaccessibility Method (UBM) validated against an in vivo model (Denys et al. 2012). The UBM protocol, fully described by 163 Wragg et al. (2011), is a two stage in vitro procedure which simulates the leaching of a solid 164 matrix in both the Gastric (G phase) and the GastroIntestinal tract (GI phase) by using synthetic 165 digestive solutions according to physiological transit times. Respiratory bioaccessibility 166 determinations were performed in the inhalable size fraction (<10µm) using an artificial 167 lysosomal fluid (ALF) solution (pH 4.5) which simulates the intracellular conditions in the 168 169 lungs and extracts higher concentrations of metals than other commonly used simulated lung 170 fluids (Pelfrêne et al. 2017). ALF solution is a complex medium with high concentration of organic complexing agents and low pH, which may have contributed to the dissolution of these 171 172 elements. $0.05g (\pm 0.0001)$ of the inhaled size fraction <10µm was weighed into 85 mL polycarbonate centrifuge tubes and 50 mL of the simulated fluid (ratio - 1:1000) added. The 173 samples were shaken at 37 °C on an end-over-end shaker for 24 h and the particles were 174 separated from the solution by centrifugation at 4500g for 15 min. All simulated biological 175 fluids (SBFs), both digestive and lung, were freshly prepared prior extraction. Analyses of oral 176 177 and pulmonary bioaccessible concentrations (mg kg⁻¹) in the extracts were performed by ICP-178 MS. Blanks, duplicate samples and bioaccessibility guidance materials have been obtained with 179 each batch of bioaccessibility extractions for quality control. The blanks always returned 180 outcomes below detection limits, while mean repeatability (expressed as RSD%) for the gastric phase was <5 % for all studied TrElems except of Pb with an uncertaintly of <10%. In the 181 absence of certified reference materials for bioaccessibility determinations, materials providing 182 bioaccessibility guidance values were used for quality control in combination with analytical 183 184 duplicates and blanks. In particular, the UBM-specific certified reference soil BGS102 (an ironstone soil from Lincolnshire, UK), which provides UBM guidance values (Wragg et al. 185 2009, 2011; Hamilton et al. 2015) along with the standard reference material BCR-723 which 186 respiratory bioaccessibility values are reported (Pelfrêne et al. 2017), were used in order to 187 validate the uncertainty of the extraction protocols. For BGS102 recovery rates were ranging 188 between 100.8% (Cd) and 118.6% (Cu) for gastric phase, while a range of 72.2% (Cd) - 117.5% 189 (Ni) was found for gastrointestinal phase. For BCR723 the recovery rates were ranging between 190 70.9% (Cd) and 184.3% (Ni). 191

192 2.3. Statistical analysis

193 Relationships between bioaccessible TrElems and FA type were investigated via statistical 194 analysis including principal component analysis (PCA) and Pearson's correlation. PCA with a 195 VARIMAX rotation was applied for interpretation of the principal components (PCs) and 196 factors with eigenvalues greater than unity were retained in the analysis. Moreover, Pearson's

correlation was additionally adapted to evaluate relationships between the two characteristics
(chemical composition and bioaccessibility) in order to support the interpretation of PCA
results. Moreover, analysis of variance (ANOVA) was used to identify significant differences
among different variables. All statistical determinations were conducted by means of SPSS v.25
software (IBM Corporation, Armonk, NY).

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203 2.4. Health risk assessment

2.4.1.Exposure assessment

In order to evaluate the potential health risk, mainly for onsite workers, through the exposure to fly ash particles the models developed by the United States Environmental Protection Agency (<u>U.S.E.P.A., 2002</u>) were employed. Specifically, the non-carcinogenic and carcinogenic health risk via ingestion and inhalation was estimated for several TrElems of environmental concern i.e. Cd, Co, Cr, Cu, Ni, Pb and Zn. For the exposure assessment model, Chronic Daily Intake via incidental ingestion (CDI_{ing} , mg kg⁻¹ d⁻¹) and direct inhalation (CDI_{inh} , mg kg⁻¹ d⁻¹) of fly ash particles were calculated according to the following formulas:

212

3
$$CDI_{ing} = \frac{C_{(Gastric)} \times IngR \times ED \times EF}{BW \times AT} \times 10^{-6}$$
 (1)

214

215
$$CDI_{inh} = \frac{C_{(Pulmonary)} \times InhR \times ET \times ED \times EF}{PEF \times BW \times AT \times 24}$$
 (2)

216

where $C_{(Gastric)}$ and $C_{(Pulmonary)}$ are the bioaccessible concentrations (mg kg⁻¹) in simulated 217 218 gastric (G phase) and lung fluids, respectively; IngR is the ingestion rate (mg day⁻¹); InhR is the inhalation rate (m³ day⁻¹); ET is the exposure time (h day⁻¹,); EF is the exposure 219 frequency (days year -1,); ED is the exposure duration (years); BW is the average body weight 220 (kg); AT is the averaging time (days); PEF is the particle emission factor (m³ kg⁻¹). Exposure 221 222 data were adapted from US Environmental Protection Agency guidelines (U.S.E.P.A. 2014), however regional or individual differences may introduce some uncertainties in the procedure. 223 The adopted parameter values are given in Table S1 (Supplementary Material). 224

225

2.4.2.Risk characterization

The Hazard Quotient (HQ) and the Cancer Risk (CR) were quantified for non-carcinogenic and carcinogenic health risks, respectively. Non-carcinigenic health risk via ingestion (HQ_{ing}) and inhalation (HQ_{inh}) were calculated by the following formulas (<u>U.S.E.P.A., 2007</u>)

$$HQ_{ing} = \frac{CDI_{ing}}{RfD_o}$$

$$HQ_{inh} = \frac{CDI_{inh}}{RfC_i}$$

where R_{fD_o} is the oral and inhalation reference dose (mg kg⁻¹ d⁻¹) and R_{fC_i} is the inhalation reference concentrations (earlier terminology was "inhalation reference dose-RfD", converted to mg kg⁻¹ d⁻¹), which correspond to the maximum allowable daily oral and inhalation dose that is not likely to cause any deleterious effects on human health. HQ < 1 indicates no adverse health effects, while HQ > 1 indicates likely adverse health effects (Man et al., 2010; Bourliva et al. 2017b).

On the other hand, the CR posed by the elements was obtained for both ingestion (CR_{ing}) and
inhalation (CR_{inh}) using the following formulas:

$$239 \qquad CR_{ing} = CDI_{ing} \times SF_o$$

$$240 \qquad CR_{inh} = CDI_{inh} \times IUR$$

where SF_o is the oral slope factor (mg kg⁻¹ day⁻¹) and *IUR* is the inhalation unit risk (µg m⁻³) respectively. For the regulatory purposes, a CR value below 1×10^{-6} was considered acceptable (Li et al., 2017; Megido et al., 2017). The *RfD_o*, *RfC_i*, *SF_o*, and *IUR* values for each element were found in the screening level (RSL) tables provided by USEPA (<u>USEPA</u>, 2016).

245 3. RESULTS AND DISCUSSION

246 3.1. Mineralogy and microstructural characteristics of FAs

247 The mineralogical composition of the studied FAs is given in Table S2 (Supplementary Material), while the XRD patterns are illustrated in Fig.1. As shown, the sampled FAs included 248 both amorphous and crystalline mineral phases. The broad humps observed in the baseline 249 250 between 10 and 40° in the XRD patterns of the FAs (Fig.1) verify the presence of an amorphous 251 glassy phase. The amorphous matrix was not calculated however the broader humps shaped in the high-Si Megalopolis FAs indicated higher amorphous contents compared to the high-Ca 252 FAs. A range of 20-50% for the amorphous phases in the Greek FAs was reported from previous 253 researchers (Koukouzas et al. 2006). The crystalline fraction consists mainly of quartz and a 254 variety of Ca-bearing mineral phases, while minor amounts of hematite, maghemite, K-255 256 feldspars, plagioclase and clays were detected. Notable differences were observed among the 257 studied FAs. Specifically, the high-Ca FAs were dominated by lime (CaO, range of 24-32%), 258 while the high-Si Megalopolis FAs (samples MG1 and MG2) were mainly consisted of quartz 259 (33-34%) but with substantially lower contents of Ca-bearing species (i.e. lime and calcite) and 260 the abundance of hematite and maghemite due to higher Fe contents in the FAs. Insignificant 261 differences were found among the studied FAs regarding the presence of secondary Ca-bearing phases i.e. Ca-sulphates (i.e. anhydrite) and Ca-hydrated silicates such as gehlenite with both 262 263 being detected in high amounts in all FAs.

264 Figure 1

265 SEM observations on both separated size fractions (<250µm and <10µm) of the studied FAs 266 were performed and different types of fly ash particles were revealed to dominate the sampled FAs as shown in Figure 2. The same magnification (x500) was used in all images for 267 comparison reasons. Regarding the ingestible fraction (<250µm) notable differences in the 268 morphological properties among different FA samples were observed. Sample KD is composed 269 270 mainly of irregular shaped Si-rich particles and angular Ca-rich agglomerates in a wide range of sizes (Fig.2). Moreover, traces of spherical shaped particles with high Si contents and low 271 Ca, Mg, K and Fe contents were detected by the EDS (Fig.2). Furthermore, irregular shaped 272 273 agglomerates with high Ca and S contents were detected being probably anhydrite determined by XRD. On the other hand, the structural characteristics of AM and MG1 samples were notably 274 different from KD sample exhibiting multiplicity of spherical particles. Specifically, sample 275 AM presented Si-rich and Ca-rich spherules, while amorphous aluminosilicate glass spheres 276 277 and Ca-Al-silicate spherical shaped particles were also detected by EDS. Conversely, although 278 the morphological characteristics of sample MG1 look alike with those of sample AM, more well structured, individual spherules and of higher particles sizes were observed (Fig.2). In 279 particular, Si-rich spherules with lower contents of Ca, Al, Mg and Fe were detected. Moreover, 280 281 a large amount of Fe-rich spherules were revealed verifying the high Fe-contents in the FAs 282 originating from Megalopolis power plants. As regards the inhalable fraction ($<10\mu$ m), sample 283 KD was dominated by formless Ca-rich agglomerates (Fig.2). Unlike, sample AM presented spherical shaped agglomerates with elevated Ca contents and amorphous Ca-rich spheres. 284 Moreover, needle anhydrite crystals were detected on the surface of Ca-rich agglomerates and 285 spheres. On the contrary, sample MG1 was dominated by well structured, distinct spherules 286 (Fig.2). Specifically, aluminosilicate glass spheres and ferrospheres were detected, while needle 287 288 anhydrite crystals were also present.

289 **Figure 2**

290 3.2.

3.2. Concentration of TrElems in FAs

The near total concentration of TrElems in the different particle sizes ($<250\mu m$ and $<10\mu m$) of 291 the studied FAs are given in Table 1. As shown, TrElems presented mean concentration values 292 following a decreasing order as: Ba > Ni > V > Cr > Zn > Cu > Mo > Pb > Co > Sn > Be > Sb293 > Cd in the <250µm size fraction, while in the finer fraction (<10µm) the order was modified 294 as Zn > Ba > Ni > V > Cr > Cu > Pb > Co > Cd > Be. Generally, in both studied size fractions, 295 all samples presented significantly higher concentrations in a number of trace elements, most 296 297 notably Ba, Cr, Ni, V and Zn. Specifically, the aforementioned elements exhibited mean 298 concentrations of 368.4 mg kg⁻¹, 182.6 mg kg⁻¹, 206.2 mg kg⁻¹, 192.2 mg kg⁻¹, 116.6 mg kg⁻¹, 299 respectively in the $<250 \mu m$ size fraction and 232.1 mg kg⁻¹, 136.6 mg kg⁻¹, 173.1 mg kg⁻¹, 167.9

300 mg kg⁻¹, and 245.1 mg kg⁻¹, respectively in the finer fraction ($<10\mu$ m). In contrast, the contents 301 of Be, Cd and Sb were the lowest presenting mean concentrations <3 mg kg⁻¹.

302 Table 1

303 In order to evaluate the effect of particle size on elemental contents and to define the 304 enrichment/depletion in the finer size fraction of the studied FAs, the Relative Enrichment 305 Factor (REF) was used (Gong et al. 2018; Zhou et al. 2015). REF, defined as the content of 306 trace elements in finer fractions (< 10 μ m) compared to that in coarser fractions < 250 μ m was 307 calculated as follows:

308
$$REF = \frac{C_{i,<10\,\mu m}}{C_{i,<250\,\mu m}}$$

where, C_i is the concentration of element *i* in the fine (<10µm) and the coarse (<250µm) size 309 310 fraction and the results are illustrated in Figure S1 (Supplementary Material). As shown, Cd exhibited the highest REFs with ranges of 0.92-4.40, respectively, indicating a relative 311 enrichment in the finer fraction as previously reported by several researchers (Gong et al. 2018; 312 Tang et al. 2013; Zhou et al. 2015). On the other hand, REFs for elements such as Ba, Co, Cr, 313 314 Cu, Mn, Ni and V were lower or approximate to 1, suggesting a relative depletion in the finer fraction. Furthermore, noteworthy were the differences of REFs among studied FAs with low-315 316 Ca FAs (samples MG1 and MG2) samples presenting higher values, most notably for Cd, Pb and Zn. Specifically, REFs for Pb and Zn were approximate to or exceeding 1.5 for low-Ca 317 318 FAs from Megalopolis power plants (samples MG1 and MG2), in contrast with the 319 corresponding values of high-Ca FAs from Ptolemais-Amynteo power plants (AD, KD and AF) 320 ranging between 0.42 and 0.69 for Pb and between 0.52 and 0.82 for Zn. As the content of Fe was significantly higher in the Megalopolis samples (range of 7.2-7.8%) in the finer fraction 321 322 (<10µm), it could be supposed that the statistically significant REFs values in Megalopolis FAs 323 are probably relative to Fe in the fine particles. Besides, trace elements are easily bonded to Fe 324 oxides in fly ash (Zhou et al. 2015).

By comparing the obtained values with the reported average values for European fly ashes 325 (Moreno et al. 2005) and also with values reported for different FAs worldwide (Silva et al. 326 2012; Vassilev et al. 2003; Yang et al. 2014), the studied FA samples were presented relatively 327 enriched in Cr and Ni, while noteworthy enrichment in V and Zn was observed in Megalopolis 328 329 FAs (Table 1). On the other hand, Mo in Megalopolis FAs was observed with levels more than 330 10 times greater than the respective average values recorded for the European FAs. In particular, elevated Mo concentrations of 201 mg kg⁻¹ and 123 mg kg⁻¹ were recorded for 331 samples MG1 and MG2, respectively, in contrast to a range of 5-22 mg kg⁻¹ reported by Moreno 332 333 et al. (2005). On the contrary, the studied FAs were depleted in a number of elements of environmental concern such as Pb and Sb. In particular, Pb exhibited a diminished range of 334

335 concentrations of 22.1-51.4 mg kg⁻¹ compared to the corresponding ones reported for European

336 FAs (80 mg kg⁻¹, Moreno et al. 2005) and Chinese FAs (69.7 mg kg⁻¹, Yang et al. 2014).

337

343

338 3.3. Oral and respiratory bioaccesibility

The bioaccessible concentrations (mg kg⁻¹) of the studied TrElems in the studied FAs are presented in Table S3 (Supplementary material), while bioaccessible fractions (BAF %) are illustrated in Figure 3.

342 Figure 3

3.3.1.Bioaccessibility in gastrointestinal tract

The average UBM-extractable contents of the studied TrElems in the gastric phase (G-phase) 344 exhibited a decreasing order as follows: Cr $(61.07\pm38.86 \text{ mg kg}^{-1}) > \text{Ni} (51\pm24.64) > \text{Zn}$ 345 $(39.51\pm11.62) > Cu (26.04\pm6.34) > Pb (9.91\pm2.12) > Co (6.47\pm1.51) > Cd. (0.61\pm0.26).$ 346 Notably lower bioaccessible contents were recorded in the gastrointestinal phase (GI-phase) 347 probably due to lower solubility under higher pH conditions (pH=6.3) with those of Cr, Cu, Pb 348 and Zn being statistically significant (p < 0.01, ANOVA). Besides, the pH dependency of 349 bioaccessibility has been previously reported (Reis et al. 2013). Specifically, the mean 350 351 bioaccessible contents in the GI-phase were 0.38±0.19 for Cd, 5.47±1.45 for Co, 6.33±7.80 for 352 Cr, 12.26±7.35 for Cu, 51.17±27.27 for Ni, 0.21±0.14 for Pb and 8.47±3.04 for Zn showing a 353 decreasing order of Ni > Zn > Cu > Cr > Co > Cd > Pb. The observed pattern of constantly 354 lower bioaccessibility contents (mg kg⁻¹) in the GI phase has been previously reported in a number of studies (Zia et al., 2011; Reis et al., 2013; Patinha et al. 2015; Bourliva et al. 2017; 355 356 Pelfrêne and Douay 2018).

357 When expressing UBM-extractable contents as a percentage with respect to the total elemental contents in FAs (Fig.3), a particularly different trend is presented indicating that the highest 358 359 determined bioaccessible fractions do not match to the highest bioaccessible contents. The 360 bioaccessible fractions (BAF%) of the studied TrElems ranged from 12.5 to 100% indicating 361 that some elements are highly bioaccessible, while others not. Specifically, the mean BAF% 362 exhibited a decreasing order of Cd > Zn > Cu > Cr > Pb > Co > Ni in the gastric phase, while it was formulated as follows: Cd > Ni > Co > Cu > Zn > Cr > Pb in the GI-phase. In any case, 363 the BAF% of all studied elements, fluctuated greatly among the studied samples. Specifically, 364 BAF% exhibited ranges of 48.3-100% for Cd, 14.9-36.3% for Co, 13.7-80.3% for Cr, 21.6-365 46.1% for Cu, 12.5-37.5% for Ni, 25.4-41.5% for Pb and 24.7-50% for Zn in the G phase and 366 367 31.5-55.7% for Cd, 11.7-32% for Co, 0.8-8% for Cr, 3.6-30.2 % for Cu, 10.3-39.4% for Ni, 368 0.3-0.9% for Pb, and 5.8-11.3% for Zn in the GI-phase. 369 Moreover, correlations among bioaccessible concentrations (mg kg⁻¹) / fractions (%) and total

370 concentrations were searched in the studied FA samples. There were no important correlations

- between total and bioaccessible concentrations (G phase) of the studied TrElems (data not shown) except for Zn ($R^2= 0.975$, p < 0.01), suggesting that total concentrations of TrElems could not be a good indicator of oral bioaccessibility. On the contrary, significant correlations appeared in GI phase for Cr ($R^2= 0.908$, p < 0.05), Pb ($R^2= 0.942$, p < 0.05) and Zn ($R^2= 0.961$, p < 0.01). Similarly, no significant correlations were recorded (data not shown) among total contents and bioaccessible fractions (%), except for Co which exhibited a negative strong correlation in both G ($R^2= -0.892$, p < 0.05) and GI ($R^2= -0.951$, p < 0.05) phase.
- 378

3.3.2. Bioaccessibility in respiratory tract

- In the present study, respiratory bioaccessibility of TrElems was measured in the sampled FAs 379 380 using ALF as a simulated lung fluid. The respiratory bioaccessible concentrations exhibited ranges of 0.31-2.20 mg kg⁻¹ for Cd, 42.67-83.36 mg kg⁻¹ for Cr, 4.40-9.13 mg kg⁻¹ for Co, 20-381 60.86 mg kg⁻¹ for Cu, 41.31-85.65 mg kg⁻¹ for Ni, 8.50-34.06 mg kg⁻¹ for Pb and 29.37-127.09 382 mg kg⁻¹ for Zn. The average ALF-extractable contents presented a descending order of Zn 383 $(86.46 \text{ mg kg}^{-1}) > \text{Ni} (71.90 \text{ mg kg}^{-1}) > \text{Cr} (63.64 \text{ mg kg}^{-1}) > \text{Cu} (38.43 \text{ mg kg}^{-1}) > \text{Pb} (19.47)$ 384 385 mg kg⁻¹) > Co (6.86 mg kg⁻¹) > Cd (1.23 mg kg⁻¹). The ALF-extractable concentrations were surprisingly higher than the corresponding UBM-extractable ones in the gastric phase for most 386 387 TrElems (except Cr and Co) indicating the aggressiveness of the selected simulated lung fluid 388 (Wiseman and Zereini 2014; Pelfrêne et al. 2017; Potgieter-Vermaak et al. 2012).
- 389 The values of the respiratory bioaccessible fractions (%) of TrElems were also expressed as a 390 percentage of the total contents (Fig.3). All elements exhibited a resembling trend where their 391 solubility in the ALF lung fluid was significantly high recording values >45% on average. In particular, the average respiratory bioaccesibility presented a decreasing order as follows: Cu 392 $(76.8\pm21.9\%) > Pb (68.5\pm23.8\%) > Cd (63.5\pm16.6\%) > Zn (60.4\pm29.6\%) > Cr (56.9\pm24.6\%)$ 393 > Co $(46.8\pm15.9\%)$ > Ni $(45.9\pm14.5\%)$. Moreover, the ratio of bioaccessible to total contents 394 395 ranged from 44.4 to 79.8% for Cd; 28.9 to 77.3% for Cr; 27.9 to 62.4 for Co; 47.5 to 96.1% for Cu; 28.6 to 61.3% for Ni; 42.2 to 91.5% for Pb and; 28.1 to 90.8% for Zn exhibiting a 396 substantial variability among FA samples suggesting that the solubility in the applied lung fluid 397 (ALF) was influenced by the heterogenic composition of the fly ash particles and also on the 398 chemical status of the elements. 399
- Finally, in contrast to oral bioaccessibility, a significant (p<0.01) correlation among total and
 ALF-extractable concentrations (data not shown) was noticed for all TrElems (except Cr and
 Ni) indicating the strong control of the total contents on the extractable contents in the lung. On
 the other hand, no correlation was observed among total contents and respiratory bioaccessible
 fractions.
- 405 3.3.3.Effect of fly ash type on bioaccessibility
- 406 As aforementioned, the bioaccessibility fluctuated greatly among the studied FAs. In order to 407 elucidate possible relations between bioaccessibility and fly ash type, principal component

analysis (PCA) was performed and the results are given in Figure 4 and Table S4
(Supplementary Material). The datasheet used to PCA included the oral (G phase) and
respiratory BAF% values of all TrElems under study in all sampled FAs along with the major
oxides i.e. SiO₂, Al₂O₃, CaO, Fe₂O₃, which proportions and sums could classify FAs into
different chemical types.

413 Figure 4

The PCA results revealed two principal components (PCs) explaining almost 95% of the total 414 variance (Fig.4 and Table S4, Supplementary Material). The results revealed that the oral 415 bioaccessibility cluster composed of Cr, Co, Cu, Ni, Pb, Zn and to a lesser extent Cd UBM-416 extractable fractions (BAF%, G phase) were associated with total Ca contents. In that case, as 417 illustrated in Figure 5, the gastric extractable fractions of all TrElems in the high-Ca FAs i.e. 418 samples presenting CaO contents above 30% (samples AD, KD and AM), were notably higher 419 420 (with those of Cu, Ni, Pb and Zn being statistically significant, p < 0.01) compared to the corresponding ones in FAs with low CaO contents (below 15%, samples MG1 and MG2). Thus, 421 422 the studied TrElems were presented relatively more bioaccessible in the high-Ca FAs, while the low-Ca FAs presented notably lower BAF values (detailed data in Table S3, Supplementary 423 424 material). Specifically, Cr was highly to moderately bioaccessible (30.2-80.3) in the high-Ca 425 FAs (samples AD, KD, AM), while it was almost not available to humans (13.7-15.5%) in the 426 FAs with low Ca contents from Megalopolis (samples MG1 and MG2). Likewise, Ni was 427 moderately bioaccessible (32.5-37.5 %) in the high-Ca FAs, while it was almost not available (12.5%) in the Megalopolis FAs. Exception was the case of Cd, where besides the notable 428 differences among the different studied FAs types, it was presented highly bioaccessible (48-429 100%) in all FAs. Lokeshappa et al. (2014) also reported significant differences in oral 430 bioaccessibility among fly ash types. In particular, Pb was reported as highly bioaccessible in 431 432 the Ca-rich FAs, while in the case of Si-rich FAs it was almost unavailable (5-6% in bioaccessible form) in the humans. On the contrary, for the Ca-rich ashes, the bioaccessible 433 fractions of Cr were much lower (10-20 %) than the Si-rich ashes (43-59 %), but the different 434 analytical protocol should be noted. 435

On the other hand, respiratory bioaccessibility cluster i.e. all TrElems ALF-extractable fractions 436 437 (%), was associated with total Si contents and to a lesser extent with Fe and Al. Thus, high-Si 438 FAs (SiO₂>30%, samples AM, MG1 and MG2) presented significantly (p < 0.01, ANOVA) higher ALF-extractable fractions in all studied TrElems compared to low-Si FAs (samples AD 439 and KD) (Fig.5). Therefore, the average ALF-extractable fractions of the studied TrElems 440 441 exhibited a decreasing order of Cu (92.2%) > Pb (85.2%) > Zn (80.4%) > Cd (75.4%) > Cr (74.8) > Co (58.1%) > Ni (55.8%) in the high-Si FAs and modulated as Cu (53.7%) > Cd442 443 (45.7%) > Pb (43.5%) > Ni (31.1%) > Zn (30.3%) > Cr (30.1%) > Co (29.7%) in the low-Si 444 FAs. In that case, for example Cu, is presented highly bioaccessible (85.4-96.1%) in the high-

445 Si FAs, while is moderately bioaccessible (47.5-59.9%) in the low-Si FAs. On the contrary, Cr 446 is highly bioaccessible (72.3-77.3%) in the high-Si FAs compared to the substantially lower 447 BAF values (28.9-31.2%) in the low-Si FAs. The PCA results were further supported with Pearson's correlation coefficients as shown in 448 Table 2. The total Ca contents were significantly correlated with the UBM-extractable fractions 449 of Co (r=0.901, p<0.05), Cu (r=0.933, p<0.05), Ni (r=0.901, p<0.05), Pb (r=0.988, p<0.01) and 450 Zn (r=0.887, p<0.05). On the contrary, significant correlations were revealed between ALF-451 extractable fractions of Cd (r=0.896, p<0.05), Cr (r=0.942, p<0.05), Co (r=0.905, p<0.05), and 452 Cu (r=0.889, p<0.05) and total Si contents. In addition, significant negative correlations were 453 454 observed between the UBM-extractable fractions of the studied TrElems and the total Al and 455 Fe contents.

456 Table 2

457 The obtained results indicated that the mineralogy of FAs along with differences in simulated 458 biological fluids formulation and pH values could control the bioaccessibility of the studied 459 TrElems. Specifically, the acidic solutions used in the gastric phase of the UBM protocol would partially to completely dissolve the soluble and reactive carbonates resulting in higher 460 bioaccessibilities of the studied TrElems in the high-Ca FAs. On the contrary, the more 461 462 resistant, acid stable and relatively insoluble aluminosilicates presented in the low-Ca FAs 463 resulted in a large proportion of the studied TrElems being in a non bioaccessible form. Besides, 464 as observed by several authors (Desboeufs et al. 2005; Schaider et al. 2007; Kastury et al. 2017; Keshavarzifard et al. 2019), an aluminosilicate matrix could lead to low metal dissolution, 465 compared to a carbonaceous matrix which result in high dissolution. On the other hand, the 466 complexity of ALF solution (among other simulate lung fluids) have probably resulted in higher 467 bioaccessibilities. Moreover, in acidic conditions, the presence of chlorides in its formula could 468 469 lead in the formation of metal-chloride complexes which are readily solubilized (Colombo et al. 2008). Furthermore, the complex formula of ALF containing components that may act as 470 complexing agents could promote the metal release/dissolution. Specifically, the formation of 471 weak organic complexes (in the presence of ligands i.e. citrate) with silanol groups at the 472 surface could be the most plausible explanation for enhanced respiratory bioaccessibilities in 473 high-Si FAs (Herting et al. 2014). On the contrary, the elevated contents of carbonates in the 474 475 low-Si FAs could favor the formation of insoluble complexes.

476

3.4. Health risk assessment of TrElems in fly ashes 477

478 The non-carcinogenic and carcinogenic risk of multiple TrElems of environmental concern in 479 the studied FAs was evaluated for onsite workers and the obtained HQs and CRs values via 480 ingestion and inhalation are presented in Table 3. With reference to ingestion exposure 481 pathway, Cr and Co presented the highest HQing values among the studied TrElems, ranging

from 8.3×10^{-3} to 4.0×10^{-2} (mean 2.0×10^{-2}) and from 1.7×10^{-2} to 2.9×10^{-2} (mean 482 2.1×10^{-2}), respectively. On the contrary, Zn exhibited the lowest HQ_{ing} values varying 483 between 9.7×10^{-5} and 1.9×10^{-4} (mean 1.3×10^{-4}). The contribution of elements to the 484 cumulative health risk decreased in the following order Cr > Co > Ni > Pb > Cd > Cu > Zn for 485 486 sample AD, Cr > Co > Ni > Pb > Cd > Cu > Zn for sample KD, Co > Cr > Pb > Ni > Cu > Cd> Zn for sample AM, Co > Cr > Pb > Ni > Cd > Cu > Zn for sample MG1 and Co > Cr > Pb >487 Ni > Cu > Cu > Cd > Zn for sample MG2. The cumulative health risk via ingestion indicated 488 no significant differences among the studied FAs (range of 2.98×10^{-2} (MG2)- 6.73×10^{-2} 489

- 490 (AD), however slight lower values were observed for Megalopolis FAs.
- 491 **Table 3**

492 Regarding inhalation route, the obtained HQ_{inh} values of all evaluated TrElems were 493 significantly lower (p < 0.01, one-way ANOVA) than the corresponding ones via ingestion 494 (HQ_{ing}). Among the evaluated TrElems, Co, Cr, and Ni exhibited notably higher HQ_{inh} values exhibiting ranges of $2.8 \times 10^{-4} - 4.9 \times 10^{-4}$ (mean 3.5×10^{-4}), $1.4 \times 10^{-4} - 2.7 \times 10^{-4}$ (mean 495 2.1×10^{-4}), and $1.5 \times 10^{-4} - 3.1 \times 10^{-4}$ (mean 2.6×10^{-4}), respectively. The observed 496 497 contribution of the evaluated TrElems to the cumulative health risk presented a descending 498 order of Co > Ni > Cr > Pb > Cd > Cu > Zn for all FA samples except sample AM for which the order is modulated as follows: Co > Ni > Cr > Pb > Cu > Cd > Zn. The cumulative health 499 risk via inhalation was significantly lower than the corresponding one via ingestion presenting 500 a range of 7.71×10^{-4} (MG1)- 9.15×10^{-4} (AD) among the studied FA samples. In any case, in 501 both exposure pathways the obtained HQs of all TEs were significantly lower than safe level 502 503 (HQ < 1) indicating a negative non-carcinogenic health effect by the studied TrElems via both 504 ingestion and inhalation.

- 505 On the other hand, the carcinogenic risk for Cr via ingestion and Cd, Co, Cr and Ni via 506 inhalation was estimated (Tab.3) and all obtained CRs were greater than the threshold value (10⁻⁶). However, CR values for Cd, with a range of $2.26 \times 10^{-6} - 1.60 \times 10^{-5}$, were of an 507 acceptable or tolerable risk $(10^{-6} - 10^{-4})$, while CRs for Ni were slight above the tolerable value 508 (range $1.37 \times 10^{-4} - 2.85 \times 10^{-4}$). On the contrary, Cr was the dominant contributor to 509 cumulative carcinogenic risk with CR values ranging between 4.17×10^{-3} and 1.98×10^{-2} via 510 ingestion and between 4.13×10^{-2} and 8.06×10^{-2} via inhalation, well above threshold or even 511 512 tolerable risk values suggesting a cancinogenic risk for onsite workers.
- 513

514 4. CONCLUSIONS

Fly ash is the main by-product of coal-combustion with large volumes being stockpiled,disposed of or lagooned. The enhanced contents of potentially hazardous trace elements in fly

ash still attract the global scientific concern and arise significant environmental and human 517 518 health problems. The aim of the present study was to evaluate human exposure to TrElems in 519 fly ash through ingestion (i.e., oral bioaccessibility) and inhalation (i.e., respiratory bioaccessibility) pathway. Different fly ash chemical types were collected from power plants 520 operating in the main lignite basins of Greece, while the ingestible ($<250\mu m$) and inhalable 521 (<10um) particle size fractions were utilized for further analysis. The Unified Bioaccessibility 522 Method (UBM) was utilized to assess the oral bioaccessibility, while the respiratory 523 bioaccessible fractions were extracted using the Artificial Lysosomal Fluid (ALF). The average 524 TrElems contents exhibited a decreasing order of Ba > Ni > V. Cr > Zn > Cu > Mo > Pb > Co525 > Sn > Be > Sb > Cd in the <250 µm size fraction and Zn > Ba > Ni > V > Cr > Cu > Pb > Co 526 > Cd > Be in the finer fraction (<10 μ m). A relative enrichment of Cd was observed in the 527 inhalable size fraction (<10µm) compared to the ingestible one, while Ba, Co, Cr, Cu, Mn, Ni 528 and V were relatively depleted. No significant correlations were detected among total and 529 UBM-extractable contents with the later exhibiting a decreasing order of Cr > Ni > Zn > Cu >530 531 Pb > Co > Cd in the G-phase and Ni > Zn > Cu > Cr > Co > Cd > Pb in the GI-phase. On the contrary, a strong control of total TrElems contents on the ALF-extractable concentrations was 532 533 revealed with the respiratory bioaccessible concentrations being notably higher than the oral 534 bioaccessible concentrations. Regarding the bioaccessible fractions, oral bioaccessibility of the 535 studied TrElems ranged from 12.5 to 100%, while respiratory bioaccessibility presented high 536 values exceeding 45% on average. In detail, average values of oral bioaccessibility decreased 537 as: Cd > Zn > Cu > Cr > Pb > Co > Ni in the G-phase and as: Cd > Ni > Co > Cu > Zn > Cr >Pb in the GI-phase, while it was formulated as Cu > Pb > Cd > Zn > Cr > Co > Ni for respiratory 538 bioaccessibility. A significant effect of fly ash type on human bioaccessibility was revealed. 539 Specifically, UBM-extractable fractions exhibited a significant correlation with total Ca 540 541 contents, while ALF extractable factions were highly associated with total Si contents. Thus, high-Ca FAs exhibited significantly higher bioaccessibility of the studied TrElems via 542 ingestion, while a relatively higher bioaccessibility via inhalation was observed for high-Si 543 FAs. Regarding non-carcinogenic health risk via ingestion and inhalation, Cr and Co exhibited 544 the highest HQ_{ing} and HQ_{inb} values, however there were significantly lower than safe level (HQ 545 < 1). On the contrary, Cr was the primary contributor to carcinogenic risk with CR values being 546 547 up to 800 times above the threshold risk levels (1×10^{-4}) . Despite the fact that in our study the associated cancer risk was evaluated based on the bioaccessible concentrations (in contrast to 548 549 traditional approaches) reducing the probabilities of underestimating the health risk, 550 epidemiological and toxicological studies remain necessary in order to draw clear and accurate conclusions. 551

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- 763 Figure Captions
- Figure 1. XRD patterns of the studied fly ash (bulk fraction). The most intense
- reflections of respective minerals are labeled. A: Anhydrite, L: Lime, C: Calcite, Q:

766	Quartz, G: Gehlenite, Mg: Maghemite, Ht: hematite, Kf: K-Feldspars, Pl: Plagioclase,
767	Cl: Clays
768	
769	Figure 2. SEM secondary electron images of ingestible (up) and inhalable (down) of
770	the Greek fly ash samples.
771	
772	Figure 3. Oral (gastric-G and gastrointestinal-GI phases) and respiratory
773	bioaccessibility (BAF %) of selected trace elements (TrElems) in the Greek fly ashes.
774	
775	Figure 4. Loading plot of PCA analysis carried out for oral (G phase) and respiratory
776	(L) BAF% values of all TrElems along with major oxides of the Greek fly ashes.
777	
778	Figure 5. Effect of CaO and SiO ₂ content in Greek FAs on the obtained oral and
779	respiratory bioaccessibility of the studied TrElems.
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782 Highlights

- 1. A relative enrichment of Cd on finer fraction was observed.
- 784 2. Oral bioaccessibility ranged from 12.5 to 100%.
- 785 3. Respiratory bioaccessibility was >45% on average.
- 786 4. An effect of fly ash chemical type on human bioaccessibility was revealed.
- 787 5. Cr was the dominant contributor to cumulative carcinogenic risk.

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