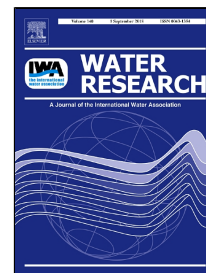


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Identification of microplastics using Raman spectroscopy: latest developments and future prospects



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# 1 Identification of microplastics using Raman 2 spectroscopy: latest developments and future prospects

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6

## 7 Abstract

8

9 Widespread microplastic pollution is raising growing concerns as to its  
10 detrimental effects upon living organisms. A realistic risk assessment must stand on  
11 representative data on the abundance, size distribution and chemical composition of  
12 microplastics. Raman microscopy is an indispensable tool for the analysis of very small  
13 microplastics (< 20  $\mu\text{m}$ ). Still, its use is far from widespread, in part due to drawbacks  
14 such as long measurement time and proneness to spectral distortion induced by  
15 fluorescence. This review discusses each drawback followed by a showcase of  
16 interesting and easily available solutions that contribute to faster and better  
17 identification of microplastics using Raman spectroscopy. Among discussed topics are:  
18 enhanced signal quality with better detectors and spectrum processing; automated  
19 particle selection for faster Raman mapping; comprehensive reference libraries for  
20 successful spectral matching. A last section introduces non-conventional Raman  
21 techniques (non-linear Raman, hyperspectral imaging, standoff Raman) which permit  
22 more advanced applications such as real-time Raman detection and imaging of  
23 microplastics.

24

25 **Keywords**

26 Small microplastics; Automation; Fluorescent tagging; Library  
27 matching; Real-time analysis; Stimulated Raman Scattering;

28

29

30 **1. Introduction**

31

32 The advent of synthetic plastics ushered in a golden era of unprecedented  
33 technological advancement and improved levels of material comfort, perfectly summed  
34 in the classic DuPont slogan “Better Living Through Chemistry”. Yet, this euphoric  
35 period of unbridled techno-optimism inevitably abated in tandem with growing  
36 concerns regarding the harmful impacts of plastic waste, among them the plight of  
37 widespread microplastic pollution in the biosphere. According to a recent estimate there  
38 are currently more than 5 trillion plastic particles floating at sea, totalling circa 270 000  
39 tonnes (Eriksen et al., 2014). As plastic degradation proceeds and each particle  
40 fragments into ever smaller pieces, the total number of particles gallops upwards in an  
41 exponential fashion – and so do the risks they pose to animal and human life (Avio et  
42 al., 2017; Karami et al., 2016; Ogonowski et al., 2018; Revel et al., 2018; Wright and  
43 Kelly, 2017).

44 Microplastics (MPs), defined as plastic particles ranging from 1  $\mu\text{m}$  to 5 mm in  
45 size, are now ubiquitously present in aquatic and terrestrial environments (Duis and

46 Coors, 2016; Horton et al., 2017b) – often finding their way into our food and drink  
47 (Alexander et al., 2016; Karami et al., 2018; Schymanski et al., 2018). A realistic  
48 assessment of the ill effects of MPs must commence with a representative, large scale  
49 analysis of their abundance, size distribution and chemical composition (Jahnke et al.,  
50 2017). Towards this end, chemistry provides a set of identification tools capable of  
51 tackling the MP issue in its many facets (Hanvey et al., 2017; Shim et al., 2017; Silva et  
52 al., 2018) such as vibrational spectroscopy, densitometry, differential scanning  
53 calorimetry (DSC), gas chromatography-mass spectrometry (GC-MS) based methods  
54 and the recently proposed technique hyperspectral imaging (Shan et al., 2018).

55 This review focuses on a particular characterization technique – Raman  
56 spectroscopy – which is rapidly gaining ground in the analysis of small MPs. Raman  
57 spectroscopy is a vibrational spectroscopy technique based on the inelastic scattering of  
58 light that provides information upon the molecular vibrations of a system in the form of  
59 a vibrational spectrum. The Raman spectrum is akin to a fingerprint of chemical  
60 structure allowing identification of the components present in the sample.

61 Some of the advantages of Raman spectroscopy are shared by FT-IR techniques,  
62 such as non-destructiveness, low sample amount requirement, possibility for high  
63 throughput screening and environmental friendliness. These are relevant advantages  
64 over other reported methods (i.e. DSC, Pyr-GC-MS) for MPs analysis and  
65 characterization (Elert et al., 2017; Ribeiro-Claro et al., 2017). Indeed, vibrational  
66 spectroscopy is a common choice for MP identification and has been recommended by  
67 the European Union expert group on marine litter, who advocate that all suspected MPs  
68 in the 1-100  $\mu\text{m}$  size range should have their polymer identity confirmed by  
69 spectroscopic analysis (Gago et al., 2016).



70 Compared with FTIR spectroscopy, Raman techniques show better spatial  
71 resolution (down to 1  $\mu\text{m}$  while that of FTIR is 10-20  $\mu\text{m}$ ), wider spectral coverage,  
72 higher sensitivity to non-polar functional groups, lower water interference and narrower  
73 spectral bands. On the downside, Raman spectroscopy is prone to fluorescence  
74 interference, has an inherently low signal to noise ratio and might cause sample heating  
75 due to the use of a laser as light source, leading to background emission occasionally  
76 followed by polymer degradation. A more comprehensive explanation of the theoretical  
77 principles of Raman spectroscopy, its strengths and weaknesses for MP analysis, as well  
78 as instrumentation details can be found elsewhere (Ivleva et al., 2017; Ribeiro-Claro et  
79 al., 2017; Silva et al., 2018; Smith and Dent, 2005).

80 Despite its many advantages, the identification of MPs through the use of  
81 Raman spectroscopy is yet to attain the popularity of FT-IR techniques, although the  
82 number of publications is steeply increasing. At this juncture (May 2018), a search on  
83 ISI Web of Knowledge, ResearchGate and ScienceDirect yields a total of 71 original  
84 articles on MP identification using Raman spectroscopy. Out of these, 86% employ  
85 Raman microscopy ( $\mu$ -Raman) – the classical Raman setup was only used in early  
86 studies and since then discontinued. The surveyed works are listed and grouped into  
87 handy categories, in Table 1. Additionally, 6 book chapters and 21 reviews cover the  
88 topic with varying levels of detail, with one book chapter from (Ribeiro-Claro et al.,  
89 2017) completely dedicated to it. Yet, the significant boom in  $\mu$ -Raman detection of  
90 MPs that took place since then warrants an updated digest of current limitations and  
91 emerging solutions. Before delving into that, it is useful to demonstrate why Raman  
92 spectroscopy is essential for the study of very small MPs, a domain where FTIR  
93 techniques are wholly inadequate.

94 Table 1 – Comprehensive list of documents dealing with MP analysis through  
 95 Raman, as of January 2018

<b><i>Reference guide for works on Raman identification of microplastics</i></b>	
<i>in seawater, freshwater and wastewater</i>	(Cozar et al., 2014; De Tender et al., 2015; Di and Wang, 2018; Enders et al., 2015; Erni-Cassola et al., 2017; Frere et al., 2017, 2016; Ghosal et al., 2018; Karlsson et al., 2017; Lares et al., 2018; Lenz et al., 2015; Lusher et al., 2014; Sujathan et al., 2017; Xiong et al., 2018; Yonkos et al., 2014; Zettler et al., 2013; Zhang et al., 2017; Zhao et al., 2017, 2015)
<i>in sediment</i>	(Ballent et al., 2016; Clunies-Ross et al., 2016; De Tender et al., 2015; Di and Wang, 2018; Elert et al., 2017; Erni-Cassola et al., 2017; Fischer et al., 2015; Horton et al., 2017a; Imhof et al., 2018, 2016, 2013, 2012; Kaepler et al., 2016; Karlsson et al., 2017; Lots et al., 2017; Maes et al., 2017; Scheurer and Bigalke, 2018; Shan et al., 2018; Sruthy and Ramasamy, 2017; Van Cauwenberghe et al., 2013; Xiong et al., 2018; Young and Elliott, 2016; Zada et al., 2018; Zhang et al., 2017, 2016)
<i>in aquatic organisms</i>	(Cole et al., 2013; Collard et al., 2017a, 2017b, 2015; Dehaut et al., 2016; Enders et al., 2017; Galloway et al., 2017; Ghosal et al., 2018; Goldstein and Goodwin, 2013; Halstead et al., 2018; Horton et al., 2018; Karami et al., 2017b, 2016; Karlsson et al., 2017; Liboiron et al., 2018; Murray and Cowie, 2011; Naidu et al., 2018; Remy et al., 2015; Van Cauwenberghe et al., 2015; Van Cauwenberghe and Janssen, 2014; Wagner et al., 2017; Watts et al., 2016, 2014; Xiong et al., 2018)
<i>in food, drink and cosmetics</i>	(Gündoğdu, 2018; Karami et al., 2017a, 2017c, 2018; Lei et al., 2017; Muhlschlegel et al., 2017; Schymanski et al., 2018; Van Cauwenberghe and Janssen, 2014; Wiesheu et al., 2016; Zada et al., 2018)

<i>Model/Reference samples</i>	(Cai et al., 2018; Kaepler et al., 2015; Karami et al., 2016; Ossmann et al., 2017; Zada et al., 2018)
<i>Reviews and book chapters</i>	(Andrady, 2017; Blaesing and Amelung, 2018; Crawford and Quinn, 2017; da Costa et al., 2016; Duis and Coors, 2016; Hanvey et al., 2017; Hidalgo-Ruz et al., 2012; Horton et al., 2017b; Ivleva et al., 2017; Jiang, 2018; Klein et al., 2018; Li et al., 2017; Loeder and Gerdtts, 2015; Lusher et al., 2017; Mai et al., 2018; Miller et al., 2017; Qiu et al., 2016; Renner et al., 2018; Ribeiro-Claro et al., 2017; Rocha-Santos and Duarte, 2015; Rodriguez-Seijo and Pereira, 2017; Shim et al., 2017; Silva et al., 2018; Syakti, 2017; Wu et al., 2018; Yu et al., 2018)

96

## 97 **2. The importance of going small**

98

99 It might be argued that, relative to  $\mu$ -FTIR, the most attractive feature of  $\mu$ -  
100 Raman is higher resolution, which becomes especially relevant for identifying very  
101 small MPs ( $< 20 \mu\text{m}$ ) (Elert et al., 2017; Ivleva et al., 2017; Kaepler et al., 2016)  
102 otherwise undetectable using infrared techniques. As detailed in this section, there is an  
103 urgent need for a fast and easily implementable monitoring tool capable of detecting  
104 small MPs.

105 Plastic particles exposed to environmental stressors undergo continuous  
106 fragmentation (photo-, thermal and biodegradation), so that the number of particles is  
107 expected to increase steeply for smaller sizes (Andrady, 2017; Cozar et al., 2014).  
108 Despite this fact, the smaller fraction of MPs has been consistently neglected in  
109 quantification studies. Conkle *et al.* (Conkle et al., 2018) reviewed 41 surveys of aquatic

110 MPs in which plastic debris were collected using neuston nets. Circa 80% of these  
111 studies used nets with relatively large mesh size ( $\geq 300 \mu\text{m}$ ), thus entirely missing the  
112 smaller MP fraction and leading to a severe underestimation of the actual MP load in  
113 aquatic environments. Likewise, a long-term study (Beer *et al.*, 2018) on MP  
114 concentration in the Baltic Sea, spanning three decades, used a bongo net with mesh  
115 size  $150 \mu\text{m}$  and did not find a significant increase in MP abundance between 1987 and  
116 2015. The results might have been different for the MP fraction below  $150 \mu\text{m}$ . As  
117 highlighted in a study by Enders *et al.* (Enders *et al.*, 2015), among small MPs ( $<400$   
118  $\mu\text{m}$ ) collected in the Atlantic Ocean and identified using  $\mu$ -Raman, 64% are under  $40$   
119  $\mu\text{m}$  in size, and their distribution in the range  $10$ - $100 \mu\text{m}$  follows a power law with a  
120 scaling exponent of  $1.96$ , as depicted in Figure 1.

121

122 [INSERT FIGURE 1 HERE]

123 Figure 1 - Particle size distribution of MPs collected in the Atlantic ocean ( $n_p=543$ ). Dark grey bars  
124 represent particles by length. Light grey bars show the size as geometric mean of length and width. Insets  
125 show the smallest (left) and largest (right) MP particles found and confirmed via  $\mu$ -Raman. Reprinted  
126 from Marine Pollution Bulletin, 100, K. Enders, R. Lenz, C.A. Stedmon, T.G. Nielsen, Abundance, size  
127 and polymer composition of marine microplastics  $\geq 10\mu\text{m}$  in the Atlantic Ocean and their modelled  
128 vertical distribution, 70-81, Copyright 2015, with permission from Elsevier.

129

130 These findings are supported by the conclusions of Erni-Cassola *et al.*, (Erni-  
131 Cassola *et al.*, 2017, p.) who also used  $\mu$ -Raman to identify MPs ( $<400 \mu\text{m}$ ) from the  
132 surface water of Plymouth Bay (UK), determining that the fraction of MPs smaller than  
133  $40 \mu\text{m}$  accounts for roughly 50% of the total population. Therefore, properly measuring  
134 the abundance of MPs smaller than  $40 \mu\text{m}$  is of paramount importance when estimating  
135 the total MP load in the environment. Moreover, MP identification is increasingly

136 becoming a consumer health issue. In a recent statement (Alexander *et al.*, 2016), the  
137 European Food Safety Authority (EFSA) acknowledged the lack of legislation for  
138 microplastics and nanoplastics as contaminants in food and the need to develop reliable  
139 identification methods, especially for smaller MPs, which present a higher chance of  
140 translocation across the gut barrier . Indeed,  $\mu$ -Raman analysis has found MPs in edible  
141 fish tissues (Collard *et al.*, 2017a; Karami *et al.*, 2017c, 2018), table salt (Gündoğdu,  
142 2018; Karami *et al.*, 2017a) and bottled water (Schymanski *et al.*, 2018). The latter  
143 study, by Schymanski and co-workers, analysed 38 brands of bottled water (plastic,  
144 carton and glass containers) and found MP contamination in all of them, with 80% of  
145 found particles belonging to the 5-20  $\mu\text{m}$  size range. A comparison of FTIR and Raman  
146 techniques for MP identification, performed by K  ppler *et al.* (Kaeppler *et al.*, 2016),  
147 illustrates the superior performance of  $\mu$ -Raman for detecting small MPs. While for  
148 particles larger than 20  $\mu\text{m}$  both techniques proved equally capable, for smaller particles  
149 the detection success rate of  $\mu$ -FTIR lagged behind.  $\mu$ -Raman detected MPs as small as  
150 5  $\mu\text{m}$ , yet  $\mu$ -FTIR missed all particles in the 5-10  $\mu\text{m}$  size range and 40% of MPs in the  
151 11-20  $\mu\text{m}$  size range. Moreover, even though  $\mu$ -FTIR did succeed in identifying some  
152 MPs in the 11-20  $\mu\text{m}$  range, the quality of the spectra suffered due to low signal to noise  
153 ratio – a direct consequence of the size of the particle approaching that of the  
154 instrument’s diffraction limit. This issue is illustrated by comparing the FTIR and  
155 Raman spectra of a small (15-20  $\mu\text{m}$ ) polypropylene (PP) particle, as shown in Figure  
156 2b, where a clear Raman spectrum (left) contrasts with a weak and noisy FTIR spectrum  
157 (right).

158

159

[ INSERT FIGURE 2 HERE ]

160

161 Figure 2 – a) Raman image (left) and IR image (right) with false-colouring denoting the spectral intensity  
162 in the 2780–2980  $\text{cm}^{-1}$  range. B) Raman spectrum (left) and IR transmission spectrum (right) of particle 2  
163 in comparison with a reference of polypropylene. Adapted by permission from Springer Nature  
164 Analytical and Bioanalytical Chemistry, Analysis of environmental microplastics by vibrational  
165 microspectroscopy: FTIR, Raman or both?, A. Käppler, D. Fischer, S. Oberbeckmann *et al.*, Copyright  
166 2016.

167

168 The efficiency of an ingenious post-filtration system implemented in the  
169 wastewater treatment plant of Oldenburg (Germany) was evaluated by comparing the  
170 amount of MPs present in wastewater samples collected upstream and downstream from  
171 the filtration point (Mintenig *et al.*, 2017). A removal efficiency of 100% was reported  
172 for MPs > 500  $\mu\text{m}$  and of 93% for those < 500  $\mu\text{m}$ . Given that the filtration system  
173 consists of pile fabric with a mesh size of 10-15  $\mu\text{m}$  and that particles smaller than 20  
174  $\mu\text{m}$  could not be detected using FTIR imaging, a significant portion of very small MPs  
175 might be passing through unnoticed. Being so, FTIR imaging studies should be  
176 complemented by  $\mu$ -Raman for properly accounting for MPs in the smaller size range.  
177 However,  $\mu$ -Raman is not used in the majority of MP identification studies (14%  
178 according to a recent review (Renner *et al.*, 2018)) in great part due to its  
179 cumbersomeness (e.g. fluorescence masking) and long measurement time. Certainly, the  
180 shortcomings of  $\mu$ -Raman must be overcome prior to its large scale implementation.  
181 Recently, a considerable effort has been made to optimize signal quality (section 3) and  
182 automation routines (section 4) that enable faster and more reliable  $\mu$ -Raman  
183 identification of MPs.

184

185

186 **3. Enhancing signal quality**

187

188 Two commonly cited drawbacks of classical Raman scattering as a method for  
189 MP analysis are the inherent weakness of the signal – only about  $10^{-8}$  of the photons  
190 bombarding the sample are actually translated to Raman signal (Borman, 1982) – and  
191 its proneness to fluorescence interference – either intrinsic to the main constituent of the  
192 MP or due to impurities such as colouring agents, biological material and degradation  
193 products. A weak signal imposes the necessity of extending the integration time which,  
194 in the best case scenario, increases the duration of the measurement, and in the worst  
195 case scenario results in laser-induced degradation of the sample. Fluorescence results in  
196 a raised baseline which, in the worst cases, completely overshadows the Raman signal.  
197 There are many possible routes to minimize these problems. A radical approach is to  
198 forego spontaneous Raman scattering in favor of nonlinear Raman techniques, which by  
199 their very nature offer high signal to noise ratio while being free from the interference  
200 of fluorescence (Borman, 1982). However, nonlinear Raman methods require expensive  
201 equipment and expert user knowledge so that their implementation in MP analysis is  
202 still incipient (Cole et al., 2013; Galloway et al., 2017; Watts et al., 2016, 2014; Zada et  
203 al., 2018). The present section focuses instead on offering examples of recently  
204 suggested good practices designed to minimize the weak signal and fluorescence  
205 problems of spontaneous Raman spectroscopy.

206 In the specific context of MP analysis, an obvious way of reducing fluorescence  
207 caused by organic debris – and, in some cases, by organic dyes - is the use of an  
208 appropriate cleaning protocol to remove the contaminants. Among the pre-treatments  
209 proposed for cleaning MPs are those employing acids, bases, oxidative and enzymatic  
210 agents, as extensively discussed in a series of recent reviews (Blaesing and Amelung,  
211 2018; Lusher et al., 2017; Miller et al., 2017, 2017; Renner et al., 2018; Silva et al.,

212 2018). Worthy of mention is the benchmark study of Dehaut *et al.* (Dehaut et al., 2016)  
213 which assessed the efficiency and degradation effect of six digestion methods. The  
214 authors concluded that digestion with nitric acid, at the time the official method  
215 recommended by the International Council for the Exploration of the Sea (ICES), leads  
216 to significant polymer degradation and consequent MP underestimation. Instead, the  
217 authors recommended digestion in a 10% KOH solution. Similar conclusions were  
218 reached by Enders *et al.* (Enders et al., 2017), who confirm the destructive effects of  
219 nitric acid digestion and, in partnership with ICES, issued a new official  
220 recommendation for MP cleaning using a mixture of 30% KOH:NaClO. Alternatively,  
221 an enzymatic digestion method employing proteinase K efficiently cleaned samples of  
222 salmon viscera and fecal matter, spiked with MPs, without significant polymer  
223 degradation (Karlsson *et al.*, 2017).

224         Alas, even after thorough cleaning, some samples will exhibit a problematic  
225 degree of fluorescence, mainly due to the presence of hard to remove colouring agents.  
226 A commonly cited solution is photo-bleaching the sample, that is, placing it under the  
227 laser for the time necessary for degrading the fluorescing agent. However, besides being  
228 time consuming, this strategy cannot be applied to samples prone to photo-degradation  
229 or pyrolysis – and even when applied it does not always work. A speedy solution for  
230 enabling correct identification of fluorescing samples is proposed by Ghosal *et al.*  
231 (Ghosal et al., 2018) who use an automated algorithm to remove the fluorescence  
232 background and reveal the underlying polymer spectrum. A striking example of the  
233 algorithm's effectiveness is shown in Figure 3 depicting a plastic particle partially  
234 covered in biofilm and Raman spectra collected at covered (red) and bare (black)  
235 locations. Prior to background subtraction the spectrum of the covered surface is  
236 saturated with the fluorescence signal which completely overshadows the characteristic



237 polymer peaks. After processing, the polymer peaks are clearly visible, allowing  
238 polymer identification by a library matching software. The source code for the  
239 algorithm, which includes a graphical user interface, is freely available at  
240 <https://github.com/michaelstchen/modPolyFit>.

241

242 [ INSERT FIGURE 3 HERE ]

243

244 Figure 3 – Raman spectra of a polyethylene (PE) particle partially covered in biofilm. The characteristic  
245 PE peaks readily apparent in the raw spectrum collected from the bare plastic surface (black line, upper  
246 panel) seem to be absent from the raw spectrum of the biofilm-covered plastic surface (red line, upper  
247 panel). After automated fluorescence correction, the spectral fingerprint of PE clearly emerges in the  
248 processed spectrum of the biofilm-covered plastic surface (red line, lower panel). Adapted from  
249 Environmental Pollution, 233, S. Ghosal, M. Chen, J. Wagner, Z. Wang, S. Wall, Molecular identification  
250 of polymers and anthropogenic particles extracted from oceanic water and fish stomach – A Raman  
251 micro-spectroscopy study, 1113-1124, Copyright 2018, with permission from Elsevier.

252 An additional source of fluorescence may be the substrate underneath the MPs  
253 (i.e. the filter), as noted by Oßmann *et al.* (Ossmann et al., 2017) who compared the  
254 performance of six commercial filters commonly used for  $\mu$ -Raman analysis and custom  
255 made polycarbonate substrates coated with three different metals. The filter offering the  
256 best performance is made of polycarbonate coated with aluminum, which not only  
257 minimizes fluorescence and burning of the sample but also optimizes optical contrast  
258 between filter and MPs, thereby easing automated particle search.

259 As mentioned before, a drawback of Raman spectroscopy is the notoriously  
260 weak intensity of Raman scattering, which requires relatively long acquisition times to  
261 achieve a decent signal to noise ratio. One avenue for signal enhancement arises from  
262 the development of improved detector systems – as illustrated by the comparison

263 between conventional and electron-multiplying charged coupled device detectors (CCD  
264 vs. EM-CCD). Relative to conventional CCD detectors, EM-CCD detectors are  
265 equipped with a multiplication register that acts prior to the readout – amplifying the  
266 gain up to 1000 times (Andor Technology, 2007; Griffiths and Miseo, 2014). As a  
267 result, EM-CCD detectors are expected to require less acquisition time to achieve the  
268 same signal to noise ratio as conventional CCD detectors, rendering the former  
269 especially relevant for fast Raman mapping and imaging applications (Griffiths and  
270 Miseo, 2014). The power of EM-CCD detectors applied to Raman characterization of  
271 MPs is clearly illustrated by work of Dieing and Hollricher, from which Fig. 4 has been  
272 retrieved (Dieing and Hollricher, 2008). Figure 4a) depicts the Raman image of  
273 contaminated poly(methyl methacrylate) (PMMA) on a glass slide obtained using a  
274 classical CCD detector and with an integration time of 36ms/spectrum. The signal to  
275 noise ratio is low, so that one can barely distinguish the vertical stripe in the center  
276 (glass) from the side regions (PMMA+impurities). Using a EM-CCD detector, the same  
277 signal to noise ratio is achieved in a tenth of the integration time (Figure 4b). By setting  
278 the integration time at the same as that used for the CCD detector, a superior image is  
279 obtained (Figure 4c), where not only the glass is clearly distinguished from PMMA, but  
280 the streaks of impurities are also easily discernible.

281

282

[ INSERT FIGURE 4 HERE ]

283

284 Figure 4 - Raman Images of a glass slide, partially covered with a layer of PMMA and contaminant  
285 (scheme d), obtained with: (a) back-illuminated CCD; (b and c) EMCCD. Scale bar: 10  $\mu\text{m}$ . Reprinted  
286 from *Vibrational Spectroscopy*, 48 (1), T. Dieing, O. Hollricher, High-resolution, high-speed confocal  
287 Raman imaging, 22-27, Copyright 2008, with permission from Elsevier.

288

289

#### 290 **4. Automated routines for Raman mapping**

291

292       Until recently, the great majority of studies reporting MP load in environmental  
293 samples relied solely upon visual inspection (usually under a microscope) to determine  
294 the total number of plastic particles in the analyzed sample. The perils of such approach  
295 have been highlighted in the impactful work of Lenz *et al.* (Lenz et al., 2015) who warn  
296 that visual inspection alone leads both to false positives (e.g. paint particles) and false  
297 negatives (e.g. darkly coloured MPs mistaken for naturally occurring particles). Visual  
298 detection relies upon morphological criteria to decide whether a given particle is made  
299 of plastic, an approach that works reasonably well for bigger MPs (>100  $\mu\text{m}$ ) but  
300 progressively fails as MP size diminishes and morphological features become less  
301 apparent. Thus, among those MPs <50  $\mu\text{m}$  which were selected under the microscope,  
302 only 63% could be confirmed as plastics after Raman analysis (Lenz et al., 2015). As  
303 the authors point out, running a FTIR or Raman analysis following visual sorting  
304 eradicates the problem of false positives but perpetuates the issue of false negatives.  
305 This concern is well expressed in the study of Song *et al.* (Song et al., 2015) which  
306 compares the effectiveness of visual pre-sorting under a microscope followed by FTIR  
307 analysis with that of analyzing the spectra of all particles present in the sample. While  
308 full FTIR analysis always leads to a larger estimate of MP abundance, the contrast is  
309 most staggering for particles smaller than 50  $\mu\text{m}$  for which the visual sorting procedure  
310 failed to account for roughly half of the MPs detected using FTIR. One may argue that  
311 if Raman had been used instead, the difference would be even more striking. It is then

312 clear that visual pre-sorting, besides taking up far too much operator time, likely leads  
313 to less than representative results. An obvious solution to increase representativeness is  
314 to analyze every single particle in a given sample, however such approach requires even  
315 more operator time than visual pre-sorting. A more viable solution is automated analysis  
316 and there are various ways of doing so. One possibility is full point mapping, where a  
317 whole filter section is analyzed by collecting spectra at various points along a grid with  
318 the aid of a motorized stage which moves the sample under the laser in small  
319 increments. While thorough, this approach is rather slow, as illustrated in the work of  
320 K appler *et al.* (Kaeppler et al., 2016), whose point-by-point  $\mu$ -Raman mapping without  
321 pre-selection took a whopping 38 h to scan 1 mm<sup>2</sup> of the sample filter using a point  
322 distance of 10  $\mu$ m and total integration time of 10 sec per point. By reducing the  
323 integration time to 0.5 sec per point and using a topographical imaging tool to enhance  
324 focus, the total measurement time was reduced to 90 min but the increase in speed came  
325 at the expense of detection success rate, which was equivalent to that of the FTIR  
326 imaging technique.

327         The major drawback in point by point mapping for MP analysis is that it does  
328 not detect whether there is an actual particle under the laser – the whole grid is scanned,  
329 so a good proportion of laser time will be wasted measuring the spectrum of the filter  
330 itself. Time can be saved by guiding the laser directly to a small area where a particle is  
331 located. Elert *et al.* (Elert et al., 2017) used this approach in their comparison of four  
332 techniques (FTIR imaging, Raman mapping, mass spectrometry and chemical  
333 extraction) for the analysis of soil spiked with reference MPs. Particles ranging from  
334 150 to 200  $\mu$ m were visually selected under the microscope and the scanning area  
335 adjusted to fit each analyzed particle – an undesirable practice for environmental studies  
336 but appropriate for comparison purposes. A pre-scan was run with a short integration

337 time of 20 ms per point in order to create a spectral image of each particle. Then, higher  
338 resolution spectra were collected only at specific points of interest (i.e. a clear spot vs a  
339 fluorescing spot). This procedure was more time-efficient than full mapping, taking  
340 circa 15 hours per mm<sup>2</sup>. Besides, by focusing the analysis on a smaller area, a shorter  
341 size step could be used (1 µm) so that different chemical compositions at the particle  
342 surface could be distinguished. While this approach is valuable for in-depth studies,  
343 routine monitoring protocols must be swifter.

344 An interesting strategy is the use of image analysis software which, by  
345 enhancing contrast between particles and filter, creates a map of all particles in a given  
346 area and Raman spectra are collected only at those points. Schymanski *et al.*  
347 (Schymanski et al., 2018) used the Single Particle Explorer (rapID) software to  
348 automatically detect particles larger than 5 µm up to a maximum of 5000 particles per  
349 scanned area. Chemical identification was achieved by library matching and all MPs  
350 with a ranking score between 550 and 700 were then individually analyzed using longer  
351 integration times to improve signal to noise ratio. Despite the time consuming  
352 verification step, the analysis was performed at a rate of 56 min/mm<sup>2</sup>, a significant  
353 improvement relative to point mapping approaches. Frère *et al.* (Frere et al., 2016)  
354 achieved an even shorter measurement time of 20s/mm<sup>2</sup> by performing an automated  
355 particle search using the ParticleFinder (Horiba) software and collecting the spectrum of  
356 each detected particle. The higher speed efficiency of this measurement relative to that  
357 of Schymanski's study has to do with the size of the MPs sampled (> 300 µm) and the  
358 absence of a thorough verification step, a comparison which nicely illustrates the trade-  
359 off between meticulousness and celerity. An optimized software for the automated  
360 detection of particles and fibres in the 1 µm – 500 µm range is currently being  
361 developed by Käßler and colleagues, within the framework of the project

362 MICROPOLL - EU BONUS program (Oberbeckmann, 2017), which might allow a  
363 more thorough analysis in a shorter time scale.

364 A truly innovative approach is the method for MP detection using a fluorescent  
365 dye, initially suggested by Andrady (Andrady, 2011) and demonstrated in recent works  
366 (Erni-Cassola *et al.*, 2017; Maes *et al.*, 2017; Shim *et al.*, 2016), which currently yields  
367 the best compromise between speed and accuracy . Nile Red, a solvatochromic  
368 fluorescent dye, was found to selectively stain synthetic polymers – but not tire rubber -  
369 enabling their fast detection under a UV light, as depicted in Figure 5.

370

371 [ INSERT FIGURE 5 HERE ]

372

373 Figure 5 - Microscope images of a) polyethylene, b) nylon 6 and c) rubber stained with Nile red. Left  
374 panel: brightfield images, center and right panels: fluorescent image at excitation/emission 460/525 nm  
375 (green) and 565/630 nm (red), respectively. Scale bar is 125  $\mu\text{m}$ . Adapted with permission from  
376 Environmental Science & Technology, 51, G. Erni-Cassola, M.I. Gibson, R.C. Thompson, et al., Lost, but  
377 Found with Nile Red: A Novel Method for Detecting and Quantifying Small Microplastics (1 mm to 20  
378  $\mu\text{m}$ ) in Environmental Samples, 13641–13648, Copyright 2017 American Chemical Society.

379 This procedure might seem counterproductive, as the fluorescence induced by  
380 the dye may mask the Raman signal. However, one author (Maes *et al.*, 2017) claims  
381 that “The very small amounts of Nile Red adsorbed on the particles did not interfere  
382 with IR or Raman spectroscopy” while another (Erni-Cassola *et al.*, 2017) reports an  
383 undesirable level of interference. The authors minimize the deleterious effects of  
384 fluorescence by photo-bleaching the sample for 5 min prior to Raman analysis. The  
385 great advantage of this method lies in the particle selection stage, where an automated  
386 particle search is applied using imaging software and detecting only fluorescing

387 particles, so that only a fraction of the particles initially present (under 10%) are  
388 selected for further analysis. Not all of the stained particles are necessarily plastics –  
389 non-plastic fragments contaminated with hydrophobic biological material are stained as  
390 well. However their detection can be minimized by combining an effective cleaning  
391 step (prior to Nile Red staining) and the use of a UV wavelength that induces green  
392 instead of red fluorescence – plastic particles fluoresce better in green while organic  
393 material does not (Erni-Cassola *et al.*, 2017).

394         Following this strategy, Erni-Cassola and co-workers located MPs as small as 20  
395  $\mu\text{m}$  and determined their chemical composition using  $\mu$ -Raman totaling a measurement  
396 time of almost 2 minutes per  $\text{mm}^2$ . If the photo-bleaching step had been omitted, the  
397 total analysis time would be roughly 40 seconds per  $\text{mm}^2$ . A more time-efficient  
398 procedure would be to: 1) run a first test without photo-bleaching MPs; 2) run the raw  
399 spectra through an automated baseline correction script (Ghosal *et al.*, 2018); 3) attempt  
400 automatic identification through library matching and 4) photo-bleach and re-analyze  
401 only those particles which could not be successfully identified in the first run.

402         The aforementioned studies of MP identification using  $\mu$ -Raman have all relied  
403 on a mapping – or “point and shoot” – strategy which collects spectra one point at a  
404 time. However, it is already possible to image a whole area in a single scan using  
405 widefield techniques, as discussed in section 6. Such strategy is often implemented for  
406 FTIR analysis of MPs using a focal plane array (FPA) detector. Imaging a whole area in  
407 a single measurement promises gains in expediency and representativeness by  
408 dispensing with the step of particle selection and the use of a motorized stage. Yet, at  
409 present, FTIR imaging with FPA is not necessarily more time-efficient than Raman  
410 mapping. The measurement time/ $\text{mm}^2$  of a few selected works employing either Raman  
411 mapping or FPA to detect MPs are presented in Table 2. Both categories showcase

412 examples in which 1 mm<sup>2</sup> of sample area was analyzed within a few minutes, and even  
 413 seconds. On top of being comparable to FTIR imaging in terms of analysis time, Raman  
 414 mapping offers the possibility of identifying MPs in the 1-20 µm range. This  
 415 competitive “edge” of Raman mapping is likely to fade in coming years, as  
 416 advancements in instrumentation deliver affordable and time-efficient devices for  
 417 proper Raman imaging.

418

419

420 Table 2 – Comparison of studies employing automated routines for MP identification using µ-Raman and  
 421 µ-FTIR. The total analysis time per mm<sup>2</sup> was calculated based on the total measurement time reported by  
 422 the authors divided by the measured area.

Ref.	Technique	Protocol	Min. particle size detected	Int. time /point	Total analysis time per mm <sup>2</sup>
(Kaeppler et al., 2016)	µ-Raman alpha 300R Raman microscope (WITec)	- No pre-selection -Automated scanning of the whole filter area in 10 µm steps -ID by automated library matching	5 µm	10 s	38 h/mm <sup>2</sup>
((Elert et al., 2017)	µ-Raman alpha 300R Raman microscope (WITec)	-Visual pre-selection of potential MPs -Automated scanning of small filter sub-sections (max: 0.2 x 0.19 mm) in 1 µm steps -ID by comparison with ref spectra	150 µm	0.02 s	15 h/mm <sup>2</sup> + pre-selection time
(Schymans	µ-Raman	-Automated particle search by	5 µm	5 s	56 min/mm <sup>2</sup>



ki <i>et al.</i> , 2018)	<i>Single Particle Explorer (rapID)</i>	<i>the Single Particle Explorer</i> -Raman spectra collected at each particle location -ID by automated library matching followed by individual verification of low confidence matches			
(Frere <i>et al.</i> , 2016)	$\mu$ -Raman <i>LabRAM HR800 (Horiba Scientific)</i>	-Automatic particle search by the ParticleFinder software -Raman spectra collected at each particle location -ID by automated library matching	300 $\mu\text{m}$	20 s	20 s/mm <sup>2</sup>
(Erni-Cassola <i>et al.</i> , 2017)	$\mu$ -Raman <i>inVia Raman microscope (Renishaw)</i>	-Staining with fluorescent dye followed by automatic particle search by the ImageJ software -Raman spectra collected at each particle location -ID method not specified	20 $\mu\text{m}$	200 s	~2 min/mm <sup>2</sup> *
(Elert <i>et al.</i> , 2017)	$\mu$ -FTIR <i>Vertex 70 spectrometer (Bruker)</i>	<i>Use of a focal plane array for direct imaging of a whole filter section</i>	100 $\mu\text{m}$	-	111 min/mm <sup>2</sup>
(Kaeppler <i>et al.</i> , 2016)	$\mu$ -FTIR <i>Tensor 27 spectrometer (Bruker)</i>	<i>Use of a focal plane array for direct imaging of a whole filter section</i>	15 $\mu\text{m}$	-	20 min/mm <sup>2</sup>
(Loeder <i>et al.</i> , 2015)	$\mu$ -FTIR <i>PerkinElmer Spotlight micro-FT-IR</i>	<i>Use of a focal plane array for direct imaging of a whole filter section</i>	20 $\mu\text{m}$	-	6 min/mm <sup>2</sup>

(Tagg <i>et al.</i> , 2015)	$\mu$ -FTIR Tensor 27 spectrometer (Bruker)	<i>Use of a focal plane array for direct imaging of a whole filter section</i>	150 $\mu$ m	-	19 s/mm <sup>2</sup> (1 scan) 33 s/mm <sup>2</sup> (2 scans)
-----------------------------	------------------------------------------------------	--------------------------------------------------------------------------------	-------------	---	-----------------------------------------------------------------------

423 \*The authors only disclosed the time necessary for particle detection (2.45 s/mm<sup>2</sup>) so the total  
424 analysis time was estimated based on the time necessary to measure all the analyzed particles (199x200s)  
425 plus the pre-measurement bleaching step (199x5min) divided by the total scanned area (23 sections of  
426 23x1.8 mm each)

427

## 428 5. Spectral Libraries

429

430 Automated  $\mu$ -Raman routines employ library matching software to compare the  
431 spectrum of the sample with that of custom made and/or commercial libraries. The  
432 likelihood of successful matching greatly depends upon the comprehensiveness of the  
433 spectral library. However, custom made libraries usually rely on spectra acquired from  
434 pristine polymer pellets and may differ significantly from those of MPs collected from  
435 environmental compartments. Environmental MPs mostly result from the fragmentation  
436 of commercial polymers, available in different morphologies (foam, sheet, fibre) and  
437 include in their composition a variety of additives, fillers and colouring agents. These  
438 components, in some instances, overlay or even mask the underlying polymer spectrum.  
439 The use of more comprehensive commercial libraries is a step in the right direction to  
440 enhancing matching scores, especially if the matching algorithm uses multicomponent  
441 correlations (Lenz *et al.*, 2015). Among 31 studies using automated matching software  
442 for MP identification, 6 rely solely on custom made reference libraries, 13 used solely  
443 commercial libraries (although most of them use a collection of libraries from different

444 vendors) and 12 use a combination of commercial and custom made libraries. The need  
445 for different commercial libraries, often complemented with own reference spectra is a  
446 good indicator that no single commercial library is currently broad enough to assure  
447 extensive success rates. Perhaps part of this problem is due to the fact that both  
448 commercial and custom made libraries only include spectra from plastics that were not  
449 exposed to environmental degradation.

450         MPs in the environment are continuously exposed to a variety of environmental  
451 stressors (UV light, heat, biodegradation) that result in plastic weathering (Andrady,  
452 2017; Lenz *et al.*, 2015). For example, polyvinylchloride (PVC) is prone to photo-  
453 degradation in aquatic media, as the leakage of additives, such as photo-stabilizers,  
454 accelerates under high humidity conditions. UV degradation significantly alters the  
455 spectral fingerprint of PVC showing a simultaneous intensity decrease of the  
456 neighboring peaks at  $693\text{ cm}^{-1}$  and  $637\text{ cm}^{-1}$ , which stem from the characteristic C-Cl  
457 bonds of the polymer (Lenz *et al.*, 2015). For the spectrum corresponding to the highest  
458 UV exposure, a complete absence of these double peaks and the appearance of two  
459 strong peaks at  $1139\text{ cm}^{-1}$  and  $1540\text{ cm}^{-1}$ , assigned to carbon double bonds (C=C), are  
460 observed. A successful match through library search was impossible since the only  
461 reference for PVC was that of a pristine (non-degraded) sample. In the light of this  
462 drawback, it is of utmost importance that spectra of degraded polymers at different  
463 degradation stages be included in the reference library, thus increasing the chance of the  
464 matching software correctly identifying the polymeric composition. It should however  
465 be noted that photo-oxidation is not as problematic for MP identification using Raman  
466 as it is when using FTIR techniques. Photo-oxidation of the most common polymers  
467 (i.e. PE, PP) results in the formation of oxygenated moieties, chiefly C=O and -OH,  
468 which display strong intensities in the infrared spectrum (Andrady, 2017; Cai *et al.*,

469 2018; Costa et al., 2018; Rodrigues et al., 2018), contrasting with their typically weak  
470 Raman signals. For example Cai et al. (2018) found obvious differences among the  
471 infrared spectra of pristine and degraded PE, PP and PS while the corresponding Raman  
472 spectra only registered slight differences in band intensities. This feature of Raman may  
473 be considered as an advantage – when the purpose is to simply identify plastic identity –  
474 or a disadvantage – for those studies focused on assessing the extent of polymer  
475 degradation.

476 Moreover, as Lenz and colleagues have done, it is of value to include the spectra  
477 of non-plastic materials which are often confused with MPs, such as cellulose, keratin,  
478 inorganic particles and, most important of all, synthetic fibres (i.e. viscose), whose  
479 presence is ubiquitous among MP samples. The obvious hurdle with this approach is  
480 that it is time consuming for each individual laboratory to build a comprehensive  
481 spectral library. An open source, curated spectral database would be of great utility: the  
482 effort and material resources spent by each individual researcher would be minimized  
483 by avoiding repetition (i.e. each group having to build their own library) while  
484 achieving a degree of complexity and completeness currently unavailable in a single  
485 commercial library. The inclusion of spectra from real environmental samples, whose  
486 identity, when in doubt, could be confirmed through other identification techniques,  
487 would greatly increase matching scores. Besides, having access to a free library would  
488 encourage more MP identification studies for research groups with narrow funding,  
489 thereby expanding our current perception of global MP pollution. Such an enterprise  
490 might seem far-fetched, however an open source database for mass spectra – Curatr -  
491 has been developed at the European Molecular Biology Laboratory, Germany, (Palmer  
492 *et al.*, 2017) and is available at <http://curatr.mcf.embl.de/about/curatr/>. The spectra  
493 uploaded by authorized curators are converted into a format compatible with library

494 matching software so that any user can easily download and use them in their own  
495 studies.

496 Finally, an interesting way of optimizing automated identification of MPs with  
497 complex composition might be using the Raman barcode strategy outlined by Lawson  
498 and Rodriguez ((Lawson and Rodriguez, 2016) for the fast identification of counterfeit  
499 drugs. Briefly, both reference (APIs) and sample (counterfeit drug) spectra are  
500 converted into a barcode where each line represents a spectral peak, as illustrated in  
501 Figure 6. The barcode of the sample is then identified through binary comparison with  
502 the reference barcodes, thus speeding up matching and dispensing with spectral  
503 intensity normalization. The barcode strategy has not yet been applied for the  
504 identification of microplastics although it could easily be adapted for that purpose: the  
505 reference barcodes would be those of polymers, colouring agents and common plastic  
506 additives. The advantage of using the barcode for Raman spectral matching is that  
507 ignoring spectral intensity would improve the identification rates for those MPs whose  
508 spectra are overshadowed by the peaks of the colouring agent and/or additives.

509

510 [ INSERT FIGURE 6 HERE ]

511

512 Figure 6 – Illustration of the Raman barcode generation: peaks marked with a circle are included as  
513 vertical stripes in the barcode spectrum. Reprinted with permission from *Analytical Chemistry*, 88, L.S.  
514 Lawson, J.D. Rodriguez, Raman Barcode for Counterfeit Drug Product Detection, 4706–4713. Copyright  
515 2016 American Chemical Society.

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517

518

519

## 520 **6. Future prospects – emerging non-conventional Raman** 521 **techniques**

522

523 This section discusses non-conventional Raman techniques. These are not, at  
524 present, commonly used for the identification of environmental MPs yet might grow in  
525 importance for MP research as the necessary instrumentation becomes more easily  
526 accessible. A theoretical description of unconventional Raman techniques falls outside  
527 the scope of this review, having been comprehensively discussed elsewhere (Stewart *et*  
528 *al.*, 2012; Opilik *et al.*, 2013). Furthermore, the aim here is not to delineate novel  
529 detection protocols but merely bringing the reader's attention to a wider set of possible  
530 Raman tools using concrete examples from the available literature.

531 As mentioned before, the majority of works employing  $\mu$ -Raman for MP  
532 detection follows a mapping, point by point strategy. The spectra collected at each  
533 spatial location may then be put together to create a Raman image. However, this cannot  
534 be considered a true imaging technique. Proper Raman imaging may rely on either  
535 spontaneous or nonlinear processes. In spontaneous Raman imaging – wide-field  
536 Raman - a whole sample section is illuminated by defocusing the laser and collecting an  
537 image in a single measurement, a strategy not yet applied for environmental MP  
538 analysis. In contrast, proper FTIR imaging using FPAs for MP detection has been  
539 demonstrated before. An interesting example of wide-field Raman imaging is the proof  
540 of concept demonstration of Schmäzlin *et al.* (Schmaelzlin *et al.*, 2014), where a fiber-  
541 coupled high-performance astronomy spectrograph (MUSE) was used to image  
542 polystyrene (PS) (50  $\mu\text{m}$ ) and PMMA (100  $\mu\text{m}$ ) microspheres scattered on a metal plate.

543 The exposure time was 2 minutes and, after background subtraction and automated  
544 fluorescence correction, the area of non-overlapping characteristic peaks was integrated  
545 over the sample area to produce the false color images shown in Figure 7. Although the  
546 image presents poor spatial resolution the location and size of the PS and PMMA  
547 microspheres are clearly defined. The authors themselves warn that this preliminary test  
548 is intended to illustrate the lower limit of the technique's capabilities, since the MUSE  
549 spectrograph (designed for astrophysical exploration of distant galaxies) had not been  
550 optimized for this specific purpose.

551

552 [ INSERT FIGURE 7 HERE ]

553

554 Figure 7 –Left panel: optical image of plastic microbeads. Center panel: Raman image of PMMA (600  
555  $\text{cm}^{-1}$ ); Right panel: Raman image of PS (1035  $\text{cm}^{-1}$ ). Scale bar is 50  $\mu\text{m}$ . Reprinted from Sensors, 14, E.  
556 Schmäzlin, B. Moralejo, M. Rutowska, *et al.*, Raman Imaging with a Fiber-Coupled Multichannel  
557 Spectrograph, 21968-21980, Copyright 2014, under the terms of the Creative Commons Attribution  
558 license 3.0.

559

560 The next frontier in MP characterization is real-time analysis of flowing  
561 particles. Conventional Raman techniques, based on spontaneous Raman scattering, are  
562 unfit for this purpose. The inherently weak scattering intensity results in the necessity of  
563 long dwelling times – milliseconds to seconds - to achieve a good signal. However, the  
564 improved signal intensity achieved by nonlinear Raman techniques such as coherent  
565 anti-Stokes Raman scattering (CARS) and stimulated Raman scattering (SRS) opens up  
566 new possibilities for real-time MP analysis. In CARS and SRS, a strong signal is  
567 elicited only from the molecular vibrational modes of interest. Thus, the signal is free  
568 from fluorescence, even if strongly fluorescing contaminants are present - as long as

569 these are inactive at the frequency of interest, the sample signal will not be influenced.  
570 Therefore, a sufficient signal can be acquired quickly and sample preparation becomes  
571 less important – an ideal scenario for real time detection of MPs in environmental  
572 compartments.

573 The use of CARS for locating MPs within biological organisms has been  
574 demonstrated before for zooplankton (Cole *et al.*, 2013) and crabs (Watts *et al.*, 2016,  
575 2014), as briefly discussed elsewhere (Ribeiro-Claro *et al.*, 2017), and more recently,  
576 fairy shrimp and zebrafish embryos (Galloway *et al.*, 2017). The latter study, by  
577 Galloway and colleagues, detected nanoparticles of acrylic co-polymers as small as 80  
578 nm, thereby pushing the limit of plastic particle detection from the micro- to the  
579 nanoscale.

580 The potential of SRS for fast analysis of MPs did not go unnoticed by the  
581 microplastics research community, as demonstrated in a recent proof of principle study  
582 by Zada and co-workers (2018). SRS microscopy was used, in a time-efficient manner,  
583 to detect and distinguish MPs of five commonly encountered polymers. The analysis  
584 was calibrated at six different wavenumbers (Figure 8a), selected by statistical methods  
585 to tell apart the MPs from the background while maximizing polymer type  
586 discrimination. Figure 8b displays the SRS identification images of model MPs (upper  
587 panel) and its respective color maps identifying polymeric composition (lower panel).  
588 The technique was successfully applied in the detection of MPs present in glitter nail  
589 polish and sediments from the Rhine estuary. Zada *et al.* report a total SRS analysis time  
590 of 2.7 minutes per mm<sup>2</sup>, an impressive speed especially when taking into account that  
591 there was no particle pre-selection: the whole filter area was scanned for Raman signals.  
592 A comparable full mapping analysis using conventional Raman took 38 hours per mm<sup>2</sup>,  
593 as reported by the same authors. With conventional Raman, short measurement times



594 ranging from a few seconds to a few minutes per mm<sup>2</sup> are achievable, but only by  
595 drastically reducing the number of data collection points through automated particle  
596 pre-selection (see Table 2). The advantage of the latter strategy is greater flexibility,  
597 since it can detect a broad range of polymer types, while the SRS scheme suggested by  
598 Zada and co-workers only identifies the polymer classes included in the calibration step.

599

600

[INSERT FIGURE 8 HERE]

601

602 Figure 8 – a) Spontaneous Raman spectra of Nylon, PET, PS, PE and PP with circles indicating  
603 the wavenumbers used in the SRS analysis. b) Upper panel: SRS identification images of the  
604 aforementioned polymers, with unequivocal identification points shown as white. Lower panel: Color  
605 coded version of the identification image above. Adapted from (Zada et al., 2018) under a Creative  
606 Commons license (<https://creativecommons.org/licenses/by/4.0/legalcode>)

607

608 Zhang *et al.* (C. Zhang et al., 2017) demonstrated the capabilities of SRS  
609 coupled with flow cytometry in the fast identification of plastic microspheres. Beads of  
610 PMMA and PS, 10 µm in size, were suspended in water and run through a flow  
611 cytometry device coupled to a multichannel SRS system (FC-SRS). The FC-SRS  
612 prototype includes a custom-built signal amplifier, allowing the collection of a Raman  
613 spectrum in merely 5 µs. With the flow speed set to 400 mm/s, ~11 000 microspheres  
614 per second were successfully identified, an astonishing detection rate compared with the  
615 timescales previously discussed in this review. Figure 9 (left) shows a time-stack SRS  
616 image corresponding to 1.8 ms, a short time window during which 8 PMMA and 5 PS  
617 beads were identified through their characteristic peaks in the CH stretching region,  
618 shown in Figure 9 (right), aided by multivariate curve resolution.

618

619

620

[ INSERT FIGURE 9 HERE ]

621

622 Figure 9 – Left panel: Time stacked window recorded in 1.8 ms, 8 PMMA beads (peak centered at 2955  
623  $\text{cm}^{-1}$ ) and 5 PS beads (peak centered at 3060  $\text{cm}^{-1}$ ) were detected. Right panel: SRS (dashed) and  
624 spontaneous Raman Spectra (bold) of PMMA and PS. Reprinted from Optica, 4, C. Zhang, K. Huang, B.  
625 Rajwa *et al.*, Stimulated Raman scattering flow cytometry for label-free single-particle analysis, 103-109,  
626 Copyright 2017, under OSA Open Access License

627

628 Combining real time Raman analysis and Raman imaging, Liao et al. (2017)  
629 recently proposed a handheld SRS microscope with optical fibre laser delivery that  
630 permits *in situ* and *in vivo* chemical identification. The device, depicted in Figure 10,  
631 permits imaging at a rate of 8 frames per second, thereby reducing distortion generated  
632 by hand movement. The Raman image shown in Figure 10, displaying the spatial  
633 locations of PS and PMMA microbeads (5  $\mu\text{m}$ ), as well cellulose fibers (from the filter  
634 paper underneath) was generated in a mere 3 seconds. Fast, steady and accurate, the  
635 handheld SRS device might be an interesting option for real-time monitoring of MPs in  
636 food, cosmetics and other consumer products. The handheld device could be suspended  
637 above the production line treadmill, attached to an automated arm which scans the  
638 surface of the product and rapidly detects the presence of MPs.

639

[ INSERT FIGURE 10 HERE ]

640

641 *Figure 10 –Handheld SRS microscope. Inset: SRS image at 2890 cm<sup>-1</sup> of PS/PMMA microspheres on*  
642 *paper (bottom) and output spectra (top). Reprinted with permission from ACS Photonics, C. Liao, P.*  
643 *Wang, C.Y. Huang et al., In Vivo and in Situ Spectroscopic Imaging by a Handheld Stimulated Raman*  
644 *Scattering Microscope, Article ASAP, DOI: 10.1021/acsphotonics.7b01214. Copyright 2017 American*  
645 *Chemical Society*

646

647 While, in the examples discussed throughout this review, the sample is placed  
648 within close reach to the Raman detector, it is possible to collect Raman spectra from  
649 afar, at distances up to hundreds of meters. This approach, deemed Standoff Raman  
650 spectroscopy, has been developed for detecting samples which are hard to reach and/or  
651 dangerous to handle, such as explosives (Gares *et al.*, 2016; Stewart *et al.*, 2012).  
652 Östmark *et al.* (Ostmark *et al.*, 2011) employed hyperspectral spontaneous Raman  
653 imaging for distinguishing explosives from inorganic particles, measuring circa 5 mm,  
654 at a distance of 10 m. The method was then successfully used to detect sulfur  
655 microparticles (20  $\mu\text{m}$ ) dispersed over a brick surface.

656 Bremer *et al.* (Bremer *et al.*, 2011) used standoff CARS to identify explosives  
657 present in trace quantities in a complex chemical background – a polymer solution of  
658 PS and PMMA dispersed in toluene. The Raman images, recorded from a distance of 1  
659 m, were not subject to any background subtraction or other processing procedures, yet  
660 regions rich in PMMA, PS and DNT (explosive) were clearly distinguishable, as shown  
661 in Figure 11.

662

663 [ INSERT FIGURE 11 HERE ]

664

665 Figure 11 – Stand-off Raman images created from a distance of 1 m showing trace detection in a complex  
666 environment. Title on each chemical image refers to the resonance monitored: PS = 1200 cm<sup>-1</sup>,

667 DNT=1350 cm<sup>-1</sup>, PMMA=1750 cm<sup>-1</sup>, and 2,6-DNT=1090 cm<sup>-1</sup>. Adapted from Applied Physics  
668 Letters, 99:10, M.T. Bremer, P.J. Wrzesinski, N. Butcher et al., Highly selective standoff detection and  
669 imaging of trace chemicals in a complex background using single-beam coherent anti-Stokes Raman,  
670 101109, Copyright 2011, with the permission of AIP Publishing.

671 Standoff Raman techniques may be particularly interesting for monitoring MPs  
672 in the ocean surface by passing ships or, indeed, for monitoring any surface with the aid  
673 of a drone or a helicopter, as currently done in the search for explosives in enemy  
674 territory using the LIDAR technology.

675

676

## 677 **7. Conclusions**

678

679 This review outlined the major advantages and limitations of Raman  
680 spectroscopy for the identification of environmental microplastics. Recently suggested  
681 good practices for overcoming the well-known drawbacks of  $\mu$ -Raman were discussed  
682 and some possible future trends for MP analysis briefly introduced. In sum,  $\mu$ -Raman  
683 stands out as the method of choice for the non-destructive identification of very small  
684 microplastics (<20  $\mu\text{m}$ ). Commonly cited drawbacks of Raman techniques, such as  
685 weak signal and fluorescence interference, can be overcome by following appropriate  
686 cleaning protocols, applying baseline removal algorithms and using more efficient  
687 detectors. The development of automated Raman mapping routines enables fast  
688 detection of plastic particles with minimal operator time. By streamlining particle pre-  
689 selection, through particle detection software and, recently, fluorescent tagging of  
690 microplastics, the number of data points to be collected is greatly

691 reduced without compromising representativeness. The current great challenge in  
692 microplastic identification is real-time monitoring, a goal achievable through the use of  
693 ultrafast, nonlinear Raman techniques such as stimulated Raman scattering which, when  
694 coupled with flow cytometry, proved feasible for high-throughput screening of plastic  
695 microbeads. The next decade promises to be a bustling period of activity for  $\mu$ -Raman  
696 MP analysis. The authors of this review sincerely hope their humble contribution will  
697 further the completion of such project.

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699

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706

707

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- 1123

**Highlights**

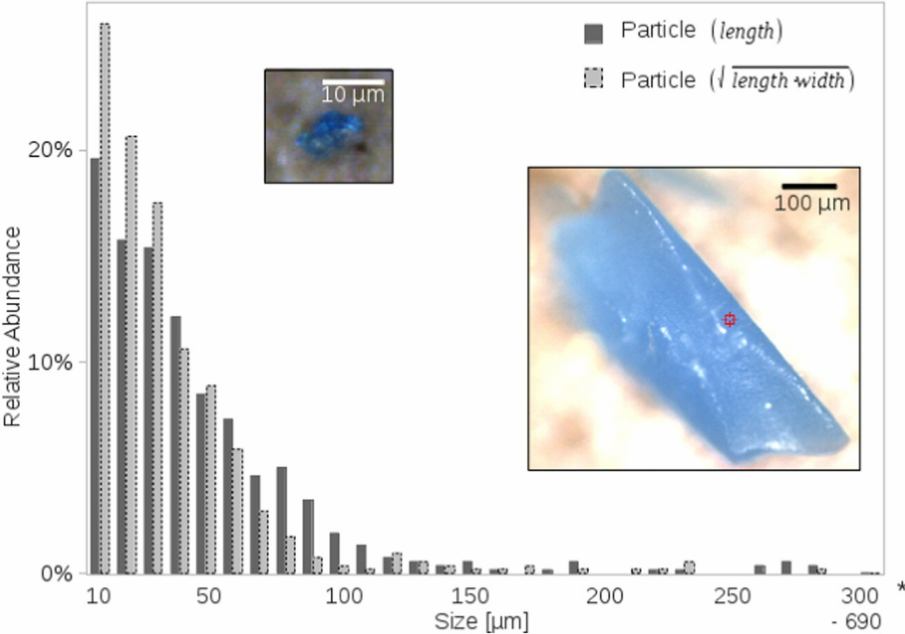
Raman is the method of choice for identifying small microplastics (<20  $\mu\text{m}$ )

Automated mapping routines and library matching allow fast microplastic detection

Nonlinear Raman techniques enable real-time monitoring of microplastics

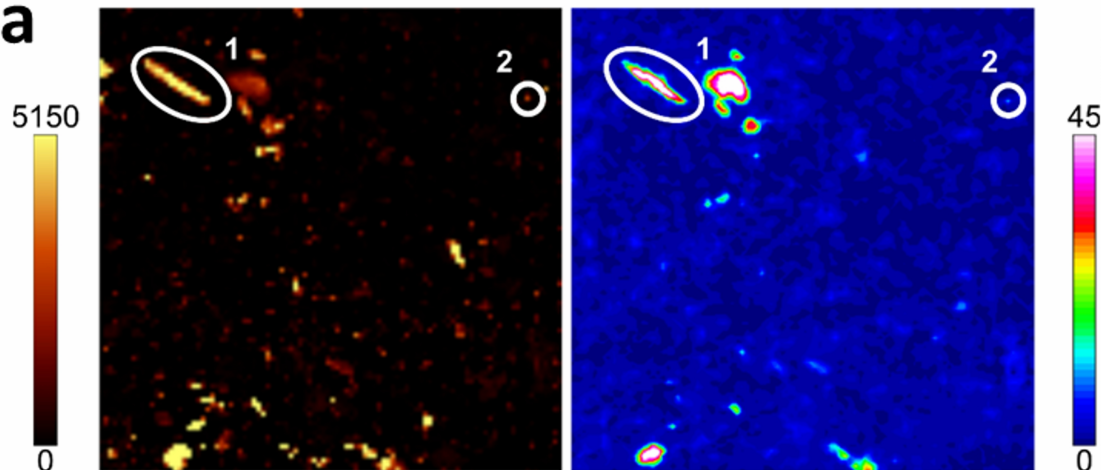
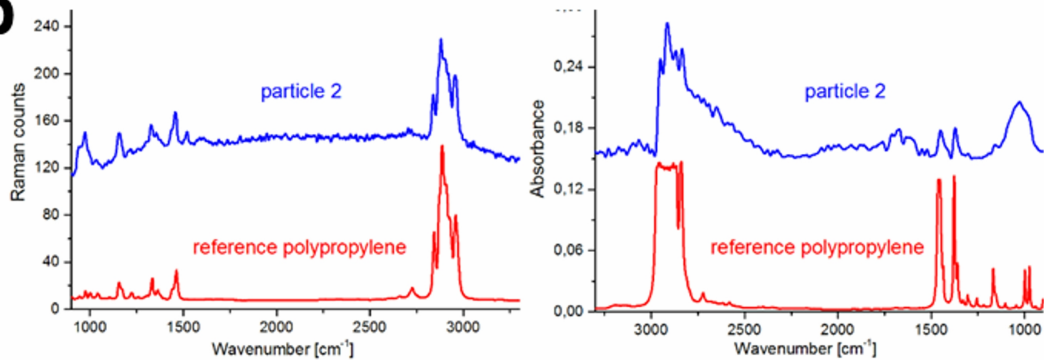
ACCEPTED MANUSCRIPT

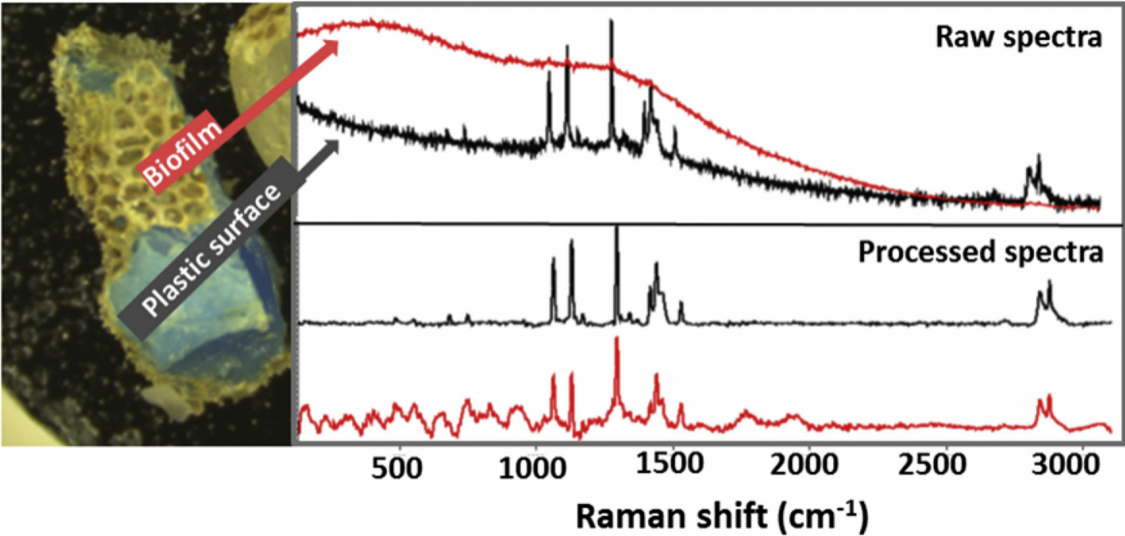




Raman

IR

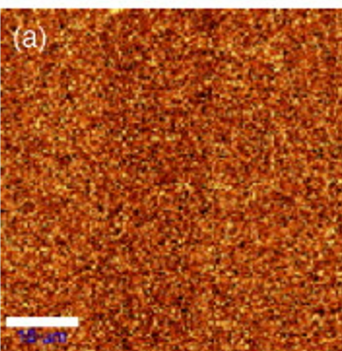
**a****b**



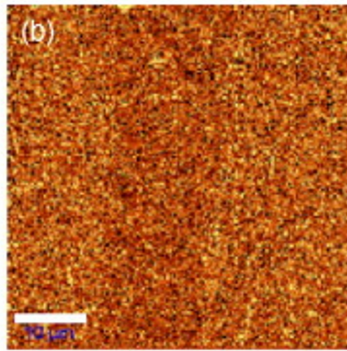
„normal“ BI-CCD

EM CCD

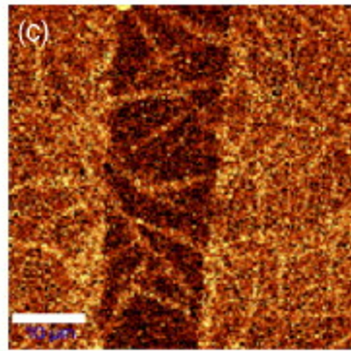
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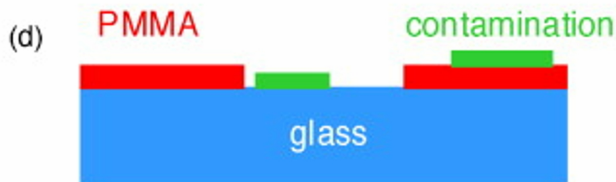
36ms / spectrum



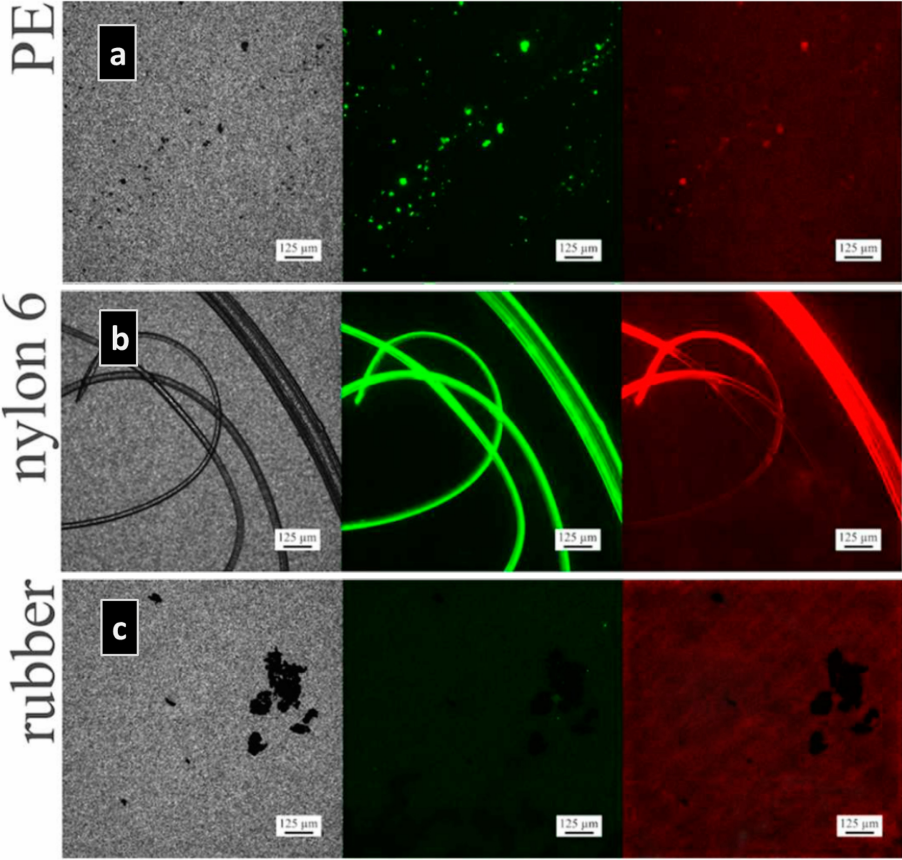
3.6ms / spectrum

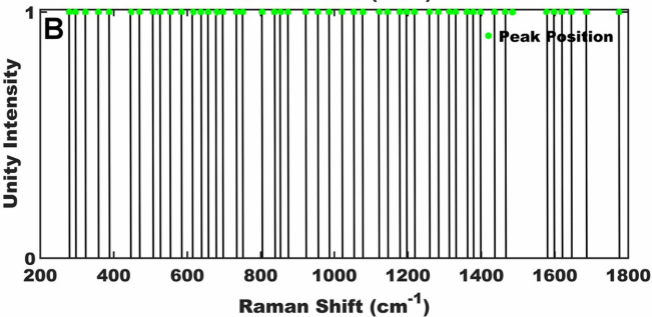
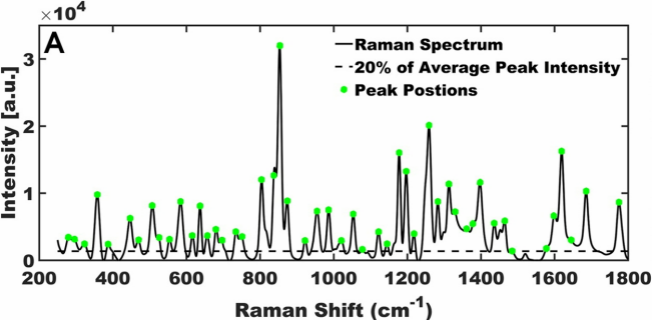


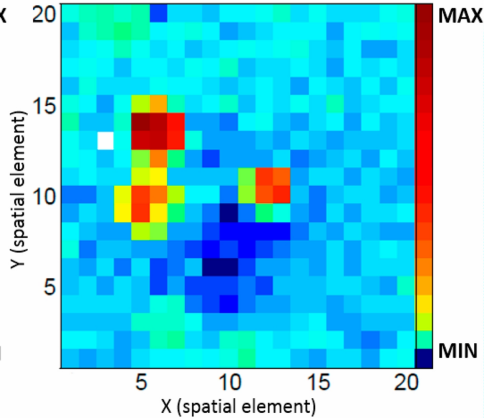
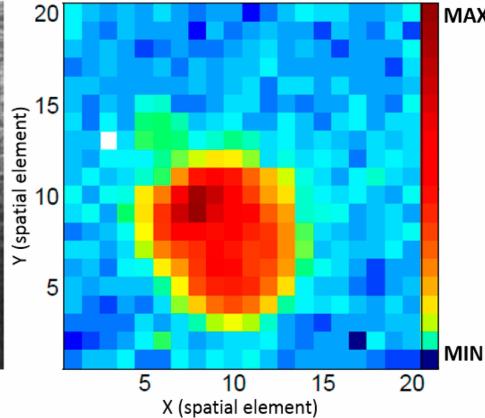
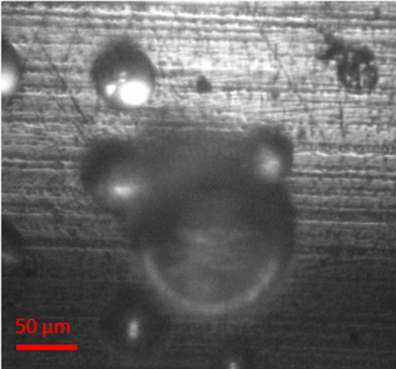
36ms / spectrum

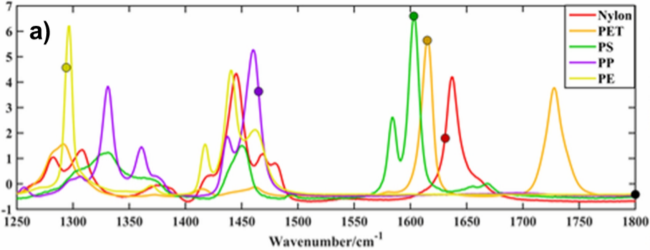


7.1 nm PMMA + 4.2 nm contamination on glass

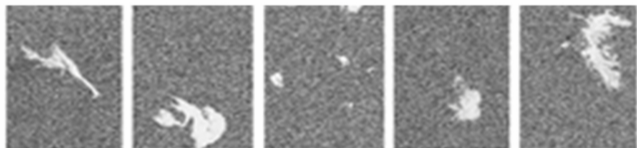








**b)**



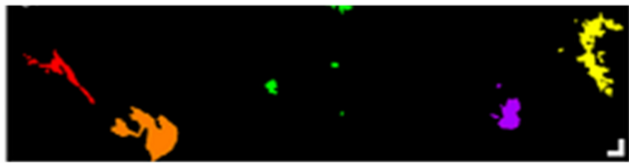
Nylon

PET

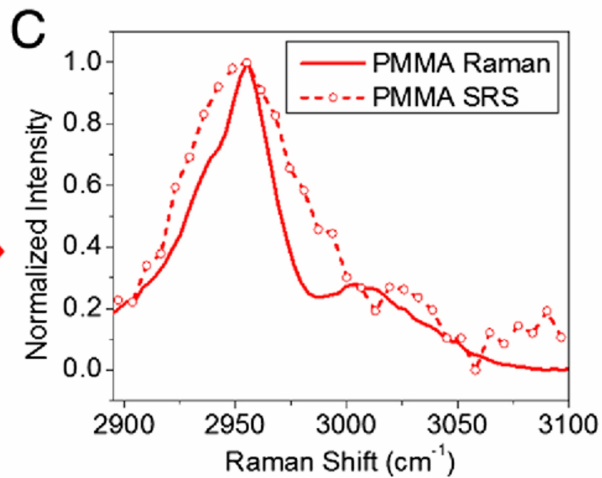
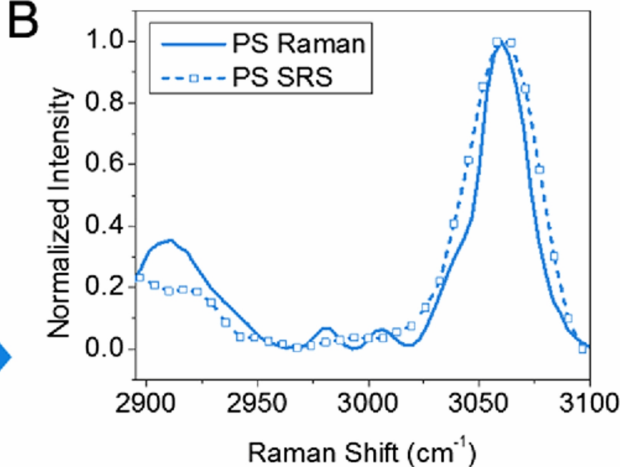
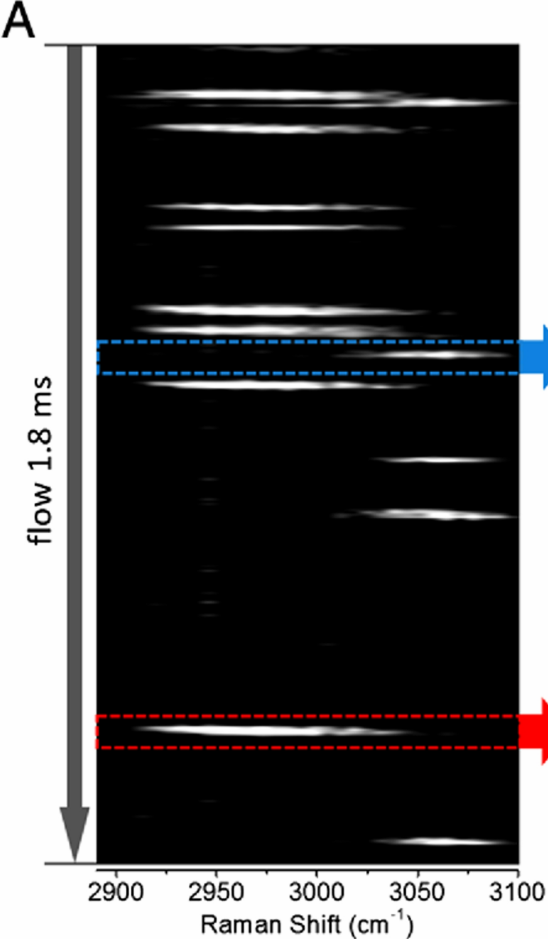
PS

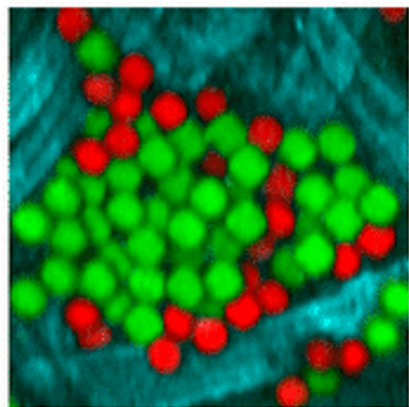
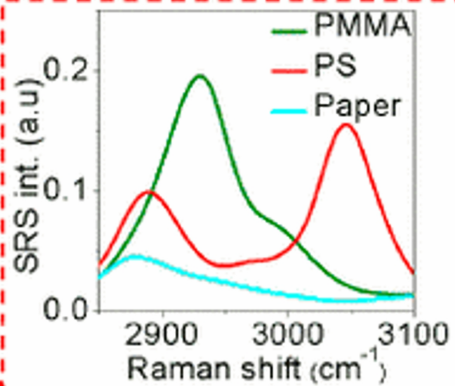
PP

PE







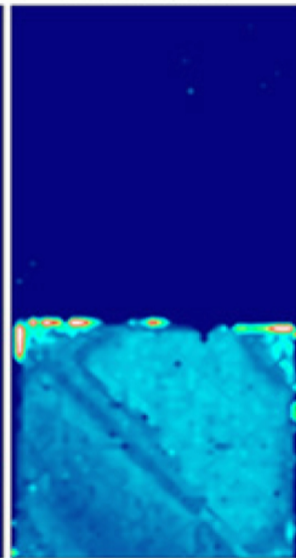
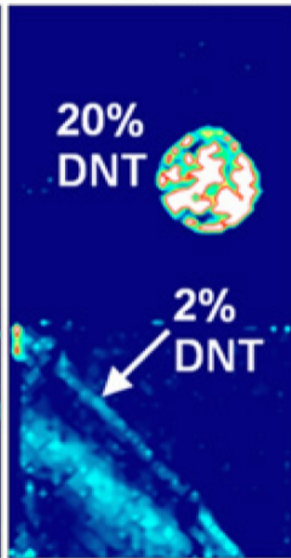
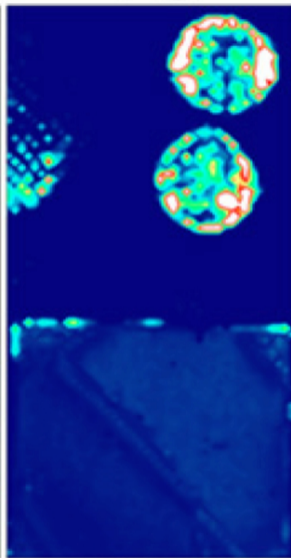


Photo

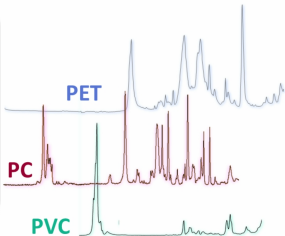
PS

DNT

PMMA



# MICROPLASTICS + RAMAN = IDENTIFICATION



BETTER ✓  
FASTER ✓  
STRONGER ✓