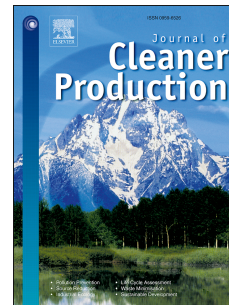


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Journal Pre-proof

Environmental Assessment of Industrial Production of Microalgal Biodiesel in Central-South Chile

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ABSTRACT

Biofuels from microalgae have the potential to replace fossil fuels, without competing with other products derived from crops. This study aims to perform a cradle-to-gate Life Cycle Assessment (LCA) of the industrial production of microalgal biodiesel, using an autochthonous Chilean *Phaeodactylum tricornutum* strain, considering 1 MJ of biodiesel as the functional unit. For the Life Cycle Inventory (LCI) analysis, real experimental data were obtained from the pilot-scale cultivation in a PBR module located in the city of Concepción, in Chile. The scale-up to the industrial plant considers that PBR modules are of the same size as those used in the pilot-scale. The Life Cycle Impacts Analysis (LCIA) considered the ReCiPe 2016 Endpoint (H) V1.00 method. Results show that the whole process contributes to a total of 5.74 kgCO₂eq per MJ of biodiesel produced. PBR construction materials and energy consumption are the main contributors to the life cycle environmental impacts. The sensitivity analysis showed that energy consumption, water reuse and transportation distance

of seawater from ocean to the industrial plant are the critical parameters that most affect the overall environmental performance of the system. The rate of water reuse is particularly critical to the Global Warming Potential (GWP). Results also show that the valorization of co-products is an important aspect to improve the environmental performance of microalgal biodiesel production. Therefore, this study supports the decision-making process in biofuel production to promote the development of sustainable pilot and large-scale algae-based industry.

KEYWORDS: Biodiesel; Chilean conditions; Life Cycle Assessment; Microalgae; PBR cultivation; *Phaeodactylum tricornutum*.

Environmental Assessment of Industrial Production of Microalgal Biodiesel in Central-South Chile

1. INTRODUCTION

Population growth and uncontrolled anthropogenic activity have triggered the demand for energy, as well as the environmental impacts resulting from greenhouse gas (GHG) emissions due to the burning of fossil fuels. The International Energy Agency estimates a 55% rise in the world's primary energy demand from 2005 to 2030, in which fossil fuels remain the dominant source of primary energy (Nakicenovic, 2007). Therefore, there is the challenge of finding alternative sources of renewable energy to control pollution and to contribute to the energy security of countries (Caetano et al., 2017). Several alternatives have been studied with different degrees of success and implementation phases, such as solar, thermal or photovoltaic, geothermal, wind, hydroelectric and biofuels (Martins et al., 2018b). Each has its pros and cons, depending on the area of application, but all have a common goal of reducing GHG emissions and replacing fossil fuels with renewable energy sources (Mata et al., 2013b). Biodiesel and bioethanol can replace diesel and gasoline, respectively, with few or no modifications in the engines of vehicles. In particular, biodiesel can be produced from oils or fats by esterification and/or transesterification reactions (Mata et al., 2013a).

On the other hand, the sustainability of biofuels has been debated due to the dilemma between bioenergy production and food security, since the traditional crops for biofuels compete for arable land (Mata et al., 2011). To overcome this issue, the production of biodiesel can be done using inedible oils, such as waste oils, animal fats, and greases (Mata et al., 2014; Mata et al., 2010). However, the availability and quantities of these inedible oils and

24 fat sources are not enough to meet the current demand for biodiesel. In this context,
25 microalgae are an interesting alternative for biodiesel production, as they do not directly
26 interfere with food production and do not require arable land for cultivation. In addition to
27 being a source of lipids for biodiesel production, microalgae also contain proteins, sugars,
28 essential fatty acids, carotenoids, and vitamins, which can be used as food additives,
29 supplements for aquaculture and animal feed, feedstock for cosmetic or pharmaceutical
30 industries (Mata et al., 2010).

31 Microalgae can grow in almost all ecosystems and, depending on the species, can be
32 cultivated in salty, fresh or brackish water. Microalgae are microscopic organisms, prokaryotic
33 or eukaryotic, multicellular or unicellular. Some species can grow autotrophically, producing
34 biomass and oxygen, using sunlight as a source of energy for photosynthesis, and carbon
35 dioxide (CO₂) as a source of carbon and inorganic salts. Other species can grow in the dark,
36 heterotrophically, using organic nutrients as a source of energy and carbon. Microalgae can
37 also be cultivated mixotrophically, using sunlight as an energy source, CO₂ and organic
38 compounds as carbon sources (Mata et al., 2016).

39 Different techniques and methods are possible for microalgae cultivation, harvesting, lipid
40 extraction and biomass processing for biodiesel production, which are well described in the
41 scientific literature (Mata et al., 2012). The lipid content of microalgae can reach more than
42 50% of biomass dry weight (DW), with variable biomass and lipid yields (Patel et al., 2017).
43 However, the microalgae lipid content varies, depending on growth conditions, nutrient
44 availability or environmental factors such as temperature, pH, heavy metals and salinity
45 (Martins et al., 2016). The fatty acids profile of microalgae directly influences the quality of
46 biodiesel (Williams and Laurens, 2010).

47 Among microalgae, marine diatoms are one of the most productive and environmentally
48 adaptable microalgae, highly abundant, diversified and with a cell wall made of nanostructured
49 silica. In particular, this work focuses on biodiesel production from *Phaeodactylum*
50 *tricornutum*, one of the most exhaustively studied diatom species (Branco-Vieira et al., 2018a).
51 *Phaeodactylum tricornutum* is a marine diatom that can also grow in freshwater. It is highly
52 productive and environmentally adaptable and can be used not only for biodiesel production
53 (Branco-Vieira et al., 2018c, 2017) but also for other applications through a biorefinery
54 process, due to its interesting biochemical composition and ease of cultivation, even in outdoor
55 conditions (Branco-Vieira et al., 2018b, 2018a).

56 There is no single solution for the industrial production of biodiesel from microalgae.
57 There are many process variables to be considered, depending on the microalga species, local
58 climate conditions and nutrient availability, which in turn will affect the total amount of
59 biomass and lipids available and used for biodiesel, as well as the efficiency of the
60 downstream processes. Besides, there are choices to be made for biomass processing (e.g.
61 harvesting, pretreatment, and lipid extraction methods). Therefore, different results will be
62 obtained in different locations and depending on the choices made.

63 It is of fundamental importance to know the environmental performance of the whole
64 process in order to support decision making, which requires performing a Life Cycle
65 Assessment (LCA) of the products and processes involved. Currently, few LCA studies are
66 available, in the scientific literature, for the *P. tricornutum* species used in this work and
67 especially based on real process data. Furthermore, existing LCA studies for microalgal
68 biodiesel are not easily comparable and many are non-reproducible. Most studies are based on
69 secondary information from literature or from process simulations performed. Other studies do

70 not identify the microalga species or the production technologies or deal with just specific
71 environmental indicators to compare substitute products or process alternatives.

72 For example, Batan et al. (2010) evaluated the net energy-ratio and net GHG emissions of
73 microalgal biodiesel considering the life cycle steps from microalgae growth to biodiesel
74 distribution to consumer pumping stations, using LCI data from the Argonne National
75 Laboratory's GREET[®] model (Wang et al., 2007). Baliga and Powers (2010) developed an
76 LCA of biodiesel production from *P. tricornutum* in cold climates, aiming to determine the
77 most suitable operating conditions for minimizing energy consumption and environmental
78 impacts. To estimate actual yields for algae biomass production, energy consumption and
79 emissions, these authors considered a model of a hypothetical tubular closed photobioreactor
80 (PBR), utilizing solar data and biological growth rate information, and for the other life cycle
81 steps the authors used information from the GREET[®] model (Wang et al., 2007). Furthermore,
82 Frank et al. (2012) evaluated the energy use and GHG emissions of microalgal biodiesel, based
83 on secondary data from literature and the GREET[®] model (Wang et al., 2007). Wu et al.
84 (2018) estimated the life-cycle GHG emissions of producing microalgal biodiesel and ethanol
85 simultaneously in a process simulated in Aspen Plus[®] (Aspen Technology - Inc, 2013), based
86 on literature data necessary to perform the process simulations. The authors analyzed different
87 scenarios and possible combinations of lipid percentages, cultivation and pretreatment
88 processes, to maximize total revenue with minimum environmental impact.

89 Campbell et al. (2011) analyzed the life cycle GHG of microalgal biodiesel, based on
90 literature data from algae cultivation in ponds in another location and adjusted for Australian
91 conditions. Brentner et al. (2011) compared various pathways for algal biodiesel production to
92 inform process design of full-scale production, based on literature information and

93 combinatorial LCA. Khoo et al. (2011) carried out energy and CO₂ balances for a hypothetical
94 integrated PBR-raceway microalgae-to-biodiesel, based on literature data adjusted to
95 production in Singapore. Also based on literature information for the inventory analysis,
96 Clarens et al. (2011) estimated the life cycle GHG emissions, energy, and water uses of
97 microalgal biodiesel production, comparing different scenarios. Lardon et al. (2009)
98 considered a virtual facility to perform a comparative LCA study of microalgae biomass
99 production for biodiesel combustion, using literature data about similar technologies applied to
100 other feedstocks (e.g. soybeans) for the Life Cycle Inventory (LCI) compilation.

101 Hou et al. (2011) developed an LCA study for microalgal biodiesel comparing it with
102 other biodiesel feedstocks, using secondary data from the scientific literature, but they did not
103 identify the microalgae species and the process technologies considered in the life cycle steps.
104 Yang et al. (2011) focused on the water and nutrient usage for microalgal biodiesel production,
105 based on literature data for the LCI analysis. Adesanya et al. (2014) performed an LCA of a
106 hypothetical plant for biodiesel production from *Chlorella vulgaris*, based on literature
107 information about microalgae cultivation in a hybrid system consisting of airlift tubular
108 reactors and raceway ponds. Collet et al. (2014a) performed an LCA study of biodiesel
109 production from *Nannochloropsis oculata* cultivated in open raceways under greenhouses.
110 Based on literature information to perform the inventory analysis, these authors considered for
111 microalgae cultivation the available technologies used by companies that produce high added
112 value microalgal co-products. Furthermore, in the case of lipid extraction from biomass, they
113 considered laboratory results of similar processes applied to other feedstock as a proxy,
114 because mature technologies do not yet exist for large-scale microalgae oil extraction.

115 Morales et al. (2019) carried out an LCA focusing on energy performance and

116 environmental impact of biodiesel from microalgae *Chlorococcum* sp. and *Desmodesmus* sp.
117 Their study considers a virtual facility for biodiesel production over 145 ha, for which biomass
118 yield and electricity production results were estimated and used as input for an LCI
119 implemented into SimaPro 8 software. The microalgae yield was simulated using
120 mathematical modeling considering cultivation in raceways located in a solar greenhouse, with
121 variable percentages of photovoltaic panel coverage, under meteorological conditions in the
122 south of France.

123 Finally, a few studies have used real process data or extrapolate them to an industrial
124 facility to perform LCA. In this regard, Siqueira et al. (2018) performed a prospective LCA of
125 biodiesel produced from the heterotrophic cultivation of *Phormidium autumnale*, for which the
126 LCI was based on experimental data from lab-scale cultivation and lipid extraction,
127 complemented with literature information. Sarat Chandra et al. (2018) developed a
128 comparative LCA of the carbon capture potential of *Scenedesmus dimorphus*, during
129 autotrophic growth in closed airlift PBR and open raceway pond under Indian conditions. The
130 analysis was based on primary data obtained from the pilot-scale cultivation of this microalga
131 in both types of cultivation systems, and these data were extrapolated to hypothetical large-
132 scale cultivation. Mediboyina et al. (2020) developed a comparative LCA of autotrophic
133 cultivation of *Scenedesmus dimorphus* in raceway pond coupled to biodiesel and biogas
134 production. The analysis was based on primary data obtained from algal cultivation in a pilot-
135 scale raceway pond and on secondary data of the downstream processes involved in biodiesel
136 production, such as drying, reaction, and purification.

137 Commonly, the assumptions, scenarios, and scope of LCA studies may vary, leading to
138 different LCA results. Besides, LCA studies are region-specific, since there are differences in

139 energy grids, feedstock, transportation modes, and available technologies; specifically, for
140 microalgae-based products, the intrinsic climate conditions for algae growth vary. This implies
141 that environmental impacts for similar products manufactured in two distinct regions may
142 differ significantly.

143 The process for microalgal biodiesel production still has immature technology that is not
144 available commercially. Consequently, it is essential to explore all different aspects of this
145 approach, considering the local characteristics, different technologies and microalgae growth
146 conditions. This manuscript explores the industrial cultivation of microalgae for biodiesel
147 production in a Chilean region that represents an interesting site for an industrial flow of
148 products and raw materials due to its central location, in which the existing industrial
149 conglomerate can promote the industrial symbiosis and a circular economy. Thus, this study
150 aims to analyze, for the first time, the environmental life-cycle impacts of the industrial
151 production of biodiesel from an autochthonous strain of *P. tricornutum*, using real
152 experimental data from this microalga cultivation in a pilot-scale PBR plant located in the city
153 of Concepción, in Chile.

154

155 **2. MATERIALS AND METHODS**

156 An LCA study is used to evaluate the potential environmental impacts of a product,
157 process or activity, considering its life cycle inputs (e.g. materials and energy) and outputs
158 (e.g. waste and emissions to air, water, and soil). LCA is standardized by ISO 14040:2006
159 (ISO, 2006) and it is conducted over four phases: (i) goal and scope definition, (ii) inventory
160 analysis, (iii) impact assessment and (iv) interpretation of results.

161

162 2.1. Goal and scope definition

163 The goal of this study is to conduct an LCA study, considering an attributional approach,
164 of biodiesel produced from *P. tricornutum* cultivated in a microalgae industrial plant facility
165 located at Concepción, Chile. The functional unit (FU) of this LCA is defined as 1 MJ of
166 biodiesel, calculated based on the Lower Heating Value (LHV) of biodiesel, equivalent to 37.80
167 MJ kg⁻¹ (Lardon et al., 2009). The system boundary for this study considers a cradle-to-gate
168 perspective and is represented in Figure 1.

169

170

--Figure 1 here--

171 To deal with the multifunctionality of the process, a system expansion approach is
172 adopted to avoid allocation, considering glycerol and the residual biomass as avoided products.

173 The process for producing microalgal biomass and biodiesel consists of the following
174 steps: (i) pumping of seawater to the reservoir; (ii) mixing of the seawater with the nutrients
175 required for *P. tricornutum* growth; (iii) feeding the culture medium to the PBR; (iv) pumping
176 of microalgae culture to the tank reservoir after microalgae cultivation; (v) harvesting through
177 centrifugation; (vi) filtering of the remaining culture water medium, after biomass
178 centrifugation, and returning it to the seawater tank to be reused in another culture batch; (vii)
179 extraction of lipids from the recovered biomass (containing 15% of dry matter) and; (viii)
180 biodiesel production. The entire production system of biodiesel and co-products can be
181 summarized into upstream and downstream processes. The upstream processes include
182 microalgae cultivation and biomass harvesting. The downstream processes include biomass
183 drying, cell disruption, lipid extraction, oil refining, and transesterification of lipids to biodiesel
184 production. Besides biodiesel, glycerol and residual biomass are also produced as co-products

185 of this process.

186

187 **2.2. Life Cycle Inventory**

188 The source of foreground data for the compilation of the LCI was a pilot-plant facility for
189 the microalgae cultivation in outdoor PBR (Branco-Vieira et al., 2020a) and the biochemical
190 characterization of the microalgae biomass (Branco-Vieira et al., 2020b). To conduct the
191 assessment, the scale-up of the process to an industrial plant was used, considering the
192 experimental results obtained from the PBR pilot-plant (Branco-Vieira et al., 2018c) and the
193 methodology proposed by Spruijt et al (2015). The industrial scaling up considers 10,000
194 modules of vertical bubble column PBR, totalizing 8,000 m³ of cultivation medium. The model
195 considers a 24/7 (hours/days) time of operation and 4 periods per year for the cleaning of
196 reactors, which corresponds to 14 unavailable days for biomass production.

197 According to this model, a total of 181 tons of algal biomass and 15 tons of biodiesel can
198 be produced per year. Consequently, to produce 1 kg of biodiesel it is necessary to have about 12
199 kg of dried algal biomass, considering 9.08% of lipids in its intracellular constitution. Proteins
200 and glycerol are co-products of the process. Each 1 kg of biodiesel generates about 10-fold more
201 of protein-rich residual biomass and 10% of glycerol.

202 The main sources of background data were the Ecoinvent database, version 3.3 (Wernet et
203 al., 2016), the European Reference Life Cycle Database (ELCD) version 3.2, and the Agri-
204 footprint database version 3.0 (Durlinger et al., 2017). The SimaPro version 9 software was
205 used to compile the LCI. In the following sections, the plant infrastructure, the upstream and
206 downstream processes for biodiesel production, and the main assumptions taken in the
207 compilation of the LCI are all described. The mass flow of LCI is presented in terms of kg of

208 mass material per kg of biodiesel.

209

210 **2.3. Infrastructure**

211 The modeled system considers an industrial facility that occupies a total area of 2.51 ha.
212 This area is divided as follows: 1.35 ha to install the outdoor PBR, 1.08 ha of workspace
213 between the PBR, and 796 m² of construction dedicated to the downstream processes. The
214 lifespan considered for the industrial plant infrastructure is 30 years. For the PBR construction
215 the use of polymethyl methacrylate (PMMA) was considered as the main construction material
216 of the PBR bubble columns, whose dimensions were measured *in loco*. Inventory data for
217 infrastructure are shown in Table 1.

218

219 --Table 1 here--

220

221 **2.3.1. Upstream processes**

222 The upstream processes are represented by the cultivation of microalgae and biomass
223 harvesting through centrifugation. The microalgal biomass production was performed using an
224 autochthonous Chilean *P. tricornutum* strain cultivated in natural seawater. The initial lab
225 cultures were scaled-up from laboratory culture collection to 20 liters for the PBR inoculations.

226 The outdoor microalgal cultivation system was performed in a PBR described by Branco-
227 Vieira et al. (2018c) under natural conditions at Concepción, Chile, during summer-autumn. For
228 the PBR culture, a commercial modified Guillard f/2 formulation with silicate was used
229 (Guillard and Ryther, 1962). The supply of atmospheric CO₂ was provided by an air pump.
230 Since data on some of the chemicals used in microalgae cultivation are not available, some

231 replacements were made: ZnCl_2 was replaced by ZnSO_4 , $\text{MnCl}_2 \cdot 2\text{H}_2\text{O}$ was replaced by
232 $\text{MnSO}_4 \cdot \text{H}_2\text{O}$ and $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ was replaced by FeCl_3 , assuming that there was a similar
233 inventory for raw material extraction, processing, manufacturing, and distribution. In the case of
234 $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$, vitamin B12, vitamin B1, vitamin H and $(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$, no replacements
235 could be identified and, thus, they were not considered in the LCI. Furthermore, all of the
236 materials or processes whose contribution to the total environmental impacts in any category is
237 less than 1% may be excluded.

238 The highest biomass concentration obtained was $0.96 \text{ kg}_{\text{DW}} \text{ m}^{-3}$, the maximum volumetric
239 yield was $0.13 \text{ kg}_{\text{DW}} \text{ m}^{-3} \text{ d}^{-1}$ and the photosynthetic efficiency calculated was 5%. The
240 biochemical characterization of *P. tricornutum* revealed an amount of 9.08% of lipids, 7.85% of
241 carbohydrates and 38.40% of proteins (Branco-Vieira et al., 2020b). The total biomass
242 concentration calculated per year is 181 tons. Two cultivation batches per month were
243 considered. The modeling parameters considered one-year of biomass production and were
244 measured *in situ*. The environmental parameters are presented in Table 2.

245

246

--Table 2 here--

247

248 The biomass produced monthly is harvested by centrifugation. The equipment considered
249 for centrifugation is Spiral Plate Technology from Evodos (Evodos Dynamic Settler,
250 Raamsdonksveer, The Netherlands), which produces an algal concentration of $150 \text{ kg}_{\text{DW}} \text{ m}^{-3}$ of
251 culture. After biomass harvesting, it was considered that 90% of the water returns to the PBR
252 and is reused in another batch culture. The resulting algal paste contains about 15% of dry
253 biomass after centrifugation. The inventory data for the upstream processes are presented in

254 Table 3.

255

256

--Table 3 here--

257

258 **2.3.2. Downstream processes and avoided products**

259 Downstream processes include biomass processing for biodiesel production, which is
260 performed by first recovering the intracellular lipids and then converting them into Fatty Acid
261 Methyl Esters (FAME) or biodiesel, via the transesterification reaction. Thus, the process steps
262 in this phase include biomass drying, cell disruption, lipid extraction, oil refining, and
263 transesterification reaction. All these process steps were modeled based on the calculations
264 published by Spruijt et al (2015), using the total amount of biomass produced per one year of
265 operation. In this phase, only data on electricity consumption and chemical materials of the LCI
266 were considered.

267 Briefly, after biomass harvesting, the algal paste is dried in freeze-drier technology with an
268 efficiency of 80%. The drying process was adopted in this model to enhance lipid extraction
269 using a CO₂ supercritical extraction (CO₂-SC). For the calculation of total electricity
270 consumption for biomass drying, the energy needs for removing water from the algal paste were
271 considered. This step accounted for the total biomass losses of the process, represented by the
272 culture crashes and all the remaining biomass inside the processing equipment. The biomass lost
273 in this phase is treated by composting the bio-waste scenario.

274 The cells of dried biomass are milled in a ball mill machine to enhance the extraction of the
275 intracellular components, for which a cell disruption efficiency of 95% is assumed. The ball mill
276 machine has a capacity to process 12.5 tons of algal biomass in 8,000 operational hours. The

277 electricity consumption for ball milling is assumed to be 1.87 kWh kg^{-1} of dry algal biomass
278 (Balasundaram et al., 2012).

279 Lipid extraction is performed using a CO_2 -SC process in which it is assumed that only
280 triglycerides are obtained from the biomass, with an efficiency of 95% of extraction. This
281 process was modeled through the base case for using 10 tons of algal biomass, a vessel size of 10
282 L and 8 kg of biomass per batch. The co-product of this process is protein-rich residual biomass.

283 Before the transesterification process, refining is usually necessary to separate cellular
284 debris, membrane lipids (e.g. phospholipids), and pigments from the triglycerides. This step is
285 required to reduce the contaminants present in the future FAME mixture to acceptable levels for
286 international biodiesel standards. For the refining process, the addition of 4% (w/w lipid) of
287 water, 85% of phosphoric acid at 0.25%, 0.05% of citric acid and caustic soda as a neutralizing
288 agent is considered. The refining step is carried out at $65 \text{ }^\circ\text{C}$ in a mixer-settler tank and the
289 electricity used is the sum of the energy needed to heat up the oil to $65 \text{ }^\circ\text{C}$ and the energy to mix
290 the material in the tank.

291 The transesterification process is based on the alkali-catalyzed reaction, using potassium
292 hydroxide (KOH) as the catalyst agent, an amount of 1% (w/w) of the lipids entering in the
293 process. Methanol was used as a reagent for the transesterification reaction. The molar ratio of
294 methanol to lipid is assumed to be 6:1 (v/v), and 98% of triglycerides conversion to FAME in 1
295 hour of reaction time is considered. Furthermore, a final step is included after the
296 transesterification reaction, to remove the catalyst, by adding sulfuric acid (H_2SO_4) to neutralize
297 it, followed by water washing, also to remove any remaining glycerol and salts from the
298 biodiesel. The density of biodiesel considered in this study is 880 kg m^{-3} . The inventory data
299 for the downstream processes are shown in Table 4.

300

301

--Table 4 here--

302

303 **2.4. Life Cycle Impact assessment**

304 The Life Cycle Impact Assessment (LCIA) phase is conducted considering ReCiPe 2016
 305 (H) V1.00 (Huijbregts et al., 2016). The hierarchist perspective is chosen as it relies on
 306 scientific consensus regarding the time frame and plausibility of impact mechanisms
 307 (Huijbregts et al., 2016). Since water use (both fresh and marine) is critical for the production of
 308 biodiesel from the microalga strain used in this study, the ReCiPe midpoint was selected over
 309 other available methods since it considers, among its impact assessment categories, water
 310 consumption, freshwater ecotoxicity, and marine ecotoxicity.

311

312 **2.5. Sensitivity analysis**

313 A sensitivity analysis was conducted to assess the robustness of the results due to the
 314 methodological choices and initial assumptions of the model. To assess the sensitivity of results
 315 to selected parameters, a perturbation analysis was performed. The perturbation analysis is based
 316 on a variation of $\pm 10\%$ on each of the selected parameters (Heijungs and Kleijn, 2001). To that
 317 end, a sensitivity ratio (SR) was used to calculate the rate between two relative changes
 318 (Equation 1).

319

$$SR = \frac{\Delta_{result}/initial\ result}{\Delta_{parameter}/initial\ parameter} \quad (1)$$

320

321

322

Where Δ_{result} is the variation obtained in the result, $\Delta_{parameter}$ is the variation of
 $\pm 10\%$ on the selected parameters, *initial result* is the result initial value and
initial parameter is the parameter initial value.

323 For the evaluation to be more realistic and representative, only those analyses with a SR =
324 $\pm 1\%$ of change in total results are considered to significantly influence the total results. This cut-
325 off criterion was selected as they reflect that a 10% change in the assessed parameter generates a
326 0.1% variation in the results.

327 The sensitivity analysis considered the following parameters: (i) energy use of the whole
328 process; (ii) the total amount of nitrogen (N content) and (iii) phosphorus (P content) used in the
329 cultivation system; (v) water reuse factor in the cultivation system; (iv) the amount of CO₂ used
330 in the lipid extraction process; (vi) methanol recovered and reused in the transesterification
331 process (vii) transport distances of seawater from ocean to the industrial plant. Energy use is
332 selected as it is known to be a relevant aspect of the environmental impacts of the production of
333 biodiesel from microalgae (Collet et al., 2014). The other variables are considered as they reflect
334 critical aspects for microalgae cultivation (N and P are critical for biomass growth), for reflecting
335 best practices (water reuse), for lipid extraction from the biomass (CO₂ use in CO₂-SC
336 technology), for biodiesel production (methanol recovery is critical for the transesterification
337 process due to its toxicity), and to assess strategic aspects of the production (transport distances
338 of seawater from ocean to the industrial plant, which affect the plant location).

339

340 **3. RESULTS**

341 **3.1. Contribution analysis of microalgae-based biodiesel production**

342 The contribution analysis of the involved processes throughout the microalgae-based
343 biodiesel production system was conducted based on each impact category of the
344 characterization method based on the obtained results (Figure 2). It consists of assessing
345 processes that contribute the most to the potential environmental impacts of the production

346 system. The absolute values of the results per impact category are presented in the
347 Supplementary Material (Table S1).

348

349 --Figure 2 here--

350

351 The microalgae cultivation represents a considerable influence on the environmental
352 impacts in almost all impact categories, which are more thoroughly discussed in the next sub-
353 sections. Other major contributors to the impacts are the downstream processes, infrastructure
354 and harvesting. Downstream processes are the second-largest process stage contributing to each
355 impact category. Since they consist of several sub-processes, Figure 3 presents its disaggregated
356 contributions to the total impacts, which shows drying and cell disruption as the greatest
357 contributor to all impact categories.

358 --Figure 3 here--

359

360 Regarding the positive impacts of the assessed system, they occur as proteins and glycerol
361 are considered as avoided products in the LCA modeling. In terms of mass flow, each 1 kg of
362 biodiesel generates about 10 times more protein-rich residual biomass and 10% of glycerol. The
363 protein-rich biomass can be considered for animal feed, and glycerol can be used for several
364 applications in the pharmaceutical, medical, food and personal care industries.

365

366 3.1.1. Global Warming Potential (GWP)

367 Concerning GWP, the impacts of the system are well distributed across the production
368 phases. The total amount of CO₂ equivalents (CO_{2eq}) emitted during the entire process is 5.74

369 kgCO_{2eq} per 1 MJ of biodiesel. The infrastructure is the largest contributor to this impact
370 category, summing up 1.85 kgCO_{2eq}. The GWP of infrastructure in this sub-process is mostly
371 due to the use of PMMA in the construction of the PBR, which accounts for 97.8% of total
372 carbon emissions. Cultivation is the second largest contributor to carbon emissions, and it is
373 responsible for emitting about 1.51 kgCO_{2eq}. The high rate of carbon emissions in this phase is
374 noted by the transportation of seawater, by truck, from ocean to the industrial facility, which
375 represents 100% of carbon emissions in this process. Otherwise, the CO₂ consumption from
376 microalgae during their growth is associated with the reduction of 0.373 kgCO_{2eq}, added to the
377 negative contributions, linked to the avoided production of glycerol and biomass-rich protein,
378 which totalize an emission reduction of 0.385 kgCO_{2eq} by these co-products.

379 The total contribution of the downstream processes to the carbon emissions is about 1.4
380 kgCO_{2eq}, followed by the harvesting process (contribution of 1.37 kgCO_{2eq}). Regarding the
381 downstream processes, the main contributor to the carbon emissions is biomass drying (48.4%),
382 which is responsible for 0.677 kgCO_{2eq}, followed by cell disruption (0.365 kgCO_{2eq}) and lipid
383 extraction (0.330 kgCO_{2eq}). Drying is the largest contributor since it is the most energy-intensive
384 process, accounting for 44.27% of the total energy requirements, considering only the primary
385 energy demand from the grid. The electricity consumption in the drying process accounts for
386 almost 98% of the total carbon emission in this step (0.663 kgCO_{2eq}). The lipid extraction sub-
387 process is the third-largest contributor to the carbon emission of the downstream processes for
388 biodiesel production (Figure 3). The transesterification reaction for biodiesel production
389 represents the smallest contributor to the GWP among all the downstream processes.

390

391 **3.1.2. Stratospheric Ozone Depletion (SOD)**

392 Impacts on SOD are mostly related to the cultivation step. In this phase, the cultivation
393 contributes about 68.1% to other sub-processes. Particularly, the use of chemicals in the
394 cultivation system contributes 79.9% to this impact category. The use of sodium nitrate, within
395 the use of chemicals, has a relative contribution of 98.3%. Regarding the downstream processes,
396 biomass drying showed a contribution of 57.7%, represented mostly by energy consumption
397 (77.4%) and composting of the biomass residues, modeled in this step to represent all the
398 biomass lost in the whole process (22.6%) due to culture crashes and the biomass residues that
399 remain inside the equipment. The biomass composting has a significant contribution only to this
400 impact category, totaling 22.6%.

401

402 **3.1.3. Ionizing Radiation (IOR); Ozone Formation, Human Health (OFH); Ozone** 403 **Formation, Terrestrial Ecosystem (OFT)**

404 Contributions to IOR are mostly explained by emissions related to the energy use in
405 cultivation, harvesting and downstream phases, and the harvesting process is the main
406 contributor to this category, representing 50.1% of the total. The impacts on OFH and OFT
407 categories are partly mitigated due to the avoidance of the production of rich-protein biomass
408 (modeled later as fishmeal), addressed to the feed market. The contribution of the cultivation
409 system to both categories represents about 59% of the total impacts, which is related to the use of
410 fossil fuels to transport seawater to the industrial plant. Subsequently, in the downstream process,
411 biomass drying contributes 55% to these impact categories summed together.

412

413 **3.1.4. Fine Particulate Matter Formation (FPF)**

414 Concerning the FPF, the downstream processes contribute 72.5% to this impact category.
415 The assessment of FPF shows that biomass drying is responsible for 59.9% of this impact,
416 mainly due to the dispersion of particulates with granulometry below 25 μm and sulfur
417 composites associated with Chilean electricity matrix emissions.

418

419 **3.1.5. Terrestrial Acidification (TAC)**

420 Regarding the TAC, there is a similar contribution from the infrastructure (29.9%),
421 cultivation (29.5%) and downstream processing (27.0%). The contributions to this category are
422 mainly due to the emission of sulfur and nitrogen composites. For infrastructure, the emission of
423 these compounds is related to the PBR construction, while in the cultivation phase they are
424 linked to fossil fuel use during the transport of seawater to the plant, and finally, in the
425 downstream processes they are related to the electricity use for biomass drying.

426

427 **3.1.6. Freshwater Eutrophication (FWE)**

428 The main contributor to FWE is the downstream processes (45.3%). This contribution is
429 mostly explained by the electricity consumption in biomass drying and its phosphorus emission,
430 even in low proportions, by the Chilean electricity grid.

431

432 **3.1.7. Terrestrial Ecotoxicity (TEC)**

433 Regarding the Terrestrial Ecotoxicity (TEC), 74.1% contribution to this impact category is
434 associated with the cultivation process, mainly related to the seawater transport to the plant and
435 due to the necessary raw materials for truck construction, especially copper extraction. The latter

436 is represented by the Ecoinvent database input and it is reflected in this assessment due to the
437 choice of using this kind of transport modality.

438

439 **3.1.8. Freshwater Ecotoxicity (FEC); Marine Ecotoxicity (MEC); Human Carcinogenic** 440 **Toxicity (HCT); and Human Non-carcinogenic Toxicity (HNT)**

441 FEC, MEC, HCT and HNT all have contributions from the cultivation process, followed
442 by the downstream processes, more specifically, due to the emissions of Zinc and Chromium VI,
443 substances that are highly toxic to human health and the aquatic environment.

444

445 **3.1.9. Land Use (LUS)**

446 Regarding the LUS category, the downstream processes represent the highest contribution
447 (43.4%), which is greater than that of the site infrastructure that includes the impacts of direct
448 land use for the industrial plant. The infrastructure contributes 19.2%, followed by cultivation
449 (25.2%) to land use. Since this category considers the damage to ecosystems due to the effects of
450 occupation and transformation of land, the downstream processes highly influence land
451 occupation impacts, mainly those related to the use of raw materials. By analyzing the
452 downstream process, it is possible to observe that the drying process contributes to 54.2% of
453 total impacts inside this category, due to the electricity consumption from the grid. This could be
454 explained by the fact that the Chilean electricity grid mix is composed of 31% of hydropower
455 and 38% of mineral carbon as a primary energy supply in 2018 (CNE, 2018).

456

457 **3.1.10. Mineral Resource Scarcity and Fossil Resource Scarcity (FRS)**

458 FRS is mostly explained due to the consumption of fossil fuels (mineral diesel). As
459 mentioned above, terrestrial transportation is considered for the transport of seawater to the water
460 treatment facility to be used in the cultivation process, and to the transportation of raw material
461 to the industrial plant during the construction phase.

462

463 **3.1.11. Water consumption (WAC)**

464 About 90% of the WAC impact is related to the cultivation step, since seawater is collected
465 as a medium for the microalgae cultivation in the PBR. Water consumption in this step is
466 obtained by summing both sea and freshwater. Although seawater is used for the culture medium
467 preparation, freshwater is used for the culture thermoregulation in the cooling equipment, which
468 is particularly important during the summer season.

469 This study considered a water reuse model for the WAC, a practice that is still not so
470 common in the microalgae industry. The reuse model considered that 90% of the water, after the
471 harvesting process, is returned to the PBR, to feed another microalgae cultivation batch. This
472 practice considerably reduces the impacts on water use. The harvesting process shows negative
473 impact values, i.e. a positive outcome on the environmental performance of the process. This
474 positive effect can be explained because the culture medium is almost totally returned to the
475 reactor (about 90%), after microalgae harvesting by centrifugation, and thus, the generation of
476 residual water that is conducted for treatment is minimal. Due to the composition of this
477 wastewater, it can be sent to a typical domestic treatment wastewater facility.

478 The other contributions to this WAC impact category are related to the use of water in the
479 downstream processes, especially by the drying process, because the model accounts for the
480 percentage of microalgal biomass humidity that will evaporate and, thus, could not be reused.

481 Furthermore, the waste scenario of the remaining biomass for composting generates a positive
482 effect, represented by negative values on the total impact factor for this process, owing to the
483 possible substitution of fertilizers.

484

485 **3.2. Sensitivity analysis**

486 The perturbation analysis (described in Section 2.5) was based on an increase and
487 decrease of 10% of seven different parameters. The results of the sensitivity analysis are shown
488 in Table 5, in which the parameters that change the results by more than 1% are highlighted.
489 The sensitivity analysis presents a strong correlation between the LCIA results.

490

491 --Table 5 here--

492

493 Based on the results achieved in the sensitivity analysis, it is seen that the energy
494 consumption and transport distance are the parameters that most affect the environmental
495 performance of the evaluated system. The energy consumption and transport distances affect all
496 impact categories, except WAC.

497 Water reuse is a very critical parameter, with the highest sensitivity of all scenarios in its
498 contribution to GWP. A change of 10% in this parameter generates a change of 16% in results.
499 This occurs because water use contributes 74.8% to the GWP, considering biomass harvesting
500 upstream process. Water reuse also presents high sensitivity for GWP, SOD, and TAC, and
501 contributes very little to IOR, FPF, and FRS. Since water reuse is directly related to the uptake
502 of seawater from the ocean and its transportation to the industrial plant, this parameter shows a
503 huge contribution to the GWP and in a lower proportion to the other categories, because of the

504 use of mineral fossil fuels by trucks that do the transportation. On the other hand, water reuse is
505 also related to the amount of wastewater generated by the harvesting process that needs to be
506 sent to treatment. Therefore, the greater the water reuse, the lower the total impacts generated in
507 the process.

508 The CO₂ applied to the downstream process does not have sensitivity effects on the
509 analyzed system, since the values achieved for all categories are below $\pm 1\%$. Additionally, the
510 use of fertilizer in this study also has no sensitivity in relation to the impact categories, although
511 the nitrogen use contributes to the SOD. Similarly, the P content and the percentage of methanol
512 produced represent almost no contribution to the impact categories when varied.

513

514 **4. DISCUSSION**

515 The coast of continental Chile is about 4,200 km long and extends from 18°S to about
516 56°S, which makes marine resources of great importance for the country's economic
517 development. The most economically important trading ports are located in Central Chile, in the
518 Bío-Bío Region, the third most populated zone of the country (Aguilera et al., 2019). The
519 Concepción commune is located in this region and it can be seen as an interesting place for
520 microalgae cultivation because of its strategic localization, which facilitates the transportation of
521 materials and products, besides the presence of an industrial conglomerate that can supply CO₂
522 for microalgae cultivation. Moreover, this region has favorable climate conditions and proximity
523 to the coast, which can provide the water for the culture medium and other sea resources. Some
524 studies on microalgae cultivation in Chile have focused on the North of the country (Bravo-Fritz
525 et al., 2015), mainly in the arid region, whose economic characteristics and climate conditions
526 are substantially different from those of the Central-South. Thus, this study faced the challenge

527 of promoting the discussion about implementing an industrial microalgae facility in the city of
528 Concepción in central-south Chile.

529 The use of biofuels is an import strategy to achieve the decarbonization of transportation
530 systems and the reduction in the energy dependency of countries. For Chile, these issues are of
531 major concern as the country is a large importer of energy resources, whose high prices have
532 increased the marginal costs of power generation and the price of electricity (FAO, 2013). The
533 country has one of the highest electricity prices in Latin America and above the average charged
534 in other OECD countries (FAO, 2013). The Chilean Strategy Energy Report 2012-2030 (Chile,
535 2012) declared the requirement of clean and renewable energy in their electric grid. In this way,
536 the Government will aim to accelerate the incorporation of non-conventional renewable energies
537 and reduce the participation of thermoelectric generation.

538 Currently, the country has no commercial production of biofuels. There is low availability
539 of land in the country for the production of biofuels, which means that degraded marginal lands
540 need to be used as an alternative for the production of biofuels (Román-Figueroa and Paneque,
541 2015). In this context, the production of third-generation biofuels from microalgae could become
542 a solution, as they do not require arable land. Biodiesel production from microalgae is still an
543 emerging technology and, to date, there is no commercial production of biofuel from algae
544 anywhere in the world. This translates in uncertainties about its economic and environmental
545 feasibility when compared to other biofuels. Further studies are necessary to understand the
546 economic feasibility of this approach, and other strategies could be analyzed, such as the
547 application of industrial symbiosis in this region to promote a circular economy.

548 Chile's 2050 energy policy (Ministerio de Energía, 2014) envisions a reliable, sustainable,
549 inclusive and competitive energy sector based on four pillars: Security and Quality of Supply,

550 Energy as an Engine for Development, Compatibility with the Environment and Efficiency, and
551 Energy Education. The development of biodiesel from microalgae may be centered on the
552 proposed pillars, since specific policies target boosting industries, such as support and
553 investments in R&D; fiscal incentives to develop national technology; incentives to use less
554 energy-intensive technologies in the production chain; incentives to promote the implementation
555 and use of off-grid energy sources at the production facility; financial support to promote the
556 circular economy and industrial symbiosis to reduce feedstock cost; tax credits or benefits to the
557 microalgae-based biodiesel producers; and, after ensuring the sufficient annual production of
558 biodiesel, implementing the mandatory blend of biofuels into fossil transportation fuels. If the
559 studies are consistent with local contexts, the government will be able to implement more
560 targeted and real public incentive policies.

561 Studies assessing the sustainability of third-generation biofuels focusing on the local
562 situation are important to establish a competitive biofuel market in the Chilean context. To this
563 end, LCA studies play an important role in supporting the development of Governmental
564 policies, because they help identify the processes' bottlenecks. Getting results linked to the
565 specific Chilean conditions is particularly relevant, as it is hard to compare different LCA studies
566 since each microalga strain has intrinsic growth characteristics and a biochemical composition
567 that leads to a varied level of oil production. Each cultivation site presents its particular climate
568 conditions that require a diverse technology portfolio to establish the cultivation system and
569 downstream processes.

570 It was found that the material used for PBR construction contributes greatly to
571 environmental impacts, mainly due to the energy use for PMMA manufacturing. The results also
572 showed that energy consumption and transportation distance are the parameters that most affect

573 the results. Strategies to reduce impacts on the production of biofuels from microalgae regarding
574 these two parameters may consider the reduction of energy use from the grid, the implementation
575 of off-grid renewable energy solutions in the industrial plant, the adoption of alternative fuels for
576 use in transport, and finally, planning the localization of the industrial plant closer to the ocean to
577 supply the process of seawater. This study has demonstrated that cultivation and downstream
578 processes (particularly biomass drying) are the most critical processes in the production of
579 biodiesel from *P. tricornutum*.

580 The avoided products improve the environmental performance of the entire process
581 because a considerable amount of energy content can be found as organic matter in the
582 microalgal biomass, after oil extraction (Collet et al., 2014), meeting the requirements of
583 European Directive on Renewable Energy (European Union, 2009). Almost 90% of the
584 environmental impacts of microalgal biomass production, in this study, are allocated to residual
585 biomass and glycerol, and only 10% to biodiesel production. This fact implies that a large
586 quantity of energy in the residual microalgae biomass can be exploited as co-products of the
587 process. Further discussion is shown in sections 4.1 to 4.3, focused on the most critical impact
588 categories of the assessment.

589

590 **4.1. Energy consumption**

591 Different studies related to microalgae-based products and biofuels have discussed the
592 impacts on energy consumption as the process bottleneck. Examples include the study of Collet
593 et al (2011), which performed an LCA study to analyze biogas production from *Chlorella*
594 *vulgaris* and the study of Pérez-López et al. (2014), which performed an LCA to evaluate the
595 production of eicosapentaenoic acid (EPA) from *P. tricornutum*. Both studies have concluded

596 that electricity consumption is the main contributor to environmental impacts. These findings
597 imply that the energy mix in the country of production is a critical aspect to consider in the
598 production of biodiesel from microalgae.

599 In this study, the energy consumption of all stages and sub-processes was demonstrated to
600 be the highest contributor to the impacts on most of the analyzed categories. The most energy-
601 intensive step in microalgal biodiesel production is biomass drying, totaling 1.08 kWh per MJ of
602 biodiesel and the total energy use of 2.47 kWh per MJ of biodiesel. This result is in line with
603 those obtained by Papadaki et al. (2017), in which an LCA was conducted to assesses the
604 impacts of extraction techniques for recovery of phycocyanin from *Arthrospira platensis*,
605 showing that the drying step is the main contributor to the environmental impacts due to its
606 energy intensity.

607 Another reason that cultivation system operation seemed to be less energy-intensive is that
608 no cultivation heating system was adopted during the winter. This is another advantage of
609 cultivating this autochthonous microalga strain in Concepción, Chile, even with the reduction of
610 biomass production in this period (Branco-Vieira et al., 2020b). Studies considering temperature
611 regulation data from real pilot plants operated in summer, fall and winter have shown that energy
612 consumption is the main environmental burden (Pérez-López et al., 2017). In a Mediterranean
613 climate, closed systems are more energy-intensive, due to artificial solar irradiation and indoor
614 temperature control both in open and closed PBR (Foteinis et al., 2018).

615 Future studies must focus on the development of new technologies for drying processes
616 that are less energy-intensive or that develop approaches for using wet biomass for lipid
617 extraction. In this context, Schneider et al. (2018) performed an LCA of the production of
618 microalgae *Desmodesmus subspicatus*, using electroflotation instead of flocculation with NaOH

619 for harvesting the biomass, followed by centrifugation and drying, causing fewer environmental
620 impacts. Furthermore, Sills et al. (2013) investigated the uncertainty analysis of diverse LCA
621 studies for algal-based biofuels and found that using wet lipid extraction is crucial to increase the
622 environmental performance of this approach. In this study, the assessment performed was based
623 on the scale-up proposed by Spruijt et al. (2015), which does not disclose the variability of
624 estimates. The scale-up model was constructed and validated with real process data to minimize
625 or eliminate most of the uncertainties related to the lack of available data or available but wrong
626 or ambiguous data.

627

628 **4.2. Global warming potential**

629 Regarding GWP, a total of 5.74 kgCO₂eq per 1 MJ of biodiesel was emitted by the entire
630 assessed process, 1.85 kgCO₂eq per 1 MJ of biodiesel by infrastructure, 1.51 kgCO₂eq per 1 MJ
631 of biodiesel by cultivation system, 1.37 kgCO₂eq per 1 MJ of biodiesel by biomass harvesting
632 process and 1.40 kgCO₂eq per 1 MJ of biodiesel by downstream processes. These results are
633 rather high compared to related studies in the literature, particularly because most of them have
634 performed their modeling using theoretical assumptions and best scenarios for a hypothetical
635 biodiesel production process. The microalga strain, microalgal biomass yields, cultivation
636 system, biomass oil content, climate conditions, and the source and percentage of CO₂ supply
637 differ considerably between studies, which usually adopt an optimistic scenario to perform
638 prediction LCA studies. Consequently, direct comparison of existing LCA results in the literature
639 is hard enough, due to the differences in system boundaries, functional units, LCA methodology
640 used and inherent technological uncertainties (Uctug et al., 2017). According to Cuéllar-Franca
641 and Azapagic (2015), the total GWP varies significantly between studies, ranging from 0.019 to

642 0.534 kgCO₂eq per 1 MJ of biodiesel, mainly due to the large difference of the method assumed
643 for disposal of waste biomass generated from microalgae during the production of fuel.

644 On the other hand, Brentner et al. (2011) analyzed the LCA of biodiesel from microalgae,
645 cultivating a strain of *Scenedesmus dimorphus*, supplied with 15% of CO₂, considering several
646 technology options, selected yielding a best-case scenario, comprised of a flat panel enclosed
647 photobioreactor and direct transesterification of algal cells with supercritical methanol. The
648 authors achieved a value of 0.08 kgCO₂eq per 1 MJ of biodiesel for the best case, compared with
649 0.534 kgCO₂eq per 1 MJ of biodiesel for the base case. The base case was characterized by using
650 paddle wheel cultivation system, a solvent process for oil extraction and esterification. However,
651 this work does not consider the electricity and upstream process for CO₂ supply, and the material
652 used for PBR construction was less energy-intensive than those used in this study.

653 Furthermore, Stephenson et al. (2010) discussed the production of biodiesel from *C.*
654 *vulgaris* using air-lift tubular bioreactors and raceways, supplied with 12.5% of CO₂, considering
655 40% of lipids in the microalgal biomass and producing 40 ton year⁻¹ of biomass. These authors
656 obtained an amount of 0.32 kgCO₂eq per 1 MJ and 0.02 kgCO₂eq per 1 MJ of biodiesel for PBR
657 and raceways systems, respectively. Therefore, different values of GWP have been found, when
658 the production was down or up-scaled, obtaining an amount of 1.38 kgCO₂eq per 1 MJ of
659 biodiesel for microalgae cultivation using PBR, when the production is down-scaled to 10 ton ha⁻¹
660 year⁻¹, which represents 431% more GWP. These results suggest that the GWP reduction is
661 sensitive to the scalability of the production. Therefore, it would be interesting to analyze how
662 the scale of the operation and lipid percentage of the strain affects GWP performance.

663 Similarly, Somers and Quinn (2019) performed an LCA to examine the implications of
664 various CO₂ delivery methods to a production-scale algal biorefinery, showing that only

665 uncompressed, pure sources of gaseous CO₂ with pipeline transportation of 40 km or less, and
666 compressed, supercritical CO₂ from pure sources for pipeline transportation up to 100 km
667 contributed less than 0.02 kgCO₂eq per 1 MJ of fuel to the overall system. In this study, the
668 model was based on the use of SC-CO₂ for lipid extraction using a compressed, supercritical CO₂
669 from pure sources, without considering pipeline transportation, which accounted for 0.237
670 kgCO₂eq per 1 MJ of biodiesel added to the contribution analysis of the system. This fact could
671 be corroborated in the sensitivity analysis whose parameters (CO₂ and methanol) do not have
672 sensitivity effects on the analyzed system. Although the GWP achieved in this study for algal
673 biodiesel does not meet the mandatory thresholds in the international standards (European
674 Union, 2009; Sissine, 2010), which is 0.045 kgCO₂eq MJ⁻¹, it would be possible to meet the
675 requirement by replacing technology by lower impact options in the processes.

676

677 **4.3. Water consumption**

678 For water consumption, the results showed that although microalgae cultivation is a water-
679 intensive step, not only for the preparation of the culture medium but also for its
680 thermoregulation, this water consumption is minimized by 90% of wastewater reuse. Currently,
681 this approach is considered a best practice in this sector, since the business as usual scenario is
682 not reusing wastewater in the industry, generating high water consumption and increasing the
683 environmental impacts of the system. Martins et al. (2018a) evaluated the water footprint of
684 microalgae production in a closed pilot-scale PBR on a gate-to-gate approach, showing that the
685 total water footprint lies in the range of 2.4-6.8 m³ per kg of dry biomass. In this study, water
686 consumption of 17.6 m³ per 1 MJ of biodiesel was achieved, which corresponds to 0.47 m³ of
687 water per kg of dry microalgal biomass.

688 Results showed that the use of N and P for the microalgae culture medium was not a
689 major contributor to the environmental impacts of the system, and had no sensitivity regarding
690 the impact categories. Some studies have reported that the use of wastewater as a culture medium
691 could minimize the environmental impacts of microalgae culturing. Schneider et al. (2018)
692 performed an LCA of the production of microalgae *Desmodesmus subspicatus* in raceway ponds,
693 4 tanks of 2,000 L, considering its cultivation in wastewater or NPK medium and different
694 configurations of biomass separation. Results showed that using wastewater to cultivate
695 microalgae, instead of NPK, causes fewer environmental impacts. In this study, the low impact
696 of fertilizer use can be explained mostly by the reuse of water after the harvesting process, which
697 decreases the amount of effluent generated and sent to wastewater treatment.

698

699 5. CONCLUSION

700 Biofuel production is paramount in moving towards the decarbonization of transportation
701 systems. Microalgae-based biodiesel production is strategic for this purpose as microalgae
702 cultivation contributes to lower land use impacts when compared to other feedstock and does not
703 compete with food production. This study conducted an LCA of microalgae-based biodiesel
704 production from an autochthonous strain of *P. tricornutum* in Central-South Chile to achieve a
705 better understanding of its environmental impacts. The study considered a scaled-up facility
706 using real experimental data obtained from a pilot plant located in Concepción, Chile.

707 The LCI compiled in this study considered site-based process data and environmental
708 parameters. Several potential environmental impact categories were assessed, allowing for the
709 identification of cultivation and downstream processes as the main contributors to the total
710 environmental burdens. The results show that PBR construction materials and energy use are the

711 main contributors to environmental impacts. Finding possible ways to reduce the energy
712 intensity of biodiesel production, for example using more renewable energy sources, and
713 developing more sustainable alternative materials for PBR construction, would decrease the life
714 cycle's environmental impacts.

715 The interest in biofuels from microalgae has steadily increased over the years. However,
716 it is not feasible to make a comparison across studies, assessing environmental impacts, due to
717 the differences in the cultivation process and the gap that exists between theoretical studies and
718 those using real process data. Therefore, this study brings the opportunity for Governmental
719 agencies and decision-makers to subsidize the implementation of public policies to foster the
720 research and development of non-conventional renewable energies in Chile. However, future
721 studies are under preparation to investigate the economic feasibility of this approach under the
722 assessed scenario.

723

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740

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- 959

Table 1. Inventory of mass flow for the infrastructure, considering one year of operation. All values are reported in terms of kg of mass material per kg of biodiesel.

Parameter	Inputs	Value	Unit.kg⁻¹
	Infrastructure		
Capital goods	Land	1.66E-04	ha
	PMMA	0.218	m ³

Table 2. Monthly parameters used to calculate biomass production.

Parameter ¹	Months											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
T (°C)	18.4	17.1	15.2	12.3	12.9	8.60	9.30	10.4	12.1	12.6	15.1	16.3
RH (%)	71.7	70.2	77.2	81.2	90.0	85.8	87.7	85.5	77.2	80.1	72.2	71.7
PREC (mm)	1.8	0.0	14.2	67.2	117.6	3.4	189.4	54.0	49.8	71.8	14.4	32.4
GRAD (MJ·kg ⁻¹)	735	775	663	501	320	449	308	416	598	604	740	621

¹ T= Temperature (Chile, 2018); RH = Relative humidity (DGAC, 2017); PREC = Precipitation (DGAC, 2017);

GRAD = Monthly global radiation (calculated).

Table 3. Inventory of flow mass for upstream processes, considering one year of operation. All values are reported in terms of kg of mass material per kg of biodiesel.

Feedstock	Inputs	Value	Unit.kg ⁻¹	Outputs	Value	Unit kg ⁻¹
Cultivation						
	ZnCl ₂	2.62E-07	kg	--	--	--
	CoCl ₂ .6H ₂ O	2.50E-07	kg	--	--	--
	(NH ₄) ₆ Mo ₇ O ₂₄ .4H ₂ O	1.12E-07	kg	--	--	--
	CuSO ₄ .5H ₂ O	2.50E-07	kg	--	--	--
	B12 Vitamin	1.25E-08	kg	--	--	--
	B1 Vitamin	1.25E-08	kg	--	--	--
	H Vitamin (Biotin)	2.50E-08	kg	--	--	--
Chemicals	FeCl ₃ .6H ₂ O	1.62E-02	kg	--	--	--
	MnCl ₂ .2H ₂ O	4.50E-03	kg	--	--	--
	H ₃ BO ₃	0.42	kg	--	--	--
	EDTA	0.56	kg	--	--	--
	NaH ₂ PO ₄ .2H ₂ O	0.25	kg	--	--	--
	NaNO ₃	1.25	kg	--	--	--
	Na ₂ SiO ₃	0.21	kg	--	--	--
	CO ₂	-24.06	kg	--	--	--
Energy	Electricity	1.07	kWh	--	--	--
Water	Freshwater	585.31	m ³	--	--	--
	Seawater	13.07	m ³	Water reuse	-11.77	m ³
Biomass	--	--	--	Culture	12.50	m ³
Harvesting						
	--	--	--	Wastewater	1.31	m ³
Water	--	--	--	Phosphorus-lost	0.22	kg
	--	--	--	Nitrogen-lost	0.51	kg

Energy	Electricity	20.25	kWh	--	--	--
Biomass	Culture	12.50	m ³	Biomass Paste	79.97	kg

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Table 4. Inventory of mass flows for the downstream processes, considering one year of operation. All values are reported in terms of kg of mass material per kg of biodiesel.

Process stage	Inputs	Value	Unit kg ₁	Value	Unit	Unit kg ₁
Drying						
Water	Freshwater	67.97	m ³	Water vapor	64.97	kg
Energy	Electricity from the grid	40.79	kWh	--	--	--
Biomass	Paste Biomass	79.97	kg	Dried biomass	12.00	kg
	--	--	--	Lost biomass	2.17	kg
Cell disruption						
Energy	Electricity from the grid	22.43	kWh	--	--	--
Biomass	Dried biomass	12.00	kg	Processed biomass	12.00	kg
Lipid extraction						
Energy	Electricity from the grid	7.50	kWh	--	--	--
Biomass	Processed biomass	12.00	m ³	Residual biomass	10.97	kg
Gas	CO ₂	9.57	kg	--	--	--
Oil	--	--	--	Lipid	1.03	kg
Refining						
Chemicals	H ₃ PO ₄ .	1.05E-04	kg	--	--	--
	NaOH	3.16E-04	kg	--	--	--
	C ₆ H ₈ O ₇	6.62E-05	kg	--	--	--

Water	Wash water	4.10E-02	m ³	Wastewater	0.05	m ³
Energy	Electricity from the grid	3.17E-02	kWh	--	--	--
Oil	Lipid	1.03	kg	Refined oil	1.02	kg
Transesterification						
	KOH	0.01	kg	--	--	--
	CH ₃ OH	0.22	kg	CH ₃ OH	0.11	kg
Chemicals	--	--	--	CH ₃ OH recovered	0.10	kg
	H ₂ SO ₄	8.87E-03	kg	--	--	--
Water	Wash water	0.25	m ³	--	--	--
Energy	Electricity from the grid	0.06	kWh	--	--	--
	Refined oil	1.02	kg	Biodiesel	1.00	kg
Products	--	--	--	Glycerol	0.10	kg
	--	--	--	Residual biomass	10.97	kg

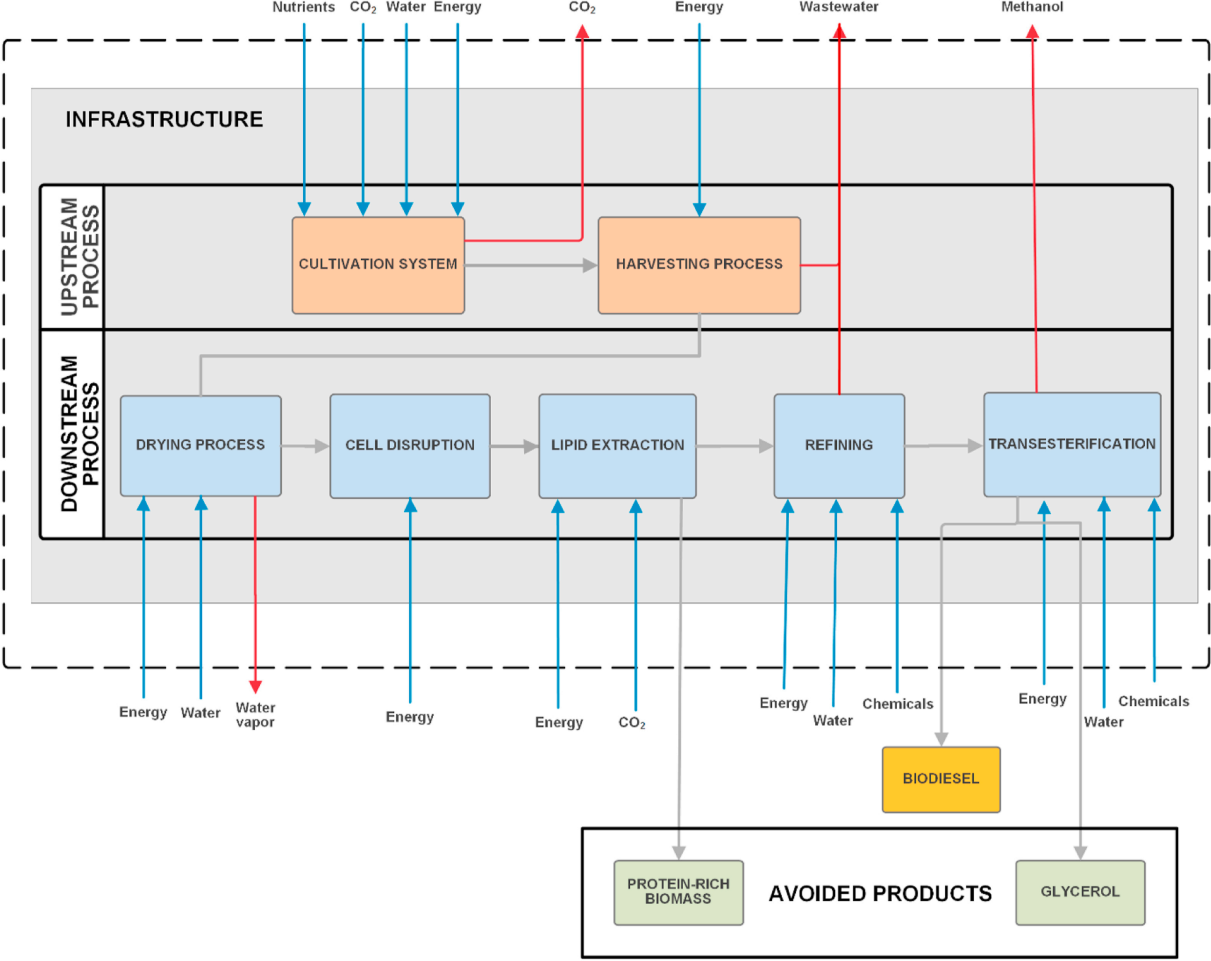
Table 5. Sensitivity analysis scenarios obtained by variations of $\pm 10\%$ in each input parameter. The output is indicated for all impact categories.

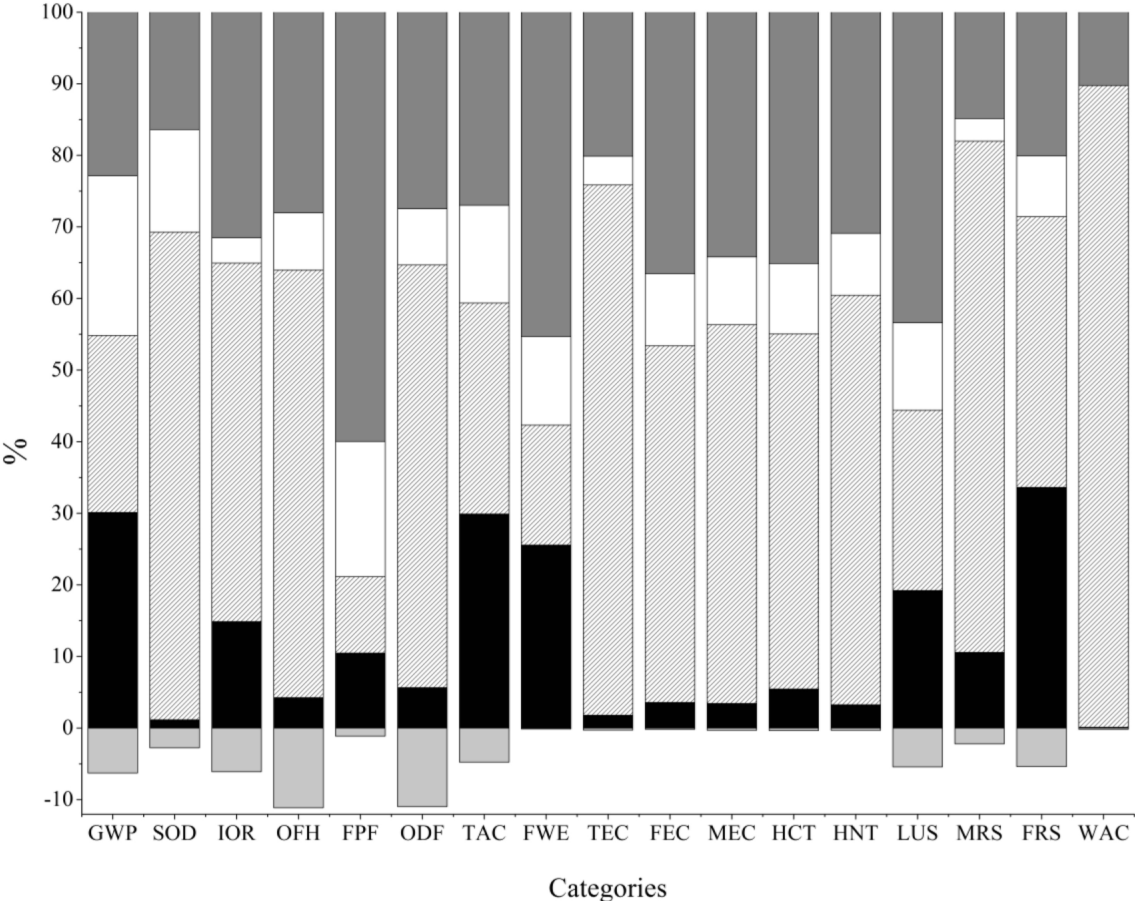
Impact category*	Energy consumption (%)	N content (%)	P content (%)	CO₂ (%)	Water Reuse (%)	Transportation distance (%)	Methanol (%)
GWP	± 2.65	± 0.29	± 0.04	± 0.35	± 16.04	± 3.25	± 0.02
SOD	± 1.73	± 5.51	± 0.01	± 0.12	± 9.72	± 1.39	± 0.01
IOR	± 0.71	± 0.54	± 0.09	± 2.68	± 1.83	± 4.23	± 0.01
OFH	± 3.96	± 0.17	± 0.03	± 0.11	± 0.04	± 6.37	± 0.00
FPF	± 7.83	± 0.07	± 0.02	± 0.07	± 1.25	± 0.81	± 0.00
ODF	± 3.87	± 0.16	± 0.03	± 0.11	± 0.06	± 6.29	± 0.01
TAC	± 3.43	± 0.21	± 0.06	± 0.16	± 5.86	± 2.63	± 0.01
FWE	± 5.33	± 0.19	± 0.10	± 0.44	± 0.01	± 1.17	± 0.02
TEC	± 1.23	± 0.24	± 0.04	± 1.17	± 0.22	± 7.00	± 0.00
FEC	± 4.01	± 0.32	± 0.14	± 0.65	± 0.01	± 4.24	± 0.02
MEC	± 3.76	± 0.32	± 0.14	± 0.61	± 0.03	± 4.56	± 0.02
HCT	± 3.98	± 0.21	± 0.52	± 0.41	± 0.04	± 3.98	± 0.05
HNT	± 3.33	± 0.34	± 0.11	± 0.63	± 0.04	± 5.00	± 0.01
LUS	± 5.69	± 0.09	± 0.13	± 0.23	± 0.00	± 2.32	± 0.00
MRS	± 0.92	± 0.48	± 0.18	± 0.83	± 0.17	± 6.31	± 0.01
FRS	± 2.48	± 0.15	± 0.03	± 0.19	± 3.03	± 3.62	± 0.01
WAC	± 0.00	± 0.00	± 0.00	± 0.00	± 0.16	± 0.00	± 0.00

* GWP - Global Warming Potential (kg CO₂ eq); SOD - Stratospheric Ozone Depletion (kg CFC11 eq); IOR - Ionizing Radiation (kBq Co-60 eq); OFH - Ozone Formation, Human Health (kg NO_x eq); FPF - Fine particulate matter Formation (kg PM_{2.5} eq); OFT - Ozone formation, Terrestrial ecosystems (kg NO_x eq); TAC - Terrestrial Acidification (kg SO₂ eq); FEW - Freshwater Eutrophication (kg P eq); TEC - Terrestrial Ecotoxicity (kg 1,4-DCB eq); FEC - Freshwater Ecotoxicity (kg 1,4-DCB eq); MEC - Marine Ecotoxicity (kg 1,4-DCB eq); HCT - Human Carcinogenic Toxicity (kg 1,4-DCB eq); HNT - Human Non-carcinogenic Toxicity

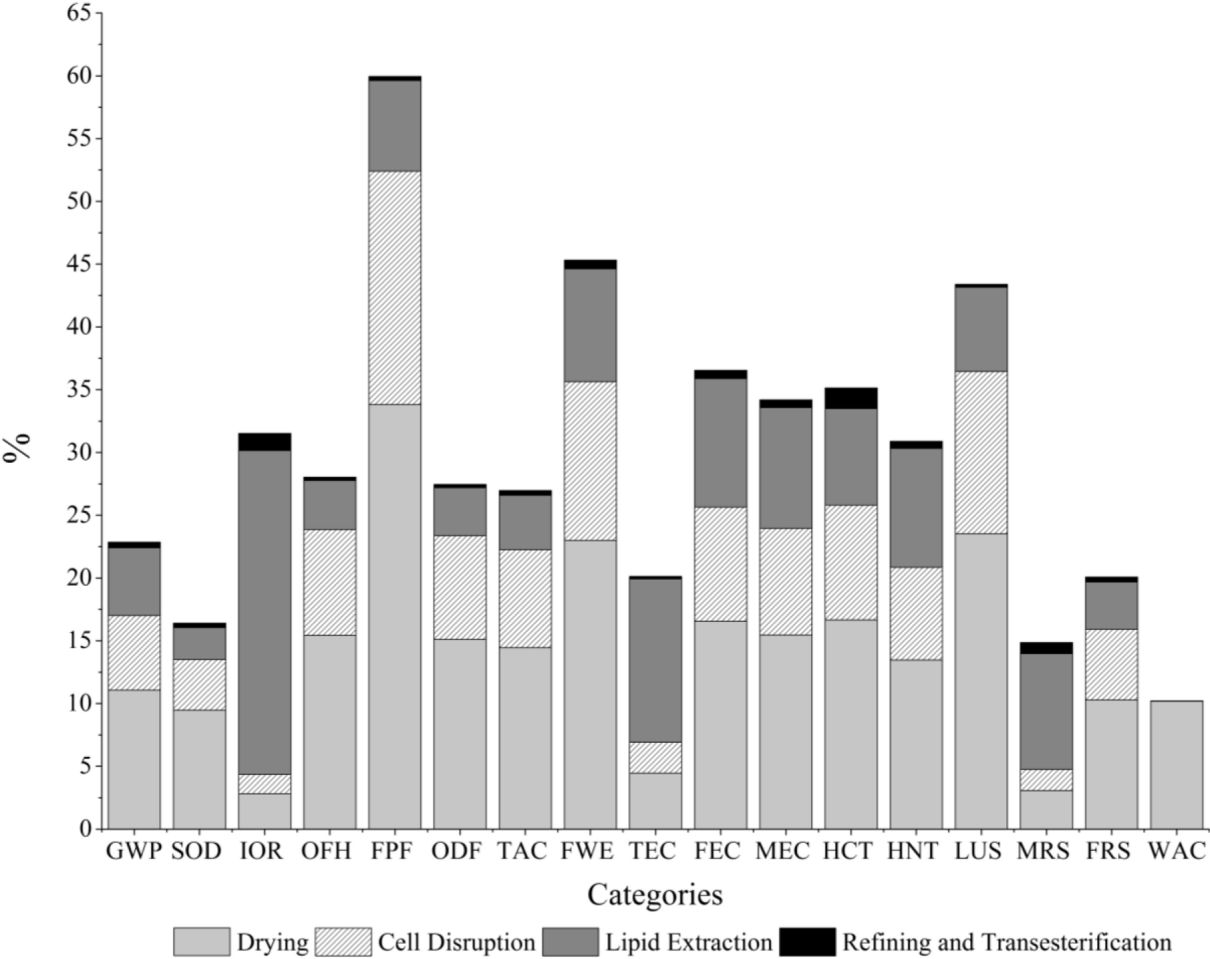
(kg 1,4-DCB eq); LUS - Land Use (m^2a crop eq); MRS - Mineral Resource Scarcity (kg Cu eq); FRS - Fossil Resource Scarcity (kg oil eq); WAC - Water Consumption (m^3).

Journal Pre-proof





Infrastructure
 Cultivation
 Harvesting
 Downstream processes
 Avoided products



- Life cycle assessment of microalgal biodiesel was conducted for a Chilean scenario.
- Inventory data of microalgae cultivation was obtained from real pilot-scale PBR.
- An autochthonous strain of *Phaeodactylum tricornutum* was cultivated.
- PBR construction materials and energy consumption are critical aspects of the system.
- A total of 5.74 kgCO₂ equivalent is emitted per 1 MJ of microalgal biodiesel.

Journal Pre-proof

Declarations of interest

Declarations of interest: none.

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