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**Research paper**

**Enhanced control of *Bacillus subtilis* endospores development by hyperbaric storage at variable/uncontrolled room temperature compared to refrigeration**

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27 **Abstract**

28           The effect of hyperbaric storage on *Bacillus subtilis* endospores, as a new food  
29 preservation methodology with potential to replace the conventional refrigeration  
30 processes, was assessed and compared to refrigeration. To do so, three different  
31 matrices (McIlvaine buffer, carrot juice and brain-heart infusion broth, BHI-broth) were  
32 inoculated with *B. subtilis* endospores and stored at 25, 50 and 100 MPa at  
33 variable/uncontrolled room temperature (18-23 °C), under refrigeration (4 °C), and room  
34 temperature at atmospheric pressure (0.1 MPa), up to 60 days. Two different  
35 quantification procedures were performed to assay both vegetative and endospores  
36 (unheated samples) and endospores (heated samples), to assess germination under  
37 pressure.

38           The results showed that hyperbaric storage yielded pronounced endospore loads  
39 reductions in carrot juice and BHI-broth at 50 and 100 MPa, while in McIlvaine buffer,  
40 lower endospore loads reductions were observed. At 25 MPa, the endospores  
41 germinated and outgrew in carrot juice. Under refrigeration conditions, both carrot juice  
42 and BHI-broth underwent endospore germination and outgrowth after 60 and 9 days of  
43 storage, respectively, while in McIlvaine buffer there were no endospore outgrowth.

44           These results suggest that hyperbaric storage at room temperature might not only  
45 be a feasible preservation procedure regarding endospores, but also that the food  
46 product (matrix characteristics) seems to influence the microbial inactivation that occurs  
47 during HS.

48  
49 **Keywords:** Hyperbaric storage, refrigeration, endospores, *Bacillus subtilis*.

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## 60 1. Introduction

61 Environmental concerns towards global warming are raising issues concerning  
62 the need of environmentally friendlier domestic/industrial practises. Regarding food  
63 industry, it is responsible for considerable emissions of carbon dioxide (CO<sub>2</sub>), along  
64 with other greenhouse-effect gases. For instance, **James and James, (2010)** reported  
65 that 35 to 50% of the energetic consumptions in super and hypermarkets are due to the  
66 refrigeration (RF) and freezing facilities, being responsible for approximately 1% of the  
67 CO<sub>2</sub> emissions worldwide. RF is also the third major source of CO<sub>2</sub> of all food industry  
68 (with 490 megatons of CO<sub>2</sub> released to the atmosphere in 2008) (**Gilbert, 2012**). Thus,  
69 the adoption of alternative and more efficient food preservation procedures than RF are  
70 required, without compromising food quality and safety.

71 When it comes to food safety, pasteurized low acidic and high water activity  
72 (a<sub>w</sub>) food products are to be permanently kept at RF temperatures in order to  
73 slowdown/inhibit the germination and outgrowth of bacterial spores. Pasteurization only  
74 destroys vegetative microorganisms, being many endospores resistant structures (**Soni**  
75 **et al., 2016**), which limit the product shelf-life. So, a preservation methodology that is  
76 not only environmentally-friendlier but that could perform equally or even better than  
77 RF to slowdown/inhibit endospore germination and outgrowth is of utmost interest.

78 Lately, a new preservation methodology is being increasingly studied with  
79 potential to be a feasible alternative to RF. Under the name of hyperbaric storage (HS),  
80 it states that instead of controlling the storage temperature, it is more advantageous to  
81 control the storage pressure. Since energy is only required for the short compression and  
82 decompression phases of the pressure vessel, and not to keep it along storage, together  
83 with the needless temperature control (performed at naturally variable/uncontrolled  
84 room temperature, RT) (**Fernandes et al., 2014**)., This allows substantial energetic  
85 savings and, consequently, economic gains and reduced CO<sub>2</sub> emissions. In fact,  
86 **Bermejo-Prada et al. (2017)** demonstrated that keeping 800 Kg of strawberry juice  
87 under HS/RT conditions for 15 days had an energetic cost of 0.002\$, against 0.034\$ of  
88 RF. Still, equipment costs for HS were estimated by the same authors as being currently  
89 higher compared to RF. In an industrial point of view, the aforecited author also stated  
90 that, if a liquid food product is to be stored under HS/RT conditions, it could be used as  
91 the pressurization fluid itself.

92 HS performance at room-like temperatures is being increasingly investigated  
93 regarding the preservation of highly perishable food products (low acidity and high  $a_w$ ),  
94 namely watermelon juice (**Fidalgo et al., 2014; Lemos et al., 2017; Pinto et al., 2017,**  
95 **2016; Santos et al., 2015**), carrot soup (**Moreira et al., 2015**), *queijão* (Portuguese  
96 whey cheese) (**Duarte et al., 2015**), cooked ham (**Fernandes et al., 2015**), raw bovine  
97 meat (**Freitas et al., 2016**) and tilapia fillets (**Ko and Hsu, 2002**). Moreover, the effect  
98 of HS/RT has been also extensively evaluated for strawberry juice (acidic food product)  
99 when it comes to its microbiological, physicochemical and enzymatic parameters  
100 (**Bermejo-Prada et al., 2016, 2015; Bermejo-Prada and Otero, 2016; Segovia-Bravo**  
101 **et al., 2012**). Moreover, it was recently proved that HS/RT performed similarly (50  
102 MPa) to better (75 and 100 MPa) than the conventional RF regarding the development  
103 of pathogenic surrogate microorganisms (*Escherichia coli* and *Listeria innocua*)  
104 (**Pinto et al., 2017**).

105 All these studies concluded that HS/RT performed similarly or even better than  
106 RF concerning the preservation of the quality attributes (colour, volatile profiles  
107 (strawberry juice), phenolic compounds, among others) and microbial development  
108 control, resulting in potential shelf-life extensions when compared to RF (**Freitas et al.,**  
109 **2016; Lemos et al., 2017; Pinto et al., 2017, 2016**).

110 When it comes to enzymatic activity, **Bermejo-Prada et al. (2015)** reported a  
111 significant increase of polyphenol oxidase (PPO) activity on strawberry juice stored  
112 under different HS/RT conditions (50 and 200 MPa/15 days) compared to RF storage.  
113 Contrarily, significant peroxidase (POD) inactivation on longer HS/RT periods (200  
114 MPa/15 days) were found, while pectin methylesterase (PME) catalytic activity was not  
115 affected by HS/RT compared to samples stored under RF (**Bermejo-Prada et al.,**  
116 **2016**). These results are generally in agreement with those reported by **Pinto et al.**  
117 **(2017)**, who evaluated the impact of HS/RT (50, 75 and 100 MPa/10 days) the  
118 enzymatic parameters of PPO, POD and PME of watermelon juice.

119 The aforementioned studies only reported the effect of HS on vegetative  
120 microorganisms, and even though information regarding the effect of low pressures on  
121 some *Bacillus* spp. and *Clostridium* spp. endospores is available, the cases reported  
122 studied only short periods of time (few minutes/hours). For example, **Aoyama et al.**  
123 **(2005)** reported a germination rate of about 4 and 1.5 log-cycles at 100 MPa for 1 h, at  
124 40 and 60 °C, respectively for *Bacillus subtilis* endospores suspended in glucose broth,  
125 as well the reduction of about 1 log cycle on endospore counts at 80 MPa for 1 h at 60

126 °C in phosphate buffer. However, literature concerning the HS effect (25-220 MPa over  
127 days of storage) on endospores is unavailable.

128 In fact, only three related papers are available, as the authors are aware,  
129 concerning this subject, suggesting that a combination of mild pressures (40 to 100  
130 MPa) and moderate temperatures (30 to 80 °C) for periods up to 4 days enhances the  
131 germination and inactivation of *Bacillus* spp. and *Clostridium* spp. (Aoyama et al.,  
132 2005, 2004; Shigeta et al., 2007). The authors of the aforementioned studies meant to trigger  
133 endospore germination by combining low hydrostatic pressures with moderate/higher  
134 temperatures (than those of the HS range), and with a different final objective,  
135 consisting only in endospore germination induction for subsequent inactivation by  
136 further processing.

137 The spore-former *B. subtilis* is a gram-positive, facultative aerobic, non-  
138 pathogenic and rod-shaped bacteria whose endospores are widely used for food  
139 processing design. In fact, they are used as surrogated endospores of the pathogenic *B.*  
140 *cereus* (that are quite heat-resistant and its vegetative form produces cereulide, a heat-  
141 resistant emetic toxin) resulting in food poisoning illness (such as vomits and nausea)  
142 (Agata et al., 2002; Checinska et al., 2015). *B. cereus*, along with *B. subtilis*  
143 endospores, are prevalent in low acidic food products such as meat (Soni et al., 2016),  
144 raw and pasteurized milk (Christiansson et al., 1999; Eneroth et al., 2001) and carrot  
145 juice (Aneja et al., 2014), among others. These products need to be preserved at RF  
146 conditions to inhibit endospore germination and outgrowth, since both pH and  $a_w$  do not  
147 hurdle the microbial development on the aforementioned products.

148 Given the importance of these biological structures on food safety, HS/RT of  
149 three different matrices was performed, consisting of McIlvaine buffer (pH 6.00), carrot  
150 juice and brain-heart infusion broth (BHI-broth, a general, non-selective culture media)  
151 (both at pH 6.00). Each matrix was inoculated with *B. subtilis* endospores and stored at  
152 25, 50 and 100 MPa for up to 60 days at naturally variable/uncontrolled RT (18-23 °C)  
153 and compared with atmospheric pressure (AP) storage at both RT and RF (4 °C). These  
154 three different matrices were used since the easiness of *B. subtilis* endospores  
155 germination increases in the order McIlvaine buffer (a nutrient-free matrix), carrot juice  
156 (an intermediate nutrient matrix) and BHI-broth (optimal growth matrix), allowing to  
157 evaluate the endospore behaviour at HS/RT under very different conditions, as well the  
158 matrix composition influence on the endospore behaviour under pressure.

159

## 160 2. Materials and methods

### 161 2.1. Reagents and solutions

162 Physiological solution (0.9% NaCl) and citric acid were purchased from  
163 Applichem Panreac (Darmstadt, Germany), BHI-broth and BHI-agar were obtained  
164 from Oxoid (Cheshire, United Kingdom), and sodium phosphate dibasic was purchased  
165 from Riedel-de Haën (Seelze, Germany).

166

### 167 2.2. Matrices preparation

168 The McIlvaine citrate-phosphate buffer (0.2 M of Na<sub>2</sub>HPO<sub>4</sub> and 0.1 M of citric  
169 acid) at pH 6.00 and BHI-broth were prepared according to **McIlvaine, (1921)** and the  
170 instructions provided by the supplier, respectively.

171 Fresh carrots (*Daucus carota* subsp. *Sativus*) were purchased at a local  
172 supermarket. Then, the carrots were washed with distilled water to remove dust and  
173 other adhered particles and cut in small pieces that were crushed with a blender, (for  
174 each 150 g of carrots, 300 mL of distilled water were added). The juice was then filtered  
175 with a cotton filter to remove coarse particles.

176 The inoculation matrices were sterilized at 121.1 °C for 15 min and were used on  
177 the same day of its preparation. Moreover, as the main purpose of this study concerns  
178 the HS evaluation on endospores, and as both a<sub>w</sub> (**Sevenich et al., 2015**) and pH (**Black  
179 et al., 2007; Reineke et al., 2013a**) are known to influence endospore behaviour under  
180 hydrostatic pressure, the pH of both carrot juice and BHI-broth were adjusted to 6.00  
181 with sterile citric acid (0.1 M), while the a<sub>w</sub> was just measured using a hygrometer (Lab  
182 Swift – a<sub>w</sub>, Novasina AG, Switzerland), being verified a similar value for the three  
183 matrices.

184

### 185 2.3. Endospore preparation

186 The endospore preparation was carried out as performed by **Reineke et al.**  
187 **(2013)**, with minor modifications. *B. subtilis* ATCC 6633 (DSM 347), purchased from  
188 *Deutsche Sammlung von Mikroorganismen und Zellkulturen* (DSMZ, Braunschweig,  
189 Germany), was grown in BHI-agar at 30 °C for 24 h. Then, a single colony was isolated  
190 to obtain an overnight liquid culture. Hereafter, the liquid culture was aseptically  
191 spread-plated onto BHI-agar plates and incubated at 30 °C for 24 h. The sporulation was

192 verified by phase-contrast microscopy, and it took 15 days to achieve more than 95% of  
193 bright-phase endospores. Then, the endospores were harvested by flooding the cultures  
194 with cold (4 °C) sterile distilled water, and by scratching the agar plates with a bend  
195 glass rod. The endospores were afterwards washed three times with cold sterile distilled  
196 water by centrifugation (10 min at 5,000 ×g at 4 °C). The washed endospores were  
197 stored in distilled water and kept in the dark at 4 °C until use.

198

#### 199 **2.4. Endospores inoculation**

200 After sterilization, 2.7 mL of each matrix were aseptically placed in UV-light  
201 sterilized, low permeability polyamide–polyethylene, bags (PA/PE-90, Plásticos Macar  
202 – Indústria de Plásticos Lda, Palmeiras, Portugal), using a laminar flow cabinet  
203 (BioSafety Cabinet Telstar Bio II Advance, Terrassa, Spain) to avoid contaminations.  
204 Then, 300 µL of *B. subtilis* endospore suspension was inoculated in each matrix, at a  
205 concentration of about 10<sup>6</sup>- 10<sup>7</sup> cells/mL.

206 The endospores used in this study were not heat-activated to avoid changes on  
207 their pressure resistance, in order to simulate the worst-case scenario on food  
208 preservation (low acidic and elevated a<sub>w</sub> matrices containing non-heat-activated  
209 endospores that are known to be more pressure-resistant than those heat-activated, thus  
210 the germination process could only be triggered by nutrients and/or hydrostatic  
211 pressure) (Vercammen et al., 2012).

212

#### 213 **2.5. Storage conditions**

214 The storage experiments were carried out at 25, 50 and 100 MPa for 60 days at  
215 naturally variable/uncontrolled RT (18-23 °C), using a high pressure equipment (SFP  
216 FPG13900, Stanstead Fluid Power, Stanstead, United Kingdom). This equipment has a  
217 pressure vessel of 30 mm inner diameter and 500 mm height, and a mixture of  
218 propylene glycol and water (40:60 v/v) was used as pressurization fluid.  
219 Simultaneously, two control samples were kept at atmospheric pressure (AP) and RT  
220 (AP/RT) and at RF (4 °C), submersed in the same pressurization fluid and kept in the  
221 dark. Storage experiments at 25 MPa only took place for carrot juice, since the main  
222 goal of the present work was to infer the HS/RT feasibility in a highly perishable food  
223 product.

224



## 2.6. Determination of endospore germination and inactivation

To assess both germinated (vegetative cells) and ungerminated spores (dormant cells) after each storage condition, an aliquot of each matrix was heated at 80 °C for 20 min to inactivate vegetative bacteria (Reineke et al., 2013b; Wuytack et al., 1998), allowing to quantify not only both germinated and non-germinated spores (unheated samples, that will be termed total microbial load TML) as well non-germinated spores (heated samples, that will be termed as total endospore loads TEL). Then, decimal dilutions were performed (1.0 mL of each sample for 9.0 mL of physiological solution) that were plated in BHI-agar and incubated at 30 °C for 24 h. The results were expressed as the decimal logarithm variation ( $\log(N/N_0)$ ), obtained by the difference between the microbial load at each storage day (N) and the initial microbial load ( $N_0$ ). The quantification limit of 2.00 log CFU/mL was established.

## 2.7. Statistical analysis

All microbiological analyses were performed in triplicate, each one from duplicated samples. The results were statistically analysed using one-way Analysis of Variance (ANOVA), followed by Turkey's HSD test at 5% of significance and were expressed as mean  $\pm$  standard deviation.

## 3. Results and discussion

Since statistical similarities were observed between the initial TML and TEL loads in each matrix (supplementary material), it can be concluded that almost all cells were inoculated as endospores, being, thus, the initial load inoculated in each matrix referred to as TEL. Moreover, as the results are expressed as  $\log(N/N_0)$ , as aforementioned, the initial endospore loads are displayed in the supplementary material.

### 3.1. McIlvaine buffer

At large, samples kept at AP/RT did not undergo statistically significant ( $p > 0.05$ ) changes on both heated and unheated samples along the 9 days of storage, when compared to the initial load. Further analyses regarding AP/RT storage conditions did not take place, since McIlvaine buffer is a nutrient-free matrix, in which TEL

256 germination (and further outgrowth) induced by nutrients is less likely to occur, as  
257 observed during the 9 days of storage experiments at the aforesaid condition. Also for  
258 AP/RF samples, the TML loads on unheated samples evidenced, globally, no significant  
259 differences ( $p>0.05$ ) between unheated and heated samples, **Figure 1 (a-b)**, due to the  
260 lack of nutrients.

261 HS/RT at 50 MPa performed similarly to AP/RF maintaining the TML load on  
262 unheated samples, at least until the 2<sup>nd</sup> day of storage experiments, wherein statistical  
263 similarities ( $p>0.05$ ) were observed between conditions and storage periods. Then, both  
264 TML and TEL decreased ( $p<0.05$ ) more pronouncedly (about 1.76 and 1.64 log units,  
265 respectively) from the 5<sup>th</sup> to the 60<sup>th</sup> day of storage. HS/RT at 100 MPa yielded a more  
266 remarkable TML and TEL loads reduction along storage. Five days of HS/RT resulted  
267 in a similar ( $p>0.05$ ) TML and TEL load reduction of 1.7 and 1.8 log units on both  
268 unheated and heated samples, respectively, when compared to the initial values  
269 ( $p<0.05$ ). Both TML and TEL loads inactivation rates observed at 100 MPa slowed  
270 down along storage from that day onwards (**Figure 1 a-b**), being practically the same  
271 ( $p>0.05$ ) on the remaining days of storage experiments.

272 Contrarily to HS/RT at 100 MPa, at 50 MPa the endospore loads were less  
273 affected by hydrostatic pressure, presenting a quite similar evolution throughout storage  
274 comparable with AP/RF storage, while at 100 MPa was more evident endospore  
275 inactivation throughout storage, which means that, for a nutrient-free matrix as  
276 McIlvaine buffer, a storage pressure of at least 50 MPa should be set to perform HS/RT  
277 instead of AP/RF.

278 These results are in agreement with those reported by **Obaidat et al. (2015)**,  
279 who found negligible inactivation rates of *B. subtilis* endospores in McIlvaine buffer  
280 (pH 6.0) after being kept under pressure (80 MPa) for 1 h at 25 and 30 °C. More  
281 pronounced reductions were reported when the temperature increased above 33 °C,  
282 which is closer to the optimal temperature of the cortex lytic enzymes that are known to  
283 have a fundamental role on the endospore germination and inactivation (**Aoyama et al.,  
284 2005; Shigeta et al., 2007**).

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### 290 3.2. Carrot juice

291 Samples kept at AP/RT conditions quickly underwent a pronounced ( $p<0.05$ )  
292 TML and TEL growth (1.0 and 1.2 log units, respectively), thus causing severe juice  
293 spoilage, which was the reason why further microbiological analyses to these samples  
294 did not take place further.

295 The AP/RF storage allowed to maintain both TML and TEL loads at similar  
296 levels ( $p>0.05$ ) when compared to the initial values until the 30<sup>th</sup> day of storage (**Figure**  
297 **2 a-b**), inclusive. Then, at the 60<sup>th</sup> day, the TML increased ( $p<0.05$ ) about 0.64 log  
298 units, which was accompanied by a significant TEL reduction ( $p<0.05$ ) of 0.90 log units  
299 on heated samples, attributed to the germination and outgrowth of TEL (thus reducing  
300 the endospore load) (**Abel-Santos, 2014**).

301 At 25 MPa, HS/RT yielded a significant increase ( $p<0.05$ ) of the TML ( $\approx 0.9$  log  
302 units) right after 2 days of storage, which was accompanied by an accentuated TEL  
303 reduction ( $p<0.05$ ) of about 4.0 log units. This remarkable reduction on the TEL loads  
304 might be related to a combined effect of nutrient and hydrostatic pressure-induced  
305 germination (also known as nutrient-like physiological germination) and loss of defence  
306 mechanisms, such as was reported for heat resistance (**Reineke et al., 2013a**), with this  
307 pressure level (25 MPa) not hurdling the microbial development. Further experiments at  
308 25 MPa/RT did not take place due to the severe spoilage state of samples.

309 By increasing the storage pressure to 50 MPa, the TML were reduced along  
310 storage, although at a lower rate when compared to samples kept at 100 MPa, which  
311 was more evident until the 9<sup>th</sup> day of storage, wherein a TML and a TEL loads  
312 reductions ( $p<0.05$ ) of about 2.0 and 4.0 log units were observed, respectively, which  
313 means that pressure might be triggering the endospore germination, but a pressure level  
314 of 50 MPa is less likely to affect the TML (on unheated samples). By the 60<sup>th</sup> day, the  
315 TML was reduced ( $p<0.05$ ) of about 5.4 log units comparatively to the initial load,  
316 compared with a reduction ( $p<0.05$ ) of 5.1 log units for the TEL. A storage pressure of  
317 50 MPa seems to unleash endospores germination, given the more pronounced  
318 reduction of the TEL loads when compared to the TML, although, outgrowth might not  
319 be fulfilled, possibly due to the pressure hurdle. For example, the same storage pressure  
320 (at RT) allows microbial proliferation ( $\geq 2$  log units) in food products such as  
321 watermelon juice (**Lemos et al. 2017; Pinto et al. 2017**), raw bovine meat (**Freitas et**  
322 **al. 2016**) and salmon (**Fidalgo et al. 2018**), leading to food spoilage, similarly to AP/RF

323 storage, while in carrot juice in the present work there was no microbial development at  
324 50 MPa.

325 **Figure 2 (a-b)** evidences that, at 100 MPa, there were accentuated reductions  
326 ( $p < 0.05$ ) on the TML and TEL loads along storage, which were more pronounced than  
327 those found at 50 MPa. By the 20<sup>th</sup> day of HS/RT, a TML and TEL load reductions  
328 ( $p < 0.05$ ) of 4.2 and 3.7 log units, respectively. At the 30<sup>th</sup> day of HS/RT at 100 MPa,  
329 TML reached the quantification limit (of 2.00 log CFU/mL), which was maintained  
330 until the 60<sup>th</sup> day for unheated samples, pointing to a potential microbiological shelf-life  
331 extension. A similar behaviour was observed for TEL

332 The higher TEL on heated samples (when compared to unheated samples,  
333 namely at 100 MPa), in some cases (supplementary material), might be related with the  
334 presence of superdormant endospores that are only activated by heat-shock, since they  
335 lack the majority of the GR's required to trigger the germination process on both  
336 nutrient and hydrostatic pressure-induced germination processes (**Reineke et al., 2013;**  
337 **Setlow et al., 2012; Wei et al., 2010**), as aforementioned.

338 The composition of the food matrix (or food-like matrix) is known to play a key-role on  
339 the endospore germination and inactivation rates under mild pressures. Few authors  
340 have studied the influence of the matrix composition on *B. subtilis* endospores while  
341 under pressure, but also at high pressure. For instance, **Aoyama et al. (2005a)** reported  
342 *B. subtilis* endospore load reductions of 1.0 and 3.0 log-cycles in phosphate buffer and  
343 glucose broth, respectively, after a combined pressure/temperature treatment at 80  
344 MPa/60 °C/24 h, stating that the main reason for this difference might be the  
345 composition of the inoculation media. In another study, **Shigeta et al. (2007)** induced *B.*  
346 *subtilis* germination process at mild conditions, showing that in a range of pressures  
347 (20-100 MPa, and 40 °C/60 min), the endospores reached a germination rate of  $\approx 5$  log-  
348 cycles in glucose broth at 40 MPa (and forward), while in phosphate buffer, the  
349 maximum germination rate was  $\approx 4$  log-cycles at 100 MPa, being considerably lower at  
350 inferior pressures. These differences might be related, as reviewed by **Black et al.**  
351 **(2007)**, with a combined effect of nutrient-induced and hydrostatic pressure-induced  
352 germination process (**Reineke et al., 2013a**), which means that nutrient-rich matrices  
353 are more likely to evidence higher endospore germination rates under hydrostatic  
354 pressure than nutrient-poor matrices.

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### 357 3.3. BHI-broth

358 Samples kept at AP/RT faced a significant increase ( $p<0.05$ ) of TML, as  
359 expected. In fact, at the 2<sup>nd</sup> day, a total microbial load increase of about 1.40 log units  
360 was verified, which was accompanied by a TEL load reduction ( $p<0.05$ ) of 1.16 log  
361 units (**Figure 3 a-b**), attributed to the germination and outgrowth of the endospores to  
362 vegetative forms, as previously observed in carrot juice. Additional microbiological  
363 analyses regarding AP/RT samples were not performed due to the advanced  
364 putrefaction state of the samples.

365 At AP/RF conditions, the TML of the unheated samples was, generally,  
366 statistically similar ( $p>0.05$ ) to the initial load until the 5<sup>th</sup> day of storage experiments,  
367 being thereafter observed a significant increase ( $p<0.05$ ) of 1.47 log units at the 9<sup>th</sup> day,  
368 while the TEL remained, generally, similar ( $p>0.05$ ) to the initial one. Further  
369 experiments at AP/RF conditions did not take place due to the severe spoilage state of  
370 the samples.

371 Contrarily to AP storage (at both RT and RF conditions), HS/RT at 50 and 100  
372 MPa caused both TML and TEL inactivation along storage, as seen in **Figure 3 (a-b)**,  
373 that were more accentuated at 100 MPa. One day at 50 MPa yielded a TML inactivation  
374 ( $p>0.05$ ) of about 0.23 log units that was accompanied by a TEL load decrease ( $p<0.05$ )  
375 of about 0.91 log units. At the 5<sup>th</sup> day of HS/RT at 50 MPa significant differences  
376 ( $p<0.05$ ) between unheated and heated samples, wherein TML and TEL reductions of  
377 0.89 and 3.54 log units, respectively, were found. This suggests that the endospores  
378 germinated (thus causing the loss of resistance mechanisms, given the TEL loads  
379 reduction on heated samples), but were not able to grow under pressure (observed by  
380 the TML reductions on unheated samples), but were also not quickly inactivated  
381 (TML), as observed on carrot juice, especially for HS/RT at 100 MPa, which is  
382 supported by the statistical differences ( $p<0.05$ ) between TML and TEL loads. This  
383 might be possibly attributed to a protective effect conferred by the BHI-broth nutritional  
384 richness, although, more studies in this field are needed to understand endospore  
385 inactivation at HS/RT conditions in nutritionally distinct matrices. After 30 days at 50  
386 MPa/RT, both TML and TEL loads reached the quantification limit (of 2.00 log  
387 CFU/mL).

388 For storage at 100 MPa/RT, it was verified a progressive reduction of the TML  
389 and TEL loads. At the 30<sup>th</sup> day of HS/RT, the TML reached the quantification limit (the

390 same level was reached by the TEL loads by the 20<sup>th</sup> day), and these values remained  
391 thereafter until the end of the storage experiments.

392 As far as the authors are aware, this is the first study regarding the effect of  
393 HS/RT on *B. subtilis* endospores inoculated in three nutritionally different matrices,  
394 despite other studies concerning the effect of low pressures (in the HS range) but at  
395 higher temperatures (above 40 °C) in different matrices. The main purpose of these  
396 other studies was to trigger endospore germination by combining mild pressure and  
397 temperatures (Aoyama et al., 2005; Aoyama et al., 2004, 2005; Shigeta et al., 2007),  
398 while the present work aimed to test the feasibility of a new preservation methodology  
399 on endospores, at RT and for longer periods of time.

400 In short, HS/RT at 50 and 100 MPa showed to be better than AP/RF controlling  
401 the development of *B. subtilis* endospores, since these pressures caused endospore  
402 inactivation, while AP/RF allowed endospore germination and outgrowth, thus pointing  
403 for HS to be potentially able to extend the shelf-life of pasteurized foods compared to  
404 RF.

405

#### 406 **4. Conclusion**

407 Preservation by HS performed at 50 and 100 MPa at naturally  
408 variable/uncontrolled RT for 60 days caused *B. subtilis* endospores reductions on all the  
409 studied matrices, with this decrement increasing in the order McIlvaine buffer>carrot  
410 juice>BHI-broth, with the cause for this being hypothesized to be due to the increment  
411 of the nutritional conditions for *B. subtilis* ATCC 6633 germination in the same order.

412 Contrarily, AP/RF storage kept the endospore counts throughout storage in  
413 McIlvaine buffer and carrot juice, but allowed germination on BHI-broth, while AP/RT  
414 storage promoted endospore germination and outgrowth faster, as expected.

415 These results are of great importance and potential for preservation of low  
416 acidity/high  $a_w$  pasteurized foods, whose shelf-life is limited by endospore development  
417 under RF, since HS at 50 and 100 MPa at naturally variable/uncontrolled RT resulted in  
418 endospores load reductions in the three matrices studied. This opens the possibility for  
419 considerable shelf-life extensions of these products by HS/RT, with the additional  
420 advantage of HS/RT being *quasi* energetically costless, since energy is only required for  
421 compression and decompression of the pressure vessel, and not during storage. Further  
422 experiments are of interest to fully explore the potential of HS/RT for pasteurized foods

423 preservation, namely the study of other spores and the estimation of achievable shelf-  
424 life. However, equipment development for practical applications of HS/RT remains a  
425 challenge.

426

#### 427 **Conflict of interest**

428 The authors of this research paper declare no conflict of interest.

429

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436

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**Captions:**

**Figure 1:** Total microbial load (unheated samples, a) and total endospore load (heated samples, b) evolution in McIlvaine buffer (pH 6.00) kept at atmospheric pressure (AP) and naturally variable/uncontrolled room temperature (18-23 °C, AP/RT), AP and refrigeration (4 °C, AP/RF) and hyperbaric storage (50 and 100 MPa, HS) at naturally variable/uncontrolled RT. In the table, different upper/lower case letters (A-D)/(a-e) indicate significant differences ( $p < 0.05$ ) between different storage conditions/storage times. The Greek letter  $\epsilon$  indicates values that are not statistically different ( $p > 0.05$ ) from the initial value.

**Figure 2:** Total microbial load (unheated samples, a) and endospore load (heated samples, b) evolution in carrot juice (pH 6.00) kept at atmospheric pressure (AP) and naturally variable/uncontrolled room temperature (18-23 °C, AP/RT), AP and refrigeration (4 °C, AP/RF) and hyperbaric storage (25, 50 and 100 MPa, HS) at naturally variable/uncontrolled RT. Black filled symbols mean that the quantification limit (2.00 log CFU/mL) was reached. Different upper/lower case letters (A-D)/(a-d) indicate significant differences ( $p < 0.05$ ) between different storage conditions/storage times. The Greek letter  $\epsilon$  indicates values that are not statistically different ( $p > 0.05$ ) from the initial value.

**Figure 3:** Total microbial load (unheated samples, a) and endospore load (heated samples, b) evolution on BHI-broth kept at atmospheric pressure (AP) and naturally variable/uncontrolled room temperature (18-23 °C, AP/RT), AP and refrigeration (4 °C, AP/RF) and hyperbaric storage (50 and 100 MPa, HS) at naturally variable/uncontrolled RT. Black filled symbols mean that the quantification limit (2.00 log CFU/mL) was reached. In the table, different upper/lower case letters (A-D)/(a-f) indicate significant differences ( $p < 0.05$ ) between different storage conditions/storage periods. The Greek letter  $\epsilon$  indicates values that are not statistically different ( $p > 0.05$ ) from the initial value.

Figure 1:

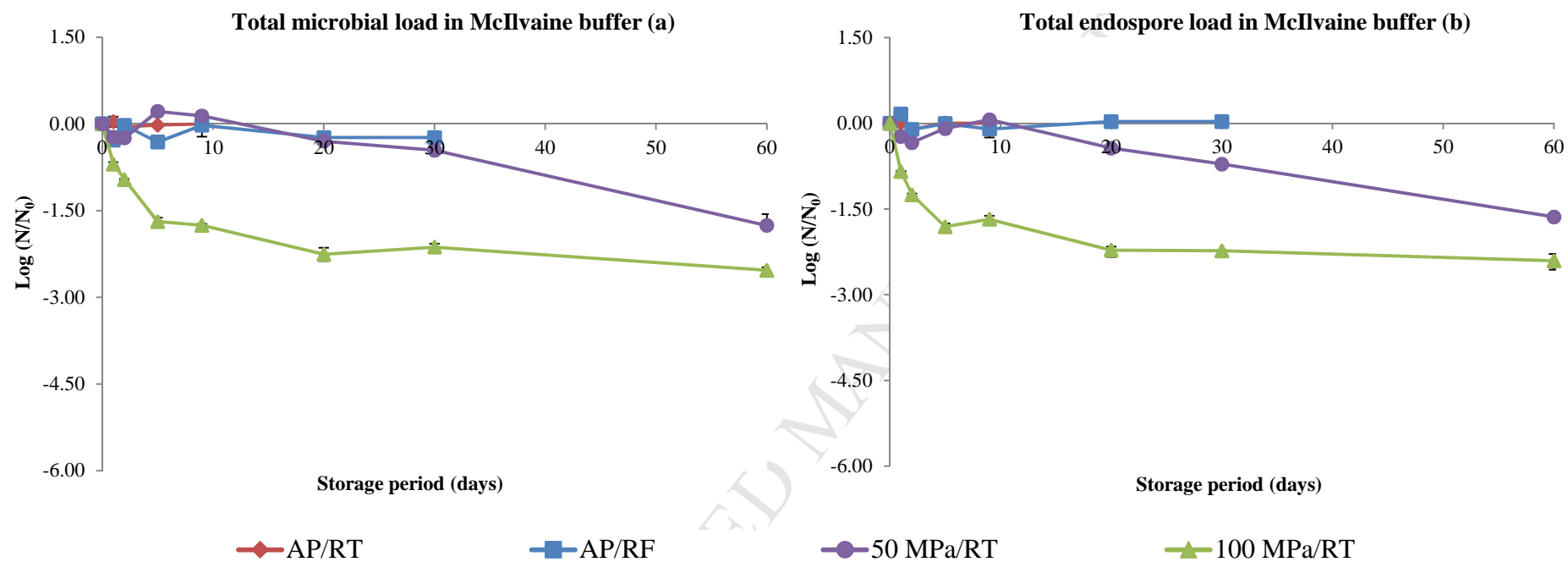


Figure 2:

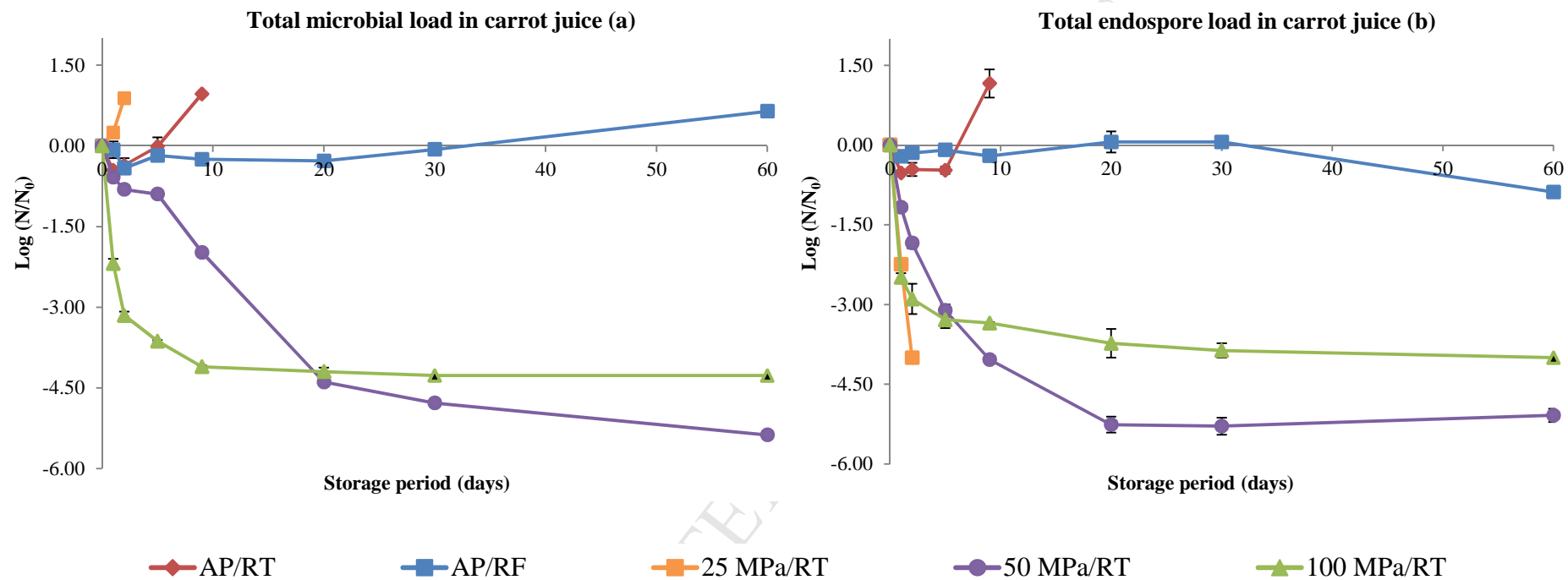
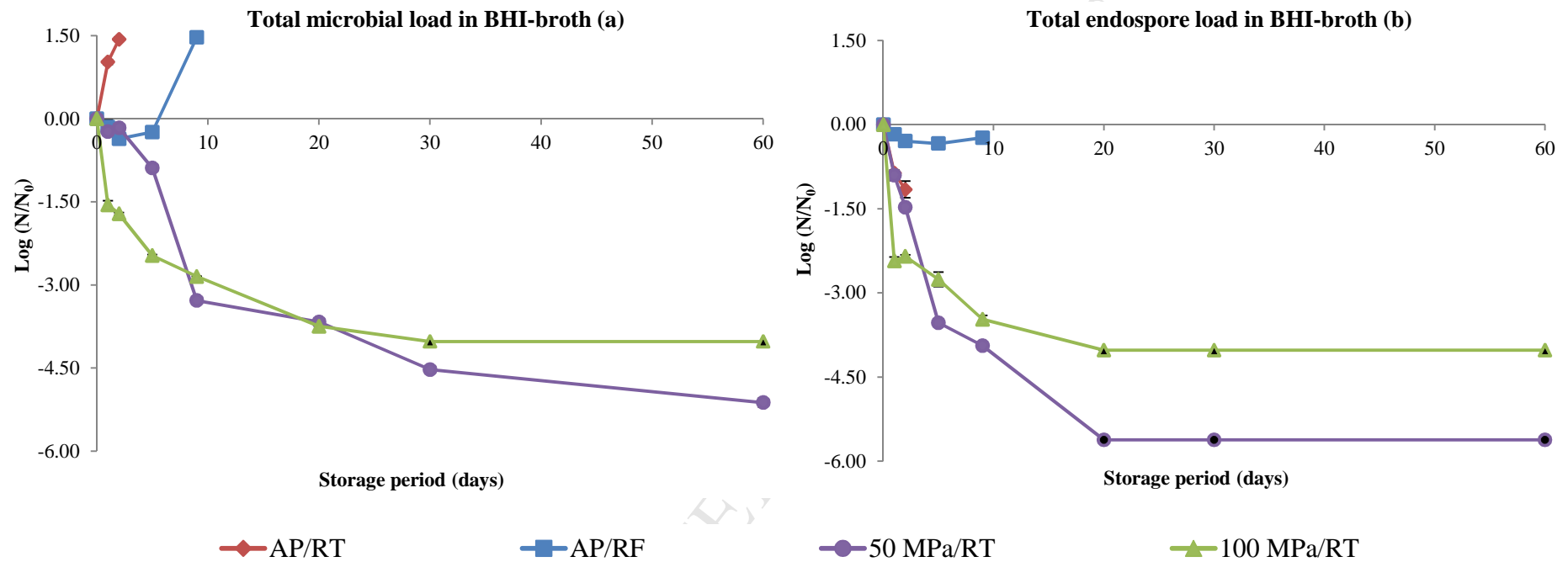


Figure 3:



## Supplementary material

## Tables:

**Table 1:** Initial endospore loads on unheated and heated samples in each matrix before the storage experiments (expressed as mean  $\pm$  standard deviation, in Log CFU/mL). The information within parenthesis in the storage conditions column refers to the initial load at the respective storage condition.

Matrix	Storage conditions	Unheated samples (Log CFU/mL)	Heated samples (Log CFU/mL)
McIlvaine buffer	Initial	6.01 $\pm$ 0.01	6.09 $\pm$ 0.03
	Initial (50 MPa)	7.68 $\pm$ 0.03	7.85 $\pm$ 0.03
	Initial	6.21 $\pm$ 0.30	5.99 $\pm$ 0.11
Carrot juice	Initial (25 MPa)	6.44 $\pm$ 0.01	6.37 $\pm$ 0.01
	Initial (50 MPa)	7.63 $\pm$ 0.02	7.62 $\pm$ 0.01
	Initial (100 MPa)	6.02 $\pm$ 0.03	6.05 $\pm$ 0.04
BHI-broth	Initial (AP/RT and RF)	6.70 $\pm$ 0.08	6.67 $\pm$ 0.02
	Initial (50 MPa)	7.58 $\pm$ 0.01	7.62 $\pm$ 0.01

**Table 2:** pH and water activity ( $a_w$ ) values of each matrix after autoclaving at 121.1 °C for 15 min (expressed as mean  $\pm$  standard deviation).

Matrix	pH	$a_w$
McIlvaine buffer	6.01 $\pm$ 0.01	0.984 $\pm$ 0.001
Carrot juice	6.00 $\pm$ 0.01	0.979 $\pm$ 0.001
BHI-broth	6.00 $\pm$ 0.01	0.977 $\pm$ 0.001



**Table 3:** Statistical analyses of the results obtained after each storage condition/period for each matrix (on the left, total microbial load; on the right, total endospore load). Different upper/lower case letters (A-D)/(a-f) indicate significant differences ( $p < 0.05$ ) between different storage conditions/storage times, while similar upper/lower case letters indicate no significant differences ( $p > 0.05$ ). The Greek letter  $\epsilon$  indicates values that are not statistically different ( $p > 0.05$ ) from the initial value.

McIlvaine buffer															
Condition/Storage period (days)	1	2	5	9	20	30	60	Condition/Storage period (days)	1	2	5	9	20	30	60
AP/RT	aC $\epsilon$	aB $\epsilon$	aC $\epsilon$	aB $\epsilon$	-	-	-	AP/RT	aC	aC $\epsilon$	aB $\epsilon$	aB $\epsilon$	-	-	-
AP/RF	aB	bB $\epsilon$	aB	bB $\epsilon$	abB	abB	-	AP/RF	bC $\epsilon$	aC $\epsilon$	abB	aB $\epsilon$	abC $\epsilon$	abC $\epsilon$	-
50 MPa/RT	bB $\epsilon$	bB	cD $\epsilon$	cB $\epsilon$	bB	bB	aB	50 MPa/RT	cdB	cB	dB $\epsilon$	eB $\epsilon$	cB	bB	aB
100 MPa/RT	eA	dA	cA	cA	bA	bA	aA	100 MPa/RT	dA	cA	bA	bA	aA	aA	aA

Carrot juice															
Condition/Storage period (days)	1	2	5	9	20	30	60	Condition/Storage period (days)	1	2	5	9	20	30	60
AP/RT	aB	aC	bD $\epsilon$	cD	-	-	-	AP/RT	aC	aD	aB	bD	-	-	-
AP/RF	bC $\epsilon$	aC	abC $\epsilon$	abC $\epsilon$	abB	bC $\epsilon$	cC	AP/RF	bC $\epsilon$	bD $\epsilon$	bB $\epsilon$	bC $\epsilon$	bC $\epsilon$	bC $\epsilon$	aC
25 MPa/RT	aD $\epsilon$	bD	-	-	-	-	-	25 MPa/RT	bA	aA	-	-	-	-	-
50 MPa/RT	fB	Be	eB	dB	cA	bA	aB	50 MPa/RT	eB	dC	cA	bA	aA	aA	aA
100 MPa/RT	dA	Ac	bA	aA	aA	aB	aA	100 MPa/RT	dA	cB	bA	bB	abB	aB	aB

BHI-broth															
Condition/ Storage period (days)	1	2	5	9	20	30	60	Condition/ Storage period (days)	1	2	5	9	20	30	60
AP/RT	aD	bC	-	-	-	-	-	AP/RT	bB	aC	-	-	-	-	-
AP/RF	bC $\epsilon$	aB	abB $\epsilon$	cC	-	-	-	AP/RF	aB $\epsilon$	bD	bC	aC $\epsilon$	-	-	-
50 MPa/RT	fB	fB	eB	dB	cA	bA	aA	50 MPa/RT	eC	dB	cA	bA	aA	aA	aA
100 MPa/RT	fA	eA	dA	cA	bA	aB	aB	100 MPa/RT	dA	dA	cB	bB	aB	aB	aB

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**Highlights:**

- Hyperbaric storage/room temperature (HS/RT) avoided *Bacillus subtilis* spores growth
- At 50/100 MPa, HS/RT reduced spore loads in McIlvaine buffer, carrot juice and BHI
- Spores in carrot juice and BHI reached the quantification limit (2.00 log CFU/mL)
- Globally, HS/RT enhanced *B. subtilis* spores germination control *versus* RF
- HS can extend pasteurized foods shelf-life by spore inactivation