Electron spin resonance as a tool to monitor the influence of novel processing technologies on food properties

Francisco J. Barba, Shahin Roohinejad, Kenji Ishikawa, Sze Ying Leong, Alaa El-Din A Bekhit, Jorge A. Saraiva, Nikolai Lebovka

PII: S0924-2244(19)30793-9

DOI: https://doi.org/10.1016/j.tifs.2020.03.032

Reference: TIFS 2801

To appear in: Trends in Food Science & Technology

Received Date: 19 September 2019

Revised Date: 20 March 2020

Accepted Date: 20 March 2020

Please cite this article as: Barba, F.J., Roohinejad, S., Ishikawa, K., Leong, S.Y., El-Din A Bekhit, A., Saraiva, J.A., Lebovka, N., Electron spin resonance as a tool to monitor the influence of novel processing technologies on food properties, *Trends in Food Science & Technology* (2020), doi: https://doi.org/10.1016/j.tifs.2020.03.032.

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Hypertension

Ischaemia / reperfusion

Graphical abstract

	Journal Pre-proof				
1	Review article				
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3 4 5	Electron spin resonance as a tool to monitor the influence of novel processing technologies on food properties				
6 7 8	Francisco J. Barba ^{a*} , Shahin Roohinejad ^{b*} , Kenji Ishikawa ^c , Sze Ying Leong ^{d,e} , Alaa El-Din A Bekhit ^d , Jorge A. Saraiva ^{f*} , Nikolai Lebovka ^g				
9 10 11	^a Nutritionand Food Science Area ,Preventive Medicine and Public Health ,Food Science, Toxicology and Forensic Medicine Department, Faculty of Pharmacy, Universitatde València, Avda.Vicent Andrés Estellés, s/n, 46100 Burjassot, València,Spain				
12 13	^b Burnand Wound Healing Research Center, Division of Food and Nutrition, Shiraz University of Medical Sciences, Shiraz, Iran				
14 15	^c Plasma Medical Science Global Innovation Center, Nagoya University, Furo-cho, Chikusa, Nagoya 464-8601 Japan				
16	^d Department of Food Science, University of Otago, PO Box 56, Dunedin, New Zealand				
17	^e Riddet Institute, Private Bag 11 222, Palmerston North 4442, New Zealand				
18 19	^f LAQV-REQUIMTE, Department of Chemistry, University of Aveiro, 3810-193 Aveiro, Portugal				
20 21	^g Institute of Biocolloidal Chemistry named after F.D. Ovcharenko, NAS of Ukraine, 42, blvr. Vernadskogo, Kyiv 03142, Ukraine				
22					
23	*Corresponding authors:				
24	Francisco J. Barba: francisco.Barba@uv.es				
25	Jorge A. Saraiva: jorgesaraiva@ua.pt				
26	Shahin Roohinejad: <u>falcon.roh@gmail.com</u>				
27					
28	Running head: Influence of novel technologies on food properties and electron spin				
29	resonance				

30 Abstract

Background: Nowadays, electron spin resonance (ESR) is widely used as a powerful, nondestructive and very sensitive technique for the detection of free radicals in food systems. It can be applied for the direct identification of highly reactive oxygen species, organic and inorganic paramagnetic species and screening of food for potential toxicity. Its applications cover investigating food oxidative stability and properties of irradiated foods including fruits and vegetables, meats and fishes, spices, cereal grains, and oil seeds.

Scope and approach: This review aims at providing specialists in food science and industry with the fundamentals of ESR spectroscopy, typical radicals present in foods and their sources, ESR modalities, and detailed account for the use of the technology for evaluation of the physicochemical and nutritional properties of foods. Examples illustrating ESR applications for the evaluation of the effects of innovative and emerging technologies (ionizing radiation, high pressures, pulsed electric fields, cold plasma and ultrasonication) are discussed.

Key findings and conclusions: ESR can be used for the identification/quantification of free radicals in foods, for spin-label oximetry, estimation of free radical scavenging, food stability, and chelating activity, with particular interest for food processed using innovative technologies, with the main advantages of its high sensitivity, specificity, and low amounts of sample needed and nowadays many types of ESR instruments are commercially available. However, due to the different nature of foods, the development of novel ESR techniques and methods of analysis specially designed to study foods is of great interest in the future.

51

52 Keywords: Electron spin resonance; ESR; free radicals; novel processing technologies; high
53 pressure processing; pulsed electric fields

54

55 **1. Introduction**

Free radicals are molecular species that contain an unpaired electron in the atomic orbital area paramagnetic group of molecular species. Due to their independent existence, free radicals are mostly unstable, highly reactive, and can either donate or accept an electron from other molecules. The free radical reactions are very typical for biological systems (Yoshikawa, Naito, & Kondo, 1997). For example, the transformation of O_2 into H_2O includes the formation of superoxide $O_2^{\bullet-}$ and hydroxyl HO \bullet short-lived radicals with a lifetime in the nanosecond to millisecond range (Yoshikawa, Naito, & Kondo, 1997).

63 Table 1 summarises the half-life and rate constants of biological reactive species (both free radical and oxidant species) (Bekhit, Hopkins, Fahri, & Ponnampalam, 2013). Free 64 radicals are unstable and are highly reactive oxygen species (ROS) promoting changes in 65 DNA and cell damage, lipid and protein oxidation as well as cancer development and other 66 oxidative stress-related diseases. The free radicals are known to attack important constituents 67 of foods such as nucleic acids, proteins, carbohydrates, lipids, pigments and vitamins. The 68 69 presence of these radicals accelerates oxidation processes, leading to decomposition of food constituents, the formation of oxidized products, development of off-flavor/odor, 70 71 deterioration of pigments and useful nutrients that lead to reduction in the shelf-life and 72 eating quality of foods (Bekhit, Hopkins, Fahri, & Ponnampalam, 2013). Hydroxyl free 73 radical has the shortest half-life among the various free radicals and oxidants (Table 1), but 74 from a biologically point of view the hydroxyl radical is regarded as the most damaging free 75 radical species due to it high reaction constant rates (Table S1) and indiscriminate reaction 76 with neighbouring biomolecules (Bekhit, Hopkins, Fahri, & Ponnampalam, 2013). It is worth 77 noting that the reaction rate constants of the hydroxyl radical with proteins (collagen and 78 albumin) are generally higher than individual amino acids (Table S1), which highlight its 79 damaging role in biological systems. Furthermore, the ability of the hydroxyl radical to

80 oxidise antioxidants, fatty acids, protein, and aminoacids indiscriminately, which lead to 81 extensive damage to neighbouring biomolecules. The half-life of superoxide and alkoxyl 82 radicals are higher than the hydroxyl radical (Table 1) and they are important contributors to 83 oxidative processes. Molecular oxygen has the highest half-life and reaction rate constant 84 among the various oxidants listed in Table 1.

Food processing, which usually involves a series of mechanical, physical and 85 chemical transformations of raw ingredients, may enhance the formation of free radicals in 86 87 the food products and cause drastic changes in their quality. Therefore, over the recent years, there has been a growing awareness about free radical formation during food processing due 88 89 to consumers' increasing demand for healthy food products, free from artificial chemicals 90 and preservation of their natural and bioactive nutrients. This has, to some extent, favoured technological developments in non-thermal food processing, i.e. food processes carried out at 91 92 ambient or near ambient temperatures, unlike thermal processing or cooking that require high 93 temperature and cause major quality changes in foods (Rawson et al., 2011).

To date, very little work has been undertaken to identify the nature and unravelthe 94 chemistry of the free radicals produced in foods subjected to novel non-thermal food 95 96 processes(Ahn, Akram, Kim, & Kwon, 2013; Bolumar et al., 2014; Zhang, Yang, Zhao, 97 Liang, & Zhang, 2011). A vast body of literature has highlighted the chemistry aspects of free 98 radicals, their roles in human health and disease, as well as the possibility of annihilating 99 radicals with adverse effects (Favier, Cadet, Kalyanaraman, Fontecave, & Pierre, 1995; 100 Hiramatsu, Yoshikawa, & Inoue, 1997; Morello, Shahidi, & Ho, 2002; Rani & Yadav, 2015; Uppu, Murthy, Pryor, & Parinandi, 2010). 101

Earlier reviews have discussed the potential of ESR for estimating free radical scavenging capacity, food oxidative stability, determination of Cu^{2+} chelating capacity (Yu & Cheng, 2008), and properties of irradiated foods including meat, fruits, vegetables, spices,

105 cereal grains, and oilseeds (Shukla, 2016). However, literature pertinent to the application of 106 ESR for evaluating radical formation during alternative processing approaches, such as ionizing radiation, high pressure, pulsed electric fields, ultrasound, and microwave, is scarce. 107 108 ESR measurement can be a useful strategy to understand the chemical reactions at cellular 109 level and to establish a relationship between free radical formation and healthy functional products. For example, a correlation of radical formation measured by ESR with 110 inflammation, atherosclerosis, cancer, damage of biomolecules (e.g. lipids, nucleic acids, 111 112 enzymes, protein, etc.) could be established by using *in vivo* models.

113 This review provides an overview of the current status of the use of ESR spectroscopy 114 in topical nutraceutical and food research activities. The main focus is paid to recent 115 advantages of ESR technique for free radical analysis in foods processed using innovative 116 processing technologies, including ionizing radiation, high pressure, pulsed electric fields, 117 ultrasound, cold plasma treatment, and microwaves.

118

119 **2.** Typical radicals present in foods and their sources

There are extensive reviews (Andersen & Skibsted, 2008; Kristensen, Kröger- Ohlsen,
& Skibsted, 2002; Kumar, 2011; Shukla, 2016) and books (Favier et al., 1995; Gutteridge &
Halliwell, 2015; Hiramatsu et al., 1997; Laher, 2014; Minisci, 1997; Pryor, 1984; Rani &
Yadav, 2015; Roberfroid & Calderon, 1995; Uppu et al., 2010) discussing the mechanisms
involved in free radical formation, their types and sources in biological and food systems.

125

126 2.1. Types of radicals

127 A wide variety of free radicals and other reactive oxygen and nitrogen species 128 (ROS/RNS) can be found in food systems. Oxygen can produce different toxic species and 129 activate reactions involved in the degradation of biomolecules such as lipids, nucleic acids,

and proteins. The chemistry of ROS has been reviewed in detail (Pierre, 1995). Radical ROS includes hydroxyl (HO•), superoxide (O_2 •-), peroxy (RO₂•), and alkoxy (RO•) radicals. Nonradical hydrogen peroxide (H₂O₂), hypochlorous acid (HOCl), and singlet oxygen (¹O₂) can also evolve in radical or radical-mediated reactions (Morello et al., 2002). RNS such as nitric oxide radicals, have been implicated in various physiological processes and they are very reactive towards molecular oxygen, superoxide radical, organic radicals, and transition metals (Garrel & Fontecave, 1995).

137

138 2.2. Formation of radicals

139 There are different internal and external sources of radicals in food systems (Kumar, 140 2011). The internal sources include mitochondrial activity as a major source of enzymes that generate free radicals as by-products of their activity (Table 2) (Bekhit et al., 2013). Several 141 142 dehydrogenases, such as dihydroorotate dehydrogenase, glycerol-3-phosphate dehydrogenase, succinate dehydrogenase, α -ketoglutarate dehydrogenase and pyruvate dehydrogenase as well 143 as reductases (NADH:ubiquinone reductase, succinate-cytochrome c reductase and 144 145 cytochrome b5 reductase) that are located in mitochondria, remain active postharvest and are 146 able to produce several radicals and oxidants (Table 2). The interactions between these 147 enzymes and their substrates become easier during postharvest storage as the integrity of 148 mitochondria is lost over time. The generation of free radicals in biological materials through 149 this pathway is important and can cause significant quality defects in fresh produce, e.g. fresh 150 meat (for more information please see Bekhit et al., 2013). Furthermore, reactions involving Fe^{2+} , Cu^{2+} , and other transition metals; ischaemia/reperfusion; and inflammation (among 151 others in plants and/or animal systems). The external sources include: non-enzymatic 152 153 reactions of the oxygen with organic compounds, reactions initiated by ionizing radiations,

action of cigarette smoke, and exposure to environmental pollutants, radiations, ultravioletlight, and ozone, treatment with certain drugs, pesticides, and industrial solvents.

156

157 **3. ESR modalities**

158 3.1. Principle mechanism of ESR

159 The ESR was first discovered in 1944 in Kazan University by Zavoisky, (1944). This technique is based on the absorption of the microwave radiation by a paramagnetic sample 160 161 (materials with unpaired electrons) placed in an external magnetic field. ESR is a useful technique for the detection of free radicals and other paramagnetic species such as transition 162 163 metals. Position and shape of ESR lines are strongly dependent on the nature of the radicals. 164 The electron-Zeeman interaction between unpaired electron(s) and an applied magnetic field is expressed via g-values. The g-value is analogous to the chemical shift in Nuclear Magnetic 165 Resonance (NMR). The g-value extracted from ESR spectrum is an important characteristic 166 that depends on the nature of the radical under consideration (for example, for a free electron, 167 g= 2.0023). However, the ESR spectrum is often complicated by the hyperfine structure 168 formed in the presence of neighbouring magnetic nuclei, such as ¹H, ¹³C, ¹⁴N, ¹⁹F, etc. Thus, 169 170 calibration of the ESR spectroscopy instrument is a necessary step.

For calibration of an ESR instrument, a suitable reference material has to be employed, e.g., a powder containing Mn^{2+} ions in lime (CaO) (Negut & Cutrubinis, 2017). The Mn^{2+} ion has effective spin S = 5/2, nuclear spin I = 5/2 and its ESR spectrum consists of a hyperfine sextet (**Figure S1**) (De Biasi & Grillo, 2014). The lines are spaced by \approx 9mT and the third and fourth lines with *g*-value of 2.0292 and 1.9760, respectively, are commonly used for the calibration (De Biasi & Grillo, 2014).

177 The main advantages of ESR include its high sensitivity and specificity. This178 technique also requires relatively small amounts of sample. For example, using conventional

179 X-band (with the frequency of about 9.1–9.7 GHz) concentration of radicals \approx 2-3 µM can be 180 detected for a 25 µL sample (Abbas, Babić, & Peyrot, 2016). Another advantage of the ESR 181 method is the simplicity of sample preparation (Schaich, 2002).

182

183 3.2. ESR measurement

184 For detection and identification of free radical metabolites, ESR can be applied asa direct or indirect method. Biological semiquinone radical with a g-value of around 2.004 and 185 a line width of approximately 5G on fungal spores of *Penicillium digitatum* can be kinetically 186 analyzed in situ during atomic oxygen generated plasma electric discharge at real time and 187 188 the decay of the ESR signal is possibly linked to the inactivation of the fungal spore (Ishikawa et al., 2012). Characteristic ESR signalsarisen from Fe³⁺state and peroxy radical 189 (RO₂•) on haemoglobin or myoglobin (Libardi, Skibsted & Cardoso, 2014; Jongberget al., 190 191 2014) were detected during atomic hydrogen, nitrogen, and oxygen exposure on raw horse 192 meat during non-thermal processing (HPP, PEF, etc.). Therefore, these signals can be used as 193 an indicator of a balance between inactivation of microorganisms and deterioration of food nutritional status (Kitada et al., 2017, Kitada et al. 2018). The direct application of ESR is 194 possible for the relatively long-lived radical species while the *indirect* application of ESR 195 196 uses spin trapping and spin labelling techniques. The spin trapping technique is based on the 197 formation of long-lived and ESR-detectable spin adducts as a result of the reaction of a short-198 lived reactive free radical R• with a diamagnetic molecule (Mason, 1997).

199

$R\bullet + spin trap \rightarrow spin adduct\bullet$

The spin adduct (usually a nitroxide) should be a relatively long-lived radicalproduct. The signal intensity of the spin adducts, as observed in an ESR spectra, is directly proportional to the concentration of the formed free radicals R•.

203 The chemical structures of the popular spin traps DMPO (5, 5-dimethyl-1-pyrroline 204 N-oxide) and PBN (*N*-tert-butyl- α -phenylnitrone) are presented in **Figure S2**. The structure 205 of other spin traps developed for biological studies can be found in the available literature 206 (Hawkins & Davies, 2014).

207 Information about the hyperfine splitting of the spin adducts for popular spin trapsis well known (Buettner, 1987). The splitting patterns in ESR spectra of spin adducts can 208 provide useful information about the structure and identity of the trapped radicals. The spin 209 210 trapping technique was initially developed to study biological compounds containing highly reactive and short-lived superoxide $(O_2 \bullet -)$ and hydroxyl (HO•) radicals and radical formation 211 212 on proteins, lipids, and polysaccharides (Abbas et al., 2016; Davies, 2016; Hawkins & Davies, 213 2014). The trapping efficiency and stability of the resulting adducts depend on the type of the 214 radicals and the applied spin adducts.

The spin labelling technique is based on using special spin labels (stable free radicals). This technique can be used for the determination of the concentration of dissolved oxygen in foods. The chemical structures of the most popular water-soluble N-containing nitroxide radicals, PDT (4-oxo-2, 2, 6, 6-tetramethylpiperidine-d16-1-oxyl) and CTPO (3-carbamoyl-2, 2, 5, 5-tetramethyl-3-pyrroline-1-yloxyl) are presented in **Figure S3**.

The non-volatile nitroso spin trap, 3, 5-dibromo-4-nitrosobenzenesulfonate (DBNBS) is useful for detecting pyrolysis radicals which are formed in high-temperature interfacial regions produced by ultrasonic cavitation (Kondo, Krishna, & Riesz, 1989). As reported in sonolysis of dimethyl sulfoxide (DMSO)-water mixtures, the spin adducts of DBNBS-SO3 and –CH3 can be detectable (Kondo, Kirschenbaum, Kim, & Riesz, 1993).

225

4. Evaluation of the physicochemical and nutritional properties of food

227 There are different ESR techniques for the evaluation of the concentration of dissolved 228 oxygen, and determining free radical scavenging, stability, and chelating activity of food ingredients. Among the main advantages of ESR reported in the available literature, one of 229 the most important benefits is the ease of detection and identification of free radicals 230 231 generated by chemical or biological systems by observing the spectrum of a spin adduct. 232 Moreover, it also allows the quantification of free radicals by comparing the peak area to those obtained from stable radicals and to carry out kinetic analyses as well as to determine 233 234 the formation and elimination velocities of a free radical (Kohno, 2010). In addition, the characteristics (g-value, alignment, line width, ΔW , among others) of the free radicals can be 235 236 also determined using ESR (Kohno, 2010).

237 On the other hand, some main drawbacks are found with the technique, for example ESR does not allow the detection of a free radical when it reacts immediately with a molecule 238 239 different from the spin-trapping agent. Moreover, spin adducts can be neutralized when a reducing agent is present and a new spin adduct can be generated if a spin adduct is 240 decomposed, thus the difficulty of the identification of the free radicals. It is also difficult to 241 242 determine the electron distribution and the molecular structure of the free radical when the hyperfine coupling constant, 2-(4-carboxyphenyl)-4, 4, 5, 5- tetramethylimidazoline-1-oxyl-243 244 3-oxide (carboxy-PTIO) is the only ESR parameter determined for a spin adduct (Kohno, 2010). Since carboxy-PTIO reacts selectively with NO• radical and this reaction yields 2-(4-245 carboxyphenyl)-4, 4, 5, 5-tetramethylimidazoline-l-oxyl (carboxy-PTI) and NO• radical, the 246 247 carboxy-PTIO reaction system can be used for detection of NO• radical (Kurake et al., 2017; 248 Uchiyama et al., 2015). It is worth mentioning that in some applications, the ESR techniques 249 are only qualitative, and not quantitative (Zhou, Yin, & Yu, 2005).

250

251 4.1. Spin label oximetry

252 ESR spin label oximetry technique has wide applications for detection of dissolved 253 oxygen in foods (Subczynski & Swartz, 2005). The technique is based on the collision between paramagnetic oxygen O_2 and a spin label (stable free radicals). The extent of spin 254 255 exchange influences the line width for the spin label and it depends on the concentration of dissolved oxygen. It allows real-time monitoring of generation or consumption of O₂ in food 256 257 systems. Water-soluble N-containing nitroxide radicals, namely PDT (Yin et al., 2009) and CTPO (Hyde & Subczynski, 1984) are common spin labels used for the ESR oximetry. 258 259 Spectra for these spin labels are widely available and hence calibration procedures for these techniques are well established. The ESR oximetry has been applied to study oxygen uptakes 260 261 and lipid oxidation in emulsions, in fatty acid model systems and liposome systems and to 262 evaluate oxygen permeation through an oil-encapsulated glassy food matrix (for a review see Zhou, Yin, & Lo, (2011)). Data on oxygen solubility and diffusivity in food and different 263 oxygen quantification methods including ESR oximetry have been reviewed (Pénicaud, 264 Peyron, Gontard, & 265

266 Guillard, 2012).

267

268 4.2. Free radical scavenging

269 The formation of free radicals and their scavenging by antioxidants in foods canbe 270 evaluated using different the assay procedures (Karadag, Ozcelik, & Saner, 2009; Shivakumar & Yogendra Kumar, 2017). Nowadays, the use of ESR techniques for these 271 272 purposes is considered to be reliable and sensitive in radical quenching (Cömert & Vural, 2017). For example, the antioxidant capacity of a large number of varieties of fruits 273 (strawberry, mulberry, lemon, banana, etc.) to scavenge 1, 1-diphenyl-2-picryl-hydrazyl 274 275 (DPPH) radical was evaluated using spectrophotometric and ESR measurements (Zanget al., 2017). The results obtained from the two methods were found to be highly correlated. It was 276

277 demonstrated that in some cases (for the sample with a colour similar to that of DPPH or non-278 transparent sample) ESR spectroscopy might be more suitable for determining the antioxidant capacity of fruits. In fact, the use of ESR technique to evaluate both radical scavenging 279 280 activity and antioxidant properties in foods have shown high correlation for various food 281 products, which include antioxidant drink (Hiramatsu et al. 2013), medicinal tea (Pejin & 282 Kien-Thai, 2013), betanin of red beet (Esatbeyoglu et al., 2014), polyphenols of wine compounds (De Beer, Joubert, Gelderblom, & Manley, 2017; de Camargo, Regitano-d'Arce, 283 284 Biasoto, & Shahidi, 2016), coffee (Kameya, 2017), herbal materials (Wojtowicz, Krupska, & Zawirska-Wojtasiak, 2017), peptides of soybean meats (Sami, 2017), and other liquid foods 285 286 and beverages (Smirnov, 2017). Therefore, ESR technique has become an integral part for 287 food analysis that provide valuable information regarding the antioxidant properties of a food 288 material.

289 The ability of ESR spectroscopy to differentiate between the antioxidant activity of soluble and insoluble/bound phenolic fractions extracted from winemaking by- products pre-290 291 treated with cell-wall degrading enzymes was demonstrated (Camargo et al., 2016). The antioxidant activity with respect to DPPH and hydroxyl radical scavenging activity showed a 292 good correlation with specific phenolic compounds found in each extract fraction exposed to 293 294 two different enzyme-assisted extraction treatments. Figure 1 presents examples of ESR 295 signals used for the evaluation of the ability of the phenolics extracted from the control 296 (devoid of enzyme) and the starting material pre-treated with Pronase to scavenge hydroxyl 297 radicals (the higher the ESR signal, the lower the scavenging activity) (Camargo et al., 2016). 298 The ratio observed between the fraction containing soluble and insoluble-bound phenolics increased upon enzyme treatment of the starting material. The similar trends were observed 299 300 for pre-treatment of the starting materials with Viscozyme.

301

302 **4.3.** *Food stability*

303 The quality and nutritional properties of foods during processing and storage can be directly related to free radical-mediated oxidation of lipids. The process of oxidative 304 305 deterioration of lipids by direct attack of carbon-carbon double bonds, especially in PUFAs 306 (polyunsaturated fatty acids), with free radicals in a process that is known as lipid peroxidation (Ayala, Muñoz, & Argüelles, 2014). The chemical mechanisms and methods of 307 analytical determination of the extent of lipid peroxidation are widely discussed in the 308 309 literature (Catala, 2012; Repetto, Semprine, & Boveris, 2012). The products of an oxidative breakdown in foods have high toxicity and for their determination, different assays have been 310 311 developed. However, these assays may be rather complex and require multistep sample 312 preparations. For example, aldehyde and ketone derivatives and the measurement of the carbonyl groups is regarded as an important marker for protein or lipid oxidation caused by 313 314 reactive species. Several spectrophotometric, immunochemical and chromatography methods (Rimbach et al., 1999; Estévez, Ollilainen, & Heinonen, 2009) have been reported with 315 varying levels of sensitivity and ability to identify individual carbonylated by-products of the 316 317 oxidation process. Other methods more relevant to lipid oxidation rely on determination of volatile compounds generated as end products of the oxidation reaction, such as 4-hydroxy-2-318 319 nonenal (4-HNE)], are frequently investigated and quantified using HPLC, GC and ELISA.

320

321 *4.4. Chelating activity*

Ions are commonly found in foods and have significant nutritional value (e.g., Fe^{2+} and Cu²⁺), display a high catalytic activity and they can accelerate the oxidative reactions and result in the generation of free radicals. Natural chelates have an affinity for metal ions and they can bind to these metals. Recently, the role of chelates have attracted significant attention in nutrition (Kratzer & Pran, 2018). Some examples of the application of ESR

technique for the determination of chelating activity of food components have also beenpresented, since the formation of chelating complexes alters the ESR spectra.

The chelating properties of five phenolic acids (p-coumaric, ferulic, syringic, and 329 vanillic acids) that are commonly present in wheat grain and fractions, were evaluated against 330 Fe²⁺ and Cu²⁺ using spectrophotometric and ESR measurements (Zhou, Yin, & Yu, 2006). It 331 332 was demonstrated that these phenolic acids differed in their capacity to form chelating complexes. The correlations between the radical-scavenging capacity, chelating capacity 333 against transition metals and structure of phenolic acids were discussed. ESR measurement 334 has been used for the evaluation of Cu^{2+} chelating activities and radical-scavenging properties 335 of botanical extracts from black peppercorn, nutmeg, rosehip, cinnamon, and oregano leaf 336 (Su et al., 2007) and wheat bran extracts (Zhou et al., 2005). For wheat bran extracts 337 significant radical scavenging and chelating capacities were detected due to significant levels 338 339 of phenolic acids, tocopherols, and carotenoids (Zhou et al., 2005).

340

5. Evaluation of the effects of food processing operations

It is important to note that the concentration of radicals in native food systems canbe rather low and their level increases with processing. For example, the number of radicals per gram amounted to about 1014-1015 for unroasted coffee beans, 1016 for roasted coffee, and 1017 for spent coffee grounds (the waste product from brewing coffee) (Rosenthal, 1998). These results demonstrated that free radicals can effectively be produced by different food processing operations. The formation of the radicals in the processed food material should be carefully monitored to ensure nutrients retention in the food after processing.

349

350 5.1. Ionizing radiation

Irradiation with moderate ionizing energy ($\leq 10 \text{ kGy}$) is frequently used to produce biocide effects and to prevent the bacterial growth in foods (ISO14470, 2011; Stefanova, Vasilev, & Spassov, 2010). Irradiation can be performed with ⁶⁰Co gamma rays, and X-ray or accelerated electrons. A comprehensive book that covers different aspects of food irradiation, processing, and sterilization, as well as legislation and market aspects was recently published (Ferreira, Antonio, & Cabo Verde, 2018). Nowadays, the ESR is the principal method of detection of free radicals in irradiated foods to ensure safety and treatment efficacy.

A typical examples of the ESR spectra of un-irradiated (0 kGy) and irradiated (10 kGy) food materials (complex seasoning) containing Mn^{2+} are presented in **Figure 2** (Ahn, Akram, Kim, & Kwon, 2013). Note that the manganese ions are important for biochemical processes of green plants as cofactors of proteins and enzymes. The typical sextet Mn^{2+} signals were observed. Upon irradiation, complex ESR spectra were observed and the signals due to Mn^{2+} showed overlapping with the radiation-induced ESR signals.

Different examples for the application of ESR to study irradiated fruits, vegetables, tea leaves, seeds, spices and herbs, food containing bones, crystalline sugar, sauces, and beverages have been already reported (Shukla, 2016). Therefore, for more details on the analysis and technical information, we refer the reader to this recently published book (Shukla, 2016).

369

370 5.2. High pressure processing

High pressure (HP) processing involves the application of hydrostatic pressures > 100 MPa at ambient temperature to inactivate microorganisms and inhibit oxidative enzymes, while retaining the inherent quality attributes of the food material (Oey et al. 2008). Food products (in the form of liquids or semi-solids) are pre-packed and loaded into a chamber vessel and the vessel is then closed and filled with a pressure-transmitting medium such as

water or food-grade solutions (e.g. castor oil, silicone oil, sodium benzoate, ethanol, and
glycol). The food products are held inside the vessel under pressure for a predefined duration,
followed by system depressurisation before opening the vessel and unloading the food
products (Tao et al. 2014).

380 High pressure (HP) processing has been shown to initiate lipid oxidation in 381 freshmeats (Bolumar, Andersen, & Orlien, 2014), and a greater amount of volatiles linked to fatty acid oxidation has been detected in HP-treated fruit juices and vegetable purees (Kebede 382 et al., 2013; Vervoort et al., 2013). Therefore, a reliable assessment of process- induced 383 changes in HP processed food is of a major importance in the context of legislative aspects of 384 385 this innovative non-thermal processing technology. In this respect, the potential involvement 386 of any specific radical intermediates during HP that might be involved in lipid oxidation can 387 be thoroughly examined with the aid of ESR spectroscopy.

388 Figure 3 presents examples of the EPS spectra (first derivatives) of the DMPO (a) and PBN (b) spin-adducts formed in beef loin and chicken breast processed by HP treatment 389 (Bolumar et al., 2014). The spin traps DMPO and PBN were added to minced beef and 390 391 chicken meats and then hp treatment was applied. For DMPO spin trap, the spectra had a shape of an isotropic spectrum with a high degree of line broadening due to slow rotational 392 393 mobility (Figure 3a). For PBN spin trap an ESR spectra with the typical shape of a nitroxyl 394 radical powder spectrum were observed (Figure **3b**). The powder spectrum evidenced that the 395 formed spin adducts are immobilized in random orientations in a solid matrix. The level of 396 spin adducts was higher in the beef loin compared to the chicken breast, which might be 397 related to the higher iron content in beef compared to chicken, reflecting a higher level of radicals formed in the beef loin during pressurization. The formation of new free radical 398 399 species in chicken meat during HP processing (400-800 MPa, 5-40 °C for 10 min) has been reported in various studies (Bolumar, Andersen, & Orlien, 2011; Bolumar et al., 2014; 400

401 Bolumar, Skibsted, & Orlien, 2012; Mariutti, Orlien, Bragagnolo, & Skibsted, 2008). Based 402 on ESR spin trap spectroscopy investigation, it can be deduced that radicals formation in HP meat, as initiators of lipid oxidation under HPP exposure is a time-dependent process 403 (following a first-order reaction) (Bolumar et al., 2011) and interestingly, it has been clearly 404 405 revealed that both protein- and iron-derived radicals were formed and accumulated at the 406 sarcoplasmic and myofibrillar muscle fractions during HP processing (Bolumar et al., 2014). Furthermore, increasing the processing temperature and time at atmospheric pressure and 407 during HP processing of chicken meat has been shown to promote greater formation of 408 radicals (Bolumar et al., 2012). Therefore, ESR could be employed as a reliable technique to 409 410 assist optimization of HP processing for various foods, targeting to minimize the occurrence 411 of lipid oxidation.

- 412
- 413 **5.3.** Pulsed electric fields

Recent studies on the use of pulsed electric fields (PEF) processing in foodresearch 414 demonstrate the food industry is interested in this technology that can assist different food 415 416 operations such as extraction, drying, freezing, osmotic treatment, improve safety, and cause texture modifications. PEF treatment at a high electric field strength in order of 20-100 417 418 kV/cm with very short duration pulses (between µs and ms), can be used for inactivation of 419 bacteria and sterilization of liquid foods. PEF processing at high electric field can induce polarisation of water molecules with dissociation of them into the ions (Boussetta, Soichi, 420 421 Lanoiselle, & Vorobiev, 2014). This would possibly lead to the subsequent formation of free 422 radicals during PEF treatment, but there is a lack of studies evaluating this phenomenon in available literature. For detection of free radicals induced by a pulse discharge, ESR 423 424 technique can be successfully applied (Tahara & Okubo, 2014). It is worth noting that at present time, pulse discharge technologies are recognized as a cost-effective and 425

426 environmentally friendly for the destruction of microorganisms in contaminated potable427 water and wastewater (Yang & Cho, 2012).

428 ESR technique with DMPO spin trap was used to detect the generation of free radicals in phosphate buffer and in an oleic acid emulsion after PEF processing (Zhang, Yang, Zhao, 429 Liang, & Zhang, 2011). The concentration of hydrogen peroxide in phosphate buffer after 430 PEF treatment were 0.177×10^{-6} at 30 kV/cm, and 1.858×10^{-6} at 35 kV/cm. This work 431 evidenced that PEF had a potential role as initiator of free-radical reaction. The effects of 432 PEF on oxidation of oleic acid were also studied (Zhao et al., 2011). Hydrogen radicals were 433 detected by ESR technique using the DMPO spin trap. Note that the DMSO can trap carbon-434 435 centered and oxygen-centered radicals generated in chemical and biochemical systems. 436 Figure 4 shows examples of ESR spectra of DMPO adducts of oleic acid without PEF treatment and after PEF treatment 30 kV/cm for 400 µs. The ESR signal was practically 437 438 absent for the control sample (Figure 4a) but was very intense for the sample under PEF 439 treatment (Figure 4b). For PEF treated sample the spectra contain a triplet and each triplet line is further split into another triplet with intensities of 1:2:1. The study confirmed the 440 441 oxidation of oleic acid under PEF treatment and generation of hydrogen radicals. Following PEF, a gradual quality deterioration of an oleic acid emulsion occurred, as indicated by the 442 443 increase in the peroxide and carbonyl values of the PEF- treated oleic acid (Zhang et al., 2011; Zhao et al., 2011). 444

The oxidative effects of nanosecond PEF treatment (1–13 kV/cm, 300 ns) in cells and cell-free media were demonstrated (Pakhomova et al., 2012). It was shown that nanosecond PEF triggers oxidation both extracellularly (electrochemically) and intracellularly mediated by biochemical reactions.

In an advancement of the PEF technology, electrical-insulation breakdown was shownto take place by application of high electric fields and generation of electrical discharges.

451 Under ambient atmosphere, electrons in the high electricity discharge effectively collide with 452 background-molecules such as nitrogen, oxygen, and water, leading to dissociation of these molecules. A rich variety of gaseous and aqueous reactive oxygen and nitrogen species 453 454 (RONS) are produced by the electrical discharge (Takeda, Ishikawa, Tanaka, Sekine, & Hori, 2017). Evidence for the generation of hydrogen peroxide H_2O_2 and short-living active species 455 (HO, H, O, ${}^{1}O_{2}$, HO₂, O₂-) resulting from the dissociation of water activated by an 456 underwater electrical discharge has been reported (Hong, Huh, Ma, & Kim, 2018). Basically, 457 458 when oxygen atoms are generated by the electrical discharge of atmospheric air remotely from liquids such as water, saline, biological liquids, water molecules dissolved in organic 459 460 constituents such as lipids, peptides, and proteins, reaction of these biological compounds 461 with oxygen atoms occurs at the gas-liquid interface (Hong et al., 2018; Kobayashi et al., 2017). In contrast, when the discharge is in direct contact with the liquids, more effective 462 463 dissociations of the dissolved organics occur by irradiations simultaneously of high-energetic photons, large- amount of RONS, electrically charged species, as well as high-electric fields 464 (Kurake et al., 2017; Uchiyama et al., 2018). 465

The generation of free radicals and ROS/RNS after a PEF application can be viewed 466 in a positive or negative way, depending on the intended application of this non-thermal 467 468 technology. For instance, PEF can effectively inactivate microorganisms in food systems 469 possibly due to the PEF-induced cell electroporation effect that has led to the extensive formation of highly reactive free radicals from chemical species in the microbial cell 470 471 (Sitzmann, 1995), which is regarded as positive outcome of the process. On the other hand, 472 PEF has been reported to modify the chemical conformation of the antioxidant compounds and their antioxidant properties due to free radicals formation. For instance, the formation of 473 free radicals (HO•) after PEF (5-35 kV/cm, unipolar square 40 µs pulses, continuous 474 operating mode at a flow rate of 60 mL/min, 0.8-7.2 ms treatment time) has been associated 475

476 with the conversion of vitamin C isomer from enol- to keto-form (Zhang et al., 2015), thus 477 modifying the vitamin C structure without significantly decrease its total content. Furthermore, changes in the structural conformation induced by PEF have enhanced the 478 antioxidant properties of vitamin C. However, PEF-induced reactive species (H₂O₂ or 479 480 hydroxyl radicals) have different effects on polyphenols such as anthocyanin. For example, cvanidin-3-glucoside purified from red raspberry has been reported to lose its stability after 481 PEF treatment (1.2-3.0 kV/cm, 300 exponentially decaying 300 µs pulses for 1 Hz), as 482 indicated by the increased formation of chalcone due to the opening of the pyrylium ring 483 (Zhang et al., 2008). Moreover, it has been observed that weak chemical bonds present in the 484 485 structure of amino acids, proteins, and polysaccharides, such as hydrogen, disulphide, and 486 hydrophobic bonds, are susceptible to break down after PEF exposure (Han et al., 2012; Liu, Zeng, Deng, Yu, & Yamasaki, 2011; Perez & Pilosof, 2004). This observation can also be 487 488 partially explained by H_2O_2 or free radical formation due to PEF treatment.

The antioxidant activity of a peptide with sequence Gln-Asp-His-Cys-His (QDHCH) of pine nut (*Pinus koraiensis*) was improved by PEF treatment (at E=5-20 kV/cm) (Liang, Zhang, & Lin, 2017). It was demonstrated that hydroxyl radicals scavenging activity of QDHCH was increased after PEF processing as detected using ESR technique. PEF has no effect on the basic structure of QDHCH, but it influenced the secondary structure of QDHCH.

495 **5.4.** *Ultrasound*

496 Ultrasound is a nonthermal processing technology that involved continuous agitation 497 of food material at ultrasonic frequencies (>20 kHz) using an ultrasonic bath or probe. One of 498 the earliest works by Vercet, Lopez, & Burgos, (1998) in examining enzyme inactivation 499 effect of manothermo-sonication (MTS), a combined treatment of heat and ultrasound (20 500 kHz frequency) under moderate pressure, was able to deduce that one of the MTS enzyme

inactivation mechanisms involved the interaction between the free radicals produced by water sonolysis with amino acid residues. The work showed further that free radical production rate increases linearly with increasing ultrasound amplitude (from 20 and 145 μ m) and decreased when increased temperature and pressure combination (70 °C/200 kPa vs. 130 °C/500 kPa) was applied.

The work of Makino, Mossoba, & Riesz, (1983) was among the first in the literature to demonstrate the feasibility of using ESR spin trapping spectroscopy technique to study the radicals' formation in an aqueous medium (sonicated water saturated with argon) following ultrasound sonication. It was clear that hydroxyl (HO•) and hydrogen atom radicals (H•) were the two most abundant ultrasound-induced free radicals formed in the aqueous medium investigated (Kondo et al., 1989).

The recent work of Zhang et al. (2015) performed with ESR spin trapping 512 513 spectroscopy with DMPO was able to reveal increasing formation of 1-hydroxyethyl radicals 514 during sonication of red wine, while only HO• radicals were detected in DMPO (control) solution during sonication. Comparing the types of spin adducts detected in both ultrasound-515 processed DMPO (control) solution and red wine, it is possible to postulate that 1-516 hydroxylethyl radicals were formed due to ethanol oxidation via the ultrasound-generated 517 518 HO• in water. Thus, this work provided the first direct evidence to uncover the formation of 519 1-hydroxyethyl free radical in red wine exposed to ultrasound.

Influence of ultrasound-assisted thermal processing (thermo-sonication) on the physicochemical and sensorial properties of beer was investigated (Deng et al., 2018). ESR was employed to monitor changes in the generation of free radicals and it was demonstrated that thermo-sonication clearly improves the oxidative stability of beer determined by ESR spectroscopy.

525

526 5.5. Cold plasma treatment

527 Cold plasma treatment is a novel technology that uses partially ionized gases that 528 contain a mixture of neutral and charged species with temperature close to room temperature. 529 The technology has attracted a lot of attention due to its efficacy in reducing/eliminating 530 microorganisms and viruses (Takamatsu et al., 2015). The technology basic mode of action is 531 mainly related to the generation of reactive species and their effects on bacteria. Depending on the intensity of treatment and the gas used, a wide range of reactive species (e.g., UV 532 533 photons, charged particles, free radicals, and oxidants) are generated that contribute to the 534 antimicrobial activity and its successful use on fresh and dry food products (Barba, Koubaa, 535 do Prado-Silva, Orlien, & Sant'Ana, 2017; Gavahian, Chu, Mousavi Khaneghah, Barba, & 536 Misra, 2018; Hertwig, Meneses, & Mathys, 2018). The use of nitrogen as the source gas of 537 reactive species appear to be the most effective to inactivate microorganisms due to the high 538 hydroxyl radical generated using nitrogen (Takamatsu et al., 2015). ESR has been used to measure several short lived radical species such hydroxyl radical (< 100 ms), peroxynitrous 539 540 (~1 ms and superoxide and hydroperoxyl radicals (< 10 s) in liquid solutions (Attri et al., 541 2015; Ikawa, Tani, Nakashima, & Kitano, 2016). ESR has been used to measure free radicals generated in plasma treated liquids (Jablonowski et al., 2015), but no use of the technology 542 543 has been reported in real foods. There is a large potential to utilize ESR to determine the depth of cold plasma penetration by investigating free radical formation at sub-surface layers 544 545 to ensure proper decontamination process. Another potential use of the technology is to 546 determine the concentration and nature of free radicals generated by cold plasma treatment in 547 relation to undesirable changes in treated foods. This is an important aspect, particularly in 548 milk and dairy products (Coutinho et al., 2018).

549

550 **6. Conclusion**

551 The goal of this review was to classify and describe applications of various ESR 552 spectroscopic techniques for free radical analysis in foods processed using emerging technologies. The typical radicals present in foods, their types and sources (both internal and 553 external) were discussed. The ESR techniques have become very popular for the 554 identification of free radicals in different types of foods, including fruits and vegetables, 555 meats and fishes, spices, cereal grains, and oilseeds. These techniques can be applied for 556 spin-label oximetry, estimation of free radical scavenging, food stability, and chelating 557 558 activity. Moreover, they can be employed to detect and quantify free radical species in food processed using innovative operations assisted by ionizing radiation, high pressures, pulsed 559 560 electric fields, ultrasonication, and microwaves. The main advantages of ESR for applications 561 in food systems include its high sensitivity and specificity. Nowadays, many types of ESR instruments are commercially available, this technique requires relatively small amounts of 562 563 sample and analyses can be easily and rapidly done in scientific and industrial laboratories. However, the ESR data for foods are typically affected by the nature of the material, type of 564 applied treatment and especially the water content in foods, complicating the detection and 565 quantification of radicals. Therefore, the development of novel ESR techniques and methods 566 of analysis specially designed to study foods is greatly desirable in future. 567

568

- 569 **Conflict of interest**
- 570 There are no conflicts to declare.

571

572 Acknowledgements

573 Francisco J. Barba would like to acknowledge the support from "GeneralitatValenciana"
574 through the project for emerging research groups GV/2018/040 and for the financial support
575 (IDIFEDER/2018/046 – Procesos innovadores de extracción y conservación: pulsos

eléctricos y fluidos supercríticos) through European Union ERDF funds (European Regional
Development Fund). Shahin Roohinejad would like to acknowledge the Alexander von
Humboldt Foundation for his postdoctoral research fellowship award. Jorge A. Saraiva would
like to acknowledge University of Aveiro and FCT/MCT for the financial support for the
QOPNA research Unit (FCT UID/QUI/00062/2019) through national founds and, where
applicable, co-financed by the FEDER, within the PT2020 Partnership Agreement.

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1 Figure captions

Fig 1. Examples of ESR signals of soluble and insoluble-bound phenols affected by Pronase enzymatic pre-treatment on winemaking by-products. The higher the ESR signal, the lower the scavenging activity as demonstrated by the content of DMPO-OH adducts (with permission from (De Camargo et al., 2016)).

Fig. 2. Typical examples of the first derivative ESR spectra of un-irradiated (0 kGy)
and irradiated (10 kGy) food materials (complex seasoning) containing Mn2+. Irradiation
was performed with ⁶⁰CO gamma-ray source (with permission from (Ahn, Akram, Kim, &
Kwon, 2013)).

Fig. 3. Examples of ESR spectra of the DMPO (a) and PBN (b) spin-adducts formed
in beef loin and chicken breast during HP-treatment (with permission from (Bolumar,
Andersen, & Orlien, 2014)).

Fig. 4. Examples of ESR spectra of DMPO adducts of oleic acid without PEF treatment (a, control) and after PEF treatment 30 kV/cm for 400 μ s (b). For PEF treated the spectrum contains two triplet patterns. The first triplet peaks are caused to one nitrogen atom of the DMPO adduct with a hyperfine coupling constant of a_N =1.65 mT and the second triplet peaks are caused by the two identical β -protons ($a_{H\beta}$ =2.23 mT) of the DMPO adduct (with permission from (Zhao et al., 2011)).

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Species		Symbol	Half-life (s) at 37°C	Rate constant* $(M^{-1}s^{-1})$
Radicals		-		
	Semiquinone radical	Q [.]	$>10^{2}$	-
	Peroxyl radical	ROO ⁻	$>1 \times 10^{-2}$	-
	Superoxide radical	O_2	$>1 \times 10^{-6}$	< 0.3
	Alkoxyl radical	RO [.]	$>1 \times 10^{-6}$	-
	Hydroxyl radical	HO [.]	$>1 \times 10^{-9}$	
	Perhydroxyl	HOO [.]	1-30	-
	Nitric oxide radical	NO [.]	1–30	9.1×10^{9}
	Carbonate radical anion	CO_3		$1.2 imes 10^8$
	Azide	N_3	10^{-5} — 10^{-6}	$< 10^{7}$
Oxidants				
	Molecular oxygen	O_2	$>10^{2}$	$1.9 imes 10^{10}$
	Lipid peroxide	ROOH	$>10^{2}$	<u>.</u>
	Singlet oxygen	$^{1}O_{2}$	$>1 \times 10^{-6}$	$2 imes 10^7$
	Hydrogen peroxide	H_2O_2	10	1×10^{-2}
	Ozone	O_3	9×10^3	$5 imes 10^6$
	Peroxynitrite	ONOO	$10-20 \times 10^{-3}$	-
	Hypochlorous acid	HOCl		3.8×10^{7}

Table 1. The half-life and rate constants of biological reactive species.

*= rate constant with methionine. Source: Bekhit et al. (2013)

Enzyme	Radical generated	Enzyme Function	Location
NADH oxidase	O_2 .	Unknown function	Muscle
NAD(P)H oxidase (EC 1.6.3.1)	H_2O_2		Sarcoplasmic Reticulum
Dihydroorotate dehydrogenase (EC 1.3.3.1 or EC 1.3.99.11)	H_2O_2, O_2	Catalyzes conversion of dihydroorotate to orotate, a step in the	Mitochondria

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Table 2. Enzymatic systems involved in free radical generation.

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Glycerol-3-phosphate dehydrogenase (EC 1.1.99.5)	H_2O_2	synthesis of pyrimidine nucleotides FAD-containing enzyme catalyses oxidation of glycerol-3-phosphate to dihydroxyacetone phosphate, utilizing mitochondrial coenzyme Q as an alcotron accountor	
Succinate dehydrogenase (EC 1.3.5.1) Aconitase (EC 4.2.1.3)	ROS HO [.]	Oxidizes succinate to fumarate using coenzyme Q as an electron acceptor Catalyzes conversion of citrate to	Mitochondria Complex II Mitochondria
	-	isocitrate as part of the tricarboxylic acid cycle	
α-Ketoglutarate dehydrogenase complex	H_2O_2, O_2^-	Catalyzes oxidation of α -ketoglutarate to succinyl-CoA using NAD+ as an	Mitochondria
[multiple copies of three enzymes:		electron acceptor	
α -ketoglutarate dehydrogenase (EC 1.2.4.2), dihydrolipoamide succinyltransferase (EC 2.3.1.12), and lipoamide dehydrogenase (EC 1.6.4.3].			
Pyruvate dehydrogenase (EC 1.2.4.1)	H_2O_2, O_2	Multiple functions. See Brenda website	Mitochondria
Cytochrome <i>b5</i> reductase (EC 1.6.2.2)	O ₂ [•] at a rate of ~300 nmol/min /mg protein.	It oxidizes cytoplasmic NAD(P)H and reduces cytochrome <i>b</i> 5 in the outer membrane	Mitochondria
Monoamine oxidases (EC 1.4.3.4)	H ₂ O ₂	Catalyzes oxidation of biogenic amines and the oxidative deamination of primary aromatic amines along with long-chain diamines and tertiary cyclic amines	Outer mitochondrial membrane
Succinate-cytochrome c reductase system (may be EC 1.6.2.1)	O_2 .		Mitochondria
NADH:ubiquinone reductase (EC 1.6.5.3)	O ₂ .	Oxidizes NADH, produced predominantly by the tricarboxylic acid cycle in the mitochondrial matrix, and reduces ubiquinone in the inner mitochondrial membrane.	Mitochondria
Nitric oxide synthase (EC 1.14.13.39)	NO	Multiple see Brenda website	Mitochondria
Source. Dekint et al. (2015)			













Highlights

- Electron spin resonance (ESR) as a tool to identify/quantify free radicals in foods •
- ESR as a novel analytical possibility to evaluate potential food toxicity •
- Physicochemical and nutritional properties of food can be accessed by ESR •
- ESR can be used to evaluate the effect of novel food processing technologies •
- ESR is a robust and non-invasive technology for food analysis •