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Distribution models for nitrophenols in a liquid-liquid system

A.L.C.V. Lopes^{a,b,c}, A.F.G. Ribeiro^a, M.P.S. Reis^b, D.C.M. Silva^a, I. Portugal^c, C.M.S.G. Baptista^{b,*}

 ^a CUF - Químicos Industriais S.A., Quinta da Indústria, Rua do Amoníaco Português n^o.10, Beduído, 3860-680 Estarreja, Portugal
 ^b CIEPQPF - Department of Chemical Engineering, Faculty of Sciences and Technology, University of Coimbra, Rua Sílvio Lima, Polo II, 3030-790 Coimbra, Portugal
 ^c Department of Chemistry and CICECO, University of Aveiro, Campus Universitário de Santiago, 3810 - 193 Aveiro, Portugal

Abstract

The formation of nitrophenols by-products is still of major concern for the economics and environmental impact of the industrial process of benzene (Bz) nitration to mononitrobenzene (MNB) with mixed acid (sulphuric and nitric acids). The knowledge of nitrophenol (NP) distribution ratios in the liquid-liquid mixture $(D_j, j = \{NP\})$ is desirable for process optimization and for understanding the reaction mechanisms behind nitrophenols formation.

In this study, a data-driven approach was implemented to provide prediction models for D_i of 2,4-dinitrophenol (DNP) and of 2,4,6-trinitrophenol (TNP) in a biphasic liquid system with a composition representative of the industrial processes. In the first step, screening tests were performed to identify the main variables influencing the experimental equilibrium weight fractions of nitrophenols in the aqueous phase $(w_{i,e}^{A})$. Subsequently two independent data sets were built for development and external validation of prediction multivariate linear regression (MLR) models, at 30 °C. The fitting results (R^2 and $R_{ad}^2 \geq 0.90$) and the prediction results $(R^2_{pred,{\rm DNP}}~=~0.931,~R^2_{pred,{\rm TNP}}~=~0.908)$ confirmed the quality of the $w^{\rm A}_{j,e}$

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^{*}Corresponding author: cristina@eq.uc.pt

Email addresses: alopes@eq.uc.pt (A.L.C.V. Lopes), alejandro.ribeiro@cuf-qi.pt (A.F.G. Ribeiro), marco@eq.uc.pt (M.P.S. Reis), dulce.silva@cuf-qi.pt (D.C.M. Silva), inesport@ua.pt (I. Portugal)

models. Statistical significant predictive MLR models were also developed for D_j (which is related with $w_{j,e}^A$), at 30 °C, with DNP evidencing a higher affinity for the organic phase (i.e. $D_{\text{DNP}} \approx 2D_{\text{TNP}}$).

Keywords: benzene nitration, distribution ratio, multivariate linear regression, nitrophenols, predictive models

2017 MSC: 00-01, 99-00.

1 1. Introduction

The nitration of benzene (Bz) to mononitrobenzene (MNB) in a mixture of aqueous sulphuric and nitric acids (mixed acid), is an heterogeneous liquid-liquid (L-L) reaction that is accompanied by the formation in a reduced concentration (i.e. ppm) of undesirable and toxic nitrophenols by-products. In spite of the great attention received, the production of the nitrophenols is not yet fully understood (Burns and Ramshaw, 2002; Dummann et al., 2003; Hanson et al., 1976; Quadros et al., 2005a). A mechanistic model for nitrophenols formation, namely 2,4-dinitrophenol (DNP) and 2,4,6-trinitrophenol (TNP), has not been established yet and data-driven approaches have been used to model the benzene nitration (Nogueira et al., 2013; Portugal et al., 2009; Quadros et al., 2005b).

Another aspect is the presently unknown distribution of each NP between 12 the two liquid phases of the system, which is important for modelling the 13 system and better comprehension of reaction mechanism. Available studies for 14 the distribution of the mixture components include: the partition of Bz 15 between organic and aqueous phases characterized as a function of equilibrium 16 temperature and mole fractions in the mixed acid (Zaldivar et al., 1995); the 17 distributions of sulphuric and nitric acids aqueous phases in equilibrium with a MNB organic phase, expressed in terms of weight ratio, at 298 K (Suresh 19 et al., 2009). Equilibrium data can also be found in the study of Schiefferle 20 et al. (1976) for all species in the reaction system except nitrophenols, and in 21 the works of Rahaman et al. (2007, 2010) for MNB in concentrated sulphuric 22 acid. On the other hand, experimental values for NP distributions between 23

L-L phases are available for octanol-water, cyclohexane-water, Bz-water and
MNB-water systems (Abraham et al., 2000; Berthod and Carda-Broch, 2004;
Azevedo, 2015). The effects of different temperatures, pH, and solute
concentrations were studied on the distribution of each NP in MNB-water
systems by Azevedo (2015). None of the above works studied the distribution
of nitrophenols in an acid phase.

30 1.1. Fundamentals of liquid-liquid equilibrium

The equilibrium distribution of a solute in a closed L-L system at a given temperature (T) and pressure (P) is characterized by a certain concentration of the solute in both phases. When solutes are present at very low concentrations and the phases are mostly immiscible, it is usually considered that they do not interfere in the phases solubility (Leo et al., 1971; Sangster, 1989). In these conditions, the closed biphasic system reaches a minimum Gibbs energy in which the phase-specific contribution is given by,

$$G_i^f = \sum_i x_i^f \mu_i^f \tag{1}$$

38 with

$$\mu_i^f = \mu_i^o + RT \ln\left(\gamma_i^f x_i^f\right) \tag{2}$$

where x_i^f , μ_i^f and γ_i^f are the molar fraction, chemical potential and activity coefficient of the pure compound *i* in phase *f*, respectively; μ_i^o is the chemical potential of *i* in the standard state; and *R* is the universal constant (Elliott and Lira, 1999). Under equilibrium conditions, the chemical potentials in each phase, *I* and *II*, are equal, $\mu_i^I = \mu_i^{II}$, and according to Eq. (2):

$$\gamma_i^I x_i^I = \gamma_i^{II} x_i^{II} \tag{3}$$

In the case of dilute solutions, one can assume ideal behaviour $(\gamma_i^f \simeq 1)$ and the molar fraction can be expressed as the product of the molar concentration (C_i^f) by the molar volume (V_m^f) , leading to $x_i^f \simeq C_i^f V_m^f$ (Chiou et al., 1982). The distribution of solutes in L-L systems at specific experimental conditions can be characterized by the thermodynamic distribution constant (K_x) and, in the case of dilute solutions, by the distribution constant (K_D) defined by (Berthod and Carda-Broch, 2004; Leo et al., 1971; Sangster, 1989);

$$K_x = \frac{x_i^I}{x_i^{II}}$$

$$K_D = \frac{C_i^I}{C_i^{II}}$$
(4)
(5)

For dilute solutions, when the molar volumes of phases $I \in II$ are identical 51 K_x and K_D become similar ($K_x \simeq K_D$). The distribution constant (K_D) 52 characterizes the partition of a solute in one chemical form (e.g. molecular, 53 ionic, elemental) between the two phases at equilibrium. However, when the 54 solute ionizes, precipitates, reacts or participates in complexation reactions 5 5 with other species in the system, the distribution ratio (D) should be adopted 56 instead (Berthod and Carda-Broch, 2004). This parameter is defined as the 57 ratio between the sum of all concentrations of the chemical forms in which the 58 solute may be present, in each phase (Berthod et al., 1999; Berthod and 59 Carda-Broch, 2004; Berthod and Mekaoui, 2011; Ingram et al., 2011). Since 60 the nitrophenols in this study are ionizable acids, the distribution ratio 61 depends on the ionization extent in the aqueous phase (AH \implies A⁻ + H⁺) 62 and is given by,

$$D_{\rm AH} = \frac{C_{\rm AH}^{\rm O} + C_{\rm A^-}^{\rm O}}{C_{\rm AH}^{\rm A} + C_{\rm A^-}^{\rm A}} \tag{6}$$

where C_{AH}^{f} and $C_{A^{-}}^{f}$ are the molar concentrations of solute in its molecular and

ionized forms in phase f, respectively. Rearranging Eq. (6) one obtain,

$$D_{\rm AH} = \frac{K_{D,\rm AH} + K_{D,\rm A^-}(K_{\rm AH}/C_{\rm H^+}^{\rm A})}{1 + K_{\rm AH}/C_{\rm H^+}^{\rm A}}$$

where K_{AH} is the equilibrium ionization constant $(K_{AH} = (C_{H^+}^A C_{A^-}^A)/C_{AH}^A)$ and $K_{D,AH}$ and K_{D,A^-} are the distribution constants of the molecular and ionic forms of the solute (AH and A⁻, respectively).

(7)

The dependence of D_{AH} with pH usually exhibits a decreasing sigmoidal profile (Berthod et al., 1999) being higher at low pH, because the molecular form of the acid solute is mostly located in the organic phase. However, when the pH rises, D_{AH} decreases due to the enhanced solubility of the anionic form in the aqueous phase. Moreover, from Eq. (7), it can be seen that $D \simeq K_D$ when $C_{H^+}^A$ is much higher than K_{AH} (Berthod et al., 1999). In the particular case of DNP, ionization can be minimized by operating at pH = 2.6 (Abraham et al., 2000), while for TNP this is achieved for pH < 0.5 (Azevedo, 2015).

Given the lack of detailed fundamental knowledge about reaction system, mechanistic models cannot be developed, and the characterization of the distribution of DNP and TNP in the L-L system representative of an industrial nitration process should be accomplished by developing prediction models based on experimental data.

82 1.2. Predictive Models Development

A systematic data-driven approach schematically represented in Fig. 1 was followed in order to obtain simple and reliable predictive models for the distribution ratio of each NP, D_j . The main steps of this approach include the identification of the main variables by conducting a set of experiments planned according to a statistical design of experiments (DOE) strategy, the development of the models following the MLR methodology, and evaluation of the fit and predictive capabilities of the MLR models.



Fig. 1. Systematic approach for modelling the distribution of each NP in the L-L system.

The systematic approach for developing data-driven predictive models is 90 strongly dependent of the availability or reliable and informative experimental 91 data. In this context, DOE is a very efficient statistical methodology for 92 planning the experiments for building and validation of predictive models 93 (training and test sets, respectively) (Montgomery, 2001). The individual 94 effects and the interactions between the independent variables (factors) can be 95 estimated and evaluated with minimum effort (number of experiments) by 96 application of a suitable experimental design. 97

Once experimental data becomes available, the conventional MLR 98 homocedastic framework, based on the least squares method can be adopted, 99 as long as the predictors are not highly correlated (a situation that can be 100 avoided by selecting an appropriate DOE approach; for instance, the fractional 101 factorial designs, used in this work, are orthogonal designs and therefore the 102 factors do not present any mutual correlation) (Montgomery, 2012). The 103 generic structure of the MLR models that is usually considered in DOE 104 applications, is the following (quadratic model): 105

$$y_c = \hat{\beta}_0 + \sum_{g=1}^{z} \hat{\beta}_g x_{g,c} + \sum_{g=1}^{z} \hat{\beta}_{gg} x_{g,c}^2 + \sum_{g=1}^{z-1} \sum_{h=g+1}^{z} \hat{\beta}_{gh} x_{g,c} x_{h,c} + \epsilon$$
(8)

The error term, ϵ , is considered to have homocedastic variance, being estimate by the root mean squared error (RMSE) defined by the square root of the

108 variance, $\hat{\sigma}^2$,

$$\hat{\sigma}^2 = \frac{\sum_{c=1}^{N} (y_c - \hat{y}_c)^2}{N - p}$$

where y_c and \hat{y}_c are the experimental and predicted values of the response variable for observation c, respectively; $\hat{\beta}$ designates the estimates of the coefficients of the MLR terms ($\hat{\beta}_0$ is the intercept, $\hat{\beta}_g$ are the partial regression coefficients, $\hat{\beta}_{gg}$ and $\hat{\beta}_{gh}$ with $g \neq 0$ are the coefficients of the second order interaction and quadratic terms, respectively); $x_{g,c}$ and $x_{h,c}$ are the g^{th} and h^{th} regressors for observation c; and z, p, and N are the number of regressors, of β parameters and of total observations, respectively.

(9)

When, upon inspection of the residuals, one verifies that the hypothesis 116 of homocedasticity of the error term is not verified, the estimation machinery 117 of the MLR model needs to be modified accordingly. In this case, instead of 118 the usual least squares approach, it becomes necessary to apply a weighted 119 least squares (WLS) scheme, that takes into consideration the heterocedastic 120 (i.e., non-homogeneous) nature of the errors. In this method each response 121 is weighted by a factor inversely proportional to the variance of its residual 122 $(e_c = y_c - \hat{y}_c)$, keeping unchanged the structure of the MLR model. Therefore, 123 more importance is given to observations with lower variances than to those with 124 higher variances (smaller weight). This consists in determining the estimates of 125 the β parameters by minimizing the following WLS problem, 126

$$\sum_{c=1}^{N} W_c \left(y_c - \beta_0 - \sum_{g=1}^{z} \beta_g x_{g,c} + \sum_{g=1}^{z} \beta_{gg} x_{g,c}^2 + \sum_{g=1}^{z-1} \sum_{h=g+1}^{z} \beta_{gh} x_{g,c} x_{h,c} \right)^2$$
(10)

with $W_c = 1/\sigma_{e_c}^2$ and where the variance was estimated from the residuals obtained in a preliminary least squares regression model fitted to the data. These residuals were grouped forming clusters and the variance of each cluster

was computed and used to calculate the weights of each observation, W_c .

The statistical significance of the conventional MLR models is usually 131 assessed following standard procedures based on hypothesis testing. Analysis 132 of variance (ANOVA) is used to evaluate the significance of the model as a 133 whole with the null hypothesis, H_0 : $\beta_g = \beta_{gh} = 0$ with $g \neq 0$, and the 134 alternative hypothesis, H₁: at least one of the β 's $\neq 0$ (with the exception of 135 β_0 , that is not considered in this test). The statistical significance is given by 136 the rejection criterion of H_0 , where the value of the test statistic F_0 is greater 137 than the *Ficher* or F distribution value for a certain significance level α 138 (normally $\alpha = 0,05$): $F_0 > F_{\alpha,z,N-p}$. The significance of each regression term 139 is evaluated by another type of statistical hypothesis test which checks if the 140 corresponding coefficient: is equal to zero, $\beta = 0(H_0)$, or not, $\beta \neq 0(H_1)$, for a 141 certain significance level, α (Montgomery, 2012). The rejection of H₀ in favor 142 of H_1 indicates that the regression term is significant and should be considered 143 in the model developed to explain the response. This is obtained when the 144 absolute value of the test statistic, t, is greater than the value of the t student 145 distribution: $|\mathbf{t}| > t_{\alpha/2,N-p}$. The outcome of the statistical tests can also be 146 based on the p-value approach, with the rejection of H_0 occurring whenever 147 the p-value $< \alpha$. 148

In addition, it is important to validate the conventional MLR assumptions 149 namely, that observation errors are independent and identically distributed 150 with a normal distribution around the zero mean and with constant variance 151 σ^2 (homocedasticity). For this purpose the model residuals, e_c , which are 152 estimates of the observation errors, must be analysed (Chatterjee and Hadi, 153 2006; Montgomery, 2001). Normality can be assessed by a QQ-plot or a 154 Shapiro-Wilk test to the residual sample (SAS Institute, 2016). The 155 studentized residuals, r_c , defined in Eq. (11) for observation c, can also be 156

(11)

analysed for detection of outlier observations (Montgomery, 2012).

$$r_c = \frac{e_c}{\mathrm{SE}(e_c)}$$

where $SE(e_c)$ is the standard error of the raw residual for observation c158 (SAS Institute, 2016). When this normalized residuals sample follows a 159 Normal distribution then it is expected that about 95% of its values fall within 160 ± 2 , and for r_c values higher than ± 3 the observations are considered potential 161 outliers (Montgomery, 2001). Cook's distance measure $(d_c = r_c/p)$ is used to 162 assess the influence of an outlier observation in the model, which is indicated 163 by a value of d_c higher than 1 (Montgomery, 2012). Homoscedasticity is 164 observed by a random and an homogeneous dispersion (with no trend) of the 165 e_c values plot against \hat{y}_c values (Montgomery, 2012). 166

The fitting quality of the conventional MLR models to the training set data is assessed by the coefficient of determination, R^2 , and the adjusted R^2 , R^2_{ad} , defined by:

$$R^{2} = \frac{\sum_{c=1}^{N} (\hat{y}_{c} - \bar{y}_{c})^{2}}{\sum_{c=1}^{N} (y_{c} - \bar{y}_{c})^{2}}$$
(12)

$$R_{ad}^{2} = \frac{\frac{\sum_{c=1}^{N} (y_{c} - \hat{y}_{c})^{2}}{N - p}}{\frac{\sum_{c=1}^{N} (y_{c} - \bar{y}_{c})^{2}}{N - 1}}$$
(13)

where \bar{y}_c is the mean of the N observations of the response variable. From Eqs. (12) and (13), it can be seen that $R^2 > R_{ad}^2$ with values close to the maximum value 1 indicating a good fit. The final validation of MLR models should be done using a test. This test set should be representative of the experimental conditions under analysis and independent from the training set (external validation). This validation approach is preferred to other

methodologies (Esbensen and Geladi, 2010) and should be followed whenever possible. In external validation, similar performance metrics to the fit quality are used to evaluate the predictive capability of the $N_{\rm t}$ experiments of the test set namely, the R^2 of prediction, R^2_{pred} ,

$$R_{pred}^{2} = \frac{\sum_{t=1}^{N_{t}} (\hat{y}_{t} - \bar{y}_{t})^{2}}{\sum_{t=1}^{N_{t}} (y_{t} - \bar{y}_{t})^{2}}$$

and the root mean squared error of prediction $(RMSE_{pred})$,

$$RMSE_{pred} = \sqrt{\frac{\sum_{t=1}^{N_{t}} (\hat{y}_{t} - y_{t})^{2}}{N_{t}}}$$
(15)

also called root average squared error (RASE) (SAS Institute, 2016). Another
error metric useful for model comparison is the mean absolute error of prediction
(MAE_{pred}) given in Eq. (16), also designated as average absolute error (AAE)
(SAS Institute, 2016).

$$MAE_{pred} = \frac{\sum_{t=1}^{N_{t}} |\hat{y}_{t} - y_{t}|}{N_{t}}$$
(16)

The aim of the present study is to build simple, robust and representative prediction models for the distribution ratios of DNP and TNP, D_j , under operating conditions as similar as possible to those prevailing in industrial nitration processes, but in the absence of reaction (i.e. with no nitric acid). The statistical software used in this work was JMP[®] Pro version 12.1.0 of SAS Institute Inc. .

191 2. Material and methods

During the experiments, the organic phase contained nitration grade benzene (99.994 wt%) from Gadiv Petrochemical Industries Ltd,

mononitrobenzene (99.995 wt%) from CUF - Químicos Industriais S.A., 2,4-dinitrophenol (97 wt%) from Sigma-Aldrich, and 2,4,6-trinitrophenol (99 wt%) from BDH. Other chemicals used in this work were: sulphuric acid (95–97 wt%), sodium hydroxide solution (Titripur[®]1 M) and potassium dihydrogen phosphate (\geq 99.5 wt%) all from Merck, and acetonitrile (99.9 wt%) acquired from VWR Chemicals. The water used in this study was obtained from a Barnstead E-pure purification system.

Three different organic phases (Bz and MNB) were prepared, containing 201 10, 30 and 50 wt% of Bz. Precise amounts of DNP and TNP were weighted 202 $(\pm 0.0001 \,\mathrm{g})$, added to the organic phase and placed in an ultrasound bath at 203 room temperature for about 10 min, in order to ensure complete dissolution of 204 nitrophenols. The aqueous phase consisted of five solutions of sulphuric acid, 205 in the range of 50-75 wt%, prepared by weighting and dilution with water. 206 The exact compositions were measured by titration with NaOH (1 M) in a 907 207 Titrando from Metrohm, equipped with a combined pH electrode iEcotrode 208 Plus[®] as described in the studies of Santos (2005); Nogueira (2015). 209

The experimental procedure for mixing and reaching phase equilibrium 210 followed the shake flask methodology (Berthod and Carda-Broch, 2004; 211 Sangster, 1989) using the manual agitation ($\approx 2 \min$) and decantation times 21.2 $(\approx 60 \text{ min})$ adapted and proposed by Azevedo (2015). Different volumes of 213 each phase were employed (initial weight ratio of phases in the range of 2-8) 214 and equilibrium temperature was reached by immersing the flasks in a F25-ED 21 ! thermostatic bath from Julabo $(\pm 0.1 \,^{\circ}\text{C})$ for about 5 min. After mixing, 216 complete separation of phases was achieved by decantation followed by 217 centrifugation in a Rotanta 460 centrifuge from Hettich for 1 min at 1250 rpm. 218

The equilibrium weight fractions of nitrophenols in the aqueous phase, $w_{j,e}^{A}$ were measured by HPLC as described by Costa et al. (2013); Azevedo (2015). Briefly, aliquots of the aqueous phase (ca. 0.5 g) were collected and diluted 10 times with water. The diluted samples (10 µL) were injected in triplicate in an Elite LaChrom HPLC from VWR Hitachi equipped with a LiChroCART[®] 125-4 column (5 µm, 125 mm × 4 mm) and a LiChroCART[®] 4-4 guard column

 $(5 \,\mu\mathrm{m}; 4 \,\mathrm{mm} \times 4 \,\mathrm{mm})$, both from Merck. Calibration was done in acidic medium 225 (1 wt% of sulphuric acid) for the NP weight fractions in the range $1-5 \times 10^{-6}$ 220 with $R_{\rm DNP}^2 = 0.9998$ and a $R_{\rm TNP}^2 = 0.9991$. The software EZChrom Elite 22 version 3.1.7 from Agilent was used for peak area calculations. Capability and 228 adequacy of the measurement system for nitrophenols in the aqueous phase was 229 confirmed by a gauge reproducibility and repeatability (R&R) study. Weight 230 fractions of each NP in the organic phase $(w_{j,e}^{O})$ were calculated by mass balances 231 assuming an immiscible L-L system. 232

233 3. Results and Discussion

This work consists of three steps (Fig. 1) based on experimental data. The relevant process variables were identified in the first step. The second and third steps take advantage of this information to built and validate prediction MLR models.

238 3.1. Screening of relevant variables

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This first step of the systematic data-driven approach (Fig. 1) was divided in four stages, in order to identify the most influential variables on the equilibrium weight fractions of nitrophenols in aqueous phase $(w_{j,e}^{A})$. The variables assessed in each stage were:

• Stage I – equilibrium temperature (T);

• Stage II – initial weight fraction of each NP in the organic phase $(w_{i,0}^{O})$;

• Stage III – initial composition of organic and aqueous phases (expressed in terms of weight fraction of Bz $(w_{Bz,0}^{O})$ and sulphuric acid $(w_{AS,0}^{A})$);

• Stage IV – initial weight ratio of the aqueous and organic phases $(W_0^{\rm A}/W_0^{\rm O})$.

249 3.1.1. Stage I – equilibrium temperature

The influence of temperature in the distribution of nitrophenols was assessed at six temperatures in the range 20–60 °C, with $w_{AS,0}^A = 0.65$, $w_{Bz,0}^O = 0.50$, $W_0^A/W_0^O = 2$ and $w_{j,0}^A = 0.001$ for each NP. The experiments

were carried out in triplicate. The equilibrium weight fractions of DNP and 253 TNP in the aqueous phase, $w_{j,e}^{A}$, are plotted as a function of temperature in 254 Fig. 2. The experimental results reveal a slight reduction in $w^{\rm A}_{{
m TNP},e}$ with 25 increasing equilibrium temperature while, on the other hand, $w^{\rm A}_{{\rm DNP},e}$ did not 256 vary significantly. Therefore for TNP the temperature increase could lead to a 257 smooth increased of its affinity for organic phase. It may also be observed that 258 $w^{\rm A}_{{
m TNP},e}$ is about twice $w^{\rm A}_{{
m DNP},e}$. This difference may be explained by the 259 stronger acidity of TNP in comparison with DNP ($pK_{DNP} = 4.0$ and 260 = 0.4 (Carey, 2006)). In fact, the presence of strong pK_{TNP} 261 electron-withdrawing nitro groups bonded to the aromatic ring of NP increases 262 the stability of the phenoxide ion by resonance. 263



Fig. 2. Influence of temperature in the equilibrium composition of the aqueous phase (4, DNP; and 0, TNP).

Accordingly to these results, the effect found for temperature was weak and the experiments in the next stages were all performed at $30 \,^{\circ}\text{C}$.

3.1.2. Stage II – Initial weight fraction of each NP in the organic phase

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The effect of the initial composition of each NP in the organic phase on their equilibrium distributions between phases was investigated based on a threelevel complete factorial DOE, with $w_{j,0}^{\rm A}$ factors in the range $0.25-2 \times 10^{-3}$. The experiments were performed in triplicate at the following conditions: $w_{\rm AS,0}^{\rm A} =$ $0.65, w_{\rm Bz,0}^{\rm O} = 0.5$ and $W_0^{\rm A}/W_0^{\rm O} = 2$. In this stage a three-level factorial was

implemented for better modelling of the expected curvature, as reported by 272 Azevedo (2015). However, in this work, non-linear dependencies were not found 273 as can be seen in Fig. 3, and therefore, in section 3.2 ahead a two level factorial 274 will be adopted. 275



Fig. 3. Scatter plot of the equilibrium fractions in the aqueous phase in function of initial fractions in the organic phase data for DNP and TNP in the low (-), intermediate (0) and high (+) levels of the DOE.

Fig. 3 shows the linear associations between $w_{j,e}^{A}$ and $w_{j,0}^{A}$ with no effect of 276 one NP in the equilibrium weight fraction of the other. Analysis of the 277 regressors' coefficients of the MLR models (Table S.1 in Supplementary 278 Material) revealed that these associations were positive. The quality of fits 279 was also found to be good $(R^2 \text{ and } R^2_{ad} > 0.98)$. 280

These data were also used to relate the equilibrium weight fractions of each 281 NP in aqueous and organic phases. Fig. 4 shows that DNP has an higher 282 affinity for the organic phase than TNP as $w_{\text{DNP},e}^{\text{O}}/w_{\text{DNP},e}^{\text{A}} \approx 2w_{\text{TNP},e}^{\text{O}}/w_{\text{TNP},e}^{\text{A}}$. 283



Therefore, for the same $w_{j,0}^{O}$, more TNP had been transferred once equilibrium was reached.

Fig. 4. Equilibrium distribution of each NP between the two phases (\diamond , DNP; and \circ , TNP).

286 3.1.3. Stage III – Initial composition of organic and aqueous phases

The dependence of the $w_{j,e}^{A}$ on the initial composition of the two phases was 287 examined for $0.1 \le w_{\text{Bz},0}^{\text{O}} \le 0.5$ and $0.5 \le w_{\text{AS},0}^{\text{A}} \le 0.75$, which are within the 288 range of industrial adiabatic nitrators operating conditions. The experiments 289 were carried out in duplicate and designed according to a three-level complete 290 factorial with $w_{\text{Bz},0}^{\text{O}}$ and $w_{\text{AS},0}^{\text{A}}$ as factors, keeping constant the initial weight 291 fractions of nitrophenols, $w_{j,0}^{O} = 1 \times 10^{-3}$, and the initial weight ratio of phases, 292 $W_0^{\rm A}/W_0^{\rm O}=2$. A positive association of the $w_{i,e}^{\rm A}$ with the phases composition 293 was observed, in particular for $w_{AS,0}^A$ (Fig. S.1 in Supplementary Material). The 294 statistical significance of the MLR model terms for the variables $w^{
m O}_{
m Bz,0}$ and $w^{
m A}_{
m AS,0}$ 295 was confirmed and the model also presented good fitting ability, as can be seen 296 from the high values of R^2 and $R^2_{ad}~(>0.895)$ - see Table S.2 in Supplementary 297 Material. The magnitude of the test statistic t for the coefficients of $w^{\rm A}_{{\rm AS},0}$ and 298 $w_{\mathrm{Bz},0}^{\mathrm{O}}$ proved that the former is the most influential among the two. 299

The positive coefficient of $w_{AS,0}^A$ indicates that the affinity of the nitrophenols with the aqueous phase increased when this phase was richer in sulphuric acid. One possible explanation is that higher values of $w_{AS,0}^A$ lead to an increase of the electronic charge which, on the other hand, may favour the electrostatic forces

between the nitrophenols and the ionic species. Another interpretation is based
on the solubility increment of Bz and MNB for higher sulphuric acid content
(Schiefferle et al., 1976; Suresh et al., 2009). Since the nitrophenols are mostly
dissolved in the organic phase in acidic conditions, they might be transferred
to the aqueous phase in a higher extent due to the higher solubilities of Bz and
MNB.

On the other hand, the positive coefficient of $w_{\text{Bz},0}^{\text{O}}$ demonstrates that the affinity of nitrophenols for organic phase was reduced by the higher content in Bz leading to an increase of $w_{j,e}^{\text{A}}$. This result may be explained by the fact that nitrophenols have an higher affinity with MNB rather than with Bz.

The MLR model for $w^{A}_{DNP,e}$ exhibited an additional and significant quadratic term with the variable $w^{A}_{AS,0}$ (see Eq. (8)) indicating that the relation between these two variables is non linear (Table S.2). The importance of this effect will be re-evaluated again in section 3.1.4.

318 3.1.4. Stage IV – Initial weight ratio of the aqueous and organic phases

This last stage aimed at inferring about the effect of aqueous and organic weight ratio (W_0^A/W_0^O) on the equilibrium compositions, $w_{j,e}^A$. The experiments were based on a two-level complete factorial DOE for $w_{AS,0}^A$, $w_{Bz,0}^O$, $w_{j,0}^O$ and W_0^A/W_0^O factors, with $0.5 \le w_{AS,0}^A \le 0.75$, $0.1 \le w_{Bz,0}^O \le 0.5$, $1 \le w_{j,0}^O \times 10^3 \le 2$, and $2 \le W_0^A/W_0^O \le 8$.

The experimental data were also well fitted by MLR models (R^2 and $R_{ad}^2 > 0.98$) and the coefficients of the model terms (Table S.3 in Supplementary Material) confirmed the associations previously mentioned in stages II and III. The slightly negative relation between $w_{j,e}^{\rm A}$ and the ratio $W_0^{\rm A}/W_0^{\rm O}$ (illustrated in Fig. S.2. in Supplementary Material) is consistent with the higher affinity of nitrophenols with the organic phase under acidic conditions.

The order of relevance (from high to low importance) of the main variables on $w_{j,e}^{A}$, ranked by the magnitude of the respective test statistic (t), was: $w_{AS,0}^{A} >$ $w_{j,0}^{O} > W_{0}^{A}/W_{0}^{O} > w_{Bz,0}^{O}$ (Table S.3). The statistical importance of an aliased

quadratic term was verified for both nitrophenols. In stage III this was valid only for DNP and identified in the $w_{AS,0}^{A}$ term. On the other hand, for TNP the quadratic term with higher t value, although not significant, was the $w_{AS,0}^{A}$ term. Moreover, these MLR models showed that interaction terms (Eq. (8)) between $w_{AS,0}^{A}$ and $w_{Bz,0}^{O}$, and between $w_{AS,0}^{A}$, $w_{Bz,0}^{O}$ and $w_{j,0}^{O}$ have also significant contributions (Table S.3).

3.2. Equilibrium prediction models for DNP and TNP

Prediction models for the distribution ratio of DNP and TNP in L-L systems 34.1 at equilibrium conditions were developed and validated (steps II and III of the 342 systematic data-driven approach depicted in Fig. 1) with two independent data 343 sets, namely training and test experiments. The experiments were carried out 34 in the same range of initial conditions at 30 °C: 0.5 \leq $w_{\rm AS,0}^{\rm A}$ \leq 0.75, 0.1 \leq 34 5 $w_{\mathrm{Bz},0}^{\mathrm{O}} \leq 0.5, \, 250 \leq w_{j,0}^{\mathrm{O}} \times 10^{6} \leq 2000, \, 2 \leq W_{0}^{\mathrm{A}}/W_{0}^{\mathrm{O}} \leq 8.$ The training set was 346 designed with a two-level complete factorial for $w^{\rm A}_{{\rm AS},0},\,w^{\rm O}_{{\rm Bz},0},\,w^{\rm O}_{j,0}$ and $W^{\rm A}_0/W^{\rm O}_0$ 347 factors with one central point leading to 17 experimental conditions that were 348 run in duplicate. The test set comprised 9 experiments planned by a two-level 34 9 fractional factorial for the same factors, with one central point. 350

351 3.2.1. Multivariate linear regression models for DNP

The predictive MLR model for the equilibrium weight fraction $w^{\rm A}_{\rm DNP,e}$, expressed in Eq. (17), was developed with the training data set using the main variables identified in section 3.1.

$$\hat{w}_{\text{DNP},e}^{\text{A}} = -8.44 \times 10^{-5} + 1.36 \times 10^{-4} w_{\text{AS},0}^{\text{A}} + 1.13 \times 10^{-5} w_{\text{Bz},0}^{\text{O}} + 1.96 \times 10^{-2} w_{\text{DNP},0}^{\text{O}} - 5.03 \times 10^{-7} W_0^{\text{A}} / W_0^{\text{O}} + 9.25 \times 10^{-2} (w_{\text{AS},0}^{\text{A}} - 0.6239) (w_{\text{DNP},0}^{\text{O}} - 1.51 \times 10^{-3})$$
(17)

The positive associations between $w_{\text{DNP},e}^{\text{A}}$ and the initial compositions of both phases and of DNP ($w_{\text{AS},0}^{\text{A}}$, $w_{\text{DNP},0}^{\text{O}}$) were previously mentioned in

section 3.1.4, as well as the slightly negative relation with W_0^A/W_0^O and an interaction term between the main variables, see Eq. (17).

The distribution ratio of DNP, D_{DNP} , in the L-L system was modelled 359 taking into consideration Eq. (6), for initial conditions expressed in molar 360 concentrations (C), $6.946 \leq C_{AS,0}^{A} \leq 12.42, 1.471 \leq C_{Bz,0}^{O} \leq 6.442,$ 36 $0.529 \leq C_{\mathrm{DNP},0}^{\mathrm{O}} \times 10^2 \leq 1.32, \ 0.430 \leq C_{\mathrm{TNP},0}^{\mathrm{O}} \times 10^2 \leq 1.05, \ \mathrm{and} \ \mathrm{the} \ \mathrm{ratio}$ 362 between phases expressed as molar ratio (n), $4.0403 \leq n_0^A/n_0^O \leq 30.255$. The 363 prediction MLR model for D_{DNP} given by Eq. (18) and illustrated in Fig. 5, 364 shows that an aqueous phase with a higher sulphuric acid concentration, or an 365 organic phase richer in Bz, lead to lower values of D_{DNP} , being $C_{\text{AS},0}^{\text{A}}$ the more 366 influential factor. The MLR model for \hat{D}_{DNP} also exhibited a centred 367 interaction term for $C_{AS,0}^A$ and $C_{Bz,0}^O$. 368

$$\hat{D}_{\text{DNP}} = 175 - 11.7C^{\text{A}}_{\text{AS},0} - 3.45C^{\text{O}}_{\text{Bz},0} + 0.852(C^{\text{A}}_{\text{AS},0} - 9.682)(C^{\text{O}}_{\text{Bz},0} - 3.968)$$
(18)

The statistical significance of the two prediction MLR models (ANOVA in 369 Table S.4 of Supplementary Material) and of their terms (coefficients analysis 370 in Table S.5 of Supplementary Material) was further confirmed by analyses of 371 their quality of fits. The significance of the main variables followed the order 372 $w^{\rm A}_{{\rm AS},0} > w^{\rm O}_{{\rm DNP},0} > w^{\rm O}_{{\rm Bz},0} > W^{\rm A}_0/W^{\rm O}_0$ and $C^{\rm A}_{{\rm AS},0} > C^{\rm O}_{{\rm Bz},0}$ depending on the 373 prediction model (Table S.5). These results demonstrate that the content of 374 sulphuric acid in the aqueous phase is the most influential variable for both 375 $w^{\rm A}_{{
m DNP},e}$ and $D_{{
m DNP}}$. 376

The prediction capability of the MLR models for DNP, in Eqs. (17) and (18), was evaluated using the test data set. The results for RMSE, quality of fit metrics R^2 and R^2_{ad} (Eqs. (12) and (13)), and prediction capability metrics R^2_{pred} , RMSE_{pred} and MAE_{pred} (Eqs. (15) and (16)) are presented in the Table 1. The high values of the coefficients R^2 , R^2_{ad} and R^2_{pred} (near or higher than 0.90) prove the high fitting and prediction capabilities of these models. In residual





Fig. 5. Counter plot of the predicted D_{DNP} MLR model in Eq. (18).

analysis, the plots of r_c as a function of predicted $w_{\text{TNP},e}^{\text{A}}$ and D_{TNP} in Fig. 6 do not show outliers (values of r_c are within ±3). As well influential observations were not identified (d_e values in Figs. S.3 and S.4 of Supplementary Material).



Fig. 6. Studentized residuals in function of the model values of $w_{j,e}^{A}$ and D_{j} for training set $(\blacklozenge, \text{DNP}; \circ, \text{TNP})$.

The residuals e_c for predicted values of $\hat{w}_{\text{DNP},e}^{\text{A}}$ exhibit an aleatory distribution and were homoscedastic (Fig. S.5 of Supplementary Material), which behaviour was reflected on r_c sample as observed in Fig. 6. The

normality of the e_c distribution was confirmed by a QQ-plot, with the 389 majority of the points falling along the bisection line, and by the Shapiro-Wilk 390 test, where the p-value was greater than α , validating the MLR assumptions 391 (Fig. S.3). On the other hand, the e_c for \hat{D}_{DNP} presented an heteroscedastic 392 behaviour (funnel pattern in Fig. S.5) and, as consequence, did not followed a 393 normal distribution (Fig. S.4). Therefore, for the later model, the conventional 394 MLR assumptions were not fully validated. This was attributed to the 395 calculation procedure of D_{DNP} , where a small variation on the equilibrium 396 concentration of NP in the aqueous phase $(C_{j,e}^{A})$ on the lower range, introduces 397 a great difference in D_{DNP} values. This effect is attenuated as $C_{j,e}^{\text{A}}$ increases. 398 To deal with the heteroscedasticity of the residuals of D_{DNP} the WLS 399

⁴⁰⁰ methodology was applied. The corresponding WLS model for DNP is ⁴⁰¹ presented in Eq. (19):

$$\hat{D}_{\text{DNP,WLS}} = 174 - 11.5C_{\text{AS},0}^{\text{A}} - 3.40C_{\text{Bz},0}^{\text{O}} + 0.840(C_{\text{AS},0}^{\text{A}} - 9.682)(C_{\text{Bz},0}^{\text{O}} - 3.968)$$
(19)

All parameters of the $\hat{D}_{\text{DNP,WLS}}$ model fall within the confidence interval at 95% of the \hat{D}_{DNP} MLR model and the prediction results for training and test sets values (R_{pred}^2) were identical, with the WLS model being only slightly worse. Hence, the use of the conventional MLR model - Eq. (18) was validated for prediction of D_{DNP} in L-L systems similar to those industrially implemented for Bz nitration.

408 3.2.2. Multivariate linear regression models for TNP

The same procedure described in section 3.2.1 was adopted for the development, analysis and validation of the prediction MLR models for $w_{\text{TNP},e}^{\text{A}}$ and D_{TNP} in the L-L system.

The MLR model for $w_{\text{TNP},e}^{\text{A}}$ - Eq. (20), exhibits similar associations between the main variables and the response variables as those previously observed in

the prediction models of DNP (Eqs. (17) and (18)). The coefficients in Eq. (20) confirm that higher values of $w^{A}_{AS,0}$ or of $w^{O}_{Bz,0}$ lead to higher values of $w^{A}_{TNP,e}$, and enable the identification of a larger number of interactions.

$$\begin{split} w^{\hat{A}}_{\text{TNP},e} &= -8.98 \times 10^{-5} + 1.42 \times 10^{-4} w^{\text{A}}_{\text{AS},0} + 3.03 \times 10^{-5} w^{\text{O}}_{\text{Bz},0} \\ &+ 2.89 \times 10^{-2} w^{\text{O}}_{\text{TNP},0} - 1.02 \times 10^{-6} W^{\text{A}}_{0} / W^{\text{O}}_{0} \\ &+ 9.91 \times 10^{-5} (w^{\text{A}}_{\text{AS},0} - 0.6239) (w^{\text{O}}_{\text{Bz},0} - 0.2992) \\ &+ 0.105 (w^{\text{A}}_{\text{AS},0} - 0.6239) (w^{\text{O}}_{\text{TNP},0} - 1.52 \times 10^{-3}) \\ &+ 2.15 \times 10^{-2} (w^{\text{O}}_{\text{Bz},0} - 0.2992) (w^{\text{O}}_{\text{TNP},0} - 1.52 \times 10^{-3}) \\ &- 3.25 \times 10^{-6} (w^{\text{O}}_{\text{Bz},0} - 0.2992) (W^{\text{A}}_{0} / W^{\text{O}}_{0} - 4.9060) \end{split}$$
(20)

In the MLR model for prediction of D_{TNP} expressed by Eq. (21), it is also evident that higher $C_{\text{AS},0}^{\text{A}}$ or $C_{\text{Bz},0}^{\text{O}}$ (i.e. $w_{\text{AS},0}^{\text{A}}$ or $w_{\text{Bz},0}^{\text{O}}$) lead to lower values of \hat{D}_{TNP} as shown in Fig. 7. The interaction term between $C_{\text{AS},0}^{\text{A}}$ and $C_{\text{Bz},0}^{\text{O}}$ has also an important contribution.

$$\hat{D}_{\text{TNP}} = 79.7 - 4.71 C_{\text{AS},0}^{\text{A}} - 2.23 C_{\text{Bz},0}^{\text{O}} + 0.370 (C_{\text{AS},0}^{\text{A}} - 9.682) (C_{\text{Bz},0}^{\text{O}} - 3.968)$$
(21)

The statistical significance of both $w_{\text{TNP},e}^{\text{A}}$ and D_{TNP} prediction models and of their terms was confirmed (results in Tables S.4 and S.5). The significance of the variables follows the same order as previously reported for the DNP models, i.e. $w_{\text{AS},0}^{\text{A}} > w_{\text{TNP},0}^{\text{O}} > w_{\text{Bz},0}^{\text{O}} > W_0^{\text{A}}/W_0^{\text{O}}$ for $\hat{w}_{\text{TNP},e}^{\text{A}}$ model and $C_{\text{AS},0}^{\text{A}} > C_{\text{Bz},0}^{\text{O}}$ for \hat{D}_{TNP} model.

In residuals analysis, no outliers and influential observations were identified (see Fig. 6 and Figs. S.3 and S.4, respectively). The e_c of $\hat{w}_{\text{TNP},e}^{\text{A}}$ were homocedastic and exhibit a Normal distribution (see Fig. S.5). In comparison to \hat{D}_{DNP} , the e_c of \hat{D}_{TNP} presented the same heteroscedastic pattern following a nonnormal distribution (Fig. S.5).





Fig. 7. Counter plot of the predicted D_{TNP} MLR model in Eq. (21).

The fitting and prediction capabilities of the MLR models of $w_{\text{TNP},e}^{\text{A}}$ and D_{TNP} are very satisfactory as indicated by the high values of the coefficients R^2 , R_{ad}^2 and R_{pred}^2 (>0.880) presented in Table 1. Furthermore, the linear relationship between the experimental and predicted values illustrated in Fig. 8 not only for TNP but also for DNP models corroborates the high quality of the fit results.

Fig. 8 also shows that the experimental values of $w^{\rm A}_{{\rm TNP},e}$ are higher than 437 the values of $w^{\rm A}_{{\rm DNP},e}$ and, consequently, the values of $D_{{\rm DNP}}$ are almost twice 438 the values of D_{TNP} . Recalling that $D_j \approx K_{D,j}$, when nitrophenols ionization is 439 minimized (i.e. under acidic conditions - see section 1.1) the D_j values from this 44 0 work were compared with the K_D values calculated for Bz with the correlation 44 of Zaldivar et al. (1995). The experimental D_j values differed significantly from 442 the values calculated for $K_{D,Bz}$ for the same equilibrium conditions, with relative 44 3 deviations between 77–99% for DNP and 89–100% for TNP. 444



Table 1 Fitting and prediction capabilities of the prediction models of $w_{j,e}^{A}$ and D_{j} in the L-L system.

Fig. 8. Experimental versus model values for training set (\diamond , DNP; \diamond , TNP).

445 4. Conclusions

The distribution of DNP and TNP in a L-L system similar to the industrial 446 production of MNB was characterized through relationships between the 447 distribution ratio parameter, D_i , and the most influential operating variables 448 in a range of interest for the full scale process. The values for D_{DNP} (between 44 10 and 120) were about two times larger than the values for D_{TNP} (between 5 450 and 55). Moreover, these values of D_j differed in one to two orders of 451 magnitude when compared to the distribution of Bz $(K_{D,Bz})$ calculated with 452 the Zaldivar et al. (1995) correlation for identical experimental conditions. 453

The systematic data-driven approach adopted in this work proved to be 45 very efficient in getting simple, representative and reliable prediction models. 455 Predictive models were developed for the parameter D_i and for the equilibrium 456 weight fraction of each NP in the aqueous phase $(w_{j,e}^{A})$ with high quality of 457 fit $(R^2 \text{ and } R^2_{ad} > 0.90)$ and prediction capability $(0.88 < R^2_{pred} < 0.93 \text{ for}$ 458 the $w_{j,e}^{A}$ and $0.91 < R_{pred}^{2} < 0.94$ for the D_{j} MLR models). The $w_{j,e}^{A}$ MLR 459 model was significantly affected by the composition of both phases (expressed 460 by $w_{AS,0}^A$ and $w_{Bz,0}^O$), the weight fraction of the NP in the organic phase $(w_{j,0}^O)$, 461 and the weight ratio of phases (W_0^A/W_0^O) in the following order of importance: 462 $w^{\rm A}_{{
m AS},0}$ > $w^{\rm O}_{j,0}$ > $w^{\rm O}_{{
m Bz},0}$ > $W^{\rm A}_0/W^{\rm O}_0$. The effect of temperature was found to 463 be negligible and the hypotheses of interaction between the two nitrophenols 464 was discarded, because during the development of the models, in the screening 465 step, the respective terms were not statistically significant. In the D_i MLR 466 model, the variables found to be statistically significant were the aqueous phase 467 composition $(C_{AS,0}^{A})$ followed by the organic phase composition $(C_{Bz,0}^{O})$. 468

The predictive models developed showed that an aqueous phase richer in sulphuric acid and higher concentrations of Bz in the organic phase (in depleting of MNB) provide higher $w_{j,e}^{A}$ and, consequently, lower values of D_{j} .

This study also indicates that an industrial process at similar L-L system composition, with each NP tested in a concentration lower than 5000 ppm, will have at least 95% of the NP in the organic phase.

Nomenclature 475

Abbreviations 476

Nomenclat	cure
Abbreviation	ıs
AAE	Average absolute error
ANOVA	Analysis of variance
Bz	Benzene
CI	Confidence interval
DNP	2,4-Dinitrophenol
DOE	Design of experiments
L-L	Liquid-liquid
MLR	Multivariate linear regression
MNB	Mononitrobenzene
MAE_{pred}	Mean absolute error
MSE	Mean squared error
NP	Nitrophenol
RASE	Root average squared error
RMSE	Root mean squared error
R&R	Reproducibility and repeatability
\mathbf{SA}	Sulphuric acid
SE	Standard error
TNP	2,4,6-Trinitrophenol
WLS	Weighted least squares

477 Sy	Imbols	
	N	Iolar concentration, $mol dm^{-3}$
D	D	istribution ratio
d_c	С	ook's distance measure
e	R	esidual
F_0	,t T	est statistics
F_{c}	γF	isher distribution

	G	Gibbs energy, $J \mod^{-1}$
	$K_{\rm AH}$	Equilibrium ionization constant
	$K_{D,i}$	Distribution constant for species i
	K_x	Thermodynamic distribution constant
	N	Number of observations
	n	Number of moles, mol
	p	Number of parameters β
	P	Pressure, Pa
	R	Universal constant, $J \mod^{-1} K^{-1}$
	r	Studentized residual
	\mathbb{R}^2	Coefficient of determination
	t	Observation index of test set
	t_{α}	t Student distribution
	T	Temperature, °C
	V_m	Molar volume, $dm^3 mol^{-1}$
	w	Weight fraction
	$W^{\rm A}/W^{\rm O}$	Initial weight ratio of aqueous phase for organic phase
	x	Molar fraction
	x_g, x_h	Regressors
	y	Response
	$ar{y}$	Mean response
	z	Number of regressor terms
	\wedge	Estimate
478	Superscri	pt
	А	Aqueous
	f	Phase
	0	Standard state

O Organic

Subscript 479

A A	cid i	n ion	ized	form

- Adjusted ad
- Acid in molecular form AH
- Observation index c
- Equilibrium condition e
- iGeneric species
- DNP or TNP j
- Prediction pred
- Test set \mathbf{t}
- 0 Initial condition

Greek symbols 480

- α Significance level
- β Coefficient regressor
- Activity coefficient γ
- Chemical potential μ
- σ^2 Variance

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Appendix A. Supplementary Material 484

Supplementary data associated with this article can be found, in the online 485 version, at URL. 486

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Highlights

- • Experimental data for liquid-liquid equilibrium distribution of nitrophenols (DNP and TNP);
- Statistical predictive models for distribution ratio based on operating conditions;
- Distribution ratio of each nitrophenol between the phases differed ($D_{DNP}\approx$ $2D_{TNP}$)