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1 **Comparison of indoor air quality during sleep in smokers and non-smokers'** 2 **bedrooms: a preliminary study**

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13 **Abstract**

14
15 People spend one third of their life sleeping, but the bedroom, as a specific micro-
16 environment, is often neglected when assessing human exposure to air pollutants. However,
17 exposure during sleep may be significant in the long-term to the integrated individual
18 exposure. This study aimed to assess the exposure during sleep, focusing on a multi-pollutant
19 approach (comfort parameters, carbon dioxide – CO₂, carbon monoxide – CO, formaldehyde
20 (CH₂O), total volatile organic compounds (VOCs), particulate matter – PM_{2.5} and PM₁₀ – and
21 ultrafine particles, particle number concentrations – PNC - and lung deposited surface area -
22 LDSA). For that, the air quality during sleep (in real conditions) was monitored using real-
23 time devices in 12 bedrooms of urban (Lisbon and Vila Franca de Xira) and rural (Ponte de
24 Sor) areas of Portugal for one night. Volunteers were smokers and non-smokers. Considering
25 the Portuguese legislation for indoor air quality (IAQ), 67% of the bedrooms registered CO₂
26 levels above the limit value, while CH₂O, VOC, PM₁₀ and PM_{2.5} thresholds were exceeded in
27 30, 100, 36, and 45% of cases, respectively. Regarding ultrafine parameters, LDSA and PNC
28 ranged from 7.3 to 95.2 μm²/cm³ and from 0.6 to 4.8 x 10³/cm³, respectively. Even with no
29 smoking indoors, smokers' bedrooms were found to have significant higher levels of CO,
30 CH₂O, PM_{2.5}, PM₁₀ and LDSA than non-smokers' bedrooms, showing the effect of thirdhand
31 smoke, exhalation of pollutants after smoking and infiltration on the degradation of the air
32 quality in the bedroom. A recent new model of real-time monitor was also used for a wide set
33 of IAQ parameters. Its performance to measure PM_{2.5} and CO₂ was assessed, showing its
34 applicability in real conditions. Although often neglected, these micro-environments should
35 be considered in the integrated individual exposure to air pollutants and further studied.

36 **Main findings of the work:** Several pollutants (CO₂, PM, VOCs and CH₂O) exceeded the
37 guidelines during sleep; smokers are exposed to higher levels of CO, CH₂O, PM, and LDSA
38 than non-smokers while sleeping.

39

40 KEYWORDS

41 Indoor air quality; sleep; smoking; exposure; ultrafine particles

42

43

44 1. Introduction

45

46 Sleep plays a key-role in human welfare since it promotes body recovery from daily physical
47 and psychological fatigue (Krueger et al., 2016), enables productivity of people (Catarino et
48 al., 2014; Reis et al., 2016) and their athletic performance (Thun et al., 2015). Multiple factors
49 can affect sleep, such as health and emotional states, bedding conditions or environmental
50 factors (Thun et al., 2015), especially temperature (Okamoto-Mizuno and Mizuno, 2012) and
51 noise levels (Halperin, 2014).

52 Despite sleep has a vital role in daily welfare of people, the impact of the quality of the rest
53 environment has been scarcely studied (Lan and Lian, 2016). Both research issues (sleep and
54 indoor air quality - IAQ) have been addressed in the worldwide scientific literature separately
55 but never fully exploited together. Thus, the impact of indoor air on sleep and all its
56 implications is a task yet to be achieved.

57 The rest environment should be considered a micro-environment of particular interest due to
58 the following reasons:

59 1) importance of essential body functions during a sleep period of quality to the human
60 being's welfare, health and daily productivity;

61 2) exposure to pollutants during sleep may have a great contribution to the daily personal
62 exposure and, moreover, have a greater contribution to long-term exposure, since humans
63 spend about one third of their lives sleeping;

64 3) low ventilation conditions usually found (Bekö et al., 2010; Canha et al., 2017) may
65 potentiate the accumulation of pollutants, increasing exposure levels.

66 The environmental characterisation during sleep will enable understanding the factors that
67 may contribute to the degradation of sleep quality and will allow to devise mitigation
68 measures to improve conditions during sleep. Few studies regarding this topic are found in the
69 literature and the few available are focused only on some specific pollutant/parameter. For
70 instance, lower levels of carbon dioxide (CO₂) during sleep were found to significantly
71 improve sleep quality and perceived freshness of the bedroom air by the occupants, together
72 with the performance on the next day (Strøm-Tejsen et al., 2016). Reduction of 74% on PM_{2.5}
73 concentrations in households with indoor fuel pollution were found to improve significantly

74 children's sleep and respiratory related symptoms, such as difficulty falling asleep, sore throat
75 and morning headache (Accinelli et al., 2014).

76 One of the challenges of assessing IAQ in a multi-pollutant approach during sleep is the use
77 of standard methodologies since their volume and noise (pumps for air sampling) may
78 interfere with the occupant's sleep (Canha et al., 2014). This issue is especially important for
79 particulate matter (PM).

80 In 2017, a preliminary multi-pollutant monitoring study in one bedroom evaluated the impact
81 of different ventilation conditions on IAQ while sleeping (Canha et al., 2017). This study
82 revealed that the concentrations of some indoor pollutants, such as formaldehyde (CH₂O),
83 total volatile organic compounds (VOCs) and PM_{2.5}, could exceed the established guidelines.
84 The improvement of natural ventilation in sleep environments can be implemented by opening
85 windows or doors to promote the increase of air change rates, which in turn can increase the
86 infiltration of pollutants to the bedroom, such as from outdoors or from other spaces of the
87 house (e.g., kitchen) (Canha et al., 2018).

88 Smoking is known as an important source of multiple pollutants, both in the gaseous and
89 particulate phases, in indoor environments, which promotes the degradation of air quality
90 (Holcomb, 1993; Kaunelienė et al., 2018; Mueller et al., 2011). However, the impact of
91 indirect smoking (smoking outside the home) on the IAQ during sleep has not been assessed
92 previously. On the other hand, the human exhaled breath, especially of smokers, has been
93 described as a long-neglected pollutant source of several VOCs, nitrogen oxide, carbon
94 monoxide, among others, which may also affect IAQ (Filipiak et al., 2012; Sun and Yang,
95 2013; Zhang et al., 2013). Therefore, the aim of the present study was to understand the
96 exposure of individuals while sleeping, using a multi-pollutant approach, and to evaluate the
97 difference in exposure between smokers and non-smokers. For that, a strategy was developed
98 using a set of portable monitoring instruments, including a new model, whose performance
99 was assessed. Among the several pollutants studied, a special focus was given to particulate
100 matter and ultrafine particles.

101

102 **2. Materials/Methods**

103

104 **2.1. Study site and individuals' characterisation**

105 The IAQ during the sleeping period of the occupants was monitored in twelve bedrooms in
106 rural and urban areas of Portugal. The urban areas were in the municipalities of Lisbon and
107 Vila Franca de Xira, while the rural area was located in the municipality of Ponte de Sor.

108 The occupants of the studied bedrooms were aged between 24 and 53, with six males and six
109 females, 7 non-smokers and 5 smokers. None of the smokers smoked inside the household,
110 but rather outside the building (e.g., balcony). The households ranged from apartment-type (9
111 cases) in different floors (varying from ground to fifth floor) to detached house-type (3 cases).
112 All bedrooms had natural ventilation, no indoor plants and only one door (to a corridor) and
113 one window. More details about the volunteers and their bedrooms are shown in Table S1 (in
114 Supplementary Information Section). No cleaning procedures were performed during the day
115 prior the night of the monitoring in any studied bedroom. Each bedroom only had one
116 volunteer sleeping during the IAQ monitoring programme. No specific criterion was followed
117 to choose bedrooms, except the availability of volunteers, since the aim was to provide an
118 overview of IAQ during sleep. It was only requested to the volunteers to sleep in similar
119 conditions as they usually sleep, in particular regarding ventilation conditions.

120

121 **2.2. Indoor air quality monitoring**

122 IAQ assessment was conducted using four different real-time monitoring devices for the
123 selected parameters: i) Graywolf (IQ-610 probe, WolfSense Solutions, USA) for temperature
124 (T), relative humidity (RH), CO₂, carbon monoxide (CO) and total VOCs; ii) Formaldemeter
125 (htV-M, PPM Technology, UK) for formaldehyde (CH₂O); iii) DustTrak DRX monitor (8533
126 model, TSI, USA) for particulate matter of aerodynamic diameter of 2.5 µm and 10 µm -
127 PM_{2.5} and PM₁₀, respectively; and iv) Pegasor AQTM Indoor Air Quality (Coorstek Amazing
128 Solutions) for T, RH, PM_{2.5}, particle number concentration (PNC) and lung deposit surface
129 area (LDSA). Monitoring devices i) to iii) are commonly used in IAQ studies (Canha et al.,
130 2017) and more details about their specifications can be found in the supplementary section
131 (7.1 Indoor air quality monitoring – additional information). Device iv) is a recently launched
132 model in the market that relies on the diffusion charging operating principle for assessing
133 PM_{2.5} (measuring range: 0.001 to 200 mg.m⁻³, resolution of ± 0.1% of reading of 0.001 mg.m⁻³),
134 with a built-in suction pump operating at a flow rate of 3 L/min. Furthermore, this device
135 also allows PNC and LDSA monitoring, along with CO₂, T and RH. The particle size range
136 measured is from 10 nm to 2.5 µm.

137 All devices were calibrated according to the manufacturers' specifications and the sampling
138 frequency was set to 60 seconds. The monitoring devices were placed at the centre of the
139 bedroom, at approximately one meter from the bed and at about 80 cm from the floor, since
140 this height corresponds reasonably to the breathing level of a person lying in bed. The
141 monitoring period in each bedroom occurred during only one night, usually between 23:00
142 and 08:00. Depending on the individuals, the sleep period ranged from a minimum of 4h30m
143 to a maximum of 8h45m. The monitoring programme took place from 29 October to 10
144 November of 2016. For the environmental characterisation of the sleep period, all parameters
145 were reported in relation to their mean values.

146 Air changes per hour (ACHs, h^{-1}) were calculated for the monitored period using a
147 computerised tool that relies on the build-up phase of the CO_2 curve. This method has already
148 been fully described elsewhere (Hänninen, 2013), along with several examples of its
149 application (Canha et al., 2017, 2016; Hänninen et al., 2017). Table S2 (in Supplementary
150 Information Section) provides the ACHs for each studied bedroom, which ranged from $0.39 \pm$
151 0.03 h^{-1} (bedroom 2) to $3.24 \pm 0.70 \text{ h}^{-1}$ (bedroom 5). These values agree with the ones
152 previously described for different ventilation settings in bedrooms (Canha et al., 2017).

153

154 2.3. Statistical analysis

155 Analysis of data was performed by applying statistics with a significance level of 0.050. To
156 assess the normality of data, the Shapiro-Wilk test was used since all datasets had a number of
157 cases below 30. The results of normality tests for all datasets are available in the
158 supplementary information section (see Table S3 in Supplementary Information). When data
159 was parametric, statistical difference between two independent samples (e.g. smoker vs non-
160 smoker) was evaluated using the *t-test*, while if data was non-parametric the Mann-Whitney
161 test was applied (see Table S4 in Supplementary Information). All statistical analyses were
162 performed by the XLSTAT 2014.1.09 software program.

163

164 3. Results and Discussion

165 3.1. Comparison between devices: Pegasor vs. Graywolf & DustTrak

166 The performance and comparability of the new model Pegasor was assessed for two
167 parameters, CO_2 and $\text{PM}_{2.5}$, against two devices commonly used in IAQ studies, namely
168 Graywolf and DustTrak, respectively. Figure 1 shows the relationships between CO_2 and
169 $\text{PM}_{2.5}$ concentrations obtained with Pegasor and the two monitoring devices (Graywolf and
170 DustTrak). For CO_2 , all 12 studied cases were used and a very good correlation ($R^2 = 0.99$)

171 was found between both instruments. Regarding PM_{2.5}, it was not possible to assess
172 concentrations for two (bedrooms 5 and 7) due to operational problems. Additionally, for
173 comparison purposes between monitoring devices, one bedroom (bedroom 11) was excluded
174 from the analysis, since the Pegasor monitor supplied a concentration 11 times higher than the
175 one monitored by the DustTrak, which was taken as an outlier. A good correlation was found
176 for PM_{2.5} levels, with a R² value of 0.89, despite the fact that Pegasor provided PM_{2.5}
177 concentrations slightly higher than DustTrak in 67% of the cases.

178

179 **3.2. Comfort parameters**

180 The mean relative humidity in the 12 bedrooms during the sleep period varied from 43.7 ± 1.2
181 % to $61.6 \pm 1.1\%$, with a median value of 57.8%. Mean temperatures ranged from 18.4 ± 0.1
182 °C to 25.5 ± 0.18 °C, with a median value of 22.8 °C among the 12 bedrooms. Considering the
183 international guideline ISO 7730:2005 (ISO 7730:2005, 2005) that establishes, for the colder
184 period, ranges of temperature (20°C – 24°C) and relative humidity (30% - 70%) in indoor
185 environments for the occupants' comfort, all bedrooms showed RH mean values within the
186 comfort range. However, only 58% of the bedrooms (7 out of 12) presented temperatures
187 within the comfort range (with one bedroom below the minimum of 20°C and four bedrooms
188 with temperatures above the maximum of 24°C).

189

190 **3.3. Carbon dioxide**

191 Only 33% of the bedrooms (4 out of 12) showed mean CO₂ concentrations below the limit
192 value of 1250 ppm stipulated by the Portuguese legislation for indoor environments (Figure
193 2). Overall, CO₂ mean concentrations ranged from 553 ± 24 ppm (bedroom 7) to 2671 ± 633
194 ppm (bedroom 8).

195 Figure S1 (Supplementary Information – section 7.4) depicts the temporal variability of CO₂
196 concentrations during the sleep period in bedrooms 7 and 8. A rather constant CO₂
197 concentration in bedroom 7 can be observed, while levels in bedroom 8 increased
198 successively during the sleep period, reaching a maximum of 3589 ppm (ca. 2.5 times higher
199 than the initial concentration of 1417 ppm). This pattern is due to the different ways of
200 promoting natural ventilation by both occupants. As described in the “Materials/Methods”
201 section, the volunteers were requested to sleep under the usual conditions. Individual of
202 bedroom 7 slept with the door of the bedroom opened and window closed, promoting natural
203 ventilation, while individual of bedroom 8 slept with both door and window closed,
204 contributing to the accumulation of pollutants. Given that the occupants' breathing is the only

205 significant source of CO₂, these levels reflect different ventilation rates. The impact of
206 opening a door and/or window, during the sleep period, on the pollutant concentrations has
207 already been described in the literature (Canha et al., 2017). Moreover, mean CO₂ levels were
208 significantly different between smokers and non-smokers: 2029 ± 429 ppm and 1123 ± 479
209 ppm, respectively (Graywolf data). The mean CO₂ levels for smokers were above the limit
210 value (1250 ppm) established by the Portuguese legislation, while values for non-smokers'
211 bedrooms were below the threshold.

212 Considering the reported threshold of 835 ppm as the value below which the sleep quality is
213 significantly improved, along with perceived air quality, next-day reported sleepiness and
214 ability to concentrate (Strøm-Tejsen et al., 2016), in the present study, only three bedrooms
215 registered levels below this limit (bedrooms 6, 7 and 10).

216

217 **3.4. Carbon monoxide**

218 The measurement of exhaled CO level may provide an immediate, non-invasive method of
219 assessing smoking status. In a study carried out by Deveci et al. (2004), the exhaled CO
220 levels were measured in 322 subjects (243 healthy smokers, 55 healthy non-smokers, 24
221 passive smokers). The mean level was 17.13 ± 8.50 ppm for healthy smokers and 3.61 ± 2.15
222 ppm for healthy non-smokers, and 5.20 ± 3.38 ppm for passive smokers. There was a
223 significant positive correlation between CO levels and daily cigarette consumption, and CO
224 levels and duration of smoking in healthy smokers ($r = 0.550$, p -value < 0.001 , $r = 0.265$, p -
225 value < 0.001 , respectively). Other studies also confirmed that the level of CO in exhaled air
226 is higher in healthy smokers than in non-smokers (Cunnington and Hormbrey, 2002;
227 Middleton and Morice, 2000; Zhang et al., 2013).

228 In the present study, CO levels were always below the limit value of 9 ppm (*Ordinance no.*
229 *353-A/2013*, 2013) in all bedrooms (Table 1). This was expected since CO is a toxic by-
230 product of incomplete combustion and indoor sources in the bedroom are not supposed to
231 exist. Nevertheless, CO can be generated indoors by combustion processes (e.g., cooking
232 appliances, water heating systems or fireplaces (Canha et al., 2018; Mullen et al., 2016)), by
233 other human activities, such as smoking (Konstantopoulou et al., 2014), but can also originate
234 from outdoor air due to exhaust emissions from traffic (Ramos et al., 2016). Moreover, as
235 described above, low levels of CO are released due to normal human metabolism and due to
236 previous exposure to CO sources, such as smoking (Wu, L., Wang, 2005; Zhang et al., 2013).

237 Therefore, the detection of CO in the bedroom is likely due to infiltration from other rooms
238 with active sources (e.g. kitchen), penetration of polluted outdoor air and exhaled breath.

239 Carbon monoxide mean values ranged from undetected to 4.21 ppm, averaging 0.79 ± 0.43
240 ppm (median of 0.49 ppm). Of the 12 studied bedrooms, only two presented CO levels above
241 1 ppm. Both bedrooms belonged to smokers. CO infiltration from outdoors will depend on the
242 outdoor levels where the household is located. However, in the present study, no statistical
243 difference between rural and urban dwellings was found (see Table S4, in Supplementary
244 Information).

245 In a preliminary study on the influence of ventilation in a bedroom during the sleep period on
246 air pollutant levels (Canha et al., 2017) at Setúbal (Portugal), the lowest mean value of CO
247 (1.40 ± 0.26 ppm) was found for the ventilation condition ODCW (open door and closed
248 window), while the highest mean value (3.32 ± 0.87 ppm) was measured with CDCW (closed
249 door and closed window). The mean values of the present study are below those documented
250 in the previous work (Canha et al., 2017) and also below the ones found in a naturally
251 ventilated and unoccupied dormitory room evaluated during weekdays and weekends in
252 Shanghai (Zhong et al., 2013), with mean CO levels of 2.97 ± 0.43 ppm and 2.00 ± 0.19 ppm,
253 respectively.

254 Figure S2 (Supplementary Information – section 7.5) shows the CO levels in bedrooms of
255 smokers ($n = 5$) and non-smokers ($n = 7$). CO levels in smokers' bedrooms were found to be
256 significantly higher than the ones in non-smokers' bedrooms (p-value of 0.006). A mean CO
257 value of 1.60 ± 1.52 ppm (ranging from 0.59 to 4.21 ppm) was registered in bedrooms of
258 smokers during the sleep period, while CO levels approximately 8 times lower (mean value of
259 0.21 ± 0.22 ppm, ranging from undetectable to 0.50 ppm) were obtained for non-smokers.

260 Despite none of the volunteers smoked inside the household, some of them had smoked a
261 cigarette one hour prior to their sleep period outside the household (on the balcony or outside
262 the front door). Thus, smoke infiltration from outdoors or the presence of CO in exhaled air
263 may be the reasons justifying the higher levels in the smokers' rooms. As already mentioned,
264 previous studies focused on exhaled carbon monoxide from smokers and non-smokers, using
265 specific devices, showed that smokers exhaled higher levels of carbon monoxide than non-
266 smokers. A study in Poland documented that smokers in a small city (less than 100,000
267 inhabitants) had mean CO concentrations in their exhaled breath around five times higher than
268 non-smokers (10.77 ± 8.02 ppm and 2.22 ± 1.43 ppm for smokers and non-smokers,
269 respectively), while in a big city (more than 100,000 inhabitants) CO mean concentrations for
270 smokers were about two times higher than for non-smokers (13.54 ± 8.36 ppm and $6.57 \pm$

271 8.36 ppm for smokers and non-smokers, respectively) (Maga et al., 2017). Similar results can
272 also be found in studies conducted in China (11.5 ppm and 3.7 ppm for male smokers and
273 non-smokers, respectively) (Zhang et al., 2013) and in Turkey (17.13 ± 8.50 ppm and $3.61 \pm$
274 2.15 ppm for smokers and non-smokers, respectively) (Deveci et al., 2004). Therefore, a
275 plausible source of CO during the sleep period may be the air exhaled by smokers.
276

277 **3.5. VOCs and formaldehyde**

278 Levels of VOCs and formaldehyde monitored in the studied bedrooms are presented in Figure
279 S3 (Supplementary Information – section 7.6). Due to operational problems of the monitoring
280 devices, it was only possible to assess VOC levels in 11 bedrooms (except bedroom 1) and to
281 assess CH₂O levels in 10 bedrooms (except bedrooms 10 and 12).

282 All monitored bedrooms presented VOC levels above the limit value of 262 ppbv established
283 by the Portuguese legislation, with a mean VOC concentration of 1040 ± 130 ppbv (ranging
284 from 830 to 1230 ppbv), which is around four times higher than the threshold. No statistical
285 differences between VOC levels in bedrooms of smokers and non-smokers were found
286 (smokers: 1070 ± 140 ppbv; non-smokers: 1010 ± 120 ppbv). These levels were all above the
287 maximum VOC concentration of 641 ppbv registered in a preliminary study in a bedroom
288 with only one occupant and restricted ventilation conditions, namely, closed window and door
289 (Canha et al., 2017).

290 Regarding CH₂O, the limit value of 0.081 ppm established by the national guidelines was only
291 exceeded in three bedrooms (out of 10) with a mean value of 0.060 ± 0.027 ppm (ranging
292 from 0.037 to 0.116 ppm). CH₂O levels in bedrooms of smokers and non-smokers was
293 statistically different, with bedrooms of smokers presenting CH₂O levels two times higher
294 than bedrooms of non-smokers (smoker: 0.087 ± 0.022 ppm; non-smoker: 0.042 ± 0.010 ppm;
295 p-value of 0.014). The mean CH₂O concentration of the present study was below the
296 concentration of 0.073 ppm, which was the minimum recorded in a preliminary study in a
297 bedroom with closed window and door (Canha et al., 2017).
298

299 **3.6. Particles**

300 **3.6.1. Particulate matter (PM)**

301
302 Figure 3 and Table S5 (Supplementary Information – section 7.7) show the concentrations of
303 PM_{2.5} and PM₁₀ monitored during the sleep period in 10 different bedrooms (except bedrooms
304 5 and 7), using the DustTrak device.

305 The overall mean $PM_{2.5}$ concentration was $35.1 \pm 32.4 \mu\text{g.m}^{-3}$, which is above the threshold
306 value stipulated by the Portuguese legislation (*Ordinance no. 353-A/2013*, 2013) of $25 \mu\text{g.m}^{-3}$
307 in indoor environments. However, it should be noted that the only bedrooms surpassing this
308 limit value belongs to smokers (Figure 3), with a mean value of $61.2 \pm 24.4 \mu\text{g.m}^{-3}$, while for
309 non-smokers the value is around 7 times lower, i.e. $8.9 \pm 7.0 \mu\text{g.m}^{-3}$ (Table S 4).

310 For PM_{10} , the overall mean value was $39.2 \pm 33.8 \mu\text{g.m}^{-3}$, not exceeding the national threshold
311 of $50 \mu\text{g.m}^{-3}$ (*Ordinance no. 353-A/2013*, 2013). Once more, the concentrations found in
312 smokers' bedrooms ($67.5 \pm 22.8 \mu\text{g.m}^{-3}$) were approximately 6 times higher than those of
313 non-smokers ($11.0 \pm 6.9 \mu\text{g.m}^{-3}$). A higher fine mass fraction was observed in smokers'
314 bedrooms compared to non-smokers, with $PM_{2.5}$ accounting for $89 \pm 6\%$ of PM_{10} versus $79 \pm$
315 19% , respectively (Table S5).

316 A preliminary single-room study with a non-smoking occupant in an urban area was designed
317 to evaluate different natural ventilation patterns (focusing on opening of windows and door)
318 and their impact on IAQ. With this purpose $PM_{2.5}$ and PM_{10} were continuously monitored
319 (Canha et al., 2017). The ventilation condition that led to higher PM concentrations was the
320 one with open door and open window ($PM_{10} = 27.9 \pm 4.6 \mu\text{g.m}^{-3}$ and $PM_{2.5} =$
321 $26.3 \pm 4.3 \mu\text{g.m}^{-3}$), while open door and closed window gave rise to the lowest mean PM
322 concentrations ($PM_{10} = 18.5 \pm 4.7 \mu\text{g.m}^{-3}$ and $PM_{2.5} = 17.9 \pm 4.5 \mu\text{g.m}^{-3}$). Therefore, outdoor
323 infiltration may contribute to enhanced PM levels inside bedrooms, which may depend on the
324 type of area where the house is located (urban versus rural, for instance). Although ventilation
325 was not under consideration in the present work, the mean concentrations found in this
326 preliminary study are higher than those reported here for non-smokers, but lower than for
327 smokers. In addition, for non-smokers, the values were similar to the ones reported in a study
328 performed in 4 bedrooms, with 2 occupants each, in Portuguese elderly care centres (Almeida-
329 Silva et al., 2014b), with mean PM_{10} concentrations of $11 \mu\text{g.m}^{-3}$.

330 The $PM_{2.5}$ concentrations provided by the present study are in the range of values reported for
331 UK households of smokers and non-smokers (Semple et al., 2015). The smokers' homes
332 presented a median concentration of $31 \mu\text{g.m}^{-3}$ (ranging from 10 to $111 \mu\text{g.m}^{-3}$, $n = 93$),
333 whereas this value decreased to $3 \mu\text{g.m}^{-3}$ in smoke-free homes (ranging from 2 to $6.5 \mu\text{g.m}^{-3}$, n
334 $= 17$). These values were monitored in the living room of the households for 24h, instead of in
335 a bedroom during the sleep period, as it was done in the present study. However, the
336 magnitude of values is similar in both studies, attesting the contribution of smoking to the
337 degradation of IAQ.

338

339 3.6.2. Lung deposited surface area (LDSA)

340 Ultrafine particles are characterised by having a high surface area per mass (Reche et al.,
341 2015). It has been reported that particle surface plays a significant role in determining the
342 toxicological activity of these particles (Reche et al., 2015; Weichenthal, 2012). Lung
343 Deposited Surface Area (LDSA) has been considered as a more relevant potential biological
344 metric in terms of exposure and risk assessment (Levin et al., 2016) since it provides insights
345 into the association between aerosol particle properties and health outcomes (Hama et al.,
346 2017).

347 Figure 4 presents LDSA concentrations during the sleep period in 11 different bedrooms.
348 Bedroom 11 was not assessed due to operational problems of the monitoring device. The
349 mean LDSA concentration monitored in all studied bedrooms was $30.5 \pm 28.3 \mu\text{m}^2.\text{cm}^{-3}$
350 (ranging from 7.3 to $95.2 \mu\text{m}^2.\text{cm}^{-3}$). In smokers' bedrooms, a mean LDSA concentration of
351 $49.6 \pm 31.7 \mu\text{m}^2.\text{cm}^{-3}$ (ranging from 21.4 to $95.2 \mu\text{m}^2.\text{cm}^{-3}$) was found, while for non-smokers
352 lower values were obtained, in the range from 7.3 to $33.7 \mu\text{m}^2.\text{cm}^{-3}$, averaging 19.5 ± 11.2
353 $\mu\text{m}^2.\text{cm}^{-3}$. Mean concentrations of LDSA in the bedrooms of smokers and non-smokers were
354 found to be significantly different (p-value of 0.047).

355 Table S6 (Supplementary Information – section 7.7) provides an overview of LDSA
356 concentrations in different types of outdoor and indoor environments documented in the
357 literature. Mean outdoor LDSA concentrations ranged from $12 \mu\text{m}^2.\text{cm}^{-3}$ (Helsinki, Finland
358 (Kuuluvainen et al., 2016)) to $153 \mu\text{m}^2.\text{cm}^{-3}$ (Los Angeles, USA (Ntziachristos et al., 2007)),
359 while mean indoor LDSA levels varied from $10 \mu\text{m}^2.\text{cm}^{-3}$ (in a bedroom with two occupants
360 at an elderly care centre in Lisbon, Portugal (Almeida-Silva et al., 2014a)) to $150 \mu\text{m}^2.\text{cm}^{-3}$ (at
361 schools in Cassino, Italy (Buonanno et al., 2012)). The LDSA concentrations of the present
362 study are fairly within this interval, ranging from a minimum value of $7.3 \pm 1.0 \mu\text{m}^2.\text{cm}^{-3}$
363 (bedroom 9) to a maximum of $95.2 \pm 30.4 \mu\text{m}^2.\text{cm}^{-3}$ (bedroom 12). However, the results of
364 the present study are lower than those (42 to $140 \mu\text{m}^2.\text{cm}^{-3}$) reported for the children's sleep
365 period in Cassino, Italy (Buonanno et al., 2012). The main distinguishable factor between
366 these studies is the fact that the research in Italy was focused on personal exposure, which
367 means that the monitoring device was closer to the children's breathing area while sleeping,
368 whereas this study aimed to assess the LDSA concentrations in the bedroom ambient air,
369 positioning the monitoring device 1 m away from the bed. It has been reported that LDSA
370 concentrations in the personal cloud of the individual are higher than in the surrounding
371 environment (Cattaneo et al., 2010; Licina et al., 2017).

372 Cooking is a major source of ultrafine particles indoors, as reported by several studies (Geiss
373 et al., 2016). Cooking different types of meals showed LDSA values ranging from 73 ± 7.4
374 $\mu\text{m}^2.\text{m}^{-3}$ (baseline) to $890 \pm 38.3 \mu\text{m}^2.\text{m}^{-3}$ (boiling fish) at an unventilated kitchen (Lisbon,
375 Portugal) (Bordado et al., 2012). In a study conducted in a private house in Ispra (Italy),
376 LDSA concentrations ranging from 19 to $134 \mu\text{m}^2.\text{m}^{-3}$, averaging $61 \mu\text{m}^2.\text{m}^{-3}$, were obtained
377 in the living room when the woodstove was working (Geiss et al., 2016). Specific activities
378 may also produce high concentrations of LDSA with peaks several orders of magnitude above
379 the usual levels in indoor or outdoor environments, such as incense burning (peak of 872
380 $\mu\text{m}^2.\text{m}^{-3}$), candle burning ($226 \mu\text{m}^2.\text{m}^{-3}$), 3D-printer ($72 \mu\text{m}^2.\text{m}^{-3}$) and tobacco cigarette (1040
381 $\mu\text{m}^2.\text{m}^{-3}$) (Geiss et al., 2016). As shown before, the infiltration of pollutants from other rooms
382 of the house, such as the kitchen, or from the outdoor, to the bedroom, can take place and may
383 promote accumulation of contaminants in this specific micro-environment (Canha et al.,
384 2017). This can explain the significantly high LDSA concentrations found in the present study
385 in the smoker's bedrooms when compared to the non-smoker's bedrooms.

386
387

388 **3.6.3. Particle number concentration**

389 Figure 5 presents the particle number concentrations (PNC) during the sleep period in 11
390 different bedrooms. As described in section 3.6.2., bedroom 11 was not assessed. Mean PNC
391 were found to be $(1.7 \pm 1.2) \times 10^3.\text{cm}^{-3}$ in all studied bedrooms, ranging from 0.6 to $4.8 \times$
392 $10^3.\text{cm}^{-3}$. Mean PNC were higher in smokers' bedrooms (mean value of $(2.4 \pm 1.7) \times 10^3.\text{cm}^{-3}$,
393 ranging from 1.0 to $4.8 \times 10^3.\text{cm}^{-3}$) than in non-smokers' bedrooms (mean value of (1.2 ± 0.7)
394 $\times 10^3.\text{cm}^{-3}$, ranging from 0.6 to $2.0 \times 10^3.\text{cm}^{-3}$). However, mean PNC in smokers and non-
395 smokers' bedrooms were not significantly different (p-value of 0.156).

396 In a study in 56 residences of non-smokers in Copenhagen (Denmark), a geometric mean of
397 $5.1 \times 10^3.\text{cm}^{-3}$ was found when the occupants were asleep (Bekö et al., 2013). However, those
398 PNC values were monitored in the living rooms, instead of the bedrooms. Several studies
399 have shown that PNC in households are mainly originated from candle burning and cooking
400 activities (Bekö et al., 2013; Isaxon et al., 2015). No PNC values monitored during the sleep
401 period can be found in the literature, to the best of our knowledge.

402

403 **3.6.4. Association between $\text{PM}_{2.5}$ and LDSA/PNC**

404

405 Figure 6 shows the correlations of $PM_{2.5}$ with LDSA and PNC. The LDSA concentrations
406 presented an excellent correlation with $PM_{2.5}$ ($R^2=0.95$). This linear regression can be used to
407 roughly estimate the LDSA concentration in sleep environments based on the $PM_{2.5}$
408 measurements. In a study carried out in outdoor environments in Helsinki (Finland), the
409 slopes of the $PM_{2.5}$ vs. LDSA regression ranged from 1.8 (residential area – suburban) to 7.2
410 (traffic site – city centre), increasing with the influence of traffic (Kuuluvainen et al., 2016).
411 PNC presented a good correlation with $PM_{2.5}$ ($R^2=0.87$) during the sleep period, which can be
412 also used to roughly estimate PNC values in sleep environments from the $PM_{2.5}$
413 measurements.

414 3.7. Considerations

415 An increase number of scientific evidences in the last decades confirmed the negative health
416 impacts of smoking and exposure to secondhand smoke (SHS) (Öberg et al., 2011; United
417 States Department of Health and Human Services, 2014). In order to protect non-smoking
418 population of SHS, several countries worldwide have implemented restrictions on smoking in
419 public areas, establishing minimum distances from doorways where smokers could smoke,
420 non-smoking buildings and smoking bans in specific sites, such in some university campi
421 (DeCarlo et al., 2018). In recent years, a different human exposure route to smoking's
422 products has been studied, namely thirdhand smoke (THS), which is the persistent residue
423 generated from aged SHS that adheres to clothing, indoor dust and surfaces and reemits into
424 the air (Northrup et al., 2016). In a simpler way, THS is the fraction of cigarette smoke that
425 persists in indoor environments after smoking (Hang et al., 2017). It was already showed that
426 early exposure to THS may have a negative health impact on mice, namely regarding their
427 body mass and the development of immunity. More recently, it was also found that skin
428 exposure to an important component of THS can exacerbate pathological features of asthma in
429 mouse (Yu et al., 2018).

430 The present study showed that the fact of a person is a smoker will somehow constrain the air
431 quality during the sleep period, with some influence of THS, probably due to reemission of
432 SHS previously adsorbed to surfaces, such as clothes, hair and skin, as described in previous
433 researches (Bahl et al., 2014).

434 It is noteworthy to highlight the need to critically evaluate LDSA concentrations since the
435 different available techniques may not be fully comparable (Levin et al., 2016). Furthermore,
436 the impossibility of measuring particle size distributions renders difficult the evaluation of air
437 quality and its effects (Todea et al., 2015). LDSA concentrations of the present study agree

438 with those described in the literature, which indicates that the instrument is not completely off
439 the scale. However, to obtain firm conclusions on its applicability/reliability it would be
440 necessary to take some "gold standard" reference instrument and run it in parallel.

441 A limitation of this study is the monitoring of only one night per individual and the small
442 study group of only 12 individuals. Since this research can be classified as a preliminary
443 evaluation, further studies should consider monitoring over several nights to assess the
444 possible variation of pollutant concentrations, as well as a higher number of individuals to
445 increase the population representativeness. Moreover, in future studies, specific VOCs, such
446 as acetone, may have a particular interest to be monitored during sleep since it is a by-product
447 of the human metabolism and is exhaled by breath (from 300 ppbv in healthy individuals to
448 more than 1800 ppbv in diabetics (Righettoni et al., 2012).

449 Since the use of a portable device, based on a PID sensor, can withdraw selectivity to the
450 measured VOCs as compared to the reference method (active sampling on Tenax TA®
451 sorbent, thermal desorption and analysis by gas chromatography using Mass
452 Spectrometer/Flame Ionisation Detector) (*Ordinance no. 353-A/2013*, 2013), the high values
453 obtained in this study should be taken as indicative and as a warning for a more exhaustive
454 monitoring in the future.

455

456 **4. Conclusions**

457 This study provided some insights into the IAQ that people are exposed to while sleeping,
458 considering a multi-pollutant approach. IAQ monitoring during sleep is a challenge due to
459 eventual interferences of instruments under operation with the sleep of individuals. However,
460 the strategy adopted showed to be successful, allowing to characterise IAQ during sleep. The
461 Pegasor AQ™ Indoor provided reliable results regarding particulate matter and carbon
462 dioxide, with the advantage of gathering in one easy to use device several parameters for a
463 wider characterisation of IAQ. Overall, this instrument allowed to assess temperature, relative
464 humidity, CO₂, PM_{2.5} and ultrafine particles (focusing on LDSA and PNC).

465 Taking into account the limit values for some IAQ parameters established by the national
466 legislation, it was found that some non-smoking subjects are exposed to higher VOCs levels,
467 while smokers are exposed to higher values of CO₂, CO, VOCs, CH₂O, PM_{2.5} and PM₁₀
468 during sleep. Taking into account the good correlations between PM_{2.5} concentrations and
469 measurements of either LDSA or PNC, it seems that there is a possibility of constructing
470 predictive models to estimate the latter parameters. However, given the poor sample

471 representativeness, to confirm this hypothesis, additional measurements involving a thorough
472 analysis of time-series comparisons with more sophisticated instruments would be required.
473 Despite no smoking was done indoors, the results suggest that smokers exhibit a significant
474 higher exposure to CO, PM_{2.5}, CH₂O, PM₁₀ and LDSA during sleep than non-smokers.
475 Further studies regarding exposure to air pollutants during sleep should be conducted
476 involving a wider target group. The preliminary conclusions that people are usually exposed
477 to higher levels of pollutants during sleep, which can greatly contribute to their daily
478 exposure, should be corroborated by additional investigations. Moreover, considering these
479 results, future studies should also focus on the impact of IAQ on the sleep quality of the
480 occupants in order to assess which environmental factor may interfere with a good night of
481 sleep.

482

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492

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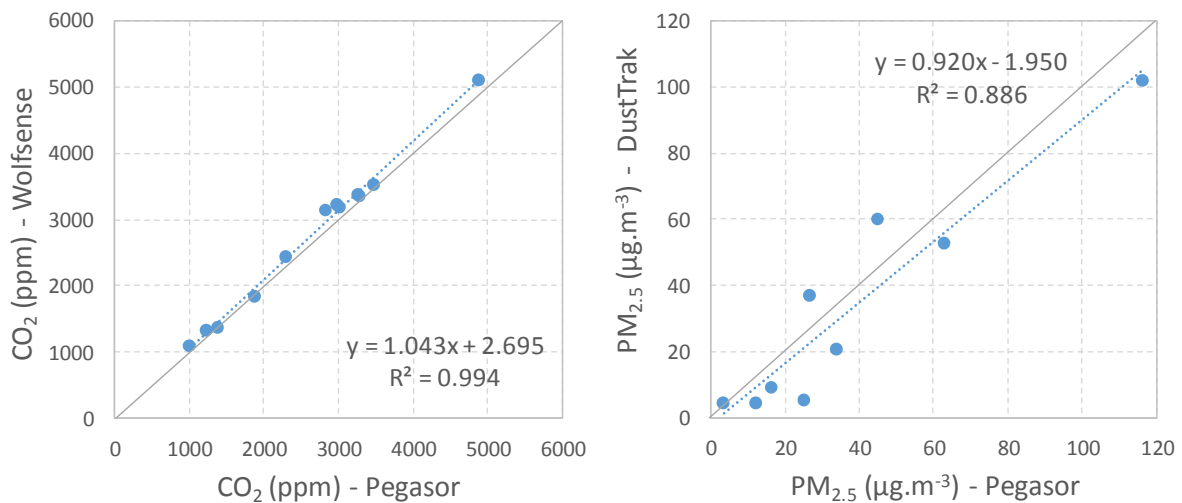
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682 **Figures of manuscript**

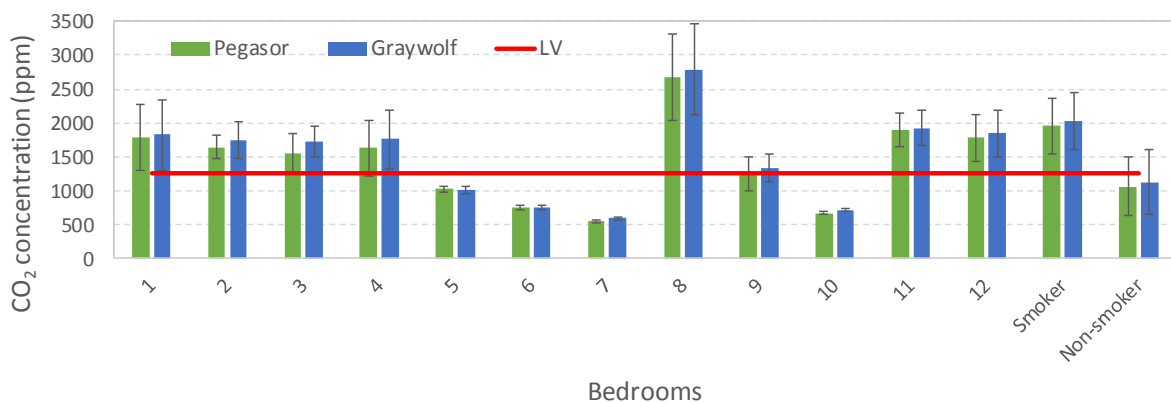
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685 Figure 1. Comparison between devices: (left) CO₂ concentrations by Pegasor and Graywolf;
 686 and (right) PM_{2.5} concentrations by Pegasor and DustTrak.

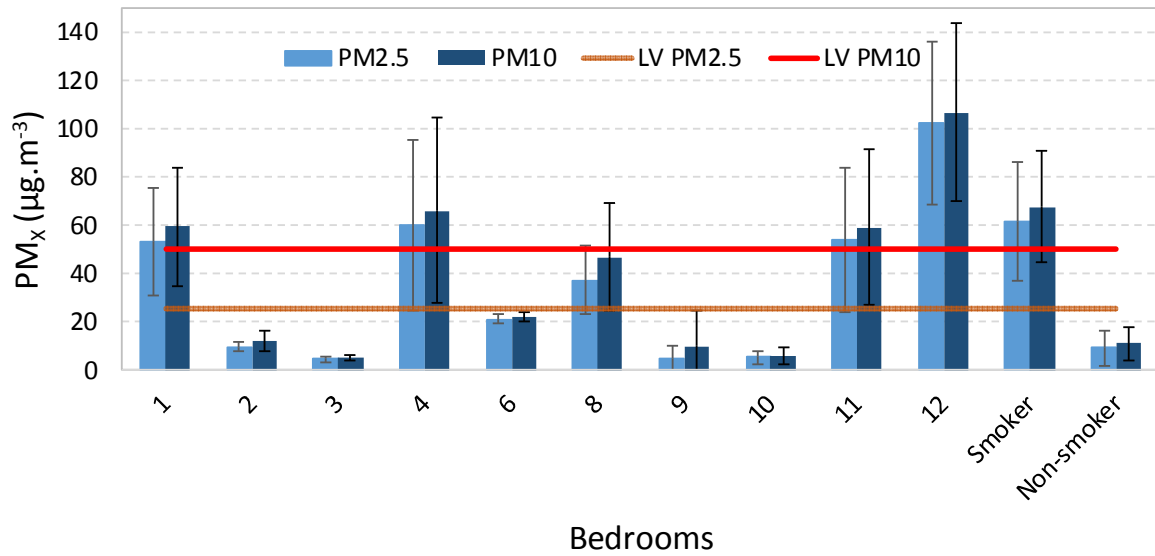
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689 Figure 2. Carbon dioxide concentrations monitored during the sleep period in 12 different
 690 bedrooms, using two different monitoring devices: Pegasor and Graywolf. Red line represents
 691 the CO₂ limit value of 1250 ppm defined by the Portuguese legislation (*Ordinance no. 353-
 692 A/2013, 2013*).

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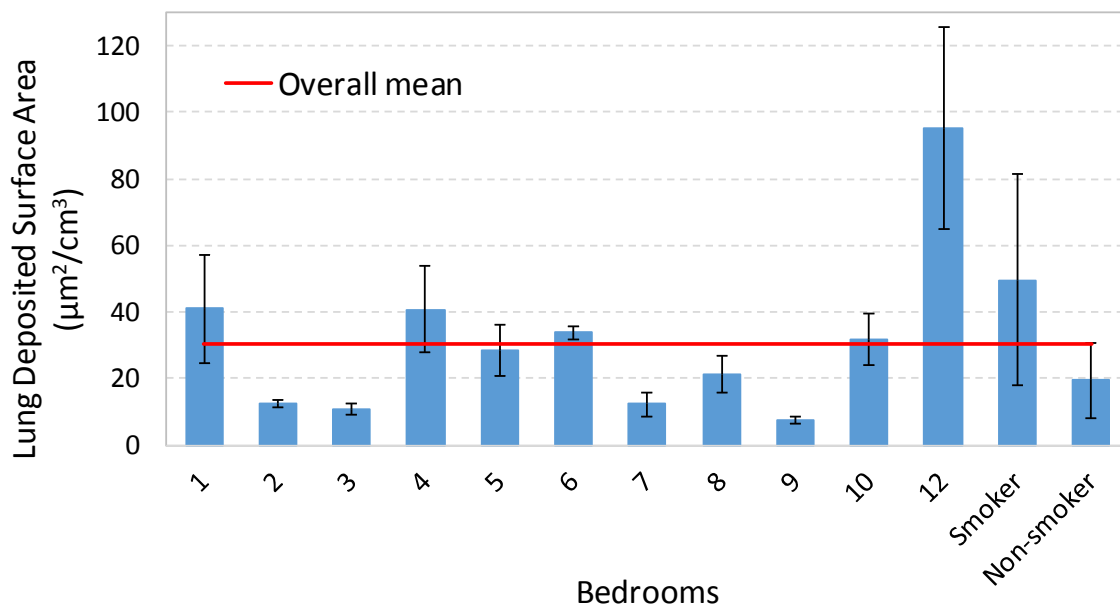


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695 Figure 3. $PM_{2.5}$ and PM_{10} concentrations monitored during the sleep period in 10 different
 696 bedrooms.

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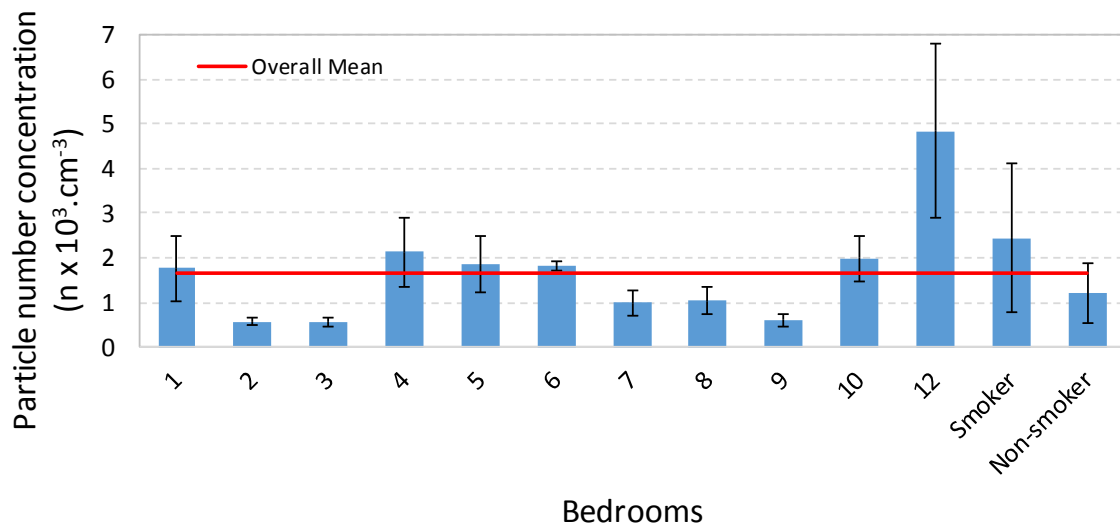


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700 Figure 4. Lung deposited surface area (LDSA) of particles monitored during the sleep period
 701 in 11 different bedrooms. Red line is the mean value of the 11 bedrooms ($30.5 \mu\text{m}^2\cdot\text{cm}^{-3}$).

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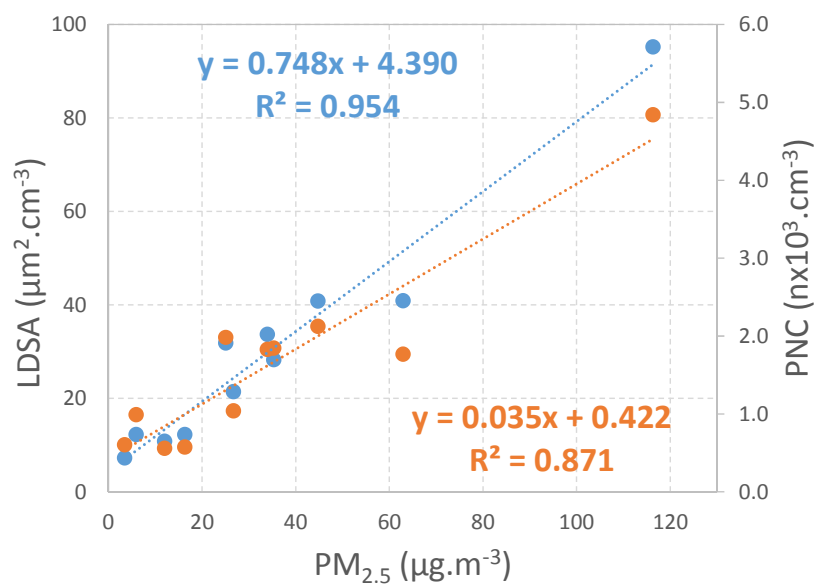
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705 Figure 5. Particle number concentration during the sleep period in 11 different bedrooms. Red
 706 line is the mean value of the 11 bedrooms ($1.7 \times 10^3 \cdot \text{cm}^{-3}$).

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709 Figure 6. Correlation of PM_{2.5} with and lung deposited surface area (blue) and particle number
 710 concentration (orange) during the sleep period.

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716 **Tables of manuscript**

717

718 Table 1. Levels of carbon monoxide monitored during the sleep period in 12 different
719 bedrooms, using Graywolf monitoring devices. LV stands for the CO limit value of 9 ppm
720 defined by the Portuguese legislation (*Ordinance no. 353-A/2013*, 2013).

Individuals	CO concentration (ppm)		
	Mean \pm SD	Min	Max
1	4.21 \pm 0.41	3.4	5.3
2	0.12 \pm 0.11	0.0	0.3
3	0.34 \pm 0.10	0.2	0.6
4	0.59 \pm 0.16	0.3	0.8
5	0.47 \pm 0.39	0.1	1.0
6	0.00 \pm 0.00	0.0	0.0
7	0.00 \pm 0.01	0.0	0.1
8	0.80 \pm 0.09	0.6	0.9
9	0.50 \pm 0.16	0.2	0.8
10	0.04 \pm 0.05	0.0	0.2
11	1.71 \pm 0.21	1.2	2.0
12	0.71 \pm 0.07	0.3	0.9
LV	9		

721

722

Impact of smoking on indoor air quality during sleep by Canha et al.**Highlights**

- Multi-pollutant assessment of indoor air quality in 12 bedrooms during sleep.
- CO₂, PM_x, VOCs and CH₂O levels during sleep were found to be above guidelines.
- Comparative study of smokers and non-smokers' exposure in bedrooms while sleeping.
- Smokers are exposed to higher levels of CO, CH₂O, PM_x, and LDSA than non-smokers.