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Biobased films of nanocellulose and mango leaf extract for active food packaging: supercritical impregnation versus solvent casting

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**CRedit authorship contribution statement**

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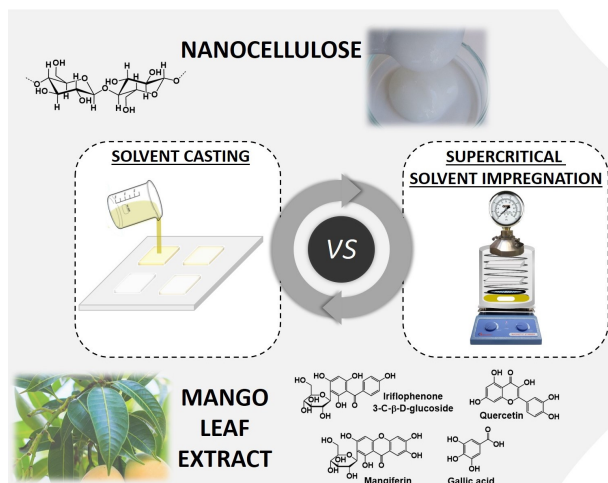
**Lourdes Casas Cardoso:** Resources; Writing - review & editing; Funding acquisition

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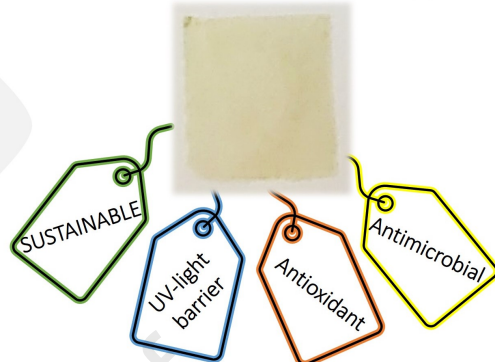
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## Nanocellulose Films for Active Food Packaging



Journal Pre-proof

1 **Biobased films of nanocellulose and mango leaf extract for active food**  
2 **packaging: supercritical impregnation versus solvent casting**

3

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15

## 16 Abstract

17 Antioxidant and antimicrobial free-standing films composed of nanofibrillated cellulose  
18 (NFC) and a polyphenolic-rich extract, *viz.* mango leaf extract (MLE), were produced  
19 via supercritical solvent impregnation (SSI) and conventional solvent casting film-  
20 processing methodologies. The CO<sub>2</sub>-assisted impregnation of NFC with MLE created  
21 robust films with thermal stability up to 250 °C, good mechanical performance  
22 (Young's modulus > 4.7 GPa), UV-light barrier properties, antioxidant capacity with  
23 maximum inhibition percentage of *ca.* 84%, and antimicrobial activity against  
24 *Staphylococcus aureus* (growth inhibition  $\approx$  37%) and *Escherichia coli* (growth  
25 inhibition  $\approx$  91%). The comparison of the NFC/MLE films prepared by SSI with those  
26 fabricated via solvent casting shows a clear advantage of the SSI methodology.  
27 Particularly, the antioxidant and antimicrobial activities are visibly higher in the films  
28 fabricated by the CO<sub>2</sub>-assisted impregnation of MLE into NFC. In fact, for the SSI  
29 films, the MLE components are mostly adsorbed at the surface and not in the bulk of the  
30 biopolymeric matrix, which translates into faster migrations and, hence, higher active  
31 properties. All these findings evinced the potential performance of the NFC/MLE films  
32 prepared by the eco-friendly SSI as UV-blocking, antioxidant, and antimicrobial bio-  
33 based materials for application as sustainable active food packaging.

34

35 **Keywords:** nanocellulose films; mango leaf extract; supercritical solvent impregnation;  
36 solvent casting; antioxidant and antimicrobial properties; active food packaging

37

## 38 1. Introduction

39 The research in the field of active food packaging, *i.e.* packages containing active  
40 additives with a key role in food preservation, is mapping a path to boost the safety,

41 quality and shelf-life of packaged foods by reducing food spoilage, waste and recalls, as  
42 well as foodborne illness outbreaks (Carvalho, Freire, & Vilela, 2021; Vilela et al.,  
43 2018). Nonetheless, a major part of these active packaging solutions is made of non-  
44 biodegradable synthetic polymers and their non-reusable nature gives rise to  
45 environmental challenges. Therefore, the use of eco-friendly natural polymers to  
46 develop active food packaging systems is one of the promising routes that are being  
47 pursued to reduce the environmental impact of disposable packaging materials (Guillard  
48 et al., 2018). For example, polysaccharides like chitosan (Vilela et al., 2017; Wang,  
49 Qian, & Ding, 2018), pullulan (Farris, Unalan, Introzzi, Fuentes-Alventosa, &  
50 Cozzolino, 2014; Kraśniewska, Pobiega, & Gniewosz, 2019; Silva, Vilela, Almeida,  
51 Marrucho, & Freire, 2018) and starch (Khan, Niazi, Samin, & Jahan, 2017), are some of  
52 the biopolymers already explored for food packaging materials.

53 Another natural polysaccharide that is starting to garner attention in this domain is  
54 cellulose, *viz.* the most abundant biopolymer on earth, and particularly its nanoscale  
55 forms, namely nanofibrillated cellulose (NFC), cellulose nanocrystals, and bacterial  
56 nanocellulose, as recently reviewed (Azeredo, Rosa, & Mattoso, 2017; Silva, Dourado,  
57 Gama, & Poças, 2020). In fact, the low-priced NFC, which is industrially obtained after  
58 mechanical disintegration combined with chemical or enzymatic treatments (Heise et  
59 al., 2020; Thomas et al., 2018), is a great hydrocolloid contender to design food  
60 packaging materials with improved physical, mechanical and barrier properties (Silva et  
61 al., 2020).

62 Equally important is the use of natural compounds or extracts as bioactive additives  
63 to convey active properties to the food packaging systems (Carvalho et al., 2021; Vilela  
64 et al., 2018), together with the utilization of environmentally friendly film-processing  
65 methodologies (Rojas, Torres, Galotto, Guarda, & Julio, 2020; Suhag, Kumar,

66 Petkoska, & Upadhyay, 2020). The body of literature shows, for example, that the  
67 evergreen leaves of *Mangifera indica* L., viz. a by-product of the mango fruit industry  
68 (Wall-Medrano et al., 2020), are rich in phenolic compounds, including gallic acid,  
69 quercetin, iriflophenone 3-C- $\beta$ -D-glucoside and mangiferin, that present considerable  
70 antioxidant and antimicrobial activities (Belizón, Fernández-Ponce, Casas, Mantell, &  
71 Ossa-Fernández, 2018; Fernández-Ponce, Casas, Mantell, & Martínez de la Ossa, 2015;  
72 Fernández-Ponce, Medina-Ruiz, Casas, Mantell, & Ossa-Fernández, 2018). Hence, the  
73 mango leaf extract (MLE) can be explored as a bioactive additive for active food  
74 packaging. For instance, Belizón et al. (2018) developed a synthetic multilayer film of  
75 poly(ethylene terephthalate) (PET) and polypropylene (PP) loaded with MLE  
76 previously obtained by supercritical-assisted extraction. These authors took advantage  
77 of an innovative and eco-friendly film-processing methodology, namely the  
78 supercritical solvent impregnation (SSI), to impregnate the MLE into a solid  
79 hydrophobic and non-biodegradable multilayer PET/PP film (Belizón et al., 2018). The  
80 SSI methodology uses supercritical carbon dioxide as the solvent under mild  
81 temperature to impregnate the active components (either hydrophilic, hydrophobic or  
82 lipophilic compounds) into the polymeric packaging matrix (Mir et al., 2017). This  
83 method is gaining increasing attention in the domain of food packaging, mostly for  
84 synthetic non-biodegradable (*e.g.*, PET, PP) and biodegradable (*e.g.*, poly(lactic acid)  
85 (PLA) (Milovanovic et al., 2018; Villegas et al., 2017)) polymeric materials, as recently  
86 reviewed by Rojas and co-workers (Rojas et al., 2020). Still, little attention has been  
87 devoted to the application of the SSI methodology to natural polymers, which is a land  
88 of endless opportunities.

89 In view of our research interest in naturally derived polymeric materials (Vilela,  
90 Engström, et al., 2019; Vilela, Moreirinha, et al., 2019), bioactive natural extracts

91 (Esposito et al., 2020; Vilela et al., 2013), and SSI film-processing methodology  
92 (Cejudo Bastante, Casas Cardoso, Fernández Ponce, Mantell Serrano, & Ossa-  
93 Fernández, 2018; Cejudo Bastante, Cran, et al., 2019) for food packaging, the purpose  
94 of the present study is to develop sustainable films with bioactive functions composed  
95 of NFC and MLE via SSI. All films were characterized regarding structure,  
96 microstructure, optical properties, thermal stability, mechanical performance,  
97 antioxidant capacity and antimicrobial activity. For comparison, the NFC/MLE-based  
98 films were also fabricated by the conventional solvent casting film-forming technique,  
99 and the advantages and limitations of each film-processing methodology were  
100 discussed.

101

## 102 **2. Material and methods**

### 103 *2.1. Chemicals, materials, and microorganisms*

104 2,2-Diphenyl-1-picrylhydrazyl (DPPH), glycerol ( $\geq 99.5\%$ ) and 2,3,5-triphenyl-  
105 tetrazolium chloride (TTC,  $\geq 98\%$  for microbiology) were purchased from Sigma-  
106 Aldrich (Steinheim, Germany). CO<sub>2</sub> (99.99% purity) used in supercritical experiments  
107 was supplied by Abello Linde (Barcelona, Spain). Other solvents were of laboratory  
108 grade.

109 *Mangifera Indica* L. leaves were supplied by Finca La Mayora (Málaga, Spain).  
110 Nanofibrillated cellulose (NFC) suspension (2.91 wt.%), with nanofibrils of 20–50 nm  
111 average diameter (HR-SEM-SE SU-70 microscope, Hitachi High-Technologies  
112 Corporation, Tokyo, Japan), carboxyl content of  $0.14 \pm 0.06$  mmol g<sup>-1</sup> (Besbes, Alila, &  
113 Boufi, 2011) and zeta potential of *ca.* -13 mV at pH 7 (Zetasizer Nano ZS, Malvern  
114 Panalytical, Cambridge, United Kingdom), was supplied by VTT Technical Research



115 Centre (Espoo, Finland) and obtained from softwood bisulphite fibres by combining  
116 mechanical and enzymatic treatments.

117 *Staphylococcus aureus* (ATCC 6538) and *Escherichia coli* (CECT101) bacteria  
118 were purchased from the American Type Culture Collection (ATCC, Virginia, USA)  
119 and the Spanish Type Culture Collection (CECT, Valencia, Spain), respectively.

120

## 121 2.2. Production of the mango leaf extract (MLE)

122 *Magnifera indica* L. leaves were dried at room temperature until constant weight  
123 and then grounded until *ca.* 5 mm diameter. A cartridge with 160 g of grounded leaves  
124 were placed into a 500 mL vessel for supercritical extraction (Thar Technologies  
125 SF500, Pittsburgh, PA, USA). The extract was obtained at 200 bar and 80 °C using a  
126 50% ethanol-CO<sub>2</sub> mixture solvent during 2 h at 10 g min<sup>-1</sup> of total flow, as previously  
127 reported (Belizón et al., 2018; Fernández-Ponce et al., 2018). The obtained extract  
128 achieved a concentration of 55 g L<sup>-1</sup>, *viz.* 17.4% extraction yield.

129

## 130 2.3. Production of the NFC/MLE-based films via casting technique

131 Aqueous suspensions of NFC (2.5%, w/w) were used to fabricate films (5×5 cm<sup>2</sup>)  
132 containing 50 mg of dried NFC and 10% glycerol (w/w, relative to NFC) by solvent  
133 casting (Moreirinha et al., 2020). Films with 10, 20, and 30% of MLE (w/w respecting  
134 to the NFC dry content) were produced, as listed in Table 1. The NFC suspensions were  
135 sonicated during 30 min and submitted to vacuum during 1 h in order to degas the  
136 suspensions. Then, the NFC suspensions were spread into 5×5 cm<sup>2</sup> acrylic moulds and  
137 left 24 h at 40 °C in a ventilated oven (Thermo Fisher Scientific, Waltham, MA, USA)  
138 to obtain the dried films. Control samples without extract were also developed for  
139 comparison purposes.

140

141 *2.4. Production of the NFC/MLE-based films via SSI*

142 The pure NFC films were prepared as previously described for the control samples.  
143 Then, samples were impregnated following a procedure similar to that described by  
144 Cejudo and co-workers with some modifications (Cejudo Bastante, Cran, et al., 2019).  
145 A Thar Technologies SF100 supercritical impregnation equipment (Pittsburgh, PA,  
146 USA) was used in the experiments, as depicted in Fig. 1. Two NFC films ( $5 \times 5 \text{ cm}^2$   
147 each) were horizontally disposed into a steel support and introduced in a flat bottom  
148 vessel filled with 5 mL of MLE in ethanol (Table 1). An agitator was also inserted to  
149 favour the homogenization by a stirring plate fixed at a medium agitation.  
150 Pressurization was carried out at  $5 \text{ g min}^{-1}$  of  $\text{CO}_2$  until the pressure/temperature  
151 conditions were achieved, and then maintained in the system for 2 h. Once the  
152 impregnation time was run, a drying step of the films was required for solvent removal,  
153 consisting in applying a  $\text{CO}_2$  stream at  $5 \text{ bar min}^{-1}$  during 20 min. As initial  
154 experiments, the depressurization rate (*DR*) was studied in three levels (10, 50 and 100  
155  $\text{bar min}^{-1}$ ), at the most extreme conditions of pressure and temperature (400 bar and 55  
156  $^\circ\text{C}$ ) without extract addition, in order to verify that the integrity of the matrix remained  
157 unaltered. Once determined the most suitable *DR* conditions, *viz.*  $10 \text{ bar min}^{-1}$ , at which  
158 the mechanical properties of the films were preserved without the formation of  
159 microbubbles, the impregnation experiments were carried out. The pressure/temperature  
160 conditions studied corresponds to an experimental design  $2^2$ , using 100 and 400 bar, and  
161 35 and 55  $^\circ\text{C}$ .

162

163 *2.5. Characterization methods*164 *2.5.1. Thickness*

165 The thickness of the films was measured with a hand-held digital micrometer  
166 MDC-25PX (Mitutoyo Corporation, Japan) with an accuracy of 1  $\mu\text{m}$ . All  
167 measurements were randomly performed at three different places of the films.

168

#### 169 *2.5.2. Infrared spectroscopy*

170 The structural analysis of the film's surface was carried out by Fourier transform  
171 infrared-attenuated total reflectance (FTIR-ATR) spectroscopy in a Perkin-Elmer FT-IR  
172 System Spectrum BX spectrophotometer (Waltham, USA) coupled with a diamond  
173 crystal ATR accessory. Each spectrum was the average of 16 scans at a resolution of 4  
174  $\text{cm}^{-1}$  and 1  $\text{cm}^{-1}$  interval, acquired on a wavelength range from 4000 to 500  $\text{cm}^{-1}$ . All  
175 spectra were recorded at room temperature and the background spectrum of the clean  
176 ATR crystal was collected every 4 samples. Measurements of each sample were  
177 repeated twice, and, for data treatment, peaks were normalized at 3600  $\text{cm}^{-1}$ .

178

#### 179 *2.5.3. Scanning electron microscopy (SEM)*

180 Micrographs of the surface and cross-section of the films were obtained by an ultra-  
181 high-resolution field-emission HR-FESEM Hitachi SU-70 microscope (Hitachi High-  
182 Technologies Corporation, Tokyo, Japan). The samples for surface and cross-section  
183 (fractured in liquid nitrogen) examination were placed on a steel plate and coated with a  
184 carbon film prior to analysis.

185

#### 186 *2.5.4. Colour parameters*

187 CIELab parameters were measured using a Konica Minolta CM-2300d portable  
188 sphere type spectrophotometer (Konica Minolta Sensing Europe BV, UK) using a white  
189 calibration tile surface (Makhloufi et al., 2021). Spectra were collected in three points of

190 the 5×5 cm<sup>2</sup> films, considering the average of those signals. Duplicate samples were  
191 used for each film. The parameters of lightness,  $L^*$  (lightness, black (0) to white (100)),  
192 as well as colour coordinates,  $a^*$  (green=  $-a^*$  to red=  $+a^*$ ) and  $b^*$  (blue =  $-b^*$  to yellow  
193 =  $+b^*$ ) were studied and data were obtained using a Spectra Magic™ NX software.

194 The total colour difference of the films ( $\Delta E$ ) was given by the following equation  
195 (Sant'Anna, Gurak, Marczak, & Tessaro, 2013):

$$\Delta E = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2}$$

196 where  $\Delta L^*$ ,  $\Delta a^*$  and  $\Delta b^*$  parameters are the difference between the colour of the pure  
197 NFC film and the colour of the NFC/MLE-based films.

198

#### 199 2.5.5. Ultraviolet-visible (UV-vis) spectroscopy

200 For the UV-Vis analysis, the transmittance of the samples was collected with a  
201 Shimadzu UV1800 UV-Vis spectrophotometer (Shimadzu Corporation, Kyoto, Japan).  
202 Spectra were acquired at room temperature in the wavelength range from 200 to 700 nm  
203 in steps of 1 nm.

204

#### 205 2.5.6. Thermogravimetric analysis (TGA)

206 TGA was carried out with a SETSYS Setaram TGA analyser (SETARAM  
207 Instrumentation, Lyon, France) equipped with a platinum cell. The samples were heated  
208 from 25 to 800 °C at a constant rate of 10 °C min<sup>-1</sup> under nitrogen atmosphere.

209

#### 210 2.5.7. Tensile tests

211 Tensile properties were measured using an Instron 5966 Series equipment (Instron  
212 Corporation, USA) at room temperature, applying a velocity of 10 mm min<sup>-1</sup> and gauge  
213 length of 30 mm, using a static load cell of 500 N. Four specimens of 5×1 cm<sup>2</sup> were

214 measured for each film sample and the average value was considered (ASTM D882).  
215 The Instron BlueHill 3 software was used for determining the Young's modulus, tensile  
216 strength, and elongation at break data.

217

#### 218 2.5.8. *In vitro* antioxidant activity

219 The *in vitro* antioxidant capacity of the samples was carried out using the DPPH  
220 reagent following a methodology described elsewhere (Cejudo Bastante, Casas  
221 Cardoso, Mantell Serrano, & Martínez de la Ossa, 2017), and using a Shimadzu  
222 UV1800 UV-Vis spectrophotometer (Shimadzu Corporation, Japan). In order to  
223 analyse the antioxidant activity of the pure MLE, 100  $\mu\text{L}$  of pure extract at 2000, 4000  
224 and 6000  $\mu\text{g mL}^{-1}$  were added to 3.9 mL of a 25  $\mu\text{g mL}^{-1}$  of ethanolic-based DPPH  
225 reagent, achieving a final concentration of 50, 100 and 150  $\mu\text{g mL}^{-1}$  of MLE in the final  
226 solution. In the case of the film samples, 1  $\text{cm}^2$  of film was introduced into 4 mL of the  
227 DPPH solution and was led to diffuse the phenolic compounds during the time of  
228 analysis. Analysis were performed in duplicate and the results were expressed as  
229 inhibition percentage (I) according to the following equation:

$$I(\%) = \frac{Abs_i - Abs_t}{Abs_i} \times 100$$

230 where  $Abs_i$  is absorbance at the initial time and  $Abs_t$  is absorbance at time  $t$  measured at  
231 515 nm in each sample.

232

#### 233 2.5.9. UPLC characterization

234 Ultra-performance liquid chromatography (UHPLC ACQUITY H Class) coupled to  
235 quadrupole-time-of-flight mass spectrometry (QToF-MS) (Xevo G2 QToF, Waters  
236 Corp., Milford, MA, USA) was used to identify the phenolic compounds present in the  
237 casted and impregnated films. The films were subjected to an extraction using 5 mL of

238 ethanol in an ultrasound bath for 30 min to recover all the incorporated compounds  
239 (Fernández-Ponce et al., 2018). To obtain the mass spectra (MS), electrospray operated  
240 in negative ionization mode. The mobile phase consisted of a solution of water and  
241 0.1% formic acid (solvent A) and acetonitrile and 0.1% formic acid (solvent B).

242 Separation was done using a Acquity UPLC BEH C18 column with a 1.7  $\mu\text{m}$   
243 particle size, working at a constant flow-rate of 0.8  $\text{mL min}^{-1}$  during 3 min of total  
244 analysis time. Separation started at 98% solvent A during 0.3 min, then decrease until  
245 65% at 1.5 min and continuing decreasing until 0% solvent A at 2 min of analysis.  
246 Afterwards, the percentage of solvent A was increased again to 98% at 2.5 min and  
247 remained at that gradient until end of analysis. The injection volume was 2  $\mu\text{L}$ .

248 For the quantification of MLE compounds, the mass spectra of pure gallic acid,  
249 quercetin and mangiferin were obtained according to the method described elsewhere  
250 (Fernández-Ponce et al., 2015). Calibration curves were analysed in the range of 0-80  
251  $\mu\text{g mL}^{-1}$  (mangiferin:  $y = 626.712x + 10609.4$ ;  $r^2 = 0.995$ ; gallic acid:  $y =$   
252  $1050.84x + 2019.52$ ;  $r^2 = 0.999$ ; quercetin:  $y = 385.853x + 6710.29$ ;  $r^2 =$   
253  $0.991$ ). Iriflophenone 3-C- $\beta$ -D-glucoside was identified by considering the mass  
254 fragmentation reported by Fernández-Ponce et al. (2015) and was quantified with the  
255 mangiferin calibration curve. Samples were filtered through a 0.2  $\mu\text{m}$  nylon syringe  
256 filter and were analysed in duplicate.

257

#### 258 2.5.10. *In vitro* antimicrobial activity

259 The *in vitro* antimicrobial activity against gram-positive (*S. aureus*) and gram-  
260 negative (*E. coli*) bacteria was determined according to literature (Cejudo Bastante,  
261 Casas Cardoso, Fernández-Ponce, Mantell Serrano, & Martínez de la Ossa, 2019).

262 The antimicrobial activity of the crude MLE was analysed by plate dilution method  
263 by means of a spectrophotometer with a microplate reader (Epoch 2 Biotek, Winooski,  
264 VT, USA). Briefly, experiments were carried out in a 96-well plate. Each well was  
265 filled with 100  $\mu\text{L}$  of inoculum previously grown in LB broth medium ( $10^6$  CFU  $\text{mL}^{-1}$ )  
266 and 10  $\mu\text{L}$  of the serial diluted MLE and were incubated under the optimal grown  
267 conditions (37 °C, 24 h). Then, 10  $\mu\text{L}$  of TTC at 5 mg  $\text{mL}^{-1}$  was added as bacterial  
268 growth indicator. Once added, an incubation period of 30 min was required for the TTC  
269 reagent to change its molecular form to 1,3,5-triphenylformazan and, consequently,  
270 modify its colour to red, indicating the cell viability (Moussa, Tayel, Al-Hassan, &  
271 Farouk, 2013). The absorbance was measured at 500 nm and the analysis were carried  
272 out in duplicate. A positive control containing 100  $\mu\text{L}$  of bacteria ( $10^6$  CFU  $\text{mL}^{-1}$ ) and  
273 10  $\mu\text{L}$  of ethanol, and a blank of the ethanolic extract (100  $\mu\text{L}$  of LB medium and 10  $\mu\text{L}$   
274 of MLE) were also measured to determine the percentage of growth inhibition,  
275 according to the following equation:

$$\text{Growth inhibition (\%)} = \left(1 - \frac{Abs_i}{Abs_0}\right) \times 100$$

276 where  $Abs_i$  is the absorbance of the samples and  $Abs_0$  is the absorbance of the positive  
277 control.

278 On the other hand, the antimicrobial activity of the NFC/MLE-based films was  
279 tested for the NFC/MLE\_30 and NFC/MLE\_SSI\_100-55 films. In each sample, 25 mg  
280 of impregnated films were introduced in sterilized tubes with LB broth medium and left  
281 for 24 h at 37 °C to promote the diffusion of the MLE compounds from the films into  
282 the media. Then, samples were inoculated to achieve a cell concentration of  $10^6$  CFU  
283  $\text{mL}^{-1}$  and were incubated for 24 h at 37 °C. A positive control with pure NFC was also  
284 carried out to consider any interference of the matrix in the measurements. After the  
285 incubation period, the cell concentration in each tube was determined by a McFarland

286 calibration curve carried out with 0.5 to 4 McFarland standards ( $C = 14.445T -$   
287  $0.0962$ ,  $R^2 = 0.9989$ , where  $C$  is the cell concentration and  $T$  is turbidity). Then, the  
288 percentage of growth inhibition was determined by measuring the turbidity at 625 nm  
289 using a UV mini 1240 spectrophotometer (Shimadzu, Japan):

$$\text{Growth inhibition (\%)} = \left(1 - \frac{C_i}{C_0}\right) \times 100$$

290 where  $C_i$  is the cell concentration and  $C_0$  is the cell concentration in the medium without  
291 film. Analysis was done in duplicate.

292

### 293 2.5.11. Statistical analysis

294 Statistical significance was established at  $p < 0.05$  using a one-way variance  
295 analysis (ANOVA) and Tukey's test (GraphPad 7.0, GraphPad Software, San Diego,  
296 CA, USA).

297

## 298 3. Results and discussion

299 Biobased free-standing films composed of nanofibrillated cellulose (NFC) and  
300 mango leaf extract (MLE) were produced via supercritical solvent impregnation (SSI)  
301 and conventional solvent casting film-processing methodologies (Fig. 2). The  
302 hydrocolloid NFC was selected as the biopolymeric matrix owing to its high surface  
303 area and good mechanical performance (Klemm et al., 2018), whereas the MLE  
304 polyphenolic-rich extract was chosen for its bioactive properties, namely antioxidant  
305 and antimicrobial activities (Belizón et al., 2018; Fernández-Ponce et al., 2015, 2018;  
306 Sanchez-Sanchez, Fernández-Ponce, Casas, Mantell, & Martínez de la Ossa, 2017).  
307 Furthermore, glycerol was added to improve the handling and flexibility of the  
308 NFC/MLE-based films (*i.e.*, 200  $\mu\text{g}$  of glycerol *per*  $\text{cm}^2$  of film), given its GRAS



309 (generally recognized as safe) classification by the FDA (Food and Drug  
310 Administration, USA), and thus suitable for food applications.

311 The SSI methodology was picked for its effectiveness to impregnate solid  
312 materials, even with thermolabile solutes, since this high diffusion method operates  
313 under low temperatures and originates free-solvent materials (Rojas et al., 2020). The  
314 MLE was used in excess, the depressurization rate was selected as  $10 \text{ bar min}^{-1}$ , and the  
315 studied pressure and temperature conditions correspond to an experimental design  $2^2$ ,  
316 using 100 and 400 bar, and 35 and 55 °C, based on former studies (Belizón et al., 2018;  
317 Cejudo Bastante, Cran, et al., 2019). Furthermore, the impregnation yields for those  
318 conditions of pressure and temperature are quite high as will be later discussed. For  
319 comparison, a pure NFC film and three NFC/MLE films with distinct MLE contents  
320 (10, 20 and 30% (w/w) relative to NFC), were prepared following the conventional  
321 solvent casting methodology (Fig. 2). As far as we know, this is the first time that NFC  
322 films have been enriched with natural extracts, namely mango leaf extract (obtained by  
323 supercritical  $\text{CO}_2$  extraction), by comparing two different film-processing techniques,  
324 for potential application in active food packaging.

325 The homogenous appearance and straw colour of the NFC/MLE-based films  
326 prepared by both methodologies is depicted in Fig. 2. According to Table 1, the  
327 thickness values ranged from  $17 \pm 1 \mu\text{m}$  for the pure NFC film to  $18 \pm 1 \mu\text{m}$  for the films  
328 prepared by solvent casting and  $19 \pm 1 \mu\text{m}$  for those fabricated by the SSI methodology.  
329 All NFC/MLE-based films were characterized regarding structure, microstructure,  
330 optical properties, thermal stability, mechanical performance, antioxidant capacity and  
331 antimicrobial activity.

332

333 *3.1. Structure and morphology*

334 The structure of the NFC/MLE-based films was studied by infrared spectroscopy  
335 (Fig. 3). According to the FTIR-ATR spectra shown in Fig. 3, the pure NFC film is  
336 characterized by the absorption bands of a cellulosic substrate at around  $3337\text{ cm}^{-1}$   
337 (vibration of the OH groups),  $2898\text{ cm}^{-1}$  (C–H stretching vibrations of CH and CH<sub>2</sub>  
338 groups),  $1159\text{ cm}^{-1}$  (antisymmetric stretching vibration of the C–O–C glycosidic  
339 bonds), and those at  $1104$ ,  $1052$  and  $1029\text{ cm}^{-1}$  (stretching vibrations of the C–O bond  
340 of carbons 2, 3 and 6) (Foster et al., 2018). On the other hand, the spectrum of the  
341 extract (Fig. 3a) presents the characteristic absorption bands of the functional groups of  
342 phenolic compounds, the major components of MLE (gallic acid, quercetin,  
343 iriflophenone 3-C- $\beta$ -D-glucoside and mangiferin, Fig. 2), namely at about  $3325\text{ cm}^{-1}$   
344 (O–H stretching),  $1704\text{ cm}^{-1}$  (C=O stretching),  $1608\text{ cm}^{-1}$  (C=C the stretching of the  
345 aromatic rings) and  $1043\text{ cm}^{-1}$  (C–O stretching) (Samari, Salehipoor, Eftekhari, &  
346 Yousefinejad, 2018).

347 Predictably, the spectra of the NFC/MLE-based films are very similar to that of the  
348 pure NFC, independently of the film-processing methodology, because of the low MLE  
349 content and the overlap of most of the absorption bands of MLE with those of NFC  
350 (Fig. 3a). Furthermore, there is no substantial difference in bands intensity neither on  
351 bands shifting, which is an indication that the NFC is merely a physical polymeric  
352 matrix that supports the extract. In the case of the casted films, this pattern was  
353 previously observed for other biopolymeric-based films containing natural extracts, like  
354 for example in the case of the bioactive chitosan-based films containing propolis extract  
355 (2.5, 5, 10 and 20% w/w) (Siripatrawan & Vitchayakitti, 2016) and pullulan-based films  
356 loaded with polyphenolic-rich extracts (1, 5 and 10% w/w) from chestnut spiny burs  
357 and roasted hazelnut skins (Esposito et al., 2020).

358 The microstructure of the NFC-based films was assessed by SEM as outlined in  
359 Fig. 4. The micrographs of the pure NFC film reveal a homogenous surface (Fig. 4a)  
360 and a blended mat of entangled nanofibers at the cross-sectional view (Fig. 4b), in  
361 accordance with literature (Foster et al., 2018; Moreirinha et al., 2020). When the  
362 extract is incorporated into the NFC matrix either by conventional solvent casting or  
363 SSI methodology, the surface (Fig. 4a) and cross-section (Fig. 4b) of the films remained  
364 almost unaltered with no visible clusters or agglomerates. A similar trend was reported  
365 for NFC porous materials obtained by supercritical impregnation of thymol, where the  
366 impregnation step had no influence on the microstructure of the ensuing materials  
367 (Darpentigny et al., 2020). Furthermore, the cross-sectional micrographs (Fig. 4b) of the  
368 NFC/MLE-based films fabricated by both methodologies validate the thickness values  
369 listed in Table 1.

370

### 371 3.2. Optical properties

372 The optical properties of the NFC/MLE-based films were studied regarding their  
373 colour parameters and light barrier performance (Fig. 5). According to the CIELab  
374 parameters in Fig. 5a, the pure NFC film, with a greenness/redness ( $a^*$ ) value of  
375  $1.10 \pm 0.02$  and blueness/yellowness ( $b^*$ ) value of  $-10.45 \pm 0.14$ , is placed in a different  
376 quadrant from all the NFC/MLE-based films, which implies that the MLE, even present  
377 in small amounts, contributed to the modification of the colour parameters of the NFC  
378 films. A comparable tendency was reported for other polysaccharide-based films  
379 (prepared via solvent casting) in which the incorporation of, for instance, phenolic  
380 compounds affected the chromatic parameters ( $a^*$  and  $b^*$ ) of the resultant films  
381 (Esposito et al., 2020; Siripatrawan & Vitchayakitti, 2016; Vilela et al., 2017).

382 Additionally, the CIELab parameters of the NFC/MLE-based films prepared by the  
383 SSI methodology are different from those produced by the conventional solvent casting  
384 technique (Fig. 5a), probably due to their different phenolic composition as will be  
385 discussed in section 3.5. Despite the negative contribution to the  $a^*$  parameter (green) in  
386 the films produced by the two methodologies, the contribution of the  $b^*$  parameter is  
387 negative (blue) for the films fabricated via SSI and impregnated at 35 °C (*i.e.*,  
388 NFC/MLE\_SSI\_100-35 and NFC/MLE\_SSI\_400-35), and positive (yellow) for the  
389 other two SSI films impregnated at 55 °C (*i.e.*, NFC/MLE\_SSI\_100-55 and  
390 NFC/MLE\_SSI\_400-55), and for the three films prepared via solvent casting (*i.e.*,  
391 NFC/MLE\_10, NFC/MLE\_20 and NFC/MLE\_30). Besides, the positive contribution of  
392 parameter  $b^*$  (yellow) increased with the increasing content of MLE in the films  
393 subjected to casting. In practice, these colour parameters (Fig. 5a) point to films with  
394 pale yellowish/greenish colour, which is in harmony with the photographs of the films  
395 shown in Fig. 2. Additionally, the lightness ( $L^*$ ) of the NFC/MLE-based films  
396 decreased with the inclusion of the MLE from  $92.09\pm 0.07$  for the pure NFC to  
397  $85.62\pm 1.62$  for the NFC/MLE\_SSI\_100-55. In the case of the films fabricated by  
398 conventional solvent casting, the  $L^*$  parameter decreased with the increasing content of  
399 MLE from  $89.46\pm 0.01$  for NFC/MLE\_10 to  $86.52\pm 0.40$  for NFC/MLE\_30 (Fig. 5a). In  
400 regard to the films fabricated by SSI technique, the NFC/MLE\_SSI\_100-35 is film  
401 exhibiting the larger value of lightness ( $89.07\pm 0.85$ ), while the NFC/MLE\_SSI\_100-55  
402 presents the lower value ( $85.62\pm 1.62$ ).

403 Concerning the total colour difference ( $\Delta E$ ), the NFC/MLE-based films exhibited,  
404 as expected, different values depending on the methodology. While the conventional  
405 solvent casting originated films with  $\Delta E$  values of  $14.8\pm 0.1$  (NFC/MLE\_10),  $19.1\pm 0.5$   
406 (NFC/MLE\_20) and  $22.2\pm 0.7$  (NFC/MLE\_30), the SSI technique led to films with  $\Delta E$

407 values of  $9.7\pm 1.0$  (NFC/MLE\_SSI\_100-35),  $10.8\pm 0.8$  (NFC/MLE\_SSI\_400-35),  
408  $11.5\pm 1.6$  (NFC/MLE\_SSI\_400-55) and  $19.5\pm 1.9$  (NFC/MLE\_SSI\_100-55). All these  
409  $\Delta E$  values indicate a perceptible difference in colour (Mokrzycki & Tatol, 2011), which  
410 increased with increasing amount of MLE.

411 Comprehensibly, the colour parameters will persuade the consumers acceptability  
412 towards materials for food packaging (Spence & Velasco, 2018); still, the straw colour  
413 of the NFC/MLE-based films fabricated via supercritical solvent impregnation and  
414 conventional solvent casting should not be an issue, particularly when compared with  
415 the colour of some commercially available packages, like for instance the kraft paper  
416 bags known for their brown kraft colour (Gominho, Lopes, Lourenço, Simões, &  
417 Pereira, 2014).

418 The light barrier properties of the NFC/MLE-based films was evaluated by UV-vis  
419 spectroscopy in the range of 200-700 nm. According to Fig. 5b, the UV-vis spectrum of  
420 the pure NFC film has minimal transmittance values for the full range of wavelengths  
421 that increased from *ca.* 4% at 200 nm to *ca.* 30% at 700 nm (Pinto et al., 2020). Quite  
422 the opposite, the spectra of MLE at different concentrations ( $50$ ,  $100$  and  $150 \mu\text{g mL}^{-1}$ )  
423 has low transmittance values in the ultraviolet range but quite high transmittance values  
424 in the visible range (400–700 nm). Predictably, the transmittance decreased with the  
425 increasing concentration of MLE from  $50$  to  $150 \mu\text{g mL}^{-1}$  (Fig. 5b).

426 When the MLE is loaded into the NFC film, the ultraviolet blocking is enhanced  
427 particularly in the range of short-wavelength radiation ( $< 320$  nm), independently of the  
428 film-processing methodology (Fig. 5c,d). Other studies also reported the increment in  
429 the UV-barrier properties when natural extracts are added to biopolymeric matrices.  
430 Examples include pullulan-based films containing polyphenolic-rich extracts from  
431 chestnut spiny burs and roasted hazelnut skins (Esposito et al., 2020) and chitosan-

432 based films containing mycosporines extracts of marine organisms (Fernandes et al.,  
433 2015).

434 Another interesting feature is the fact that the three films prepared by conventional  
435 solvent casting exhibit lower transmittance values than the pure NFC film for the full  
436 range of wavelengths (Fig. 5c), whereas the films prepared by SSI methodology present  
437 lower transmittance values in the ultraviolet range but similar or higher transmittance  
438 values in the visible range (Fig. 5d). Once more this is probably due to their distinct  
439 phenolic composition as will be discussed in section 3.5. Overall, the UV-barrier  
440 properties of the NFC/MLE-based films fabricated by both film-processing  
441 methodologies are superior to those described, for instance, for the bioactive pullulan-  
442 based films containing lysozyme nanofibrils (Silva et al., 2018), chitosan/poly(vinyl  
443 alcohol) loaded with lignin nanoparticles (Yang et al., 2016), and chitosan-based films  
444 containing ellagic acid (Vilela et al., 2017). In light of the UV-vis data, the low  
445 transmittance values of the NFC/MLE-based films vindicate their potential to absorb  
446 UV-radiation and, thus, can be labelled as ultraviolet absorbers (secondary or preventive  
447 antioxidants) with the ability to avert photo-oxidation of light-sensitive food (Carvalho  
448 et al., 2021; Vilela et al., 2018).

449

### 450 3.3. Thermal stability

451 The thermal stability of the NFC/MLE-based films under inert atmosphere was  
452 examined by TGA, and the corresponding data of the pure NFC and the NFC/MLE-  
453 based films are compiled in Table 2. The decomposition profile of the pure NFC film  
454 follows the typical one-step weight-loss with a maximum rate of decomposition at about  
455 356 °C and a final residue of *ca.* 16% (Pinto et al., 2020). The incorporation of the MLE  
456 in the NFC matrix did not have a deleterious effect on the thermal stability of the

457 ensuing films when compared with the pure NFC film. In fact, the thermal degradation  
458 profiles are quite analogous, as demonstrated by the similar initial and maximum rate  
459 decomposition temperatures shown in Table 2. Furthermore, the casted and SSI films  
460 exhibited a single-step weight-loss profile just like NFC, assigned to the pyrolysis of the  
461 cellulosic substrate (Yao et al., 2017), which is the main component of the films.

462 A comparable stability trend has been reported for other biopolymer-based films  
463 containing phytochemicals for application as active food packaging systems (Esposito  
464 et al., 2020; Missio et al., 2018; Vilela et al., 2017). The crucial point here is that these  
465 NFC/MLE-based films might stand the customary autoclaving sterilization at roughly  
466 120 °C, which is regularly an indispensable condition for materials in contact with food  
467 products. Worth mentioning is the fact that the films prepared via SSI might not even  
468 require a sterilization step, given that the supercritical CO<sub>2</sub> technology is being applied  
469 as an alternative sterilization process in materials used in several domains, including in  
470 food applications (Ribeiro et al., 2020).

471

#### 472 *3.4. Mechanical properties*

473 The mechanical properties of the casted and SSI impregnated NFC/MLE-based  
474 films were studied by tensile tests, and the parameters derived from the stress-strain  
475 curves, namely the Young's modulus, tensile strength, and elongation at break, are  
476 listed in Table 3. As one would expect, the pure NFC film exhibits a Young's modulus  
477 of  $4.71 \pm 0.45$  GPa, a tensile strength of  $59.7 \pm 3.9$  MPa and an elongation at break of  
478  $3.48 \pm 0.95\%$ , in line with data reported in literature (Pinto et al., 2020).

479 Regarding the NFC/MLE-based films, some differences were observed between the  
480 films prepared via conventional solvent casting and SSI methodologies (Table 3).  
481 Broadly speaking, all films became stiffer with the incorporation of the polyphenolic-

482 rich extract in the NFC matrix. On one hand, the Young's modulus of the casted films  
483 increased with increasing MLE content, as opposed to the unclear effect or tendency on  
484 that parameter in the case of the SSI impregnated films at different pressure and  
485 temperature conditions. As specified in Table 3, the Young's modulus values of the  
486 casted films are slightly higher than that of the pure NFC film, increasing from  
487  $4.98\pm 0.61$  GPa for NFC/MLE<sub>10</sub> to  $5.64\pm 0.61$  GPa for NFC/MLE<sub>30</sub>, and,  
488 concomitantly, the elongation at break, although already low, slightly decreased from  
489  $2.82\pm 0.93\%$  for NFC/MLE<sub>10</sub> to  $2.28\pm 0.65\%$  for NFC/MLE<sub>30</sub>. An equivalent  
490 tendency was reported for bioactive pullulan-based films loaded with polyphenolic-rich  
491 extracts from chestnut spiny burs and roasted hazelnut skins (Esposito et al., 2020).

492 In the case of the films fabricated by SSI, the Young's modulus values are also  
493 higher than the pure NFC film, while the tensile strength and elongation at break were  
494 marginally affected by the presence of MLE (Table 3). This trend is the opposite of that  
495 reported for thermoplastic films composed of poly(lactic acid)/poly( $\epsilon$ -caprolactone)  
496 loaded with thymol and/or carvacrol, where the Young's modulus and tensile strength  
497 decreased and the elongation at break increased after the supercritical solvent  
498 impregnation with thymol and/or carvacrol, which worked as a plasticizer (Lukic, Vulic,  
499 & Ivanovic, 2020). Nevertheless, in the present study, the impregnation of NFC with  
500 MLE via SSI originated stiffer films with, for example, the NFC/MLE\_SSI<sub>400-55</sub> film  
501 reaching a Young's modulus of  $5.70\pm 0.21$  GPa, a tensile strength of  $59.4\pm 3.2$  MPa and  
502 an elongation at break of  $3.64\pm 0.91\%$ .

503 Another interesting feature of the films fabricated by the SSI methodology is that,  
504 according to literature, glycerol can be partially solubilized in the supercritical phase  
505 and removed after depressurization at lower pressures (Medina-Gonzalez, Tassaing,  
506 Camy, & Condoret, 2013), originating a less elastic film. Nevertheless, this is not patent



507 in the NFC/MLE-based films fabricated herein by the SSI methodology since the values  
508 of Young's modulus and elongation at break are all in the same range (Table 3).

509 Altogether, the mechanical data attest the good mechanical performance of the  
510 NFC/MLE-based films, thereby showing that they will probably resist the mechanical  
511 pressure to which food packages are susceptible during handling, storage, and  
512 transportation, just like the commercially available synthetic polymer materials (Silva et  
513 al., 2020).

514

### 515 3.5. Antioxidant activity

516 The *in vitro* antioxidant activity of the NFC/MLE-based films was determined by  
517 the DPPH radical scavenging assay, and the data are compiled in Fig. 6a-c. Not  
518 surprisingly, the pure NFC film has no antioxidant activity given the absence of  
519 chemical moieties fitted to function as free-radical scavengers (Moreirinha et al., 2020),  
520 as opposed to the elevated antioxidant potential of the MLE polyphenolic-rich extract  
521 obtained via supercritical-assisted extraction. In fact, the reaction between the DPPH  
522 radical and the pure extract (MLE concentrations of the casted films: 50, 100 and 150  
523  $\mu\text{g mL}^{-1}$ ) is immediate, reaching inhibition percentages of  $55.4\pm 1.7\%$  for the MLE at a  
524 concentration of  $50 \mu\text{g mL}^{-1}$  and  $86.6\pm 0.5\%$  for the MLE at a concentration of  $150 \mu\text{g}$   
525  $\text{mL}^{-1}$ , just after 1 h (Fig. 6a). These results are along the line of the data reported in  
526 previous studies (Belizón et al., 2018; Fernández-Ponce et al., 2018; Sanchez-Sanchez  
527 et al., 2017).

528 Regarding the NFC/MLE-based films, all exhibit some level of antioxidant activity  
529 but with visible differences between the films prepared via conventional solvent casting  
530 (Fig. 6b) and SSI (Fig. 6c) methodologies. In the case of the casted films, the  
531 antioxidant activity increased with the augment of the MLE concentration (50, 100 and

532 150  $\mu\text{g mL}^{-1}$ ), which is a common trend among other bioactive polysaccharide-based  
533 films (Silva et al., 2018; Vilela et al., 2017). Although the antioxidant activity of the  
534 casted films followed the same pattern as the crude extract (*i.e.*, increased with the  
535 increasing content of MLE), when the MLE content is lower, namely for NFC/MLE\_10  
536 and NFC/MLE\_20, a higher diffusion period is required to reach similar inhibition  
537 percentages as those found for the pure MLE. Still, the NFC/MLE\_30 film was able to  
538 achieve comparable results as those found in the pure MLE, with a maximum inhibition  
539 percentage of  $85.3\pm 1.2\%$  after 24 h. This slow release of MLE from the films with the  
540 lower extract content (*i.e.*, NFC/MLE\_10 and NFC/MLE\_20) is most likely linked with  
541 diffusion issues. Actually, the NFC matrix is not water soluble and only disintegrate  
542 under vigorous stirring conditions and, hence, it might slow down the MLE diffusion or  
543 migration.

544 In the case of the SSI films, all four films exhibit higher inhibition percentages than  
545 the NFC/MLE\_10 and NFC/MLE\_20 casted films, but comparable inhibition  
546 percentages to the NFC/MLE\_30 casted film, except for the NFC/MLE\_SSI-100-35.  
547 Furthermore, a faster initial migration (*i.e.* higher initial slope) is also observed for the  
548 SSI films, especially for the films impregnated at 35 °C, which might refer to the lower  
549 impact of diffusion issues. This might point to surface deposition/adsorption as the main  
550 mechanism for extract incorporation (Rojas et al., 2020). So, if MLE is mostly adsorbed  
551 on the surface of the NFC matrix, the migration will be faster (no diffusion issues as for  
552 the SSI films) and, therefore, the antioxidant activity will be higher and rapidly reached.  
553 Moreover, this higher concentration of MLE at the surface of the SSI films might also  
554 contribute to the differences in the colour parameters and UV-vis barrier properties  
555 between the films prepared by the two film-processing methodologies.

556 As reported in literature, the antioxidant activity of the mango leaf extract, obtained  
557 by supercritical CO<sub>2</sub>-assisted extraction, is ascribed to its phenolic composition, with  
558 gallic acid, iriflophenone 3-C-β-D-glucoside, quercetin, and mangiferin as the main  
559 components (Belizón et al., 2018; Fernández-Ponce et al., 2015, 2018). Therefore, the  
560 composition regarding these four most abundant polyphenols was evaluated for the  
561 NFC/MLE\_30 casted film, and for the four SSI films, namely NFC/MLE\_SSI\_100-35,  
562 NFC/MLE\_SSI\_100-55, NFC/MLE\_SSI\_400-35 and NFC/MLE\_SSI\_400-55.  
563 According to Fig. 6d, the abundance of the polyphenols was higher for all the  
564 impregnated films than for the NFC/MLE\_30 casted film with just 5.62 mg of  
565 phytocompounds *per g* of film, as opposed to a total of 6.87 mg g<sup>-1</sup> for  
566 NFC/MLE\_SSI\_400-55, 6.97 mg g<sup>-1</sup> for NFC/MLE\_SSI\_100-35, 7.72 mg g<sup>-1</sup> for  
567 NFC/MLE\_SSI\_400-35 and 9.85 mg g<sup>-1</sup> for NFC/MLE\_SSI\_100-55. These differences  
568 clearly justify the higher antioxidant capacity of all SSI films (Fig. 6c), and, in  
569 particular, of the NFC/MLE\_SSI\_100-55 film that reached a maximum inhibition  
570 percentage of 83.9±9.5% after 24 h.

571 Another interesting aspect is the fact that, when analysing the abundance of the  
572 individual phenolic compounds, it is evident that the impregnation seems to exert some  
573 kind of selectivity on the content of the impregnated compounds into the NFC matrix.  
574 In fact, mangiferin and iriflophenone 3-C-β-D-glucoside, which are the most abundant  
575 compounds in MLE (Fernández-Ponce et al., 2015), were also the most abundant ones  
576 in the NFC/MLE\_30 casted film (Fig. 6d). On the other hand, the minority gallic acid is  
577 quite abundant in the SSI film impregnated at 100 bar and 55 °C conditions  
578 (NFC/MLE\_SSI-100-55). As regards of mangiferin and quercetin, the casted film  
579 (NFC/MLE\_30) presented a similar concentration to that of the SSI films, with  
580 temperature conditions having a negative and positive impact in the concentration of

581 mangiferin and quercetin, respectively. The most abundant compound is undeniably the  
582 iriflophenone 3-C- $\beta$ -d-glucoside, where the concentration in the impregnated films was  
583 significantly higher (from 3.23 mg g<sup>-1</sup> for NFC/MLE\_SSI-100-35 to 4.92 mg g<sup>-1</sup> for  
584 NFC/MLE\_SSI\_100-55) to that observed for the casted film (1.35 mg g<sup>-1</sup> for  
585 NFC/MLE\_30). Notably, the most convenient impregnation conditions were 100 bar  
586 and 55 °C, which offer the higher concentrations of gallic acid, iriflophenone 3-C- $\beta$ -D-  
587 glucoside and quercetin, and, concomitantly, the higher antioxidant activity.

588 On the basis of the antioxidant (Fig. 6c) and UV-barrier (Fig. 5d) properties of the  
589 NFC/MLE-based films fabricated by the SSI methodology, it is clear that they have  
590 potential as antioxidant food packaging systems to enhance the stability of oxidation-  
591 sensitive foods by acting, at the same time, as primary (or chain-breaking) and  
592 secondary (or preventive) antioxidant agents with the ability to avoid the occurrence of  
593 oxidation reactions (Carvalho et al., 2021; Vilela et al., 2018).

594

### 595 3.6. Antimicrobial activity

596 The analysis of the UV-barrier properties, mechanical performance, and antioxidant  
597 activity of the NFC/MLE-based films, motivated the choice of one film from each of the  
598 film-processing methodologies, namely NFC/MLE\_30 (5.62 mg of phytochemicals  
599 *per g* of film) and NFC/MLE\_SSI\_100-55 (9.85 mg of phytochemicals *per g* of film),  
600 to assess their *in vitro* antimicrobial activity against *S. aureus* and *E. coli* bacteria, *viz.*  
601 two prevailing pathogenic microorganisms responsible for food poisoning (Hennekinne,  
602 De Buyser, & Dragacci, 2012; Kadariya, Smith, & Thapaliya, 2014; S.-C. Yang, Lin,  
603 Aljuffali, & Fang, 2017).

604 In a first step, the growth inhibition of the crude MLE and the pure NFC against *S.*  
605 *aureus* and *E. coli* was evaluated after 24 h. As shown in Fig. 7a, the MLE inhibited the

606 growth of both gram-positive and gram-negative bacteria, reaching a growth inhibition  
607 of  $94.8\pm 3.7\%$  and  $99.4\pm 0.9\%$  against *S. aureus* and *E. coli*, respectively, at ca.  $42\ \mu\text{g}$   
608  $\text{mL}^{-1}$  of MLE. This is a major benefit since most polyphenolic-rich extracts exhibit  
609 antimicrobial activity against gram-positive bacteria, as opposed to the gram-negative  
610 bacteria whose killing mechanism is more difficult due to the higher structural  
611 complexity and low permeability of their membranes to phytochemicals (Band &  
612 Weiss, 2014; Masi, Réfregiers, Pos, & Pagès, 2017). Still, there are various examples of  
613 natural plant extracts with antimicrobial potential towards gram-negative foodborne  
614 bacteria (Santos, Martins, Pereira, Silvestre, & Rocha, 2019; S.-C. Yang et al., 2017).  
615 The values obtained here for the *E. coli* bacterium are consistent with those reported by  
616 Sanchez-Sanchez et al. who also assessed the MLE growth inhibition on *E. coli*  
617 (Sanchez-Sanchez et al., 2017). Unsurprisingly, the pure NFC film was not responsible  
618 for any bacterial inactivation of *S. aureus* and *E. coli*, as depicted in Fig. 7b, since this  
619 nanoscale form of cellulose is not an antimicrobial polymer (Darpentigny et al., 2020;  
620 Foster et al., 2018; Thomas et al., 2018).

621 In the next step, the growth inhibition of the NFC/MLE-based films against *S.*  
622 *aureus* and *E. coli* was also determined, and the differences on their antimicrobial  
623 activity towards both bacteria are depicted in Fig. 7b. While the NFC/MLE\_30 film  
624 presented a growth inhibition of  $54.7\pm 16.1\%$  against *S. aureus* and  $59.2\pm 13.5\%$  against  
625 *E. coli*, the NFC/MLE\_SSI\_100-55 film prepared via SSI achieved a growth inhibition  
626 of  $37.0\pm 11.1\%$  against *S. aureus* and  $90.9\pm 9.5\%$  against *E. coli* after 24 h. Therefore,  
627 the casted film (NFC/MLE\_30) has a similar growth inhibition against *S. aureus* and *E.*  
628 *coli* bacteria, contrary to the SSI film (NFC/MLE\_SSI\_100-55) that has a higher  
629 inhibitory effect towards *E. coli*. Just like in the case of the antioxidant activity, there  
630 seems to be some kind of hindrance in the migration of the MLE from the films (Fig.

631 7b) when compared with the activity of the crude extract (Fig. 7a). Still, the  
632 NFC/MLE\_SSI\_100-55 film has a good antimicrobial activity when compared with, for  
633 example, cellulose acetate films impregnated with thymol via SSI (Zizovic et al., 2018).

634 The antimicrobial potential of the SSI film (NFC/MLE\_SSI\_100-55) towards the  
635 gram-negative bacterium is most definitely credited to the amount and composition of  
636 the polyphenolic-rich extract present in the film. As discussed in the previous section,  
637 the NFC/MLE\_SSI\_100-55 film prepared via the SSI methodology is the one showing  
638 the higher content of phytochemicals with a total of *ca.* 9.9 mg *per g* of film (Fig. 6d),  
639 as well as the larger content of iriflophenone 3-C- $\beta$ -D-glucoside (*ca.* 4.9 mg *per g* of  
640 film), quercetin (*ca.* 2.2 mg *per g* of film) and gallic acid (*ca.* 1.0 mg *per g* of film).  
641 According to literature, *S. aureus* is more resistant to quercetin content than *E. coli* (Isa  
642 khan et al., 2020), while moringa extracts with a bigger content of gallic acid and  
643 quercetin promoted a higher *E. coli* and *S. aureus* inhibition, particularly significant in  
644 the growth inhibition of *E. coli* (Sharma, Wichaphon, & Klangpetch, 2020). On the  
645 grounds of these results, the NFC/MLE\_SSI\_100-55 film prepared via the SSI  
646 methodology has a great potential as antimicrobial additive for application within the  
647 framework of antimicrobial food packaging to inhibit the growth of pathogenic and/or  
648 spoilage microorganisms inducing food spoilage (Carvalho et al., 2021; Vilela et al.,  
649 2018).

650 The portfolio of biobased NFC/MLE-based films prepared in the present study  
651 benefit from a combination of distinct properties covering from UV-light protection and  
652 adequate mechanical and thermal properties to antioxidant and antimicrobial activities,  
653 which are customizable according to the MLE content and the film-processing  
654 methodology. These features or characteristics are apposite in the context of active food  
655 packaging since the materials are expected (i) to act as an inert barrier to external

656 conditions, and (ii) to disclose barrier properties against UV-radiation and antioxidant  
657 activity to forestall the risk of photo-oxidation of light-sensitive foods, and  
658 antimicrobial activity against pathogenic and/or spoilage microorganisms to reduce or  
659 avoid foodborne illness and food spoilage (Carvalho et al., 2021; Vilela et al., 2018).

660

#### 661 **4. Conclusions**

662 The inclusion of bioactive additives into packaging materials has shown great  
663 potential as a valid practice in extending the shelf life of food products. Herein, free-  
664 standing robust films composed of nanocellulose and mango leaf extract were  
665 manufactured via supercritical solvent impregnation and compared with films prepared  
666 by the conventional solvent casting methodology. The CO<sub>2</sub>-assisted impregnation of  
667 NFC with MLE formed films with thermal stability up to 250 °C, good mechanical  
668 performance (Young's modulus > 4.7 GPa), UV-light barrier properties, antioxidant  
669 capacity (inhibition percentage  $\approx$  84%) and antimicrobial activity against  
670 *Staphylococcus aureus* (maximum growth inhibition  $\approx$  55%) and *Escherichia coli*  
671 (maximum growth inhibition  $\approx$  91%). The comparison of the NFC/MLE films prepared  
672 by SSI with those produced via conventional solvent casting film-processing  
673 methodology shows a clear benefit of the innovative SSI in terms of bioactive  
674 properties. In fact, the antioxidant and antimicrobial activities are clearly enhanced in  
675 the films fabricated by the CO<sub>2</sub>-assisted impregnation of NFC with MLE, whilst the  
676 slight improvement of the mechanical performance of the films prepared by SSI. Hence,  
677 these outcomes attest the potential performance of the NFC/MLE films fabricated by  
678 SSI as UV-blocking, antioxidant, and antimicrobial materials for application as eco-  
679 friendly robust active food packaging systems.

680

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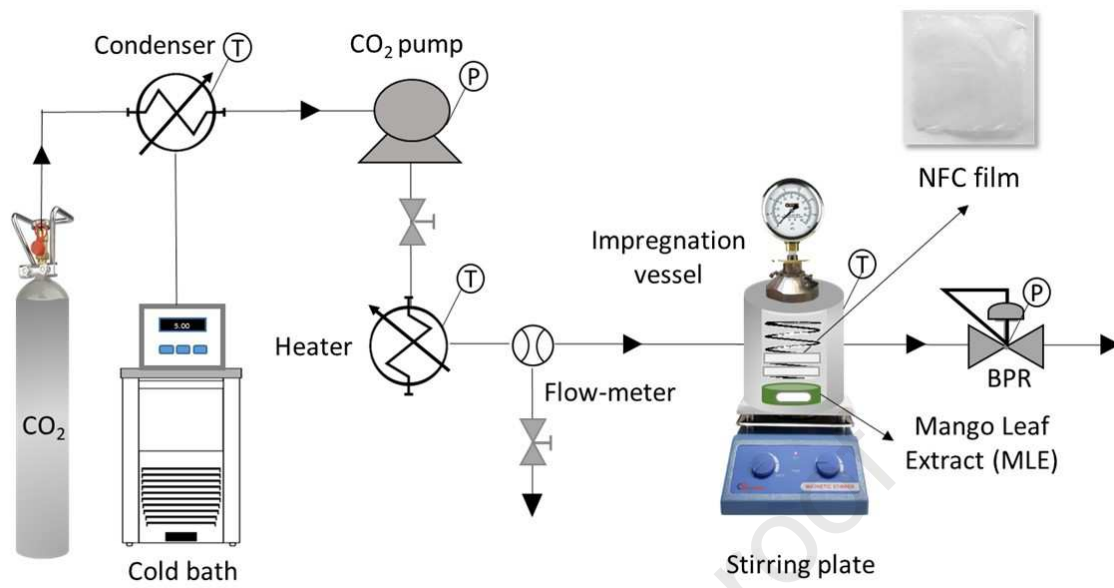
931 **Figure Captions**

932

933 **Fig. 1.** Scheme of the supercritical solvent impregnation (SSI) set-up.934 **Fig. 2.** Scheme illustrating the fabrication of the biobased NFC/MLE-based films (10  
935 wt.% of glycerol) via solvent casting and SSI film-processing methodologies.936 **Fig. 3.** FTIR-ATR spectra (vibrational mode:  $\nu$  = stretching) of the pure NFC film, the  
937 crude MLE, and the NFC/MLE-based films prepared via solvent casting (a) and SSI (b)  
938 film-processing methodologies.939 **Fig. 4.** SEM micrographs of the (a) surface and (b) cross-section of the NFC-based  
940 films.941 **Fig. 5.** (a) CIELab coordinates of the NFC-based films prepared by solvent casting and  
942 SSI film-processing methodologies, (b-d) UV-Vis spectra of (b) NFC and MLE at  
943 different concentrations, and NFC-based films prepared via (c) solvent casting and (d)  
944 SSI film-processing methodologies.945 **Fig. 6.** Antioxidant capacity of the (a) pure extracts, and the NFC-based films prepared  
946 via (b) solvent casting and (c) SSI film-processing methodologies, and (d) summary of  
947 the phenolic composition, in terms of gallic acid, iriflophenone 3-C- $\beta$ -D-glucoside,  
948 quercetin, and mangiferin, of the NFC/MLE-based films obtained by solvent casting  
949 and SSI film-processing methodologies (the standard deviation in the duplicates was  
950 lower than 5%).951 **Fig. 7.** Antimicrobial activity against gram-positive (*S. aureus*) and gram-negative (*E.*  
952 *coli*) bacteria of the (a) pure MLE and (b) the NFC, NFC/MLE\_30 and  
953 NFC/MLE\_SSI\_100-55 films.

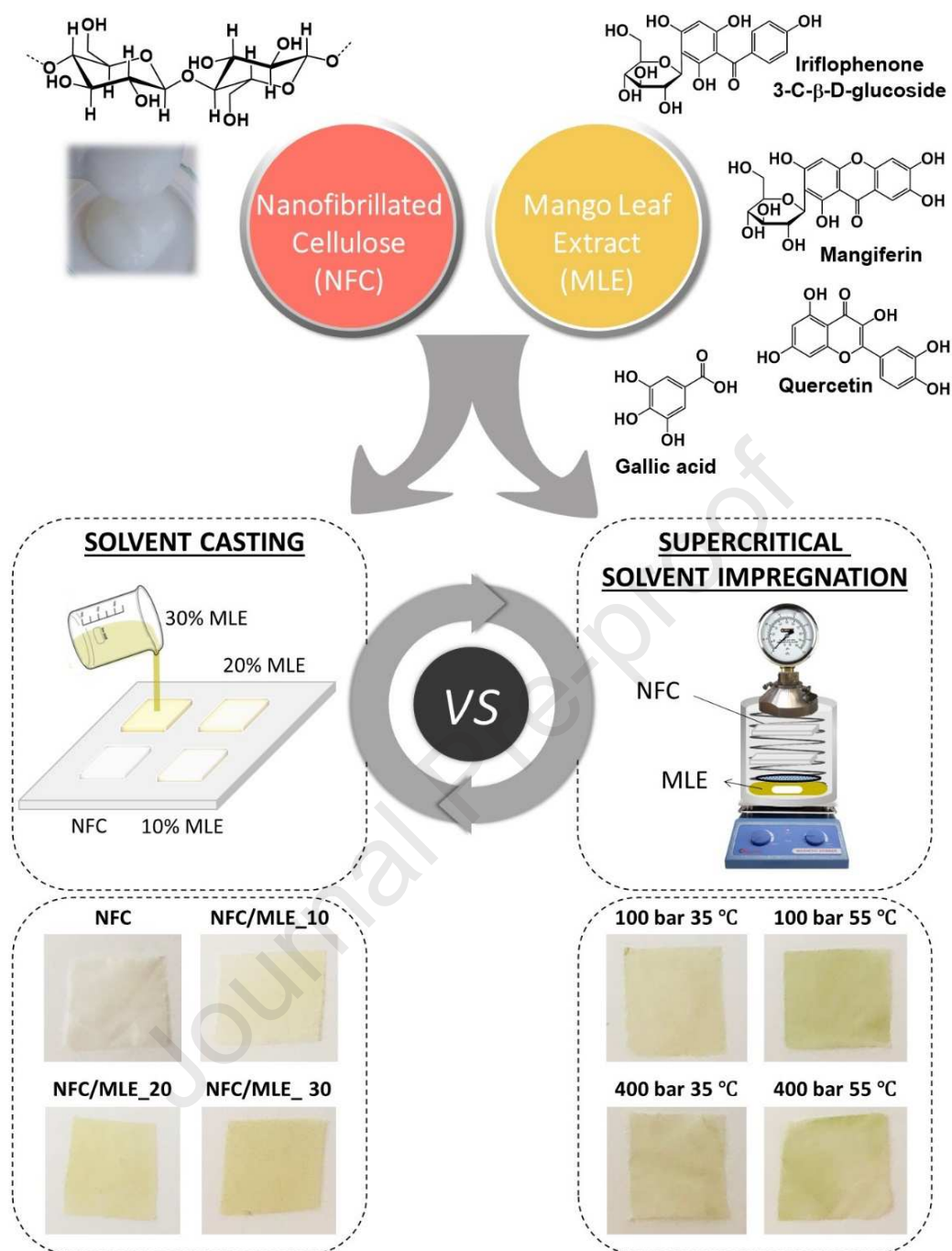
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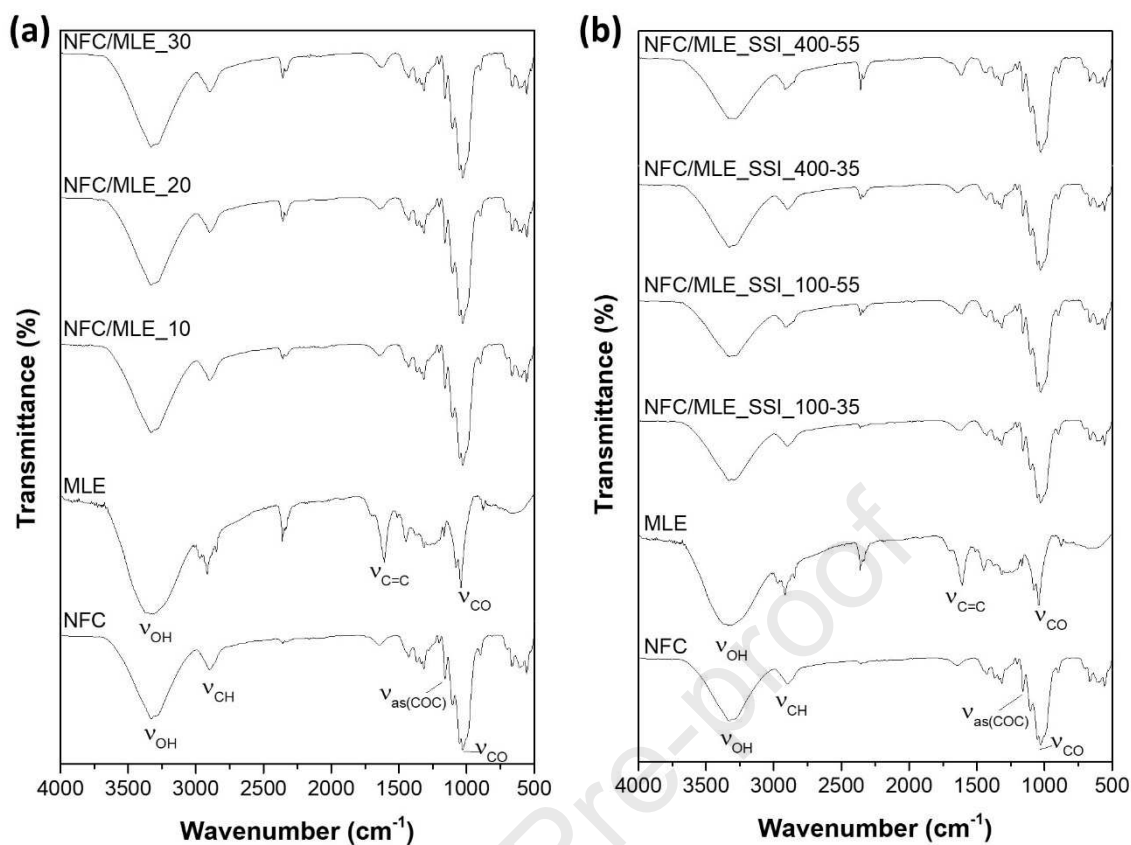
956 **Figures**957 **Fig. 1.** Scheme of the supercritical solvent impregnation (SSI) set-up.

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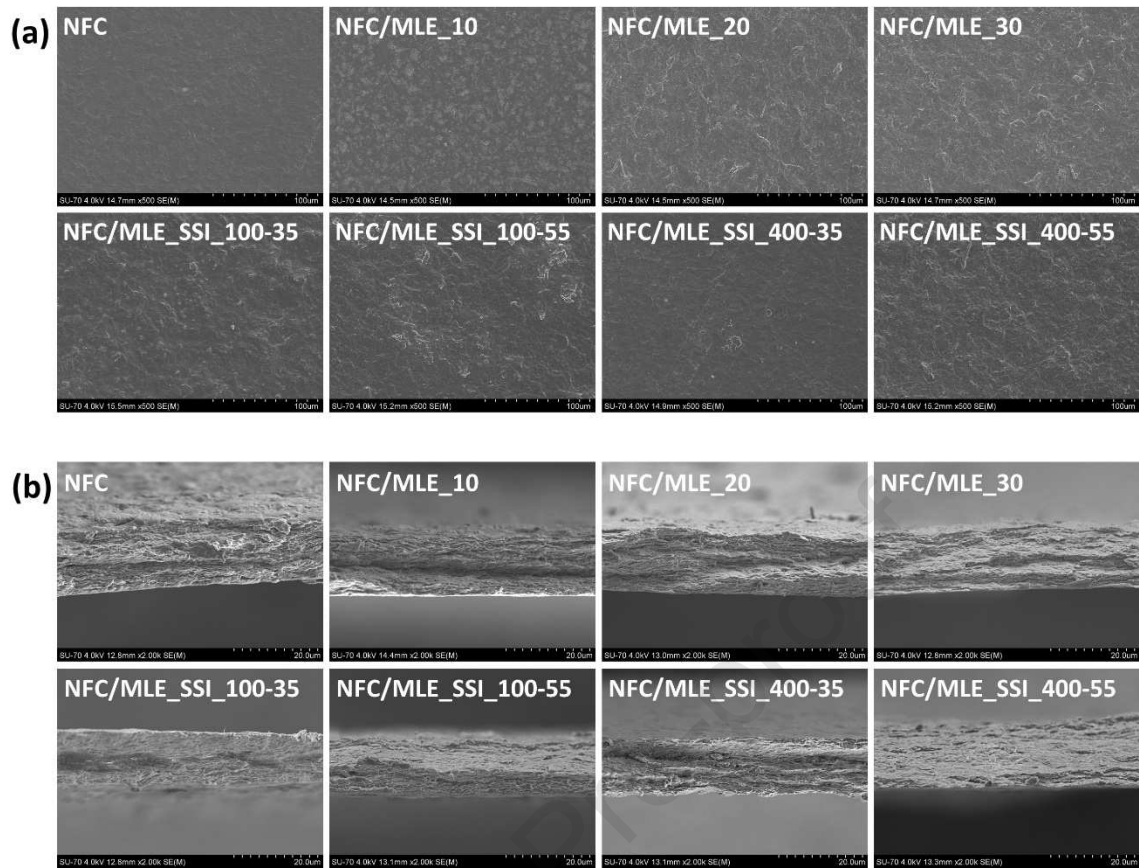
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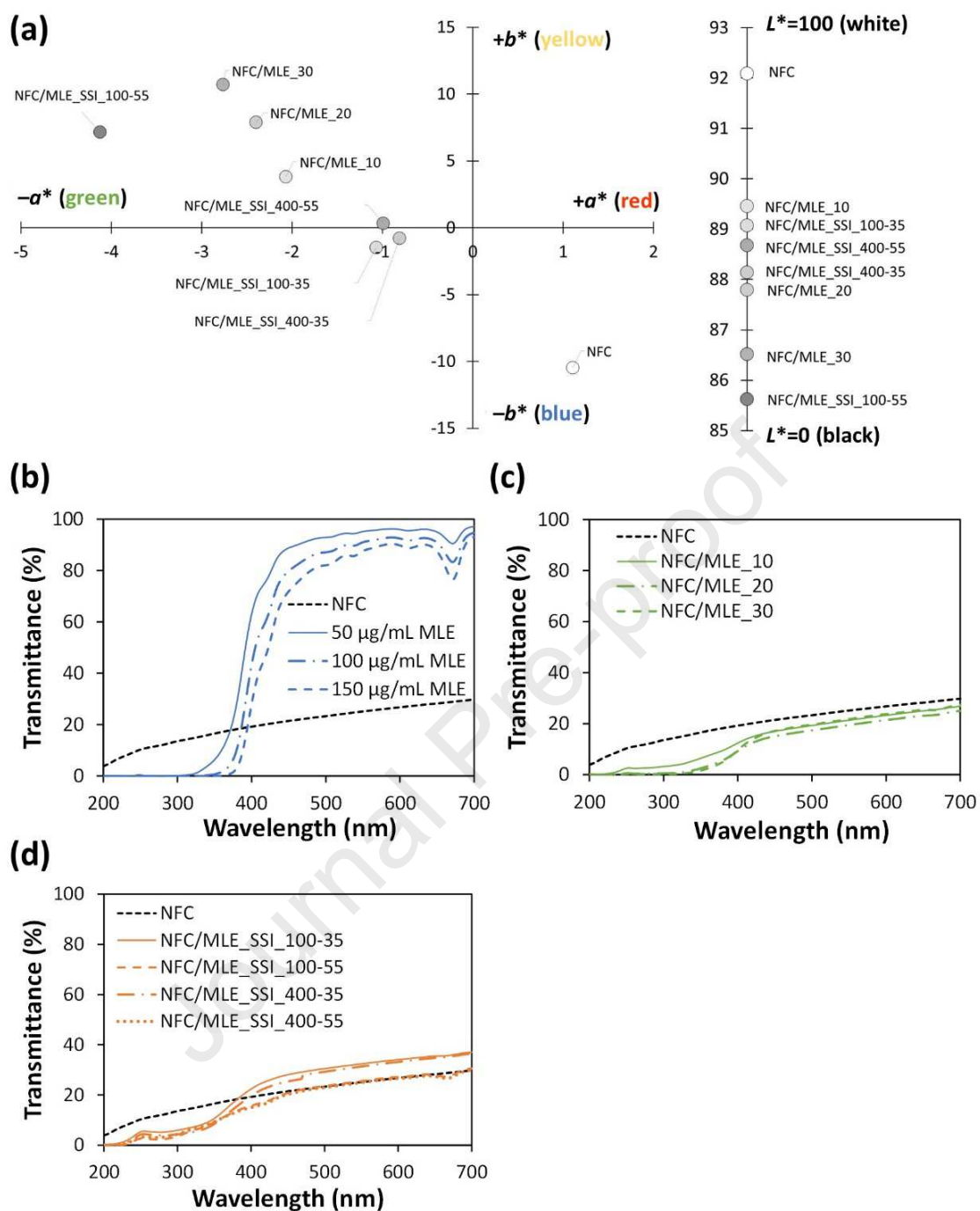
960 **Fig. 2.** Scheme illustrating the fabrication of the biobased NFC/MLE-based films (10  
 961 wt.% of glycerol) via solvent casting and SSI film-processing methodologies.



962 **Fig. 3.** FTIR-ATR spectra (vibrational mode:  $\nu$  = stretching) of the pure NFC film, the  
 963 crude MLE, and the NFC/MLE-based films prepared via solvent casting (a) and SSI (b)  
 964 film-processing methodologies.

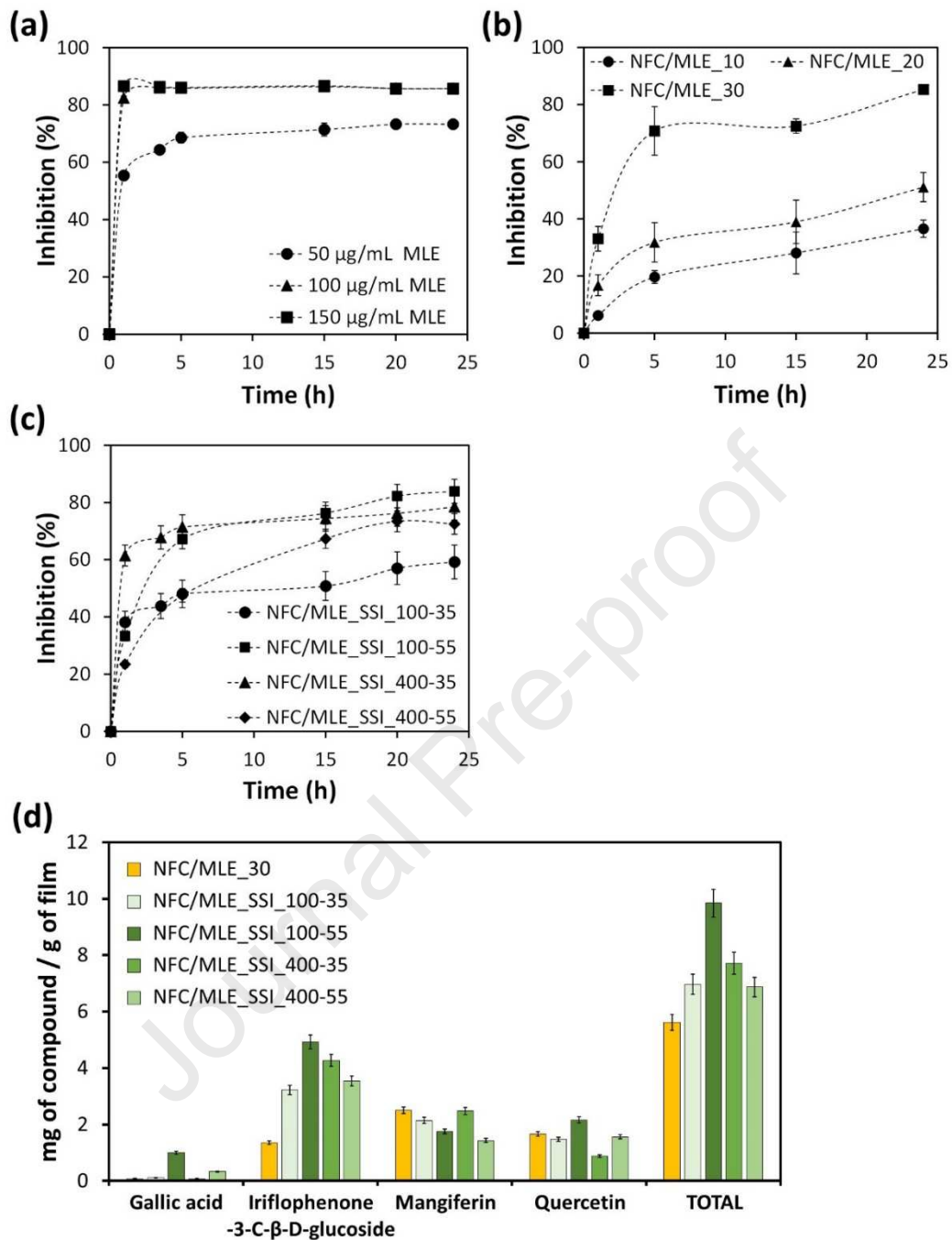


965 **Fig. 4.** SEM micrographs of the (a) surface and (b) cross-section of the NFC-based  
966 films.



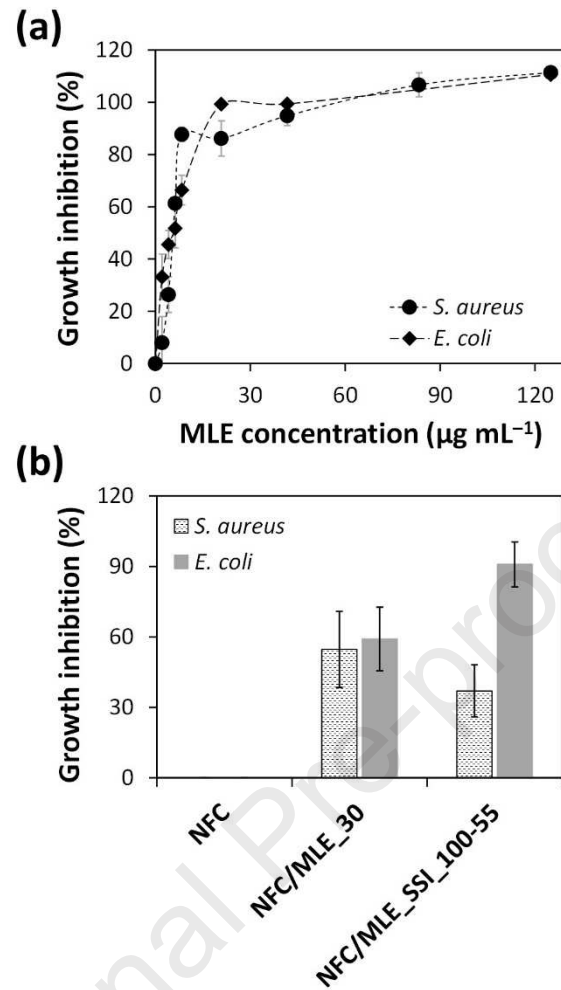
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 970 SSI film-processing methodologies.





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 973 the phenolic composition, in terms of gallic acid, iriflophenone 3-C-β-D-glucoside,  
 974 quercetin, and mangiferin, of the NFC/MLE-based films obtained by solvent casting  
 975 and SSI film-processing methodologies (the standard deviation in the duplicates was  
 976 lower than 5%).





977 **Fig. 7.** Antimicrobial activity against gram-positive (*S. aureus*) and gram-negative (*E.*  
 978 *coli*) bacteria of the (a) pure MLE and (b) the NFC, NFC/MLE<sub>30</sub> and  
 979 NFC/MLE<sub>SSI\_100-55</sub> films.

980

981 **Tables**

982

983 **Table 1.** List of the NFC-based films fabricated via solvent casting and SSI, with the

984 corresponding composition and thickness values.

	<b>Films</b>	<b>Mass of NFC (mg)</b>	<b>Volume of MLE (mL)<sup>1</sup></b>	<b>Mass of MLE (mg)</b>	<b>Thickness (<math>\mu\text{m}</math>)</b>
<b>CASTING</b>	NFC	50	–	–	17 $\pm$ 1
	NFC/MLE_10	50	0.090	4.95	18 $\pm$ 1
	NFC/MLE_20	50	0.180	9.90	18 $\pm$ 1
	NFC/MLE_30	50	0.270	14.85	18 $\pm$ 1
<b>SSI</b>	NFC/MLE_SSI_100-35	50	5.0	275.0	19 $\pm$ 1
	NFC/MLE_SSI_100-55	50	5.0	275.0	19 $\pm$ 1
	NFC/MLE_SSI_400-35	50	5.0	275.0	19 $\pm$ 1
	NFC/MLE_SSI_400-55	50	5.0	275.0	19 $\pm$ 1

985 <sup>1</sup> the concentration of the MLE ethanolic solution is 55 g L<sup>-1</sup>, and the 5.0 mL was used986 for the impregnation of two NFC films (5 $\times$ 5 cm<sup>2</sup> each) films.

987 **Table 2.** TGA data of the NFC-based films prepared via (a) solvent casting and (b) SSI  
 988 film-processing methodologies.

	<b>Films</b>	$T_{di}$ (°C)	$T_{dmax}$ (°C)	<b>Final residue (%)</b>
	NFC	285	356	15.6
<b>CASTING</b>	NFC/MLE_10	273	350	12.9
	NFC/MLE_20	275	350	14.0
	NFC/MLE_30	272	351	15.4
	NFC/MLE_SSI_100-35	259	343	19.6
<b>SSI</b>	NFC/MLE_SSI_100-55	263	346	17.7
	NFC/MLE_SSI_400-35	265	346	16.3
	NFC/MLE_SSI_400-55	265	348	15.2

989 **Table 3.** Young's modulus, tensile strength, and elongation at break of the NFC-based  
 990 films prepared via solvent casting and SSI film-processing methodologies.\*

	<b>Films</b>	<b>Young's Modulus (GPa)</b>	<b>Tensile strength (MPa)</b>	<b>Elongation at break (%)</b>
<b>CASTING</b>	NFC	4.71 ± 0.45 <sup>a</sup>	59.7 ± 3.9 <sup>a</sup>	3.48 ± 0.95 <sup>a</sup>
	NFC/MLE_10	4.98 ± 0.61 <sup>a</sup>	50.2 ± 4.5 <sup>b</sup>	2.82 ± 0.93 <sup>b</sup>
	NFC/MLE_20	5.46 ± 0.57 <sup>c</sup>	60.8 ± 8.4 <sup>a</sup>	2.51 ± 0.69 <sup>b</sup>
	NFC/MLE_30	5.64 ± 0.61 <sup>d</sup>	60.4 ± 7.4 <sup>a</sup>	2.28 ± 0.65 <sup>c</sup>
<b>SSI</b>	NFC/MLE_SSI_100-35	5.12 ± 0.22 <sup>b</sup>	52.1 ± 2.3 <sup>b</sup>	3.46 ± 0.65 <sup>a</sup>
	NFC/MLE_SSI_100-55	5.02 ± 0.52 <sup>a</sup>	57.2 ± 3.9 <sup>a</sup>	3.28 ± 0.94 <sup>a</sup>
	NFC/MLE_SSI_400-35	5.43 ± 0.12 <sup>c</sup>	58.3 ± 4.6 <sup>a</sup>	3.36 ± 0.73 <sup>a</sup>
	NFC/MLE_SSI_400-55	5.70 ± 0.21 <sup>d</sup>	59.4 ± 3.2 <sup>a</sup>	3.64 ± 0.91 <sup>a</sup>

991 \* All values are expressed as means ± SD and the values in the same column followed  
 992 by distinct letters (a,b,c,d) are significantly different ( $p < 0.05$ ) as determined from the  
 993 statistical analysis.

**Highlights**

- Nanobrillated cellulose (NFC) films loaded with mango leaf extract (MLE) were prepared.
- Supercritical solvent impregnation and solvent casting film-processing were compared.
- Free-standing NFC/MLE films show UV-light protection and antioxidant properties.
- Films with antimicrobial activity against gram-positive and gram-negative bacteria.
- Active NFC/MLE sustainable films with potential for active food packaging.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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