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# Cyclic threshold shear strain for pore water pressure generation and stiffness degradation in marine clays at Yangtze estuary

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Cyclic threshold shear strain is a fundamental property of saturated soils under cyclic loading. To investigate the cyclic threshold shear strain for pore water pressure generation ( $\gamma_{to}$ ) and stiffness degradation ( $\gamma_{td}$ ), a series of straincontrolled multistage undrained cyclic triaxial tests were carried out on in-situ saturated marine clay in the Yangtze estuary with different plasticity index Ip. The test results show that both  $\gamma_{tp}$  and  $\gamma_{td}$  increase with increasing  $I_{p}$ , and  $\gamma_{tp}$  is larger than  $\gamma_{td}$  for the same marine clay tested under the same conditions, with  $\gamma_{tp}$  =  $0.017 \sim 0.019\%$ ,  $\gamma_{td} = 0.008 \sim 0.012\%$  for  $I_p$  of 17,  $\gamma_{tp} = 0.033 \sim 0.039\%$ ,  $\gamma_{td} = 0.020$ ~ 0.025% for  $I_{\rm p}$  of 32, and  $\gamma_{\rm p}$  = 0.040 ~ 0.048%,  $\gamma_{\rm rd}$  = 0.031 ~ 0.036% for  $I_{\rm p}$  of 40. Moreover, the development of stiffness degradation may not necessarily require the generation of pore water pressure but can be aggravated by it. Furthermore, the  $\gamma_{tp}$  and  $\gamma_{td}$  of marine clay are compared with terrestrial soils and marine clays cited from the published literature, the results indicate that the special marine sedimentary environment and the combined action of flow and tidal wave system cause the  $\gamma_{tp}$  and  $\gamma_{td}$  of marine clay in the Yangtze estuary to be smaller than that of the terrestrial clays and marine clays in other sea areas.

### KEYWORDS

marine clay, cyclic threshold shear strain, pore water pressure generation, stiffness degradation, cyclic triaxial tests

## **1** Introduction

With the global intensive exploitations of marine resources and strategic spaces, offshore and coastal engineering, such as wind power platforms, oil drilling platforms, subsea pipelines and tunnels, and anchors, thrives in marine environments where soft clays form the bulk of the seabed (Li et al., 2012; Shi et al., 2018). However, when the soft clays are subjected to periodic marine geology disasters (e.g. typhoons, storms, tsunamis, and

earthquakes), they may suffer cyclic degradation, which will trigger stability problems of marine structures and reduce their service life. Hence the dynamic properties of marine clays under marine geology disasters have received extensive attention from the scientific and engineering communities (Fattah and Mustafa, 2016; Fattah et al., 2017; Yang et al., 2018; Zhu et al., 2020; Fattah et al., 2021; Pan et al., 2021; Jin et al., 2022; Lei et al., 2022; Tsai, 2022; Wu et al., 2023). The cyclic threshold shear strain for pore water pressure generation  $(\gamma_{tp})$  [when the cyclic shear strain amplitude ( $\gamma_c$ ) is below  $\gamma_{tp}$ , negligible pore water pressure generated, and while  $\gamma_c > \gamma_{tp}$ , pore water pressure accumulates significantly.] and stiffness degradation ( $\gamma_{td}$ ) [when  $\gamma_c < \gamma_{td}$ , negligible stiffness degradation occurred, and while  $\gamma_c > \gamma_{td}$ , apparent stiffness degradation occurred.] are the foundation parameters of the dynamic disaster properties of saturated soils (Dobry et al., 1982; Tabata and Vucetic, 2010). The  $\gamma_{tp}$  and  $\gamma_{td}$  can divide the cyclic behavior of the soil into two distinct parts, leading to adopting different methods to investigate the cyclic soil behavior. Therefore, the  $\chi_{\rm p}$  and  $\chi_{\rm td}$  are two crucial parameters for analyzing and solving the problems of pore water pressure generation and stiffness degradation caused by marine geology disasters.

Many experimental studies have been performed on  $\gamma_{\rm p}$  or  $\gamma_{\rm d}$  of saturated sands (Dobry et al., 1982; Chen et al., 2019; Vucetic et al., 2021; Saathoff and Achmus, 2022) and terrestrial clays (Ohara and Matsuda, 1988; Hsu and Vucetic, 2004; Hsu and Vucetic, 2006; Mortezaie and Vucetic, 2016; Soralump and Prasomsri, 2016; Ichii and Mikami, 2018; Parsa et al., 2022) by conducting cyclic triaxial tests (CTX), cyclic hollow cylinder torsional shear tests (CHCTS), and cyclic direct simple shear tests (CDSS), and the values of  $\gamma_{\rm p}$  and  $\gamma_{\rm td}$  are listed in Table 1. The results of these studies reveal that the values of  $\gamma_{\rm p}$  and  $\gamma_{\rm td}$  of a given saturated sand are almost the same, while  $\gamma_{\rm tp}$  is larger than  $\gamma_{\rm td}$  in a given saturated terrestrial clay. The

TABLE 1 Summary of the threshold shear strain for pore water pressure generation ( $\chi_p$ ) and stiffness degradation ( $\chi_d$ ) for sands, terrestrial clays, and marine clays reported in the literature and this paper.

Data from	Soil type	Soil name	USCS	l <sub>P</sub>	e <sub>o</sub> or D <sub>r</sub> for sand/(%)	OCR	σ <sub>0</sub> ' /(kPa)	<sub>Ир</sub> /(%)	¥td /(%)	Test type	
Dobry et al., 1982	Sands	Monterey No. 0 sand	SP	-	45, 60	1	26~192	0.011	_	Undrained CTX	
Chen et al., 2019		Nanjing fine sand	SP-SM	-	35, 45, 60, 70	1	100	0.02	-	Undrained CTX	
Vucetic et al., 2021	_	Nevada sand	SP	-	0.59~0.66	1	153, 199	0.007~0.013	-	Constant volume CDSS	
Saathoff and Achmus, 2022		Quartz sand	SP	-	85	1	50~600	0.007	0.02	Constant volume CDSS	
Tabata and Vucetic,	Terrestrial	Southern	ML	12	0.55	1	280	-	0.015	Constant	
2010	clays	California clay	CL	26	0.75	1	37	-	0.04	volume CDSS	
				47	1.08	1	274	-	0.05		
Ohara and Matsuda, 1988		Kaolinite clay <sup>R</sup>	-	25	-	1, 2, 6	49	0.05~0.08	-	Constant volume CDSS	
Ichii and Mikami, 2018		Japan clay <sup>U</sup>	CH, CL	11.9~97.2	_	1	-	0.038~0.143	_	Undrained CHCTS	
Hsu and Vucetic,		Southern	n CH- clay <sup>R</sup> CL	30	0.68	1	222	0.030~0.06	-	Constant	
2006		California clay			0.58	1	666	0.030~0.05	-	volume CDSS	
			CL- CH	23.1	0.684	1	504	0.022~0.032	-	Constant volume CDSS	
Hsu and Vucetic, 2004		San Diego clay <sup>U</sup>	СН	33.7	0.588	1	117	0.040~0.044	-		
		Southern California clay <sup>R</sup>		30	0.636	1	222	0.070~0.090	_		
Mortezaie and		Kaolinite clay <sup>R</sup>	MH	28	-	1	218, 680	0.014~0.034	0.012~0.014		
Vucetic, 2016					-	4	212, 210	0.016~0.017	0.013		
		Kaolinite- Bentonite clay <sup>R</sup>	СН	55	_	1	220, 668	0.052~0.078	0.013~0.016		
Parsa et al., 2022		Pisa clay <sup>U</sup>	СН	45	-	1.13	-	0.002~0.003	-	Resonant column	

(Continued)

### TABLE 1 Continued

Data from	Soil type	Soil name	USCS	l <sub>P</sub>	e <sub>0</sub> or D <sub>r</sub> for sand/(%)	OCR	σ <sub>0</sub> ' /(kPa)	<sub>7⁄tр</sub> /(%)	∕⁄td ∕(%)	Test type
Soralump and Prasomsri, 2016		_R	CL	17	0.398~0.465	1~4	100~460	0.022	-	Undrained CHCTS
Matasović and Vucetic, 1995	Marine clays	Cariaco clay <sup>U</sup>	CH, MH	20~60	_	1~4	86~1382	0.1	_	Constant volume CDSS
Likitlersuang et al., 2014		Bangkok clay <sup>U</sup>	СН	45	0.5~2	1	50~250	-	0.03~0.07	Undrained CTX
Banerjee and Balaji, 2018		Chennai marine clay <sup>U</sup>	СН	25	-	1	105~150	-	0.06	Resonant column
Abdellaziz et al., 2020		Saint-Etienne De- Beauharnois clay <sup>U</sup>	_	36	1.9	1	110	0.2	_	Triaxial simple shear
		Saint-Hilaire clay <sup>U</sup>	-	38~40	1.9	1	72~90	0.2	_	
		L'Île-Perrot clay <sup>U</sup>	-	28	1.7	1	120~150	0.3	_	
This paper		Yangtze estuary	CL	17	0.95~1.20	1	80~200	0.017~0.019	0.008~0.012	Undrained
		clay	CH	32	0.98~1.32	1	55~190	0.033~0.039	0.020~0.025	CfX
				40	1.04~1.33	1	65~165	0.040~0.048	0.031~0.036	

e0: natural void ratio; Ip: plasticity index; USCS: Unified Soil Classification System, according to ASTM (D2487 ASTM, 2017).

<sup>U</sup>Undisturbed clay. <sup>R</sup>Reconstituted clay.

-Cannot be determined from the data in the literature cited.

variation of  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  of saturated terrestrial clay has a relation with several factors and can be categorized into two types: (1) soil properties, such as plasticity index ( $I_{\rm p}$ ), over-consolidation ratio (OCR), and soil structure; and (2) loading conditions, such as initial effective consolidation pressure ( $\sigma'_0$ ) and loading frequency. Previous investigations revealed that  $I_{\rm p}$  and OCR are the primary factors affecting  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  of saturated terrestrial clays. The  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  both increase substantially with  $I_{\rm p}$  and OCR. However, the effect of loading conditions is not sufficiently clear and needs further study.

Due to the particularity of the marine sedimentary environments, the basic dynamic characteristics between marine clays and terrestrial clays were significantly different. Hence the results of terrestrial clays cannot be indiscriminately adapted to marine clays. Unfortunately, limited studies were performed on  $\gamma_{tp}$ and  $\gamma_{td}$  of undisturbed marine clays. Matasović and Vucetic (1995) summarized the published data and found that the  $\gamma_{tp}$  of Cariaco undisturbed marine clays was 0.1%. Abdellaziz et al. (2020) investigated the  $\gamma_{tp}$  of three types of Eastern Canada clays and concluded that the  $\gamma_{\rm tp}$  for the clays with  $I_{\rm p}$  =36 and 38 ~ 40 was 0.2%, while it was 0.3% for the clays with  $I_{\rm p}$  =28. It was noted that the variation pattern of  $\gamma_{tp}$  in Abdellaziz et al. (2020) is contrary to previous studies but was not explained in detail. Likitlersuang et al. (2014) observed that the  $\gamma_{td}$  of Bangkok clays ranged from 0.03% to 0.07%, which was defined as the shear strain at  $G/G_{\text{max}} = 0.7$  within the normalized shear modulus versus shear strain curves. Banerjee and Balaji (2018) reported that the  $\gamma_{td}$  of Chennai clays was 0.06% under isotropic consolidation conditions, and  $\gamma_{d}$  decreased with the consolidation stress ratio (ratio of minor principal stress to major principal stress). The values of  $\gamma_{tp}$  and  $\gamma_{d}$  in the above four literature are also listed in Table 1. As can be seen in Table 1, the  $\gamma_{tp}$  of undisturbed marine clays is approximately one order of magnitude larger than that of terrestrial clays, and the  $\gamma_{tp}$  is also slightly larger. It should be mentioned that the above studies were carried out in specific regions and under particular conditions, which are not completely appropriate for different types of marine clays in different regions, and the results of previous studies are not entirely consistent. Therefore, further research on  $\gamma_{tp}$  and  $\gamma_{d}$  of undisturbed marine clays is still crucial.

In this study, a series of multistage strain-controlled undrained cyclic triaxial tests were performed on marine clays in the Yangtze estuary to investigate the variation characteristics of the cyclic threshold shear strain for pore water pressure generation ( $\gamma_{\rm p}$ ) and stiffness degradation ( $\gamma_{\rm td}$ ). Consequently, a linkage of  $\gamma_{\rm tp}$  and  $\gamma_{\rm td}$  with three different values of plasticity index ( $I_{\rm p} \approx 17, 32, \text{ and } 40$ ) was found, and the differences between  $\gamma_{\rm tp}$  and  $\gamma_{\rm td}$  were analyzed. In addition, the differences between the values of  $\gamma_{\rm tp}$  and  $\gamma_{\rm d}$  for marine clays in the Yangtze estuary and those of sands, terrestrial clays, and marine clays in other regions in the published literature were compared. The work in this paper can contribute to further understanding and analysis of dynamic properties problems of marine clays during marine geology disasters.

## 2 Materials and experimental methods

## 2.1 Description of sites and soil samples

The marine clays were obtained from two boreholes (J12 and J7) of an offshore wind power project at the Yangtze estuary in Qidong city, Nantong (Figure 1). The site is located at the intersection of the Jiangsu coast and the Yangtze River coastline, with strong interaction between the sea and the river. It is about 200 m from the shore, and the lowest elevation of the seabed with the slight undulation of topography is about -13 m, with an elevation difference amplitude of 6.55 m. According to the meteorological and hydrological survey, this site is permanently subjected to the cyclic action of two tidal systems: the tidal progressive system in the East China Sea and the tidal amphidromic system in the Yellow Sea, in which the tidal progressive system in the East China Sea plays a dominant role. The tidal pattern of this site is irregular semidiurnal tidal waves, with a tidal amplitude ranging from 0.06 m to 5.84 m. The maximum surficial tidal-current velocity is 2.20 m/s (ebb) and 3.30 m/s (flood). Tidal asymmetry is obvious with a ratio of 1.4:1 between ebb and flood duration, causing a strong impact on soil sedimentation. Moreover, it will occasionally encounter earthquakes, as well as storms caused by typhoons.

The marine clays were retrieved using a thin-wall sampler with a maximum drilling depth below the seabed of approximately 29 m. The distance between the J12 borehole (with a water depth of 12.6 m) and the J7 borehole (with a water depth of 10.3 m) is about 150 m. The upper 8 m of the J12 borehole and the upper 7 m of the J7 borehole were flow plastic sludge, which makes it difficult to form samples and is not contained in this study. All retrieved marine clays were trimmed to solid cylindrical samples with a diameter of 100 mm and a height of 200 mm. Subsequently, the samples were packed into metal cylinders of the same size as the samples and consequently sealed with wax. The sealed samples were wrapped in



foam board to minimize disturbance during transportation to the laboratory.

Table 2 lists the basic physical properties of the used marine clays. The tests of the particle-size analysis, special gravity  $(G_s)$ , natural water content ( $w_0$ ), natural wet density ( $\rho_0$ ), and Atterberg limits were determined according to ASTM D422 (ASTM, 2007), D2216 (ASTM, 2019), D854 (ASTM, 2014), D1556/D1556M (ASTM, 2015), and (D4318 ASTM, 2017), respectively. The natural void ratio  $(e_0)$  and the degree of saturation  $(S_{r0})$  were calculated based on the basic physical properties. It was found that the used marine clays in the Yangtze estuary have approximately three values of  $I_{\rm P}$  (17, 32, 40), and high  $e_0$  and  $S_{\rm r0}$ with values ranging from 0.95 to 1.33 and 95.2% to 99.7%, respectively. Figure 2 illustrates the classification of the used marine soils in the Unified Soil Classification System (USCS) chart according to ASTM (D2487 ASTM, 2017). It reveals that the used marine soils can be categorized as CL (clay of low plasticity) and CH (clay of high plasticity).

## 2.2 Test apparatus

The multistage strain-controlled undrained cyclic triaxial tests were carried out using a dynamic triaxial apparatus manufactured by GDS Instruments Ltd., UK. This apparatus can measure the cyclic axial stress ( $\sigma_d$ ) and the cyclic axial strain ( $\epsilon_a$ ) of soil samples subjected to cyclic loading with an accuracy of 0.1 kPa and 0.004%. More information about this apparatus was described exhaustively in Chen et al. (2020) and Ma et al. (2023). The cyclic shear stress ( $\tau$ ) and cyclic shear strain ( $\gamma$ ) can be determined by the following equation (Rollins et al., 1998; Chen et al., 2022):

$$\begin{cases} \tau = \sigma_{\rm d}/2 \\ \gamma = (1+\nu)\epsilon_{\rm a} \end{cases}$$
(1)

where the v is the dynamic Poisson's ratio. The saturated specimens will not generate volumetric strain, which typically occurs in soils in undrained conditions during cyclic loading, hence the v can be assumed to be 0.5 (Fahoum et al., 1996; Chen et al., 2022). During the multistage strain-controlled undrained cyclic triaxial tests, the dynamic shear modulus at the *i*<sup>th</sup> stage and the  $N^{\text{th}}$  cycle ( $G_{sivN}$ ) can be calculated as follow (Idriss et al., 1978):

$$G_{si,N} = \frac{\tau_{ci,N}}{\gamma_{ci}} \tag{2}$$

where the  $\tau_{cinN}$  is the cyclic shear stress amplitude at the *i*<sup>th</sup> stage and the N<sup>th</sup> cycle, and the  $\gamma_{ci}$  is the cyclic shear strain amplitude at the *i*<sup>th</sup> stage.

### 2.3 Test procedures

The cylindrical specimens for running triaxial tests with a diameter of 50 mm and a height of 100 mm were cut from the center of the large marine samples. After weighing, the specimen was covered by eight vertical filter paper strips (with a width of

### TABLE 2 Basic physical properties of marine clays in the Yangtze estuary.

No.	Depth below	Gs	$W_0$	$\rho_0$	<i>e</i> 0	WL	W <sub>P</sub>	I <sub>P</sub>	$S_{r0}$		Grain size			
	seabed (center)		(%)	(g·cm ⁻)					(%)	Sand (%)	Silt (%)	Clay <sup>a</sup> (%)		
J12-1	9.20	2.65	44.23	1.75	1.18	68.1	27.9	40.2	99.3	1.4	34.3	64.3	CH	
J12-2	12.20	2.67	43.64	1.76	1.18	67.9	28.8	39.1	98.7	1.1	37.3	61.6	CH	
J12-3	14.20	2.65	38.58	1.80	1.04	68.2	28.5	39.7	98.3	1.1	36.1	62.8	CH	
J12-4	16.20	2.65	36.27	1.82	0.98	60.5	27.9	32.6	98.1	1.0	50.2	48.8	СН	
J12-5	18.20	2.66	43.09	1.76	1.16	70.1	27.4	42.7	98.8	2.1	32.1	65.8	СН	
J12-6	20.20	2.64	41.11	1.74	1.14	68.6	28.1	40.5	95.2	0.5	39.7	59.8	CH	
J12-7	22.20	2.66	49.22	1.70	1.33	65.1	25.2	39.9	98.4	2.2	37.0	60.8	CH	
J12-8	24.20	2.66	44.61	1.73	1.22	68.9	27.0	41.9	97.3	1.4	34.1	64.5	CH	
J12-9	26.20	2.65	39.51	1.75	1.09	52.8	21.8	31.0	96.1	0.4	54.2	45.4	СН	
J12-10	28.20	2.65	45.38	1.74	1.21	61.0	28.1	32.9	99.4	1.1	59.3	39.6	СН	
J7-1	7.70	2.66	42.22	1.75	1.16	55.5	24.9	30.6	96.8	0.2	55.6	44.2	СН	
J7-2	9.70	2.64	47.23	1.72	1.26	60.8	28.0	32.8	99.0	0.3	52.1	47.6	СН	
J7-3	11.70	2.55	45.61	1.69	1.20	43.2	25.8	17.4	96.9	1.9	62.3	35.8	CL	
J7-4	14.70	2.65	43.64	1.76	1.16	59.8	25.3	34.5	99.7	1.2	54.0	44.8	CH	
J7-5	18.70	2.63	42.21	1.74	1.15	52.2	20.3	31.9	96.5	0.9	50.5	48.6	СН	
J7-6	22.20	2.65	45.51	1.74	1.22	56.2	23.4	32.8	98.9	1.4	54.1	44.5	СН	
J7-7	24.20	2.64	49.62	1.70	1.32	55.9	23.0	32.9	99.2	1.6	58.1	40.3	СН	
J7-8	26.20	2.64	40.81	1.77	1.10	43.6	25.0	18.6	97.9	1.4	65.2	33.4	CL	
J7-9	28.70	2.65	35.35	1.84	0.95	40.2	23.0	17.2	98.6	5.1	60.7	34.2	CL	

w<sub>L</sub>: liquid limit; w<sub>P</sub>: plastic limit.

<sup>a</sup>The size of clay particles is less than 0.005 mm.



8 mm and a length of 75mm) on the lateral side to facilitate drainage. Consequently, the specimen was wrapped by a rubber membrane with a thickness of 0.3 mm and was then installed in the triaxial pressure chamber. It was noted that under the condition of artificially undisturbing the specimen, the time of sticking the filter papers and installing should be as short as possible to reduce water loss. The specimen was saturated by the backpressure method with degassed water until Skempton's B-value (Skempton, 1954) was larger than 0.97, the financial back pressure was 400 kPa and the duration of this process was about 10 h. After saturation, each specimen was isotropically consolidated to the initial effective confining pressure ( $\sigma'_{c0}$ ), which was determined based on the sampling depth below the seabed, and the consolidation took about 2 ~ 3 days until the drainage volume was less than 60 mm<sup>3</sup>/h.

After sufficient consolidation, the multistage strain-controlled undrained cyclic triaxial tests were performed on each specimen in seven multistage with each stage having 10 cycles according to ASTM D3999 (ASTM, 2011). The loading frequency (f) was 0.1 Hz. The  $\gamma_{ci}$  varied from 0.015 to 3%. The test schemes were listed in Table 3. Lunne et al. (1997); Lunne et al. (2006) proposed a quantification of specimen disturbance based on the ratio of the difference of the void ratio before and after consolidation ( $\Delta e$ ) with  $e_0$ , as shown in Table 4. The sample quality of the tested marine specimens in this paper was shown in Table 3. It illustrates that the quality of thirteen specimens was good to poor and six specimens were poor.

No.	Depth below seabed (center)	e <sub>0</sub>	$\sigma_{ m c0}^{\prime}$ (kPa)	f (Hz)	€ <sub>vol,c</sub> (%)	∆e/e <sub>0</sub>	Specimen quality category	Specimen quality
J12-1	9.20	1.18	65	0.1	3.8	0.07	2	Good to fair
J12-2	12.20	1.18	85		2.7	0.05	2	Good to fair
J12-3	14.20	1.04	100		3.1	0.06	2	Good to fair
J12-4	16.20	0.98	110		3.5	0.07	2	Good to fair
J12-5	18.20	1.16	125		2.1	0.04	2	Good to fair
J12-6	20.20	1.14	140		3.2	0.06	2	Good to fair
J12-7	22.20	1.33	150		3.4	0.06	2	Good to fair
J12-8	24.20	1.22	165		4.4	0.08	3	Poor
J12-9	26.20	1.09	180		2.6	0.05	2	Good to fair
J12-10	28.20	1.21	190		4.9	0.09	3	Poor
J7-1	7.70	1.16	55		3.8	0.07	2	Good to fair
J7-2	9.70	1.26	70		4.6	0.08	3	Poor
J7-3	11.70	1.20	80		5.3	0.09	3	Poor
J7-4	14.70	1.16	100		3.4	0.06	2	Good to fair
J7-5	18.70	1.15	130		4.9	0.09	3	Poor
J7-6	22.20	1.22	150		3.8	0.07	2	Good to fair
J7-7	24.20	1.32	165		2.3	0.04	2	Good to fair
J7-8	26.20	1.10	180		3.1	0.06	2	Good to fair
J7-9	28.70	0.95	200		5.5	0.12	3	Poor

TABLE 3 Test program for multistage strain-controlled undrained cyclic triaxial tests<sup>a</sup>.

 $\varepsilon_{\mathrm{vol},c}\!\!:$  volumetric strain after consolidation.

<sup>a</sup>The sequences of  $\gamma_{ci}$  for each specimen were 0.015, 0.03, 0.075, 15, 0.75, 1.5, and 3%.

## 3 Typical results of multistage strain-controlled undrained cyclic triaxial tests

Three specimens with different  $I_p$  [J7-3 ( $I_p = 17.4$ ), J7-4 ( $I_p = 34.5$ ), and J12-1 ( $I_p = 40.2$ )] are taken as examples. Figure 3 presents the typical results for the variations of cyclic shear strain ( $\gamma$ ), cyclic shear stress ( $\tau$ ), dynamic shear modulus ( $G_{siN}$ ), and pore water pressure ( $\Delta u$ ) with cycles (N) for the three specimens. The  $\Delta u$  of the three specimens did not develop with the increasing N during the 1<sup>st</sup> stage, and whether there is an increase could not be observed intuitively during the 2<sup>nd</sup> stage, but a significant

increase appeared during the 3<sup>rd</sup> ~ 7<sup>th</sup> stages. Therefore, there is a cyclic threshold shear strain for pore water pressure generation ( $\gamma_{\rm p}$ ) of marine clays in the Yangtze estuary, that is, there exists a  $\gamma_{\rm p}$  so that when the cyclic shear strain amplitude ( $\gamma_c$ ) is below  $\gamma_{\rm p}$ , negligible  $\Delta u$  generated, and while  $\gamma_c > \gamma_{\rm tp}$ ,  $\Delta u$  accumulates significantly. It can be estimated that the  $\gamma_{\rm tp}$  for the tested specimens ranged from 0.015% to 0.075%. Likewise, The  $G_{si,N}$  of specimens J7-3 decreased with N from the 1<sup>st</sup> stage, while that of specimens J7-4 and J12-1 decreased from the 2<sup>nd</sup> and 3<sup>rd</sup> stages, respectively. The decrease of  $G_{siN}$  with N can reflect the stiffness degradation of soils (Pan et al., 2021; Jin et al., 2022). Hence there is a cyclic threshold shear strain for stiffness degradation ( $\gamma_{\rm td}$ ) of marine clays in the Yangtze estuary, that is, there exists a  $\gamma_{\rm td}$  so

TABLE 4 Criteria for evaluation of sample disturbance based on  $\Delta e/e_0$  proposed by Lunne et al. (1997); Lunne et al. (2006).

OCR		∆e/e₀		
1~2	<0.04	0.04~0.07	0.07~0.14	>0.14
2~4	<0.03	0.03~0.05	0.05~0.10	>0.10
Specimen quality category	1	2	3	4
Specimen quality	Very good to excellent	Good to fair	Poor	Very poor

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that when  $\gamma_c < \gamma_{td}$ , there is no noticeable stiffness degradation and the microstructure of the soils hardly changes at this stage, and while  $\gamma_c > \gamma_{td}$ , the microstructure is destroyed causing the apparent stiffness degradation. It can be tentatively determined that the  $\gamma_{td}$ for the tested specimens should be less than 0.075%. How to accurately identify the values of  $\gamma_{tp}$  and  $\gamma_{td}$  will be discussed in detail in the following section.

## 4 Results and discussions

# 4.1 Cyclic threshold shear strain for pore water pressure generation, $\gamma_{tp}$

For accurately identifying the values of  $\gamma_{\rm pp}$ , each cyclic stage was regarded as an individual stage, so the effective confining pressure at



### FIGURE 4

The relationship curves between  $r_{u,N}^*$  and  $\gamma_c$  for marine clay at different *N*. (A) J7-3 (lp = 17.4); (B) J7-8 (lp = 18.6); (C) J7-9 (lp = 17.2); (D) J7-6 (lp = 32.8); (E) J12-4 (lp = 32.6); (F) J12-9 (lp = 31.0); (G) J12-1 (lp = 40.2); (H) J12-6 (lp = 40.5); (I) J12-8 (lp=41.9).

the *i*<sup>th</sup> stage ( $\sigma'_{ci}$ ) and the modified pore water pressure at the *i*<sup>th</sup> stage and the *N*<sup>th</sup> cycle ( $\Delta u^*_{i,N}$ ) can be estimated by Eqs. (3) and (4), respectively:

$$\sigma_{ci}' = \sigma_{c0}' - \Delta u_{i-1}' \quad (i = 1, 2, \dots, 7)$$
(3)

$$\Delta u_{i,N}^* = \Delta u_{i,N} - \Delta u_{i-1}' \quad (i = 1, 2, \dots, 7)$$
(4)

where  $\Delta u_{i-1}$  is the pore water pressure at the  $(i-1)^{\text{th}}$  stage and  $\Delta u_0 = 0$ ;  $\Delta u_{i,N}$  is the pore water pressure at the *i*<sup>th</sup> stage and the *N*<sup>th</sup> cycle. Consequently, the normalized pore water pressure ratio at the *N*<sup>th</sup> cycle during each stage ( $r_{u,N}^{\text{th}}$ ) can be determined by Eq. (5):

$$r_{ui,N}^* = \Delta u_{i,N}^* / \sigma_{ci}' \ (i = 1, 2, \dots, 7)$$
(5)

The relationships between  $r^*_{\mathrm{ui},N}$  and  $\gamma_\mathrm{c}$  for six representative specimens at different stages and Ns are shown in Figure 4. The points in the same column represent the  $r_{ui,N}^*$  at different cycles of the same stage. To obtain a more accurate value of  $\gamma_{tp}$ , only the cyclic stages below and the 3 ~ 4 cyclic stages above  $\gamma_{tp}$  are taken into account. Combining Figures 3 and 4, after the generation of  $\Delta u$ , the development pattern of  $\Delta u$  showed remarkable differences among specimens J7-3 and J7-9 with lower  $I_p \approx 17$ , specimens J7-6 and J12-9 with higher  $I_p \approx 32$ , and specimens J12-1 and J12-6 with  $I_{\rm p} \approx 40$  within the range of  $\gamma_{\rm c}$  applied in this paper. For specimens with  $I_{\rm p} \approx 17$  (Figures 4A–C), the  $\Delta u$  increased linearly with  $\gamma_c$ . While for specimens with  $I_p \approx 32$  and 40 (Figures 4E–I), when  $\gamma_c <$ 0.15%, the  $\Delta u$  increased slowly with  $\gamma_c$ , when  $\gamma_c > 0.15\%$ , the  $\Delta u$ increased significantly with  $\gamma_c$ , but the rate of increment decreased and the value of  $\Delta u$  tend to be stable. For given  $\gamma_c$  and N, the larger values of  $I_{\rm p}$  of the specimens, the smaller  $\Delta u$  was, that is, the development rate of  $\Delta u$  for marine clays with smaller  $I_{\rm p}$  was greater than that with larger  $I_{\rm p}$ . This change law of  $\Delta u$  with  $I_{\rm p}$  is in accordance with the observation in Nhan et al. (2022) and Kantesaria and Sachan (2021).

In this paper, the values of  $\gamma_{\rm tp}$  were determined as that of  $\gamma_{\rm c}$  when  $\Delta u$  reaches 1% of  $\sigma'_{ci}$  for the first time, i.e., the  $r^*_{{\rm u}i,N}$  reaches 0.01 for the first time. The blue dotted lines represent the  $r^*_{{\rm u}i,N} = 0.01$ . For specimens with  $I_{\rm p} \approx 17$  (Figures 4A–C), the values of  $r^*_{{\rm u}i,N}$  kept zero during the whole 1<sup>st</sup> stage ( $\gamma_{\rm c} = 0.015\%$ ). While during the

TABLE 5 Summary table of  $\chi_p$  and  $\chi_d$  of tested marine clay.

 $2^{nd}$  stage,  $r_{ui,N}^*$  increased obviously with *N*. According to the development trend of  $r_{ui,10}^*$ , the  $\gamma_{tp}$  is about 0.018%, 0.017%, and 0.019% for specimens J7-3, J7-8, and J7-9, respectively. While specimens with  $I_p \approx 32$  and 40 (Figures 4E–1),  $r_{ui,N}^*$  maintained zero during the first two stages and increased from the  $3^{rd}$  stage. Similarly, the  $\gamma_{tp}$  of specimens J7-6, J12-4, J12-9, J12-1, J12-6, and J12-8 is about 0.039%, 0.038%, 0.037%, 0.044%, 0.046%, and 0.048%, respectively. The  $\gamma_{tp}$  for each tested specimen is summarized in Table 5. It presents that  $\gamma_{tp}$  of marine clay in the Yangtze estuary increased with  $I_p$ , and this trend was also obtained in Hsu and Vucetic (2006). This may be due to that the larger the  $I_p$ , the stronger the ability of the soils to combine with water, and the weaker the ability of water to transmit pore water pressure, leading to the less susceptible generation of pore water pressure. Hence the  $\gamma_{tp}$  of specimens with larger  $I_p$  was larger.

## 4.2 Cyclic threshold shear strain for stiffness degradation, $\gamma_{td}$

The stiffness degradation characteristics of the soil under cyclic loading can be quantitatively characterized by the degradation index  $\delta$  and the degradation parameter *t*, which reflect the degree and rate of soil stiffness degradation, respectively. In straincontrolled tests, the  $\delta$  and *t* can be expressed as follow:

$$\delta = \frac{G_{si,N}}{G_{si,1}} = \frac{\tau_{ci,N}/\gamma_{ci}}{\tau_{ci,1}/\gamma_{ci}} = \frac{\tau_{ci,N}}{\tau_{ci,1}}$$
(6)

$$t = -\frac{\log \delta}{\log N}$$
 or  $\delta = N^{-t}$  (7)

where  $G_{si,1}$  is the dynamic shear modulus at the *i*<sup>th</sup> stage and the 1<sup>st</sup> cycle,  $\tau_{ci,1}$  is the shear stress amplitude at the *i*<sup>th</sup> stage and the 1<sup>st</sup> cycle.

Taking 9 specimens with different  $I_p$  as an example, Figure 5 demonstrates the relationship between  $\delta$  and N under different  $\gamma_c$ . As can be seen from Figure 5, with increasing  $\gamma_c$ , both the  $\delta$  and t increased significantly for the same N, indicating that the degree

No.	l <sub>p</sub>	γ <sub>tp</sub> (%)	γ <sub>td</sub> (%)	$\gamma_{ m tp}/\gamma_{ m td}$	Specimen quality	No.	l <sub>p</sub>	γ <sub>tp</sub> (%)	γ <sub>td</sub> (%)	$\gamma_{ m tp}/\gamma_{ m td}$	Specimen quality
J12-1	40.2	0.044	0.035	1.26	Good to fair	J7-1	30.6	0.037	0.023	1.61	Good to fair
J12-2	39.1	0.045	0.033	1.36	Good to fair	J7-2	32.8	0.033	0.020	1.65	Poor
J12-3	39.7	0.048	0.034	1.41	Good to fair	J7-3	17.4	0.017	0.009	1.89	Poor
J12-4	32.6	0.038	0.025	1.52	Good to fair	J7-4	34.5	0.038	0.024	1.58	Good to fair
J12-5	42.7	0.047	0.034	1.38	Good to fair	J7-5	31.9	0.034	0.020	1.70	Poor
J12-6	40.5	0.046	0.036	1.28	Good to fair	J7-6	32.8	0.039	0.024	1.63	Good to fair
J12-7	39.9	0.046	0.034	1.35	Good to fair	J7-7	32.9	0.037	0.022	1.68	Good to fair
J12-8	41.9	0.040	0.031	1.29	Poor	J7-8	18.6	0.019	0.012	1.58	Good to fair
J12-9	31.0	0.037	0.023	1.61	Good to fair	J7-9	17.2	0.017	0.008	2.13	Poor
J12-10	32.9	0.034	0.021	1.62	Poor						



and the rate of stiffness degradation will intensify with increasing deformation of soils. For a given  $\gamma_c$ , the  $\delta$  decreased linearly with N in the log-log scale coordinates system, i.e., the t kept constant, reflecting that once the stiffness degradation is presented, the stiffness degradation degree will continue to accumulate even if the  $\gamma_c$  no longer developed. Moreover, the t decreased with the increasing  $I_p$ . This variation law of t with  $I_p$  is in accordance with the observation in Kantesaria and Sachan (2021). Figure 5 also reveals that specimens with  $I_p \approx 17$  (Figures 5A–C) experienced stiffness degradation from the 1<sup>st</sup> stage, hence their  $\gamma_{td}$  was less than 0.015%, specimens with  $I_p \approx 32$  (Figures 5D–F) experienced that from the 2<sup>nd</sup> stage with  $\gamma_{td}$  varies between 0.015% and 0.030%, and

specimens with  $I_{\rm p} \approx 40$  (Figures 5G–I) showed that from the 3<sup>rd</sup> stage with  $\gamma_{\rm td}$  ranged from 0.030% and 0.075%. The relationship between  $\delta$  and t can be fitted by the following equation:

$$t = a \cdot (\gamma_{\rm c} - \gamma_{\rm td})^b \tag{8}$$

where *a* and *b* are the fitting parameters. The fitting results are shown in Figure 6. It can be found that the values of  $\gamma_{\rm d}$  for three specimens with  $I_{\rm p} \approx 17$  ranged from 0.010% to 0.012% (Figure 6A), those for three specimens with  $I_{\rm p} \approx 32$  ranged from 0.022% to 0.026% (Figure 6B), and for three specimens with  $I_{\rm p} \approx 17$  ranged from 0.030% to 0.034% (Figure 6C). The  $\gamma_{\rm td}$  for each tested specimen is summarized in Table 5. This table indicates that  $\gamma_{\rm d}$ 





of marine clay in the Yangtze estuary increased with  $I_{\rm p}$ , and this trend was also obtained in Hsu and Vucetic (2004); Hsu and Vucetic (2006) and Tabata and Vucetic (2010). This may be due to that the larger the  $I_{\rm p}$ , the stronger the ability of the soils to combine with water, and under the adsorption of bound water, the soil particles are resistant to sliding subjected to external loading and the structure is less likely to be damaged. Hence the  $\gamma_{\rm td}$  of specimens with larger  $I_{\rm p}$  was larger.

# 4.3 Analysis of the differences between $\gamma_{tp}$ and $\gamma_{td}$

Figure 7 illustrates the differences between  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$ . It can be seen that both  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  were distributed within a narrow range. For a given marine clay, the value of  $\gamma_{\rm p}$  was always larger than that of  $\gamma_{\rm d}$ , with the minimum  $\gamma_{\rm p}/\gamma_{\rm d}$  ratio of 1.26 obtained from specimen J12-1 and the maximum  $\gamma_{\rm p}/\gamma_{\rm d}$  ratio of 2.13 obtained from specimen J7-9 (listed in Table 5). The dispersion degree of  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  increased with increasing  $I_{\rm P}$ . Figures 8A–F presents the variation of  $r^*_{ui,N}$  and t with  $\gamma_c$ for six specimens with good to poor and poor qualities. Specimen J7-3 was taken as an example (Figure 8A), its  $\gamma_{\rm p}$  was 0.017% and  $\gamma_{\rm d}$  was 0.009%. Combining with Figure 5A, when  $\gamma_c < \gamma_{\rm d}$ , neither pore water pressure nor stiffness degradation was generated; when  $\gamma_c$  varied from

 $\gamma_{td}$  to  $\gamma_{tp}$ , the pore water pressure was small to negligible, which will not lead to effective stress reduction, but a slight degree of stiffness degradation occurred, as shown in the orange zone of Figure 8A; however, when  $\gamma_c > \gamma_{tp},$  the pore pressure began to accumulate and the degree and rate of stiffness degradation increased significantly with increasing N under the same  $\gamma_c$ . Similar phenomena are also observed in Tabata and Vucetic (2010) and Mortezaie and Vucetic (2016). Therefore, it can be preliminarily considered that the kinetic energy input by the cyclic loading will lead to the gradual destruction of the inherent microstructure of the marine clay, and the consequent stiffness degradation. When the kinetic energy exceeds the range that the inherent structure can bear, part of the kinetic energy will be converted into pore water potential energy, contributing to the generation of pore water pressure, which will aggravate stiffness degradation. In summary, the development of stiffness degradation of marine clay does not necessarily require the increase of pore water pressure, but the increase of pore water pressure will further damage the soil structure and make the stiffness more seriously decay.

# 4.4 Comparison to published data in the previous literature

The comparison of  $\chi_p$  and  $\chi_d$  between marine clays in the Yangtze estuary and published data in the previous literature is shown in Figure 9. The gray area is the distribution range of  $\chi_p$  and  $\chi_d$  proposed by Vucetic (1994) and Tabata and Vucetic (2010), respectively, based on CTX, CHCTS, CDSS, resonant column tests, and cyclic torsional shear tests. It can be seen that the  $\chi_p$  and  $\chi_d$  generally increase with the increasing  $I_p$ . Moreover, the  $\chi_p$  and  $\chi_d$  of undisturbed terrestrial clays distribute uniformly in the gray area, while the  $\chi_p$  and  $\chi_d$  of reconstituted terrestrial clays. This occurs because the remolding process destroys the microstructure of undisturbed clays, forming weak structures and cement, which will further lead to reconstituted clays being more likely damaged than undisturbed clays subjected to cyclic loading.

A more interesting phenomenon is that the  $\gamma_{\rm tp}$  and  $\gamma_{\rm td}$  of marine clays in the Yangtze estuary are basically distributed along the left boundary of the gray area, and the marine clay with  $I_{\rm p} \approx 17$  was more obvious, furthermore, the  $\gamma_{\rm p}$  and  $\gamma_{\rm d}$  were much smaller than those of marine clays in the cited literature. The reason may be that the





sedimentary environments of marine clays were significantly different from those of terrestrial clays. Under the influence of high salt content, low-temperature seawater environment, and special cementitious materials, marine clays exhibit many flocculated structures and form a loose and porous interior (Sun et al., 2020; Wang et al., 2021). Hence marine clays have high porosity and high water content. However, internal closed pores without hydraulic conductivity occupy the majority of the total pores, leading to the low permeability of marine clays. In addition, due to the enlarged section of the Yangtze estuary at the sampling site, the Yangtze River water flow speed is abruptly reduced and the transported debris and sediments are rapidly deposited here, resulting in the soil particles being unable to adjust to the best position in time to form the fragile structure and cementation. Additionally, the marine clays at this sampling site are permanently subjected to the combined action of the water flow of the Yangtze River and the tidal wave system in the East China Sea and the Yellow Sea, which further affects their sediment dynamics (Su et al., 2022; Zhang et al., 2020) and destroys its structure and cementation. These reasons collectively result in the differences between the  $\gamma_{tp}$  and  $\gamma_{td}$  of marine clays in the Yangtze estuary and those of terrestrial clays and marine clays in other sea areas.

## **5** Conclusions

In this paper, a series of multistage strain-controlled undrained cyclic triaxial tests were performed on the marine clays at the Yangtze estuary in Qidong city, Nantong. The cyclic threshold shear strain for pore water pressure generation ( $\gamma_{tp}$ ) and stiffness degradation ( $\gamma_{d}$ ) of marine clays having plasticity index  $I_{p} \approx 17$ , 32, and 40 were investigated, and the conclusions are as follows:

(1) The larger the  $I_{\rm p}$ , the stronger the ability of the soils to combine with water, the weaker the ability of water to transmit pore water pressure. Furthermore, under the adsorption of bound water, the soil particles are resistant to sliding subjected to external loading. Therefore, the pore water pressure is less susceptible to generate and the structure is less likely to be damaged, leading to  $\gamma_{\rm tp}$  and  $\gamma_{\rm d}$  for marine clays at the Yangtze estuary increase with the increasing  $I_{\rm p}$ . For marine clays having  $I_{\rm p} \approx 17$ ,  $\gamma_{\rm p} = 0.017 \sim 0.019\%$  and  $\gamma_{\rm d} = 0.008 \sim 0.012\%$ . For marine clays having  $I_{\rm p} \approx 32$ ,  $\gamma_{\rm p} = 0.033 \sim 0.039\%$  and  $\gamma_{\rm d} = 0.020 \sim 0.025\%$ . For marine clays having  $I_{\rm p} \approx 40$ ,  $\gamma_{\rm p} = 0.040 \sim 0.048\%$  and  $\gamma_{\rm d} = 0.031 \sim 0.036\%$ .

- (2) Under the same test conditions,  $\gamma_{\rm p}$  is larger than  $\gamma_{\rm d}$  for the same specimen, and  $\gamma_{\rm p}/\gamma_{\rm d}$  ranges between 1.2 and 1.8. This confirmed that under cyclic loading, the stiffness degradation and pore water pressure generation of marine clays have a sequence with the development of stiffness degradation preceding pore water pressure generation. The development of soil stiffness degradation does not necessarily require the increase of pore water pressure, but the increase of pore water pressure will aggravate the stiffness degradation.
- (3) Due to the fragile structure, the  $\gamma_{\rm p}$  and  $\gamma_{\rm td}$  of reconstituted clays are relatively low. Both  $\gamma_{\rm p}$  and  $\gamma_{\rm td}$  of marine clays in the Yangtze River estuary are less than those of terrestrial clays and marine clays in other sea areas, which is because the marine clays in the Yangtze estuary have a lower interparticle cementation strength affected by the special marine sedimentary environment and the combined action of flow and tidal wave system, which makes it more vulnerable to damage subjected to cyclic loading.

## Notation

The following symbols are used in this paper:

Symbol	Description	Symbol	Description
a, b	fitting parameters for the degradation parameter prediction model	γ <sub>c</sub>	cyclic shear strain amplitude (%)
γ	cyclic shear strain (%)	$\epsilon_{a}$	cyclic axial strain (%)
γ <sub>ci</sub>	cyclic shear strain amplitude at the $i^{\text{th}}$ stage (%)	$\sigma_{ m d}$	cyclic axial stress (kPa)
$\gamma_{ m tp}$	cyclic threshold shear strain for pore water pressure generation (%)	f	loading frequency (Hz)
γ <sub>td</sub>	cyclic threshold shear strain for stiffness degradation (%)	$D_{\rm r}$	relative density (%)
N	number of cycles	<i>e</i> <sub>0</sub>	natural void ratio
τ	cyclic shear stress (kPa)	Gs	special gravity

(Continued)

Continued

Symbol	Description	Symbol	Description
$ au_{ci^{n}N}$	cyclic shear stress amplitude at the $i^{\text{th}}$ stage and the $N^{\text{th}}$ cycle (kPa)	w <sub>0</sub>	natural water content (%)
G <sub>si&gt;N</sub>	dynamic shear modulus at the $i^{\text{th}}$ stage and the $N^{\text{th}}$ cycle (MPa)	w <sub>L</sub>	liquid limit (%)
$\sigma_{ m c0}'$	initial effective confining pressure (kPa)	wp	plastic limit (%)
$\sigma_{ci}'$	effective confining pressure at the $i^{\text{th}}$ stage (kPa)	Ip	plasticity index
$\Delta u$	pore water pressure (kPa)	S <sub>r0</sub>	degree of saturation (%)
$\Delta u_{i-1}$	pore water pressure at the ( <i>i</i> -1) <sup>th</sup> stage (kPa)	OCR	over- consolidation ratio
$\Delta u_{i,N}$	the pore water pressure at the $i^{\text{th}}$ stage and the $N^{\text{th}}$ cycle (kPa)	t	degradation parameter
$\Delta u_{i,N}^*$	modified pore water pressure at the $i^{\text{th}}$ stage and the $N^{\text{th}}$ cycle (kPa)	δ	degradation index
$r^*_{\mathrm{u}i,N}$	normalized pore water pressure ratio at the N <sup>th</sup> cycle of each stage	USCS	Unified Soil Classification System
Де	change of void ratio before and after consolidation		

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

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## Author contributions

XX: Investigation, Writing – review and editing, Methodology, Validation, Data curation. D-WJ: Writing- review and editing, Validation. T-ZH: Methodology, Writing – review and editing, Validation. Z-YC: Resources, Methodology; LZ: Validation, Modification; QW: Conceptualization, Methodology, Validation. Supervision, G-XC: Resources, Funding acquisition. All authors contributed to the article and approved the submitted version.

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## **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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