

Citation for published version:
Brenelli, LB, Bhatia, R, Djajadi, DT, Thygesen, LG, Rabelo, SC, Leak, DJ, Franco, TT & Gallagher, JA 2022, 'Xylo-oligosaccharides, fermentable sugars, and bioenergy production from sugarcane straw using steam explosion pretreatment at pilot-scale', *Bioresource Technology*, vol. 357, 127093. https://doi.org/10.1016/j.biortech.2022.127093

10.1016/j.biortech.2022.127093

Publication date: 2022

Document Version Peer reviewed version

Link to publication

Publisher Rights CC BY-NC-ND

# **University of Bath**

# **Alternative formats**

If you require this document in an alternative format, please contact: openaccess@bath.ac.uk

**General rights** 

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Download date: 04. May. 2023

## 1 Title

- 2 Xylo-oligosaccharides, fermentable sugars, and bioenergy production from sugarcane straw
- 3 using steam explosion pretreatment at pilot-scale

## 4 Author names and affiliations

- 5 Lívia B. Brenelli<sup>ab\*</sup>, Rakesh Bhatia<sup>c</sup>, Demi T. Djajadi<sup>d</sup>, Lisbeth G. Thygesen<sup>d</sup>, Sarita C.
- 6 Rabelo<sup>e</sup>, David J. Leak<sup>f</sup>, Telma T. Franco<sup>g</sup> and Joe A. Gallagher<sup>h</sup>.
- 7 aInterdisciplinary Center of Energy Planning, University of Campinas, Cora Coralina, 330,
- 8 Campinas, São Paulo, Brazil.
- 9 bDepartment of Plant and Environmental Sciences, Faculty of Science, University of
- 10 Copenhagen, Thorvaldsensvej 40, DK-1871 Frederiksberg C, Denmark.
- 11 °Department of Agronomy and Plant Breeding, Justus Liebig University Giessen, Heinrich-
- 12 Buff-Ring 26-32, 35392, Giessen, Germany.
- dDepartment of Geosciences and Natural Resource Management, Faculty of Science,
- 14 University of Copenhagen, Rolighedsvej 23, DK-1958 Frederiksberg C, Denmark.
- 16 University (UNESP), Avenida Universitária, 3780, Altos do Paraíso, São Paulo, Brazil.
- <sup>f</sup>Department of Biology & Biochemistry, University of Bath, Bath BA2 7AY, UK.
- 18 gSchool of Chemical Engineering, University of Campinas (UNICAMP), Av. Albert Einstein,
- 19 Campinas, São Paulo, 13083-852, Brazil.
- <sup>h</sup>Institute of Biological, Environmental and Rural Sciences (IBERS), Aberystwyth University,
- 21 Plas Gogerddan, Aberystwyth SY23 3EE, UK.

23

22

# 24 Corresponding author\*

25 \*Lívia B. Brenelli. E-mail: liviabrenelli@gmail.com.

#### Abstract

This study investigated the production of xylo-oligosaccharides (XOS) from sugarcane straw (SCS) using steam explosion (SE) pretreatment at pilot-scale, as well as co-production of other fermentable sugars and lignin-rich residues for bioethanol and bioenergy, respectively. SE conditions 200 °C; 15 bar; 10 min led to 1) soluble XOS yields of up to 30% (w/w) of initial xylan with ~50% of the recovered XOS corresponding to xylobiose and xylotriose, considered the most valuable sugars for prebiotic applications; 2) fermentable glucose yields from the enzymatic hydrolysis of SE-pretreated SCS of up to ~78%; 3) increase in the energy content of saccharified SCS residues (16%) compared to the untreated material. From an integrated biorefinery perspective, it demonstrated the potential use of SCS for the production of value-added XOS ingredients as well as liquid and solid biofuel products.

## 1. Introduction

Sugarcane straw (SCS), a residual by-product of the sugarcane industry, is gaining attention as an abundant and low-cost lignocellulosic resource to produce biofuels and high-value products for sugarcane biorefineries (Aguiar et al., 2021). This is partly because the practice of burning sugarcane plantations to remove the straw before harvesting is being prohibited due to health and environmental concerns. Moreover, the utilization of SCS yields additional lignocellulosic material without expanding feedstock cultivation areas, hence maximizing the productivity and competitiveness of the existing sugarcane sugar and bioethanol production platforms (Cherubin et al., 2021). For example, in Brazil, which is the leading producer of sugarcane in the world (657 million metric tons in the crop year 2020/2021), at least 20 million tonnes of SCS biomass could be harvested for biorefinery applications without impacting soil quality (Carvalho et al., 2017; USDA 2021, 2021). The composition of SCS, typically consisting of dry leaves (~60%) from the stalk and green leaves on the top (~40%), is primarily glucan (~30 to 45% w/w), xylan (~25 to 30% w/w) and lignin (~20 to 30% w/w).

51 Its chemical composition can vary widely depending on the plant development stage, variety, 52 and collection method from site to site (Aguiar et al., 2021). From a biorefinery perspective, 53 the physical and chemical complexities of the lignocellulosic components require 54 deconstruction to be converted into biofuels and biochemicals. In this context, physical 55 (milling and grinding), chemical (alkaline, acid, hydrothermal, and ionic liquids), 56 physicochemical (ammonia fiber expansion – AFEX, and steam explosion – SE), or 57 biological (enzymatic and microorganisms) pretreatment methods are an essential part of the 58 processing steps to overcome the recalcitrance of biomass and in turn, can dictate the 59 efficiency of production as well as the economic and environmental impacts of bio-based 60 products. Several pretreatment strategies for the bioconversion of SCS into value-added bio-61 products have been explored and are summarized elsewhere (Aguiar et al., 2021). Among 62 these pretreatments, SE is generally considered an environmentally friendly, efficient, 63 chemical-free, economic, mild and fast treatment process suitable for industrial applications 64 and various lignocellulosic feedstocks (Auxenfans et al., 2017). During SE, lignocellulose is 65 saturated with high-pressure (7 to 48 bar) steam at high temperatures (160 to 240 °C) for 66 several minutes (5 to 15 min) and then suddenly de-pressurized, causing the lignocellulosic 67 biomass to undergo an explosive decompression (Yu et al., 2022). Under the high temperature combined with pressure, the hydronium ions formed from water dissociation 68 69 together with the acetic acid released through the hydrolysis of acetyl groups linked to the 70 xylan backbone act as in situ catalysts. As a result, disruption of the glucan-xylan-lignin 71 complex occurs, leading to the depolymerization and removal of the hemicellulose xylan and 72 mixed-linkage glucan, with the limited dissolution of glucan and partial degradation of lignin 73 (Auxenfans et al., 2017; Bhatia et al., 2020b). However, there are also certain disadvantages, 74 such as the incomplete deconstruction of the lignin-carbohydrate complex or production of 75 inhibitors and degradation products depending on the SE severity conditions (Biswas et al.,

2015). For a comprehensive review on the impacts of a steam explosion step enhancing the physical and chemical properties of the biomass feedstock and the different subsequent conversion processes of biomass including densification for solid pellets (heating value) and enzymatic hydrolysis (cellulose accessibility) for fermentation to liquid biofuels, see Yu et al., 2022. Recently, there has been a growing interest in producing xylo-oligosaccharides (XOS) from xylan-rich plants, including sugarcane (Prenexus Health, USA) and corncob (Shangdong Longlive Biotechnology, China) (Kumar et al., 2021). With a degree of polymerization (DP) from 2 up to 14 units of xylose, XOS can exhibit multiple properties such as pH (2.5 to 8) and temperature (up to 100°C) stability, low-calorie sweetening potency for sugar and fat alternatives, moisture retention capabilities and prebiotic properties beneficial for health (Álvarez et al., 2020; Amorim et al., 2019). The market price of XOS ranges from 25 \$/kg to 50 \$/kg depending on purity level (70 to 95%), and the global market is expected to achieve ~\$130 million by 2025 (Santibáñez et al., 2021; Singh et al., 2018). Hence, XOS sugars have gained commercial interest as animal feed, pharmaceutical, food, and beverage ingredients (Pinales-Márquez et al., 2021). XOS production methods using chemical, enzymatic, hydrothermal, and SE pretreatments for sugarcane residues have been employed at different scales. However, XOS from SCS has been less extensively studied relative to sugarcane bagasse (SCB), an abundant sugarcane agro-industrial by-product (Carvalho et al., 2018). A particular challenge for these pretreatment processes is to achieve high XOS yields in the recommended XOS ranges (DP 2 to 6) for prebiotic action while minimizing the production of undesirable impurities (monosaccharides and sugar degradation products) that compromise XOS purity for commercial exploitation (Santibáñez et al., 2021). Nevertheless, the production of valueadded XOS products from lignocellulosic residues and wastes could contribute to the

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

101 sustainability, and economic viability of a commercial sugarcane-based biorefinery, primarily 102 since the production of XOS and a variety of bio-based products from SCS has not previously 103 been investigated. 104 There is a knowledge gap in pilot-scale SE pretreatment conditions for SCS biomass that 105 seeks maximum hemicellulose solubilization into XOS, which may be advantageous to 106 overcome reports of low XOS conversion yields and/or multi-step downstream processes 107 (Milessi et al., 2021). The primary focus of the study is the production of XOS from SCS 108 using an economical single step SE pretreatment process without the cost and use of 109 additional catalytic chemicals and expensive enzymatic conversions. Important SE 110 parameters to achieve high XOS yields with low DP and low by-products and sugar 111 degradation products were identified, and enzymatic hydrolysis of SE pretreated SCS was 112 proposed for the co-production of fermentable sugars and lignin-rich residues for bioethanol 113 and bioenergy, respectively. 114 2. Materials and methods 115 2.1. Feedstock preparation 116 SCS, provided in bales by Usina Ferrari (São Paulo, Brazil), was unpacked, crushed, screened, 117 air-dried to ~10% (w/w) moisture content (MC), hammer-milled and de-ashed in a Disintegrator DM 540 (IRBI, São Paulo, Brazil). The resulting SCS with ~7% (w/w) MC and 118 particle size in the range from 0.1 to 2.4 mm (see supplementary material) was stored in an air-119 120 tight sealable polyethylene bag at room temperature until further use. 121 2.2. Steam explosion pretreatment 122 SCS (0.25 kg) were suspended in deionized water at 10:1 water/solid ratio (g/g) and soaked 123 for 2 h at  $20 \pm 5$  °C. The excess liquid of the mixture was drained using a muslin cloth. 124 Aliquots of the recovered liquid were analyzed for total sugar content according to

NREL/TP-510-42623 procedure (Sluiter et al., 2008). The strained SCS (~80% MC) was

loaded into a 30 L pilot-scale reactor Cambi SE rig (Cambi, Norway), and pretreatments were

carried out at temperatures of 180 °C (9 bar), 200 °C (15 bar), and 210 °C (20 bar) with

residence times of 5, 10 and 15 min. For each pretreatment condition, a minimum of two

batches was processed. After each pretreatment, the reactor was discharged, and the material

was collected in a 10 L bucket to cool down. Deionized water was added to the slurry (0.5 L)

and strained using a muslin cloth to separate the liquid from the pretreated fraction. In

addition, non-soaked (0 h) and soaked SCS at 70 °C for 2 h were also pretreated as a strategy

to increase XOS yields. The pretreated solid material was stored at -20 °C, and the liquid

fractions were stored at 4 °C until further use. Biomass recovered (%) was estimated as DM

pretreated solids obtained after pretreatment per 100 g DM of untreated solids. The severity

factor (SF) (Equation 1) was calculated according to (Overend and Chornet, 1987).

137 SF =  $log10 [t * exp [(T-100) 14.75^{-1}]]$  (1)

Where: t is residence time (min), T is temperature (°C) and 14.75 is activation energy value.

139 *2.3. Chemical characterization of pretreated solids and hydrolysates* 

140 Compositional analysis of untreated and SE-pretreated SCS was determined according to the

NREL/TP-510-42618 procedure (Sluiter et al., 2012). All SE-pretreated biomass was

thoroughly washed with deionized water to ensure the complete removal of residual

hydrolysate before compositional analysis. Compositional analysis of the hydrolysates

(mono- and oligosaccharides) was determined according to the NREL/TP-510-42623

procedure (Sluiter et al., 2008).

146 2.4. Analysis of xylo-oligosaccharides, monosaccharides, degradation products, and by-

147 *products* 

129

130

131

132

133

134

135

142

143

144

149

148 XOS was quantified by High-Performance Anion Exchange Chromatography (HPAEC)

coupled with pulsed amperometric detection (PAD) (Thermofisher ICS-5000), using a

Dionex CarboPac PA200 guard (3 × 50 mm) and analytical (3 × 250 mm) columns at 30 °C,

151 flow rate of 0.3 mL/min and 25 µL injection volume. The elution program was described 152 previously (Bhatia et al., 2020b). Xylobiose (X2), xylotriose (X3), xylotetraose (X4), 153 xylopentaose (X5), and xylohexaose (X6) purchased from Megazyme were used to construct 154 a calibration curve ranging from 1.25 to 20 μg/mL. 155 Monosaccharides were quantified by HPAEC-PAD (Thermofisher ICS-5000) using a Dionex 156 CarboPac SA10 guard (4×50 mm) and analytical (4×250 mm) columns at 45 °C, a flow rate 157 of 1.5 mL/min with 1 mM KOH as eluent and 25 μL injection volume. Glucose, xylose, 158 arabinose, galactose, mannose, fructose, sucrose, cellobiose, and fucose were run as 159 calibration standards from 1.25 to 20 µg/mL. By-products and degradation products were 160 analysed by High-performance liquid chromatography (HPLC) equipped with a refractive 161 index detector using an Aminex HPX-87H column (Bio-Rad) at 55 °C, a flow rate of 0.6 162 mL/min with 5 mM H<sub>2</sub>SO<sub>4</sub> as eluent according to NREL's standard procedure (Sluiter et al., 163 2008). 164 2.5. Enzymatic hydrolysis of pretreated solids 165 Enzymatic hydrolysis was carried out in triplicate at a solid biomass loading of 1% (w/v) with 0.05 M sodium acetate buffer (pH 5) at 50 °C using the commercial cocktail Cellic® 166 167 CTec2 (Novozymes A/S, Denmark) in a total volume of 5.0 mL. The total cellulase activity against filter paper (FPU) measured by the standard IUPAC method was previously reported 168 169 to be 133 FPU/mL (Kontogianni et al., 2019). The hydrolysis experiment was conducted in 170 15 mL falcon tubes in a rotary shaker set at 150 rpm. Dosage response curve experiments were carried out with enzyme loadings of 5, 10, and 20 mg protein/g glucan, and samples 171 were withdrawn after 4, 24, 48, and 72 h. The enzymatic hydrolysis was ended by boiling 172 173 samples at 100 °C for 10 min. After centrifugation (10 min,  $10,000 \times g$ ), the supernatants were analyzed for glucose and xylose yields by HPAEC-PAD. 174

- 2.6. Determination of hemicellulose/holocellulose and lignin/holocellulose using attenuated
- 176 total reflectance-Fourier transform infrared (ATR-FTIR) spectroscopy
- 177 Pretreated SCS was milled using an MF 10 microfine grinder (IKA® Werke GmbH & Co.
- 178 KG, Germany) to obtain particles that passed through a 0.5 mm sieve. The IR spectra of the
- samples were collected five times using a Nicolet 6700 FT-IR, Pike Technologies GladiATR
- diamond spectrometer (Thermo Scientific, Waltham, MA, USA) at 25 °C. The spectral range
- included was 4000–600 cm<sup>-1</sup>, and spectra were obtained using 64 scans (128 for the
- background) and a resolution of 4.0 cm<sup>-1</sup>. After subtraction of a separate linear background
- 183 for each peak, the peak area ratio 1732/1160 cm<sup>-1</sup> (ranges 1675-1750 and 1142-1182 cm<sup>-1</sup>,
- respectively) was used for hemicellulose/holocellulose estimation, while the ratio 1512/1160
- cm<sup>-1</sup> (ranges 1483-1531 and 1142-1182 cm<sup>-1</sup>, respectively) was used for lignin/holocellulose
- 186 estimation (Lupoi et al., 2014).
- 187 2.7. Thermal analysis
- Lignin was isolated from raw SCS using sodium hydroxide (10%, 100 °C, 3 h), recovered by
- adding concentrated sulfuric acid until pH 2 and dried in an oven at 30 °C until the MC was
- below 5%. The thermal stability was evaluated in a TGA/DSC analyzer (SDT Q600, TA
- 191 Instruments). 5 mg of lignin was placed in air-tight aluminum support with a heating rate of
- 192 10 °C min<sup>-1</sup>, from 25 to 800 °C under a synthetic air atmosphere.
- 193 2.8. Energy content measurement
- 194 Energy content measurements of SCS before and after pretreatment and lignin-rich residues
- 195 remaining after enzymatic hydrolysis were performed in a standard bomb calorimeter (Parr<sup>TM</sup>
- 196 6400 Automatic Isoperibol Calorimeter). All samples were dried in an oven at 30 °C until the
- MC was below 5%, milled to less than 0.5 mm, and then compressed into pellets using a
- 198 hydraulic pelletizer before being weighed (~1.5 g of sample was used). Heat content was

- determined in a sealed steel bomb by burning the samples with an excess of oxygen at a
- 200 pressure of 430 psi (30 bar).
- 201 2.9. Statistical analysis

205

- 202 Statistical analysis was performed using Statistica for 12.0 (StatSoft, Poland). One-way
- analysis of variance (ANOVA), followed by a post hoc Tukey test at P<0.05, was used to
- 204 compare the data between SE treatment conditions.

## 3. Results and Discussion

- 206 3.1. Sugarcane straw composition
- SCS was initially milled and de-ashed to homogenize the SCS particle size and to remove
- 208 extraneous sand and clay residues prior to SE pretreatment. A reduction in ash content was
- 209 observed from ~18% to 5% (w/w). The chemical composition of the SCS used in this study,
- 210 consisting of a mix of ground tops and leaves, is shown in Table 1. The untreated SCS
- 211 material was mainly composed of glucan (~39%), xylan (~24%), lignin (20%), and small
- amounts of arabinan ( $\sim$ 4%) and galactan ( $\sim$ 1%) (w/w) on a dry matter (DM) basis,
- 213 corresponding to values previously reported (Brenelli et al., 2020). The initial xylan content,
- 214 which is of particular interest for the production of XOS, was slightly higher for SCS (~24%)
- in this study than reported for SCB (~22%) (w/w) (Carvalho et al., 2018; Zhang et al., 2018).
- The SCS xylan also had  $\sim 3\%$  (w/w) of acetyl substituents (Table 1), a critical catalytic source
- 217 (acetic acid) during autohydrolysis reactions that is required to promote acidic conditions and
- the depolymerization of the xylan backbone into XOS (Brenelli et al., 2020; Zhang et al.,
- 219 2018). Hence, SCS could represent another suitable and alternative xylan-rich biomass
- 220 candidate to produce value-added XOS products for sugarcane biorefineries compared to
- SCB. The initial SCS glucan ( $\sim$ 39%) and lignin ( $\sim$ 20%) content was lower (Table 1) when
- compared to that of SCB glucan (~42 to 44%), and lignin (~23 to 25%) reported previously
- (Carvalho et al., 2018; Silveira et al., 2018; Zhang et al., 2018). It should be noted that a

224 lower SCS lignin content in this study may be beneficial as it could increase the release of 225 xylan-based XOS from the raw SCS material, thus exposing the accessibility of glucan to 226 cellulolytic enzymes for improved fermentable glucose yields (Bhatia et al., 2020a). 227 Nonetheless, there is a marked natural variation in the biochemical composition of sugarcane 228 residues (straw and bagasse) due to plant variety and developmental period, including 229 collection site and weather conditions, which must be understood for the biomass to be 230 effectively utilized and processed to produce bioproducts and biomaterials for biorefineries 231 (Aguiar et al., 2021). 232 3.2. Steam explosion pretreatment to produce XOS 233 The SCS was submitted to pilot-scale SE pretreatment, and the conditions (SF 3.05 to 4.41) 234 tested were based on previous work on pilot-scale SE pretreatment for XOS production from 235 other biomass feedstocks (Bhatia et al., 2020b; Silveira et al., 2018). 236 The SE conditions 200 °C; 15 bar; 10 min (SF = 3.94) resulted in XOS yields of up to  $\sim$ 31% 237 (w/w) of initial DM xylan, corresponding to 8% (w/w) of initial DM biomass, and a low yield 238 of xylose  $\sim 7\%$  (w/w) (Table 2a). The percentage recovery of XOS ( $\sim 31\%$  w/w) was 239 relatively low compared to SE pretreatment of alkali-impregnated sugarcane harvesting 240 residues (sugarcane trash, SCT) (~51% w/w) under similar SE conditions (204 °C, 10 min) 241 (Mihiretu et al., 2019). This higher XOS yield with little or no formation of monomeric 242 xylose from SCT can be attributed to the alkali agents/conditions that preserved the xylan 243 extracts in their oligomeric and polymeric form and that led to significant removal of lignin 244 (up to 70%) due to cleavage of the ester bonds linking lignin with xylan (Mihiretu et al., 245 2019). Nonetheless, similar to this study, comparable XOS yields (~33%) were attained but 246 with the low formation of xylose (1%) and co-solubilization of lignin (27%) (w/w) for alkali 247 deacetylated SCS subjected to hydrothermal pretreatment (Brenelli et al., 2020). From such 248 alkaline pretreatment studies, it was also inferred that the acetyl side groups cleaved from

xylan and released as acetic acid could neutralize and counter-balance the alkalinity of extraction conditions and thus affect the degree of xylan and lignin solubilization. In this context, the lower XOS (~31% w/w) yields (Table 2a) may also have been achieved during SE by means of buffering of the released acetic acid through the high ash content in SCS (~ 5% w/w) compared to deacetylated SCS (~ 3% w/w) (Brenelli et al., 2020). It should also be noted that a different SE condition (210 °C; 20 bar; 5 min) with a similar SF (3.94) to the optimal condition (200 °C; 15 bar; 10 min) showed a lower XOS yield (~24%) (Table 2a), suggesting that temperature and residence time and not SF per se, are the important parameters influencing the final properties of the biomass substrate (Yu et al., 2022). Although SE pretreatment of untreated SCS resulted in relatively high xylose yields (~7% w/w) (Table 2a) compared to deacetylated SCS (1% w/w), nanofiltration membranes or yeast fermentation can be applied to effectively remove and minimize the undesired monomeric xylose sugars to meet the purity requirements of commercial XOS (75 to 95%) (Huang et al., 2019; Wijaya et al., 2020). Regarding the amount and DP of XOS, SE-pretreatment (200 °C; 15 bar; 10 min) produced ~337.0 g of XOS per kg of initial xylan, with more than 50% comprised of X2 and X3 ( $\sim$ 29 and  $\sim$ 25% respectively),  $\sim$ 18% as X4,  $\sim$ 15% as X5,  $\sim$ 9% as X6, and only  $\sim$ 4% as XOS with DP >6 (Table 2b). The effect of the pre-soaking step for SCS under optimal SE conditions for the highest XOS production was also evaluated with similar results to (Bhatia et al., 2020b) (Table 2b) showing that XOS recovery in the hydrolysate did not significantly change with pre-soaking conditions. Moreover, the XOS produced did not undergo significant changes in the distribution of DP 2 to 6 XOS (Table 2b). Certainly, acetyl-assisted autohydrolysis of SCS has the advantage of releasing predominantly XOS with DP 2 to 6 under acidic conditions, whereas alkaline extraction conditions for SCS can lead to xylan solubilization into long-chain XOS with DP  $\geq$ 5 (~85%) (Brenelli et al., 2020). The fact that SCS hemicellulose fragments released during SE pretreatment are partially

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

acetylated is a positive aspect because acetylation contributes to the high solubility of the extracted XOS in water (Arai et al., 2019). It is well known that XOS with DP from 2 to 10 have prebiotic properties (de Freitas et al., 2021; Ho et al., 2018; Huang et al., 2019). Particularly XOS with a low DP, i.e. xylobiose and xylotriose, present strong prebiotic activity among the xylose oligomers, hence being more suitable in the field of functional foods and pharmaceutical applications (Moura et al., 2007). On the other hand, XOS with DP>4 can enhance physicochemical properties such as elasticity, firmness, and moisture content when incorporated into food products (Ferrão et al., 2018). SE pretreatment of SCS represents an efficient procedure for producing XOS with mainly DP 2 to 6 range and consequently may involve fewer processing steps for end-use applications such as adding a hydrolysis treatment with endo-xylanases. Nonetheless, further studies will be necessary to evaluate the prebiotic activities of the low-DP (2–6) SCS XOS, and removal of degradation products (e.g., furfural, HMF, phenolics) might be required prior to testing. To isolate XOS from the crude SE liquor, a two-step membrane filtration followed by anion-exchange could be used. These membrane filtration steps assist in removing carbohydrate-based degradation compounds such as HMF and furfural as well as reduce potential fouling effects on the ion exchange resins, enabling a highly refined XOS product (with DP 3-10) eligible as a prebiotic food or feed ingredient (Míguez et al., 2021). 3.3. Chemical composition of pretreatment solids, oligomers, and liquid fraction The effect of SE pretreatment at different severities on the composition of SCS-pretreated solids and the mass balance of biomass components were evaluated (Table 1). Glucan content ranged from ~38 to 52% whereas xylan varied considerably from ~2 to 24% (w/w). Lignin ranged from ~20 to 28% and acetyl-residues from ~2 to 0.2% (w/w). Arabinan was also detected in the pretreated SCS (Table 1). As expected, SE pretreatment selectively promoted xylan solubilization with acetyl groups and generated solids enriched with glucan and lignin

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

compared to the untreated SCS. Thus, glucan recovered in the SE-pretreated solids was on average  $\sim 90\%$ , although the SF = 4.12 appeared to expose more fractions of the glucan thereby influencing the dissolution properties of glucan and leading to reduced glucan recovery down to 80%. An increase in glucan and lignin content and reduction in xylan has been observed in other studies related to SE-pretreatment of SCS for cellulosic ethanol production (Oliveira et al., 2013; Zhang et al., 2018). In general, the degree of solubilization of SCS increased moderately at all temperatures as the reaction time increased, but the main biomass components exhibited different extents of solubilization (Table 1). Xylan solubilization and removal increased as the SE pretreatment severity increased and reached 94% at SF = 4.41. Because acetyl groups are linked to the xylan backbone, deacetylation followed the same pattern, although a significant degree of deacetylation (> 60%) was observed at all temperatures after 5 min. Lignin solubilization and, therefore, delignification was minimal under all the tested SE conditions. The maximum delignification (~6%) was achieved at the highest severity factor (SF = 4.41). It is widely known that the acidic conditions of SEpretreatment typically induce lignin depolymerization followed by condensation to minimize its surface area and deposition onto the fibers combined with ash, extractives, and other components (Heikkinen et al., 2014). This may explain why the lignin content in pretreated solids mostly increased as the SE pretreatment temperature increased. The oligosaccharides analysed in the liquid fraction were mainly composed of xylose (8.1%), arabinose (0.5%), galactose (0.3%), acetyl (0.7%) and glucose (1.4%) (w/w). The composition of undesired products and degradation products in the XOS-rich hydrolysates was also assessed to inform the design of subsequent processing methods for XOS recovery and purification (see supplementary material). As expected, all the aforementioned products increased with increased severity factors. Under the SE conditions which yielded maximum XOS

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

production, degradation compounds from hexose and pentose sugars, such as HMF and furfural were produced at concentrations of ~0.04 and ~0.14 g/L, equivalent to ~0.43 and ~1.34 g/kg of DM pretreated solids, respectively (see supplementary material). Acetic acid from xylan deacetylation was present at ~1.0 g/L (~9.5 g/kg), while other degradation products and by-products, formic acid (from HMF and furfural degradation) and lactic acid, were found at  $\sim 0.45$  g/L ( $\sim 4.4$  g/kg), and lactic acid $\sim 1.0$  g/L ( $\sim 9.6$  g/kg), respectively. The low concentration of degradation and by-products (up to 25 g/kg) compared to XOS concentration, ~8.0 g/L (~80 g/kg) demonstrates that SE is a promising one-step pretreatment strategy to produce XOS from SCS. 3.4. Enzymatic hydrolysis of pretreated solid residues to produce monosaccharides The enzymatic hydrolysis of the SE-pretreated solids rich in glucan that could be processed into fermentable sugars to produce liquid biofuels was also investigated. The digestibility of SE-pretreated SCS obtained at different severity levels, in terms of glucose and xylose released after hydrolysis, was assessed over a 72 h period using various enzyme loadings (5 to 20 mg protein/g glucan) (Figure 1). Generally, glucan hydrolysis after 72 h increased as the severity level increased for all enzyme loadings tested (Figure 1a) (see supplementary material). This may suggest that the high SF effectively increased the cellulose surface area by removal of hemicellulose, thereby enhancing the accessibility of glucan in the pretreated SCS to hydrolytic enzymes (Pihlajaniemi et al., 2016). Indeed, a linear correlation was observed between hemicellulose removal, SE pretreatment severity, and enzymatic glucan to glucose conversion (see supplementary material). Similar findings were observed previously using SE-pretreatment under comparable conditions on different grasses (Bhatia et al., 2020b; Zhang et al., 2018). The highest glucan conversion (~84%) was obtained by enzymatic hydrolysis using the highest enzyme loading (20 mg protein/g glucan) on pretreated solid obtained at the highest severity factor tested (SF = 4.41). In comparison, the glucan

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

345

346

347

conversion yield of pretreated solids generated under conditions for optimal XOS production (SF = 3.94) at the same enzyme loading (20 mg protein/g glucan) was  $\sim$ 78% (Figure 1b). This highlights the importance of finding compromise conditions that allow optimal production of both products, although considering the market value of XOS, SE conditions to maximize this fraction would continue to take precedence over maximizing sugars for bioethanol production (Patel and Shah, 2021). The amount of xylose released after enzymatic hydrolysis at 5 and 10 mg protein/ g glucan was negligible and a higher protein loading was needed (20 mg protein/ g glucan) (Figure 1b) compared to glucose released (Figure 1a) as pretreatment severity increased and xylan content sharply decreased (Table 1). This data suggests that the xylan remaining in the pretreated solids at higher SE pretreatment severities (SF > 3.94) was less susceptible to the xylanases present in the Cellic® CTec2 enzyme cocktail, possibly due to xylanase binding with the residual lignin in the pretreated solids during enzymatic hydrolysis (Jung et al., 2020). Since ~47% of the xylan (Table 1) was retained at the optimal SE pretreatment with the highest XOS yields (Table 2), it can serve as a potential source of fermentable sugars for pentose-utilizing yeast strains (Du et al., 2019). It is noteworthy that the SE pretreatment resulted in negligible removal of lignin (maximum ~6%) under the SF tested (Table 1), even though all pretreated solids had an increase in enzymatic glucan digestibility as the SF increased (Figure 1a). SCS biomass was likely recalcitrant to SE pretreatment due to the high content of guaiacyl lignin sub-unit that is highly prone to condensation under acidic conditions (Yu et al., 2022). Hence, this could partially explain why lignin removal was low. Moreover, these observations reinforce the notion that extensive delignification during pretreatment is not necessarily an essential criterion to improve the digestibility of biomass into fermentable sugars. Interestingly, previous work showed that alkaline delignification of steam-exploded SCS at high severity conditions (200 °C; 15 min) had a detrimental effect on enzymatic conversion of glucan as it

349

350

351

352

353

354

355

356

357

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372

may have led to the collapse of the network structure, limiting the surface availability to
enzymes and hydrolysis (Oliveira et al., 2013). Besides, it has already been shown that auto-
hydrolysis effectively and indirectly increases the surface area of cellulose via the dissolution
of hemicellulose, and the presence of lignin associated with small pores is not deleterious for
enzymatic hydrolysis of the ensuing pretreated biomass (Espírito Santo et al., 2019). Lastly,
hydrolysis optimization through reducing reaction time and increasing the total solids loading
as well as the fermentation of both hexose and pentose sugars in the enzymatic hydrolysates
would need to be undertaken to ensure maximal process economics.
3.5. Correlations between bulk composition and biomass surface chemical profile during
xylo-oligosaccharide and monosaccharide production
ATR-FTIR spectroscopy has previously been applied to evaluate changes in the surface
chemical profile of hydrothermally pretreated grasses. Compared to bulk composition
analysis, it has been instrumental in correlating enzymatic digestibility with biomass origin
and pretreatment severity (Djajadi et al., 2017). In this work, both bulk composition and the
surface chemical profile of SE-pretreated SCS at different severity levels obtained using
FTIR were correlated with the extent of glucan conversion and XOS production yields.
Another interesting point was finding the correlation between XOS production and glucan
conversion yields with regard to the changes in the structural components.
The bulk lignin content did not have a strong correlation ( $r = 0.58$ ) with the extent of glucan
conversion (see supplementary material). This was expected since the delignification
throughout the severity levels remained low, only accounting for at most $\sim$ 6% at the highest
SE pretreatment severity (Table 1). On the other hand, the apparent surface abundance of
lignin (ASA-Lig) relative to holocellulose (ASA-Lig/Cell) had a strong positive correlation (n
= 0.80) with enzymatic digestibility (see supplementary material). The ASA-Lig/Cell
increased with SE pretreatment severity, especially from SF > 4.0 (Figure 2a). This can be

attributed to both exposure of lignin surface after preferential removal of hemicellulose (Table 1) and lignin redistribution after steam pretreatment. Since delignification, as seen in bulk lignin content, did not correlate with improvement in glucan conversion, the increased accessibility of the substrate to hydrolytic enzymes can be accounted more on the lignin surface abundance. However, considering the whole process and other components, increased cellulose surface area mostly by hemicellulose removal is likely the most important factor in steam-based pretreatment (Djajadi et al., 2017). Concerning the bulk composition of hemicellulose, both arabinoxylan solubilization and reduction in hemicellulose content had notable correlations ( $r = \pm 0.80$ ) with a glucan conversion yield of pretreated solids at different severity levels (see supplementary material). This is expected and has been shown previously for SCS biomass at different severity levels (Batista et al., 2019; Oliveira et al., 2013). In contrast, the apparent surface abundance of hemicellulose (ASA-Hem) relative to holocellulose (ASA-Hem/Cell) had a less strong correlation (r = 0.66) with glucan conversion (see supplementary material). Up until SF = 3.94, both bulk and surface composition profiles showed a similar trend. A decrease in ASA-Hem/Cell with increasing severity levels (Figure 2a) was in line with increasing hemicellulose removal (Table 1) and XOS production (Table 2). However, from SF > 4.0, where hemicellulose removal increased to more than 75% (Table 1), the hemicellulose relative surface abundance slightly increased with severity (Figure 2a). This change of trend in ASA-Hem/Cell coincided with a reduction of XOS yield (Table 2a), while glucan conversion (Figure 1a) and hemicellulose removal (Table 1) continued to increase. Condensation of lignin with sugar degradation products such as furfural and HMF, i.e., pseudo-lignin from SF > 4.0, may be one of the reasons behind this observed increase in ASA-Hem/Cell. In any case, assessing biomass surface chemical profile using ATR-FTIR can be limited by the signal-to-noise ratio in each peak. Furthermore, using different biomass

399

400

401

402

403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

sources and using single biomass pretreated at a wide severity range can have different sensitivity ranges (Djajadi et al., 2017). Therefore, it needs to be recognized that the peak area ratio using ATR-FTIR is either qualitative or semi-quantitative at best. Interestingly, thermogravimetric analysis (TG) and its derivative profiles (DTG) obtained from lignin isolated from raw SCS showed that the interval from 200 to 250 °C corresponded to 7% of the total mass loss (%) while the maximum degradation rate (Tmax) occurred at 420 °C (Figure 2b). Thus, the mobilization of lignin, which can be inferred from the FTIR data to have occurred at temperatures higher than 200 °C (Figure 2b), may also improve the hydrolysis yield. When taken together with the chemical composition data obtained in this work and also from previous studies which used SE-pretreated materials with similar or increased lignin contents, it is probable that lignin from SCS is highly resistant to solubilization but is not the primary inhibitor of cellulose hydrolysis (Oliveira et al., 2013). Besides, as previously mentioned, delignification did not correlate with glucan conversion for SE-pretreated SCS (see supplementary material). Instead, the hemicellulose removal was more likely to account for the increased glucan conversion at a higher severity level, mainly because delignification reached a maximum of ~6% (Table 1). Moreover, other factors such as cellulose crystallinity, inhibitory products, fiber size (which was not accounted for in this work), the ratio between different cell types, and non-productive adsorption of cellulases to lignin can also influence the digestibility of steam-exploded SCS as well as other types of lignocellulose (Barbosa et al., 2020; Yu et al., 2022). 3.6. Overall mass balance of the process and energy content of biomass The overall mass balance of SCS subjected to SE pretreatment under optimal conditions for XOS production and enzymatic hydrolysis is summarized in Figure 3. Based on 1 kg of DM SCS feedstock, ~83% was obtained as a solid fraction comprised of ~339 g glucan, ~111 g

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

xylan, ~5 g acetyl, and ~197 g lignin and the remaining ~17% was dissolved into the hydrolysate as soluble XOS ( $\sim$ 72 g) and small amounts of xylose ( $\sim$ 14 g), arabinose ( $\sim$ 1.7 g), and glucose (~1.9 g). As previously stated, XOS could be used either as ingredients in functional foods after purification or for fermentation to bioproducts using microorganisms capable of metabolizing oligomers (Amorim et al., 2019). The former is of particular interest as short-chained XOS, i.e., X2 and X3, are known for their potential prebiotic activity and higher sweetness than sucrose (Moura et al., 2007; Park et al., 2017). After enzymatic hydrolysis, the glucan-rich solids using the highest enzyme loading (20 mg protein/g glucan) and low biomass loading (1% w/v) for 72 h produced ~263 g of glucose and ~56 g of xylose. Both sugars can be further fermented to obtain bio-based fuels and chemicals (Santos et al., 2019). Processive *endo*-glucanases could also be used to produce cello-oligomers, glucose polymers with potential applications in the food and bioenergy industry, but this would either require auxiliary enzymes or cellulose decrystallization (Barbosa et al., 2020). Hydrolysis optimization through reducing reaction time and increasing the total solids loading is crucial to improving the overall process economics. Energy content is an important property for determining the attractiveness of a potential biofuel. Biomass with a higher energy level and density is more energy efficient for conversion into a biofuel and mitigates against transportation costs and expenses associated with storage, handling, and distribution (Albashabsheh and Heier Stamm, 2021). Lignin has a higher energy content (~27 MJ/kg) than glucan and xylan (~18 MJ/kg), making the ligninrich residue after hydrolysis of pretreated material a good candidate for combustion and the provision of heat for the aforementioned biorefinery process. The lignin content in the SEpretreated solids increased from ~20 to ~24% (w/w) after SE-pretreatment under optimal conditions for XOS production (Table 1) and reached ~67% after saccharification (Table 3) due to the efficient enzymatic conversion of polysaccharides into monosaccharides (Figure

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

470

471

472

1). The energy levels of untreated SCS (~18 MJ/kg) were higher than SE-pretreated (~15 MJ/kg) and lower than saccharified SCS (~21 MJ/kg) (Table 3). Lignin enrichment in pretreated and saccharified SCS was expected to benefit energy levels compared to the untreated sample (Li et al., 2013). However, the ash content was found to be 2-fold higher in steam-exploded SCS compared to the untreated material (~10% and ~5%, respectively), and according to another report under similar SE conditions (Oliveira et al., 2013). The higher ash content (~10%) may have produced an inert effect on the combustion by causing a reduction in the share of combustible carbon matter and the calorific value of the biomass. Hence, the relation between the amount of ash and the detrimental effect on the calorific value of the biomass for combined heat and power production requires further investigation. Nonetheless, the higher energy content of the saccharified SCS by about 16% and potentially improved solid pellet quality could reduce the total energy input and costs associated with the XOS production process. The residual lignin from the enzymatically pretreated solid residue could also be hydrolyzed through alkaline or acid treatment and used to produce lignin-based materials and value-added molecules, providing several options for maximizing the value streams in biorefineries (Wang et al., 2019). Lastly, simulation studies on the technoeconomic and environmental assessment of SCS-based biorefineries to produce XOS, fermentable sugars, and bioenergy under different handling processes such as milling and grinding, SE pretreatments, enzymatic saccharification, and fermentation conditions or pelletization of the saccharified solids are crucial for the successful commercialization of the integrated biorefinery.

#### 4. Conclusions

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

Under the SE conditions tested, XOS yields up to 30% w/w of initial xylan were obtained, and ~50% of the recovered XOS were low-DP XOS (X2 and X3), known for their higher prebiotic potential. Up to 78% of the glucan in the SE-pretreated SCS was enzymatically

499	released as fermentable glucose and the remaining lignin-rich (67% w/w) saccharified solids
500	exhibited a 16% higher energy content than untreated SCS. A potential value chain is
501	presented for sugarcane biorefineries using SCS via value-added XOS production and co-
502	production of renewable liquid and solid biofuels.
503	E-supplementary data for this work can be found in the e-version of this paper online
504	CRediT authorship contribution statement
505	Lívia B. Brenelli: Validation, Investigation, Writing - original draft, Writing - review &
506	editing, Visualization, Project administration. Rakesh Bhatia: Validation, Investigation,
507	Writing - original draft, Writing - review & editing, Visualization, Project administration.
508	Demi T. Djajadi: Validation, Writing - review & editing, Visualization. Lisbeth G.
509	Thygesen: Validation, Writing - review & editing. Sarita C. Rabelo: Validation, Writing -
510	review & editing. David J. Leak: Conceptualization, Validation, Writing - review & editing
511	Funding acquisition. <b>Telma T. Franco:</b> Conceptualization, Validation, Writing - review &
512	editing, Funding acquisition. Joe A. Gallagher: Validation, Writing - review & editing,
513	Supervision, Funding acquisition.
514	Declaration of competing interest
515	The authors declare that they have no known competing financial interests or personal
516	relationships that could have influenced the work reported in this paper.
517	Acknowledgment
518	This work was supported by São Paulo Research Foundation - FAPESP contract numbers
519	2015/50612-8 (FAPESP-BBSRC Thematic Project), 2017/15477-8 and 2020/01007-2 to
520	LBB. In addition, the authors thank the BEACON Biorefining Centre for supportive work.
521	References (needs revision)

- 522 1. Aguiar, A., Milessi, T.S., Mulinari, D.R., Lopes, M.S., da Costa, S.M., Candido, R.G.,
- 523 2021. Sugarcane straw as a potential second generation feedstock for biorefinery and white
- biotechnology applications. Biomass and Bioenergy.
- 525 2. Albashabsheh, N.T., Heier Stamm, J.L., 2021. Optimization of lignocellulosic biomass-to-
- biofuel supply chains with densification: Literature review. Biomass and Bioenergy.
- 527 3. Álvarez, C., González, A., Alonso, J.L., Sáez, F., Negro, M.J., Gullón, B., 2020.
- 528 Xylooligosaccharides from steam-exploded barley straw: Structural features and assessment
- of bifidogenic properties. Food and Bioproducts Processing 124.
- 530 4. Amorim, C., Silvério, S.C., Prather, K.L.J., Rodrigues, L.R., 2019. From lignocellulosic
- residues to market: Production and commercial potential of xylooligosaccharides.
- Biotechnology Advances.
- 533 5. Arai, T., Biely, P., Uhliariková, I., Sato, N., Makishima, S., Mizuno, M., Nozaki, K.,
- Kaneko, S., Amano, Y., 2019. Structural characterization of hemicellulose released from
- corn cob in continuous flow type hydrothermal reactor. Journal of Bioscience and
- Bioengineering 127.
- 537 6. Auxenfans, T., Crônier, D., Chabbert, B., Paës, G., 2017. Understanding the structural and
- 538 chemical changes of plant biomass following steam explosion pretreatment. Biotechnology
- for Biofuels.
- 540 7. Barbosa, F.C., Martins, M., Brenelli, L.B., Ferrari, F.A., Forte, M.B.S., Rabelo, S.C.,
- Franco, T.T., Goldbeck, R., 2020. Screening of potential endoglucanases, hydrolysis
- 542 conditions and different sugarcane straws pretreatments for cello-oligosaccharides
- 543 production. Bioresource Technology.
- 544 8. Batista, G., Souza, R.B.A., Pratto, B., dos Santos-Rocha, M.S.R., Cruz, A.J.G., 2019. Effect
- of severity factor on the hydrothermal pretreatment of sugarcane straw. Bioresource
- 546 Technology.

- 547 9. Bhatia, R., Lad, J.B., Bosch, M., Bryant, D.N., Leak, D., Hallett, J.P., Franco, T.T.,
- Gallagher, J.A., 2020a. Production of oligosaccharides and biofuels from Miscanthus using
- combinatorial steam explosion and ionic liquid pretreatment. Bioresource Technology 323,
- 550 124625.
- 551 10. Bhatia, R., Winters, A., Bryant, D.N., Bosch, M., Clifton-Brown, J., Leak, D., Gallagher, J.,
- 552 2020b. Pilot-scale production of xylo-oligosaccharides and fermentable sugars from
- 553 Miscanthus using steam explosion pretreatment. Bioresource Technology 296, 122285.
- 554 11. Biswas, R., Uellendahl, H., Ahring, B.K., 2015. Wet Explosion: a Universal and Efficient
- Pretreatment Process for Lignocellulosic Biorefineries. Bioenergy Research.
- 556 12. Brenelli, L.B., Figueiredo, F.L., Damasio, A., Franco, T.T., Rabelo, S.C., 2020. An
- integrated approach to obtain xylo-oligosaccharides from sugarcane straw: From lab to pilot
- scale. Bioresource Technology.
- 559 13. Carvalho, A.F.A., Marcondes, W.F., de Oliva Neto, P., Pastore, G.M., Saddler, J.N.,
- Arantes, V., 2018. The potential of tailoring the conditions of steam explosion to produce
- 561 xylo-oligosaccharides from sugarcane bagasse. Bioresource Technology 250, 221–229.
- 562 14. Carvalho, J.L.N., Nogueirol, R.C., Menandro, L.M.S., Bordonal, R. de O., Borges, C.D.,
- 563 Cantarella, H., Franco, H.C.J., 2017. Agronomic and environmental implications of
- sugarcane straw removal: a major review. GCB Bioenergy.
- 565 15. Cherubin, M.R., Bordonal, R.O., Castioni, G.A., Guimarães, E.M., Lisboa, I.P., Moraes,
- L.A.A., Menandro, L.M.S., Tenelli, S., Cerri, C.E.P., Karlen, D.L., Carvalho, J.L.N., 2021.
- 567 Soil health response to sugarcane straw removal in Brazil. Industrial Crops and Products.
- 568 16. de Freitas, C., Terrone, C.C., Masarin, F., Carmona, E.C., Brienzo, M., 2021. In vitro study
- of the effect of xylooligosaccharides obtained from banana pseudostem xylan by enzymatic
- 570 hydrolysis on probiotic bacteria. Biocatalysis and Agricultural Biotechnology 33.

- 571 17. Djajadi, D.T., Hansen, A.R., Jensen, A., Thygesen, L.G., Pinelo, M., Meyer, A.S.,
- Jørgensen, H., 2017. Surface properties correlate to the digestibility of hydrothermally
- 573 pretreated lignocellulosic Poaceae biomass feedstocks. Biotechnology for Biofuels.
- 574 18. Du, C., Li, Y., Zhao, X., Pei, X., Yuan, W., Bai, F., Jiang, Y., 2019. The production of
- 575 ethanol from lignocellulosic biomass by Kluyveromyces marxianus CICC 1727-5 and
- 576 Spathaspora passalidarum ATCC MYA-4345. Applied Microbiology and Biotechnology
- 577 19. Espírito Santo, M.C. do, Cardoso, E.B., Guimaraes, F.E.G., deAzevedo, E.R., Cunha, G.P.
- da, Novotny, E.H., Pellegrini, V. de O.A., Chandel, A.K., Silveira, M.H.L., Polikarpov, I.,
- 579 2019. Multifaceted characterization of sugarcane bagasse under different steam explosion
- severity conditions leading to distinct enzymatic hydrolysis yields. Industrial Crops and
- 581 Products 139.
- 582 20. Ferrão, L.L., Ferreira, M.V.S., Cavalcanti, R.N., Carvalho, A.F.A., Pimentel, T.C., Silva,
- R., Esmerino, E.A., Neto, R.P.C., Tavares, M.I.B., Freitas, M.Q., Menezes, J.C.V., Cabral,
- L.M., Moraes, J., Silva, M.C., Mathias, S.P., Raices, R.S.L., Pastore, G.M., Cruz, A.G.,
- 585 2018. The xylooligosaccharide addition and sodium reduction in requeijão cremoso
- processed cheese. Food Research International 107.
- 587 21. Heikkinen, H., Elder, T., Maaheimo, H., Rovio, S., Rahikainen, J., Kruus, K., Tamminen,
- T., 2014. Impact of steam explosion on the wheat straw lignin structure studied by solution-
- state nuclear magnetic resonance and density functional methods. Journal of Agricultural
- and Food Chemistry 62.
- 591 22. Ho, A.L., Kosik, O., Lovegrove, A., Charalampopoulos, D., Rastall, R.A., 2018. In vitro
- fermentability of xylo-oligosaccharide and xylo-polysaccharide fractions with different
- molecular weights by human faecal bacteria. Carbohydrate Polymers.

- 594 23. Huang, C., Wang, X., Liang, C., Jiang, X., Yang, G., Xu, J., Yong, Q., 2019. A sustainable
- 595 process for procuring biologically active fractions of high-purity xylooligosaccharides and
- water-soluble lignin from Moso bamboo prehydrolyzate. Biotechnology for Biofuels 12.
- 597 24. Jung, W., Sharma-Shivappa, R., Park, S., Kolar, P., 2020. Effect of cellulolytic enzyme
- 598 binding on lignin isolated from alkali and acid pretreated switchgrass on enzymatic
- 599 hydrolysis. 3 Biotech 10.
- 600 25. Kontogianni, N., Barampouti, E.M., Mai, S., Malamis, D., Loizidou, M., 2019. Effect of
- alkaline pretreatments on the enzymatic hydrolysis of wheat straw. Environmental Science
- and Pollution Research 26.
- 603 26. Kumar, V., Bahuguna, A., Ramalingam, S., Kim, M., 2021. Developing a sustainable
- bioprocess for the cleaner production of xylooligosaccharides: An approach towards
- lignocellulosic waste management. Journal of Cleaner Production.
- 606 27. Li, C., Tanjore, D., He, W., Wong, J., Gardner, J.L., Sale, K.L., Simmons, B.A., Singh, S.,
- 2013. Scale-up and evaluation of high solid ionic liquid pretreatment and enzymatic
- 608 hydrolysis of switchgrass. Biotechnology for Biofuels 6.
- 609 28. Lupoi, J.S., Singh, S., Simmons, B.A., Henry, R.J., 2014. Assessment of Lignocellulosic
- Biomass Using Analytical Spectroscopy: An Evolution to High-Throughput Techniques.
- Bioenergy Research.
- 612 29. Míguez, B., Gullón, P., Cotos-Yáñez, T., Massot-Cladera, M., Pérez-Cano, F.J., Vila, C.,
- Alonso, J.L., 2021. Manufacture and Prebiotic Potential of Xylooligosaccharides Derived
- From Eucalyptus nitens Wood. Frontiers in Chemical Engineering 3.
- 615 30. Mihiretu, G.T., Chimphango, A.F., Görgens, J.F., 2019. Steam explosion pre-treatment of
- alkali-impregnated lignocelluloses for hemicelluloses extraction and improved digestibility.
- Bioresource Technology 294.

- 618 31. Milessi, T.S., Corradini, F.A.S., Marçal, J.V.M., Baldez, T.O., Kopp, W., Giordano, R.C.,
- 619 Giordano, R.L.C., 2021. Xylooligosaccharides production chain in sugarcane biorefineries:
- From the selection of pretreatment conditions to the evaluation of nutritional properties.
- Industrial Crops and Products 172.
- 622 32. Moura, P., Barata, R., Carvalheiro, F., Gírio, F., Loureiro-Dias, M.C., Esteves, M.P., 2007.
- In vitro fermentation of xylo-oligosaccharides from corn cobs autohydrolysis by
- Bifidobacterium and Lactobacillus strains. LWT Food Science and Technology.
- 625 33. Oliveira, F.M.V., Pinheiro, I.O., Souto-Maior, A.M., Martin, C., Gonçalves, A.R., Rocha,
- 626 G.J.M., 2013. Industrial-scale steam explosion pretreatment of sugarcane straw for
- enzymatic hydrolysis of cellulose for production of second generation ethanol and value-
- added products. Bioresource Technology.
- 629 34. Overend, R.P., Chornet, E., 1987. Fractionation of lignocellulosics by steam-aqueous
- pretreatments. Philos T R Soc A.
- 631 35. Park, H.W., Kim, M.J., Seo, S., Yoo, S., Hong, J.H., 2017. Relative sweetness and
- sweetness quality of Xylobiose. Food Science and Biotechnology.
- 633 36. Patel, A., Shah, A.R., 2021. Integrated lignocellulosic biorefinery: Gateway for production
- of second generation ethanol and value added products. Journal of Bioresources and
- Bioproducts 6.
- 636 37. Pihlajaniemi, V., Sipponen, M.H., Liimatainen, H., Sirviö, J.A., Nyyssölä, A., Laakso, S.,
- 637 2016. Weighing the factors behind enzymatic hydrolyzability of pretreated lignocellulose.
- 638 Green Chemistry.
- 639 38. Pinales-Márquez, C.D., Rodríguez-Jasso, R.M., Araújo, R.G., Loredo-Treviño, A.,
- Nabarlatz, D., Gullón, B., Ruiz, H.A., 2021. Circular bioeconomy and integrated
- biorefinery in the production of xylooligosaccharides from lignocellulosic biomass: A
- review. Industrial Crops and Products.

- 643 39. Santibáñez, L., Henríquez, C., Corro-Tejeda, R., Bernal, S., Armijo, B., Salazar, O., 2021.
- Xylooligosaccharides from lignocellulosic biomass: A comprehensive review.
- 645 Carbohydrate Polymers.
- 646 40. Santos, F., De Matos, M., Rabelo, S.C., Eichler, P., 2019. Sugarcane biorefinery,
- technology and perspectives, Sugarcane Biorefinery, Technology and Perspectives.
- 648 41. Silveira, M.H.L., Chandel, A.K., Vanelli, B.A., Sacilotto, K.S., Cardoso, E.B., 2018.
- Production of hemicellulosic sugars from sugarcane bagasse via steam explosion employing
- industrially feasible conditions: Pilot scale study. Bioresource Technology Reports 3.
- 651 42. Singh, R.D., Banerjee, J., Sasmal, S., Muir, J., Arora, A., 2018. High xylan recovery using
- two stage alkali pre-treatment process from high lignin biomass and its valorisation to
- 653 xylooligosaccharides of low degree of polymerisation. Bioresource Technology 256, 110–
- 654 117.
- 655 43. Sluiter, A., Hames, B., Ruiz, R., Scarlata, C., 2008. Determination of sugars, byproducts,
- and degradation products in liquid fraction process samples, Technical Report NREL/TP-
- 657 510-42623.
- 658 44. Sluiter, A., Hames, B., Ruiz, R., Scarlata, C., Sluiter, J., Templeton, D., Crocker, D., 2012.
- Determination of structural carbohydrates and lignin in biomass. Laboratory Analytical
- Procedure (LAP), Technical Report NREL/TP-510-42618.
- 661 45. USDA 2021. Global agricultural information network. Sugar Annual. Brazil. Retrieved
- from United States.
- 663 46. Wang, H., Pu, Y., Ragauskas, A., Yang, B., 2019. From lignin to valuable products-
- strategies, challenges, and prospects. Bioresource Technology.
- 665 47. Wijaya, H., Sasaki, K., Kahar, P., Rahmani, N., Hermiati, E., Yopi, Y., Ogino, C., Prasetya,
- B., Kondo, A., 2020. High enzymatic recovery and purification of xylooligosaccharides
- from empty fruit bunch via nanofiltration. Processes 8.

- 668 48. Yu, Y., Wu, J., Ren, X., Lau, A., Rezaei, H., Takada, M., Bi, X., Sokhansani, S., 2022.
- Steam explosion of lignocellulosic biomass for multiple advanced bioenergy processes: A
- 670 review. Renewable and Sustainable Energy Reviews.
- 671 49. Zhang, W., You, Y., Lei, F., Li, P., Jiang, J., 2018. Acetyl-assisted autohydrolysis of
- sugarcane bagasse for the production of xylo-oligosaccharides without additional
- chemicals. Bioresource Technology 265, 387–393.

## 674 Figure and Table captions

- Figure 1. Glucan (A) and xylan (B) conversion (%) to glucose and xylose, respectively, after
- 72 h of enzymatic hydrolysis of SE-pretreated solids obtained at different severity factors and
- enzyme loadings.
- Figure 2. ATR-FTIR peak area ratio of wavenumbers representing hemicellulose (1732)
- 679 cm<sup>-1</sup>) and lignin (1512 cm<sup>-1</sup>) each relative to that of holocellulose (1160 cm<sup>-1</sup>) for SE-
- pretreated SCS under different severity factors (A) and Thermogravimetric curve recorded
- 681 for alkaline lignin extracted from untreated SCS. Dotted lines represent the derivative curve
- 682 (B).
- 683 **Figure 3.** Overall mass balance of SCS under SE pretreatment.
- Table 1. Effect of SE pretreatment on the composition of SCS-pretreated solids and mass
- balance of biomass components.
- Table 2. XOS yield (w/w % of initial SCS), xylose/XOS recovered (w/w % of initial DM
- xylan) at different severity factors ( $\log R_0$ ) (a), and the soaking effect prior SE-pretreatment
- 688 conditions 200 °C; 15 bar; 10 min on the total XOS g/kg (initial xylan) and XOS profile (b).
- Table 3. Lignin content and energy density in untreated, pretreated and saccharified SCS.

**Table 1.** 

	Biomass composition (w/w % of DM solids)						Pulp	Glucan	Xylan	Lignin	
Condition	Glucan	Xylan	Arabinan	Acetyl	Lignin <sup>a</sup>	Others <sup>b</sup>	recovered <sup>c</sup> (w/w % DM solids)	recovery <sup>d</sup> (%)	removal <sup>e</sup> (%)	removal <sup>f</sup> (%)	Deacetylation <sup>g</sup> (%)
Untreated	38.9 ± 0.1 <sup>a</sup>	$23.9 \pm 0.0^{a}$	3.9 ± 0.0 <sup>e</sup>	3.1 ± 0.1 <sup>e</sup>	20.1 ± 0.1 <sup>a</sup>	10.1 ± 0.2 <sup>b</sup>	-	-	-	-	-
180 °C (9 bar) 5 min	$\begin{array}{c} 38.1 \pm \\ 0.8^a \end{array}$	$\begin{array}{c} 24.1 \pm \\ 0.2^{a} \end{array}$	$\begin{array}{c} 2.4 \pm \\ 0.1^{d} \end{array}$	$\begin{array}{c} 2.4 \pm \\ 0.1^{d} \end{array}$	$\begin{array}{c} 20.2 \pm \\ 0.8^a \end{array}$	$10.2 \pm 0.1^{ab}$	98.9	$96.7 \pm \\ 1.3^{b}$	$0.1\pm0.1^{d}$	$0.5\pm0.0^a$	$24.3\pm0.0^{c}$
180 °C (9 bar) 10 min	$\begin{array}{c} 39.0 \pm \\ 0.2^{ab} \end{array}$	$\begin{array}{c} 24.4 \pm \\ 0.3^{a} \end{array}$	2.0 ± 0.1°	$\begin{array}{c} 2.3 \pm \\ 0.2^d \end{array}$	$\begin{array}{c} 22.4 \pm \\ 1.4^{ab} \end{array}$	$\begin{array}{c} 8.2 \pm \\ 0.1^{d} \end{array}$	89.0	$89.2 \pm 2.1^{a}$	$9.2 \pm 0.1^{\text{e}}$	$\begin{array}{l} 0.9 \pm \\ 0.1^{ab} \end{array}$	$34.3 \pm 0.1^d$
180 °C (9 bar) 15 min	$42.0 \pm 0.4^{abc}$	$19.2 \pm 0.1^{\rm g}$	$1.5 \pm 0.2^{b}$	1.5 ± 0.1°	$24.3 \pm 1.9^{abc}$	4.6 ± 0.3°	81.7	$88.1 \pm 3.1^{ac}$	$\begin{array}{c} 29.7 \pm \\ 2.4^a \end{array}$	$\begin{array}{c} 1.3 \pm \\ 0.3^{abc} \end{array}$	$61.3 \pm 0.2^g$
200 °C (15 bar) 5 min	$\begin{array}{c} 38.1 \pm \\ 0.7^a \end{array}$	$17.9 \pm 0.2^{\rm f}$	nd	1.8 ± 0.1°	$\begin{array}{c} 21.6 \pm \\ 1.7^{ab} \end{array}$	$12.5 \pm 0.4^{a}$	93.5	$91.6 \pm 1.8^{ab}$	$\begin{array}{c} 29.9 \pm \\ 1.2^a \end{array}$	$0.6\pm0.1^{a}$	$44.8 \pm 0.1^{e}$
200 °C (15 bar) 10 min	$\begin{array}{c} 41.2 \pm \\ 1.2^{ab} \end{array}$	13.5 ± 0.1 <sup>e</sup>	nd	$\begin{array}{c} 0.6 \pm \\ 0.2^{b} \end{array}$	$\begin{array}{c} 23.9 \pm \\ 2.3^{abc} \end{array}$	$\begin{array}{c} 11.2 \pm \\ 0.1^{ab} \end{array}$	82.3	$87.2 \pm 2.3^{ac}$	$53.3 \pm \\ 2.4^{\rm f}$	$\begin{array}{c} 2.0 \pm \\ 0.1^{abc} \end{array}$	$83.2\pm2.1^{a}$
200 °C (15 bar) 15 min	$43.0 \pm 2.3^{bc}$	$\begin{array}{c} 7.5 \pm \\ 0.3^{\rm d} \end{array}$	nd	$0.6 \pm 0.1^{b}$	$\begin{array}{c} 22.2 \pm \\ 1.4^{ab} \end{array}$	$12.5 \pm 0.1^a$	74.0	$81.7 \pm 1.0^{c}$	$76.9 \pm \\ 3.3^{g}$	$\begin{array}{c} 2.4 \pm \\ 0.4^{bcd} \end{array}$	$85.2\pm1.3^{a}$
210 °C (20 bar) 5 min	46.2 ± 2.1°	4.0 ± 0.5°	$\begin{array}{c} 0.4 \pm \\ 0.1^{a} \end{array}$	$\begin{array}{c} 0.2 \pm \\ 0.0^a \end{array}$	$25.3 \pm 0.4^{bc}$	$12.3 \pm 0.4^{a}$	77.2	$\begin{array}{c} 91.7 \pm \\ 2.3^{ab} \end{array}$	$87.2 \pm 3.2^{b}$	$\begin{array}{c} 2.9 \pm \\ 0.5^{cd} \end{array}$	$52.0\pm1.2^{\rm f}$
210 °C (20 bar) 10 min	$42.3 \pm 1.5^{abc}$	$2.1 \pm 0.1^{b}$	$\begin{array}{c} 0.2 \pm \\ 0.2^a \end{array}$	$\begin{array}{c} 0.3 \pm \\ 0.0^a \end{array}$	$\begin{array}{c} 23.4 \pm \\ 0.2^{ab} \end{array}$	19.8 ± 0.5 <sup>e</sup>	82.4	$92.7 \pm \\ 3.2^{ab}$	$89.6 \pm 2.8^{bc}$	$4.1\pm1.1^{d}$	$92.8 \pm 2.2^{b}$

210 °C (20 bar) 15 min	$\begin{array}{c} 52.3 \pm \\ 2.6^{d} \end{array}$	$\begin{array}{c} 2.2 \pm \\ 0.3^{b} \end{array}$	nd	$\begin{array}{c} 0.2 \pm \\ 0.0^a \end{array}$	$27.8 \pm 2.4^{c}$	$5.8 \pm 1.2^{c}$	68.1	$91.5 \pm 3.5^{ab}$	$93.8 \pm 2.1^{\circ}$	$5.9 \pm 1.3^{\rm e}$	$96.3 \pm 2.4^b$
1.) [[[[[											

<sup>&</sup>lt;sup>a</sup>Lignin is total acid-soluble and acid-insoluble lignin (Klason).

691

692

693

694

695

696

697

698

699

700

701

<Footnote: Data are means  $\pm$  standard error (n $\ge$ 2) from technical and experimental replicates. Different letters in the same column indicate

significant statistical differences based on ANOVA ( $p \le 0.05$ ).>

<sup>&</sup>lt;sup>b</sup>Includes galactan, extractives, ash and other solids.

<sup>&</sup>lt;sup>c</sup>Pulp recovered (%) = gram of DM residual straw recovered after pretreatment/ 100 g DM untreated straw.

<sup>&</sup>lt;sup>d</sup>Glucan recovery (%) = (Glucan content in pretreated straw × straw recovered) / Total glucan in untreated straw.

<sup>&</sup>lt;sup>e</sup>Xylan removal (%) = 100 – Xylan recovery (%) in pretreated straw.

<sup>&</sup>lt;sup>f</sup>Lignin removal (%) = 100 - Lignin recovery (%) in pretreated straw.

<sup>&</sup>lt;sup>g</sup>Deacetylation (%) = 100 – Acetyl groups (%) in pretreated straw.

DM, dry matter; nd, not detected.

**Table 2.** 

a							
Condition	Severity	XOS	yield	Xylose yield		XOS yield	
Condition	factor	(w/w %, in	nitial xylan)	(w/w %, initial xylan)		(w/w %, initial biomass)	
180 °C, 9 bar, 5 min	3.05	4.45 =	± 0.23 <sup>a</sup>	$0.20\pm0.02^{\rm a}$		$1.07\pm0.05^{\rm a}$	
180 °C, 9 bar, 10 min	3.36	11.95	$\pm 1.10^{c}$	$0.47\pm0.06^{\rm a}$		$2.86 \pm 0.26^{d}$	
180 °C, 9 bar, 15 min	3.53	17.98	$\pm 0.59^{bc}$	$1.51\pm0.29^a$		$4.48 \pm 0.21^{b}$	
200 °C, 15 bar, 5 min	3.64	26.37	$\pm \ 1.60^{de}$	$1.46 \pm 0.10^{a}$		$6.35 \pm 0.39^{c}$	
200 °C, 15 bar, 10 min	3.94	31.25	$\pm 2.45^{e}$	$6.85 \pm 0.99^{b}$		$7.98 \pm 0.25^{\rm e}$	
200 °C, 15 bar, 15 min	4.12	$19.00 \pm 1.92^{b}$		$12.09 \pm 0.47^{c}$		$4.95 \pm 0.45^{\rm bc}$	
210 °C, 20 bar, 5 min	3.94	$23.92 \pm 3.40^{bd}$		$7.34 \pm 1.01^{b}$		$5.91 \pm 0.81^{bc}$	
210 °C, 20 bar, 10 min	4.24	$4.69\pm0.15^{\mathrm{a}}$		$11.35 \pm 0.40^{\circ}$		$1.76\pm0.14^{ m \ ad}$	
210 °C, 20 bar, 15 min	4.41	$1.25 \pm 0.14^{a}$		$3.77 \pm 0.23^{d}$		$0.75 \pm 0.04^{a}$	
b							
Condition	Total XOS g/kg	Relative Percentage (%)					
Condition	(Initial xylan)	X2	X3	X4	X5	X6	XOS dp > 6
200 °C, 15 bar, 10 min	$351.93 \pm 32.38^{a}$	$29.23 \pm 0.69$	$25.28 \pm 0.32$	$17.99 \pm 0.12$	$15.08 \pm 0.13$	$8.67 \pm 0.09$	$3.76 \pm 1.17$
(No pre-soaking)	$331.93 \pm 32.36$	$29.23 \pm 0.09$	$23.28 \pm 0.32$	$17.99 \pm 0.12$	$13.08 \pm 0.13$	$6.07 \pm 0.09$	$3.70 \pm 1.17$
200 °C, 15 bar, 10 min	$337.07 \pm 17.37^{a}$	$26.95 \pm 0.88$	$24.36 \pm 0.92$	$17.69 \pm 0.61$	$15.33 \pm 0.17$	$9.16 \pm 0.05$	$6.51 \pm 2.29$
(pre-soaking, 2 h, 25 °C)	337.07 ± 17.37	20.93 ± 0.88	24.30 ± 0.32	17.09 ± 0.01	13.33 ± 0.17	9.10 ± 0.03	0.31 ± 2.29
200 °C, 15 bar, 10 min	$337.98 \pm 5.58^{a}$	$30.68 \pm 1.18$	$25.79 \pm 0.71$	$18.46 \pm 0.40$	$14.61 \pm 0.28$	$8.23 \pm 0.17$	$2.23 \pm 1.84$
(pre-soaking, 2 h, 70 °C)	331.70 ± 3.30	JU.00 ± 1.10	<i>∠J.17</i> ± 0./1	10.70 ± 0.70	17.01 ± 0.20	0.23 ± 0.17	2.23 ± 1.04

X2, xylobiose; X3, xylotriose, X4, xylotetraose; X5, xylopentaose; X6, xylohexaose; dp, degree of polymerization.

<Footnote: Data are means  $\pm$  standard error (n $\ge$ 2) from technical and experimental replicates. Different letters in the same column indicate

significant statistical differences based on ANOVA ( $p \le 0.05$ ).>

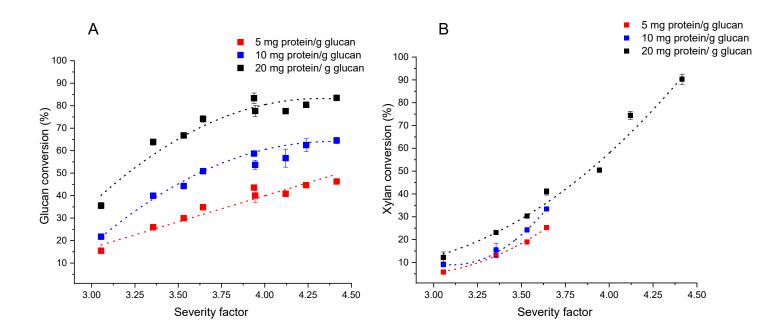
Table 3.

SCS biomass	Lignin* (% w/w)	Energy Content (MJ/kg)		
Untreated	$20.1{\pm}~0.1^{\rm a}$	$17.71 \pm 0.11^{a}$		
Pretreated (200 °C, 15 bar, 10 min)	$23.9\pm2.3^a$	$14.69 \pm 0.13^{b}$		
Saccharified (20 mg protein/g glucan, 72h)	$67.1 \pm 1.8^{b}$	$20.59\pm0.20^c$		

<sup>\*</sup>Extractives free basis.

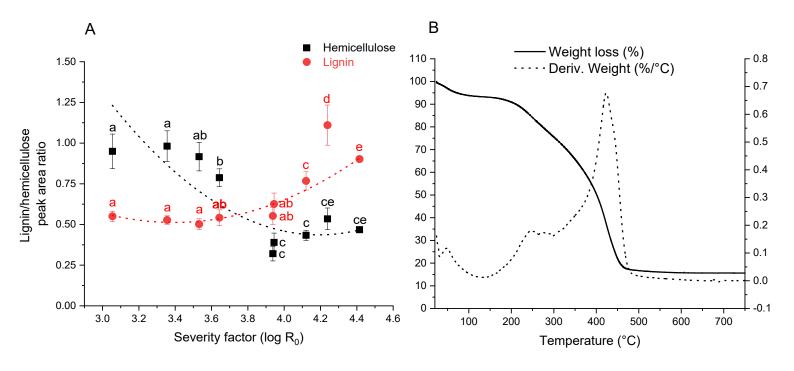
<sup>&</sup>lt;Footnote: Data are means  $\pm$  standard error (n $\geq$ 2). Different letters in the same column
indicate significant statistical differences based on ANOVA (p  $\leq$  0.05).>

Figure 1.



<sup>&</sup>lt;Footnote: Data points represent the average and standard deviation from three experimental replicates.>

Figure 2.



<Footnote: Data points represent the average and standard deviation from five technical replicates. Different letters indicate significant statistical differences based on ANOVA (p  $\leq$  0.05).>

Figure 3.

